

# Lie Algebras

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# 1 Lecture 1

The material for this class will roughly come from Humphrey, Chapters 1 to 5. There is also a useful appendix which has been uploaded to the ELC system online.

## 1.1 Overview

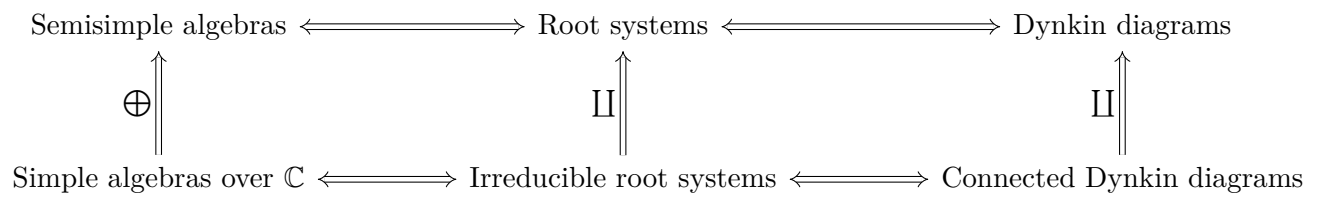
Here is a short overview of the topics we expect to cover:

### 1.1.1 Chapter 2

- Ideals, solvability, and nilpotency
- Semisimple Lie algebras
  - These have a particularly nice structure and representation theory
- Determining if a Lie algebra is semisimple using Killing forms
- Weyl's theorem for complete reducibility for finite dimensional representations
- Root space decompositions

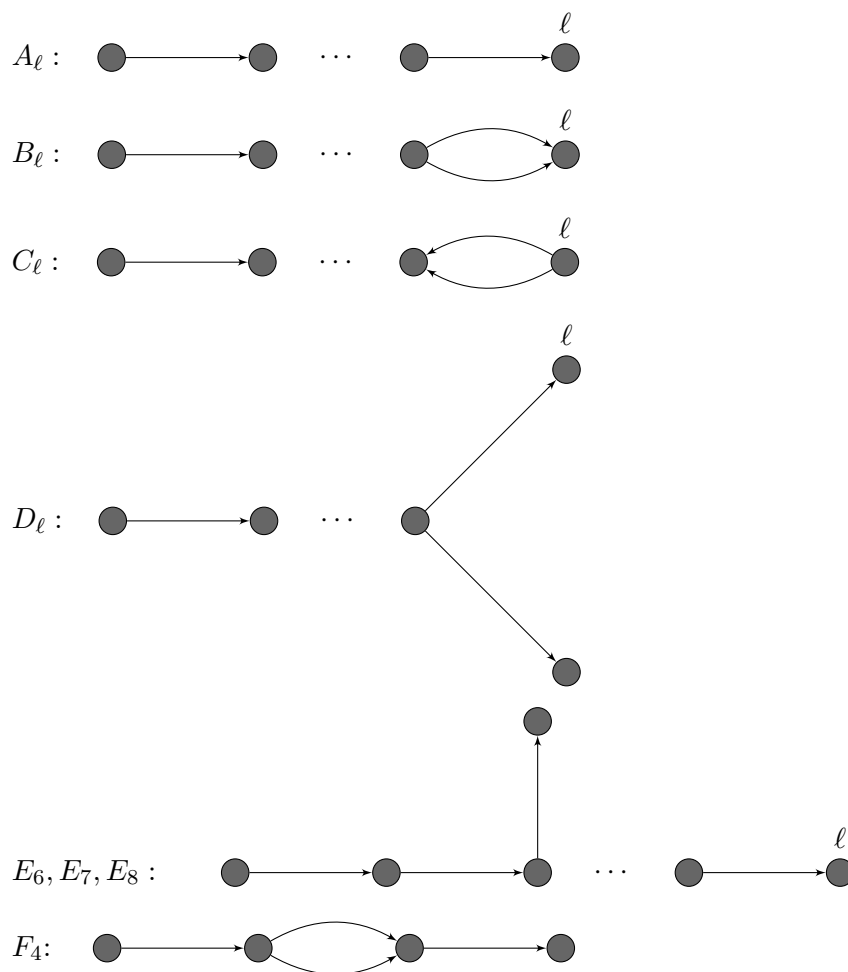
### 1.1.2 Chapter 3-4

We will describe the following series of correspondences:



### 1.2 Classification

The classical Lie algebras can be essentially classified by certain classes of diagrams:



### 1.3 Chapters 4-5

These cover the following topics:

- Conjugacy classes of Cartan subalgebras
- The PBW theorem for the universal enveloping algebra
- Serre relations

### 1.3.1 Chapter 6

Some important topics include:

- Weight space decompositions
- Finite dimensional modules
- Character and the Harish-Chandra theorem
- The Weyl character formula
  - This will be computed for the specific Lie algebras seen earlier

We will also see the type  $A_\ell$  algebra used for the first time; however, it differs from the other types in several important/significant ways.

### 1.3.2 Chapter 7

Skip!

### 1.3.3 Topics

Time permitting, we may also cover the following extra topics:

- Infinite dimensional Lie algebras [Carter 05]
- BGG Cat- $\mathcal{O}$  [Humphrey 08]

## 1.4 Content

Fix  $F$  a field of characteristic zero – note that prime characteristic is closer to a research topic.

**Definition 1.** A **Lie Algebra**  $\mathfrak{g}$  over  $F$  is an  $F$ -vector space with an operation denoted the Lie bracket,

$$\begin{aligned} [\cdot, \cdot] : \mathfrak{g} \times \mathfrak{g} &\rightarrow \mathfrak{g} \\ (x, y) &\mapsto [x, y]. \end{aligned}$$

satisfying the following properties:

- $[\cdot, \cdot]$  is bilinear
- $[x, x] = 0$
- The Jacobi identity:

$$[x, [y, z]] + [y, [x, z]] + [z, [x, y]] = 0.$$

**Exercise 1.** Show that  $[x, y] = -[y, x]$ .

**Definition 2.** Two Lie algebras  $\mathfrak{g}, \mathfrak{g}'$  are said to be isomorphic if  $\varphi([x, y]) = [\varphi(x), \varphi(y)]$ .

## 1.5 Linear Lie Algebras

Let  $V = \mathbb{F}^n$ , and define  $\text{End}(V) = \{f : V \rightarrow V \mid f \text{ is linear}\}$ . We can then define  $\mathfrak{gl}(n, V)$  by setting  $[x, y] = (x \circ y) - (y \circ x)$ .

**Exercise 2.** Verify that  $V$  is a Lie algebra.

**Definition 3.** Define

$$\mathfrak{sl}(n, V) = \{f \in \mathfrak{gl}(n, V) \mid \text{Tr}(f) = 0\}.$$

(Note the different in definition compared to the lie *group*  $\text{SL}(n, V)$ ).

**Definition 4.** A *subalgebra* of a Lie algebra is a vector subspace that is closed under the bracket.

**Definition 5.** The symplectic algebra

$$\mathfrak{sp}(2\ell, F) = \left\{ A \in \mathfrak{gl}(2\ell, F) \mid MA - A^T M = 0 \right\} \text{ where } M = \left( \begin{array}{c|c} 0 & I_n \\ \hline -I_n & 0 \end{array} \right).$$

**Definition 6.** The orthogonal algebra

$$\mathfrak{so}(2\ell, F) = \left\{ A \in \mathfrak{gl}(2\ell, F) \mid MA - A^T M = 0 \right\} \text{ where}$$

$$M = \begin{cases} \left( \begin{array}{c|c|c} 1 & 0 & \\ \hline 0 & 0 & I_n \\ \hline & -I_n & 0 \end{array} \right) & n = 2\ell + 1 \text{ odd,} \\ \left( \begin{array}{c|c} 0 & I_n \\ \hline -I_n & 0 \end{array} \right) & \text{else.} \end{cases}$$

**Proposition 7.** The dimensions of these algebras can be computed;

- The dimension of  $\mathfrak{gl}(n, \mathbb{F})$  is  $n^2$ , and has basis  $\{e_{i,j}\}$  the matrices if a 1 in the  $i, j$  position and



zero elsewhere.

- For type  $A_\ell$ , we have  $\dim \mathfrak{sl}(n, \mathbb{F}) = (\ell + 1)^2 - 1$ .
- For type  $C_\ell$ , we have  $\dim \mathfrak{sp}(n, \mathbb{F}) = \ell^2 + 2 \left( \frac{\ell(\ell+1)}{2} \right)$ , and so elements here

$$\begin{pmatrix} A & B = B^t \\ C = C^t & A^t \end{pmatrix}.$$

- For type  $D_\ell$  we have

$$\dim \mathfrak{so}(2\ell, \mathbb{F}) = \dim \left\{ \begin{pmatrix} A & B = -B^t \\ C = -C^t & -A^t \end{pmatrix} \right\},$$

which turns out to be  $2\ell^2 - \ell$ .

- For type  $B_\ell$ , we have  $\dim \mathfrak{so}(2\ell, \mathbb{F}) = 2\ell^2 - \ell + 2\ell = 2\ell^2 + \ell$ , with elements of the form

$$\left( \begin{array}{c|cc} 0 & M & N \\ \hline -N^t & A & C = C^t \\ -M^t & B = B^t & -A^t \end{array} \right).$$

**Exercise 3.** Use the relation  $MA = A^{tM}$  to reduce restrictions on the blocks.



**Theorem 8.** These are *all* of the isomorphisms between any of these types of algebras, in any dimension.

## 2 Lecture 2

Recall from last time that a Lie Algebra is a vector space with a bilinear bracket, which importantly satisfies the Jacobi identity:

$$[x, [y, z]] + [y, [x, z]] + [z, [x, y]] = \mathbf{0}.$$

Also recall the examples from last time:

- $A_\ell \iff \mathfrak{sl}(\ell + 1, F)$
- $B_\ell \iff \mathfrak{so}(2\ell + 1, F)$
- $C_\ell \iff \mathfrak{sp}(2\ell, F)$
- $D_\ell \iff \mathfrak{so}(2\ell, F)$

**Exercise 4.** Characterize these matrix subalgebras in terms of basis elements, and compute their dimensions.

### 2.1 Lie Algebras of Derivations

**Definition 9.** An  $F$ -algebra  $A$  is an  $F$ -vector space endowed with a bilinear map  $A^2 \rightarrow A$ ,  $(x, y) \mapsto xy$ .

**Definition 10.** An algebra is **associative** if  $x(yz) = (xy)z$ .

Modern interest: simple Lie algebras, which have a good representation theory. Take a look at Erdmann-Wildon (Springer) for an introductory look at 3-dimensional algebras.

**Definition 11.** Any map  $\delta : A^2 \rightarrow A$  that satisfies the Leibniz rule is called a **derivation** of  $A$ , where the rule is given by  $\delta(xy) = \delta(x)y + x\delta(y)$ .

**Definition 12.** We define  $\text{Der}(A) = \{\delta \ni \delta \text{ is a derivation}\}$ .

Any Lie algebra  $\mathfrak{g}$  is an  $F$ -algebra, since  $[\cdot, \cdot]$  is bilinear. Moreover,  $\mathfrak{g}$  is associative iff  $[x, [y, z]] = 0$ .

**Exercise 5.** Show that  $\text{Derg} \leq \mathfrak{gl}(\mathfrak{g})$  is a Lie subalgebra. One needs to check that  $\delta_1, \delta_2 \in \mathfrak{g} \implies [\delta_1, \delta_2] \in \mathfrak{g}$ .

**Exercise 6** (Turn in). Define the adjoint by  $\text{ad}_x : \mathfrak{g} \rightarrow \mathfrak{g}, y \mapsto [x, y]$ . Show that  $\text{ad}_x \in \text{Der}(\mathfrak{g})$ .

## 2.2 Abstract Lie Algebras

Fact: Every finite-dimensional Lie algebra is isomorphic to a linear Lie algebra, i.e. a subalgebra of  $\mathfrak{gl}(V)$ . Each isomorphism type can be specified by certain *structure constants* for the Lie bracket.

**Example 13.** Any  $F$ -vector space can be made into a Lie algebra by setting  $[x, y] = 0$ ; such algebras are referred to as *abelian*.

Attempting to classify Lie algebras of dimension at most 2.

