

# DYNAMIC ADAPTATION IN STREAM PROCESSING SYSTEMS

Paris - France  
8 January 2023

Daniel WLADDIMIRO

Directeur de thèse : Pierre SENS

Co-encadrants : Luciana ARANTES et Nicolas HIDALGO

LIP6 - Sorbonne Université, CNRS





# INTRODUCTION

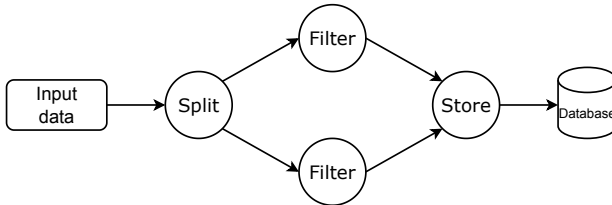


- Real-time processing of large data streams
  - Low-latency processing

- Stock exchange prediction
- Network security monitoring
- Collecting information in natural disasters

## Logical architecture

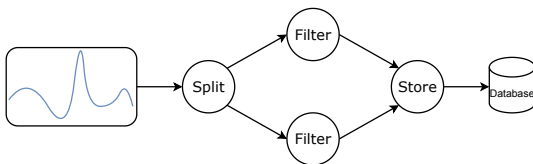
- The DAG defines the processing logic of the SPS
- A vertex represents a processing operator
- Unidirectional edges represent the data flow



## Physical architecture

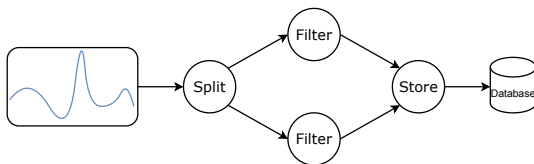
- 
- The diagram illustrates a Cloud environment containing three Virtual Machines (VMs):
- VM<sub>1</sub>**: Contains a **Split** operation and a **Filter** operation.
  - VM<sub>2</sub>**: Contains a **Filter** operation and a **Store** operation.
  - VM<sub>3</sub>**: Contains an **Input data** block.

Input rate can present traffic spikes or peaks





Input rate can present traffic spikes or peaks

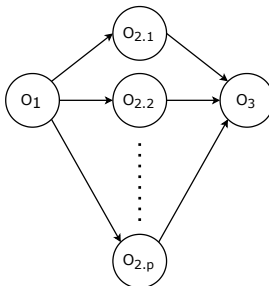


## Overloaded operators and increased end-to-end latency

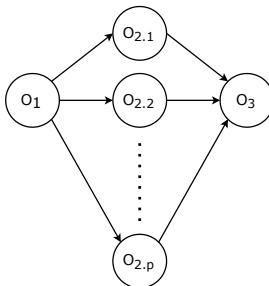
## Existing SPS Frameworks:

- Apache Storm [Toshniwal et al. 2014]
- Apache Flink [Carbone et al. 2015]





## Replication : Operators can be parallelised



## Overprovisioning or underprovisioning of replicas

# Problem

- 9/70



# EXISTING ADAPTIVE SPS

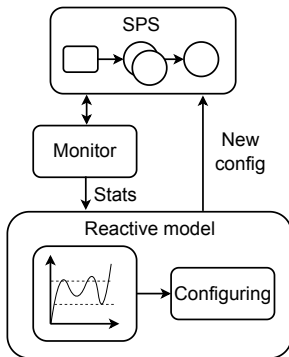
## Adaptive SPS can modify the number of replicas



- 10/70



- Statistics via a monitor
- Operator state analysis using thresholds



### Metrics:

- CPU [Gulisano et al. 2012]
- Latency [Madsen, Zhou, and Su 2016; Satzger et al. 2011; Heinze et al. 2014]
- Throughput [Kahveci and Gedik 2020; Russo et al. 2021; Gedik et al. 2014]

## Metrics:

- CPU [Gulisano et al. 2012]
- Latency [Madsen, Zhou, and Su 2016; Satzger et al. 2011; Heinze et al. 2014]
- Throughput [Kahveci and Gedik 2020; Russo et al. 2021; Gedik et al. 2014]

Most solutions consider a single metric



## Predictor models:

- Reinforcement learning [Cardellini et al. 2018]
- Time series [Kombi et al. 2019]
- Fuzzy logic [Mencagli, Torquati, and Danelutto 2018]
- ANN [Lombardi et al. 2018]

### Predictor models:

- Reinforcement learning [Cardellini et al. 2018]
- Time series [Kombi et al. 2019]
- Fuzzy logic [Mencagli, Torquati, and Danelutto 2018]
- ANN [Lombardi et al. 2018]

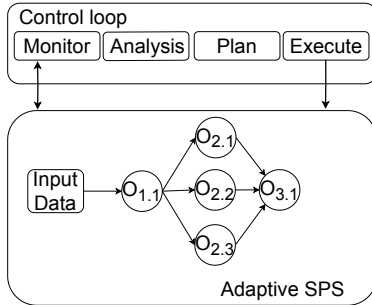
## Limitation

Predictive models are specific to an input rate or scenario

# OUR ADAPTIVE SPS

## Our Proposal

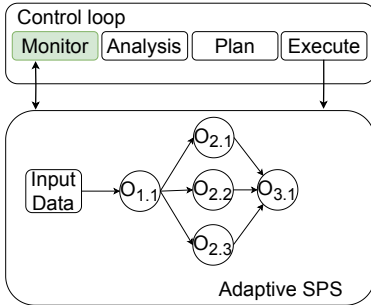
An adaptive SPS based on **MAPE model** whose aim is to **adapt the number of replicas** of the operators according to the peaks in the data stream.





