

Lab Assignment 2

Heap is a data structure (Binary Tree) with the following properties.

→ It is a complete Binary Tree. All the levels except may be last level is full and last level is filled from left to right.

Implemented using arrays, linked lists etc.

Insertion in a Heap: When we insert a node in a heap, we expand the heap and then move the key up the heap to maintain heap property.

Algorithm:

```
heapInsert (A, data)
{
    heap_size = heap_size + 1
    Decrease Key (A, heap_size, data)
}

Decrease Key (A, i, data)
{
    A[i] = data
    while (i > 1 && A[parent(i)] > A[i])
    {
        exchange A[i] and A[parent(i)]
        i = parent(i);
    }
    end.
```

Time Complexity:

When a node is inserted at a level of h height.

Considering the worst case.

→ Adding a node = $O(1)$

→ Swapping the nodes (Bottom to top heapify) = $O(H)$

Total = $O(1) + O(H) = O(H)$

∵ Heap is a complete binary tree ∴ $h = \log_2(N)$ where N = Total no. of nodes

∴ Overall complexity = $O(H) = O(\log_2 N)$.

Deletion in a heap: In a heap, the element with the highest priority² (the root node) is deleted followed by the next. replace

Algorithm:

```
deleteMin (int A[])  
{  
    min = A[1];  
    A[1] = A[heap-size]  
    heap-size = heap-size - 1  
    heapify(A, 1)  
    return min  
}
```

Time complexity:

If node is to be deleted from heap of H height considering the worst case of time complexity, then:

→ Swapping root node with last element = $O(1)$
 $A[1]$ $A[\text{heap-size}]$

→ Heapify at the root node (Top to bottom heapify) = $O(H)$

→ Total complexity = $O(H+1) = O(H)$

∵ Heap is complete binary tree ∴ $H = \log_2 n$ where n is total no. of nodes

∴ Total = $O(H) = O(\log_2 n)$

Heapify: One of the important step for manipulating heap. Used when heap property is violated at i th node. In this we checked the i th node where heap property is violated. Then select the smallest child node and swap it with the i th node. Then check the violation. This is repeated till heap is created.

Algorithm:

```
heapify (A[], i)
{
```

```
    smallest = i
```

```
    l = 2 * i
```

```
    r = 2 * i + 1
```

```
    if (l ≤ heap-size and A[l] < A[smallest])
        smallest = l
```

```
    if (r ≤ heap-size and A[r] < A[smallest])
        smallest = r
```

```
    if (smallest is not i)
```

```
        Exchange A[i] with A[smallest]
```

```
        heapify (A, smallest)
```

```
    }
```

Time Complexity:

In heapify function, we walk through the tree from top to bottom.

Now, the height of binary tree = $H = \log_2 N$ where N is number of nodes.

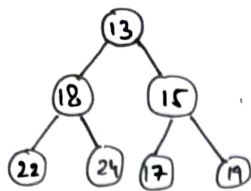
i.e. if elements are doubled, then H increases by one.

∴ Complexity = $O(H) = O(\log_2 N)$.

For best case, worst case and average case.

Min Heap: In a min-heap, the value of a particular node is smaller than or equal to the value of its children.

E.g.



Algorithm:

For insert: One by one.

```
heapInsert(A, data)
{
    heap-size = heap-size + 1
    DecreaseKey(A, heap-size, data)
}
DecreaseKey(A, i, data)
{
    A[i] = data
    while (i > 1 && A[parent(i)] > A[i])
    {
        exchange A[i] and A[parent(i)]
        i = parent(i)
    }
```

For delete: Deleting the minimum element (The root node)

```
deleteMin(int A[])
{
    min = A[1]
    A[1] = A[heap-size]
    heap-size = heap-size - 1;
    heapify(A, 1)
    return min
}
```

For heapify()

```

heapify ( A , i)
{
    smallest = i;
    l = 2 * i
    r = 2 * i + 1
    if ( l ≤ heap_size and A[l] < A[smallest] )
        smallest = l
    if ( r ≤ heap_size and A[r] < A[smallest] )
        smallest = r
    if ( smallest is not i )
        Exchange A[i] with A[smallest]
        heapify ( A , smallest )
}

```

Time complexity:

As discussed earlier:

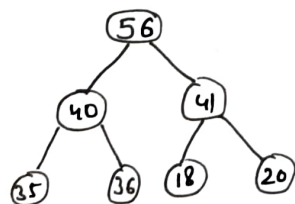
For Insert = $O(\log_2 N)$

For Delete = $O(\log_2 N)$

For Heapify = $O(\log N)$

Max Heap: In a max heap, the value of a particular node is greater than the value of their children.