- 1 dimensional: We can write  $\mathfrak{g} = Fx$ , and so  $[x, x] = 0 \implies [\cdot, \cdot] = 0$ . So every bracket must be zero, and thus every Lie algebra is abelian.
- 2 dimensional: Write  $\mathfrak{g} = Fx \oplus Fy$ , the only nontrivial bracket here is  $[x, y]$ . Some cases:
  - $[x, y] = 0 \implies \mathfrak{g}$  is abelian.
  - $[x, y] = ax + by \neq 0$ . Assume  $a \neq 0$  and set  $x' = ax + by, y' = \frac{y}{a}$ . Now compute  $[x', y'] = [ax + by, \frac{y}{a}] = [x, y] = ax + by = x'$ . Punchline:  $\mathfrak{g} \cong Fx' \oplus Fy', [x', y'] = x'$ .

We can fill in a table with all of the various combinations of brackets:

$[\cdot, \cdot]$	$x'$	$y'$
$x'$	0	$x'$
$y'$	$-x'$	0

**Example 14.** Let  $V = \mathbb{R}^3$ , and define  $[a, b] = a \times b$  to be the usual cross product.

**Exercise 7.** Look at notes for basis elements of  $\mathfrak{sl}(2, F)$ ,

$$e = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix},$$

$$h = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix},$$

$$f = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}.$$

Compute the matrices of  $\text{ad}(e), \text{ad}(h), \text{ad}(f)$  with respect to this basis.

## 2.3 Ideals

**Definition 15.** A subspace  $I \subseteq \mathfrak{g}$  is called an **ideal**, and we write  $I \trianglelefteq \mathfrak{g}$ , if  $x, y \in I \implies [x, y] \in I$ .

Note that there is no need to distinguish right, left, or two-sided ideals. This can be shown using  $[x, y] = [-y, x]$ .

**Exercise 8.** Check that the following are all ideals of  $\mathfrak{g}$ :



- $\{0\}, \mathfrak{g}$ .
- $\mathfrak{z}(\mathfrak{g}) = \{z \in \mathfrak{g} \mid [x, z] = 0 \quad \forall x \in \mathfrak{g}\}$
- The commutator (or derived) algebra  $[\mathfrak{g}, \mathfrak{g}] = \{\sum_i [x_i, y_i] \mid x_i, y_i \in \mathfrak{g}\}$ .  
– Moreover,  $[\mathfrak{gl}(n, F), \mathfrak{gl}(n, F)] = \mathfrak{sl}(n, F)$ .

Fact: If  $I, J \trianglelefteq \mathfrak{g}$ , then

- $I + J = \{x + y \mid x \in I, y \in J\} \trianglelefteq \mathfrak{g}$
- $I \cap J \trianglelefteq \mathfrak{g}$
- $[I, J] = \{\sum_i [x_i, y_i] \mid x_i \in I, y_i \in J\} \trianglelefteq \mathfrak{g}$

**Definition 16.** A Lie algebra is **simple** if  $[\mathfrak{g}, \mathfrak{g}] \neq 0$  (i.e. when  $\mathfrak{g}$  is not abelian) and has no non-trivial ideals. Note that this implies that  $[\mathfrak{g}, \mathfrak{g}] = \mathfrak{g}$ .

**Theorem 17.** Suppose that  $\text{char } F \neq 2$ , then  $\mathfrak{sl}(2, F)$  is not simple.

*Proof.* Recall that we have a basis of  $\mathfrak{sl}(2, F)$  given by  $B = \{e, h, f\}$  where

- $[e, f] = h$ ,
- $[h, e] = 2e$ ,
- $[h, f] = -2f$ .

So think of  $[h, e] = \text{ad } h$ , so  $h$  is an eigenvector of this map with eigenvalues  $\{0, \pm 2\}$ . Since  $\text{char } F \neq 2$ , these are all distinct. Suppose  $\mathfrak{sl}(2, F)$  has a nontrivial ideal  $I$ ; then pick  $x = ae + bh + cf \in I$ . Then  $[e, x] = 0 - 2be + ch$ , and  $[e, [e, x]] = 0 - 0 + 2ce$ . Again since  $\text{char } F \neq 2$ , then if  $c \neq 0$  then  $e \in I$ . Now you can show that  $h \in I$  and  $f \in I$ , but then  $I = \mathfrak{sl}(2, F)$ , a contradiction. So  $c = 0$ .

Then  $x = bh \neq 0$ , so  $h \in I$ , and we can compute

$$\begin{aligned} 2e &= [h, e] \in I \implies e \in I, \\ 2f &= [h, -f] \in I \implies f \in I. \end{aligned}$$

which implies that  $I = \mathfrak{sl}(2, F)$  and thus it is simple. □

Note that there is a homework coming due next Monday, about 4 questions.

### 3 Lecture 3

Last time, we looked at ideals such as  $0, \mathfrak{g}, Z(\mathfrak{g})$ , and  $[\mathfrak{g}, \mathfrak{g}]$ .

**Definition:** If  $I \trianglelefteq \mathfrak{g}$  is an ideal, then the quotient  $\mathfrak{g}/I$  also yields a Lie algebra with the bracket given by  $[x + I, y + I] = [x, y] + I$ .

**Exercise:** Check that this is well-defined, so that if  $x + I = x' + I$  and  $y + I = y' + I$  then  $[x, y] + I = [x', y'] + I$ .

### 3.1 Homomorphisms and Representations

**Definition 18.** A linear map  $\phi : \mathfrak{g}_1 \rightarrow \mathfrak{g}_2$  is a *Lie homomorphism* if  $\phi[x, y] = [\phi(x), \phi(y)]$ .

**Remark.**  $\ker \phi \trianglelefteq \mathfrak{g}_1$  and  $\text{im } \phi \leq \mathfrak{g}_2$  is a subalgebra.

Fact: There is a canonical way to set up a 1-to-1 correspondence  $\{I \trianglelefteq \mathfrak{g}\} \iff \{\text{hom } \phi : \mathfrak{g} \rightarrow \mathfrak{g}'\}$  where  $I \mapsto (x \mapsto x + I)$  and the inverse is given by  $\phi \mapsto \ker \phi$ .

Theorem (Isomorphism theorem for Lie algebras):

- If  $\phi : \mathfrak{g}_1 \rightarrow \mathfrak{g}_2$  is a Lie algebra homomorphism, then  $\mathfrak{g}/\ker \phi \cong \text{im } \phi$
- If  $I, J \trianglelefteq \mathfrak{g}$  are ideals and  $I \subset J$  then  $J/I \trianglelefteq \mathfrak{g}/I$  and  $(\mathfrak{g}/I)/(J/I) \cong \mathfrak{g}/J$ .
- If  $I, J \trianglelefteq \mathfrak{g}$  then  $(I + J)/J \cong I/(I \cap J)$ .

Definition: A *representation* of a Lie algebra  $\mathfrak{g}$  is a Lie algebra homomorphism  $\phi : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$  into a linear Lie algebra for some vector space  $V$ .

We call  $V$  a  $\mathfrak{g}$ -module with action  $g \cdot v = \phi(g)(v)$ .

Example: The *adjoint representation*:

$$\begin{aligned} \text{ad} : \mathfrak{g} &\rightarrow \mathfrak{gl}(\mathfrak{g}) \\ x &\mapsto [x, \cdot]. \end{aligned}$$

**Corollary 19.** Any simple Lie algebra is isomorphic to a linear Lie algebra.

Proof: Since  $\mathfrak{g}$  is simple, the center  $Z(\mathfrak{g}) = 0$ . We can rewrite the center as

$$\begin{aligned} Z(\mathfrak{g}) &= \{x \in \mathfrak{g} \mid \text{ad } x(y) = 0 \quad \forall y \in \mathfrak{g}\} \\ &= \ker \text{ad } x. \end{aligned}$$

Using the first isomorphism theorem, we have  $\mathfrak{g}/Z(\mathfrak{g}) \cong \text{im ad} \subseteq \mathfrak{gl}(\mathfrak{g})$ . But  $\mathfrak{g}/Z(\mathfrak{g}) = \mathfrak{g}$  here, so we are done.

### 3.2 Automorphisms

Definition: An automorphism of  $\mathfrak{g}$  is an isomorphism  $\mathfrak{g} \rightarrow \mathfrak{g}$ , and we define

$$\text{Aut}(\mathfrak{g}) = \{\phi : \mathfrak{g} \rightarrow \mathfrak{g} \mid \phi \text{ is an isomorphism}\}.$$

Proposition: If  $\delta \in \text{Der}(\mathfrak{g})$  is nilpotent, then

$$\exp(\delta) := \sum \frac{\delta^n}{n!} \in \text{Aut}(\mathfrak{g}).$$

This is well-defined because  $\delta$  is nilpotent, and a binomial formula holds:

$$\frac{\delta^n([x, y])}{n!} = \sum_{i=0}^n \left[ \frac{\delta^i(x)}{i!}, \frac{\delta^{n-i}(y)}{(n-i)!} \right].$$

and for  $n = 1$ ,  $\delta([x, y]) = [x, \delta(y)] + [\delta(x), y]$ .

Exercise: Show that

$$[(\exp \delta)(x), (\exp \delta)(y)] = \sum_{n=0}^{k-1} \frac{\delta^n([x, y])}{n!}.$$

Example: Let  $\mathfrak{g} = \mathfrak{sl}(2, \mathbb{F})$  and define

$$s = \exp(\operatorname{ad} e) \exp(\operatorname{ad} -f) \exp(\operatorname{ad} e) \in \operatorname{Aut} \mathfrak{g}.$$

where  $e, f$  are defined as (todo, see written notes).

Then define the Weyl group  $W = \langle s \rangle$ .

Exercise: Check that  $s(e) = -f, s(f) = -e, s(h) = -h$ , and so the order of  $s$  is 2 and  $W = \{1, s\}$ .

## 4 Lecture 4

### 4.1 Solvability

Idea: Define a semisimple Lie algebra

Definition: The derived series for  $\mathfrak{g}$  is given by

$$\begin{aligned} \mathfrak{g}^{(0)} &= \mathfrak{g} \\ \mathfrak{g}^{(1)} &= [\mathfrak{g}^{(0)}, \mathfrak{g}^{(0)}] \\ &\vdots \\ \mathfrak{g}^{(i+1)} &= [\mathfrak{g}^{(i)}, \mathfrak{g}^{(i)}]. \end{aligned}$$

The Lie algebra  $\mathfrak{g}$  is *solvable* if there is some  $n$  for which  $\mathfrak{g}^{(n)} = 0$ .

Exercise (to turn in): Check that the Lie algebra of upper triangular matrices in  $\mathfrak{gl}(n, \mathbb{F})$ .

Example: Abelian Lie algebras are solvable

Example: Simple Lie algebras are *not* solvable.

Proposition: Let  $\mathfrak{g}$  be a Lie algebra, then

1. If  $\mathfrak{g}$  is solvable, then all subalgebras and all homomorphic images of  $\mathfrak{g}$  are also solvable.

2. If  $I \trianglelefteq \mathfrak{g}$  and both  $I$  and  $\mathfrak{g}/I$  are solvable, then so is  $\mathfrak{g}$ .
3. If  $I, J \trianglelefteq \mathfrak{g}$  are solvable, then so is  $I + J$ .

Corollary (of part 3 above): Any Lie algebra has a unique maximal solvable ideal, which we denote the *radical*  $\text{Rad}(\mathfrak{g})$ .

Definition: A Lie algebra is semisimple if  $\text{Rad}(\mathfrak{g}) = 0$ .

Example: Any simple Lie algebra is semisimple.

Example: Using part (2) above, we can deduce that we can construct a semisimple Lie algebra from *any* Lie algebra: for any  $\mathfrak{g}$ , the quotient  $\mathfrak{g}/\text{Rad}(\mathfrak{g})$  is semisimple.

## 4.2 Nilpotency

$$\begin{aligned}\mathfrak{g}^0 &= \mathfrak{g} \\ \mathfrak{g}^1 &= [\mathfrak{g}^0, \mathfrak{g}^0] \\ &\vdots \\ \mathfrak{g}^{i+1} &= [\mathfrak{g}^i, \mathfrak{g}^i].\end{aligned}$$

Much like the previous case, we have

Example: Abelian Lie algebras are nilpotent.

Example: Nilpotent Lie algebras are solvable.

Example: The *strictly* upper triangular matrices (with zero on the diagonal) are nilpotent.

