



# EECS 490 – Lecture 4

## Memory Management

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# Announcements

- ▶ Homework 1 due tomorrow at 8pm
- ▶ Project 1 due Wed 9/20 at 8pm

# Agenda

- **Storage Duration**
- Value and Reference Semantics
- RAll and Scope-Based Resource Management
- Garbage Collection

# Static Storage

- ▶ Variables at global, namespace, or static class scope can be accessed at any time, so the associated object's lifetime must span the whole program
- ▶ Compiler/linker can determine which objects have static storage duration, so they are often placed in a special *data segment*
- ▶ C and C++ allow local variables to have static storage duration with the `static` keyword
- ▶ In some languages, initialization of static objects may be deferred until first use

# Automatic Storage

- ▶ Non-static local variables come into existence upon entry to their function or block
- ▶ Stored inside *activation record* or *frame* for the block
- ▶ Frame created when block is entered, destroyed upon final exit from block

```
void foo(int x) {  
    int y = x * x;  
    if (y < 100) {  
        int z = 100 - y;  
        cout << z << endl;  
    }  
    cout << y << endl;  
}
```

# Stack-Based Memory Management

- In many languages, activation records are stored on a stack
  - Upon creation, frame pushed onto stack
  - Upon final exit, frame popped from stack
- Cannot be used in languages with full support for nested function definitions
  - Static (lexical) scope requires access to definition environment even after associated function exits

```
def foo(x):  
    def bar(y):  
        return x + y  
    return bar
```

```
fn = foo(3)  
fn(4)
```

# Dynamic Storage

- Objects that are not tied to a specific scope have *dynamic* storage duration
  - Compiler cannot deduce lifetime from code
- Usually created explicitly by programmer
  - Examples: `malloc(4 * sizeof int)`, `new int[4]`
- Dynamic objects cannot be placed on stack, since their lifetime can exceed that of the block where they are created
- Instead, a special structure called a *heap* is used to store dynamic objects

# Managing Dynamic Storage

- ▶ Language runtime must provide heap management
  - ▶ Find free space when dynamic object is allocated, manage free space when objects are deallocated
- ▶ Objects must be reclaimed when they are no longer in use
- ▶ User-level management: explicit calls to `free()`, `delete`
- ▶ Automatic memory management: garbage collection
  - ▶ More on this later



# Agenda

- Storage Duration
- **Value and Reference Semantics**
- RAll and Scope-Based Resource Management
- Garbage Collection

# Value and Reference Semantics

- ▶ Value semantics: a variable is nothing more than a name associated with an object
  - ▶ The storage for the variable is the same as that of the object itself
  - ▶ The association between a variable and an object cannot be broken as long as the variable is in scope
- ▶ Reference semantics: a variable is an indirect reference to an object
  - ▶ A variable has its own storage that is distinct from that of the object it refers to
  - ▶ A variable can be modified to be associated with a different object

# Variables in C++

- ▶ Declaring a variable creates an associated object in memory

```
int x = 3;  
cout << &x << endl;  
x = 4;  
cout << &x << endl;
```

Changes the value stored in the object, not which object x refers to

# C++ References

- A reference in C++ is just an alias for an existing object

```
int x = 3;  
int &y = x;  
cout << &x << endl;  
cout << &y << endl;  
y = 4;  
cout << x << endl;
```

# Pointers

- A pointer is a kind of object whose value is the address of another object
- Refer to objects indirectly, so they provide a form of reference semantics

```
int x = 3;  
int y = 4;  
int *z = &x;  
z = &y;  
*z = 5;  
cout << x << ", " << y << endl;
```

Changes which  
object z indirectly  
"refers to"

# Reference Semantics

- In a language with reference semantics, variables behave like C/C++ pointers
  - But can't do arithmetic on them

- Example:

```
>>> x = []  
>>> y = x  
>>> id(x)  
4546751752  
>>> id(y)  
4546751752
```

Get  
unique ID  
of object

C++ equivalent:

```
list *x = new list();  
list *y = x;  
cout << x << endl;  
  
cout << y << endl;
```

- Python: everything has reference semantics
- Java: primitives have value semantics, everything else has reference semantics

## Reference Semantics vs. C++ References

- ▶ Java/Python references are **not** the same as C++ references
- ▶ Java/Python: a reference **indirectly** refers to an object, can be changed

```
>>> x = []  
>>> id(x)  
4546749256  
>>> id(y)  
4546751752
```

- ▶ C++: a reference is an **alias** for an object, cannot be changed

- We'll start again in five minutes.



