

CS 33 Note Guide

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SECTION 1: BITS AND BYTES

Representing Information as Bits

- Everything is Bits
 - Everything (data, instruction, storage, flow of storage) all in bits
 - Each bit is a 0 or 1 (ie. on or off state, really is high/low voltage)
 - Data & instructions encoded into bits
 - Why bits?
 - Electronic implementation of computer, are other implementations but focus on binary here
- What can/will we do?
 - Base 2 Number Representation
 - Rather than ones, tens, hundreds places, use ones, twos, fours, eights, etc.
 - Encoding Byte Values
 - Eight bits per byte, cluster of bits representing byte can represent:
 - Binary (00000000_2 to 11111111_2)
 - Decimal (0_{10} to 255_{10})
 - Hexadecimal (00_{16} to FF_{16})
 - Use characters 0 to 9 and A to F (A is 10, B is 12, etc.)
 - One hex digit represents four binary bits
 - Memory dumps in hex: relate address to contents at address in hex (16 pairs of hex digits, represents 16 bytes)
 - Addresses go up by 16 each time (0020 to 0030) for all 16 bytes
 - Specific bytes in each row addressed in ones place (0014)
- Bits don't only represent values; can represent characters (ASCII)
 - Depends on how interpreted; can be interpreted as digit or char
 - Normally, chosen using data types to understand

C Data Type	Typical 32-bit	Typical 64-bit	x86-64
char	1	1	1
short	2	2	2
int	4	4	4
long	4	8	8
float	4	4	4
double	8	8	8
long double	-	-	10/16
pointer	4	8	8

Bit-Level Manipulations

- Use boolean algebra, perform operations on the bit level
 - Operate on Bit Vectors
 - Operations applied bitwise (last bit in byte run through first bit)
 - and (&) or (|) xor (~) not (^)
 - Can apply these to any integral data type
 - long, int, short, char, unsigned
 - Contrast: DON't CONFUSE WITH LOGIC OPERATIONS
 - &&, ||, !
 - Return only a 0 or 1 (0x00, 0x01)
 - Shift Operations
 - Left Shift: $x \ll y$
 - Shift bit vector x left y positions, throw away extra left bits and fill 0's on right
 - Right Shift: $x \gg y$
 - Shift bit vector x right y positions, throw away extra right bits and:
 - Logical shift: fill 0's on left
 - Arithmetic shift: replicate most significant (leftmost) bit

Argument x	01100010
$\ll 3$	00010000
Log. $\gg 2$	00011000
Arith. $\gg 2$	00011000

Argument x	10100010
$\ll 3$	00010000
Log. $\gg 2$	00101000
Arith. $\gg 2$	11101000

SECTION 2: INTEGERS

Encoding Integers

- Binary Form: Have a set of bits, 8 bits makes a byte
 - Goes up by power of 2 each time; base 2 set, normal is base 10
 - 00101010 is $2+8+32+128 = 170$
 - Two's Complement Form: Allows for negatives. Most significant bit is negative, everything less significant is positive. 10001001 is $1+16-256 = -239$
- Hexadecimal Form: Same, but base 16; uses 0-9 and A-F
 - 2 places in hex make up an entire byte
- Numeric Ranges
 - UMin to UMax
 - 00000000 00000000 to 11111111 11111111
 - 0 to 65535
 - TMin to TMax
 - 10000000 00000000 to 01111111 11111111
 - -32768 to 32767
 - Observations:
 - $|TMin| = TMax + 1$
 - $UMax = 2 * TMax + 1$

Conversion & Casting

- Pos to pos is fine, as long as no 1 in most significant for unsigned. So can't do U2T or T2U if 1 in most significant; either too large of a positive (U2T) or neg to pos (T2U)
- Constants
 - By default, integers are signed
 - Unsigned if add "U" as a suffice, ie. 100U
- Casting
 - Explicit Casting: Same as U2T and T2U
 - `int tx, ty;`
`unsigned ux, uy`
`tx = (int) ux;`
`uy = (unsigned) ty;`
 - Implicit Casting: Occurs via assignments and procedure calls
 - `tx = ux;`
`uy = ty;`
 - Casting Surprises
 - Expression Evaluation
 - If there is a mix, signed are implicitly cast to unsigned

Ex: For W = 32 (TMin = -2,147,483,648; TMax = 2,147,483,647)

Constant ₁	Constant ₂	Relation	Evaluation
0	0U	==	unsigned
-1	0	<	signed
-1	0U	>	unsigned
2147483647	-2147483647-1	>	signed
2147483647U	-2147483647-1	<	unsigned
-1	-2	>	signed
(unsigned)-1	-2	>	unsigned
2147483647	2147483648U	<	unsigned
2147483647	(int) 2147483648U	>	signed

Expanding & Truncating

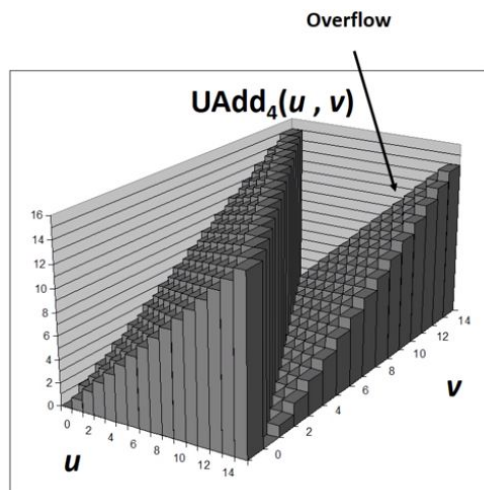
- Sign Extension (Expanding, short int to int)
 - Unsigned: Add 0's to the left side
 - Signed: Add the bits to the left side, replicating most significant bit

	Decimal	Hex	Binary
x	15213	3B 6D	00111011 01101101
ix	15213	00 00 3B 6D	00000000 00000000 00111011 01101101
y	-15213	C4 93	11000100 10010011
iy	-15213	FF FF C4 93	11111111 11111111 11000100 10010011

- Truncating (unsigned to unsigned short)
 - For any, bits truncated and result reinterpreted
 - Unsigned: Equivalent modulo operation with most significant bit truncating to
 - 32 bit to 16 bit is done by essentially doing $\text{val} \% 16$
 - Signed is similar, but some diffs because of negative values

Addition, Negation, Multiplication, Shifting

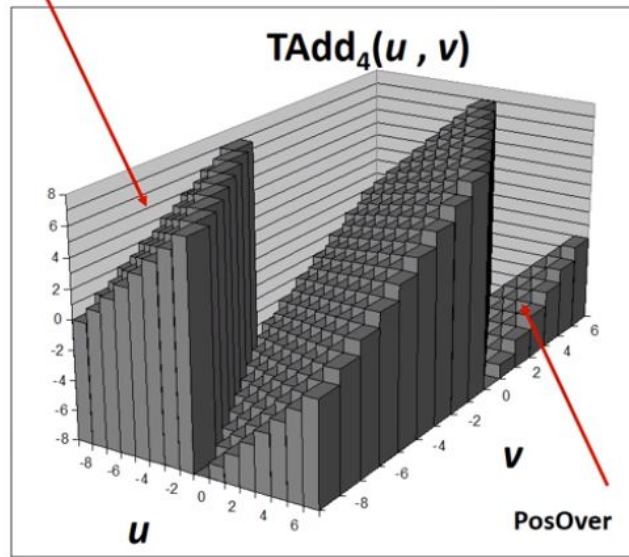
- Addition/Subtraction
 - If adding two operands with w bits, result would be w+1 bits (True Sum)
 - Standard addition ignores carry output
 - $\text{UAdd}(u,v) = u + v \bmod 2^w$



For a 4 bit unsigned integer, max is 15; here we see $u + v \bmod 16$

- For signed integers, will overflow in both positive and negative directions
 - Can only overflow once per operation

NegOver



For a 4 bit signed integer, min is -8 & max is 7; this displays expected behavior

- Multiplication
 - Number of Bits
 - Unsigned: Would need up to $2w$ bits (True Product)
 - Two's Complement min (neg): Up to $2w-1$ bits (True Product)
 - Two's Complement max (pos): Up to $2w$ bits (True Product)
 - Normally don't expand, but if done in software use "arbitrary precision"
 - Unsigned Multiplication
 - At most $2w$ with arbitrary precision, w without
 - Standard ignores high order w bits, modular arithmetic: $u * v \bmod 2^w$
 - Signed Multiplication
 - At most $2w$ bits with arbitrary precision, w without
 - Standard ignores high order w bits, can cause overflow
 - Power-of-2 Multiply with Shift
 - Multiplication very expensive, cheaper to shift
 - $u \ll k$ give $u * 2^k$
 - Both signed and unsigned
 - Strength reduction switches to shifts and adding/subtracting
 - Ex: $u \ll 3 == u * 8$
 - Ex: $(u \ll 5) - (u \ll 3) == u * 24$
- Division
 - Very similar in method but no potential for overflow
 - Floor Function: performs via right shift, so only int values maintained
 - Ex: $11 \gg 1 == 11 / 2 == 5$

When to use Unsigned

- Very easy to make mistakes and go out of range
- Also may accidentally compare an int to unsigned, now unsigned result
- So why should you?
 - When Performing Modular Arithmetic
 - Multiprecision arithmetic
 - When Using Bits to Represent Sets
 - Logical right shift, no sign extension

Representation in Memory, Pointers, & Strings

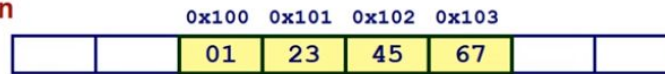
- Programs refer to data by address; bytes
 - Can conceptually imagine as a large array of bytes
 - An address is like an index of that array and a pointer stores an address
- System provides private address spaces to each “process”
 - Process is program being executed
 - Keeps it so program cannot modify data of other programs
- Machine Words
 - Any given computer has a “Word Size”
 - Nominal size of integer-valued data and of addresses (64-bit, 32-bit)
 - Most machines ran 32 bits (4 bytes) as word size
 - Limits addresses to 4GB (2^{32} bytes)
 - Increasingly today, we run 64-bit word size
 - Potentially 18 EB (exabytes) of addressable memory
 - $18.4 * 10^{18}$ bytes
 - Bits are all contiguous (one after another)
 - Machines still support multiple data formats