1. If  $\mathfrak{g}$  is nilpotent, then all subalgebras and all homomorphic images of  $\mathfrak{g}$  are also nilpotent.
2. If  $\mathfrak{g}/Z(\mathfrak{g})$  is nilpotent, then so is  $\mathfrak{g}$ .
3. If  $\mathfrak{g} \neq 0$  is nilpotent, then  $Z(\mathfrak{g}) \neq 0$ .

Claim: If  $\mathfrak{g}$  is nilpotent, then  $\text{ad}_x \in \text{End}(\mathfrak{g})$  is nilpotent for all  $x \in \mathfrak{g}$ .

Proof: This is because  $\mathfrak{g}^n = 0 \iff [\mathfrak{g}, [\mathfrak{g}, [\mathfrak{g}, \dots]]] = 0$ , and so for every  $x_i, y \in \mathfrak{g}$  we have  $[x_1, [x_2, \dots [x_n, y]]] = 0$ , and so  $\text{ad}_{x_1} \circ \text{ad}_{x_2} \circ \dots \circ \text{ad}_{x_n} = 0$  which implies that  $\text{ad}_x^n = 0$  for all  $x \in \mathfrak{g}$ .

Theorem [Engel]: If  $\text{ad}_x$  is nilpotent for all  $x \in \mathfrak{g}$ , then  $\mathfrak{g}$  is nilpotent.

Remark: This can be confusing if  $\mathfrak{g}$  is a linear algebra, we can consider elements  $x \in \mathfrak{g}$  and ask if it is the case  $x$  being nilpotent (as an endomorphism) iff  $\mathfrak{g}\mathfrak{g}$  is nilpotent? False, a counterexample is  $\mathfrak{g} = \mathfrak{gl}(2, \mathbb{C})$ , where there exists an  $x$  which is *not* nilpotent while  $\text{ad}_x$  *is* nilpotent, which contradicts the above theorem.

Proof:

Lemma: Let  $\mathfrak{g} \subseteq \mathfrak{gl}(V)$  be a Lie subalgebra for some finite dimensional vector space  $V$ . If  $x$  is nilpotent as an endomorphism on  $V$  for all  $x \in \mathfrak{g}$ , then there exists a nonzero vector  $v \in V$  such that  $\mathfrak{g}v = 0$ , so  $x \in \mathfrak{g} \implies x(v) = 0$ .

Proof of lemma Use induction on  $\dim \mathfrak{g}$ , splitting into two separate base cases: - Case  $\dim \mathfrak{g} = 0$ , then  $\mathfrak{g} = \{0\}$ . - Case  $\dim \mathfrak{g} = 1$ , left as an exercise.

Inductive step: Let  $A$  be a maximal proper subalgebra and define  $\phi : A \rightarrow \mathfrak{gl}(\mathfrak{g}/A)$  where  $a \mapsto (x + A \mapsto [a, x] + A)$ . We need to check that  $\phi$  is a homomorphism, this just follows from using the Jacobi identity.

We also need to show that  $\text{im } \phi \leq \mathfrak{gl}(\mathfrak{g}/A)$  is a Lie subalgebra, and  $\dim \text{im } \phi < \dim \mathfrak{g}$ . The claim is that  $\phi(a) \in \text{End}(\mathfrak{g}/A)$  is nilpotent for all  $a \in A$ . By the inductive hypothesis, there is a nonzero coset  $y + A \in \mathfrak{g}/A$  such that  $(\text{im } \phi) \cdot (y + A) = A$ . Since  $y \notin A$ , then  $\phi(a)(y + A) = A$  for all  $a \in A$ , and so  $[a, y] \in A$ .

We want to show that  $A$  is a subalgebra of codimension 1, and  $A \oplus F_y \leq \mathfrak{g}$  is a Lie subalgebra. This is because  $[a_1 + c_1 y, a_2 + c_2 y] = [a_1, a_2] + c_2[a_1, y] - c_2[a_2, y] + c_1 c_2[y, y]$ . The last term is zero, the middle two terms are in  $A$ , and because  $A$  is closed under the bracket, the first term is in  $A$  as well.

But then  $A \oplus F_y$  is a larger subalgebra than  $A$ , which was maximal, so it must be everything. So  $A \oplus F_y = \mathfrak{g}$ . So  $A \trianglelefteq \mathfrak{g}$  because  $[a_1, a_2 + cy]$  is in  $A$ ,  $A \oplus F_y = \mathfrak{g}$  respectively, and this equals  $[a_1, a_2] + c[a_1, y]$ , where both terms are in  $A$ .

Proof to be continued on Friday!

## 5 Lecture 5

Last time: we had a theorem that said that if  $\mathfrak{g} \in \mathfrak{gl}(V)$  and every  $x \in \mathfrak{g}$  is nilpotent, then there exists a nonzero  $v \in V$  such that  $\mathfrak{g}v = 0$ .

We proceeded by induction on the dimension of  $V$ , constructing  $\text{im } \phi \subseteq \mathfrak{gl}(\mathfrak{g}/A)$ , and showed that  $\mathfrak{g} = A \oplus F_y$ . Now consider

$$W = \{v \in V \mid Av = 0\},$$

which is  $\mathfrak{g}$ -invariant, so  $\mathfrak{g}(W) \subseteq W$ , or for all  $a \in A, x \in \mathfrak{g}, v \in W$ , we have  $a \curvearrowright x(v) = 0$ . This is true because  $a \curvearrowright x = x \circ a + [a, x] \in \mathfrak{gl}(V)$ . But  $V$  is killed by any element in  $A$ , and both of these terms are in  $A$ . In particular, the  $y$  appearing in  $F_y$  also satisfies  $y \in W$ . Consider  $y|_W \in \text{End}(W)$ , and we want to apply the inductive hypothesis to  $F_y|_W \subseteq \mathfrak{gl}(W)$ .

We need to check that  $y|_W \in \text{End}(W)$ , which is true exactly because  $y$  is nilpotent. So we can construct a nonzero  $v \in W \subset V$  such that  $y(v) = 0$ , and so  $\mathfrak{g}v = 0$ .

Claim:  $\phi(a) \in \text{End}(\mathfrak{g}/A)$  is nilpotent. Each  $a \in A \subset \mathfrak{g}$  is nilpotent by assumption. Define the maps for left multiplication by  $a$ ,  $m_\ell : x \mapsto ax$ , and the right multiplication  $m_r : x \mapsto xa$ . These are nilpotent, and since  $m_\ell, m_r$  commute, the difference  $m_\ell - m_r$  is nilpotent, and this is exactly  $\text{ad } a$ . But then  $\phi(a)$  is nilpotent.

Good proof for using all of the definitions!

Now we can see what the consequences of having such a nonzero vector are. This theorem implies Engel's theorem, which says that if  $\text{ad } x \in \text{End}(\mathfrak{g})$  is nilpotent for every  $x \in \mathfrak{g}$ , then  $\mathfrak{g}$  is nilpotent.

Proof: By induction on dimension. The base case is easy. For the inductive step, the previous theorem applies to  $\text{ad } \mathfrak{g} \subset \mathfrak{gl}(\mathfrak{g})$ . So we can produce the nonzero  $v \in \mathfrak{g}$  such that  $\text{ad } \mathfrak{g}v = 0$ . Then  $[x, v] = 0$  for all  $x \in \mathfrak{g}$ , so either  $v \in Z(\mathfrak{g})$  or  $Z(\mathfrak{g}) \neq 0$ . In either case,  $\mathfrak{g}/Z(\mathfrak{g})$  has smaller dimension.

Since  $\text{ad}_x$  is nilpotent, so is  $\text{ad}_x + Z(\mathfrak{g})$ , and so  $\mathfrak{g}/Z(\mathfrak{g})$  is nilpotent. By an earlier proposition, since the quotient is nilpotent, so is the total space.  $\square$

Let  $\mathfrak{N}(F)$  be the subalgebra of  $\mathfrak{gl}(F)$  consisting of strictly upper triangular matrices. We have a corollary: if  $\mathfrak{g} \subset \mathfrak{gl}(n, F)$  is a Lie subalgebra such every  $x \in \mathfrak{g}$  is nilpotent as an endomorphism of  $F$ , then the matrices of  $\mathfrak{g}$  with respect to some bases of in  $\mathfrak{N}(n, F)$ .

The proof is by induction on  $n$ , where the base case is easy. For the inductive step, we use the previous theorem to get a  $v_1$  such that  $x(v_1) = 0$  for all  $x \in \mathfrak{g}$ . Let  $\bar{V} = F^n/Fv_1 \cong F^{n-1}$ , and define  $\phi : \mathfrak{g} \rightarrow \mathfrak{gl}(\bar{V})$  where  $x \mapsto (\bar{y} \mapsto \overline{y(x)})$ .

Then  $\text{im } \phi \leq \mathfrak{gl}(n-1, F)$  as a subalgebra, and every  $\phi(x) \in \text{End}(F^{n-1})$  is nilpotent, since  $x$  was nilpotent on the larger space. But (see notes) then  $x$  can be written as a strictly upper-triangular matrix.

## 5.1 Chapter 2: Semisimple Lie Algebras

We now assume  $\text{char } F = 0$  and  $\bar{F} = F$ .

Theorem: If  $\mathfrak{g}$  is a solvable Lie subalgebra of  $\mathfrak{gl}(V)$  for some finite dimensional  $V$ , then  $V$  contains a common eigenvector for a  $x \in \mathfrak{g}$ , i.e. a  $\lambda : \mathfrak{g} \rightarrow F, x \mapsto \lambda(x)$  such that  $x(v) = \lambda(x)v$  for all  $x \in \mathfrak{g}$ .

Proof: We will use induction on the dimension of  $\mathfrak{g}$ . For the inductive step:

Claim 1: There is an ideal  $A \trianglelefteq \mathfrak{g}$  such that  $\mathfrak{g} = A \oplus Fy$  for some  $y \neq 0$ , so  $A$  is a subalgebra of a solvable Lie algebra  $\mathfrak{g}$  and thus solvable itself. By hypothesis, we can produce a  $w \in V \setminus \{0\}$ , and thus a functional  $\lambda : A \rightarrow F$  such that  $aw = \lambda(a)w$  for all  $a \in A$ . So we define

$$V_\lambda = \{v \in V \mid av = \lambda(a)v \forall a \in A\}$$

where  $w \in V_\lambda$ .

Claim 2:  $y(V_\lambda) \subseteq V_\lambda$ , or  $y|_{V_\lambda} \in \text{End}(V_\lambda)$ .

Thus  $F(y|_{V_\lambda}) \leq \mathfrak{gl}(V_\lambda)$  is a Lie algebra of dimension 1, and thus solvable. By the inductive hypothesis, we can find a  $v \in V_\lambda$  and some  $\mu \in F$  such that  $y(v) = \mu v$ . An arbitrary element  $x \in \mathfrak{g}$  can be written as  $x = a + cy$  for some  $a \in A, c \in F$  and it acts by  $x(v) = a(v) + cy(v) = \lambda(a)v + c\mu v = (\lambda(a) + c\mu)v \in V_\lambda$ .

## 6 Lecture n+1

Todo

## 7 Lecture n+2

Definition (Jordan Decomposition)

Let  $X \in \text{End}(V)$  for  $V$  finite dimensional. Then,

- (a) There exists a unique  $X_s, X_n \in \text{End}(V)$  such that  $X = X_s + X_n$  where  $X_s$  is semisimple,  $X_n$  is nilpotent, and  $[X_s, X_n] = 0$ .

(b) There exists a  $p(t), q(t) \in t\mathbb{F}[t]$  such that  $X_s = p(X), X_n = q(X)$ .

(Polynomials with no constant term.)

Proof of (a): Assume  $X_s = X_s + X_n = X'_s + X'_n$ , so both have bracket zero. Assuming that (b) holds, we have  $X_s = p(X)$ , and so

$$[X, X_s] = [X_s + X'_n, X'_s] = [X'_s, X'_s] + [X'_s, X'_n] = 0 \implies [p(X), X'_s] = 0 = [X_s, X'_s]$$

Using fact (c) from last time, then  $X_s, X'_s$  can be diagonalized simultaneously, and so  $X_s - X'_s$  is semisimple.

On the other hand, if  $X'_n, X_n$  are nilpotent, and since these commute,  $X_n - X'_n$  is nilpotent. But then this is a Jordan decomposition of the zero map, i.e.

$$0 = X - X = (X_s - X'_s) + (X_n + X'_n)$$

where the first term is semisimple and the second is nilpotent. Then each term is both semisimple *and* nilpotent, so they must be zero, which is what we wanted to show.