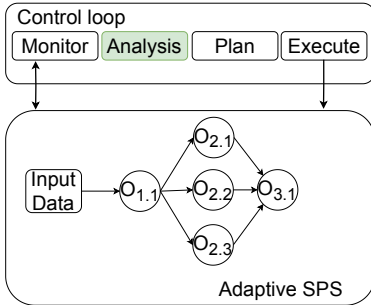
## Our Proposal

An adaptive SPS based on **MAPE model** whose aim is to **adapt the number of replicas** of the operators according to the peaks in the data stream.



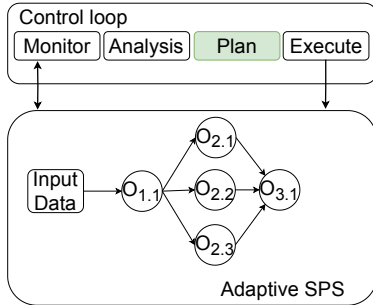
## Our Proposal

An adaptive SPS based on **MAPE model** whose aim is to **adapt the number of replicas** of the operators according to the peaks in the data stream.



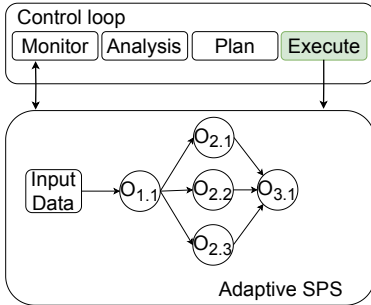
## Our Proposal

An adaptive SPS based on **MAPE model** whose aim is to **adapt the number of replicas** of the operators according to the peaks in the data stream.



## Our Proposal

An adaptive SPS based on **MAPE model** whose aim is to **adapt the number of replicas** of the operators according to the peaks in the data stream.



Present two approaches:

- **Reactive approach** (RA-SPS): on-the-fly analysis of operator load
- **Predictive approach** (PA-SPS): predicting the number of replicas required





Pool of pre-allocate replicas for each operator.

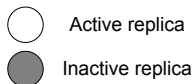




Pool of pre-allocate replicas for each operator.

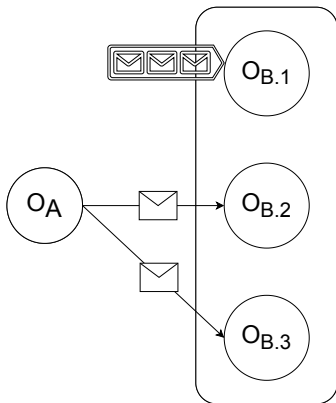


Shuffle grouping (Round-robin) among the active replicas of an operator





## Stream grouping for distributing the load among the active replicas of an operator



## Active replica

# Our Adaptive SPS

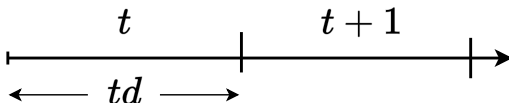
## Reactive approach (RA-SPS)

# Proposal

Analyse the **operator state** to modify the number of active replicas of the operators in the DAG

State metric  $\delta$  is a multi-metric based in :

- Each metric is discrete and calculated according to the time interval  $t$



# Reactive approach : RA-SPS

## Utilisation metric

Percentage of time that the operator  $O_i$  is processing during  $t$

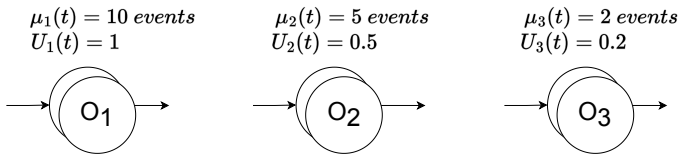
$$U_i(t) = \frac{\mu_i(t) \times et_i(t)}{r_i(t) \times td}$$



# Reactive approach : RA-SPS

## Utilisation metric

$$U_i(t) = \frac{\mu_i(t) \times et_i(t)}{r_i(t) \times td}$$



$$et_i(t) = 200 \text{ ms} ; r_i(t) = 2 \text{ ms} ; td = 1000 \text{ ms} ; i \in [1, 2, 3]$$

# Reactive approach : RA-SPS

## Execution time metric

Execution time degradation of the operator  $O_i$  during  $t$

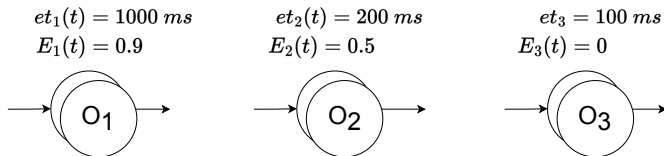
$$E_i(t) = 1 - \frac{et_i}{et_i(t)}$$

$et_i$  average execution time of one event by operator  $O_i$   
according to the benchmark

