

# Demystifying the Computer Science PhD Admission in the US A Handbook for International and Domestic Students

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## Preface

Having been involved in PhD admissions for many years, I've realized that many **international students** (and also domestic ones), especially those in smaller countries or less well-known universities, lack a clear understanding of the Computer Science PhD admission process at US universities. This confusion not only discourages students from applying but also creates the perception that getting admitted to a CS PhD program in the US is difficult compared to other countries.

So I want to share some details about the admission process and advice for those who are interested in applying for a **PhD** in **Computer Science** in the **US**. While this handbook is primarily intended for students interested in CS, it might be relevant to students from various disciplines. Furthermore, although many examples are specifics for schools that I and other contributors of this document know about, the information should be generalizable to other R1<sup>1</sup> institutions in the US (and universities in other countries).

In addition, this handbook can help **US** faculty and admission committee gain a better understanding of international students and their cultural differences. By recognizing and leveraging these differences, CS programs in the US can attract larger and more competitive application pools from international students.

I wish you the best of luck. Happy school hunting!

This document is available at

nguyenthanhvuh.github.io/phd-cs-us/demystify.pdf,

and its LATEX source is also on Github. If you have questions or comments, feel free to create a GitHub issue for discussion.

<sup>&</sup>lt;sup>1</sup>An R1 institution in the US is a research-intensive university with a high level of research activity across various disciplines. Currently, 146 (out of 4000) US universities are classified as R1.

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## Summary

Below we summarize the main points of this guideline. This gives you an overview to decide which specific topics you want to explore more thoroughly.

- 1. Should you apply?
  - Yes, definitely. CS PhD study in the US is fully funded and admission into good universities is not any harder than in other countries (§2).
- 2. How is your application evaluated?
  - Applications are evaluated by the *PhD Admission* (adcom) committee and each application is reviewed by typically three faculty (§3).
  - Individually faculty cannot directly admit a student—so do not email and ask if you have a chance. However, faculty can advocate for a student and therefore increase their admission chance—contact and introduce yourself (§7.2.4).

#### 3. Application Materials

- The committee will look at various factors, but the most important ones are research ability, e.g., publications, statement of purpose (SOP), and letters of recommendation (LORs).
- LORs are very important, but only if they are personalized and talk about your research ability (§4.2).
- SOP is very important. Write it in such a way that makes you *stand out* (§4.3 and §8.2)
- Grades are important, but depend on the reputation of your school (§4.4).
- GRE is not required (§4.5). Spend your time on something else!
- Getting an interview is typically a *good sign*; but no interview does not mean rejection (§4.7).

- 4. What to do after getting admitted?
  - Celebrating! Now it is your turn to evaluate the school!
  - Attend *Open House* to learn more about the place and *interview* profs—they would be much more willing to talk to you now (§5).

#### 5. Funding

• TA and RA are two main funding sources. TA (teaching assistantship) is provided by the department to help with classes (e.g., grading). RA (research assistantship) is provided by profs. to help with their research (§6).

#### 6. Choosing School and Professors

- Many schools do not offer PhD studies in CS and many CS professors do not advise or graduate PhD students (§7).
- Contacting a prof. for research opportunities is recommended, but do it properly (§7.2.4).

#### 7. Miscs and FAQS

- Why you were rejected (§8.1)
- Increasing admission chance by being unique and standing out (§8.2).
- Being in the underepresentative group in CS can help (§8.3).
- You can successfully apply to CS PhD even if you have non-STEM background (§8.4).
- You do not need an MS degree (§8.5) to apply for PhD in CS, and it takes about 5–7 years for PhD in CS (§8.6).
- Compared to other countries, CS PhD in the US does not require an MS degree but has longer PhD study time (§8.7).
- How do you call a professor? (§8.8)
- Your entire PhD program costs about \$400K in total, but you do not pay for it (§8.9)
- Ask your prof. if they can buy computer equipments and such for your research (§8.10).
- Despite some miserable stories on social media, many PhD students have good mentors, supportive lab mates, healthy working environment ... and are happy (§8.12).

## Should You Apply to a CS PhD Program in the US?

Don't make fun of graduate students. They just made a terrible life choice.

THE SIMPSONS

First, I want to emphasize that PhD students in Computer Science do not need to worry about funding, especially at good R1 universities in the US. If you are admitted, you will almost certainly receive full funding to support your study, including tuition, health insurance, and stipend (monthly salary). Moreover, depending on the university, you may even receive additional benefits such as summer pay, laptops, (conference/workshop) traveling. §6 provides more details on funding.

Second, applying to a good US university *should not* be any harder than at schools in other countries. In fact, it might even be more flexible since CS PhD in the US *do not* require having an MS or a topic/proposal/adviser in advance. If you believe you have a chance in other countries, e.g., South Korea, Singapore, Germany, UK, Japan, and Australia, then you will surely have a chance in the US as well. §8.7 compares CS PhD study in the US to other countries.

Vu: One of the reasons I create this document is that my colleagues at GMU are interested in recruiting Vietnamese students and are surprised when seeing very few applications in Vietnam (see §D.5). Each year our CS program receives more than 350 PhD applications, most of which are international but only 3–4 are from Vietnam. In general the number of CS PhD applications from Vietnam to US universities is very low and more would be welcome.

## Additional Resources

- Getting a Computer Science PhD in the USA by Shriram Krishnamurthi
- Blog post on PhD Admission by Philip Guo
- PhD Admission post by Yonatan Bisk (customized for CMU admission)

## How is Your Application Evaluated?

How is education supposed to make me feel smarter? Besides, every time I learn something new, it pushes some of the old stuff out of my brain. Remember when I took that home wine making course, and I forgot how to drive?

THE SIMPSONS

After you submit your PhD application (usually around December), it will first be screened for general requirements, e.g., did you submit your transcripts and standard scores? did your reference writers submit their letters? Usually, this screen process is done through a central university system, i.e., not by the CS department. If you pass this screen, your application will be forwarded to the CS department for further evaluation. If you don't, the system will tell you what is missing and what you need to do. So pay attention to your email and check your application status regularly.

Hakan: At GMU, for full consideration, students should make sure to submit ALL required documents by the application deadline, and should never assume that some required documents (such as official TOEFL scores or official diplomas/transcripts) will be waived by the admissions office. If something is listed and not marked as "optional", it is mandatory and they should plan for submitting all those.

Then, applications are reviewed by a *PhD admission committee* (**adcom**) that consists of faculty members in CS (in some cases the committee can involve affiliated faculty from different disciplines). These faculty have a wide-range of expertise and background to ensure diverse perspectives in the evaluation process. The size and the review load of the adcom depends on the department size. At GMU, the PhD

adcom typically has 15–20 faculty, and each committee member is assigned to review 25–30 applications. Note that many schools, including GMU, have separate adcoms for MS programs.

Each application is assigned to 2–3 faculty members, who will evaluate your profile and reach a consensus. Note that while the assigned reviewers are the main ones deciding your application, other faculty in the department will likely have access to your application and can provide inputs and opinions on your profile.

The PhD adcom typically involves assistant professors in the department (see §7.2.2 for various faculty titles). This provides junior faculty the opportunities to recruit students. Some members can be tenured faculty. The chair of the committee will likely a senior faculty, but they will not review individual applications and instead assign them to committee members. The chair will look at various factors such as research interests or mentioning faculty names to assign the applications to appropriate faculty.

At GMU, we usually decide that a full-time PhD candidate is either (i) admit with funding (§6) or (ii) rejected. In other words, in most cases, we either admit you with full funding, or we reject your application. In some rare cases, we admit without funding because you have funding on your own, e.g., supported by your government or having external fellowships. We justify our decision with a summary of your application, where we list strengths, e.g., a well-known school, and weaknesses, e.g., weak LORs.

Why CS depts do not waive application fee? Note that some universities do waive application fee (e.g., for domestic students (§B)), however most do not. In any case, application fee is typically a requirement of the university. Individual departments and programs do not have the flexibility to waive the application fee, even if they want to.

In my opinion, requiring applicants to pay the fee helps ensure their seriousness, as it filters out non-serious candidates. Also, if the application process were free for everyone, most good CS programs would receive an overwhelming number of applications to review.

## Your Application

Son, if you really want something in this life, you have to work for it. Now quiet! They're about to announce the lottery numbers.

THE SIMPSONS

The focus of the admissions committee is to **evaluate your background and interest in research** to see if you can handle a PhD in CS, which is a *research* degree!. We also aim to determine if you would **fit into the program**. To evaluate your profile, we consider the following key indicators.

## 4.1 Research Ability

A concrete evidence of research ability is having **papers in reputable international journals or conferences**. Having published papers, especially at top venues, is a sign that the applicant has successfully involved in research. Students accepted to top schools often have multiple first-authored papers at top venues.

Vu: Many international students mention Scopus Q1, which consists of various journals from IEEE, Elsevier, and many other publishers. I don't know/recognize many of journals listed in Scopus Q1. This might be something to be mindful of, as CS faculty might not be too familiar with Scopus or journals listed there, so devote some part in your statement to discuss the significance of your papers.

However, it is understandable that many students do not have the opportunities to publish papers. Thus, general writings, even those under submissions or rejected, would still be good (and much better than nothing). But be sure to upload your papers with your application and talk about them in your statement (see §4.3). Note that local conferences and non-English journals or conferences do not carry as

much weight since their quality is often unknown to US faculty. However, if you have published in such places, you should still upload them, mention them in your statement, and explain why they are good.