32-bit Words	64-bit Words	Bytes	Addr.
Addr = 0000			0000
			0001
			0002
			0003
	Addr = 0000		0004
			0005
			0006
			0007
			0008
Addr = 0004			0009
			0010
			0011
			0012
	Addr = 0008		0013
			0014
Addr = 0012			0015

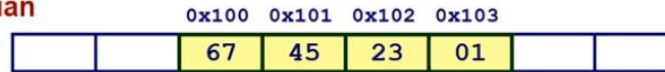
Byte Ordering

- Bytes are ordered in their multi-byte words in two conventional ways
 - Big Endian: Sun, PPC Mac, Internet
 - Least significant byte has highest address
 - The way you would think of it
 - Little Endian: x86, ARM processors running Android, iOS, Windows
 - Least significant byte has lowest address
 - Intuitively backwards by byte

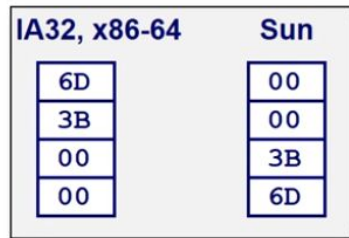
Big Endian



Little Endian



`int A = 15213;`

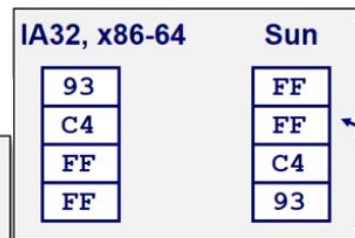


Decimal: 15213

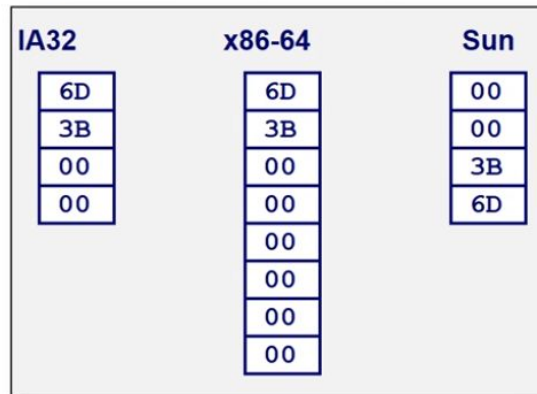
Binary: 0011 1011 0110 1101

Hex: 3 B 6 D

`int B = -15213;`



`long int C = 15213;`



- Strings
 - Strings are stored the same in both big-endian and little-endian
 - `str[0]` always at lowest address, `str[i]` always at `str + i` address
 - Each element in the array is stored according to its endian-ness
 - Represented as an array of characters, each in ASCII format
 - Should be null-terminated (ie. final character = 0)

Integer C Puzzles

- if $x < 0$, then $x * 2 < 0$

Initialization

```
int x = foo();  
int y = bar();  
unsigned ux = x;  
unsigned uy = y;
```

If $x < 0$, then $x * 2 < 0$	False, could overflow
$ux \geq 0$	True
If $x \& 7 == 7$, then $(x \ll 30) < 0$	True
$ux > -1$	False, unsigned comparison
If $x > y$, then $-x < -y$	False, if y is TMin then $-y$ is TMin again because $-x = \sim x + 1$
$x * x > 0$	False, can overload
If $x > 0 \&\& y > 0$, then $x + y > 0$	False, overload
If $x \geq 0$, then $-x \leq 0$	True
If $x \leq 0$, then $-x \geq 0$	False, TMin
$x \mid -x \gg 31 == -1$	False, 0
$ux \gg 3 == ux/8$	True
$x \gg 3 == x/8$	False, negative rounds down
$x \& (x - 1) != 0$	False, 0

SECTION 3: MACHINE LEVEL PROGRAMMING

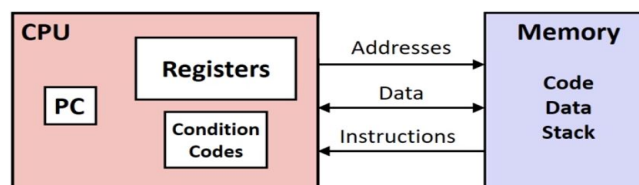
PART 1: BASICS

History of Intel Processors & Architectures

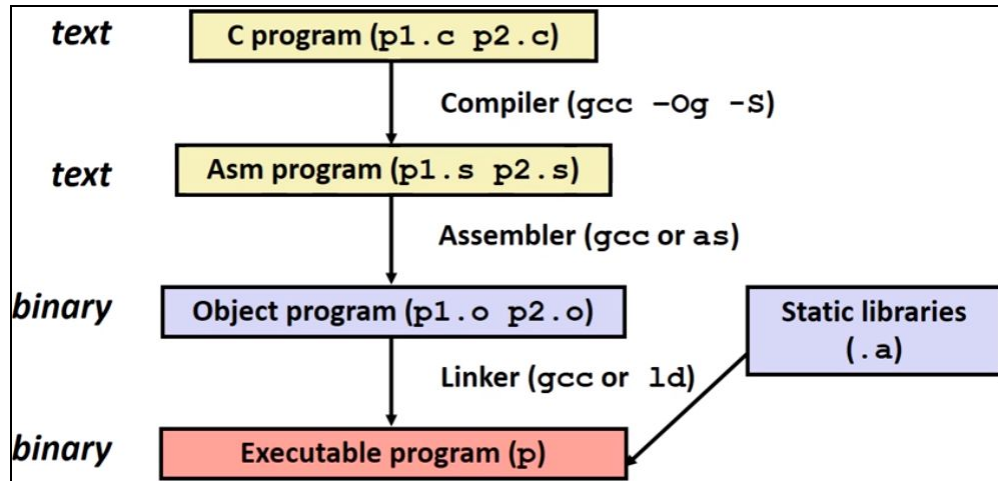
- Intel x86 Processors
 - Evolutionary Design
 - Backwards compatible up until 8086, introduced in 1978
 - Added features as time has gone on, improved speed and efficiency
 - Complex Instruction Set Computer (CISC)
 - Many different instructions with diff formats
 - Only small subset encountered with Linux programs
 - Hard to match performance of Reduced Instruction Set Computers (RISC)
 - Intel has done this in terms of speed, now as much for low power

C, Assembly, & Machine Code

- Definitions
 - Instruction Set Architecture (ISA): Parts of a processor design that one needs to understand to write assembly/machine code
 - Memory (place for values)
 - Instructions (moves from one state to the next)
 - Program (set of instructions; put in memory)
 - Execution Model (when do we execute each instruction)
 - Microarchitecture: Implementation of the architecture
 - Cache sizes, core frequency
 - Code Forms
 - Machine Code: Byte-level programs that a processor executes
 - Assembly Code: A text representation of machine code
- Assembly/Machine Code View
 - Programmer-Visible State
 - PC: Program Counter
 - Address of next instruction
 - Called RIP, IP meaning instruction pointer (x86-64)
 - Register File
 - Heavily used program data
 - Condition Codes
 - Store status information about most recent arithmetic/logical operation
 - Used for conditional branching
 - Memory
 - Byte addressable array
 - Code (individual instructions) and user data sections
 - Stack to support procedures



- Turning C into Object Code
 - Code in text files
 - Compile using “gcc -Og -s p1.c p2.c -o p”
 - Use basic optimizations (-Og) (turns off advanced optimizations, allows assembly program to look more like C for debugging purposes)
 - -s creates assembly program text
 - Then machine-readable binary is created (object program)
 - Object files are combined to make complete machine level code
 - Put resulting binary in file *p*



- Assembly Characteristics: Data Types
 - “Integer” data of 1, 2, 4, 8 bytes
 - Data values
 - Addresses (untyped pointers)
 - Floating point data of 4, 8, 10 bytes
 - Code: Byte sequences encoding series of instructions
 - CISC type, so may have instructions made up of single bytes and others of multiple
 - No aggregate types such as arrays or structures
 - Just contiguously allocated bytes in memory
- Assembly Characteristics: Operations
 - Perform arithmetic function on register data, memory data, or literals (constants parts of individual instruction)
 - Transfer data between memory and register
 - Load data into memory from register
 - Store register data into memory
 - Transfer Control
 - Unconditional jumps to/from procedures
 - Conditional branches

- Object Code
 - Assembler
 - Translates .s into .o
 - Binary encoding of each instruction
 - Nearly-complete image of executable code
 - Missing linkages between code in diff files
 - Linker
 - Resolves references between files
 - Combines with static run-time libraries
 - eg. code for malloc, printf
 - Some libraries are dynamically linked
 - Linking occurs when program begins execution
- Machine Instruction Example

```
*dest = t;
```

- C Code

- Store value t where designated by dest

```
movq %rax, (%rbx)
```

- Assembly

- Move 8-byte value to memory (make copy of location)
 - Quad words in x86-64 parlance
- Operands
 - t: Register %rax
 - dest: Register %rbx
 - *dest: Memory M[%rbx]
- rax is t, dest is an address, rbx holds address of dest
- Parentheses indicate dereferencing of the pointer

```
0x40059e: 48 89 03
```

- Disassembling Object Code
 - Disassembler:
 - objdump -d sum
 - Useful for examining object code
 - Analyzes bit pattern of series of instructions
 - Produces approximate rendition of assembly code
 - Can be run on either a.out (complete executable) or .o file
 - Within gdb Debugger:
 - gdb sum
 - disassemble sumstore
 - Disassemble procedure, doesn't show individual bytes
 - x/14xb sumstore (x is examine, / is formatter: 14 bytes in hexadecimal (x) in terms of bytes (b))
 - Examine the 14 bytes starting at sumstore
- What Can be Disassembled
 - Technically anything, but things like Microsoft products would break EULA

Assembly Basics: Registers, Operands, and Move

x86-64 Integer Registers

%rax	%eax	%r8	%r8d
%rbx	%ebx	%r9	%r9d
%rcx	%ecx	%r10	%r10d
%rdx	%edx	%r11	%r11d
%rsi	%esi	%r12	%r12d
%rdi	%edi	%r13	%r13d
%rsp	%esp	%r14	%r14d
%rbp	%ebp	%r15	%r15d