Proof of part (b): Let  $m(t) = \prod_{i=1}^r (t - \lambda_i)^{m_i}$  be the minimal polynomial of  $X$ , where each  $m_i \geq 1$  and the  $\lambda_i$  are distinct. Then the primary composition of  $V$  is given by

$$V = \bigoplus_{i=1}^r V_i, \quad V_i = \ker(X - \lambda_i I_V)^{m_i} \neq 0, \quad X(V_i) \subseteq V_i$$

Claim: There exists a polynomial  $p \in F[t]$  such that

$$\begin{aligned} p &= \lambda \pmod{(t - \lambda_i)^{m_i}} \quad \forall i, \\ p &= 0 \pmod{t}. \end{aligned}$$

The existence follows from the Chinese Remainder Theorem.

What is  $p(x) \curvearrowright V_i$ ? This acts by scalar multiplication by  $\lambda_i$  for all  $i$ . (Check). Because of the restrictive conditions,  $p(x)$  has no constant term.

So  $p(X) = X_s$  is the semisimple part we want. Now just set  $q(t) = t - p(t)$ , then  $X_n := q(X) = X - X_s$  is nilpotent.

Example: The Jordan Decomposition is invariant under taking adjoints.

If we have  $X = X_s + X_n$ , then  $\text{ad } X \in \text{End}(\text{End}(V))$ . It can be shown that  $(\text{ad } X)_s + (\text{ad } X)_n = \text{ad } (X_s) + \text{ad } (X_n)$ .

Let  $e_{ii}$  be the elementary matrix with a 1 in the  $i, j$  position. You can write  $\text{ad } X$  as a  $4 \times 4$  matrix (see image).

$$p(x) \sim \begin{pmatrix} \boxed{\lambda_1 I_{v_1}} & & & & \bigcirc \\ & \boxed{\lambda_2 I_{v_2}} & & & \\ & & \ddots & & \\ \bigcirc & & & & \\ & & & & \boxed{\lambda_r I_{v_r}} \end{pmatrix}$$

Figure 1: ???

$$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$

$$X = X_S + X_n$$



$$= \begin{pmatrix} e_{11} & 0 & 0 & 1 & 0 \\ e_{12} & -1 & 0 & 0 & 1 \\ e_{21} & 0 & 0 & 0 & 0 \\ e_{22} & 0 & 0 & -1 & 0 \end{pmatrix}$$

$$\rightarrow \text{JNF} \left( \begin{array}{c|ccc} 0 & & & \\ \hline & 0 & 1 & \\ & & 0 & 1 \\ & & & \ddots & 0 \end{array} \right)$$

$$= \begin{matrix} e_{11} \\ e_{12} \\ e_{21} \\ e_{22} \end{matrix} \begin{pmatrix} 0 & 0 & 1 & 0 \\ -1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 \end{pmatrix}$$

$$\rightarrow \text{JNF} \begin{pmatrix} 0 & & & \\ \hline & 0 & 1 & \\ & & 0 & 1 \\ & & & \ddots & 0 \end{pmatrix}$$

You can check that  $(\text{ad } X)_S = 0$ ,  $\text{ad } (X_S) = 0$ , and  $(\text{ad } X)_n$  is the Jordan form given above.

Lemma:

- (a)  $x \in \text{End}(V) \implies \text{ad } (x)_S = \text{ad } (x_S)$  and  $\text{ad } (x)_n = \text{ad } (x_n)$ .
- (b) If  $A$  is a finite dimensional  $\mathbb{F}$ -algebra, then  $\delta \in \text{Der}(A) \implies \delta_S, \delta_n \in \text{Der}(A)$  as well.

Proof of (a):

Check that  $\text{ad } (x) = \text{ad } (x_S) + \text{ad } (x_n)$ . Then for  $y \in \text{End}(V)$ , we have

$$\begin{aligned} (\text{ad } (x))(y) &= [x, y] \\ &= [x_S + x_n, y] \\ &= [x_S, y] + [x_n, y] \\ &= (\text{ad } (x_S))(y) + (\text{ad } (x_n))(y). \end{aligned}$$

Using theorem 3.3,  $x_n$  nilpotent  $\implies \text{ad } (x_n)$  is also nilpotent. So write  $x_S = \sum \lambda_i e_{ii}$  with the eigenvalues on the diagonal. Then  $\text{ad } x_S(e_{ij}) = (\lambda_i - \lambda_j)e_{ij}$  for all  $i, j$ . But then  $\text{ad } x_S$  is given

$$\begin{aligned}
 & (\delta - (\lambda + \mu)I)^n([x, y]) \\
 &= \sum_{i=0}^n \binom{n}{i} \left[ (\delta - \lambda I)^i(x), (\delta - \mu I)^{n-i}(y) \right]
 \end{aligned}$$

Figure 2: Image

by a matrix with  $\lambda_i - \lambda_j$  in the  $i, j$  position and zeros elsewhere. By the uniqueness of the Jordan decomposition, the statement follows.

Proof of (b):

Since  $\delta \in \text{Der}(A)$ , the primary decomposition with respect to  $\delta$  is given by

$$A = \bigoplus_{\lambda \in F} A_\lambda \quad \text{where } A_\lambda = \left\{ a \in A \mid (\delta - \lambda I)^k a = 0 \text{ for some } k \gg 0 \right\}.$$

So  $\delta_s \sim A_\lambda$  by scalar multiplication (by  $\lambda$ ). Then for  $\lambda, \mu \in F$ , we have

So  $[A_x, A_y] \subseteq A_{\lambda+\mu}$  for all  $x, y \in A$ . But then

and so  $\delta_s \in \text{Der}(A)$ , and  $\delta_n = \delta - \delta_s \in \text{Der}(A)$  as well.

## 8 Lecture n+3

Todo

## 9 Lecture n+4

Review of bilinear forms: let  $V = \mathbb{F}^n$ .

Definition: A bilinear form  $\beta : V^2 \rightarrow \mathbb{F}$  can be represented by a matrix  $B$  with respect to a basis  $\{\mathbf{v}_i\}$  such that

$$\beta\left(\sum a_i \mathbf{v}_i, \sum b_i \mathbf{v}_i\right) = (a_1 \ a_2 \ \cdots) B (b_1 \ b_2 \ \cdots)$$

- $\beta$  is *symmetric* iff  $\beta(a, b) = \beta(b, a)$ .
- $\beta$  is *symplectic* iff  $\beta(a, b) = -\beta(b, a)$ .
- $\beta$  is *isotropic* iff  $\beta(a, a) = 0$ .

$$S_S([x, y])$$

||

$$(\lambda + \mu)[x, y] = [\lambda x, y] + [x, \mu y]$$

||

$$[S_S(x), y] + [x, S_S(y)]$$

Figure 3: Image

For a subspace  $U \leq V$ , define

$$U^\perp := \{v \in V \mid \beta(u, v) = 0 \forall u \in U\}.$$

Note: in general, left/right orthogonality are distinguished, but these will be identical when  $\beta$  is symmetric/symplectic.

The form  $\beta$  is said to be *non-degenerate* iff  $V^\perp = 0$  iff  $\det B \neq 0$ .

Assume  $F$  is an algebraically closed field, so  $\bar{F} = F$ , and  $\text{char} F \neq 2$ , then

- If  $\beta$  is non-degenerate and symmetric, then  $B \sim I_n$
- If  $\beta$  is non-degenerate and symplectic, then  $B \sim [0, I_{n/2}; I_{n/2}, 0]$ .

Remark:

$\mathfrak{so}(n, \mathbb{F}) = \{x \in \mathfrak{gl}(n, F) \mid \beta(x(u), v) = -\beta(u, x(v))\}$ , where  $B$  has the matrix  $[0, I; I, 0]$  if  $n$  is odd, or this matrix with a 1 in the top-left corner if  $n$  is even.

Similarly,  $\mathfrak{sp}(2m, \mathbb{F})$  can be described this way with the matrix  $[0, -I_m; -I_m, 0]$ .

Overview: The Killing form is defined as  $\kappa : \mathfrak{g}^2 \rightarrow \mathbb{F}$  where  $\kappa(x, y) = \text{tr}(\text{ad}_x \circ \text{ad}_y)$ .

Then we have **Cartan's Criteria**:

- $\mathfrak{g}$  solvable  $\iff \kappa(x, y) = 0 \forall x \in [\mathfrak{g}, \mathfrak{g}], y \in \mathfrak{g}$ .
- $\mathfrak{g}$  semisimple  $\iff \kappa$  is non-degenerate.

Note that if  $\mathfrak{g}$  is semisimple, then  $\mathfrak{g} = \bigoplus_i I_i$  with each  $I_i \trianglelefteq \mathfrak{g}$  and simple.

## 9.1 Cartan's Criteria

Some facts:

1.  $\kappa$  is symmetric
2. If  $\mathfrak{g}$  is finite dimensional, then  $\kappa$  is associative, i.e  $\kappa([x, y], z) = \kappa(x, [y, z])$ .

Exercise: Show that if  $I \trianglelefteq \mathfrak{g}$ , then  $I^\perp \leq \mathfrak{g}$  is an ideal.

Proof of (2): In section 4.3, it was shown that  $\text{tr}([a, b] \circ c) = \text{tr}(a \circ [b, c])$  for all  $a, b, c \in \text{End}(V)$  (provided  $V$  is finite dimensional).

So

$$\begin{aligned} \kappa([x, y], z) &= \text{tr}(\text{ad}_{[x, y]} \circ \text{ad}_z) \\ &= \text{tr}([\text{ad}_x, \text{ad}_y] \circ \text{ad}_z) \\ &= \text{tr}(\text{ad}_x \circ [\text{ad}_y, \text{ad}_z]) \\ &= \text{tr}(\text{ad}_x \circ \text{ad}_{[y, z]}) \\ &= \text{tr}(x, [y, z]).. \end{aligned}$$

Theorem:  $\mathfrak{g}$  is semisimple iff  $\kappa$  is nondegenerate.

Proof:  $\implies$  : We want to show that  $\mathfrak{g}^\perp = 0$ . Note that  $[\mathfrak{g}^\perp, \mathfrak{g}^\perp] \subseteq \mathfrak{g}$ , and so for all  $x \in [\mathfrak{g}^\perp, \mathfrak{g}^\perp]$  and for any  $y \in \mathfrak{g}^\perp$ , we have

$$\kappa(x, y) = \text{tr}(\text{ad}_x \circ \text{ad}_y) = 0$$

by the const(?) of  $\mathfrak{g}^\perp$ . This implies  $\mathfrak{g}^\perp$  is solvable.

Using fact (2), we have  $\mathfrak{g}^\perp \trianglelefteq \mathfrak{g}$  and thus  $\mathfrak{g}^\perp \subseteq \text{rad}(\mathfrak{g})$ , which is 0 since because  $\mathfrak{g}$  is semisimple. So either  $\mathfrak{g}^\perp = 0$  or  $\kappa$  is nondegenerate.

Used the fact that the radical was a maximal solvable ideal.

$\impliedby$  : We want to show that for all  $I \trianglelefteq \mathfrak{g}$  where  $[I, I] = 0$ , we have  $I^\perp \subseteq \mathfrak{g}^\perp$ .

For  $x \in I, y \in \mathfrak{g}$ , we have

$$(\text{ad}_x \circ \text{ad}_y)^2 = \mathfrak{g} \xrightarrow{\text{ad}_y} \mathfrak{g} \xrightarrow{\text{ad}_x} I \xrightarrow{\text{ad}_y} I \xrightarrow{\text{ad}_x} 0$$

And thus  $\text{tr}(\text{ad}_x \circ \text{ad}_y) = 0$  and  $I \subseteq \mathfrak{g}^\perp$ .

