

# Uncertainty Principle

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# Generalized Uncertainty Principle

- for any observable  $A$ , the variance can be expressed by

$$\sigma_A^2 = \langle (\hat{A} - \langle A \rangle) \Psi | (\hat{A} - \langle A \rangle) \Psi \rangle$$

- we define  $f := (\hat{A} - \langle A \rangle) \Psi$ , and  $g := (\hat{B} - \langle B \rangle) \Psi$  for any other observable  $B$
- multiplying the variance of two observables we have

$$\sigma_A^2 \sigma_B^2 = \langle f | f \rangle \langle g | g \rangle \geq |\langle f | g \rangle|^2$$

- for any complex number  $z$ , we have

$$|z|^2 = [\text{Re}(z)]^2 + [\text{Im}(z)]^2 \geq [\text{Im}(z)]^2 = \left[ \frac{1}{2i} (z - z^*) \right]^2$$

# Generalized Uncertainty Principle

- now if we let  $z = \langle f|g \rangle$  we have

$$\sigma_A^2 \sigma_B^2 \geq \left( \frac{1}{2i} [\langle f|g \rangle - \langle g|f \rangle] \right)^2$$

$$\begin{aligned} \langle f|g \rangle &= \langle (\hat{A} - \langle A \rangle) \Psi | (\hat{B} - \langle B \rangle) \Psi \rangle \\ &= \langle \Psi | (\hat{A} - \langle A \rangle) (\hat{B} - \langle B \rangle) \Psi \rangle \\ &= \langle \Psi | (\hat{A} \hat{B} - \hat{A} \langle B \rangle - \hat{B} \langle A \rangle + \langle A \rangle \langle B \rangle) \Psi \rangle \\ &= \langle \Psi | \hat{A} \hat{B} \Psi \rangle - \langle B \rangle \langle \Psi | \hat{A} \Psi \rangle - \langle A \rangle \langle \Psi | \hat{B} \Psi \rangle + \langle A \rangle \langle B \rangle \langle \Psi | \Psi \rangle \\ &= \langle \hat{A} \hat{B} \rangle - \langle B \rangle \langle A \rangle - \langle A \rangle \langle B \rangle + \langle A \rangle \langle B \rangle \\ &= \langle \hat{A} \hat{B} \rangle - \langle A \rangle \langle B \rangle \end{aligned}$$

# Generalized Uncertainty Principle

- thus  $\langle f|g\rangle = \langle \hat{A}\hat{B}\rangle - \langle A\rangle \langle B\rangle$  and by the same process  $\langle g|f\rangle = \langle \hat{B}\hat{A}\rangle - \langle B\rangle \langle A\rangle$
- so

$$\langle f|g\rangle - \langle g|f\rangle = \langle \hat{A}\hat{B}\rangle - \langle \hat{B}\hat{A}\rangle = \langle [\hat{A}, \hat{B}]\rangle$$

- therefore we have the general uncertainty principle:

$$\sigma_A^2 \sigma_B^2 \geq \left( \frac{1}{2i} \langle [\hat{A}, \hat{B}]\rangle \right)^2$$

# Uncertainty Principle of Momentum and Position

- consider the Hermitian operators  $\hat{x}$  and  $\hat{p}$  whose conjugate is  $[\hat{x}, \hat{p}] = i\hbar$

$$\sigma_x^2 \sigma_p^2 \geq \left( \frac{1}{2i} \langle [\hat{x}, \hat{p}] \rangle \right)^2$$

$$\sigma_x^2 \sigma_p^2 \geq \left( \frac{1}{2i} i\hbar \right)^2$$

$$\sigma_x^2 \sigma_p^2 \geq \left( \frac{\hbar}{2} \right)^2$$

$$\sigma_x \sigma_p \geq \frac{\hbar}{2}$$

# Incompatible Observables

- there is an “uncertainty principle” for every pair of observables  $A$  and  $B$  such that  $[A, B] \neq 0$ 
  - incompatible observables
- they cannot have a complete set of common eigenfunctions
- in contrast, *compatible* observables do have complete sets of simultaneous eigenfunctions, and therefore have uncertainty principles which take the form

$$\sigma_A^2 \sigma_B^2 \geq 0$$

# Compatible Observables Example

- for example, we will find  $\sigma_T \sigma_p$ , where  $T$  and  $p$  are the kinetic energy and momentum, respectively
- $\hat{T} = \hat{p}^2/2m$

$$\begin{aligned} [\hat{T}, \hat{p}] &= \hat{T}\hat{p} - \hat{p}\hat{T} \\ &= \frac{\hat{p}^2}{2m}\hat{p} - \hat{p}\frac{\hat{p}^2}{2m} \\ &= \frac{\hat{p}^3}{2m} - \frac{\hat{p}^3}{2m} = 0 \\ \implies \sigma_T \sigma_p &\geq 0 \end{aligned}$$

# The Minimum-Uncertainty Wave Packet

- the Gaussian wave packet and the ground state of the harmonic oscillator reach the position-momentum uncertainty limit
- question: is there a most general minimum-uncertainty wave packet?
- in the derivation of the uncertainty principle, two inequalities are used; if we restrict this to equality, minimum values can be found.
- the Schwartz inequality becomes an equality when one function is a multiple of the other,  $f(x) = cg(x)$ ,  $c \in \mathbb{C}$



