

# Book Embedding with Fixed Page Assignments

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## Introduction

**Definition.** A *page embedding* of a graph  $G = (V, E)$  is a planar embedding of  $G$  such that the vertices of  $G$  lie on the *real line*  $\mathbb{R} \times \{0\}$  and every edge lies in the *upper half-plane*  $\{(x, y) \in \mathbb{R}^2 : y > 0\}$ , apart from the edge's endpoints.



A book embedding with two pages.

**Definition.** A *book embedding* of graphs  $G_1 = (V, E_1), \dots, G_k = (V, E_k)$  on the same set of vertices consists of page embeddings for each of the graphs that coincide in their vertex positions.

**Problem: BOOK-EMBEDDING**

*Given:* A vertex set  $V$  and edge sets  $E_1, \dots, E_k \subseteq \binom{V}{2}$

*Question:* Is there a book embedding of  $(V, E_1), \dots, (V, E_k)$ ?

**Definition.** Let  $(V, E)$  be a graph and  $<$  a total order on  $V$ . We call the condition that the suborder  $a < c < b < d$  does not occur for any  $\{a, b\}, \{c, d\} \in E$  the *book constraint* for  $E$ . If  $<$  fulfils the book constraint for  $E$ , we say that it is a *valid book order* for  $E$ .

**Lemma.** *There is a page embedding for  $G = (V, E)$  if and only if there is a valid book order  $<$  for  $E$ .*

**Lemma.** *Let  $(V, E_1), \dots, (V, E_k)$  be a book embedding instance with valid book order  $< \in \text{Sym}(V)$ . Then the cyclic shifts and the mirror image of  $<$  are also valid.*

## NP-completeness and connected pages

**Problem: BETWEENNESS**

*Given:* A finite set  $M := \{1, \dots, n\}$  and a set of ordered triples  $C \subseteq M^3$ .

*Question:* Is there a total ordering  $<$  of  $M$  such that either  $a < b < c$  or  $a > b > c$  occurs for all  $(a, b, c) \in C$ ?

**Theorem.** *There is a polynomial time reduction from BETWEENNESS to BOOK-EMBEDDING. Thus, BOOK-EMBEDDING is NP-complete.*

**Problem: CONNECTED-BOOK-EMBEDDING**

*Given:* A vertex set  $V$  and edge sets  $E_1, \dots, E_k \subseteq \binom{V}{2}$  such that the graphs  $(V, E_i)$  are connected for  $i \in \{1, \dots, k\}$ .

*Question:* Is there a book embedding of  $(V, E_1), \dots, (V, E_k)$ ?

**Theorem.** *CONNECTED-BOOK-EMBEDDING can be solved in linear time.*

**Definition.** A PQ-tree  $T$  on  $M := \{1, \dots, n\}$  is a rooted, ordered tree with leaves  $M$  and inner nodes either of type P ( $\bigcirc$ ) or type Q ( $\square$ ).

The tree represents a set of permutations  $\pi(T) \subseteq \text{Sym}(M)$  on its leaves as follows: The order of the children of a P-node can be permuted in any way, while the order of the children of a Q-node can only be reversed. The set  $\pi(T)$  then consists exactly of the permutations of the leaves  $M$  that we can get by flipping the inner nodes in any of the specified valid ways.

Let a *P-tree* be a PQ-tree containing only P-nodes as inner nodes and a *Q-tree* be a PQ-tree only containing Q-nodes as inner nodes.

## Disjoint perfect matchings

**Problem: PERFECT-MATCHINGS-BOOK-EMBEDDING**

*Given:* Disjoint perfect matchings  $E_1, \dots, E_k$  on a vertex set  $V$ .

*Question:* Is there a book embedding of  $(V, E_1), \dots, (V, E_k)$ ?

**Theorem.** *Let  $I := (V, E_1, \dots, E_k)$  be an instance of PERFECT-MATCHINGS-BOOK-EMBEDDING. If the graph  $G := (V, E_1 \cup \dots \cup E_k)$  is not bipartite, it has no book embedding.*

## Tree on the vertices

**Problem: Q-TREE-BOOK-EMBEDDING**

*Given:* A BOOK-EMBEDDING instance  $I$  with vertices  $V$  and a Q-tree  $T$  with leaves  $V$ .

*Question:* Is there a total order  $< \in \pi(T)$  solving  $I$ ?

**Theorem.** *Q-TREE-BOOK-EMBEDDING can be solved in quadratic time.*