Suppose that  $\mathfrak{g}$  is *not* semisimple. Then there exists a solvable ideal  $J \neq 0$  such that the last term  $J^i$  in the derived series is an ideal  $I \trianglelefteq \mathfrak{g}$  such that  $[I, I] = 0$ , forcing  $J^i \subset \mathfrak{g}^\perp = 0$ , which is a contradiction.

$$\kappa_{\mathfrak{g}} \sim I_i \begin{pmatrix} \kappa_{I_i} & \\ & \end{pmatrix}$$

Figure 4: Image

## 9.2 Section 5.2

Theorem: If  $\mathfrak{g}$  is semisimple, then

- There exist ideals  $I_i \trianglelefteq \mathfrak{g}$  which are simple Lie algebras satisfying  $\mathfrak{g} = \bigoplus I_i$ . Note that  $[I_i, I_j] \subseteq I_i \cap I_j = 0$ , since direct summands intersect only trivially.
- Every simple  $I \trianglelefteq \mathfrak{g}$  is one of these  $I_i$ .
- $\kappa_{I_i} = \kappa_{\mathfrak{g}}|_{I_i \times I_i}$ , so

Remark:  $\mathfrak{g}$  is semisimple  $\iff \mathfrak{g} = \bigoplus_i I_i$  for some simple Lie algebras  $I_i$ .

$\Leftarrow$  : For all  $i, S := \text{rad } \mathfrak{g}, I_i \trianglelefteq I_i$  is a solvable ideal. This implies that it is 0, since  $I_i$  is simple.

By definition, simple Lie algebras are not abelian.

Supposing that  $S = I_i$ , we would then have  $[S, S] \neq 0$  since  $[I_i, I_i] \neq 0$  by definition. But  $[S, S] \neq S$  because  $S$  is solvable, which says that  $S$  is not simple (a contradiction).

Note that  $[\text{rad } \mathfrak{g}, \mathfrak{g}] \subseteq \bigoplus [\text{rad } \mathfrak{g}, I_i] = 0$ , which forces  $\text{rad } \mathfrak{g} \subseteq Z(\mathfrak{g})$ . Since  $I_i$  is simple,  $Z(I_i) = 0$  for all  $i$ . But  $Z(\mathfrak{g}) = \bigoplus Z(I_i) = 0$ , and this forces  $\text{rad } (\mathfrak{g}) \subseteq Z(\mathfrak{g}) \implies \text{rad } \mathfrak{g} = 0$ . So  $\mathfrak{g}$  is semisimple.

Next time – starting the representation theory with  $\mathfrak{sl}(2, \mathbb{F})$ .

## 10 Lecture 10?

Recall the killing form:



Figure 5: Image

$$\begin{aligned} \kappa : \mathfrak{g}^2 &\rightarrow \mathbb{F} \\ (x, y) &\mapsto \text{tr}(\text{ad } x \circ \text{ad } y). \end{aligned}$$

and Cartan's criteria:

1.  $\mathfrak{g}$  is solvable  $\iff \kappa(x, y) = 0 \ \forall x \in \mathfrak{g}, y \in \mathfrak{g}$ .
2.  $\mathfrak{g}$  is semisimple  $\iff \kappa$  is non-degenerate.

Theorem: If  $\mathfrak{g}$  is semisimple, then

- a.  $\mathfrak{g} = \bigoplus_{i=1}^n I_i$  for some  $I_i \trianglelefteq \mathfrak{g}$  which are all simple.
- b. Every simple ideal  $I \trianglelefteq \mathfrak{g}$  is one of the  $I_i$ .
- c.  $\kappa_{I_i} = \kappa_{\mathfrak{g}}|_{I_i \times I_i}$ .

Proof of (a): Use induction on  $\dim \mathfrak{g}$ . If  $\mathfrak{g}$  has no nonzero proper ideals, then  $\mathfrak{g}$  is simple and we're done.

Otherwise, let  $I_1$  be a minimal nonzero ideal of  $\mathfrak{g}$ . Then  $I_1^\perp \trianglelefteq \mathfrak{g}$  is also an ideal, and thus  $I := I_1 \cap I_1^\perp \trianglelefteq \mathfrak{g}$  is as well. Then for all  $x \in [I, I]$ , we must have  $\kappa(x, y) = 0$  for any  $y \in I \subseteq I_1^\perp$ . So  $I$  is solvable, and thus  $I = 0$ . So  $\mathfrak{g} = I_1 \oplus I_1^\perp$ .

$$\begin{aligned}
 \text{ad } x &\sim \left( \begin{array}{c|c} A_x & B_x \\ \hline 0 & 0 \end{array} \right) \quad \kappa_{\mathfrak{g}}(x, y) = \text{tr} \left( \left( \begin{array}{c|c} A_x & B_x \\ \hline 0 & 0 \end{array} \right) \left( \begin{array}{c|c} A_y & B_y \\ \hline 0 & 0 \end{array} \right) \right) \\
 \text{ad } y &\sim \left( \begin{array}{c|c} A_y & B_y \\ \hline 0 & 0 \end{array} \right) \quad = \text{tr} \left( \begin{array}{c|c} A_x A_y & B_x B_y \\ \hline 0 & 0 \end{array} \right) \\
 &= \text{tr}(A_x A_y) \\
 &= \chi_{\mathcal{I}_i}(x, y)
 \end{aligned}$$

Figure 6: Image

Note that any ideal of  $I_1^\perp$  is also an ideal of  $\mathfrak{g}$ , which implies that  $\text{rad}(I_1^\perp) \subseteq \text{rad}(\mathfrak{g})$ , which is zero since  $\mathfrak{g}$  is semisimple, and thus  $I_1^\perp$  is semisimple as well.

By the inductive hypothesis,  $I_1^\perp = I_2 \oplus \cdots \oplus I_n$  where each  $I_j \trianglelefteq I_i^\perp$  is simple. Then  $I_j \trianglelefteq \mathfrak{g} \implies [I_1, I_j] \subset I_1 \cap I_j$ , since  $I_1$  has no contribution. But this is a subset of  $I_1 \cap I_1^\perp = 0$ .  $\square$

Proof of (b): If  $I \trianglelefteq \mathfrak{g}$ , then  $[I, \mathfrak{g}] \trianglelefteq I$  because  $[[I, \mathfrak{g}], I] \subseteq [I, I] \subseteq [I, \mathfrak{g}]$ .

Since  $\mathfrak{g}$  is semisimple,  $0 = \text{rad}(\mathfrak{g}) \supseteq Z(\mathfrak{g})$ . So  $[I, \mathfrak{g}] \neq 0$ , and thus  $[I, \mathfrak{g}] = I$  since  $I$  is simple. But then  $[I, \mathfrak{g}] = \bigoplus [I, I_i]$  is simple as well. So only one direct summand can survive, since otherwise this would produce at least 2 nontrivial ideals, and  $[I, \mathfrak{g}] = [I, I_i]$  for some  $i$ .

So for all  $j \neq i$ , we must have  $I_j \cap I = I_j \cap [I, I_i] = 0$ , and so  $I \subseteq I_i$ . But then  $I = I_i$  since  $I_i$  itself is simple, and we're done.

Proof of (c):

(Without using the simplicity of  $I_i$ )

For  $x, y \in I_i$ , we have

## 10.1 Inner Derivations

Recall that  $\text{ad } \mathfrak{g} \subseteq \text{Derg}$ , and in fact (lemma) this is an ideal.

Theorem: If  $\mathfrak{g}$  is semisimple, then  $\text{ad } \mathfrak{g} = \text{Derg}$ .

Proof of lemma:



For all  $\delta \in \text{Der } \mathfrak{g}$  and all  $x, y \in \mathfrak{g}$ , we have

$$\begin{aligned} [\delta, \text{ad } x](y) &= \delta([x, y]) - [x, \delta(y)] \\ &= [\delta(x), y] \\ &= [\text{ad } \delta(x)](y), \end{aligned}$$

and so  $[\delta, \text{ad } x] \subseteq \text{ad } \mathfrak{g}$ .  $\square$

Proof of theorem:

If  $\mathfrak{g}$  is semisimple, then  $0 = \text{rad } \mathfrak{g} \supseteq Z(\mathfrak{g}) = \ker \text{ad}$ . Thus  $\text{ad } \mathfrak{g} \cong \mathfrak{g} / \ker \text{ad} \cong \mathfrak{g}$  is also semisimple.

This means that  $\kappa_{\text{ad } \mathfrak{g}}$  is non-degenerate, and thus  $\text{ad } \mathfrak{g} \cap (\text{ad } \mathfrak{g})^\perp = 0$ , where  $(\text{ad } \mathfrak{g})^\perp \trianglelefteq \text{Der}(\mathfrak{g})$ .

(Note that the non-degeneracy of  $\kappa$  already forces  $(\text{ad } \mathfrak{g})^\perp = 0$ .)

Then  $[(\text{ad } \mathfrak{g})^\perp, \text{ad } \mathfrak{g}] = 0$ , and so for all  $\delta \in (\text{ad } \mathfrak{g})^\perp$ , we have  $\delta(x) = [\delta, \text{ad } x]$  by the lemma, but we've shown that this is zero.

But then  $\delta$  must be zero because  $\text{ad}$  is an isomorphism, and in particular it is injective. This means that  $(\text{ad } \mathfrak{g})^\perp = 0$ , and thus  $\text{ad } \mathfrak{g} = \mathfrak{g}$ .  $\square$

We can use this to define an abstract Jordan decomposition by pulling back decompositions on adjoints:

## 11 Friday Lecture

Todo

## 12 Monday September 16th

Let  $S = \exp(\text{ad } e) \circ \exp(\text{ad } -f) \circ \exp(\text{ad } ei)$ , which has the following matrix:

Where  $\exp(\text{ad } e) = 1 + \text{ad } e + \frac{1}{2}(\text{ad } e)^2$ , which would have the form

Theorem: If  $\mathfrak{g}$  is semisimple, then any finite dimensional  $\mathfrak{g}$ -module  $V$  is completely reducible, i.e. it splits into a direct sum of simple modules.

### 12.1 Proof of Weyl's(?) Theorem

If  $V$  itself is simple, then we're done, so suppose it is not.

Assume there exists a nonzero submodule  $U \subsetneq V$ . It suffices to show that  $V = U \oplus U'$  for some  $U'$ .

#### 12.1.1 Step 1:

If  $\dim V = 2$  and  $\dim U = 1$ .

$$\mathfrak{g} \subseteq \text{End}(V)$$

$$x \xrightarrow{\text{ad}} \text{ad } x$$

$$\parallel \text{JD}$$

$$\parallel \text{JD}$$

$$x_s \mapsto \text{ad } x_s = (\text{ad } x)_s$$

+

$$x_n \mapsto \text{ad } x_n = (\text{ad } x)_n$$



Can recover some  $x_s$  and  $x_n$  from the adjoints

Figure 7: Image

$$\begin{pmatrix} & & -1 \\ & -1 & \\ -1 & & \end{pmatrix}$$

Figure 8: Image

$$\begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix} + \begin{pmatrix} \cdot & 2 & \\ & \cdot & -1 \\ & & \cdot \end{pmatrix} + \begin{pmatrix} \cdot & \cdot & 1 \\ & \cdot & \cdot \\ & & \cdot \end{pmatrix}$$

Figure 9: Image



Figure 10: Image

Then  $U, V/U$  are both trivial modules. So  $g \curvearrowright u = 0$  for all  $u \in U$ . But then  $g \curvearrowright (v + U) = U$  for all  $v \in V$ , since  $g \curvearrowright v \in U$ .

So for all  $x, y \in \mathfrak{lieg}$  and all  $v \in V$ , we have  $[x, y] \curvearrowright v = x \curvearrowright (y \curvearrowright v) - y \curvearrowright (x \curvearrowright v)$ . But both of the terms in parenthesis are in  $U$ , and all elements in  $\mathfrak{g}$  kill elements in  $U$ , so this is zero. So  $[\mathfrak{g}, \mathfrak{g}] \curvearrowright V$  trivially.

Exercise: If  $\mathfrak{g}$  is semisimple, then  $[\mathfrak{g}, \mathfrak{g}] = \mathfrak{g}$ .

So  $\mathfrak{g} \curvearrowright V$  trivially. Thus any  $U'$  that is a complementary subspace of  $U$  will be a submodule of  $V$ .

### 12.1.2 Step 2:

Suppose  $U$  is simple and  $\dim U > 1$ , so  $\dim V/U = 1$ .

Let  $\Omega$  be the Casimir element on  $U$  (faithful representation?). Then  $\Omega u = \check{c}$  for some  $c \in \mathbb{F}$ , and so  $\Omega(U) \subseteq U$ .

Since  $\Omega : V \odot$  is a homomorphism,  $\ker \Omega \subseteq V$  is a  $\mathfrak{g}$ -submodule. Then  $\dim V/U = 1 \implies V/U$  is a trivial module. So  $\mathfrak{g} \curvearrowright V/U = 0$ , i.e.  $\mathfrak{g} \curvearrowright V \subseteq U$ .