**Craig:** GMU and many other universities allow you to upload your published papers and other writing samples. In many cases, even if the papers were not published at top places, we can still determine their quality by simply skimming over the paper.

Additionally, work experiences at well-known research laboratories, such as Microsoft Research, can strengthen your application. Unfortunately, many good research places in your countries, e.g., VinAI in Vietnam, remain relatively unknown to most universities in the US. So you or your LoR writers should explicit say something about them in your statement.

**Hung:** The reputation of VinAI has been increasing steadily over the past few years; many of my colleagues heard about VinAI.

Finally, participating internationally recognized competitions can also demonstrate your research potential. For example, participating in Math Olympiads if you want to do theory or winning ACM programming contests if you want to "build" systems, e.g., software analysis.

**Thanh:** Due to academic culture, professors in Vietnam usually aim for (international) journals instead of conferences. Could you give some tips on how to know whether a journal is good (CSrankings, unfortunately, only consider conferences)?.

Vu: One way is looking at what well-known researchers publish at. For example, if you are interested in a field X, you can use CSRankings to look at active faculty in X, and then look at their websites to see what journals they publish at.

## 4.2 Letters of Recommendation (LOR)



Most PhD programs will require at least **two LORs**. Having a strong letter from an internationally recognized researcher will *greatly strengthen* your applica-

tion. In fact, a strong letter from a well-known researcher can outweigh the lack of publications (or low GPA or unknown school).

However, obtaining letters from famous people can be challenging for international students, who might not have much interactions with such experts. So it is fine to have a letter from people (e.g., profs, researchers, postdocs who mentored you) that know you well enough to talk about your research experience and capabilities. Many students get letters from supervisors from companies where they did internships or are working at. It is OK as long as it is a research-based personalized letter (once again, we are talking about PhD applications, not MS). Again, the emphasis here is research, i.e., the letters should describe your research experiences and potentials. Letters focusing your course work or non-research projects at a company won't carry much weight.

Vu: If your grading system is not US standard or you are from a good school unknown outside of your country, you can ask your reference writers to explain about that in their letters. For example, "Bach Khoa" are the top universities in Vietnam for STEM studies but few people outside Vietnam know about them. So if you are from there, you should ask your reference writers to mention that.

How to help your LOR writer Your LoR writer can ask you to provide additional information to help them write your letter. For example, they might ask your GPA, research and work experiences, papers (if any), or anything you want them to mention. You can also provide them with a draft of your SOP so that they can see what you are saying about yourself and can complement that with their own perspective. It might also be a good idea to provide them such information even when they do not ask, especially if you have not interacted with them much or have not done much research with them.

Self-written Letters Many international students write letters themselves, typically due to the requests of their profs., and have them signed by their profs. Such letters have little values and are considered weak by reviewers—why can you not even find someone who cares or knows enough about you to write a candid personal reference letter?. Instead of the reference writer talking about you, in this case it is you who write about yourself (and they just sign the letter).

Generic Letters When the writers do not know much about the applicants (e.g., just taking some course with them or not making any impression to write about), they might write a generic and short letter, which is not useful and also considered weak. It might be a good idea to directly ask if the prof. is willing to write a *strong* letter for you. If not, then you should ask someone else. For example, if a student I don't know well ask me to write letter for them, I will explicitly tell them I don't

know them that well to write much about them, and such a short, generic, and weak letter will not help their case.

**Hung:** A sad reality is that most professors in Vietnam **DO NOT** know how to write a good letter, or are lazy in writing letters hence delegate the writing to the students. Unfortunately, there is no easy solution to this problem.

#### Vu:

Another sad reality is that some professors are unwilling to write letters or write weak ones because they do not want (good) students to go abroad or only go to places where they want the students to go to. If you are in this situation, you should find someone else to write for you.

Sometimes students would go through great length just to get letters from well-known professors in their school (e.g., department head). But as mentioned, if these professors do not know you, the letters are generic and carry little value, in fact, red flags. Moreover, a top professor in Vietnam might not be well-known to US faculty (see more details in §4.4). So save the trouble and just get letters from any professors/supervisors who knows you well and can write a good letter about your research ability. It's better to have a good personalized letter about your own research ability from someone who is less well-known than a generic/weak letter from a well-known person.

Waiving Your Right Choosing not to look at a reference letter is pretty standard in school and job applications. When you waive your right to see the letter, it adds a layer of trust, showing you're confident in your choice of referees and that you're not trying to twist their words. It's also about keeping things open and honest between you and your letter writers, and encourages them to be real about your strengths and qualifications. Plus, it keeps things private.

Reviewers might raise concerns (a red flag) about a letter that is not waived, e.g., if you do not trust your letter writers, then you should find someone else to write for you. In short, it's a standard practice and a way of keeping things straightforward and respectful in the whole recommendation game.

**Didier** Should letter writers have PhDs? In Rwanda, a lot of students interact more with teaching faculty who might not have PhD.

Vu: This is an interesting and useful detail that US faculty might not be aware of and students should mention about this in their statements. In general, someone with a PhD has been through the research process and therefore can better evaluate your research ability. But if you do not have such people, then someone who can properly evaluate your research ability is still OK.

Reminding Writers After you submit your application, you should tell your writers about that and let them know they will soon receive an email from the university to submit their letters. You should also remind them about the deadline and ask them to submit their letters on time. But do not send too many reminders as that can be annoying to the writers.

Note that most places only have deadlines for the applicant, but are very flexible with the letter writers (in many cases do not even give them any deadline). Also, many places do not begin the admission review process right after the deadline and work on application reviews in the next semester (mid January).

VEF 2.0 For Vietnamese students, it's worth mentioning about the VEF2.0 program, which has helped many good students in gaining admission to top CS PhD programs. VEF2.0 follows an interesting model where US faculty members from leading institutions are invited to conduct rigorous interviews with VEF students and subsequently provide reference letters on their behalf. Despite the limited interaction between the interviewer and the interviewee (primarily confined to the interview itself), these reference letters are generally effective and have helped many students getting into top PhD programs in the US.

## 4.3 Statement of Purpose (SOP)



While you might not have control over LORs (§4.2) or where your go to school (§4.4), you do over your statement of purpose (SOP) or personal statement 1! So write it well because it could make a big difference. In your statement, you have the opportunity to make your application stand out and unique, which can make you fit the CS program you're applying to, even if you don't have very strong research experience. A well-written SOP also shows that you can communicate, which is very important in research, and that you can effectively teach and communicate with students, which is important for TA funding (see §6).

<sup>&</sup>lt;sup>1</sup>Some schools separate these documents and ask you to write both: SOP, which focuses on research experiences, and Personal Statement, which is everything more personal, e.g., why PhD, challenges, diversity, etc

There are various guides on writing SOP, and many example statements are available. In short, focus on your research motivation and goal, and convince us that you can achieve it through your experience, e.g., published papers. You should also back up your claims with concrete evidences. For example, if you say you have experience with teaching, then show what you did, e.g., undergrad TA or mentoring someone. If you say you work on project X, then show some results (paper submitted (or even rejected), achieved X% performance improvement over ..).

You should also talk about things that adcom members might not know about and can help make you different than the other 1000 applicants, e.g., you are member of a minority or LBGTQ+ group (§8.3), your personal Github project with 1K+ stars or your regular contributions to well-known open-source projects (see §8.2 for increasing your admission chance).

This is something easy to do, but is neglected by many applicants: **customize the statement** for the school you're applying to, e.g., why do you apply *here*? provide names of professors who you're interested in (if they are not already in the adcom, your application might get forwarded to them for evaluation; and they might be interested in interviewing and recruiting you). This shows that you're serious and have done homework on places you're applying to. Adcom will look for this part, so do not skip it.

Finally, you should get your SOP reviewed by LoR writers, professors (especially those who have served in adcom), or even graduate PhD students (because they have been through this process).

**Vu:** I often read the research statement and LORs first. If I am persuaded by then, I would skim over other factors and advocate for admission (unless I see red flags in other parts). However, if I am not convinced, then I will likely recommend rejection (unless I see something standout in other parts).

Do careful research on professors, don't mention *emeritus* or adjunct faculty (see more about various types of faculty in §7.2.2). Also, be careful not to send statements to wrong schools or mixing facts (e.g., talking about school X but mentioned about working with profs. at school Y; and definitely do not talk about George Washington when applying to George Mason). I have seen such statements more time that I should.

#### Additional Resources

- Writing your statement of purpose by Chris Blattman
- How to Write a Grad School Personal Statement by Mike Hicks
- CS PhD SOP database by cs-sop.org

#### 4.4 Your School and Grades

**School** Graduating from top universities that we recognize helps. For example, if you are an international student and your school is well-known, then it is "top foreign", which is definitely a plus. However, if we do not know much about schools in your country, then we are uncertain about the quality of your school and likely treat your school as "unknown foreign", which can be a minus point.

What can you do about this? You can explain about your school in your SOP (and also ask your LOR writer to do that). Of course, if you're interested in working with Vietnamese, consider CS programs in the US that have Vietnamese professors.