In 32 bit systems, use the grey 4 byte registers (lower 4). In 64 bit systems, use the entire 8 bytes. Can still access individual 4 byte registers if necessary

- Most are general purpose registers
- %rsp is a stack pointer, will focus on it later
- Some History: IA32 Registers
 - Each of the 8 byte registers also had 16 bit system 4 byte registers
 - 64 bit rax has 32 bit eax has 16 bit ax has two 8 bit ah and al
 - Keep these 16-bit virtual registers for backwards compatibility

general purpose	%eax	%ax	%ah	%al
	%ecx	%cx	%ch	%cl
	%edx	%dx	%dh	%dl
	%ebx	%bx	%bh	%bl
	%esi	%si		
	%edi	%di		
	%esp	%sp		
	%ebp	%bp		

- Moving Data

`movq source,destination`

- Move 16 bit quantities

- Operand Types

- Immediate (aka a literal): Constant integer data, part of the instruction

- Example: `$0x400`, `%-533`
- Like C constant, but prefixed with '\$'
- Encoded with 1, 2, or 4 bytes

- Register: One of 16 integer registers

- Example: `%rax`, `%r13`
- But `%rsp` for special use
- Others have special uses for particular instructions

- Memory: 8 consecutive bytes of memory at address given by register

- Simplest example: `(%rax)`
 - Two levels of indirection: indicates register file, so first there, then use 64-bit value in `%rax` as address into memory and pull out 8 consecutive bytes
- Various other "address modes"

	Source	Dest	Src, Dest	C Analog
movq	Imm	Reg	<code>movq \$0x4,%rax</code>	<code>temp = 0x4;</code>
		Mem	<code>movq \$-147, (%rax)</code>	<code>*p = -147;</code>
	Reg	Reg	<code>movq %rax,%rdx</code>	<code>temp2 = temp1;</code>
		Mem	<code>movq %rax, (%rdx)</code>	<code>*p = temp;</code>
	Mem	Reg	<code>movq (%rax), %rdx</code>	<code>temp = *p;</code>

Cannot do memory-memory transfer with a single instruction

- Simple Memory Addressing Modes

- Normal: `(R) ---> Mem[Reg[R]]`

`movq (%rcx),%rax`

- Take value in memory `%rcx` and place value into register `%rax`

- Displacement: `D(R) ---> Mem[Reg[R]+D]`

`movq 8(%rbp),%rdx`

- Take value in memory `%rbp`, add 8, place value into `%rdx`
- Register R specifies start of memory region
- Constant displacement D specifies offset

- Example: Swap Function

```
void swap
(long *xp, long *yp)
{
    long t0 = *xp;
    long t1 = *yp;
    *xp = t1;
    *yp = t0;
}
```

```
swap:
    movq    (%rdi), %rax
    movq    (%rsi), %rdx
    movq    %rdx, (%rdi)
    movq    %rax, (%rsi)
    ret
```

- Complete Memory Addressing Modes

- Most General Form

$D(Rb, Ri, S) \rightarrow Mem[Reg[Rb] + S * Reg[Ri] + D]$

- D: Constant "displacement"; 1, 2, or 4 bytes
- Rb: Base register - Any of 16 integer registers
- Ri: Index register - Any, except %rsp
- S: Scale - 1, 2, 4, 8 (power of 2, easy to perform multiplication with shifting)

- Special Cases

$(Rb, Ri) \rightarrow Mem[Reg[Rb] + Reg[Ri]]$

$D(Rb, Ri) \rightarrow Mem[Reg[Rb] + Reg[Ri] + D]$

$(Rb, Ri, S) \rightarrow Mem[Reg[Rb] + S * Reg[Ri]]$

Examples:

%rdx	0xf000	Expression	Address Computation	Address
%rcx	0x0100	0x8(%rdx)	0xf000 + 0x8	0xf008
		(%rdx,%rcx)	0xf000 + 0x100	0xf100
		(%rdx,%rcx,4)	0xf000 + 4*0x100	0xf400
		0x80(,%rdx,2)	2*0xf000 + 0x80	0x1e080

Arithmetic & Logical Operations

- Address Computation Instruction

`leaq Source, Destination`

- Source is address mode expression
- Set Destination to address denoted by expression

- Difference with move: memory is not dereferenced in `leaq`

- Uses

- Computing addresses without a memory reference
 - ie. translation of `p = &x[i];`
- Computing arithmetic expressions of the form `x + k*y`
 - `k = 1, 2, 4, or 8`

```
long m12(long x)
{
    return x*12;
}
```

Converted to ASM by compiler:

```
leaq (%rdi,%rdi,2), %rax # t <- x+x*2
salq $2, %rax           # return t<<2
```

- Some Arithmetic Operations (-q suffix bc 64-bit values)

Format	Computation
addq Src, Dest	Dest = Dest + Src
subq Src, Dest	Dest = Dest - Src
imulq Src, Dest	Dest = Dest * Src
salq Src, Dest	Dest = Dest << Src
sarq Src, Dest	Dest = Dest >> Src
xorq Src, Dest	Dest = Dest ^ Src
andq Src, Dest	Dest = Dest & Src
orq Src, Dest	Dest = Dest Src
incq Dest	Dest = Dest + 1
decq Dest	Dest = Dest - 1
negq Dest	Dest = -Dest
notq Dest	Dest = ~Dest

Important Review

```
long arith
(long x, long y, long z)
{
    long t1 = x+y;
    long t2 = z+t1;
    long t3 = x+4;
    long t4 = y * 48;
    long t5 = t3 + t4;
    long rval = t2 * t5;
    return rval;
}
```

```
arith:
    leaq    (%rdi,%rsi), %rax    # t1
    addq    %rdx, %rax          # t2
    leaq    (%rsi,%rsi,2), %rdx
    salq    $4, %rdx            # t4
    leaq    4(%rdi,%rdx), %rcx   # t5
    imulq   %rcx, %rax          # rval
    ret
```

Register	Use(s)
%rdi	Argument x
%rsi	Argument y
%rdx	Argument z
%rax	t1, t2, rval
%rdx	t4
%rcx	t5

SECTION 3: MACHINE LEVEL PROGRAMMING

PART 2: CONTROL

Control: Condition Codes

- Implicit Setting
 - Single Bit Registers
 - CF: Carry Flag (for unsigned)
 - SF: Sign Flag (for signed)
 - ZF: Zero Flag
 - OF: Overflow Flag
 - Implicitly Set (think of as side effect) by arithmetic operations
 - Ex: `addq Src, Dest <--> t = a+b`
 - CF set if carry out from most significant bit (unsigned overflow)
 - ZF set if `t == 0`
 - SF set if `t < 0` (as signed)
 - OF set if two's complement (signed) overflow
`(a>0 && b>0 && t<0) || (a<0 && b<0 && t>0)`
 - Not set by `leaq` instruction
 - Does not use memory dereferencing OR condition codes
- Explicit Setting: Compare
 - (`cmpq b, a`) like computing `(a-b)` without setting destination
 - CF set if carry out from most significant bit (used for unsigned comparison)
 - ZF set if `a == b`
 - SF set if `(a-b) < 0` (as signed)
 - OF set if two's complement (signed) overflow
`(a>0 && b>0 && (a-b)<0) || (a<0 && b<0 && (a-b)>0)`
- Explicit Setting: Test
 - (`testq b, a`) like computing `(a&b)` without setting destination
 - Sets condition codes based on value of `Src1` & `Src2`
 - ZF set if `a&b == 0`
 - SF set if `a&b < 0`
 - Useful to have one of the operands be a mask
- Reading Condition Codes: SetX Instructions
 - Set low-order byte of destination to 0 or 1 based on combinations of condition codes
 - Does not alter remaining 7 bytes

SetX	Condition	Description
<code>sete</code>	ZF	Equal / Zero
<code>setne</code>	\sim ZF	Not Equal / Not Zero
<code>sets</code>	SF	Negative
<code>setns</code>	\sim SF	Nonnegative
<code>setg</code>	$\sim (SF \wedge OF) \wedge \sim ZF$	Greater (Signed)
<code>setge</code>	$\sim (SF \wedge OF)$	Greater or Equal (Signed)
<code>setl</code>	$(SF \wedge OF)$	Less (Signed)
<code>setle</code>	$(SF \wedge OF) ZF$	Less or Equal (Signed)
<code>seta</code>	$\sim CF \wedge \sim ZF$	Above (unsigned)
<code>setb</code>	CF	Below (unsigned)

- One of addressable byte registers
 - Does not alter remaining bytes
 - Typically use movzbl to finish job
 - 'z' means to pad the rest with 0, if did 's' would pad with sign extension
 - 32-bit instructions also set upper 32 bits to 0

```
int gt (long x, long y)
{
    return x > y;
}
```

Register	Use(s)
%rdi	Argument x
%rsi	Argument y
%rax	Return value

```
cmpq    %rsi, %rdi    # Compare x:y
setg    %al           # Set when >
movzbl  %al, %eax     # Zero rest of %rax
ret
```

Conditional Branches

- Jumping
 - jX Instructions: Jump to diff part of code depending on condition codes

jX	Condition	Description
jmp	1	Unconditional
je	ZF	Equal / Zero
jne	~ZF	Not Equal / Not Zero
js	SF	Negative
jns	~SF	Nonnegative
jg	~(SF^OF) & ~ZF	Greater (Signed)
jge	~(SF^OF)	Greater or Equal (Signed)
jl	(SF^OF)	Less (Signed)
jle	(SF^OF) ZF	Less or Equal (Signed)
ja	~CF & ~ZF	Above (unsigned)
jb	CF	Below (unsigned)

- General Conditional Expression Translation (Using Branches)

C Code:

```
val = Test ? Then_Expr : Else_Expr
```

```
Ex) val = x>y ? x-y : y-x;
```

Using goto:

```
ntest = !Test;
if (ntest) goto Else;
val = Then_Expr;
goto Done;
Else:
    val = Else_Expr;
Done:
    . . .
```