Then  $\Omega(v) = \sum_i x_i \curvearrowright (y_i \curvearrowright v) \in U$  for all  $v \in V$ . What is the matrix of  $\Omega$ ?

In particular,  $\text{Tr}(\Omega|_{V/U}) = 0$ . So  $\text{Tr}(\Omega) = \text{Tr}(\Omega|_U)$ . From 6.2, we know that  $\text{Tr}(\Omega) \neq 0 \implies c \neq 0$ , where  $c$  is the scalar appearing above. So  $\ker \Omega$  is 1-dimensional, and  $\ker \Omega \cap U = \{0\}$ .

So take  $U' = \ker \Omega$ .

### 12.1.3 Step 3:

Suppose  $U$  is *not* simple, but  $\dim V/U = 1$ .

We will induct on the dimension of  $U$ . Pick a proper nonzero submodule  $\bar{U} \subsetneq U$ , so that  $\dim U/\bar{U} < \dim U$ . Now  $V/U \cong (V/\bar{U})/(U/\bar{U})$  by an isomorphism theorem. So  $U/\bar{U}$  is a submodule of  $V/\bar{U}$  of codimension 1. Applying the inductive hypothesis, we obtain  $V/\bar{U} = U/\bar{U} \oplus \bar{V}/\bar{U}$  for some  $\bar{V}$  such that  $U \subseteq \bar{V} \subseteq V$ .

In particular, since  $U \subseteq \bar{V}$  has codimension 1,  $\dim \bar{U} < \dim U$ . So apply the inductive hypothesis again:  $\bar{V} = \bar{U} \oplus U'$  for some  $U'$ , and  $V = U \oplus U'$ .

### 12.1.4 Step 4: The general case

Recall that  $\text{hom}(V, U)$  is a  $\mathfrak{g}$ -module where

$$(g \curvearrowright \phi)(v) = g \curvearrowright \phi(v) - \phi(g \curvearrowright v).$$

Define

$$S = \{\phi \in \text{hom}(V, U) \mid \phi|_U \in F1_U\}.$$

Then  $S \leq \text{hom}(V, U)$  as a submodule. Define  $T = \{\phi \in S \mid \phi|_U = 0\}$ . Then  $T \leq S$  as a submodule, and  $\mathfrak{g}(S) \subseteq T$ .

Now each  $\phi \in S$  is determined (mod  $T$ ) by the scalar  $\phi|_U$ . Note that  $\dim(S/T) = 1$ . By steps 1-3, we know that  $S = T \oplus T'$  for some  $T' \subseteq S$  of dimension 1. Then  $T' = \text{span}_{\mathbb{F}}(f)$  for some nonzero map  $f : V \rightarrow U$  such that  $f(u) = cu$  for some  $c \neq 0$ .

Then  $\mathfrak{g}(T \oplus T') = \mathfrak{g}(S) \subseteq T \implies \mathfrak{g}(T') = 0$ . So for all  $g \in \mathfrak{g}$ , we have  $0 = (g \curvearrowright f)(v) = f \curvearrowright f(v) - f(g \curvearrowright v)$ . Then  $f : V \rightarrow U$  is a lie algebra homomorphism,  $\ker f = U'$ , and thus  $V = U \oplus U'$ .  $\square$

Some consequences of Weyl's theorem:

## 12.2 Preservation of Jordan Decomposition

Recall that when  $\mathfrak{g} \in \mathfrak{gl}(V)$  is a linear lie algebra, then for  $x \in \mathfrak{g}$  we have:

Jordan Decomposition:  $x = x_s + x_n$  where  $x_s, x_n \in \text{End}(V)$ .

Abstract Jordan Decomposition:

$$h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Figure 11: Image

$$\begin{aligned} \mathfrak{g} &\xrightarrow{\text{ad}} \text{ad}(\mathfrak{g}) \\ x &\mapsto \text{ad } x \\ x_s &\leftarrow (\text{ad } x)_s \\ x_n &\leftarrow (\text{ad } x)_n. \end{aligned}$$

and so  $x = x'_s + x'_n$  for some  $x'$ . The theorem will be that these recover the usual Jordan decomposition.

Theorem: If  $\mathfrak{g} \in \mathfrak{gl}(V)$  is semisimple and  $V$  is finite dimensional, then  $x_s, x_n \in \mathfrak{g}$ , and  $x_s = x'_s, x'_n$ .

Corollary: If  $\mathfrak{g}$  is semisimple and finite dimensional and  $\phi : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$  is a finite dimensional representation, then if  $x = x_s + x_n$  is the abstract Jordan decomposition, then  $\phi(x) = \phi(x_s) + \phi(x_n)$  is the Jordan decomposition in  $\mathfrak{gl}(V)$ .

Example: If  $\mathfrak{g} = \mathfrak{sl}(2, \mathbb{C})$  is semisimple and finite dimensional, and  $h$  is diagonal, then by JD  $h = h + 0$ ,  $\phi(h) = \phi(h) + 0$ . Then  $h \curvearrowright V$  semisimply, or  $V = \bigoplus_{\lambda \in \mathbb{C}} V_\lambda$ , where  $V_\lambda = \{v \in V \mid h \curvearrowright v = \lambda v\}$  are the eigenspaces.

## 13 Wednesday Lecture

Last time: The abstract Jordan Decomposition coincides with the actual Jordan Decomposition.

$$\begin{aligned} \phi : \mathfrak{g} &\rightarrow \mathfrak{gl}(V) \\ x &\mapsto \phi(x) = \phi(x)_s + \phi(x)_n = \phi(x_n) + \phi(x_s) \\ x_s + x_n &\mapsto \phi(x_s) + \phi(x_n). \end{aligned}$$

Therefore  $x_s \curvearrowright V$  semisimply. The example we saw last time was  $\mathfrak{g} = \mathfrak{sl}(2, \mathbb{C})$ , with a matrix  $h = [1, 0; 0, -1]$  and  $V = \bigoplus_{\lambda \in \mathbb{C}} V_\lambda$ .

### 13.1 Finite Dimensional Representations of $\mathfrak{sl}(2, \mathbb{C})$

### 13.2 Weights and Maximal Vectors

Definition: If  $V_\lambda \neq 0$ , then  $V_\lambda$  is a *weight space* of  $V$  and  $\lambda \in \mathbb{C}$  is a *weight* of  $h$  in  $V$ . We then define  $W_t(V) = \{\text{weights in } V\}$ .

Lemma: If  $v \in V_\lambda$  then  $e \curvearrowright v \in V_{\lambda+2}$  and  $f \curvearrowright v \in V_{\lambda-2}$ .

Proof:

$$\begin{aligned} h \curvearrowright (e \curvearrowright v) &= [h, e] \curvearrowright v + e \curvearrowright (h \curvearrowright v) \\ &= 2e \curvearrowright v + \lambda e \curvearrowright v \\ &= (\lambda + 2)e \curvearrowright v. \end{aligned}$$

and

$$\begin{aligned} h \curvearrowright (f \curvearrowright v) &= [h, f] \curvearrowright v + f \curvearrowright (h \curvearrowright v) \\ &= -2f \curvearrowright v + \lambda f \curvearrowright v \\ &= (\lambda - 2)f \curvearrowright v. \end{aligned}$$

So if  $V$  is a finite-dimensional  $\mathfrak{g}$ -module, then there exists a  $V_\lambda \neq 0$  such that  $V_{\lambda+2} = 0$ . Any nonzero  $v \in V_\lambda$  is called a *maximal vector*.

Note: in category  $\mathcal{O}$ , these always exist?

Some computations:

- $\mathfrak{g} = \mathfrak{gl}(2, \mathbb{C})$  Then  $V = \mathbb{C}$  is the trivial module, and  $g \curvearrowright V = 0$ . So  $W_t(V) = \{0\}$ , and  $V = V_0$ .

If  $V = \mathbb{C}^2$ , then take the natural representation  $\text{span}_{\mathbb{C}} \{v_1 = [1, 0], v_2 = [0, 1]\}$ . Then  $g \curvearrowright V$  by matrix multiplication, and if  $h = [1, 0; 0, -1]$  then  $h \curvearrowright v_1 = v_1$  and  $h \curvearrowright v_2 = -v_2$  by just doing the matrix-vector multiplication. Then  $\mathbb{C}([1, 0]) = V_1, \mathbb{C}([0, 1]) = V_{-1}$ , so  $W_t(V) = \{\pm 1\}$ .

Taking  $V = \mathbb{C}^3 = \text{ad } \mathfrak{g} = \text{span}_{\mathbb{C}} \{e, f, h\}$ , then

$$\begin{aligned} h \curvearrowright f &= [h, f] = -2f \\ h \curvearrowright h &= [h, h] = 0h \\ h \curvearrowright e &= [h, e] = 2e. \end{aligned}$$

So  $W_t(V) = \{2, 0, -2\}$  and  $V_2 = \mathbb{C}e, V_0 = \mathbb{C}h, V_{-2} = \mathbb{C}f$ .

Note the pattern: some largest value, then jumping by 2 to lower values, ending at negative the largest value. In some sense, the rest of the theory will reduce to the case of  $\mathfrak{sl}(2, \mathbb{C})$ .

Lemma: Let  $V$  be a finite dimensional simple  $\mathfrak{sl}(2, \mathbb{C})$ -module, and  $V_0 \in V_\lambda$  a maximal vector.

Set  $V_{-1} = 0, V_i = f^{(i)} \curvearrowright v_0$  (where  $f^{(i)} = \frac{f^i}{i!}$ ). Then for all  $i \geq 0$ , we have

- a.  $h \curvearrowright v_i = (\lambda - 2i)v_i$
- b.  $f \curvearrowright v_i = (i + 1)v_{i+1}$
- c.  $e \curvearrowright v_i = (\lambda - i + 1)v_{i-1}$

Proof of (a): By lemma 7.1, we have  $f \curvearrowright v_0 \in V_{\lambda-2}$ , and so inductively  $f^{(i)} \curvearrowright v_0 \in V_{\lambda-2i}$

Proof of (b): By definition.

Proof of (c):

$$\begin{aligned} ie \curvearrowright v_i &= ie \curvearrowright \frac{f^i \curvearrowright v_0}{i!} \\ &= e \curvearrowright (f \curvearrowright v_{i-1}) \\ &= [e, f] \curvearrowright v_{i-1} + f \curvearrowright (e \curvearrowright v_{i-1}) \\ &= h \curvearrowright v_{i-1} + f \curvearrowright ((\lambda - i + 2)v_{i-2}) \\ &= (\lambda - 2i + 2)v_{i-2} + (\lambda + i - 2)(i - 1)v_{i-1} \\ &= i(\text{RHS}). \end{aligned}$$

Theorem: If  $V$  is a finite dimensional and simple, then  $V \cong L(m)$  for some  $m \in \mathbb{Z}_{\geq 0}$  where  $L(m) = \text{span}_{\mathbb{C}} \{v_0, v_1, \dots, v_m\}$  where each  $v_i$  is of weight  $m - 2i$ .

Thus  $L(m) = L(m)_m \oplus L(m)_{m-2} \oplus \dots \oplus L(m)_{-m}$  where  $\dim L(m)_\mu = 1$  for all  $\mu$  and  $\dim L(m) = m + 1$ .

Proof: Pick a maximal vector  $v_0 \in V_\lambda$  for any weight  $\lambda$ . Define  $v_i$  as usual. Let  $m = \min \{i \ni V_i \neq 0, V_{i+1} = 0\}$





Definition: A module  $V$  is a *highest weight module* of weight  $\lambda$  if  $V = \mathfrak{g} \curvearrowright v_0$  for some maximal vector  $v_0 \in V_\lambda$ .

Then  $\lambda$  is referred to as the *highest weight*, and  $v_0$  is the *highest weight vector*.

Corollary: If  $V$  is finite-dimensional, then

- a.  $V = \bigoplus_{\lambda \in \mathbb{Z}} V_\lambda$
- b. The number of summands =  $\dim V_0 + \dim V_1$ .