**Vu:** Sometime PhD adcom in the US will share a document such as this one, which lists the top schools in several countries. In some cases we ask other faculty and students if we think they know about the place. For example, when I was a postdoc at UMD, members in their CS PhD adcom ask me to evaluate applicants from Vietnam. During my time at UNL and now here at GMU, I have looked at Vietnamese applications (whether they are assigned to me or not) and provide input to their reviewers, e.g., X is the top tech school in Vietnam and so it should be *top* instead of *unknown* foreign, which makes a huge difference.

**Deepak:** If an applicant is anxious about their school not being known outside their country, they can provide information about their school and department, with independent sources where such information can be verified.

**Grades** Having good GPA is good. However if your school is not well-known, having top grades or rankings usually will not help because we cannot evaluate them.

This can be an issue for students in many top international universities where the competition is so high that very good students can still have low rankings from these schools (and be overlooked by the adcom). So what to do with this? As mentioned in §4.4 you can put a note about this in your statement and ask your LoR writers to talk about it.

Note that while having good grades at unknown school might not help, having bad grades will be red flag (unless your LORs or statement give a proper explanation). This is especially true if you have bad grades in relevant courses (e.g., Math and CS).

Thanh: Vietnamese universities typically offer specialized programs, such as the talented engineer program at HUST, that have highly competitive entrance exams and a limited number of available slots (e.g., 30 per year). However, these programs often set higher requirements for students, including more demanding tests and assignments, resulting in lower GPAs and overall rankings. For example, an 3.5 GPA students from such talented programs are typically much better than a 4.0 GPA students not in those programs. Similarly, variations in GPA standards exist among different universities, with technical universities generally having lower GPAs than economical universities. These make gaining admission in the US difficult as US faculty are not familiar with these issues.

Vu: Vietnamese students and even faculty often lament how this grading system hurts Vietnamese students applying abroad. One way to mitigate this is making these issues known in your SOP. Universities with Vietnamese profs are probably aware of them, but in general your letter writers and you can explicitly mention these in their letters and your statement.

## 4.5 Standard Tests (GREs, IELTS, etc) and Work Experiences

GRE Most good CS PhD programs in the US no longer require GREs, so you don't need to take them. However, they might be useful for international students from programs we are not familiar with. If you have good GRE scores then you should include them in your application (but don't expect them to make much difference).

English Test Unless your degrees are from specific countries such as these, you will need to take standardized English test. Just do well enough to pass minimum requirement set by the university, which is required for TA (§6). Just as with grades, having a high school in these tests might not help, but having too low scores can a red flag and sometimes results in an automatic rejection (§8.1), e.g., below the minimum requirement.

Vu: The minimum for GMU (being above this might not mean much, but below is a red flag).

- GPA:  $\geq$  3.0 in your undergrad (but we also consider the rank/prestige of your school)
- GRE: not required, though it can help boost your profile
- English requirement tests (one of the below)
  - TOEF: 88 pts in total AND  $\geq$  20 points in each subsection OR
  - IELTS:  $\geq$  6.5 OR
  - Duo Lingo Graduate English:  $\geq 120~\mathrm{OR}$
  - Pearson Test of Academic English:  $\geq 67$

Work Experiences Work experiences, especially if they are not research-based, do not carry much weight. So do not spend much time talking about them in your SOP (and similarly, LoR from your supervisor for non-research experience will not count much).

However, if you have research-based work experiences, then they can be useful and should be mentioned in your SOP and LORs. For example, if you have worked at a research lab (e.g., Microsoft, DoE, VinAI in Vietnam, etc) (§4.1), then you should mention that in your SOP and ask your supervisor to write about your research experiences and potentials in their LOR (§4.2).

## 4.6 CV/Resume

The CV/Resume should provide a summary of the applicant's achievements. It should allow the reviewers to quickly scan to identify major achievements (e.g., Publications, Programming Competition Awards, Teaching Experience).

## 4.7 Interview and the Waiting Game

After you submitted your applications, the waiting game begins! For many students, this is a very stressful time. This section provides some information and tips to help you get through this time.

Interviews Just like a job application, you likely will get interviews from schools. The most common case is that a professor is interested in working with you and wants to chat to make decision e.g., to offer RA (§6.1.2)). In some cases, the interview is done by several professors, e.g., to see if a student fits to their group or to recruit a very strong student to their program. The interview can also be done by adcommembers to see if the student fit their program.

Typically, an interview takes about 15–30 minutes, and one important aspect of evaluation is your ability to effectively communicate, including speaking and understanding English. In general, just treat the interview as an informal chat, but be prepared to talk about your research experiences and interests (so do have *elevator pitch* about your experiences and research). A professor might want to know more about your research background or even ask you to read a paper (so be sure to do it!). Some prof. can ask you to solve a problem, e.g., a coding problem (so you might want to ask if you need to prepare for that). During the interview, you will have the opportunity to ask questions about the university. It's essential to prepare some thoughtful questions, similar to a job interview.

**Vu:** At GMU, faculty are encouraged to interview candidates. For very strong candidates, the interview is actually to recruit them. In some cases a faculty interviews a candidate that they see potentials and want to advocate for their admission. Without the interview, such applications may be more likely to be rejected.

In short, getting an interview is a good sign; it means that someone is considering you. If we are not interested in your application, we will not proceed with an interview.

Not getting interviews While it is generally good to get interview, not getting an interview does not mean you're out. Many programs do not have the tradition of interviewing applicants. For example, at GMU, most of our admitted students with TA (§6.1.1) do not go through interviews.

However, no interviews typically means that you will not likely get an RA (§6.1.2), which is offered by an individual faculty (if they want to pay you to do research for them, then likely they want to talk to you first). In this case, your application (and TA/fellowship funding) will be reviewed and decided by the adcom.

Follow Up Emails If you had an interview and have not heard back, you can send an email to ask about the status of your application Don't send this too soon though, perhaps wait at least 2 weeks.

You can also send an email to ask about the status of your application if you have received offers from other schools and need to make a decision soon. See §D.1 for how to accept/postpone/decline offers.

**Timeline** The timeline for interviews and notification letters varies. Faculty setup interviews based on their (busy) schedule. Do not be surprised if you get an interview invitation in the last minute. Some schools do not do interviews at all.

Some schools send out admission letters in batches, some do rolling admission, and some do not send anything out (e.g., you're on their waitlist, or they might not send rejection letters). However, in general, you should hear back from most schools by around March (but some schools might take longer!).

In short, you should not worry too much about the timeline. Also do not send emails asking about interviews or status (unless you have interviewed specifically with someone then you can ask that person for status updates and others §D.1).

**Updating your profile** In general, you should not send emails to update your profile. However, if you have new publications or other big achievements, you can send an email asking to update your profile.

## Getting Admitted

"Oh... and how is education supposed to make me feel smarter? Besides, every time I learn something new, it pushes some old stuff out of my brain. Remember when I took that home wine-making course and I forgot how to drive?"

THE SIMPSONS

By around March you should hear back from most PhD programs you applied to. If you haven't heard back, reach out via email and ask about the status of your application. If you receive offers, congratulations! Now you're at a different game because the schools that have admitted you will try to get you to accept them! Important factors to consider include the reputation of schools and professors (§7), and funding availability (§6). You will likely have to make your decision by around April 15 (why this date?).

Open House Most schools will have Open House or visit events, which are a great resource to learn about the school, department, faculty, research, living, etc. Even if you can't come in person, you should attend virtually and meet with individual faculty. During the Open House, you get a chance to talk to individual faculty and current students. Take notes of faculty who make you excited, count those that are taking in new students (if they meet you, likely they are considering new students!). Talk to students about their advisers, the dept, the area, funding situation etc. Ask about anything you want to determine that they deserve you.

Vu: GMU has Virtual Open House (VOH), e.g., https://cs-gmu.github.io/cs-phd-voh-s23/. We invite all admitted PhD students to the VOH through Zoom to learn about the CS program, the department, GMU, and the DC area in general. Students also get opportunities to chat with professors and current students.

What else to do? Make decision (§D.1)! accept, reject, or defer the offers (if you have multiple options). Ask to meet with potential advisers (e.g., through Open House or separately) and even their students. Ask about computer equipment and software, office space, and other resources; in many case these will be provided for free by your adviser or department (§8.10).

If you do not get admitted If you do not get admitted to any schools or don't want to go to the ones that admit you, try again next time. Graduate admission can involve randomness and noise. Don't bother asking for feedback, you will not likely get any. In the meantime, you can work on improving your profile, e.g., get more research experiences, publish more papers, improve your connections for better LoR writers, etc.

You can also consider applying to MS programs, which are typically easier to get in; but you need to pay. If you get in an MS program at a school of your choice, you can contact professors to work with them. If you do well, you can ask the professor to support you to convert to PhD. This is not uncommon for many students.

## Funding

Bart, with \$10,000, we'd be millionaires! We could buy all kinds of useful things like...love!'

THE SIMPSONS

As mentioned, if you're admitted to a good CS PhD program, you should not have to worry about funding! In the US, the common types of funding for PhDs are graduate teaching assistant (GTA or TA), graduate research assistant (GRA or RA), and Fellowship. RA is paid by a prof. for you to do their research. TA is paid by the department for you to help with teaching. Finally, fellowship is an independent funding that can come from a school, a company, or an organization. Tab. 6.1 summarizes the differences. Note that funding is typically more available for PhD students than Masters.

Tab. 6.1: Different types of PhD funding. All cover tuition, insurance, and stipend.