E.g



Algorithm:

For insert:

Heap-Insert (A , data)

```

{
    heap_size + 1;
    DecreaseKey ( A , heap_size, data )
}

```

DecreaseKey (A , heap-size , data)

```
{  
  A[i] = data  
  while (i > 1 and A[parent(i)] < A[i]) {  
    exchange A[i] and A[parent(i)]  
    i = parent(i)  
  }  
}
```

Removal of

For delete: Maximum element of the heap (i.e. root node)

deleteMax (A)

```
{  
  max = A[1]  
  A[1] = A[heap-size]  
  heap-size--  
  heapify (A, 1)  
  return max  
}
```

For heapify:

heapify (A , i)

```
{  
  largest = i  
  l = 2 * i  
  r = 2 * i + 1  
  if (l ≤ heap-size and A[l] > A[largest])  
    smallest = l largest = l  
  if (r ≤ heap-size and A[r] > A[largest])  
    smallest = r largest = r  
  if (smallest is not i) {  
    Exchange A[i] with A[smallest]  
    heapify (A, smallest)  
  }  
}
```

Complexity:

As discussed earlier:

For insert : $O(\log_2 N)$ For one element

For delete : $O(\log_2 N)$

For heapify : $O(\log N)$

Overall insert time complexity for inserting N elements = $O(N \log_2 N)$

Heap Sort: Heap Sort is an inplace algorithm, a comparison based algorithm based on the Binary Heap. It is an unstable algorithm but can be modified to stable. In heap sort, we first create a max heap, remove elements till heap element is empty (i.e. every time we extract the maximum element).

Algorithm:

```
heapsort (A)
{
    build_MaxHeap (A)
    for (i = n ; i >= 2 ; i++)
    {
        ① exchange A[1] and A[i]
        ② heap-size - = 1
        ● heapify (A, 1)
    }
}
```

Time Complexity:

For heapify function, the worst case time complexity is $O(\log n)$

Now the for loop runs for $n-1$ times

consider ①, ②, ● Time complexity

= $O(\text{constant})$

∴ Total time complexity :

$$(n - 1)(\log_2 n + c)$$

$$= O(n \log n)$$

Priority Queue: Priority Queue is a special queue data structure where every element ~~has~~ ^{is} associated with a priority.

2 types: Ascending Priority Queue: The element with smallest value has highest priority

Descending Priority Queue: The element with largest value has highest priority.

3 operations: Enqueue(): Insert an element in queue

Dequeue(): Remove element of the highest priority

Peek(): Return the element of highest priority.

can be implemented using array, heaps, linked list and BST.

Algorithm:

1. For enqueue:

```

Enqueue(A, data)
{
    if (heap_size == 0) {
        heap_size ++
        A[heap_size] = data
    }
    else
    {
        array[heap_size ++] = data
        for (i = size/2 down to 1)
            heapify(A, i)
    }
}

```

2. For dequeue: Basically refers to removing the maximum or minimum element (Highest priority)


```

for (i = 1 to heap-size) {
    if (data == A[i])
        break;
}

```

```

Exchange A[i] with A[heap-size]
heap-size -- 1
for (i = heap-size / 2 down to 1)
    heapify(A, i)
}

```

3. For peek(): Returning the element of highest priority (Maximum element in Max Heap, minimum element in min heap)

```

peek(A[]) {
    return A[1]
}

```

Time Complexity:

For insertion: $O(\log n)$

For deletion: $O(\log n)$

For peek: $O(1)$