

# The Countability Axioms

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## 1 Introduction and Relevant Theorems

**Definition** (First Countability Axiom). *A space  $X$  is said to have a **countable basis at  $x$**  if there is a countable collection  $\mathcal{B}$  of neighborhoods of  $x$  such that each neighborhood of  $x$  contains at least one of the elements of  $\mathcal{B}$ .*

*A space that has a countable basis at each of its points is said to satisfy the **first countability axiom**, or to be **first-countable**.*

**Theorem.** *Let  $X$  be a topological space.*

- a) Let  $A$  be a subset of  $X$ . If there is a sequence of points of  $A$  converging to  $x$ , then  $x \in \overline{A}$ ; the converse holds if  $X$  is first-countable.*
- b) let  $f: X \rightarrow Y$ . If  $f$  is continuous, then for every convergent sequence  $x_n \rightarrow x$  in  $X$ , the function  $f(x_n)$  converges to  $f(x)$ . The converse holds if  $X$  is first-countable.*

**Definition** (Second Countability Axiom). *If a space  $X$  has a countable basis for its topology, then  $X$  is said to satisfy the **second countability axiom**, or to be **second-countable**.*

**Motivation:** A topology on a space can have multiple bases of various sizes. We want to settle the size of a basis.

## 2 Examples

**Example.**  $\mathbb{R}^\omega$  is first-countable but not second-countable.

*Note: this should illustrate the difference between having a countable basis (second-countable) and having a countable basis at each of its points (first-countable).*

**Lemma.** *If  $X$  is a space having a countable basis  $B$ , then any discrete subspace  $A$  of  $X$  must be countable.*

*Proof.* Choose, for each  $a \in A$ , a basis element  $B_a$  that intersects  $A$  in the point  $a$  alone.

Then the map  $a \mapsto B_a$  is injective; (as if  $a \neq b$ , the sets  $B_a$  and  $B_b$  are disjoint). It follows that  $A$  must be countable.  $\square$

*Proof of Example.* First, note that  $\mathbb{R}^\omega$  satisfies first countability axiom, as it is metrizable.

We will show that it is not second-countable. Consider the subspace  $A$  of  $\mathbb{R}^\omega$  consisting of all sequences of 0's and 1's; this subspace is uncountable.

Furthermore, this space has the discrete topology as for any distinct  $x, y \in A$ ,  $\bar{\rho}(x, y) = 1$ . By the above lemma, since  $A$  is uncountable, it follows that  $\mathbb{R}^\omega$  cannot have a countable basis, so it is not second-countable.  $\square$

**Example.**  $\mathbb{R}^n$  is second-countable.

*Proof.* We use the fact  $\mathbb{Q}$  is dense in  $\mathbb{R}$  (i.e.  $\overline{\mathbb{Q}} = \mathbb{R}$ ).

$$\begin{aligned}\mathbb{B}_1 &= \{B_r(x) \mid x \in \mathbb{R}^n, r \in \mathbb{R}^+\} \\ \mathbb{B}_2 &= \{B_q(x) \mid x \in \mathbb{Q}^n, q \in \mathbb{Q}^+\}\end{aligned}$$

We want to show  $\mathbb{B}_2$  is also a basis for  $\mathbb{R}^n$ . Let  $U$  be an open set of  $\mathbb{R}^n$ , then for all  $u \in U$ , there exists some  $r \in \mathbb{R}^+$  such that  $u \in B_r(u) \subseteq U$ . By a version of the Archimedean Property, there exists some  $q \in \mathbb{Q}$  such that  $q \leq r$ .

Recall that  $u \in \overline{\mathbb{Q}^n}$  if and only if every open set  $U$  containing  $u$  intersects  $\mathbb{Q}^n$  (Theorem 17.5a), so there exists  $p \in \mathbb{Q}^n$  such that  $d(u, p) < q/2$ . We claim

$$u \in B_{q/2}(p) \subseteq B_r(u) \subseteq U, \text{ and } \bigcup \mathbb{B}_2 = U.$$

$\square$