	TA	RA	Fellowship
From	School	Profs.	School/External
$\mathbf{For}$	Teaching Assist.	Research	Research
Cover All?	Yes	Yes	Yes
Summer?	No	Maybe	Yes
Pros Cons	Research Freedom Teaching Duties	Get to do research Research Restriction	Research Freedom Competitive, Limited

## 6.1 Graduate Assistantship (TA/RA)

The most common type of funding is **graduate assistantship**, which is either TA or RA. Both TA and RA come with tuition waiving (you don't have to pay tuition), health insurance (this takes care of your insurance, which is a must have in the US), and most importantly, your stipend (i.e., your salary). Some universities also give significant discount or pay insurance for spouse/children.

Several things about stipends. First, the amount of stipend varies and depends on factors such as location (e.g., a stipend in Washington DC is likely higher than in Lincoln, Nebraska due to higher living cost). Second, an academic year (AY) year is typically 9-month in the US, so stipend is for 9 months (so divide by 9 for each month). Third, you might get paid over the summer through funding from your professor or fellowship (typically no TA over the summer). Fourth, like most source of income in the US, you will have to pay tax on your stipend. Finally, private universities might pay more for stipend, but they could have extra "activity" fee or some other hidden ones (e.g., you may need to pay some fees for each credit hour).

Low Stipend? Students often complain their stipend being too low. However, in most cases it is actually not bad and you can live comfortably with it. It might also be enough to support your spouse and kids (many CS PhD students have their family with them). So don't worry too much about stipend. If you're admitted to a good CS PhD program, you will be fine. A good school would know that it has to be competitive to attract students. For example, at GMU, every year we discuss about improving the benefits, and especially stipend, for our graduate students.

For a full breakdown of how much a graduate student costs, see §8.9.

**Vu:** TA and RA at GMU have similar benefits in tuition waiving and insurance. The college and department will set the rate for 9-month graduate assistant stipend. TA, which is paid by the department, will likely be that amount but RA might be higher depending on the stage of the student (1st year vs ABD<sup>a</sup>) and the prof.

<sup>a</sup>All but dissertation: really close to graduate.

Having health insurance is required at many US universities. Do not assume that you're young and healthy and ignore insurance. At GMU, and at most good CS PhD programs, your GTA or GRA will always come with full insurance. In fact, at GMU your spouse/children will get significant discount rate for health insurance. So you will never have to worry much about health issues for you or your family here.

## 6.1.1 Teaching Assistant (TA)

TA is common in the beginning when you haven't found an adviser who would pay you RA. It is also common to sandwich between TA and RA (e.g., when your prof. does not have sufficient funding or you want to try get TA experience).

Your TA is paid through the school or department, i.e., they hire you to help teach. As a TA, you spend up to 20 hrs/week and help with classes (e.g., grading or teaching labs/recitation). During a semester, a TA might work with several courses and professors (not necessary their adviser). TA funding is not typically available during the summer, which has few courses.

How to get TA? Unless you have other funding such as RA or Fellowships, TA is typically default for CS PhD programs. When you apply to be a full-time student, state that you need financial assistant. It is common that the PhD committee will either admit you and give you GTA, or reject you; i.e., we do not admit a student without supporting them.

**Vu:** At GMU CS, PhD students admitted TA has a 4 years of GTA guaranteed, and in also receive stipend for the first summer.

Even if you have other funding and do not need TA, you still should do TA at least once. This allows you to see what teaching is like, which is especially helpful for research career where you often give talks and tell people about your work. GMU sometimes has classes that a more senior student can teach. In that case, you will be paid as GTA or even sometimes as a lecturer. This is a good opportunity for students to get teaching experience and also get paid more (as a lecturer).

## 6.1.2 Research Assistant (RA)

RA is provided through a professor through their own funding so you can work on their project. You do not need to teach as an RA, so you can focus on your research. Depending on the professor, RA may be available during the summer. §8.9 gives more details on RA budget.

How to get RA? When a professor recruits you, they might offer you an RA right away (so you start with an RA). However, a more common scenario is that you first get admitted with TA, and then after a year or two find an adviser to support you with RA.

It is important to note that RA is never guaranteed as it depends on the funding situation of the prof. So you should also pay attention to TA, which is a good backup plan (remember, typically TA and RA have similar benefits). This means you should also ask if TA is readily available for PhD students in the program.

**Vu:** If you got recruited an offered an RA by a prof., it's very likely you will get admitted. For example, if a prof., even if not in PhD adcom, wants to fund you, the PhD adcom will respect that decision and admit you (unless your application has many red flags).

## 6.2 Fellowships/Scholarships

Fellowship is another type of funding that students can get (e.g., from the university, industries, government). Fellowships are typically competitive and generous, and gives pretty much all benefits tuition/insurance that a TA/RA has. They might even give higher stipend (including summer) and open doors for job opportunities such as internships.

How to get Fellowship? Many schools provide fellowships to attract admitted students. You likely will not need to do anything and the adcom will recommend such fellowships to admitted students. Some schools automatically offer a fellowship to all accepted students, while others only award it to a limited number of admitted students, such as the top percentile.

For external fellowships including those from the US government and tech companies (e.g., Google, Microsoft, Facebook), you will need to apply. Fellowships from US government would likely require US citizenship or residency. Prestigious external fellowships typically require a clear and good research plan, so it might be a good idea to wait until at least your second year to have research experience and even publication before applying. Remember, you're competing with the top PhD students at top universities worldwide.

**Vu:** PhD applicants at GMU are automatically eligible for a *Presidential Fellowship*. It is at least as good as GTA but the most important thing is that as a fellowship it is truly free money (i.e., you are not depending on any prof. or TA). PhD adcommembers nominate applicants for this fellowship and the whole committee will decide.

In general, fellowship, especially competitive ones from the government or company, is prestigious, and you will stand out if you get one. Every PhD student has pubs, but only few would have NSF Graduate or Microsoft fellowship. In fact, these are so prestigious that even if you didn't get it but make it to the final round or even "honorable mentioning", school will still mention you on their website and you still should put it on your CV.

## Choosing Schools and Professors

Choosing a school and an adviser is clearly among the most important things in your mind when you apply and especially when you get admitted. This is further complicated due to cultural differences and unfamiliarity of international students to the US higher education system. This section aims to mitigate some confusions and help you make informed decision.

## 7.1 Choosing a University

We will first discuss about universities in the US that offer PhD in CS. Then we will talk about how to rank and select them.

#### 7.1.1 Schools that offer PhD in CS

Most universities the US have a CS program. However, while many universities offer PhD in their CS programs, many do not. These universities might offer just Bachelor degrees (e.g., BS) and no graduate studies (i.e., no MS or Ph.D degrees), or they just offer MS programs (but no PhD). For example, Penn State in University Park has Ph.D. in CS, but Penn State in Harrisburg only has BS and MS in CS, and Penn State in York only has BS in CS. On the other hand, multiple locations of the University of Texas, e.g., Austin, Dallas, Arlington, have PhDs in CS.

Thus, if your goal is Ph.D. in CS, you have to aim only for schools offering such a degree. While this can be confusing due to the large number of universities in the US, a little research, e.g., searching for PhD in CS from the school website, will help you find out. All schools listed in §A have PhD programs in CS, so you can start there.

### 7.1.2 Selecting and Ranking Schools



International<sup>1</sup> students often put schools into two bins: (i) very top schools that they dream about, and (ii) everything else. In many cases, they use rankings from US News, which are not very transparent and highly questionable<sup>2</sup>. Sometimes these students evaluate CS programs using the reputations non-CS programs such as medical, math, or physics. They even rank universities based on popular states they know in the US, e.g., California and New York. Clearly, there are so many thing wrong with these methods.

You can learn about CS programs and research expertise of faculty using resources such as CSRankings.org. You will be very surprised to learn that a school that you didn't know much about can have very strong research in your interested topic (and vice versa, a school you thought highly about might have no faculty working in the research field you're interested in). This is also a good way to learn about individual faculty (who works on what) and well-known CS conferences<sup>3</sup>.

**Dat:** Most Vietnamese students, including those from top schools, **do not know** about CSRankings. May be applicants who worked at top research places such as VinAI would know about it.

While I find CSrankings useful, it is not perfect, and in general, rankings are superficial and you need to do more research to be informed and make better decision. For example, if you get admissions to several places, you should consider attending Open Houses (§5) and contact profs. that you're interested in at those place and talk to them. They would be more willing to chat with you now that you have been admitted. Ask them questions about their work, how they manage students, their expectations. You can even ask to contact their students. See more in §5 on what to do after getting admitted.

<sup>&</sup>lt;sup>1</sup>Even domestic students who have done their undergrad in the US might not know much about CS PhD programs in the US.

<sup>&</sup>lt;sup>2</sup>https://cra.org/cra-statement-us-news-world-report-rankings-computer-science-universities/

 $<sup>^3</sup>$ In CS (and probably only in CS), conferences, not journals, are often the main venue to publish research finding.

**Hung:** I always encourage the students I admitted to talk with my students and the students of other faculty in other schools who admitted them. You will unlikely hear straight-out complaints from current students in a professor's group. But sometimes what is important are things that they (current students) don't tell you. Pay attention to their "level of excitement" being in the group.