# Reactive approach : RA-SPS

## Execution time metric

$$E_i(t) = 1 - \frac{et_i}{et_i(t)}$$



$$et_i = 100\ ms ; i \in [1, 2, 3]$$

## Queue metric

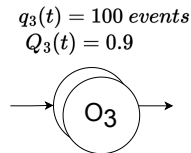
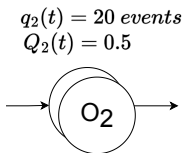
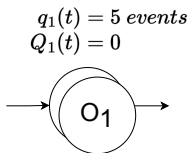
Impact of the queue size of the operator  $O_i$  according to the processing during  $t$

$$Q_i(t) = 1 - \frac{\mu_i(t)}{q_i(t)}$$

# Reactive approach : RA-SPS

## Queue metric

$$Q_i(t) = 1 - \frac{\mu_i(t)}{q_i(t)}$$



$$\mu_i(t) = 10 \text{ events} ; i \in [1, 2, 3]$$

# Reactive approach : RA-SPS

**State metric**  $\delta_i(t)$  is determined by the three metrics and their respective weights

$$\delta_i(t) = U_i(t) \times \omega_U + Q_i(t) \times \omega_Q + E_i(t) \times \omega_E$$

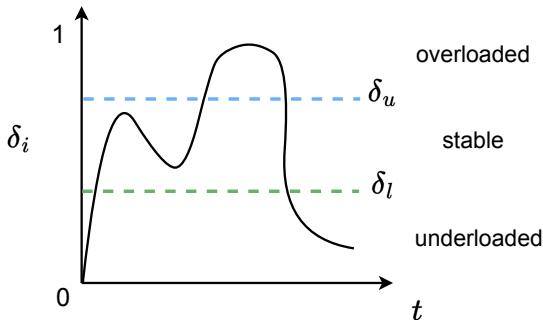
# Reactive approach : RA-SPS

## Analysis of the operator state

$\delta_i(t) < \delta_l$  underloaded

$\delta_l \leq \delta_i(t) \leq \delta_u$  stable

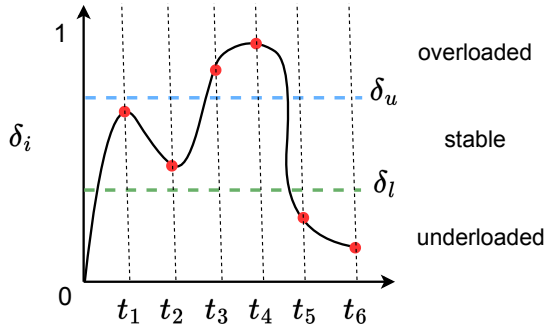
$\delta_i(t) > \delta_u$  overloaded



# Reactive approach : RA-SPS

## Planning algorithm

Analyse if the current state is equal to the precedent state



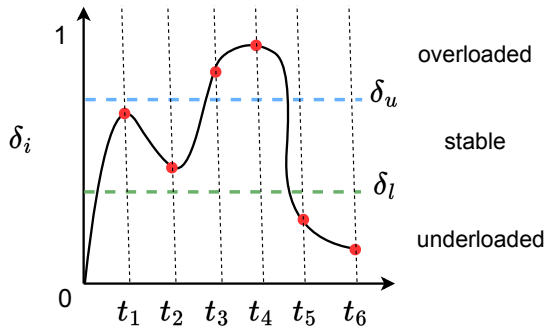
$t_1$



# Reactive approach : RA-SPS

## Planning algorithm

Analyse if the current state is equal to the precedent state

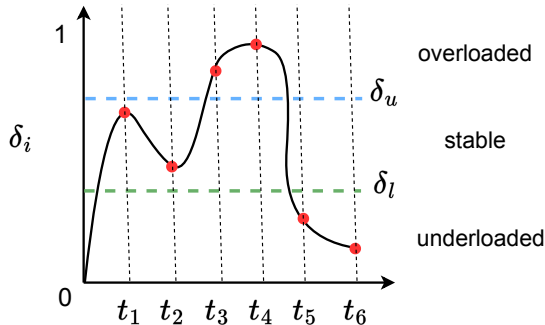


$t_2$  :  $\text{State}(\delta_i(t_2)) = \text{State}(\delta_i(t_1))$  ?

# Reactive approach : RA-SPS

## Planning algorithm

Analyse if the current state is equal to the precedent state

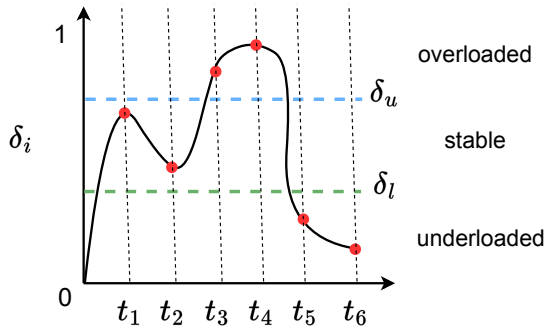


$t_3$  :  $\text{State}(\delta_i(t_3)) = \text{State}(\delta_i(t_2))$  ?