# Agenda

- Storage Duration
- Value and Reference Semantics
- **RAI and Scope-Based Resource Management**
- Garbage Collection

# Internal Resources

- ▶ A data abstraction may have its own internal resources that it manages
- ▶ Example: vector
  - ▶ Allocates storage space upon construction
  - ▶ Upon insertion, if space is exhausted, allocates larger space, moves items, deallocates old storage
- ▶ Internal resources are part of the implementation, and the user of an abstraction should not have to manage its internal resources
- ▶ Internal memory automatically handled in garbage-collected languages

# Dispose Pattern

- Extend the interface of an abstraction to provide functions that must be called when the abstraction is created and when it is no longer needed

- Example:

```
typedef struct { ... } vector;
```

```
void vector_init(vector *);
```

```
void vector_destroy(vector *);
```

- Relies on user to remember to call both functions at the appropriate times
  - Analogous to `malloc()`, `free()`

# Constructors and Destructors

- Initialization functions formalized in object-oriented languages as *constructors*
- Some languages formalize destruction functions as *destructors*
  - Garbage-collected languages provide finalizers instead; more on this later
- A language can ensure that a constructor is always called when an object is created, and the destructor when it is destroyed
  - Static objects: upon program start and end
  - Automatic objects: when they go in and out of scope
  - Dynamic objects: when `new` or `delete` is applied to them

## Resource Acquisition is Initialization (RAII)

- General pattern for resource management using constructors and destructors
  - Also called *scope-based resource management*
- Example:

```
int main() {  
    vector<int> values;  
    {  
        ifstream input("some_file");  
        int x;  
        while (input >> x)  
            values.push_back(x);  
    }  
    ...  
}
```

Better name would be *lifetime-based resource management*, since dynamic objects can also manage resources.

## Scope-Based Resource Management

- ▶ RAll generally does not work for non-memory resources in garbage-collected languages
- ▶ Some languages provide specific constructs for scope-based resource management
- ▶ Example:

```
with open('some_file') as f:  
    values = [int(x) for x in f.read().split()]
```

# Agenda

- Storage Duration
- Value and Reference Semantics
- RAll and Scope-Based Resource Management
- **Garbage Collection**

# Garbage Collection

- Languages that provide automatic memory management must implement a means of detecting when objects are no longer in use and collecting them

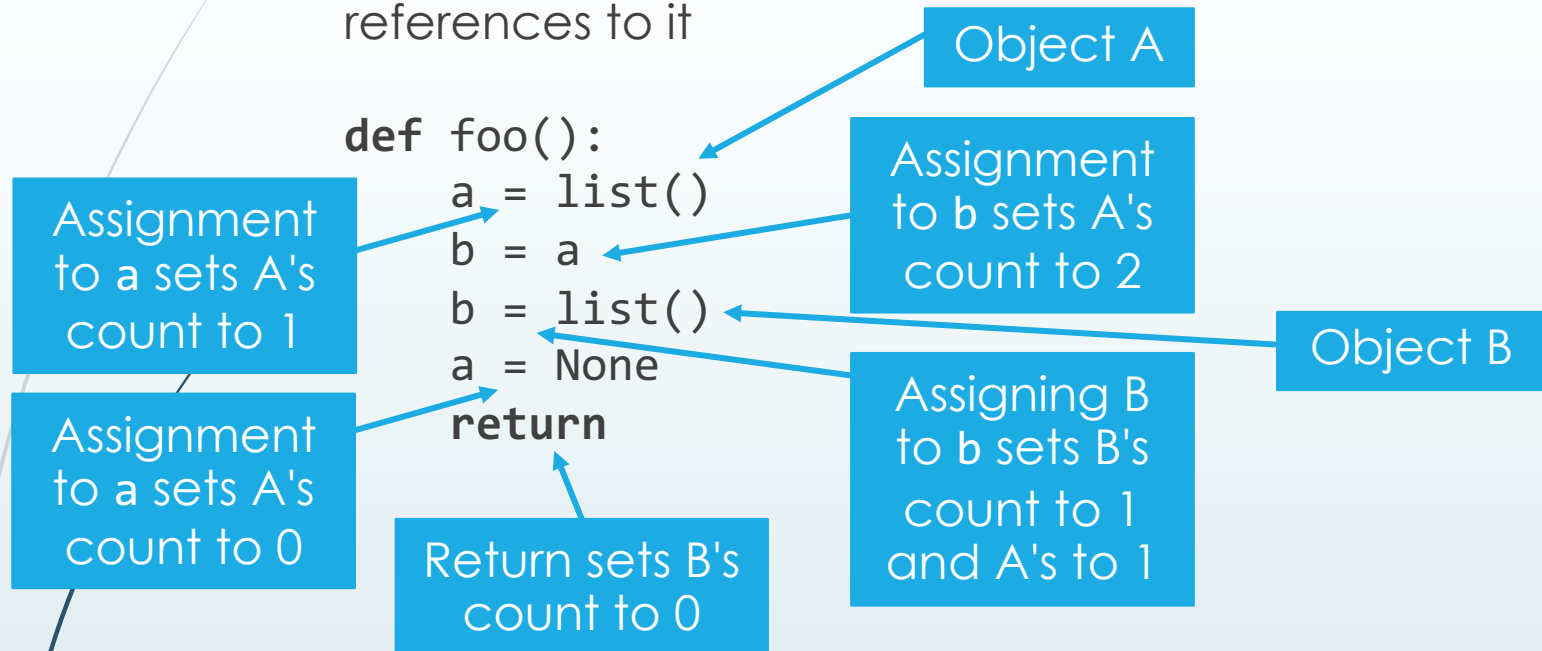
```
def foo():  
    a = list() # object A  
    b = a  
    b = list() # object B  
    a = None    # A no longer in use  
    return      # B no longer in use after return
```