Xiaokuan: Chinese students often only look at USNews rankings when selecting their Ph.D. universities (I did that, too, when I was applying for Ph.D. positions). Now that I am a professor, I find it to be the least promising way. The reason is that USNews does not provide a good metric for evaluating the quality of the Ph.D. program. If you want to do great research, CSRankings is the best way to find good and active professors (which did not exist when I was applying), since it solely focuses on publications at top-tier CS conferences. Also, I think Ph.D. is not only about research; you need to also consider your daily life there, since you will (probably) stay for at least five years. You might regret it if you did not consider this seriously before applying.

## 7.2 Choosing an Adviser

Obviously, there is no one-size-fit-all answer to this question. The best adviser is the one that you can work well with. But how do you find such a person?

Fortunately, unlike many countries that require finding an adviser and research topic before starting the PhD (§8.7), CS PhD programs in the US will typically give you a couple of years to search for advisers and research topics. This is especially true if you're admitted with TA (§6.1.1), which gives you time to explore and find adviser.

#### 7.2.1 Finding the right adviser

Here are some general advice to find professor. Assuming you're not familiar with any particular one, then first search for profs. that share similar research interests. For example, in CSRankings, if you want to work with PL, you can search for those publish in PL conferences. If you want to work with SE and AI, you can search for faculty who work in both SE and AI. Then you do research about that professor and contact them (§7.2.4).

**Xiaokuan:** Whether the student's research interest matches that of the adviser is very important; if there is a mismatch, either the student or the adviser has to make compromises, which often leads to disagreements or conflicts. IMO, the adviser should be the one who *guides* students to do research while allowing students to pursue their own interest, instead of *dictating* their research.

Another effective way is taking graduate level courses in the topics you are interested in. Professors teaching these special topics courses and research seminars might be recruiting students—giving you even a higher chance. Do well in the class, answer questions, talk to the prof after classes, etc—being stand out. Many professors, including myself, prefer taking in new students this way. It gives both the professor and student more time, e.g., a whole semester, to work and evaluate the relationship before making any commitment (sounds a bit like a marriage!). You can also ask if you can do an independent study or research with a prof. This can be informal (no credit) and takes place during the summer or winter break. For example, I do this with multiple students, many of whom are undergrads. Many will drop because they find they don't like my research, but some will stay and become my PhD students.

Ultimately, choose a prof. that fits you the most by communicating with them, taking their courses, meeting and asking them questions, and talking to their current students. It will take time and effort, but since you will be working with this person for 5+ years, it is important to try to find the right one.

**Thanh:** In my opinion, having a well-suited adviser is crucial for a successful PhD and research career. One effective approach to finding a suitable professor is by working with a professor during your undergraduate studies. An exemplary instance is VinAI's residency program, where residents collaborate with professors from the US for two years before applying to PhD programs. Many VinAI residents have achieved remarkable results and gained admission to prestigious US universities. Unfortunately, VinAI's resident program is limited to AI research.

In other fields, e.g. Software Engineering, Vietnamese students face challenges in reaching US professors. Do you have any tips for Vietnamese students who want to connect with US professors and work as research assistants?

Vu: §7.2.4 shows how to contact a professor for research opportunities. Many probably will say no (or do not reply) as they do not have the bandwidth to take on random students, but some may say yes if they see potential fit.

#### Additional Resources

• The Definitive "what do I ask/look for" in a PhD Advisor Guide

#### 7.2.2 Types of Faculty: Who can serve as a PhD adviser?

Not every faculty can serve as your official PhD adviser. Let's try to understand the different types of faculty and their roles. For example, you might hear about tenured, tenure track, and teaching faculty. You might also hear about assistant, associate, full, adjunct, emeritus, teaching, research professors. Here is a primer on these terminologies.

Tenure-line and teaching faculty Tenure line faculty, consisting of tenured and tenured-track profs., focus on research, which includes publishing papers, obtaining grants, and mentoring Ph.D students. They often have low teaching load (e.g., 1 per semester). Tenured faculty are professors who have been promoted to have a permanent position (informally, very hard to fire them). Tenure-track faculty are (more likely young) professors who are on the track to get tenure. At most universities, only tenure and tenured track faculty can serve as a formal adviser of Ph.D. students. §7.2.3 talks more about choosing between tenure and tenured-track professor as your adviser.

In contrast, teaching (or instructional faculty or professor of practice) mainly focus on teaching. They typically teach 3–4 classes per semester (which is quite a lot) and do not have research responsibilities, e.g., they do not have to worry much about publishing papers or obtaining grants. They can mentor Ph.D. students but they typically cannot serve as a formal adviser of Ph.D. students, i.e., they cannot graduate PhD students.

Also note that professors in a non-CS department unlikely can serve as formal PhD advisers for CS students (see §7.2.5).

**Titles** Faculty have titles such as assistant, associate, full, regardless if they are tenure line or teaching. Assistant means new faculty, associate means they have been promoted, and full means they are senior. A tenure track faculty starts with being an assistant professor and then gets tenure and promoted to associate (typically after 6 years) and then full (time varies, some become full within 3-4 years, some 10+years, some remain associate). A non-tenure track faculty, e.g., teaching faculty or lecturer, also starts with assistant, then promoted to associated, and full.

Adjunct faculty is not full-time, e.g., they might be working in industry and teach a class or two for fun. Emeritus means they are retired but still have some affiliation with the university. Research faculty (or research scientist) are typically non-tenure line faculty who focus on research. Due to their roles, adjunct, emeritus, and research faculty typically do not advise Ph.D. students.

## 7.2.3 Tenured or tenure-track faculty? Who do you choose?



The short answer is that tenure-track faculty such as assistant professors are likely young and active in research (they have to, in order to get tenure). Thus, they will likely have more time to work with you and push you to do research and publish. However, they may not have as much experience in managing students and may not have as much funding (yet).

Tenured faculty, e.g., associate and full profs., are likely older, more well-known, and have more experience in managing students. However, they might not push you as hard. They might also expect you to figure things out yourself, i.e., you need to be independent. Some tenured faculty are also no longer active in research and more involved with administrative responsibilities or with their startup companies (this means they will likely not take new students).

## 7.2.4 Should you contact a professor? How to get a desired reply?

#### AVERAGE TIME SPENT COMPOSING ONE E-MAIL



Faculty often receive "cold" e-mails from prospective students. Most of the time, we ignore these emails, but in some rare occasions we do answer them. So how to write an email that get our attention?

First, if you want to contact a prof. to ask about your admission chance, please don't. We don't know and can't answer because as explained in §3, we don't make individual decisions and might not even be assigned to evaluate your application. It is the same as sending a paper draft to a journal editor and ask them if your paper has a chance.

So how to get someone to look at your profile and give input? You could ask your professors, LoR writers, collaborators, or those who have previously applied. For these kind of feedback, ask someone you have personal connection with.

If you want to contact a prof. to ask about research opportunities, or GTA/GRA support, then yes, I believe you should—it is worth it. However, you really need to put effort into it and do it the right way.

First, read the prof's website, see if they say something about contacting them. Many profs. explicitly indicate how prospective students should (or should not) contact them (e.g., using specific email subjects). In general, the best way to catch the prof.'s attention is to *customize your email* for them. For example, read their papers, know what they work on, and see if you are interested in their research. Then send them an email talking how/why their work would match yours. In contrast, if you write a generic email that can be sent to multiple professors (e.g., if you just change some names and keywords in the email or copy and paste paper titles), you will not get a response.

Below is a good example that I would reply to.

#### Dear Prof. Nguyen,

I am writing to inquire about potential research opportunities as a GRA in your group at GMU. Currently I am an undergraduate student in Computer Science at UNIV and plan to graduate in May 2023.

I have read your TSE'21 paper on numerical invariant generation, and I am interested in this line of dynamic invariant research. I have worked (optional: with prof. Y at Z) on static program analysis and I think it could be used to tackle the spurious issues mentioned in your paper. I have a short paper at conference/workshop C and a project on symbolic execution (Github repo G).

...

This is a good example because it is clearly written just for me. It shows that the student knows about my work on invariant generation and has related background (paper C and project G).

Finally, profs. are very busy so don't take it personally if you don't get anything from them (though I would be very surprised if such thoughtful emails get no replies!).

**Xiaokuan** Applying for Ph.D. and contacting a potential Ph.D. adviser is a classic 'why me, why you' problem, similar to looking for a job in a company. On a high level, you need to show that you have done your *homework* regarding the professor and the university, and clearly explain: 1) why do you think you are a good fit in professor A's group? 2) why do you want to be advised by professor A, not B? 3) why do you want to apply for university X, not Y? If you don't want to spend time to do your homework, the chance of getting a reply is close to zero.

**Deepak:** In my view, cold emails are not welcome by most faculty members and should be avoided. However, if one is already admitted to a program in some department, by all means, send an email to the faculty you may be interested in working with, but do mention right at the beginning that you are already admitted to the program as well as several other universities. State specific areas (preferably specific topics-ML, robotics instead of AI).

#### **Additional Resources**

- A Note about Emailing Professors by Yonatan Bisk
- §D.1 How to accept/postpone/decline PhD offers (and do it gracefully)

#### 7.2.5 PhD in other related fields: CE, IST, Cybersecurity

In many cases you do not need to do a PhD in CS to work in your area of interest. For example, in addition to a traditional CS department, GMU has IST and Cybersecurity departments, both of which have faculty with PhD in CS and work on CS topics (e.g., AI, Security, Robotics). So it is totally possible that you still get to do CS research and publish in CS-focused venues even if you're not in a traditional CS

program. It is common to see faculty with CS PhD in a non-CS department as well as faculty with non-CS PhD in CS department.