- Using Conditional Moves
 - Will do move, but only if a test passes
 - Useful bc avoids need to branch, or change, instruction flow in any way
 - Checks for data value written rather than conditional branch, which checks whether an instruction pointer is changed
 - Why?
 - Processors consist of pipelines
 - In order to keep efficient, continuously feed to pipeline
 - Conditional branches need to be evaluated before they're fed
 - Conditional moves don't require control transfer

Using goto:

```
result = Then_Expr;
eval = Else_Expr;
nt = !Test;
if (nt) result = eval;
return result;
```

Conditional Move Example

```
long absdiff
(long x, long y)
{
    long result;
    if (x > y)
        result = x-y;
    else
        result = y-x;
    return result;
}
```

Register	Use(s)
%rdi	Argument x
%rsi	Argument y
%rax	Return value

```
absdiff:
    movq    %rdi, %rax    # x
    subq    %rsi, %rax    # result = x-y
    movq    %rsi, %rdx
    subq    %rdi, %rdx    # eval = y-x
    cmpq    %rsi, %rdi    # x:y
    cmovle  %rdx, %rax    # if <=, result = eval
    ret
```

- Bad Cases for Conditional Move
 - Expensive Computations


```
val = Test(x) ? Hard1(x) : Hard2(x);
```

 - Both values computed
 - Only makes sense when computations very simple
 - Risky Computations


```
val = p ? *p : 0;
```

 - Both values computed, would try to dereference p even if p is null
 - May have undesirable results
 - Computations with Side Effects


```
val = x>0 ? x*=7 : x+=3
```

 - Both values get computed, both will be computed even though only want one
 - Must be side-effect free

Loops

- Do-While

C Code

```
long pcount_do
(unsigned long x) {
    long result = 0;
    do {
        result += x & 0x1;
        x >>= 1;
    } while (x);
    return result;
}
```

Goto Version

```
long pcount_goto
(unsigned long x) {
    long result = 0;
loop:
    result += x & 0x1;
    x >>= 1;
    if(x) goto loop;
    return result;
}
```

Example:

Register	Use(s)
%rdi	Argument <i>x</i>
%rax	result

```
    movl    $0, %eax    # result = 0
.L2:                                # loop:
    movq    %rdi, %rdx
    andl    $1, %edx    # t = x & 0x1
    addq    %rdx, %rax  # result += t
    shrq    %rdi        # x >>= 1
    jne     .L2         # if (x) goto loop
    rep; ret
```

- While (Without Optimization, used with -Og):

While version

```
while (Test)
    Body
```



Goto Version

```
goto test;
loop:
    Body
test:
    if (Test)
        goto loop;
done:
```

- While (Optimized, used with -O1)

While version

```
while (Test)
    Body
```



Do-While Version

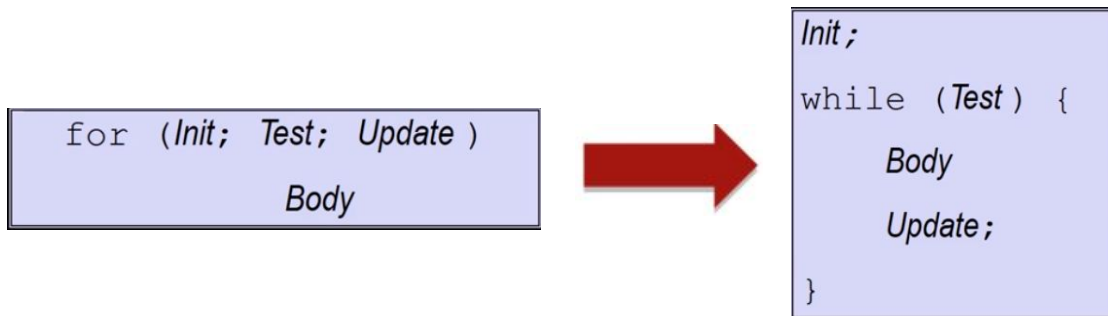
```
if (!Test)
    goto done;
do
    Body
    while (Test) ;
done:
```



Goto Version

```
if (!Test)
    goto done;
loop:
    Body
    if (Test)
        goto loop;
done:
```

- For
 - Use For-While Conversion



Switch Statements

- Jump Table Structure
 - Switch statements are implemented with jump tables

Switch Form

```
switch(x) {
  case val_0:
    Block 0
  case val_1:
    Block 1
    . . .
  case val_n-1:
    Block n-1
}
```

Jump Table

jtab:	Targ0
	Targ1
	Targ2
	⋮
	Targn-1

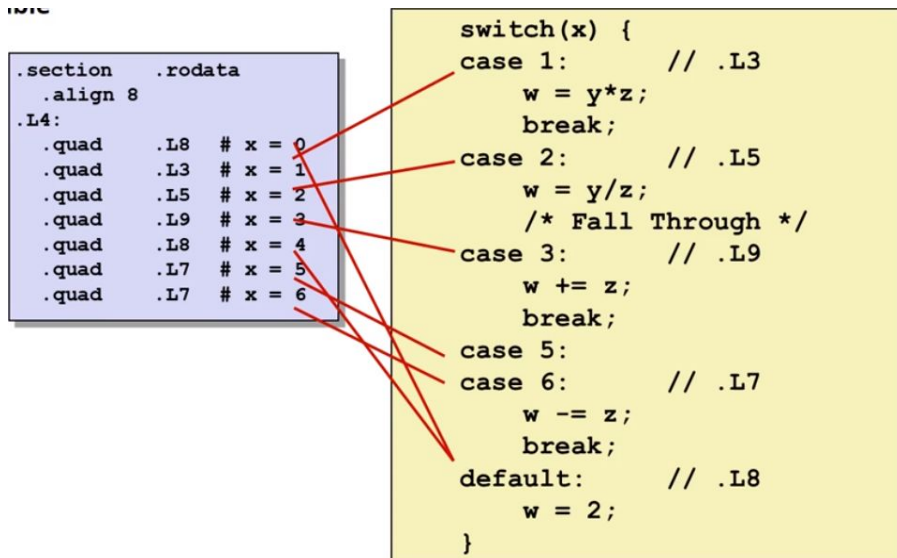
Jump Targets

Targ0:	Code Block 0
Targ1:	Code Block 1
Targ2:	Code Block 2
	⋮
Targn-1:	Code Block n-1

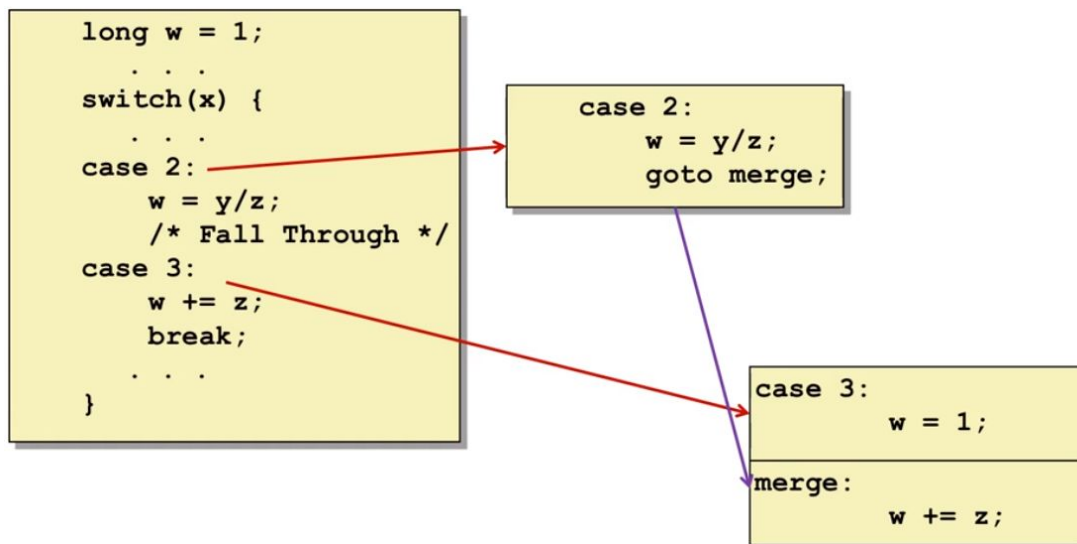
Translation (Extended C)

```
goto *JTab[x];
```

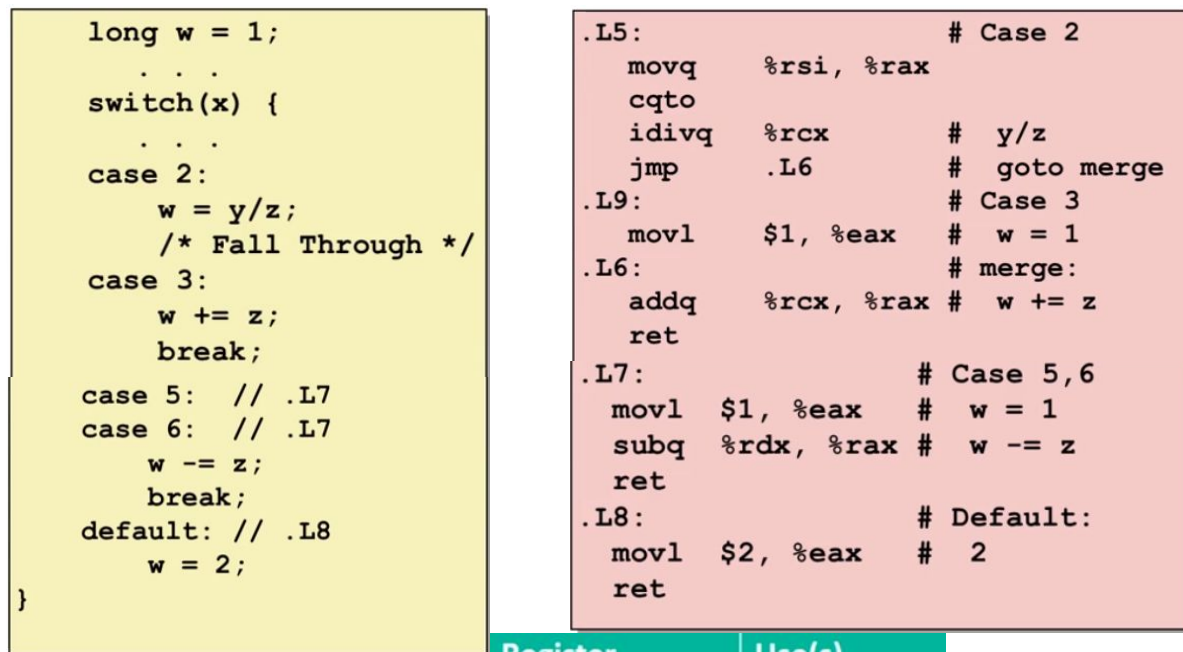
Example Jump Table:



