# NLP - Assignment 3

In this assignment you will...

- create a term-document matrix.
- determine co-occurrence vectors using ppmi.
- calculate cosine similarities and page ranks.
- analyze fluency data.

## **Preparations**

1) Complete all of the steps of the previous assignment, to obtain a tibble that loos like this.

```
## # A tibble: 101,660 x 2
##
      sentence_ind word
##
      <chr>
                    <chr>
##
    1 1
                    produced
    2 1
##
                    by
##
    3 1
                    emma
##
    4 1
                    dudding
##
    5 1
                    john
##
    6 1
                    bickers
##
                    and
##
    8 1
                    dagny
  9 1
                    fairy
## 10 1
                    tales
## # ... with 101,650 more rows
```

2) Then remove stopwords using the code below.

```
# remove stopwords
token_tbl <- token_tbl %>%
anti_join(get_stopwords('en'))
```

### Term-Document Matrix

- 2) There are many powerful packages to determine Term-Document Matrices, such as the tm package. The same job can, however, also use be achieved using the basic R function table(). When the table() function is applied to a tibble consisting of more than one variable, then the function computes a full cross-table off all the entries in each variable. That is, for a tibble with a variable containing a sentence indicator and a variable containing words, it will tabulate words against sentences, which is exactly what we want. Apply table() to your tokenized tibble and call the object tdm. Make sure that the first variable contains the words.
- 3) Explore the object's dimensions using dim(). How many rows and columns are there?
- 4) Use mean(tdm == 0) to count the proportion of zeros in the matrix. What proportion of cells are zero?

#### Positive PMI transformation

- 1) To calculate the positive PMI, first create a new matrix that contains the joint probability of words and sentences by diving tdm by sum(tdm). Call the new matrix p\_tdm.
- 2) Next determine marginal probability distributions of words and sentences by calculating rowSums() and colSums() (applied to p\_tdm). Save the results as p\_words and p\_sentences.
- 3) Now you have all you need to calculate the point-wise mutual information (pmi). That is you now the joint distribution p(sentence, word) and the marginal distributions of words p(word) and sentences p(sentence). Compare to the formula in Bullinaria and Levy (2017, p. 514). The only thing missing is to divide each cell of  $p_tm$  by the according values of  $p_words$  and  $p_sentences$ . One way to achieve this is by the outer product of p(word) and p(sentence). Run the code below.

```
outer_mat <- outer(p_words, p_sentences)</pre>
```

- 4) Explore outer\_mat. How many rows and columns does it have? How do the first few values, e.g., outer\_mat[1:10, 1:10] correspond to p\_words[1:10] and p\_sentences[1:10]? Check out the outer product on Wikipedia.
- 5) Using outer\_mat, you can now conveniently compute  $\frac{p(sentence, word)}{p(word)*p(sentence)}$  by dividing p\_tdm by outer\_mat. Do so. Name the result pmp, for point-wise mutual probability.
- 6) Calculate the pmi from pmp by taking the logarithm to the base 2 (log2()) of pmp.
- 7) Finally, change all negative values in pmi to 0 using the code below.

```
ppmi <- pmi
ppmi[ppmi < 0] <- 0</pre>
```

## Cosine similarity

Next, we want to construct a matrix containing the cosine similarities between the word vectors of each pair of words. To do this, we can again make use of some matrix algebra. The general form of the cosine similarity is  $\cos = \frac{A \cdot B}{\|A\| \|B\|}$ , where  $\|A\| = \sqrt{\sum_i A_i^2} = \sqrt{A \cdot A}$ . This means that we need to determine (1) the **dot products** of all pairs of word vectors and (2) the square of the dot-product of each word vector with itself. Turns out we can do both in one step.