However, if your goal is a PhD in CS, then you likely need to be in the CS dept and advised by a CS faculty. A non-CS faculty may co-advise or be in the PhD dissertation committee, but your main PhD adviser will likely be a tenured or tenure-track faculty in CS (§7.2.2). If in doubt, check with the CS department for their requirements.

For this specific reason, CSRankings includes only tenure line faculty who can advise CS PhD students. I also have compiled a list of Vietnamese faculty who can advise PhD students. §7.2.2 talks more about who can serve as your PhD adviser.

## Chapter 8

# Miscs and FAQs

"I want to share something with you – the three little sentences that will get you through life; number 1: Cover for me, number 2: Oh, good idea, Boss, and number 3: It was like that when I got here."

THE SIMPSONS

## 8.1 Why did I get rejected?

Many possible reasons! Other than not having a strong profile (e.g., research potentials, GPA, LoRs, SOP), here are some that you might not expect:

- You are not a good fit to the program. You could have an excellent profile, but if you are interested in a research area that the program does not have, you might not be admitted. Similarly, if there is no faculty who is willing to advise you (e.g., they are on sabbatical, personal leave, or already have too many students already), you will not be admitted. This is actually good for you, as you don't want to be in a program where no one can advise you.
  - For example, 2024 admission cycle has a huge surge in students interested in AI and NLP (thanks, ChatGPT!). Consequently, AI/NLP faculty might be overwhelmed and cannot consider many candidates, even those with excellent profiles.
- Your English scores (e.g., IELTS or TOEFL) are not high enough. While professors might not care much about these, there is a certain minimum set by the college or the university that you need to pass to get admitted, especially for TA funding (e.g., you need to be able to communicate well with students as

a TA). Thus, while professors are willing to argue for your case, they might be reluctant to go against the standard requirement of the university on English scores. Note this is mostly for international students, as domestic ones (or those from these countries) do not have to take these tests.

**Should I ask for feedback?** No, don't bother. You will likely not get any useful feedback. We are not willing to reveal your evaluation results or give you advice on how to improve. So it is best to just move on. If you really want feedback, ask your professors, collaborators, ref writers, or those who have previously applied.

## 8.2 Increasing my admission chance



Given the high number of quality applicants and limited number of spots, other than having a good application profile, you really want to show something that makes you **stand out**, e.g., do you have a degree or background in *dance* or *music* and want to integrate them with CS? are you a female or a minority in CS (research for "URM minority in CS in the US" on Google) (§8.3)? Do you participate in outreach activities that help increase diversity and inclusion in CS? All of these are unique and would get noticed from reviewers.

Even if you do not have research experience, you can talk about your personal projects, as long as they can help show you can do research. For example, if you have an open-source project on Github that is used by many people, has lots of stars in Github, do talk about it. If you often write technical, research-like blogs with many viewers, talk about them too.

In his post, Matt Might was initially unsure about an application. However, upon learning that the applicant had led a 100km hike in the Himalayas, he decided to

accept the applicant. This is a good example of being "stand out", and I would also advocate for that student as this shows they have the persistence and determination required for research.

## 8.3 Member of an underrepresented or LBGTQ+ groups

A common question is whether you should mention that you are a member of an underrepresented and minority (URM) group<sup>1</sup> or LBGTQ+ group (, e.g., in your SOP). This is a strong concern for international (and even domestic) students, who may have encountered discrimination in their countries or personal experiences.

In my personal opinion, highlighting URM or LBGTQ+ identity can *indeed be beneficial*, especially if you can articulate how your diverse experiences contribute to diversity and inclusion in academic. Many universities have a strong commitment to diversity and inclusion and actively *recruit students from underepresentative back-grounds* (e.g., some stats from UMich CSE and GMU often promotes itself as one of the most diverse universities in the US). Moreover, many scholarships and fellowships are created specifically for URM and LBGTQ+ students, which you should explore if qualify.

It is understandable that you might feel uncomfortable disclosing this, fearing you would lost a chance of working with a faculty due to their bias. However, if someone has this issue, then you might not want to work with them anyway (and such bias is not acceptable in academic and likely would not be tolerated by the university). In my experience, it is the opposite: many profs. actively seek out to work with students from diverse backgrounds and view diversity as an asset to their research group.

Moreover, promoting diversity aligns with the goal of broadening participation in computing (BPC) that is strongly supported within academia (e.g., see the BPC plan at GMU CS) and government funding agencies such as NSF (e.g., see here and here). Also, sometimes providing this information can make it easier for faculty to apply for certain scholarships or fellowships to support you, e.g., from a private donor that has offered a fellowship only to students from certain background.

Ultimately, this is a personal choice and you do what you feel comfortable. Seeking guidance from your close professors and collaborators is a good idea. However, it is important to recognize that diversity is strongly valued and celebrated within CS programs in the US, and that you should feel empowered to share your unique experiences and perspectives.

<sup>&</sup>lt;sup>1</sup>URM in CS in the US include African Americans, Hispanic Americans, American Indians/Native Alaskans, Native Hawaiians/Pacific Islanders (excluding Asian Americans), and multiracial students identifying with at least one of the previously listed URM categories.

# 8.4 Can I apply to CS PhD if my undergrad was not in CS or related areas?

Yes, as long as you can demonstrate you are ready for CS PhD research through research experiences, LoRs, statements, etc as mentioned. You might be even able to leverage this to make your profile stand out as mentioned in §8.2.

One main concern for non-CS or non-STEM students is if you have the sufficient technical background obtained through core CS courses. You need to show that you have such knowledge through your coursework, projects, or research. For example, if you have taken a course on Algorithms, even online ones like Coursera, you can talk about it in your SOP. If you have done a project that requires knowledge of OS or have a professional certification (e.g., A+) through work, you can talk about it. If you have done research that requires knowledge of Discrete Maths, you can talk about it. You can also ask your LoR writers to talk about your technical background. In summary, in your application, convince us that you have the background to do CS PhD research.

In short, you do not need to formally taking CS courses, you just need to show that you have these essential knowledge, e.g., through the mentioned ways. Many universities are well-aware that incoming graduate students might not have all the technical background, so they often have a "bridge" courses to help students catch up. For example, GMU has four bridge courses (Data Structures and Algorithms, Computer Systems, Discrete Math, and Programming Foundation) that incoming students can take to catch up on their CS knowledge.

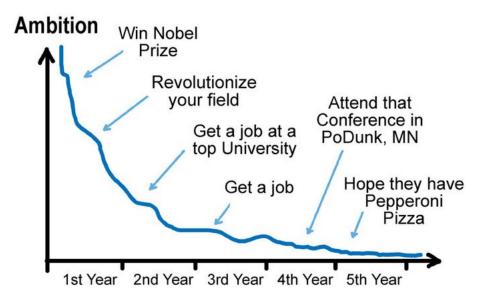
Vu: I would strongly advocate for a non-STEM student who shows that they have a strong drive for CS by studying core CS knowledge through various channels (e.g., self-study through online courses, projects, etc). I have seen many students with non-CS background who are very successful in CS PhD. I also have seen many students with CS background who are not successful in CS PhD. So it is not about your background, it is about your drive and passion for CS research.

## 8.5 Is an MS required for admission to PhD in CS?

No. In fact, student can get MS degree "along the way" to PhD, e.g., after finishing course work in the first 2 years. However, MS can help admission if it gives research experience or is from a more well-known school than your undergrad institution.

If you have an MS then some course work *might be* transferred for course credits, which save a bit of time. But overall don't count on this, especially if your MS is not from the US.

## 8.6 How long does to complete the CS PhD program?



Typically, it takes 5–7 years for CS PhD in the US. This can be longer than CS PhDs in other countries, which might require MS first (recall that CS PhD programs in the US do not require MS and you can get MS along the way to PhD). Within these 5–7 years, CS PhD students often take a "leave of absence" for 1–2 semesters to do internship at companies and research labs.

The first 2 years you typically take coursework (somewhat equivalent to an MS study), find an adviser, learn how to do research. The next 2–3 years you focus on your research, form dissertation topic, and get results published. The last 1-2 years you continue to publish, write and defend your dissertation, and look for job. In many cases you might take a summer or two off to do internship to get additional research opportunities. The PhDComics figure on top shows the "ambition" level of a PhD student over their years of study (they miss the 6–7th year where the ambition is "Just let me graduate").

#### 8.7 PhDs in the US vs. Other Countries

This summarizes the main differences between CS PhD in the US and other countries. *MS Degree requirement*: as mentioned in §8.5 and §8.6, CS PhD programs in the US do not require MS degree. In contrast, many other countries do require MS degree before joining a PhD program. This means that US PhD programs are longer (5–7 years, 2 of which are coursework) than other countries (3–4 years, no coursework).

*Project proposal*: in many countries, you have to choose a project and adviser during the application process (e.g., you write a proposal to a potential adviser).

In the US, you often start your PhD without an adviser or project and find them later. This is because as someone who just finished your undergraduate study, it is unlikely that you know enough about research to do proposal or picking an adviser. This is also why US PhD programs are longer.

Course work: in the US you will spend the first couple of years taking classes and explore potential adviser and research topics. In other countries, you (who already have an MS) start your research right away, e.g., you immediately work on the research project you proposed with the adviser you chose. Also, in the US you also have to pass a series of "exams", e.g., qualifying exam, comprehensive exam, thesis proposal defense<sup>2</sup>. In other countries, you might not have these exams or only have to do a few of them.