- When needs to carry through, will use a merge



Complete Example



Register	Use(s)
%rdi	Argument x
%rsi	Argument y
%rdx	Argument z
%rax	Return value

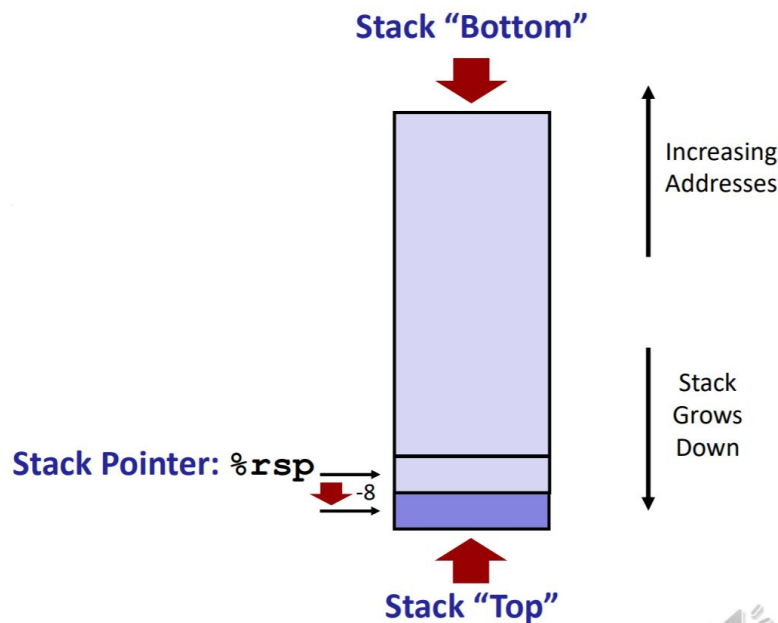
SECTION 3: MACHINE LEVEL PROGRAMMING

PART 3: PROCEDURES

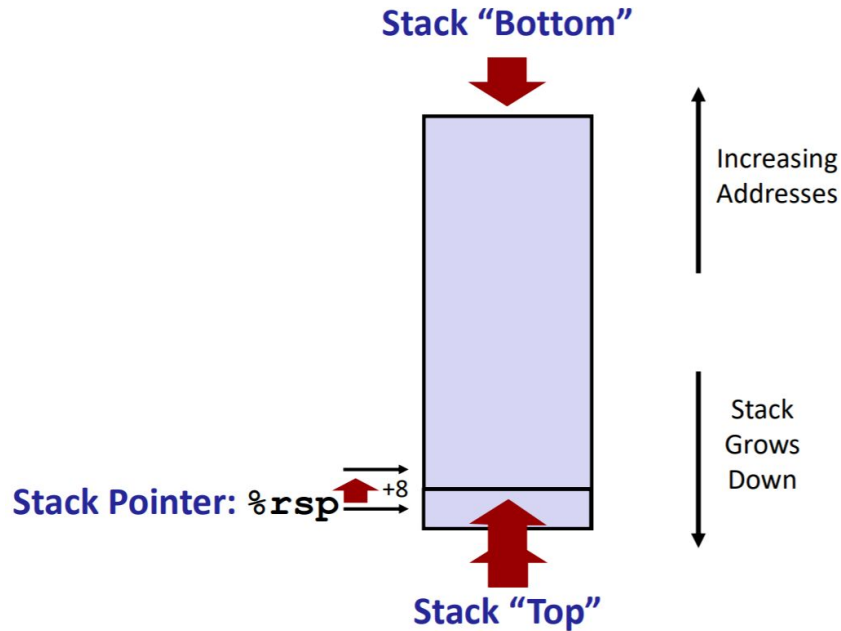
- Passing Control
 - To beginning of procedure code, back to return point
- Passing Data
 - Procedure arguments, return value
- Memory Management
 - Allocate during procedure execution
 - Deallocate upon return
- Mechanisms all implemented with machine instructions
- x86-64 implementation of a procedure uses only those mechanisms required

Stack Structure

- x86-64 Stack
 - Region of memory managed with stack discipline
 - Grows toward lower addresses
 - Register `%rsp` contains lowest stack address
 - Address of "top" element
- Push
 - `pushq Src`
 - Fetch operand at `Src`
 - Decrement `%rsp` by 8
 - Write operand at address given by `%rsp`



- Pop
 - popq Dest
 - Read value at address given by %rsp
 - Increment %rsp by 8
 - Store value at Dest (must be register)

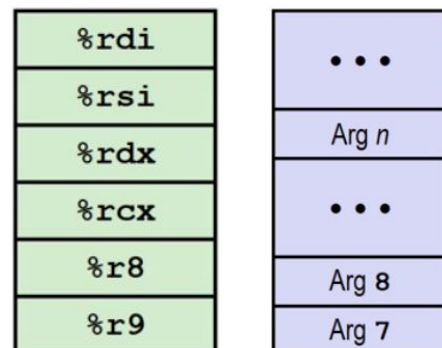


Calling Conventions: Passing Control

- Procedure Control Flow
 - Stack support procedure call and return by providing local variables, notion of scope, and some mechanism to return and store register values
 - Procedure Call: call (callq in x86-64) label
 - Push return address onto stack
 - Jump to label (changes instruction pointer)
 - Return Address
 - Address of the next instruction right after call
 - Procedure Return: ret
 - Pop address from stack
 - Jump to address

Calling Conventions: Passing Data

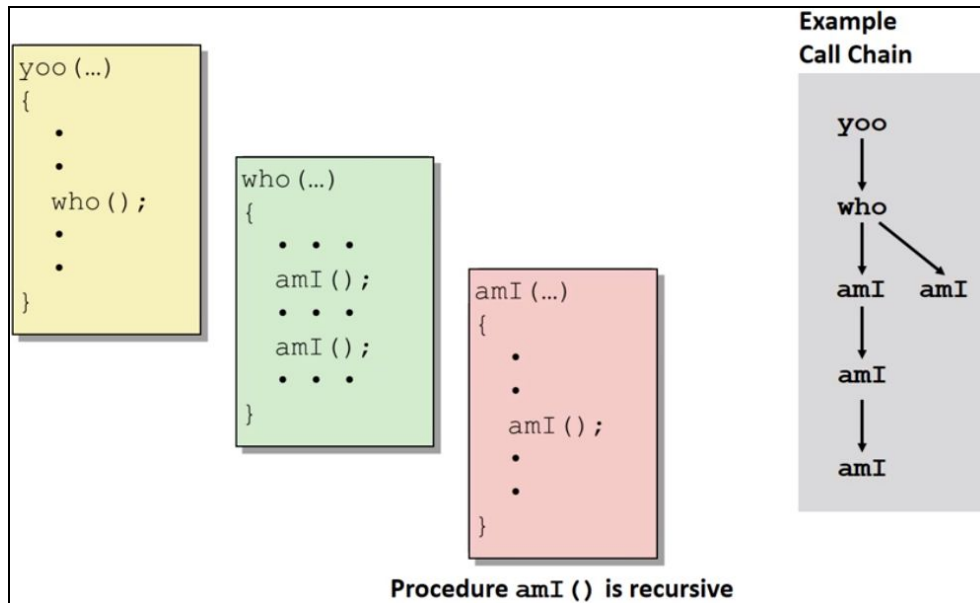
- Procedure Data Flow
 - Two places where can store parameters to pass from one procedure to another
 - Registers: First 6 arguments, much faster than memory
 - Stack: Only allocated when need it
 - Stored in reverse order
 - **%rax holds return value**



Calling Conventions: Managing Local Data

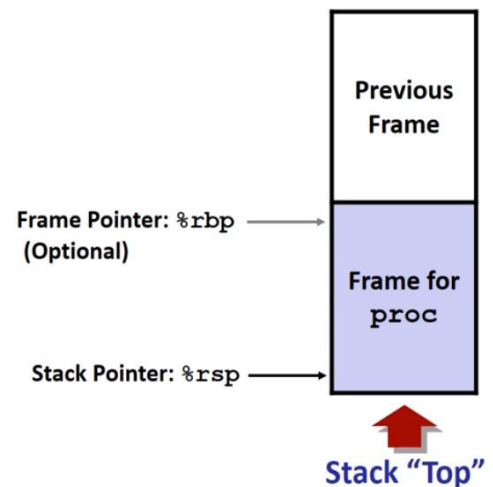
- Stack-Based Languages
 - Stack Discipline
 - Agreed upon set of constraints on assembly language
 - Order of storing arguments, variables, and how to clean up before and after procedure calls
 - Stack Allocated in Frames
 - Stack grows in large jumps and data added to stack is called frame
 - Frame: State for single procedure instantiation

Call Chain Example



yoo calls who, who calls amI, amI calls self two more times, second amI in who no recursion

- Stack Frames
 - Contents
 - Return information
 - Local storage (if needed)
 - Temporary space (if needed)
 - Management
 - Space allocated when enter procedure
 - "Set-up" code
 - Includes push by call instruction
 - Deallocated when return
 - "Finish" code
 - Includes pop by ret function



- **x86-64/Linux Stack Frame**

- The caller (yoo) calls the callee (who)
- Current (Callee) Stack Frame ("Top" to Bottom)
 - "Argument build:" Parameters if another function called inside
 - Local variables if can't keep in registers
 - Saved register context
 - Old frame pointer (optional)
- Caller Stack Frame
 - Return address
 - Pushed by call instruction
 - Arguments for this call

