

Title

D. Zack Garza

Contents

1	Lecture 8: Riemann-Roch Spaces	3
---	--------------------------------	---

1 | Lecture 8: Riemann-Roch Spaces

Setting up for the single most important theorem in the course: the Riemann-Roch theorem. We start by motivating this by considering the following property of $K := k(t)$: for any degree 1¹ place $p \in \Sigma(K/k)$, there exists an $f \in K^\times$ such that $(f)_- = p$. In other words, f is a rational function with a simple pole at the given place, and no other poles. Why? We just know precisely what all of the places are for this function field.

If $p = \infty$, we can just take $f(t) = t$, since any polynomial is regular away from ∞ and the valuation is $-\deg(f) = -1$. The other places p correspond to $t - \alpha$ (the uniformizing element) for $\alpha \in k$, since they correspond to other points on $\mathbb{A}_{/k}^1$, and so we can take $f(t) = 1/(t - \alpha)$. This f is regular at infinity since the degree of the numerator is larger than the degree of the denominator, and the denominator doesn't vanish at any other place.

Remark 1.0.1: With some thought, it can be found that this is a *characteristic* property of rational function fields: if $f \in K$, a one variable function field, and $\deg(d)_- = 1$ ² then the degree of the function is equal to the degree of the divisor of the zeros and the divisor of the poles, and thus the degree of the extension $[K : k(t)] = 1$ and thus $K = k(t)$ is rational. So having a rational with a simple pole at only one point *only* happens in you're in a rational function field.

On the other hand, we both wanted and used in our discussion of holomorphy rings the fact that given a nonempty finite subset $S \subset \Sigma(K/k)$, we want to find a rational function $f \in K^\times$ has poles at all of the points in S , so $\text{supp}(f)_- = S$. Better yet, we'd like a bound on the degree of any such f , i.e. the orders of all of these poles. If S is a single place, unless the function field is rational, we can't require the function to have a pole of degree 1 at that point. But can it admit a pole of degree at most 10, for example? This is what motivates the Riemann-Roch spaces and the Riemann-Roch theorem. If you're trying to give a quantitative bound on how high of an order of a pole you have to allow in order to have a rational function, this comes from a key invariant called the *genus* of the function field. The theorem that will tell us about the existence of rational functions with poles of prescribed degrees in terms of the genus is precisely the Riemann-Roch theorem, so that's where we are headed.

Definition 1.0.2 (Riemann-Roch Space of D (Key Definition))

For $D \in \text{Div } K$, the **Riemann-Roch space** of D is defined as

$$\mathcal{L}(D) := \left\{ f \in K^\times \mid (f)_- \geq -D \right\} \cup \{0\}.$$

Remark 1.0.3: This will turn out to be a k -vector space, and is a sub k -vector space of K . One of the first things we'll prove is that it's always finite dimensional. This is only interesting when D is linearly equivalent to an effective divisor, so we should think of D as having a nonnegative degree, and in fact itself being an effective divisor. So this is the space of rational functions that have prescribes poles of a prescribed order.

¹So the residue field of the corresponding DVR is k itself rather than some proper finite degree extension.

²Recall that this is the divisor pole.

Question 1.0.4: Does $\mathcal{L}(D)$ contain any rational functions other than zero?

Answer 1.0.5: For any nonzero $f \in \mathcal{L}(D)^\bullet$, the divisor $D + (f)$ is effective, since $(f) \geq -D$, and also linearly equivalent to D . If D is not linearly equivalent to an effective divisor, this is just the zero vector space.

Exercise 1.0.6(?): Let $K = k(t)$ and $n \in \mathbb{Z}^{\geq 0}$. Show that

$$L(n\infty) = \{f \in k[t] \mid \deg f \leq n\}$$

and in particular is a k -vector space of dimension $n + 1$.³

Remark 1.0.7: Note that ∞ is a degree 1 place, and multiplying it by n yields an effective divisor. The Riemann-Roch space here is comprised of rational functions that regular away from ∞ , which are polynomials, whose pole at ∞ has order at worst n . But the order of a pole at infinity is its degree as a polynomial, since the ∞ -adic valuation is the negative degree, so this yields polynomials of degree at most n .

Lemma 1.0.8(?).

For $D \in \text{Div } K$,

$$\mathcal{L}(D) \neq \{0\} \iff 0 \text{ is equivalent to an effective divisor.}$$

Proof (?).

\implies : If $f \in \mathcal{L}(D)^\bullet$, then $D + (f)$ is effective and linearly equivalent to zero.

\impliedby : If $D' \geq 0$ and $D' \sim D$, then $D' = D + (f) \geq 0$. So $(f) \geq -D$ and thus $f \in \mathcal{L}(D)$. ■

Example 1.0.9(?): $\mathcal{L}(0) = \{f \mid (f) \geq 0\} \cup \{0\}$, which consists of rational functions with no poles (so their divisor is the zero divisor), and thus $\mathcal{L}(0) = \kappa(K)$. I.e., these are the constants: they are regular everywhere and have no zeros or poles. We would like this space to have k -dimension 1, so we impose $\kappa(K) = k$.

Exercise 1.0.10(?):

- Show that for all D , $\mathcal{L}(D) \in \text{Vect}_k$.
-

$$D \sim D' \implies .$$

Remark 1.0.11: You can frame the above as taking rational functions with poles of certain orders, and analyzing the orders of poles of their sums.

³Recall that ∞ is the $1/t$ -adic place.