- 1) Compute the **matrix product** of **ppmi** and its transpose t(**ppmi**). To compute the matrix product use %\*% rather than \*. Name the resulting object dotprod. Be aware that the calculation may take a moment.
- 2) Explore dotprod. How many rows and columns? Do the numbers match the number of words?
- 3) Next, we need to normalize (aka divide) the dot product by the square root of the dot products of the words with themselves, i.e., \( \sqrt{A} \cdot A \) and \( \sqrt{B} \cdot B \). Turns out, we already calculated \( A \cdot A \) and \( B \cdot B \). In the previous step we calculated the dot products of all possible pairs of words, such that dotprod[1, 2] contains the dot product of words 1 and 2, dotprod[3, 8] contains the dot product of words 3 and 8, and so on. Correspondingly, dotprod[1, 1] contains the dot product of word 1 with itself. Thus, the diagonal of dotprod contains the \( A \cdot A \) and \( B \cdot B \cdot B \). Use diag() to select the diagonal of dotprod and store it in dotprod\_diag.
- 4) Now calculate the outer product of the square root of dotprod\_diag, i.e., sqrt(dotprod\_diag) using outer() and store it as dotprod\_diag\_outer.

- 5) Finally, divide dotprod by dotprod\_diag\_outer to obtain a matrix of cosine similarities. Name the result cosines.
- 6) Explore your cosines a bit. For instance, to examine the 20 closest associates to a word you can use sort(cosines[word,], decreasing = T)[1:20]. In my case, using the brothers Grimm works, the 20 closest associates to gretel are ...

```
##
       gretel
                  hansel
                            presents
                                            hans
                                                       comes
                                                                goodbye
## 1.00000000 0.27321563 0.23655510 0.15694120 0.15410732 0.11514618
##
     pinafore
                    guest
                                give
                                           binds
                                                       leads
                                                                  ferry
  0.11125674 0.09863558
                          0.09232053 0.08947632 0.08947632 0.08708551
##
         good
                     crab
                              master
                                          cutter
                                                       leant
                                                                nibbled
##
  0.08544284 0.08492275 0.08338411 0.08294832 0.08294832 0.08294832
##
        panes
                daintily
## 0.08294832 0.07945037
```

# Page rank

1) Use the code below to determine the *page rank* of each of the words in your cosine matrix. First, however, make sure to install the igraph package using install.packages('igraph') (only once).

2) Explore which words have highest page rank values using sort(pagerank,decreasing = T)[1:50]. The 50 highest page ranks in the works of the brothers Grimm are...

```
##
           came
                         said
                                        one
                                                     went
                                                                 little
##
  0.0011853102 0.0011644919 0.0011390171 0.0011147856 0.0010871668
##
                                                    great
                                                                    old
           upon
                         away
                                       took
##
  0.0009574306 0.0009414044 0.0008955111 0.0008809835 0.0008289580
##
           time
                         king
                                                                   made
                                        two
                                                      saw
   0.0008208702 0.0008163071 0.0008158298 0.0008025343 0.0007913428
##
            man
                         last
                                       soon
                                                       go
                                                                   home
##
   0.0007827924
                 0.0007808577 0.0007713826 0.0007695734 0.0007633816
##
            way
                         till
                                        sat
                                                    began
                                                                    day
##
   0.0007531015 \ 0.0007525183 \ 0.0007473171 \ 0.0007309039 \ 0.0007305330
##
            now
                         fell
                                       like
                                                      put
                                                              beautiful
   0.0007296186 0.0007263456 0.0007217672 0.0007213177 0.0007088929
##
           long
                        heard
                                      water
                                                     tree
                                                                   back
   0.0007081556 0.0007065166 0.0007006529 0.0006934865 0.0006921714
##
           must
                        still
                                    thought
                                                      ran
                                                                  found
   0.0006864377 0.0006849015 0.0006839727 0.0006770969 0.0006768850
##
##
           come
                          got
                                      house
                                                     door
                                                                    see
  0.0006768354 0.0006765443 0.0006701150 0.0006654688 0.0006652371
##
                        three
                                       gold
                                                     head
                                                                   take
## 0.0006630519 0.0006626328 0.0006625790 0.0006576520 0.0006545981
```

# Explore letter fluency data

1) Load the letter fluency data sets with the following code. NOTE: You need to be connected to the internet to load the data.