Example

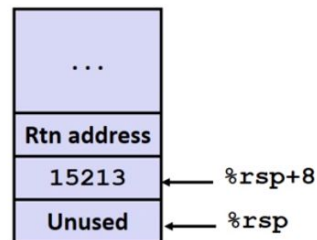
```
long call_incr() {
    long v1 = 15213;
    long v2 = incr(&v1, 3000);
    return v1+v2;
}
```

Initial Stack Structure



```
call_incr:
    subq    $16, %rsp
    movq    $15213, 8(%rsp)
    movl    $3000, %esi
    leaq    8(%rsp), %rdi
    call    incr
    addq    8(%rsp), %rax
    addq    $16, %rsp
    ret
```

Resulting Stack Structure

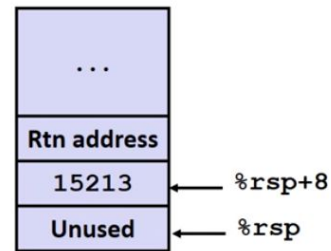


- Subtract 16 from %rsp so enough space could hold return address and any temporary registers that could require
 - Allocate 16 bytes worth of space, create v1

```
long call_incr() {
    long v1 = 15213;
    long v2 = incr(&v1, 3000);
    return v1+v2;
}
```

```
call_incr:
    subq    $16, %rsp
    movq    $15213, 8(%rsp)
    movl    $3000, %esi
    leaq    8(%rsp), %rdi
    call    incr
    addq    8(%rsp), %rax
    addq    $16, %rsp
    ret
```

Stack Structure



Register	Use(s)
%rdi	&v1
%rsi	3000

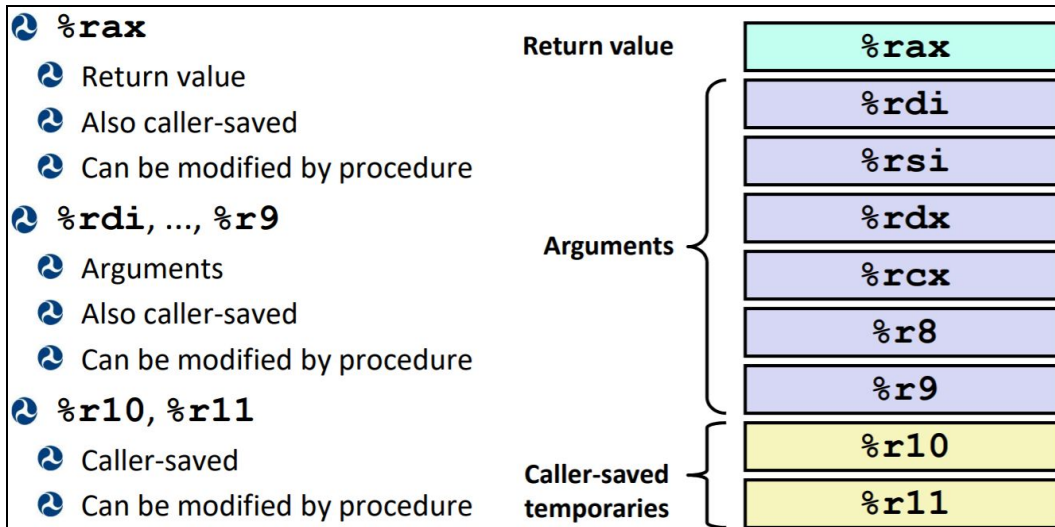
- Move 3000 into %esi (could have used %rsi too, but equivalent bc upper bits zero'd)
- Place 8+(address of %rsp) into %rdi (leaq, so storing location of 15213, not value)
- %rdi now holds &v1 [(%rsp)+8]
- Then, call of incr sets v1 [(%rsp)+8] to 18213
- Add the 18213 to the return value
- Pop from the stack
- Return
- Register Saving Conventions
 - When procedure yoo calls who:
 - yoo is caller
 - who is callee
 - Can register be used for temp storage?

```
yoo:
    . . .
    movq    $15213, %rdx
    call    who
    addq    %rdx, %rax
    . . .
    ret
```

```
who:
    . . .
    subq    $18213, %rdx
    . . .
    ret
```

- Contents of %rdx overwritten by who
 - Need some coordination
 - Conventions
 - Caller Saved
 - Caller saves temp values in its frame before the call
 - Callee Saved
 - Callee saves temp values in its frame before using
 - Callee restores them before returning to caller

Caller Saved



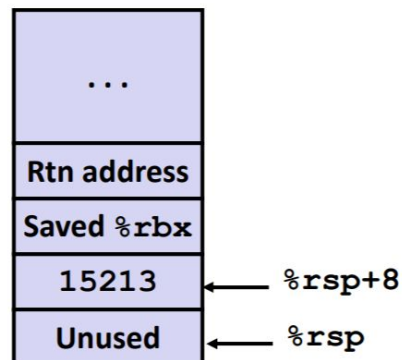
Initial Stack Structure

```
long call_incr2(long x) {
    long v1 = 15213;
    long v2 = incr(&v1, 3000);
    return x+v2;
}
```



```
call_incr2:
    pushq    %rbx
    subq     $16, %rsp
    movq     %rdi, %rbx
    movq     $15213, 8(%rsp)
    movl     $3000, %esi
    leaq     8(%rsp), %rdi
    call     incr
    addq     %rbx, %rax
    addq     $16, %rsp
    popq     %rbx
    ret
```

Resulting Stack Structure



Callee Saved

🔵 **%rbx, %r12, %r13, %r14**

🔵 Callee-saved

🔵 Callee must save & restore

🔵 **%rbp**

🔵 Callee-saved

🔵 Callee must save & restore

🔵 May be used as frame pointer

🔵 Can mix & match

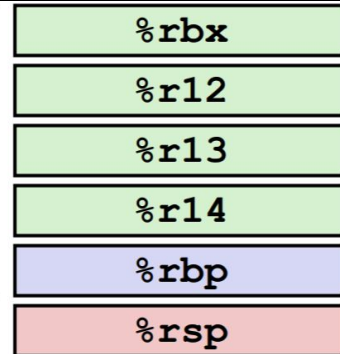
🔵 **%rsp**

🔵 Special form of callee save

🔵 Restored to original value upon exit from procedure

Callee-saved
Temporaries

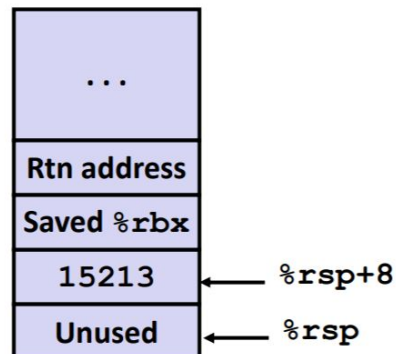
Special



Resulting Stack Structure

```
long call_incr2(long x) {
    long v1 = 15213;
    long v2 = incr(&v1, 3000);
    return x+v2;
}
```

```
call_incr2:
    pushq    %rbx
    subq     $16, %rsp
    movq     %rdi, %rbx
    movq     $15213, 8(%rsp)
    movl     $3000, %esi
    leaq     8(%rsp), %rdi
    call     incr
    addq     %rbx, %rax
    addq     $16, %rsp
    popq     %rbx
    ret
```



Pre-return Stack Structure



Illustration of Recursion

```
/* Recursive popcount */
long pcount_r(unsigned long x) {
    if (x == 0)
        return 0;
    else
        return (x & 1)
            + pcount_r(x >> 1);
}
```

```
pcount_r:
    movl    $0, %eax
    testq   %rdi, %rdi
    je      .L6
    pushq   %rbx
    movq    %rdi, %rbx
    andl    $1, %ebx
    shrq    %rdi
    call    pcount_r
    addq    %rbx, %rax
    popq    %rbx
.L6:
    ret
```

- Observations About Recursion
 - Handled without special consideration
 - Stack frames mean that each function call has private storage
 - Saved registers and local variables
 - Saved return pointer
 - Register saving conventions prevent one function call from corrupting another's data
 - Unless the C code explicitly does so
 - Stack discipline follows call / return pattern
 - If P calls Q, then Q returns before P
 - Last-In, First-Out
 - Also works for mutual recursion
 - P calls Q; Q calls P

Summary

🔗 Important Points

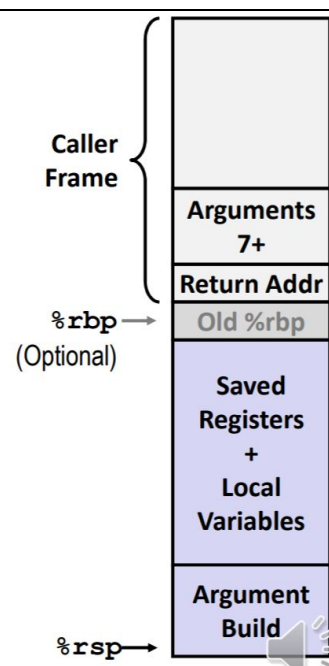
- 🔗 Stack is the right data structure for procedure call / return
 - 🔗 If P calls Q, then Q returns before P

🔗 Recursion (& mutual recursion) handled by normal calling conventions

- 🔗 Can safely store values in local stack frame and in callee-saved registers
- 🔗 Put function arguments at top of stack
- 🔗 Result return in **%rax**