Proof of (a): By Weyl's theorem, we know  $V = \bigoplus W_i$  for some simple  $W_i$ . By theorem 7.2, this is equal to  $\bigoplus_{m \in \mathbb{Z}_{\geq 0}} L(m)^{\mu_m}$

Proof of (b):  $\dim V_0 = \# \{\text{summands where } m \text{ is even}\}$   $\dim V_1 = \# \{\text{summands where } m \text{ is odd}\}$

Remark: Let  $V_d = \{f \in \mathbb{C}[x, y] \mid f \text{ is homogeneous of total degree } d\} = \text{span}_{\mathbb{C}} \{x^d, x^{d-1}y, \dots, y^d\}$ .

Then  $\mathfrak{sl}(2, \mathbb{C}) \curvearrowright V_d$  by

$$\begin{aligned} e &\mapsto x \frac{\partial}{\partial y} \\ f &\mapsto y \frac{\partial}{\partial x} \\ h &\mapsto x \frac{\partial}{\partial x} - y \frac{\partial}{\partial y}. \end{aligned}$$

Fact: For  $L(m), \phi : \mathfrak{sl}(2, \mathbb{C}) \rightarrow \mathfrak{gl}(L(m))$ , define

$$s = (\exp \phi(e)) \circ (\exp \phi(-f)) \circ (\exp \phi(e))$$

Then  $s(v_i) = -v_{m-i}$ .

## 14 Friday Lecture

Last time: Construction of simple finite-dimensional  $\mathfrak{sl}(2, \mathbb{C})$  module.

Today: Root space decomposition for semisimple finite-dimensional  $\mathfrak{g}$ .

### 14.1 Root Space Decomposition

Let  $\mathfrak{g}$  be semisimple and finite dimensional, and let  $\mathbb{F} = \mathbb{C}$ .

#### 14.1.1 Maximal Toral subalgebra and roots

Definition: A subalgebra  $\mathfrak{h} \leq \mathfrak{g}$  is *toral* if  $\mathfrak{h} \neq 0$  and it consists of only semisimple elements (i.e.  $x_n = 0 \forall x \in \mathfrak{h}$ )

Lemma:

- a. There exists a toral subalgebra of  $\mathfrak{g}$ , which is a nontrivial maximal toral subalgebra.

b. Any toral subalgebra is abelian.

Proof of (a): Want to show that there exists an  $x \in \mathfrak{g}$  such that  $x_s \neq 0$ , which will imply that  $\mathfrak{h} = \mathbb{C}x_s$  is toral.

Suppose  $x_s = 0$  for all  $x \in \mathfrak{g}$ , then  $\text{ad } x = \text{ad } x_n$  is nilpotent. By Engel's theorem, this means  $\mathfrak{g}$  must be nilpotent. But this contradicts  $[\mathfrak{g}, \mathfrak{g}] = \mathfrak{g}$  (since  $\mathfrak{g}$  is semisimple) so the derived series can never reach zero.

Proof of (b): Fix  $x \in \mathfrak{h}$ , want to show that  $[x, h] = 0 \forall h \in \mathfrak{h}$ . Then  $x = x_s$ , and so  $\text{ad } x : \mathfrak{g} \rightarrow \mathfrak{g}$  is diagonalizable. It suffices to show that  $\text{ad } x|_{\mathfrak{h}} = 0$  for all  $\mathfrak{h}$ .

Suppose that  $[x, h] = ah$  for some vector  $h$  where  $a \neq 0$ . Decompose  $\mathfrak{h}$  into eigenspaces, so  $\mathfrak{h} = \bigoplus_{\lambda} \mathfrak{h}_{\lambda}$  where  $\mathfrak{h}_{\lambda} = \{y \in \mathfrak{h} \mid [h, y] = \lambda y\}$ . But then  $[h, x] \in \mathfrak{h}_0$ , since  $[h, [h, x]] = [h, -ah] = 0$ .

So write  $x = \sum_{\lambda} c_{\lambda} x_{\lambda}$ , where  $c_{\lambda} \in \mathbb{C}$  and  $x_{\lambda} \in \mathfrak{h}_{\lambda}$ . Then

$$\begin{aligned} [h, x] &= \sum_{\lambda} c_{\lambda} [h, x_{\lambda}] \\ &= \sum_{\lambda} c_{\lambda} \lambda x_{\lambda} \in \mathfrak{h}_0, \end{aligned}$$

so  $\lambda c_{\lambda} = 0 \forall \lambda \neq 0$ , which means  $c_{\lambda} = 0 \forall \lambda \neq 0$ , and thus  $x \in \mathfrak{h}_0$  and  $[h, x] = 0$ . But this contradicts  $[x, h] = ah$ .

Now  $\forall x, h \in \mathfrak{h}, g \in \mathfrak{g}$ , we have  $[h, [x, y]] = [x, [h, y]] + [y, [x, h]] = [x, [h, y]]$ . Thus  $\text{ad } h \circ \text{ad } x = \text{ad } x \circ \text{ad } h$  as elements of  $\text{End}(\mathfrak{g})$ .

So  $\mathfrak{g} = \bigoplus_{\alpha \in \mathfrak{h}^*} \mathfrak{g}_{\alpha}$ , where  $\mathfrak{g}_{\alpha} = \{x \in \mathfrak{g} \mid [h, x] = \alpha(h)x \forall h \in \mathfrak{h}\}$ .

Note that  $\mathfrak{g}_0 = \{x \in \mathfrak{g} \mid [h, x] = 0 \forall h \in \mathfrak{h}\} = C_{\mathfrak{g}}(\mathfrak{h}) \supseteq \mathfrak{h}$ , i.e. the centralizer of  $\mathfrak{h}$  in  $\mathfrak{g}$ .

Definition: Fix a toral subalgebra  $\mathfrak{h} \subseteq \mathfrak{g}$ , then a *root* is a nonzero  $\alpha \in \mathfrak{h}^*$  such that  $\mathfrak{g}_{\alpha} \neq 0$ .  $\mathfrak{g}_{\alpha}$  is referred to as the *root space*.

We write  $\Phi = \{\text{roots}\}$  and  $\mathfrak{g} = C_{\mathfrak{g}}(\mathfrak{h}) \oplus (\bigoplus_{\alpha \in \Phi} \mathfrak{g}_{\alpha})$ .

Example:  $\mathfrak{sl}(3, \mathbb{C})$ .

TODO: Insert image from phone.

Then  $\Phi = \{\alpha : \mathfrak{h} \rightarrow \mathbb{C}, h_1 \mapsto \alpha(h_1) \in \{\pm 1, \pm 2\}\}$ . So

- $\mathfrak{g}_0 = \mathbb{C}h_1 \oplus \mathbb{C}h_2$
- $\mathfrak{g}_1 = \mathbb{C}f_2 \oplus \mathbb{C}e_3$
- $\mathfrak{g}_2 = \mathbb{C}e_1$
- $\mathfrak{g}_{-1} = \mathbb{C}f_3 \oplus \mathbb{C}e_2$
- $\mathfrak{g}_{-2} = \mathbb{C}f_1$ .

TODO: Insert second and third image from phone

From these computations, we collect the eigenvalues as ordered pairs. If we choose a larger toral subalgebra, we get a finer decomposition. And if we take a maximal toral subalgebra, then  $\mathfrak{h} = \mathfrak{g}_0$  and all  $\dim \mathfrak{g}_{\alpha} = 1$ .

$$\begin{array}{ccc}
 K([h, x], y) & = & \alpha(h) K(x, y) \\
 \parallel & \nearrow x \in \mathfrak{g}_\alpha & \\
 - K([x, h], y) & & \\
 \parallel & \nwarrow x \in \mathfrak{g}_\beta & \\
 - K(x, [h, y]) & = & -\beta(h) K(x, y)
 \end{array}$$

Figure 12: Image

Proposition (a):  $[\mathfrak{g}_\alpha, \mathfrak{g}_\beta] \subseteq \mathfrak{g}_{\alpha+\beta}$  for all  $\alpha, \beta \in \mathfrak{h}^*$ .

Proposition (b): If  $x \in \mathfrak{g}_\alpha$  and  $\alpha \neq 0$  then  $\text{ad } x$  is nilpotent.

Proposition (c): If  $\alpha, \beta \in \mathfrak{h}^*$  and  $\alpha + \beta = 0$ , then  $\kappa(x, y) = 0 \forall x \in \mathfrak{g}_\alpha, y \in \mathfrak{g}_\beta$ .

Proof of (a): Easy exercise:

Proof of (b): For all  $y \in \mathfrak{g}$ ,  $y \in \mathfrak{g}_\mu$  for some  $\mu \in \mathfrak{h}^*$ . We have  $\mathfrak{g}_\mu \xrightarrow{\text{ad } x} \mathfrak{g}_{\mu+\alpha} \xrightarrow{\text{ad } x} \mathfrak{g}_{\mu+2\alpha} \rightarrow \dots$  by  $y \mapsto [x, y] \mapsto \dots$ . Since  $\mathfrak{g}$  is finite dimensional, this must terminate, so  $(\text{ad } x)^n(y) = 0$  for some  $n$ .

Proof of (c): If  $\alpha + \beta = 0$ , then there exists an  $h \in \mathfrak{h}$  such that  $\alpha(h) + \beta(h) \neq 0$ . Since the Killing form is associative, we have

Corollary:  $\kappa|_{\mathfrak{g}_0}$  is nondegenerate.

Proof: We want to show  $\kappa(h, y) = 0 \forall y \in \mathfrak{g}_0 \implies h = 0$  holds for any choice of  $y \in \mathfrak{g}_\alpha$  with  $\alpha \neq 0$ .

By proposition (c), we have  $\kappa(h, y) = 0$ . Note that we have  $\mathfrak{g} = \mathfrak{g}_0 \oplus (\bigoplus_{\alpha \neq 0} \mathfrak{g}_\alpha)$ . This implies that  $\kappa(h, y) = 0 \forall y \in \mathfrak{g}$ . But then  $h = 0$  because  $\kappa$  is nondegenerate and  $\mathfrak{g}$  is semisimple.

## 15 Monday Lecture

Last time:  $\mathfrak{h}$  is a *toral* subalgebra if it contains only semisimple elements, and implies that there is a *root space decomposition*

$$\mathfrak{g} = \mathfrak{g}_0 \oplus \bigoplus_{\alpha \in \Phi} \mathfrak{g}_\alpha$$

where  $\mathfrak{g}_\alpha = \{x \in \mathfrak{g} \mid [h, x] = \alpha(h)x \ \forall h \in \mathfrak{h}\}$  and  $\Phi = \{\alpha : \mathfrak{h} \rightarrow \mathbb{C} \mid \mathfrak{g}_\alpha \neq 0, \alpha \neq 0\}$  and  $\mathfrak{g}_0 = C_{\mathfrak{g}}(\mathfrak{h})$ .

Take larger  $\mathfrak{h}$  yields finer decompositions, and a maximal  $\mathfrak{h}$  gives  $\dim \mathfrak{g}_\alpha = 1 \ \forall \alpha \in \Phi$ .

Corollary:  $\kappa|_{\mathfrak{g}_0}$  is nondegenerate.

## 15.1 The Centralizer of $\mathfrak{h}$

If  $x, y \in \text{End}(V)$  where  $V$  is finite dimensional,  $xy = yx$ , and  $y$  is nilpotent, then  $xy$  is nilpotent and  $\text{Tr}(xy) = 0$ .

Proposition: If  $\mathfrak{h} \subseteq \mathfrak{g}$  is a maximal toral subalgebra, then  $\mathfrak{h} = \mathfrak{g}_0$ .

Proof:

*Step 1:* If  $x \in \mathfrak{g}_0$ , then  $x_s, x_n \in \mathfrak{g}_0$ .

If  $x \in \mathfrak{g}_0$ , then  $\text{ad } x(\mathfrak{h}) \subseteq 0$ . By proposition 4.2,  $\text{ad } x_s(\mathfrak{h}) \subseteq 0, \text{ad } x_n(\mathfrak{h})$ , and so  $x_s, x_n \in \mathfrak{g}_0$ .

*Step 2:*  $\{x_s \mid x \in \mathfrak{g}_0\} \subseteq \mathfrak{h}$ .

If  $x \in \mathfrak{g}_0$ , then by step 1 we have  $x_s \in \mathfrak{g}_0$  and so  $\mathfrak{h} + \mathbb{C}x_s$  is toral, and thus  $x_s \in \mathfrak{h}$ .

*Step 3:*  $\kappa|_{\mathfrak{h}}$  is non-degenerate.