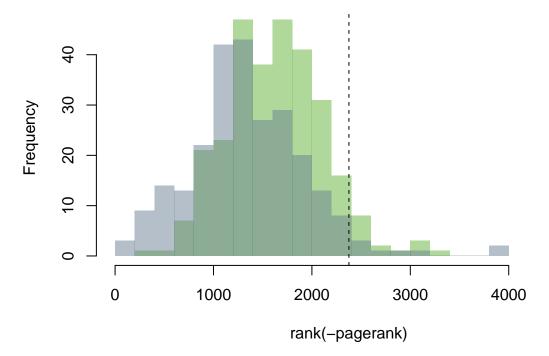
```
# fluency data links
link_m <- 'https://www.dirkwulff.org/courses/2019_naturallanguage/data/letter_m.RDS'
link_s <- 'https://www.dirkwulff.org/courses/2019_naturallanguage/data/letter_s.RDS'

# read fluency data
letter_m <- readRDS(url(link_m))
letter_s <- readRDS(url(link_s))</pre>
```

2) Using the code below, determine the average rank of the page rank values for a given list in each of the fluency data vectors. Remember rank(-pagerank) means that small ranks have higher page ranks.

```
# get average ranks
pg_letter_m <- sapply(letter_m, function(x) mean(rank(-pagerank)[x], na.rm = T))
pg_letter_s <- sapply(letter_s, function(x) mean(rank(-pagerank)[x], na.rm = T))</pre>
```

3) Using the code below, plot the average ranks for both letters and compare against the overall average rank. Are the average ranks smaller than the overall average rank?



# Explore category fluency data

1) Load the category fluency data sets with the following code. NOTE: You need to be connected to the internet to load the data.

```
# fluency data links
link_ani <- 'https://www.dirkwulff.org/courses/2019_naturallanguage/data/animals.RDS'
link_veg <- 'https://www.dirkwulff.org/courses/2019_naturallanguage/data/veggies.RDS'

# read fluency data
animals <- readRDS(url(link_ani))
veggies <- readRDS(url(link_veg))</pre>
```

2) Using the code below, determine the average cosine similarity for the words within each fluency list.

```
# set the cosine diagonal to 0
cosines_noloop <- cosines
diag(cosines_noloop) <- 0

# words in cosine mat
nam <- rownames(cosines_noloop)

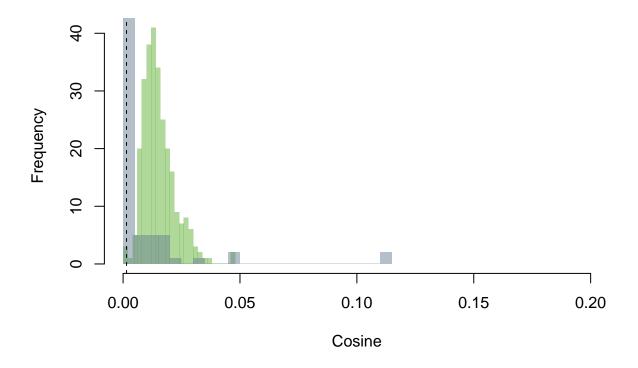
# extract cosines fun
extract_cosines <- function(words){
    words <- unique(words)
    words <- words[words %in% nam]
    cosines_noloop[words, words]
}

# get average ranks
cos_animals <- sapply(animals, function(x) mean(extract_cosines(x)))
cos_veggies <- sapply(veggies, function(x) mean(extract_cosines(x)))</pre>
```

3) Using the code below, plot the average cosines for both categories and compare against the overall average cosine. Are the average cosines larger than the overall average cosine? What do you think, what do the Brothers Grimm talk more about, animals or veggies?

```
# plot average cosines of animals and veggies

cols = c("#5FB2337F", "#6A7F937F")
hist(cos_animals, xlim = c(0, .2),
    breaks = 20, col = cols[1], border=NA,
    main = '', xlab = 'Cosine')
hist(cos_veggies, add = TRUE, col = cols[2],border=NA,
    xlim = c(0, .2), breaks = 20)
abline(v = mean(cosines_noloop), lwd=1, lty=2)
```



**BONUS:** Category fluency transitions

Another interesting analysis of the category fluency data evaluates whether the word-to-word transitions in the fluency lists have higher cosine similarity than the average. Analyze this for both categories.