🔗 Pointers are addresses of values

- 🔗 On stack or global

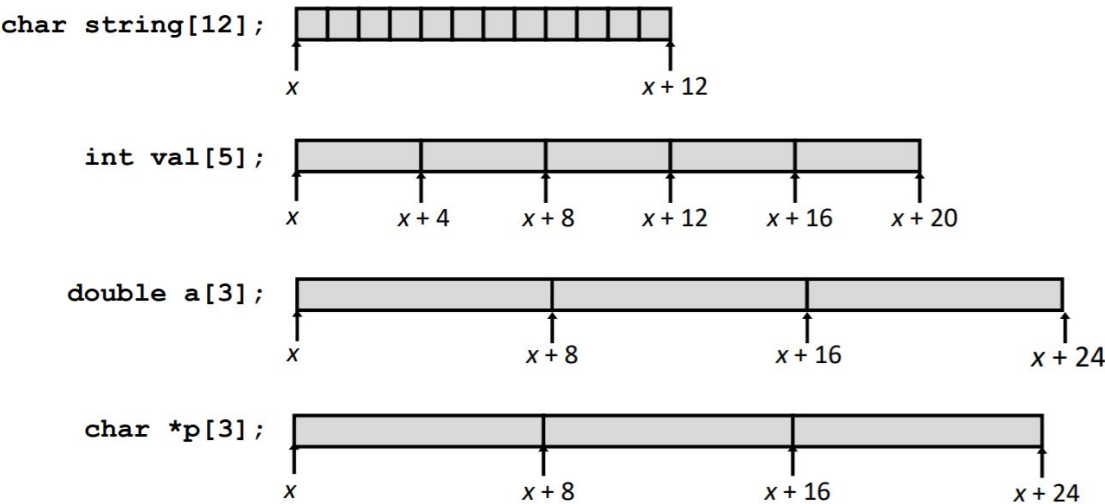


SECTION 3: MACHINE LEVEL PROGRAMMING

PART 4: DATA

Arrays: One-Dimensional

- Basic Principle
 - Array of data type T and length L
 - Contiguously allocated region of $L * \text{sizeof}(T)$ bytes in memory



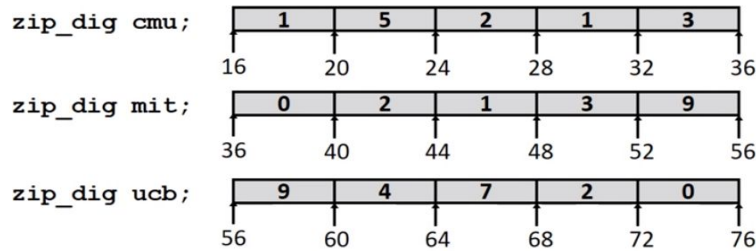
- Identifier A can be used as pointer to array element 0: Type T^*

Reference	Type	Value
<code>val[4]</code>	<code>int</code>	3
<code>val</code>	<code>int *</code>	x
<code>val+1</code>	<code>int *</code>	$x + 4$
<code>&val[2]</code>	<code>int *</code>	$x + 8$
<code>val[5]</code>	<code>int</code>	??
<code>*(val+1)</code>	<code>int</code>	5
<code>val + i</code>	<code>int *</code>	$x + 4i$

Example

```
#define ZLEN 5
typedef int zip_dig[ZLEN];

zip_dig cmu = { 1, 5, 2, 1, 3 };
zip_dig mit = { 0, 2, 1, 3, 9 };
zip_dig ucb = { 9, 4, 7, 2, 0 };
```



- Declaration "zip_dig cmu" equivalent to "int cmu [5]"

```
int get_digit
(zip_dig z, int digit)
{
    return z[digit];
}
```

X86-64

```
# %rdi = z
# %rsi = digit
movl (%rdi,%rsi,4), %eax # z[digit]
```

- %rdi hold first parameter, %rsi holds second

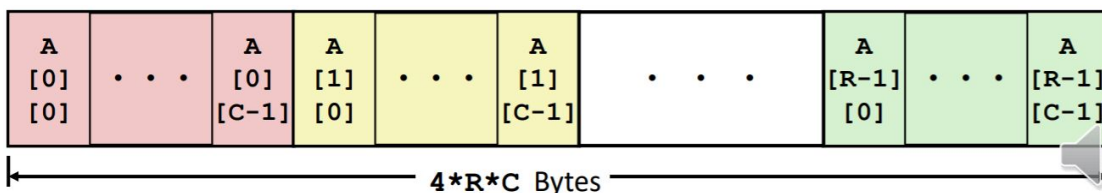
- Array Loop

```
void zincr(zip_dig z) {
    size_t i;
    for (i = 0; i < ZLEN; i++)
        z[i]++;
}
```

```
# %rdi = z
movl $0, %eax # i = 0
jmp .L3 # goto middle
.L4: # loop:
    addl $1, (%rdi,%rax,4) # z[i]++
    addq $1, %rax # i++
.L3: # middle
    cmpq $4, %rax # i:4
    jbe .L4 # if <=, goto loop
ret
```

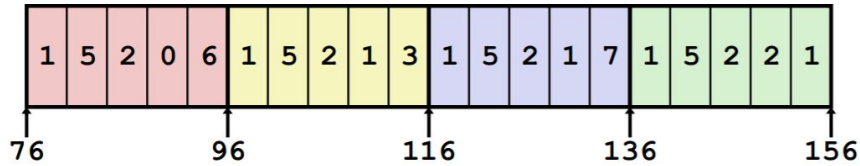
Arrays: Multi-Dimensional (Nested)

- Declaration
 - T A[R][C];
 - 2D array of type T, has R rows and C columns
 - Type T requires K bytes
- Array Size: R*C*K
- Arrangement
 - Row-Major Ordering



Example

```
#define PCOUNT 4
zip_dig pgh[PCOUNT] =
    {{1, 5, 2, 0, 6},
     {1, 5, 2, 1, 3},
     {1, 5, 2, 1, 7},
     {1, 5, 2, 2, 1}};
```



- “zip_dig pgh[4]” equivalent to “int pgh[4][5]”
 - Array of 4 elements, each element is an array of 5 ints

- Row Vectors
 - $A[i]$ is array of C elements
 - Each element of type T requires K bytes
 - Address $A[i] = A + i \cdot (C \cdot K)$

Example: To return row vector pgh[index]

```
# %rdi = index
leaq (%rdi,%rdi,4),%rax # 5 * index
leaq pgh(,%rax,4),%rax # pgh + (20 * index)
```

- Elements
 - $A[i][j]$ is array of C elements
 - Each element of type T requires K bytes
 - Address $A[i][j] = A + i \cdot (C \cdot K) + j \cdot K$

Example: To return integer pgh[index][dig]

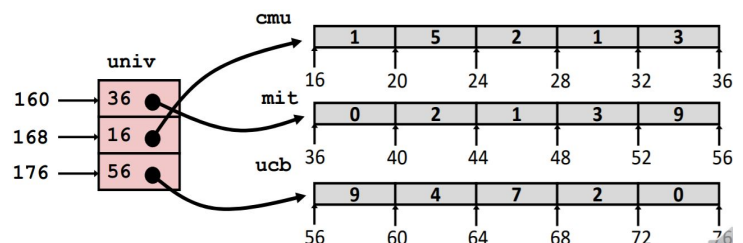
```
leaq (%rdi,%rdi,4), %rax # 5*index
addl %rax, %rsi # 5*index+dig
movl pgh(,%rsi,4), %eax # M[pgh + 4*(5*index+dig)]
```

Arrays: Multi-Level

- Arrays of Pre-Existing Arrays
 - Array of type T^* , holds addresses of arrays
- Example

```
zip_dig cmu = { 1, 5, 2, 1, 3 };
zip_dig mit = { 0, 2, 1, 3, 9 };
zip_dig ucb = { 9, 4, 7, 2, 0 };
```

```
#define UCOUNT 3
int *univ[UCOUNT] = {mit, cmu, ucb};
```



- Element Access
 - Treat like 2D array

```
int get_univ_digit
(size_t index, size_t digit)
{
    return univ[index][digit];
}
```

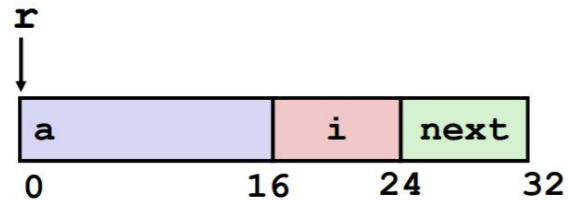
```
salq    $2, %rsi          # 4*digit
addq    univ(,%rdi,8), %rsi # p = univ[index] + 4*digit
movl    (%rsi), %eax       # return *p
ret
```

- Computation
 - Address is $\text{Mem}[\text{Mem}[\text{univ} + 8 * \text{index}] + 4 * \text{digit}]$
 - Must do two memory reads
 - First get pointer to row array
 - Then access element within array

Structures: Allocation

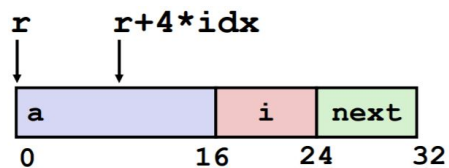
- Structure Representation
 - Represented as block of memory
 - Fields ordered according to declaration
 - Compiler determines overall size & position of fields

```
struct rec {
    int a[4];
    size_t i;
    struct rec *next;
};
```



Structures: Access

- Generating Pointer to Struct Member
 - Array Element
 - Offset of each structure member determined at compile time
 - Compute as $r + 4 * \text{idx}$

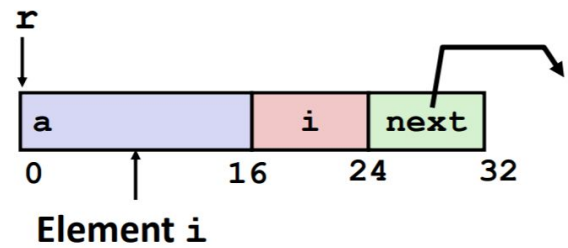


```
int *get_ap
(struct rec *r, size_t idx)
{
    return &r->a[idx];
}
```

```
# r in %rdi, idx in %rsi
leaq  (%rdi,%rsi,4), %rax
ret
```

- Following Linked List

```
void set_val
(struct rec *r, int val)
{
    while (r) {
        int i = r->i;
        r->a[i] = val;
        r = r->next;
    }
}
```

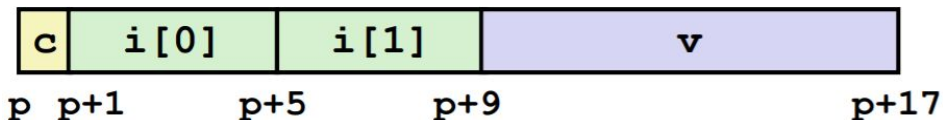


```
.L11:
movslq 16(%rdi), %rax    # i = M[r+16]
movl   %esi, (%rdi,%rax,4) # M[r+4*i] = val
movq   24(%rdi), %rdi    # r = M[r+24]
testq  %rdi, %rdi        # Test r
jne    .L11              # if !=0 goto loop
```

