Title

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Contents

0.1 Exercises

Problem 1.

Let C denote the Cantor set.

- 1. Show that C contains point that is not an endpoint of one of the removed intervals.
- 2. Show that C is nowhere dense, meager, and has measure zero.
- 3. Show that C is uncountable.

Solution 1.

1. First we will characterize the endpoints of the removed intervals. Let C_n be the *n*th stage of the deletion process that is used to define the Cantor set; then what remains is a union of intervals:

$$C_n = [0, \frac{1}{3^n}] \bigcup [\frac{2}{2^n}, \frac{3}{3^n}] \bigcup \cdots \bigcup [\frac{3^n - 1}{n}, 1],$$

and so the endpoints are precisely the numbers of the form $\frac{k}{3^n}$ where $0 \le k \le 3^n$. Moreover, any endpoint appearing in C_n is never removed in any later step, and so all endpoints remaining in C are of this form where we allow $0 \le n < \infty$.

Thus, our goal is to produce a number $x \in [0,1]$ such that $x \neq \frac{k}{3^n}$ for any k or n, but also satisfies $x \in C$. So we will need a general characterization of all of the points in C.

Lemma: If $x \in C$, then one can find a ternary expansion for which all of the digits are either 0 or 2, i.e.

$$x = \sum_{k=1}^{\infty} a_k 3^{-k}$$
 where $a_k \in \{0, 2\}$.

Proof: By induction on the index k in a_k , first consider note that if $x \in C$ then $x \in C_1 = [0,1] \setminus [\frac{1}{3},\frac{2}{3}] = [0,\frac{1}{3}] \bigcup [\frac{2}{3},1]$. So if $x \in C_1$, then $x \notin (\frac{1}{3},\frac{2}{3})$. But note that a_1 is computed in the following way:

$$a_1 = \begin{cases} 0 & 0 \le x < \frac{1}{3}, \\ 1 & \frac{1}{3} \le x < \frac{2}{3}, \\ 2 & \frac{2}{3} \le x < 1. \end{cases}$$

Since the interval $(\frac{1}{3}, \frac{2}{3})$ is deleted in C_1 , we find that $a_1 = 1 \iff x = \frac{1}{3}$. In this case, however, we claim that we can find a ternary expansion of x that does not contain a 1. We first write

$$x = \frac{1}{3} = \sum_{k=1}^{\infty} a_k 3^{-k}$$
 where $a_1 = 1, a_{k>1} = 0$,

and then define

$$x' = \sum_{k=1}^{\infty} b_k 3^{-k}$$
 where $b_1 = 0, b_{k>1} = 2$.

The claim now is that x = x', which follows from the fact that this is a geometric sum that can be written in closed form:

$$x' = \sum_{k=2}^{\infty} (2)3^{-k}$$

$$= \left(\sum_{k=0}^{\infty} (2)3^{-k}\right) - 2 - 2(3^{-1})$$

$$= 2\left(\sum_{k=0}^{\infty} 3^{-k}\right) - 2 - 2(3^{-1})$$

$$= 2\left(\frac{1}{1 - \frac{1}{3}}\right) - 2 - 2(3^{-1})$$

$$= 2\left(\frac{3}{2}\right) - 2 - 2(3^{-1})$$

$$= 1 - \frac{2}{3}$$

$$= \frac{1}{3} = x.$$

In short, we have $\frac{1}{3} = (0.1)_3 = (0.222 \cdots)_3$ as ternary expansions, and a similar proof shows that such an expansion without 1s can be found for any endpoint.

For the inductive step, consider a_n : the claim is that if $a_n = 1$, then $x \notin C_{n+1}$ – that is, it is contained in one of the intervals deleted at the n+1st stage. Writing the deleted interval at this stage as (a,b), we find that $a_n = 1$ if and only if $x \in [a,b)$. Since $x \in C$, the only way a_n can be 1 is if x was in fact the endpoint a (since no previous digit was a 1, by hypothesis). However, as shown above, every such endpoint has a ternary expansion containing no 1s. \square

Therefore, if we can produce an x that satisfies $x \neq \frac{k}{3^n}$ for any k, n and x has no 1s in its ternary expansion, we will have an $x \in C$ that is not an endpoint.

So take

$$x = (0.\overline{02})_3 = (0.020202 \cdots)_3.$$

This evidently has no 1s in its ternary expansion, and if we sum the corresponding geometric series, we find $x = \frac{1}{4}$. This is not of the form $\frac{k}{3^n}$ for any k, n, and thus fulfills both conditions.

2. We first show that C is nowhere dense by showing that the interior of its closure is empty, i.e. $(\overline{C})^{\circ} = \emptyset$.

To do so, we note that C is itself closed and so $C = \overline{C}$. To see why this is, consider C^c ; we'll show that it is open. By construction, C_1^c is the open interval $(\frac{1}{3}, \frac{2}{3})$ that is deleted, and similarly C_n^c is the finite union of the open intervals that are deleted at the nth stage. But then

$$C^c = \left(\bigcap C_n\right)^c = \bigcup C_n^c$$

is an infinite union of open sets, which is also open. So C is closed.