# Reactive approach : RA-SPS

## Planning algorithm

Analyse if the current state is equal to the precedent state



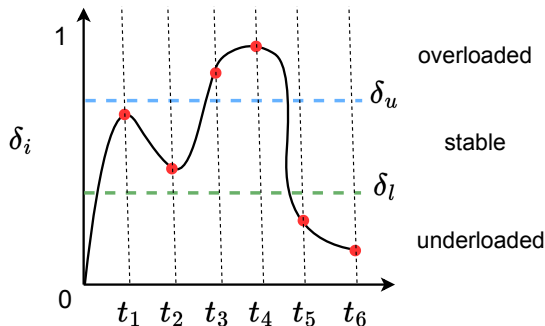
$t_4$  :  $\text{State}(\delta_i(t_4)) = \text{State}(\delta_i(t_3))$  ? Is the machine overloaded ?

**Activate**  $k$  replicas of the operator  $O_i$

# Reactive approach : RA-SPS

## Planning algorithm

Analyse if the current state is equal to the precedent state

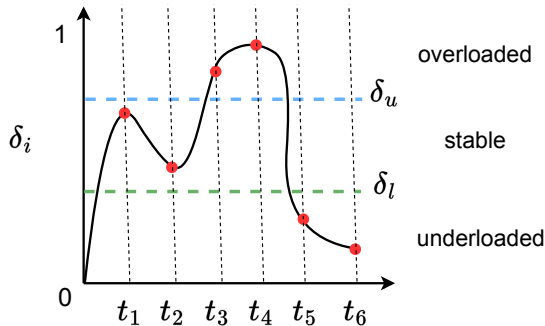


$t_5$  :  $\text{State}(\delta_i(t_5)) = \text{State}(\delta_i(t_4))$  ?

# Reactive approach : RA-SPS

## Planning algorithm

Analyse if the current state is equal to the precedent state



$t_6$  :  $\text{State}(\delta_i(t_6)) = \text{State}(\delta_i(t_5))$  ?

**Deactivate**  $k$  replicas of the operator  $O_i$

# Our Adaptive SPS Predictive approach (PA-SPS)

## Proposal

**Estimate the number of active replicas** for operator according to the predicted input rate

# Predictive Approach : PA-SPS

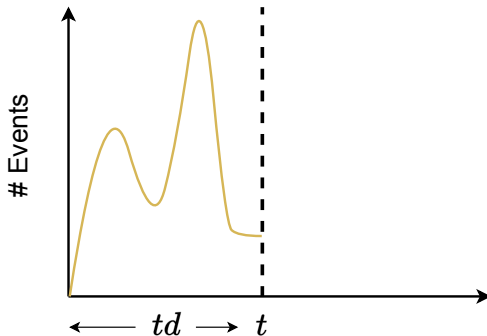
Predictor model is based in :

- number of events received
- dependency among operators
- number of queued events



# Predictive Approach : PA-SPS

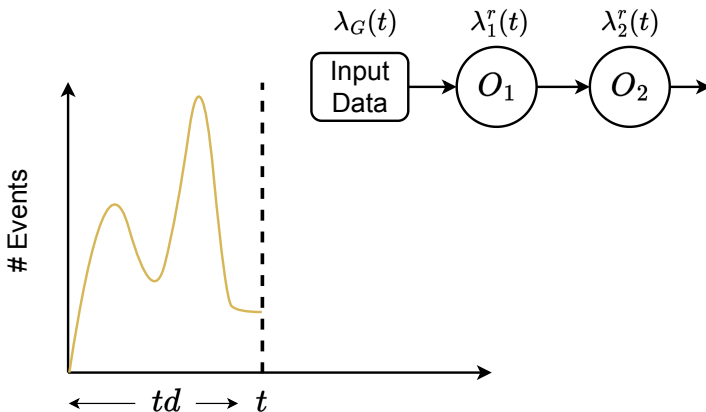
—  $\lambda_G(t)$  : number of events sent by input data during  $t$



# Predictive Approach : PA-SPS

—  $\lambda_G(t)$  : number of events sent by input data during  $t$

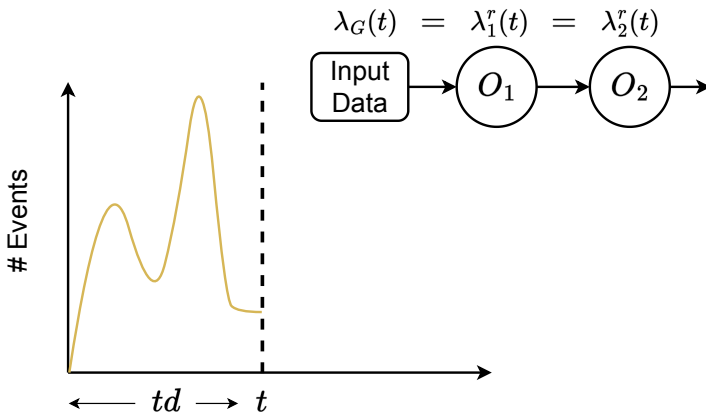
$\lambda_i^r(t)$  : number of events received by  $O_i$  during  $t$