# The Minimum-Uncertainty Wave Packet

- After the use of the Schwarz inequality, we used that  $\operatorname{Re}(z) + \operatorname{Im}(z) \geq \operatorname{Im}(z)$ , for some  $z$ . Making this an equality implies  $\operatorname{Re}(z) = 0$ , and applying this to our functions  $f$  and  $g$  we have

$$\operatorname{Re}(\langle f|g \rangle) = \operatorname{Re}(c \langle g|g \rangle) = 0$$

Since  $\langle g|g \rangle \neq 0$ ,  $c$  must only have an imaginary part,  $ia$

- Applying this to the position-momentum uncertainty yields:

$$\left( \frac{\hbar}{i} \frac{d}{dx} - \langle p \rangle \right) \Psi = ia(x - \langle x \rangle) \Psi$$

- This is a differential equation with general solution

$$\Psi(x) = A \exp\left(-a(x - \langle x \rangle)^2 / 2\hbar\right) \exp(i \langle p \rangle x / \hbar)$$

- This is the form of a gaussian, thus the most general minimum uncertainty wave packet is a gaussian.

# The Energy–Time Uncertainty Principle

- the position–momentum uncertainty principle is often written as

$$\Delta x \Delta p \geq \frac{\hbar}{2}$$

- it is often accompanied by the energy–time uncertainty principle

$$\Delta t \Delta E \geq \frac{\hbar}{2}$$

- don't be fooled, they may look similar but are entirely different
- position, momentum, and energy are all dynamical variables, while time is an independent variable
- now we will work towards deriving it

# The Energy–Time Uncertainty Principle

- we begin by computing the time derivative of the expectation value of an observable,  $Q(x, p, t)$

$$\begin{aligned}\frac{d}{dt} \langle Q \rangle &= \frac{d}{dt} \langle \Psi | \hat{Q} \Psi \rangle \\ &= \left\langle \frac{\partial \Psi}{\partial t} \middle| \hat{Q} \Psi \right\rangle + \left\langle \Psi \middle| \frac{\partial \hat{Q}}{\partial t} \Psi \right\rangle + \left\langle \Psi \middle| \hat{Q} \frac{\partial \Psi}{\partial t} \right\rangle\end{aligned}$$

- use the Schrödinger equation to substitute for  $\frac{\partial \Psi}{\partial t}$

$$i\hbar \frac{\partial \Psi}{\partial t} = \hat{H} \Psi$$

# The Energy–Time Uncertainty Principle

$$\frac{d}{dt} \langle Q \rangle = -\frac{1}{i\hbar} \langle \hat{H}\Psi | \hat{Q}\Psi \rangle = +\frac{1}{i\hbar} \langle \Psi | \hat{Q}\hat{H}\Psi \rangle + \left\langle \frac{\partial \hat{Q}}{\partial t} \right\rangle$$

- $\hat{H}$  is hermitian, so  $\langle \hat{H}\Psi | \hat{Q}\Psi \rangle = \langle \Psi | \hat{H}\hat{Q}\Psi \rangle$

$$\frac{d}{dt} \langle Q \rangle = \frac{i}{\hbar} \langle [\hat{H}, \hat{Q}] \rangle + \left\langle \frac{\partial \hat{Q}}{\partial t} \right\rangle$$

- if  $\hat{Q}$  is time-independent, the rate of change of the expectation value is determined by the commutator of  $\hat{Q}$  with  $\hat{H}$
- $[\hat{H}, \hat{Q}] = 0 \implies \langle Q \rangle$  is constant

# The Energy–Time Uncertainty Principle

- using  $A = H$  and  $B = Q$  in the generalized uncertainty principle, and assuming  $Q$  is time-independent, we see that

$$\sigma_H^2 \sigma_Q^2 \geq \left( \frac{1}{2i} \langle [\hat{H}, \hat{Q}] \rangle \right)^2 = \left( \frac{1}{2i} \frac{\hbar}{i} \frac{d \langle Q \rangle}{dt} \right)^2 = \left( \frac{\hbar}{2} \right)^2 \left( \frac{d \langle Q \rangle}{dt} \right)^2$$

$$\sigma_H \sigma_Q \geq \frac{\hbar}{2} \left| \frac{d \langle Q \rangle}{dt} \right|$$

$$\Delta E := \sigma_H, \text{ and } \Delta t := \frac{\sigma_Q}{|d \langle Q \rangle / dt|}$$

$$\Delta E \Delta t \geq \frac{\hbar}{2}$$

# The Energy–Time Uncertainty Principle

- $\Delta t$  represents the amount of time it takes the expectation value of  $Q$  to change by one standard deviation

$$\sigma_Q = \left| \frac{d \langle Q \rangle}{dt} \right| \Delta t$$

- $\Delta t$  depends on the observable,  $Q$ , being observed
- if  $\Delta E$  is small, the rate of change for *all* observables must be small
- if *any* observable changes rapidly, the “uncertainty” in the energy must be large