- Main types of garbage collection:
  - Reference counting
  - Tracing collectors



# Reference Counting

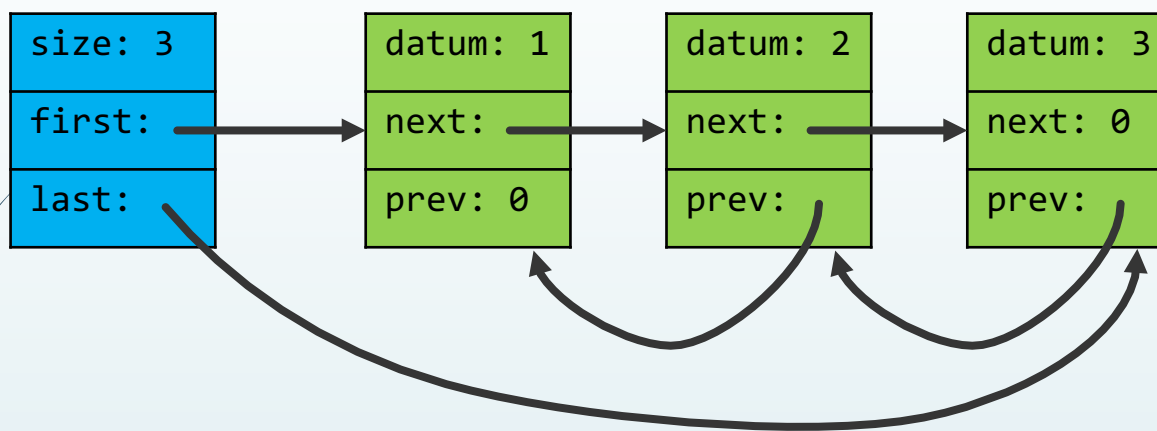
- Each object has a count of the number of pointers or references to it



- When the count of an object reaches 0, it is garbage and is collected

# Circular References

- Reference counting fails to detect garbage with circular references



- Implementations such as CPython include cycle detection algorithms
- Languages also might provide *weak pointers* (or references) that do not increment reference count

# C++ Smart Pointers

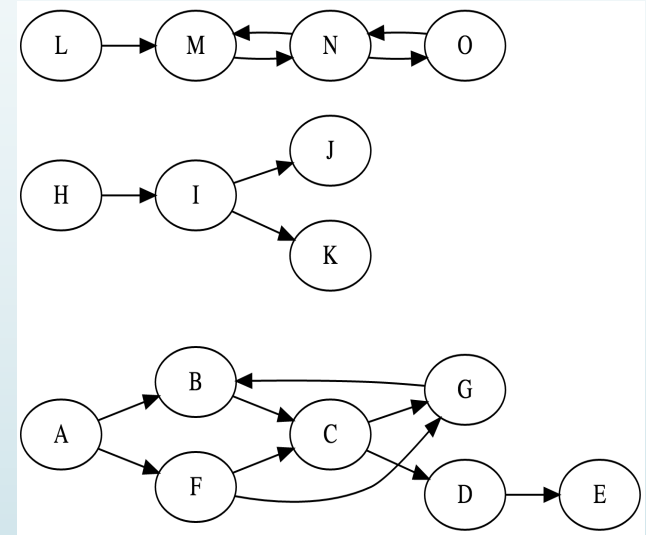
- Pointer-like objects that do reference counting

```
shared_ptr<Object> p1(new Object());  
shared_ptr<Object> p2 = p1; // count is now 2  
p1.reset(); // count decremented to 1  
p2 = nullptr; // count decremented, object deleted
```

- `shared_ptr`: reference counting pointer, deletes an object when count is 0
- `weak_ptr`: weak pointer that does not increment count
- `unique_ptr`: ensures that only one pointer to an object exists at a time

# Tracing Collectors

- Periodic collection
- Start out from *root set* of objects
  - Generally those with static and automatic duration<sup>1</sup>
- Recursively follow all pointers/references
- Objects that are reached are live, rest are dead
- *Mark and sweep*: mark all objects reached during search, then sweep rest
- *Stop and copy*: copy objects to new locations as they are encountered
  - Need to change pointers



<sup>1</sup>Also thread-local duration for languages with support for it.

# Finalizers

- Analogous to destructor, called when an object is about to be collected
  - Java: `finalize()`
  - Python: `__del__()`
- Problems
  - May not be called in a timely manner, particularly with tracing collectors
  - Can lead to object resurrection if a reference to the object is leaked
  - Do not run in a well-defined order
  - Are not guaranteed to run in many implementations