# Predictive Approach : PA-SPS

—  $\lambda_G(t)$  : number of events sent by input data during  $t$

—  $\lambda_i^r(t)$  : number of events received by  $O_i$  during  $t$

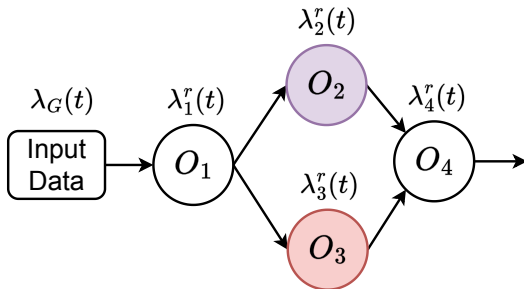
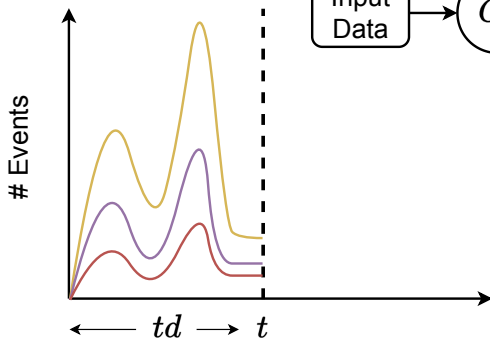


# Predictive Approach : PA-SPS

—  $\lambda_G(t)$

—  $\lambda_2^r(t)$

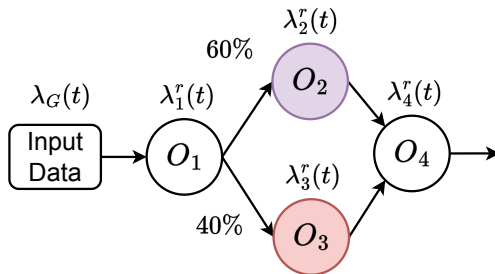
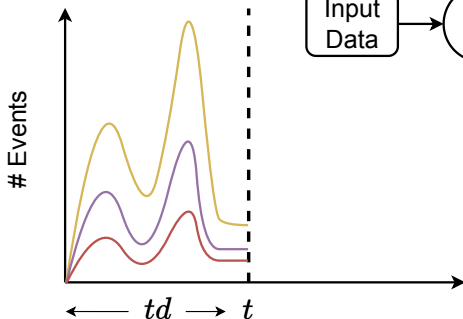
—  $\lambda_3^r(t)$



# Predictive Approach : PA-SPS

$\theta_i(t)$  : percentage of events processed of  $\lambda_G(t)$  during  $t$

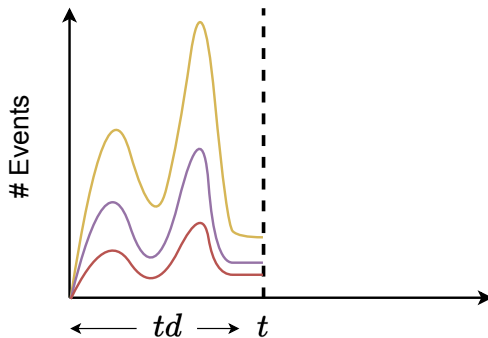
—  $\lambda_G(t)$   
—  $\lambda_2^r(t)$   
—  $\lambda_3^r(t)$



# Predictive Approach : PA-SPS

$\lambda_G(t)$        $\theta_i(t)$  : percentage of events processed of  $\lambda_G(t)$  during  $t$   
 $\lambda_2^r(t)$   
 $\lambda_3^r(t)$

$$\lambda_i^r(t) = \lambda_G(t) \times \theta_i(t)$$



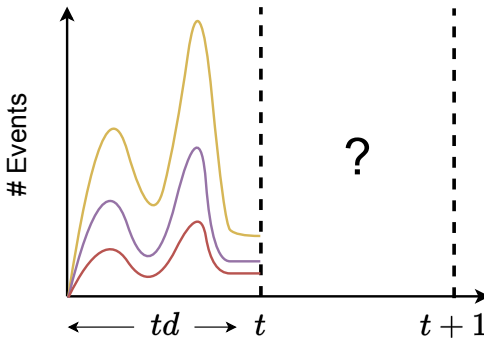
# Predictive Approach : PA-SPS

—  $\lambda_G(t)$

—  $\lambda_2^r(t)$

—  $\lambda_3^r(t)$

$$\widehat{\lambda}_i^r(t+1) = \widehat{\lambda}_G(t+1) \times \theta_i(t)$$



# Predictive Approach : PA-SPS

**Predictive model** is used to predict the number of events sent by the input data during the next time interval

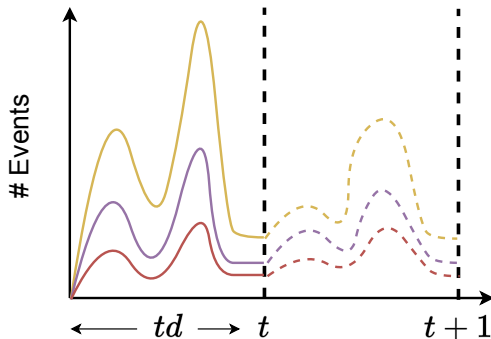
Predictive models applied:

- Basic
- Linear regression
- Fast Fourier Transform
- Artificial Neural Network
- Random Forest