Funding: In many countries stipend comes from the university or from the gov't. These funding often have a fixed duration (e.g., 3 or 4 years). In the US (§6), stipend (e.g., RA) comes directly from your adviser (no fixed duration). There are also fewer TA opportunities in the European universities compared to the US.

Faculty position after PhD: In other countries, PhD graduates interested academia typically apply for additional research appointments, i.e., postdocs, and then consider faculty position. In the US, PhD graduates often apply directly for faculty position (postdoc for US graduates is no longer a popular option as it was before).

Work-life balance: PhD students in the US are often said to be overworked compared to other countries, e.g., in Europe. This is partly due to the longer PhD program and that US PhD students are often paid through TA, which requires them to do TA in addition to their own research. In contrast, PhD students in other countries are often paid through fellowships, which might not require doing TA.

<sup>&</sup>lt;sup>2</sup>The word "ABD" (all but dissertation) is used in the US to refer to a PhD candidate who have finished all course work and exams and only need to write and defend their dissertation.

## 8.8 How do I call or address a professor?



If you're reaching out to a professor for the first time, address them as Prof. or Dr. Lastname. Many international students use Prof. or Dr. FirstName LastName, but this can come across as if you're simply copying and pasting names. It's not necessary, so stick with Prof. or Dr. Lastname.

Furthermore, avoid using Mr. or Mrs., or the professor's first name if you're not acquainted with them yet. As you become more familiar with your prof and depending on their preferences, you may transition to addressing them by their first name. For example, I prefer that my students and colleague call me Vu. Some students call me Dr. Vu, which I find a bit amusing but am totally fine with it.

**DK:** I was amused to read this as if I recall correctly, you never called me by my first name when you were at UNM. You always called me Prof. And, many times, I would jokingly call you back as Prof. Vu.

Vu: Yes, for some reason I enjoy addressing you as "Prof." (without appending a last or first name). The use of Prof. Vu may have foreshadowed my future in academia.

Note that in some universities the formal title Dr. Lastname is preferred over Prof. You just need to observe and follow the conventions at your particular institution. Additionally, be aware that not all faculty members may hold a Ph.D., in which case using Prof. Lastname is a suitable alternative.

Referring to professors you know Because you are already familiar with these individuals, you can just informally use their names if they are OK with it as mentioned above (or Dr./Prof., if you want to be formal). You can also include their institution if it makes it more precise. For example, I can say: "I did my postdoc with Jeff Foster at Univ. of Maryland".

Do not include ranking (e.g., Assistant, Associate, Scientist, ...) when referring to someone. I see many international students include a lengthy title of people they know, e.g., I am advised by Asst. Prof. X, and I also collaborate with Distinguished Scientist Y. This is not necessary and makes it look like you're trying to show off your connections. These nuances represent some cultural differences that you may encounter and will gradually adapt to. More on cultural differences in §D.

#### 8.9 How much do I cost?

PhD students often ask why their salary is so low compared to ludicrous grants their advisers get. They also wonder why their offer letters sometimes show that their benefits higher than what they actually receive (i.e., stipend).



Tab. 8.1 shows the budget breakdown for a GRA per year. These numbers are based on my experience at public universities in the US. Private universities may have different numbers. For simplicity, I will assume the department has a 9-month stipend of \$29000 and a 3-month summer of \$10000 (about a third of the 9-month stipend). I will also use GMU tuition rate of about \$15,000/year for full-time study (which is quite cheap compared to private universities, e.g., Univ. of Chicago is a whooping \$70K) and a 58.9% rate on *indirect cost*, which is a typical rate charged for overhead or administrative costs (yes, after all, universities are businesses!). Finally, I assume the student take two conference trips per year, one domestic and one international (conf. registration, airline tickets, taxi, meals, etc are all included).

At the end, the total budget comes out to be \$72K/year to support a PhD student. The summary is that over your 5-6 years of your PhD, you cost about \$400K, and while your stipend is X, your adviser probably pays 2X for you.

Tab. 8.1: GRA cost breakdown. F & A is Facilities & Administrative Cost Base and MTDC is Modified Total Direct Cost. These are things that the university can charge overhead to.

$\operatorname{Budget}$	Cost \$	Notes	
GRA (9-month)	29K		
GRA (summer)	10	3-month, $20$ hrs/week	
Total Salary	39K		
Health Insurance	3K	full year	
Tuition (In-State)	15K	(\$680/  Credit + \$150/ Student Fee/  Credit) * 9  credits =	
		7470 (6120 + 1350)  per semester	
Total Tuition & Insurance	18K	Full year tuition + insurance	
Conference Registration	500		
International Travel	1800		
Domestic Travel	700		
Total Travel	3K		
Total Direct Cost	60	Salary + Travel + Health + Tuition	
F & A (MTDC)	21K	Direct Cost - GRA Salary	
Total Indirect Cost	12K	58.9% of MTDC	
${\bf Total}\;({\bf Direct}+{\bf Indirect})$	72K	Budget for a GRA	

## 8.10 Buying Computer Equipment

understandably students get very excited about their upcoming PhD journal and want to buy new computer equipment and electronics to prepare. However, you should check with your adviser first. Many profs. have funding to buy you computers and other equipments (e.g., software, books, keyboards, headphones, tablets, etc). Many CS programs also provide some budget or computer equipments to incoming PhD students (e.g., a laptop).

## 8.11 Can I negotiate my PhD offer?

For stipend, unlikely, as TA is set by the university and while RA is set by the adviser, it is often based on the department's standard, and it also would be unfair to other students if you get more. However, your stipend is often increased every year (automatically by a small amount) and also after you pass your qualifying exam.

For start date or TA assignment (e.g., TA'ing a specific course), it is uncommon but you can ask for it. Also, there is typically no moving allowance for PhD students. In short, standard things set by the university or department are unlikely nonnegotiable. However, you can ask for things such as computer equipments (§8.10), books, (and sometimes even furniture) and conference travel budget.

## 8.12 Will I be miserable during my a PhD?

There are many stories on how students are mistreated, stressed, and miserable. Issues including bad relationships with professors, conflicts with co-authors and lab mates, feeling discriminated (e.g., because you're an international student), etc do exist, and it is good to be aware of those. However, in reality there are many good mentors, supportive lab mates and department, and so on. So don't let social media make you feel pessimistic and deter your quest to advance knowledge.

# Appendix A

# Rankings of CS PhD programs

Tab. A.1 lists the top 50 CS programs in the US from CSRankings.org, a ranking system based on faculty publications at top CS conferences.

Tab. A.1: Top 50 CS PhD programs in the U.S. (CSRankings, Jan. 2024). \* indicates that the university has Vietnamese prof. that can advise CS PhD students.

1	Carnegie Mellon	26	Duke University
2	Univ. of Illinois at Urbana-Champaign*	27	Univ. of California - Santa Barbara
3	Univ. of California-San Diego	28	Rutgers University*
4	Georgia Institute of Technology	29	Univ. of California - Riverside
5	MIT	30	Pennsylvania State University
6	Univ. of California - Berkeley	31	Northwestern University
7	University of Michigan - Ann Arbor*	32	George Mason University*
8	University of Washington	33	Texas A&M University*
9	Stanford University	34	University of Utah
10	Cornell University	35	North Carolina State University
11	University of Maryland - College Park	36	Ohio State
12	Northeastern University*	37	University of Virginia
13	Purdue University	38	Yale University
14	University of Wisconsin - Madison*	39	Univ. of California - Santa Cruz
15	University of Texas at Austin	40	Brown University
16	University of Pennsylvania	41	Harvard University
17	Columbia University*	42	Boston University
18	Princeton University*	43	Rice University
19	New York University	44	University at Buffalo*
20	University of Massachusetts-Amherst*	45	University of Colorado-Boulder
21	Univ. of California - Los Angeles	46	University of Illinois at Chicago
22	University of Southern California	47	University of Minnesota
23	University of Chicago	48	University of North Carolina*
24	Stony Brook University*	49	Arizona State University*
25	Univ. of California - Irvine	50	Univ. Of California - Davis

## Appendix B

## Domestic Students

Most of what is written in this document is applicable to both domestic<sup>1</sup> and international students. However, there are some differences and benefits that domestic students should be aware of.

**Fee Waiving** Some school might offer application fee waiver for domestic students. You should check with the school you're applying to.

School §4.4 We already know about your school, which is a plus (see §4.4). You are also more familiar with the US education system and culture (so hopefully you are aware of the cultural issues listed in §D).

Standard Tests §4.5 You do not need to take TOEFL or IELTS because you already did your undergrad (or MS) at a US university. You might also be more comfortable communicating in English, e.g., contacting professors (§7.2.4).

**Transcripts** You do not need to get your transcripts evaluated (which can be a hassle and inaccurate). You can just send your official transcripts directly to the school you're applying to.

Funding §6 You have more opportunities for funding, e.g., through government scholarships for US citizens and permanent residents. You can also apply to specific programs before you start your PhD, e.g., NSF Graduate Research Fellowship Program (GRFP) and Hertz Foundation Fellowship. These fellowships can be very competitive and will improve your admission chance if you get them.

<sup>&</sup>lt;sup>1</sup>For simplicity domestic means you did your undergrad (or MS) at a US university.