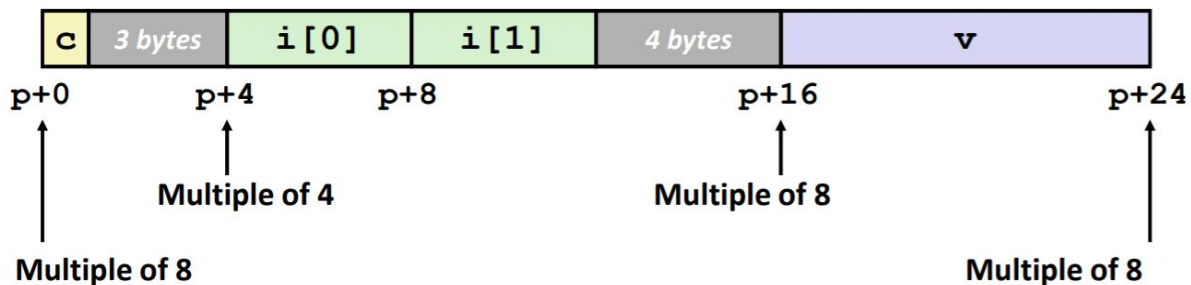
Register	Value
%rdi	r
%rsi	val

Structures: Alignment

Unaligned



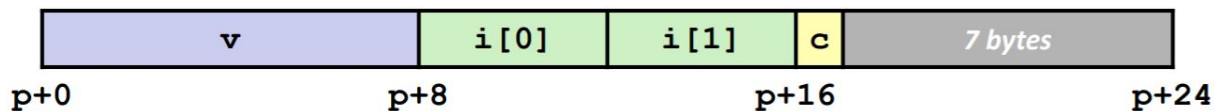
Aligned



- Alignment Principles
 - Aligned Data
 - Primitive data type requires K bytes
 - Address must be multiple of K
 - Required on some machines; advised on x86-64
 - Motivation for Aligning
 - Memory accessed by (aligned) chunks of 4 or 8 bytes (system dependent)
 - Inefficient to load or store data that spans quad word boundaries
 - Virtual memory trickier when data spans 2 pages
 - Compiler
 - Inserts gaps in struct to ensure correct alignment of fields

- Specific Alignment Cases
 - 1 byte: char
 - No restrictions
 - 2 bytes: short
 - Lowest bit must be 0
 - 4 bytes: int, float
 - Lowest 2 bits must be 0
 - 8 bytes: double, long, pointer
 - Lowest 3 bits must be 0
 - 16 bytes: long double
 - Lowest 4 bits must be 0
- Satisfying Alignment with Structures
 - Within Structure
 - Must satisfy each element's alignment requirement
 - Overall Structure Placement
 - Each struct has alignment requirement **K**
 - **K** = largest alignment of any element
 - Initial address & struct length must be multiples of **K**

Example



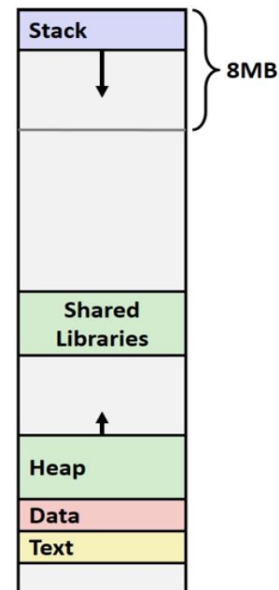
- Arrays of Structures
 - Overall structure length multiple of **K**
 - Satisfy alignment requirement for every element
- Accessing Array Elements
 - Compute array offset $\text{sizeof}(\text{total struct}) * i$
 - Add offset, will be offset $\text{sizeof}(\text{data type}) * j$
- Save Space by Putting Largest Data Types First

SECTION 3: MACHINE LEVEL PROGRAMMING

PART 5: ADVANCED TOPICS

Memory Layout

- x86-64
 - Stack
 - Runtime Stack (8MB limit)
 - ie. local variables
 - Heap
 - Dynamically allocated memory as needed
 - malloc(), calloc(), new()
 - Data
 - Statically allocated data
 - ie. global vars, static vars, string constants
 - Text/Shared Libraries
 - Executable machine instructions
 - Read-Only



- Memory Allocation Example

```
char big_array[1L<<24]; /* 16 MB */
char huge_array[1L<<31]; /* 2 GB */

int global = 0;

int useless() { return 0; }

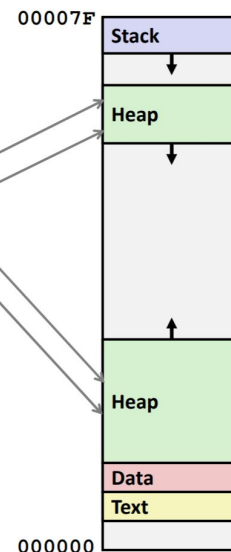
int main ()
{
    void *p1, *p2, *p3, *p4;
    int local = 0;
    p1 = malloc(1L << 28); /* 256 MB */
    p2 = malloc(1L << 8); /* 256 B */
    p3 = malloc(1L << 32); /* 4 GB */
    p4 = malloc(1L << 8);
    /* Some print statement
}

```

address range $\sim 2^{47}$

local
p1
p3
p4
p2
big_array
huge_array
main()
useless()

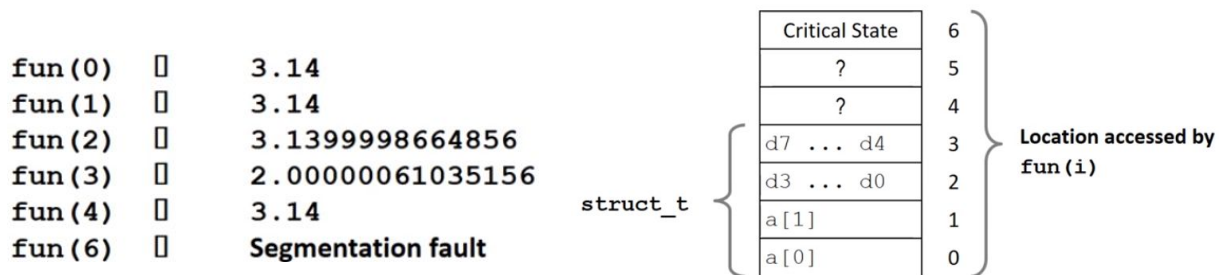
0x00007ffe4d3be87c
0x00007f7262a1e010
0x00007f7162a1d010
0x000000008359d120
0x000000008359d010
0x0000000080601060
0x0000000000601060
0x000000000040060c
0x0000000000400590



Buffer Overflow: Vulnerability

```
typedef struct {
    int a[2];
    double d;
} struct_t;

double fun(int i) {
    volatile struct_t s;
    s.d = 3.14;
    s.a[i] = 1073741824; /* Possibly out of bounds */
    return s.d;
}
```



- These are a Big Deal
 - “Buffer Overflow”
 - Exceeding memory size allocated for an array
 - Why is it a big deal?
 - #1 technical cause of security vulnerabilities
 - Most common form
 - Unchecked lengths on string inputs
- String Library Code
 - Implementation of Unix function gets()

```
/* Get string from stdin */
char *gets(char *dest)
{
    int c = getchar();
    char *p = dest;
    while (c != EOF && c != '\n') {
        *p++ = c;
        c = getchar();
    }
    *p = '\0';
    return dest;
}
```

- No way to specify limit on number of chars to read
 - Similar with other library functions

- Vulnerable Buffer Code

```
/* Echo Line */
void echo()
{
    char buf[4]; /* Way too small! */
    gets(buf);
    puts(buf);
}
```

```
void call_echo() {
    echo();
}
```

```
unix> ./bufdemo-nsp
Type a string: 012345678901234567890123
012345678901234567890123
```

```
unix> ./bufdemo-nsp
Type a string: 0123456789012345678901234
Segmentation Fault
```

echo:

```
00000000004006cf <echo>:
4006cf: 48 83 ec 18      sub    $0x18,%rsp
4006d3: 48 89 e7        mov    %rsp,%rdi
4006d6: e8 a5 ff ff ff  callq  400680 <gets>
4006db: 48 89 e7        mov    %rsp,%rdi
4006de: e8 3d fe ff ff  callq  400520 <puts@plt>
4006e3: 48 83 c4 18     add    $0x18,%rsp
4006e7: c3             retq
```

call_echo:

```
4006e8: 48 83 ec 08     sub    $0x8,%rsp
4006ec: b8 00 00 00 00  mov    $0x0,%eax
4006f1: e8 d9 ff ff ff  callq  4006cf <echo>
4006f6: 48 83 c4 08     add    $0x8,%rsp
4006fa: c3             retq
```

Stack Frame for call_echo			
00	00	00	00
00	40	06	f6
00	32	31	30
39	38	37	36
35	34	33	32
31	30	39	38
37	36	35	34
33	32	31	30

String Fits

Stack Frame for call_echo			
00	00	00	00
00	40	00	34
33	32	31	30
39	38	37	36
35	34	33	32
31	30	39	38
37	36	35	34
33	32	31	30

String Overflows, modifies return of call_echo

- Code Injection Attacks

○

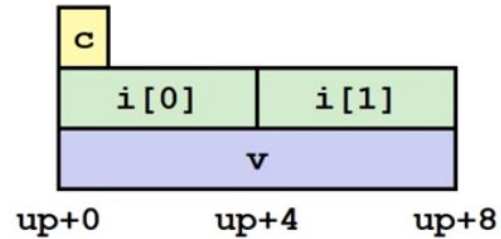
Buffer Overflow: Protection

- Binary Form

Unions

- Union Allocation
 - Allocate according to largest element
 - Can only use one field at a time

```
union U1 {
    char c;
    int i[2];
    double v;
} *up;
```



- vs a struct with the same data