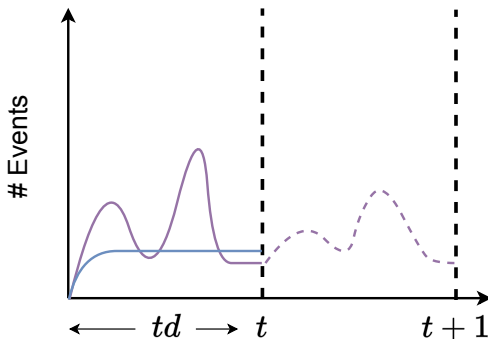
# Predictive Approach : PA-SPS

$$\begin{array}{ll}
 \text{— } \lambda_G(t) & \text{--- } \widehat{\lambda}_G(t+1) \\
 \text{— } \lambda_2^r(t) & \text{--- } \widehat{\lambda}_2^r(t+1) \\
 \text{— } \lambda_3^r(t) & \text{--- } \widehat{\lambda}_3^r(t+1)
 \end{array}$$



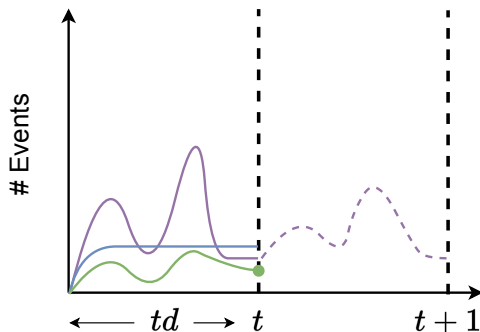
# Predictive Approach : PA-SPS

- $\lambda_2^r(t)$     - - -  $\widehat{\lambda}_2^r(t+1)$   
 —  $\mu_2(t)$  : number of events processed by  $O_i$  during  $t$



# Predictive Approach : PA-SPS

- $\lambda_2^r(t)$     - - -  $\widehat{\lambda}_2^r(t+1)$
- $\mu_2(t)$
- $q_2(t)$  : queue of events received and not processed by  $O_i$  **at the end of**  $t$