Research Experiences §C You might have many opportunities to do research as an undergraduates, e.g., through REUs and internships at your undergrad university. Highlight such experiences in your application.

**Standing out §8.2** There are *very few* domestic applications compared to international ones, i.e., domestic students are minority (§8.3) in the CS PhD application pool. Many US universities want to increase the number of domestic students in their programs (and as mentioned above, there are specific fellowships for domestic students). That makes you stand out and can help your case.

Open House §5 It is easier for you to attend open house events in person. This can help you make a better decision on which school to attend.

## Appendix C

# Research Opportunities for Undergraduates

As discussed in §C, research experience is a crucial component of a strong PhD application. It gives you opportunities to try out research, determine what research area you're interested in, publish papers (§4.1), and get strong LoRs (§4.2).

This section provides some guidance on how to gain research experience as an undergraduate student. It also gives advice to international students or domestic ones from small colleges where research opportunities are limited.

**Locally** Start looking for research opportunities at your own institution. If you did well or like a class, you can check with the professor of that class for research opportunities. You can also go to the department directory and then professors' websites and see if they are looking for undergraduate researchers. Even if they say they are looking for graduate students, you still should contact them and ask.

There might be also special programs for undergraduate research from the university, e.g., GMU has the OSCAR program, UNL has UCARE, NSF has REUs (Research Experience for Undergraduates) for US citizen and permanent residents.

You can also take honor thesis or independent study courses with a professor. This is a good way to get research experience and also get a LoR from the professor. You can also ask your academic adviser or other faculty members for suggestions. Finally, you can also ask your peers who are already doing research. Use the method described in §7.2.4 to contact professors

**Vu:** I enjoy working with undergrads (e.g., have mentored over 10 undergrads) and always actively recruit. I often get undergraduate researchers through my classes, e.g., asking students who did well in my class if they are interested in research. Occasionally I was introduced to students by other students or faculty.

While most undergrads are understandably not super productive in research, some are and I have published multiple papers with them. I also have written strong LoRs for them and have helped them get into PhD programs, including top ones.

At GMU we pay undergrads about \$15/hr and about 10 hrs/week. This is flexible and can be increased as needed.

Online Research Communities and Open Source Contributions Places such as Github offer many opportunities for research, even though they might not appear so (e.g., they do not explicitly mention research). In many cases, professors' or research labs put their research projects on Github. For example, my research group has a many open-source projects that undergrads can contribute to (see https://github.com/dynaroars/).

By contributing code, fixing bugs, implementing new features, or providing documentation, students can gain practical research experience and interact with experienced developers and researchers in the field. Not only you gain research experience, you might be able to get a LoR from the project maintainer and definitely should write about this experience in your SOP (§4.3.)

Virtual Research Opportunities: This is less common but several places offer virtual internships and research programs aimed at providing hands-on research experience. These programs often involve working remotely under the guidance of experienced mentors and collaborating with a team of fellow researchers. For instance, UIUC+ Summer Undergraduate Research in Software Engineering offers an unpaid remote internship for software engineering students all over the world to collaborate with mentors from University of Illinois at Urbana Champaign.

## Appendix D

# Cultural Differences and Other Issues

This section lists some cultural issues that international students might want to pay attention to. These issues apply in general and not just PhD admission.

## D.1 Accepting, Postponing, and Decline Offers

Students, especially international ones, often get confused on what to do after they get an offer from a professor, e.g., is it OK to postpone or accept/reject offers?, and most importantly, how to do so without offending anyone. Many also wonder about accepting an offer and then later rejecting it.

In short, if you are not serious about an offer for any reason (e.g., still waiting for other offers), do not accept it. If you are not sure, ask for more time to decide. But do not accept an offer and then later reject it. This can be considered rude and unprofessional, and will likely burn bridges with that prof.

Accepting an offer If you decide to accept an offer, you can say: "Thank you for the offer. I would like to accept it and look forward to working with you. Could you please send me more details about the offer and what to do next?". The prof. will likely send you more details about the offer and what to do next. If you decide to accept an offer, do so quickly.

Postponing an offer If you need more time to decide, you can ask for more time: "Thank you for the offer. I am very excited about it. However, I am still waiting for other offers and need more time to decide. Would it be possible to postpone the decision for a few weeks?". This is perfectly fine and professors will understand and might even appreciate your honesty. They will likely give you a few weeks to decide. If you need more time, you can ask for more time. But do not ask for too much

time, e.g., more than a month. You also should not postpone the offer multiple times, which will annoy people.

**Declining an offer** If you decide to decline or reject an offer, you can say: "Thank you for the offer. However, I have decided to accept another offer. I appreciate your time and consideration. I hope we can work together in the future." Professors will understand and wish you luck. If you decide to reject an offer, do so quickly.

This is very similar to a professional job offer. You should be serious about it and communicate promptly. Importantly, do not accept an offer and then later reject it. If you do this with a company, you might face legal consequences. If you do this with a professor, they will likely not do anything about it (i.e., not worth their time) but they will not want to work with you in the future.

## D.2 Academic Integrity (Cheating and Plagiarism)

Plagiarism and cheating (e.g., exams, assignments) is a BIG no-no in the US. If you're caught cheating, you will face serious consequence and likely be expelled from the university (e.g., after the second time at GMU). This is quite different from many international countries where cheating is common and often tolerated. Faculty is extremely good at detecting cheating (we have been dealing with these situations so many times over so many years), and will report cheating cases. In short, whatever you do, don't cheat—not worth it.

Here is the typically steps: (i) a faculty suspecting a cheating case will report it to the Office of Academic Integrity (OAI) at the university—the report often has supporting evidence and suggested penalty (e.g., a failing grade); (ii) OAI will take over and investigate the case; and (iii) OAI will make the final decision. It is important to note that after receiving the report from your prof., OAI completely takes over and makes decision. This means begging your professor will not help because they simply are no longer involved in the case.

## D.3 Illegal Software

Using illegal/cracked software is very common in many countries (and even in the US). However, do not install or use them on university computers, even those given to you from your adviser. It is unlikely that the university will track you down, but it is the software company that will. They have very sophisticated tools to detect illegal software and will sue your university/department. Imagine your department or adviser being sued for a large sum of money, and it is you who caused it. If you need to purchase software, ask your adviser or the department ( $\S 8.10$ ).

#### D.4 Gifts

In many countries, it is customary to give professors gifts, often during holidays. These gifts can be costly and profs. sometimes expected them. In the US, it is uncommon and perfectly OK to not to give gifts. *However*, if you'd like to offer small souvenir-like tokens, it's a thoughtful gesture that's appreciated. Some professors proudly display their gifts, which can come from students and colleagues (e.g., when they travel to their home countries or conferences). In summary, small gifts are fine, but avoid anything that might make your professors uncomfortable.

## D.5 Relationships with your Profs.

There's a misconception that in the US it's all business, with professors as bosses who pay students for their work, and you doing something nice implying you expect something in return. However, the reality is quite the opposite. While people can be straightforward and direct, they are also friendly and informal. You can call your professor by their first name (§8.8), disagree with them and argue (and gain respect doing so), seek their help (even on personal matters), come to their houses for parties or gathering, and give them small thoughtful gifts that they put on their desks (§D.4). Many students and professors maintain lifelong relationships, staying in touch through cards, emails, and calls, even after their academic journey ends.

# History and Acknowledgement

History This handbook was conceived during a lunch with a faculty at GMU. We talked on about why GMU were not able to attract good Vietnamese and other international students, despite having a much stronger CS program than many schools that these students want to go to (part of the reason is described in §7.1.2). We wished there were a way for international students to know about the US PhD programs (also for US faculty to understand more about international students and therefore have better chance of recruiting and working with them). I was also a member of the large VietPhD group on Facebook and saw many questions from students about PhD programs. However, most active participant are students in non-CS fields or not in US, and their answers are unfortunately not always accurate and sometimes leading to more confusion. So I thought it would be useful to have a document that is specific to CS PhD programs in the US from an insider prospective.

I started writing this handbook in May 2023 and have been updating it since then (mostly around deadline time when I tend to procrastinate, i.e., *productive* procrastination!). I have put the source code of this document on GitHub so that others can contribute to it.

**About Me** I am an assistant professor in the CS dept at George Mason University (GMU). Prior to GMU, I was at the University of Nebraska-Lincoln (UNL). I have been in the PhD admission process at GMU and UNL for many years. Currently I serve as the program director of the MS program in Software Engineering at GMU (thus also have some experience with the MS admission process—which is quite different than PhD). My personal and lab website is at dynaroars.github.io.

Though I was not an international student, many of my students and collaborators are/were. I also mentor multiple students from Vietnam, and have close colleagues and friends who were once international students. I hope to capture the diverse challenges and experiences they've faced in this handbook, so that it can be a valuable resource for prospective international students. Finally, my upbringing in the US provides a perspective aligned with American culture, allowing me to shed light on various issues, particularly those related to cultural differences (§D).

**Acknowledgement** Many people have contributed to this work. Profs. Craig Yu (GMU), Hakan Aydin (GMU), Xiaokuan Zhang (GMU), Hung Le (UMass), and Deepak Kapur (UNM) provided valuable input in the early version. Other GMU faculty members also have provided feedback and contributions. Many students including Didier (GMU), Thanh (Melbourne), and Dat (Melbourne) have contributed valuable questions and feedback. **Thank you!**