We want to show that  $\kappa(h, x) = 0 \ \forall x \in \mathfrak{g} \implies h = 0$ . By the corollary, it suffices to show that  $\kappa(h, x) = 0 \ \forall x \in \mathfrak{g}_0$ . By step 2, it suffices to check this only for  $x \in \mathfrak{g}_0$  such that  $x = x_n$ .

If  $x = x_n$ , then  $\text{ad } x_n$  is nilpotent and  $\text{ad } h$  commutes with  $\text{ad } x$  because  $[h, x] = 0$  (since  $x \in \mathfrak{g}_0$ ). By the lemma,  $\text{Tr}(\text{ad } h \circ \text{ad } x) = 0$ , since  $\text{ad } h = \kappa(h, x)$ .

*Step 4:*  $\mathfrak{g}_0$  is nilpotent.

Pick  $x \in \mathfrak{g}_0$ . Then by step 2,  $x_s \in \mathfrak{h}$ , so  $\text{ad } x_s : \mathfrak{g}_0 \rightarrow \mathfrak{g}_0$  is a zero map and thus nilpotent.

So  $\text{ad } x_n$  is nilpotent, meaning that  $\text{ad } x$  is nilpotent. By Engel's theorem, this implies that  $\mathfrak{g}_0$  itself is nilpotent.

*Step 5:*  $\mathfrak{g}_0$  is abelian.

Suppose that  $I := [\mathfrak{g}_0, \mathfrak{g}_0] \neq 0$ . We have  $I \subseteq \mathfrak{g}_0$ , and  $I$  is not nilpotent whereas  $\mathfrak{g}_0$  is.

By Lemma 3.3, we have  $I \cap Z(\mathfrak{g}_0) \neq 0$ , so pick  $x$  in the intersection. Note that  $\kappa(\mathfrak{h}, I) = \kappa(\mathfrak{h}, [\mathfrak{g}_0, \mathfrak{g}_0])$ , which by associativity equals  $\kappa([\mathfrak{h}, \mathfrak{g}_0], \mathfrak{g}_0) = 0$ .

By step 3, we have  $\mathfrak{h} \cap I = 0$ . By step 2,  $x \neq x_s$ , and thus  $x_n \neq 0$ . But we also have  $x \in Z(\mathfrak{g}_0)$ , so  $[x, \mathfrak{g}_0] = 0$  and  $\text{ad } x(\mathfrak{g}_0) \subseteq 0$ . By Proposition 4.2, this holds for  $x_s, x_n$  as well, which are both in the center. So for all  $y \in \mathfrak{g}_0$ ,  $\text{ad } y$  commutes with  $\text{ad } x_n$ , which is nilpotent.

By the lemma, this implies that  $0 = \text{Tr}(\text{ad } y \circ \text{ad } x_n) = \kappa(x_n, y)$  for all  $y \in \mathfrak{g}_0$ . So  $x_n = 0$ .

*Step 6:* Suppose  $\mathfrak{g}_0 \not\subseteq \mathfrak{h}$ . By step 2, there exists an  $x \in \mathfrak{g}_0$  such that  $x \notin \mathfrak{h}$ , where  $x_n \neq 0$ . By step 5,  $[x_n, y] = 0$  for all  $y \in \mathfrak{g}_0$ . Then  $\text{ad } x$  (which is nilpotent) commutes with  $\text{ad } y$ . By the lemma,  $0 = \kappa(x_n, y)$  for all  $y \in \mathfrak{g}_0$ , and thus  $x_n = 0$ .  $\square$

Main idea: Choose a maximal toral subalgebra to get a nice root space decomposition, and so it coincides with  $\mathfrak{g}_0$ .

Corollary:  $\kappa|_{\mathfrak{g}}$  is nondegenerate.

Thus for all  $\alpha \in \mathfrak{h}^*$ , there exists a unique  $t_\alpha \in \mathfrak{h}$  such that  $\alpha = \kappa(t_\alpha, \cdot) : \mathfrak{h} \rightarrow \mathbb{C}$ .

In other words, there is an identification

$$\begin{aligned} \mathfrak{h} &\xrightarrow{1-1} \mathfrak{h}^* \\ h &\mapsto \kappa(h, \cdot) \\ t_\alpha &\leftarrow \alpha. \end{aligned}$$

Definition: A subalgebra  $\mathfrak{h} \subseteq \mathfrak{g}$  is a *Cartan subalgebra* if  $\mathfrak{h}$  is nilpotent and

$$\mathfrak{h} = N_{\mathfrak{g}}(\mathfrak{h}) = \{x \in \mathfrak{g} \mid [x, h] \subseteq \mathfrak{h}\}.$$

Note that  $N_{\mathfrak{g}}(\mathfrak{h})$  is the largest subalgebra of  $\mathfrak{g}$  in which  $\mathfrak{h}$  is an ideal.

Remark: If  $\mathfrak{g}$  is semisimple and finite dimensional with  $\text{char}(F) = 0$ , we will have a correspondence:

$$\{\text{CSAs of } \mathfrak{g}\} \iff \{\text{maximal toral subalgebras of } \mathfrak{g}\}.$$

Maximal toral subalgebras advantages over Cartan subalgebra definition:

- Yields the finest root space decomposition
- $\mathfrak{h}^* = \mathfrak{h}$ , Weyl group?
- Existence is easy compared to CSAs

On the other hand, CSA advantages:

- All CSAs are conjugate under  $G$  (some group to be defined)
- The dimensions of all CSAs are the same, giving a well-defined notion of dimension ( $\text{rank } \mathfrak{g} = \dim \mathfrak{h}$ ).

### 15.2 8.3: Orthogonality Properties

From now on,  $\mathfrak{h}$  will be a maximal toral subalgebra.

Proposition: Let  $\alpha \in \Phi$ . Then

- a.  $\Phi$  spans  $\mathfrak{h}^*$
- b.  $-\alpha \in \Phi$
- c.  $\forall x \in \mathfrak{g}_\alpha, y \in \mathfrak{g}_{-\alpha}$ , we have  $[x, y] = \kappa(x, y)t_\alpha$
- d.  $[\mathfrak{g}_\alpha, \mathfrak{g}_{-\alpha}] = \mathbb{C}t_\alpha$  (let the nonzero scalar be  $\lambda$ )
- e.  $\alpha(t_\alpha) = \kappa(t_\alpha, t_\alpha) \neq 0$ .
- f. For any nonzero  $e_\alpha \in \mathfrak{g}_\alpha$ , there exists a unique  $f_\alpha \in \mathfrak{g}_{-\alpha}$  such that  $[e_\alpha, f_\alpha] = h_\alpha := \frac{\lambda}{\kappa(t_\alpha, t_\alpha)}t_\alpha$ .  
Moreover,  $\langle e_\alpha, f_\alpha, h_\alpha \rangle = \mathfrak{sl}(2, \mathbb{C})$ .

## 16 Lecture Wednesday

Today: Properties of the root space when the toral subalgebra is maximal.

Last time: We have  $\mathfrak{g} = \mathfrak{g} \oplus (\bigoplus_{\alpha \in \Phi} \mathfrak{g}_\alpha)$  where  $\kappa|_{\mathfrak{h}}$  is nondegenerate. We also have a correspondence

$$\begin{aligned} \mathfrak{h} &\Longleftrightarrow \mathfrak{h}^* h \mapsto \kappa(\mathfrak{h}, \cdot) \\ t_\alpha &\leftarrow \alpha := \kappa(t_\alpha, \cdot). \end{aligned}$$

### 16.1 Orthogonality Properties

Proposition: Let  $\alpha \in \Phi$ . Then:

- a.  $\Phi$  spans  $\mathfrak{h}^*$
- b.  $-\alpha \in \Phi$
- c.  $[x, y] = \kappa(x, y)t_\alpha$  for all  $x \in \mathfrak{g}_\alpha$  and  $y \in \mathfrak{g}_{-\alpha}$
- d.  $[\mathfrak{g}_\alpha, \mathfrak{g}_{-\alpha}] = \mathbb{C}t_\alpha$
- e.  $\alpha(t_\alpha) = \kappa(t_\alpha, t_\alpha) \neq 0$
- f. For each nonzero  $e_\alpha \in \mathfrak{g}_\alpha$ , there exists a unique  $f_\alpha \in \mathfrak{g}_{-\alpha}$  such that  $[e_\alpha, f_\alpha] = h_\alpha := \frac{2}{\kappa(t_\alpha, t_\alpha)}t_\alpha$ .  
Moreover,  $\langle e_\alpha, t_\alpha, h_\alpha \rangle \cong \mathfrak{sl}(2, \mathbb{C})$ .

Proof of (a): We want to show that  $h \in \mathfrak{h}$  implies that if  $\alpha(h) = 0$  for all  $\alpha \in \Phi$ , then  $h = 0$ .

Take  $x \in \mathfrak{g}_\alpha$ . Then  $[h, x] = \alpha(h)x = 0$ . So  $[\mathfrak{h}, \mathfrak{g}] = 0$  because  $\mathfrak{h}$  is abelian. But then  $[h, \mathfrak{g}] = 0$ , or  $h \in Z(\mathfrak{g}) = 0$  since  $\mathfrak{g}$  is semisimple.

Proof of (b): By Proposition 8.1c, we have  $\kappa(\mathfrak{g}_\alpha, \mathfrak{g}_\beta) = 0$  for all  $\beta \neq -\alpha$ .

If  $-\alpha \notin \Phi$ , then  $\mathfrak{g}_{-\alpha} = 0$ . So  $\kappa(\mathfrak{g}_\alpha, \mathfrak{g}) = 0$  by the non-degeneracy of  $\kappa$ .

Proof of (c): For all  $h \in \mathfrak{h}$ , we have

$$\begin{aligned} \kappa(h, [x, y]) &= \kappa([h, x], y) \\ &= \kappa(\alpha(h)x, y) \\ &= \kappa(t_\alpha, h)\kappa(x, y) \\ &= \kappa(\kappa(x, y)t_\alpha, h) \\ &= \kappa(h, \kappa(x, y)t_\alpha). \end{aligned}$$

which implies that  $\kappa(h, [x, y]) - \kappa(x, y)t_\alpha = 0$ , which forces the second argument to be zero by non-degeneracy.

Proof of (d): We will show that (d) implies (c), i.e.  $[\mathfrak{g}_\alpha, \mathfrak{g}_{-\alpha}] \subseteq \mathbb{C}t_\alpha$ .

We want to show  $\kappa(x, y)$  is not always zero.

Pick any nonzero  $x \in \mathfrak{g}_\alpha$ . Then  $\kappa(x, \mathfrak{g}_\beta) = 0$  for all  $\beta \neq -\alpha$ . If  $\kappa(x, \mathfrak{g}_{-\alpha}) = 0$ , then  $\kappa(x, \mathfrak{g}) = 0$ . By non-degeneracy, this forces  $x = 0$ .

Proof of (e): We will skip this for now, and revisit with methods from later sections that make this proof simpler.

Proof of (f): Let  $e_\alpha \neq 0$  in  $\mathfrak{g}_\alpha$ . Then there exists a  $y \in \mathfrak{g}_{-\alpha}$  such that  $\kappa(e_\alpha, y) \neq 0$ . Set  $f_\alpha \in \mathfrak{g}_{-\alpha}$  such that  $\kappa(e_\alpha, f_\alpha) = \frac{2}{\kappa(t_\alpha, t_\alpha)}$ .

By (c), we have

$$\begin{aligned} [e_\alpha, f_\alpha] &= \kappa(e_\alpha, t_\alpha)t_\alpha \\ &= \frac{2}{\kappa(t_\alpha, t_\alpha)}t_\alpha \\ &= h_\alpha. \end{aligned}$$

and

$$\begin{aligned} [h_\alpha, e_\alpha] &= \frac{2}{\kappa(t_\alpha, t_\alpha)}[t_\alpha, e_\alpha] \\ &= \frac{2}{\kappa(t_\alpha, t_\alpha)}\alpha(t_\alpha)e_\alpha \\ &= 2e_\alpha. \end{aligned}$$

and similarly  $[h_\alpha, f_\alpha] = -2f_\alpha$ .

Definition:

Let  $\mathfrak{sl}(2, \alpha) = \langle e_\alpha, f_\alpha, h_\alpha \rangle$  as in (f). A priori, this depends on a choice of  $e_\alpha \neq 0$ . We will show that this only depends on  $\alpha$ .

## 16.2 Orthogonality/Integrality Properties