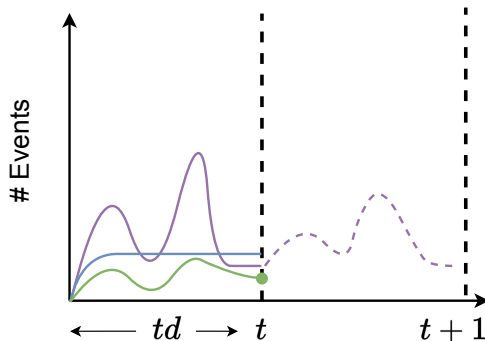
# Predictive Approach : PA-SPS

$$\text{—} \lambda_2^r(t) \quad \text{---} \widehat{\lambda}_2^r(t+1)$$

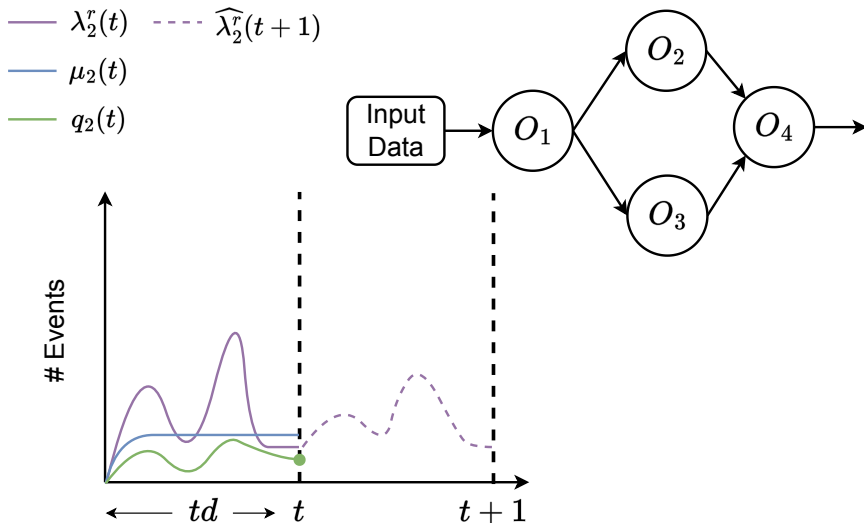
$$\text{—} \mu_2(t)$$

$$\text{—} q_2(t)$$

$$\widehat{\lambda}_i^q(t+1) = |q_i(t)|$$



# Predictive Approach : PA-SPS



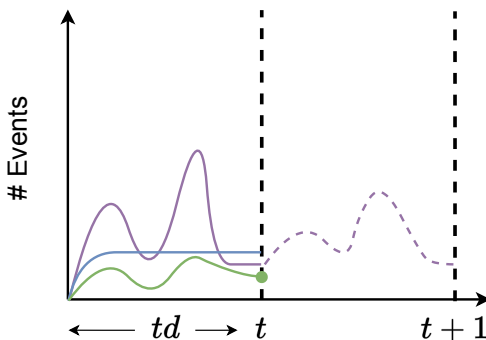
# Predictive Approach : PA-SPS

$$\text{— } \lambda_2^r(t) \quad \text{--- } \widehat{\lambda}_2^r(t+1)$$

$$\text{— } \mu_2(t)$$

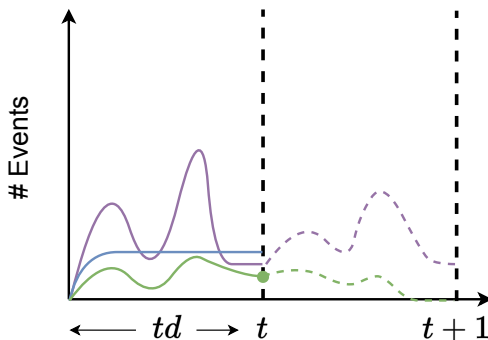
$$\text{— } q_2(t)$$

$$\widehat{\lambda}_i^q(t+1) = |q_i(t)| + \sum_{p \in \text{pred}(O_i)} \widehat{\lambda}_p^q(t+1) \times \theta_p(t)$$



# Predictive Approach : PA-SPS

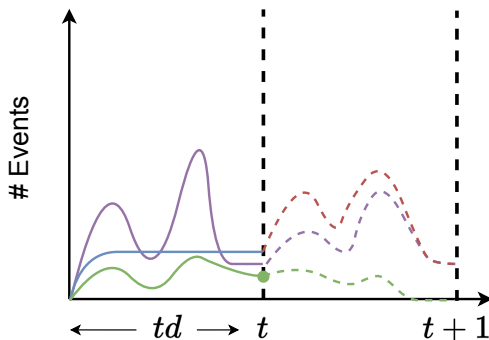
$$\begin{array}{ll}
 \text{— } \lambda_2^r(t) & \text{--- } \widehat{\lambda}_2^r(t+1) \\
 \text{— } \mu_2(t) & \text{--- } \widehat{\lambda}_2^q(t+1) \\
 \text{— } q_2(t) & 
 \end{array}$$



# Predictive Approach : PA-SPS

$$\begin{array}{ll}
 \text{— } \lambda_2^r(t) & \text{--- } \widehat{\lambda}_2^r(t+1) \\
 \text{— } \mu_2(t) & \text{--- } \widehat{\lambda}_2^q(t+1) \\
 \text{— } q_2(t) & \text{--- } \widehat{\lambda}_2(t+1)
 \end{array}$$

$$\widehat{\lambda}_i(t+1) = \widehat{\lambda}_i^r(t+1) + \widehat{\lambda}_i^q(t+1)$$





## Prediction of number of active replicas

$$r_i(t+1) = \frac{\hat{\lambda}_i(t+1) \times et_i}{td}$$

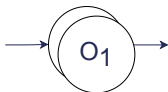
# PA-SPS : Prediction of active replicas

## Planning algorithm

$$r_i(t+1) = \frac{\widehat{\lambda}_i(t+1) \times et_i}{td}$$

$$\widehat{\lambda}_1(t+1) = 10 \text{ events}$$

$$et_1 = 200 \text{ ms}$$

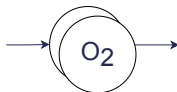


$$r_1(t) = 2$$

$$r_1(t+1) = 2$$

$$\widehat{\lambda}_2(t+1) = 10 \text{ events}$$

$$et_2 = 100 \text{ ms}$$

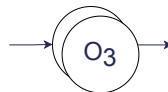


$$r_2(t) = 2$$

$$r_2(t+1) = 1$$

$$\widehat{\lambda}_3(t+1) = 10 \text{ events}$$

$$et_3 = 400 \text{ ms}$$



$$r_3(t) = 2$$

$$r_3(t+1) = 4$$

$$td = 1000 \text{ ms}$$

# EXPERIMENTS

## A traffic model from real Twitter data related to COVID pandemic



## Twitter linear app to classify tweets



## Google Cloud Platform as infrastructure for the deployment



*Saved nodes* [Lombardi et al. 2018]

- Proportion of resources saved with respect to a statically over-provisioned configuration

## Throughput degradation [Lombardi et al. 2018]

- Difference between the input rate and the output rate

## Latency

- Average time taken by an event between the moment it enters and leaves the SPS

### Difference in the number of processed events

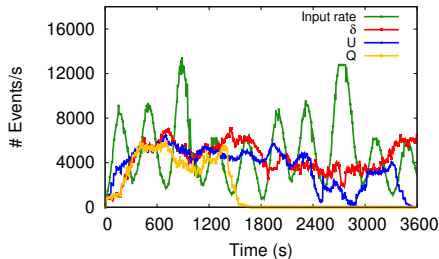
- Difference between the total number of processed events and the total number of received events

## Performance Results

### Reactive approach (RA-SPS)



- Q queues a lot of events
- U overprovides active replicas
- $\delta$  is more stable



Metric	Saved Nodes	Throughput Degradation	Diff. Proc. Events	Latency (ms)
$\delta$	<b>0.3996</b>	<b>0.1092</b>	<b>0.8907</b>	<b>39687.51</b>
U	-0.8934	0.2597	0.7402	23441.39
Q	0.4975	0.6830	0.3169	28799.60

- 58/70

## Performance Results

### Predictive approach (PA-SPS)

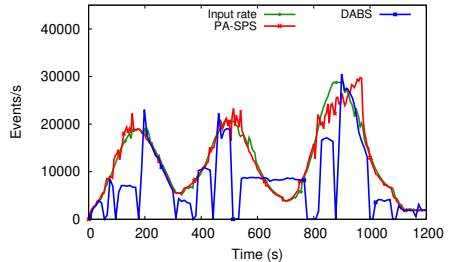
Pred. Model	Saved Resources	Throughput Degradation	Diff. Proc. Events	Latency (ms)
<b>ANN</b>	<b>0.475</b>	<b>0.070</b>	<b>1.000</b>	<b>355.490</b>
FFT	0.519	0.189	1.000	1023.380
LR	0.533	0.195	1.000	663.030
RF	0.538	0.227	0.996	583.921
Basic	0.560	0.325	1.000	1295.490

- 59/70

## Comparison between PA-SPS and DABS

- PA-SPS :
  - Uses ANN as a predictor model
- DABS :
  - An extension of Storm
  - Uses a predictor model based on regressions

## D. Wladdimiro



- 61/70

Adaptive SPS	Saved Resources	Throughput Degradation	Diff. Processed Events	Latency (ms)
PA-SPS	0.475	0.071	1.000	355.490
DABS	0.3962	0.2849	0.8283	1391.28

- 62/70

# CONCLUSION



## Adaptive SPS extending Storm

- Pool of replicas
- Load-aware grouping

Two approaches:

- **Reactive (RA-SPS)** : Use of a multi-metric
- **Predictive (PA-SPS)** : Estimate number of active replicas using different predictive models

## Dynamic adaptation of parameters

- ## Hybrid adaptive SPS

- Use the reactive and predictive model for SPS adaptation

## Physical and logical adaptation

- Consider also the modification of virtual machines

# Merci beaucoup !



# References I



Carbone, Paris et al. (2015). “Apache Flink™: Stream and Batch Processing in a Single Engine”. In: *IEEE Data Eng. Bull.* 38.4, pp. 28–38.



Cardellini, Valeria et al. (2018). “Decentralized self-adaptation for elastic Data Stream Processing”. In: *Future Gener. Comput. Syst.* 87, pp. 171–185.



Gedik, Bugra et al. (2014). “Elastic Scaling for Data Stream Processing”. In: *IEEE Trans. Parallel Distrib. Syst.* 25.6, pp. 1447–1463.



Gulisano, Vincenzo et al. (2012). “StreamCloud: An Elastic and Scalable Data Streaming System”. In: *IEEE Trans. Parallel Distributed Syst.* 23.12, pp. 2351–2365.



Heinze, Thomas et al. (2014). “Latency-aware elastic scaling for distributed data stream processing systems”. In: *DEBS*. ACM, pp. 13–22.



Kahveci, Basri and Bugra Gedik (2020). “Joker: Elastic stream processing with organic adaptation”. In: *J. Parallel Distributed Comput.* 137, pp. 205–223.

## References II



Kombi, Roland Kotto et al. (2019). “DABS-Storm: A Data-Aware Approach for Elastic Stream Processing”. In: *Trans. Large Scale Data Knowl. Centered Syst.* 40, pp. 58–93.



Lombardi, Federico et al. (2018). “Elastic Symbiotic Scaling of Operators and Resources in Stream Processing Systems”. In: *IEEE Trans. Parallel Distrib. Syst.* 29.3, pp. 572–585.



Madsen, Kasper Grud Skat, Yongluan Zhou, and Li Su (2016). “Enorm: efficient window-based computation in large-scale distributed stream processing systems”. In: *DEBS. ACM*, pp. 37–48.



Mencagli, Gabriele, Massimo Torquati, and Marco Danelutto (2018). “Elastic-PPQ: A two-level autonomic system for spatial preference query processing over dynamic data streams”. In: *Future Gener. Comput. Syst.* 79, pp. 862–877.



Russo, Gabriele Russo et al. (2021). “MEAD: Model-Based Vertical Auto-Scaling for Data Stream Processing”. In: *CCGRID. IEEE*, pp. 314–323.

# References III



Satzger, Benjamin et al. (2011). “Esc: Towards an Elastic Stream Computing Platform for the Cloud”. In: *IEEE CLOUD*. IEEE Computer Society, pp. 348–355.



Toshniwal, Ankit et al. (2014). “Storm@ Twitter”. In: *Proceedings of the 2014 ACM SIGMOD international conference on Management of data*. ACM, pp. 147–156.



Wladdimiro, Daniel et al. (2021). “A Multi-Metric Adaptive Stream Processing System”. In: *NCA*. IEEE, pp. 1–8.



— (2022a). “A predictive approach for dynamic replication of operators in distributed stream processing systems”. In: *SBAC-PAD*. IEEE.



— (2022b). “A predictive model for Stream Processing System that dynamically calibrates the number of operator replicas”. In: *CompAS*, pp. 1–8.



— (2023a). “PA-SPS: A Predictive Adaptive Approach for an Elastic Stream Processing System”. In: *J. Parallel Distributed Comput.*



Wladdimiro, Daniel et al. (2023b). “PRESPTS: a PREdictive model to determine the number of replicas of the operators in Stream Processing Systems”. In: *ComPAS*, pp. 1–9.