

# Title

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## 1 Friday, August 21

Reference: Carter's "Finite Groups of Lie Type".  
Reference: Humphrey's "Linear Algebraic Groups" (Springer)

### 1.1 Intro and Definitions

**Definition 1.0.1** (Affine Variety).

Let  $k = \bar{k}$  be algebraically closed (e.g.  $k = \mathbb{C}, \overline{\mathbb{F}_p}$ ). A variety  $V \subseteq k^n$  is an *affine  $k$ -variety* iff  $V$  is the zero set of a collection of polynomials in  $k[x_1, \dots, x_n]$ .

Here  $\mathbb{A}^n := k^n$  with the Zariski topology, so the closed sets are varieties.

**Definition 1.0.2** (Affine Algebraic Group).

An *affine algebraic  $k$ -group* is an affine variety with the structure of a group, where the

multiplication and inversion maps

$$\begin{aligned}\mu : G \times G &\longrightarrow G \\ \iota : G &\longrightarrow G\end{aligned}$$

are continuous.

**Example 1.1.**

$G = \mathbb{G}_a \subseteq k$  the *additive group* of  $k$  is defined as  $\mathbb{G}_a := (k, +)$ . We then have a *coordinate ring*  $k[\mathbb{G}_a] = k[x]/I = k[x]$ .

**Example 1.2.**

$G = \mathrm{GL}(n, k)$ , which has coordinate ring  $k[x_{ij}, T]/\langle \det(x_{ij}) \cdot T = 1 \rangle$ .

**Example 1.3.**

Setting  $n = 1$  above, we have  $\mathbb{G}_m := \mathrm{GL}(1, k) = (k^\times, \cdot)$ . Here the coordinate ring is  $k[x, T]/\langle xT = 1 \rangle$ .

**Example 1.4.**

$G = \mathrm{SL}(n, k) \leq \mathrm{GL}(n, k)$ , which has coordinate ring  $k[G] = k[x_{ij}]/\langle \det(x_{ij}) = 1 \rangle$ .

**Definition 1.0.3** (Irreducible).

A variety  $V$  is *irreducible* iff  $V$  can not be written as  $V = \cup_{i=1}^n V_i$  with each  $V_i \subseteq V$  a proper subvariety.

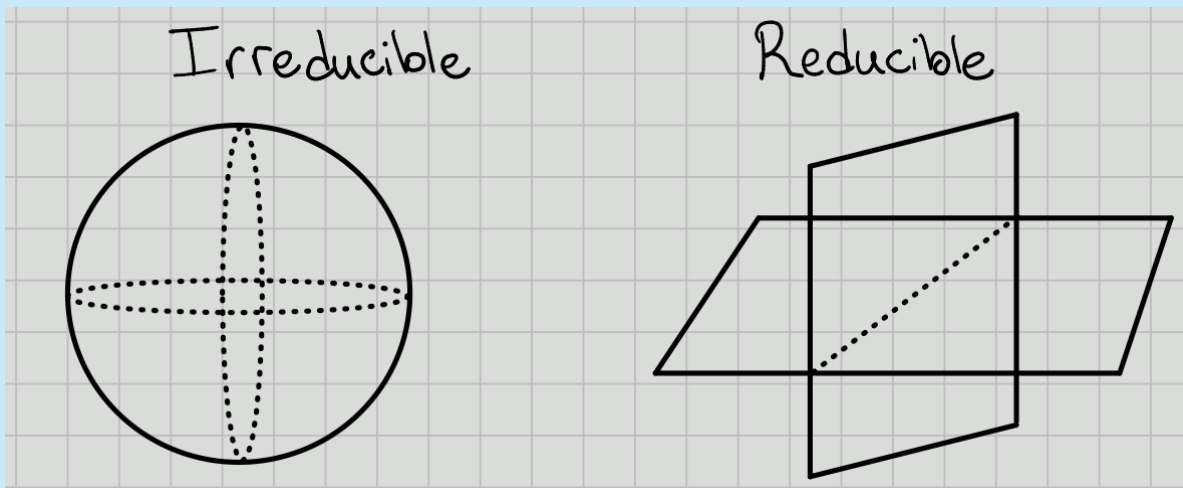


Figure 1: Reducible vs Irreducible

**Proposition 1.1(?)**.

There exists a unique irreducible component of  $G$  containing the identity  $e$ . Notation:  $G^0$ .

**Proposition 1.2(?)**.

$G$  is the union of translates of  $G^0$ , i.e. there is a decomposition

$$G = \coprod_{g \in \Gamma} g \cdot G^0,$$

where we let  $G$  act on itself by left-translation and define  $\Gamma$  to be a set of representatives of distinct orbits.

**Proposition 1.3(?)**.

One can define solvable and nilpotent algebraic groups in the same way as they are defined for finite groups, i.e. as having a terminating derived or lower central series respectively.

**1.2 Jordan-Chevalley Decomposition****Proposition 1.4(Existence and Uniqueness of Radical)**.

There is a maximal connected normal solvable subgroup  $R(G)$ , denoted the *radical* of  $G$ .

- $\{e\} \subseteq R(G)$ , so the radical exists.
- If  $A, B \leq G$  are solvable then  $AB$  is again a solvable subgroup.

**Definition 1.4.1** (Unipotent).

An element  $u$  is *unipotent*  $\iff u = 1 + n$  where  $n$  is nilpotent  $\iff$  its the only eigenvalue is  $\lambda = 1$ .

**Proposition 1.5(JC Decomposition)**.

For any  $G$ , there exists a closed embedding  $G \hookrightarrow \mathrm{GL}(V) = \mathrm{GL}(n, k)$  and for each  $x \in G$  a unique decomposition  $x = su$  where  $s$  is semisimple (diagonalizable) and  $u$  is unipotent.

Define  $R_u(G)$  to be the subgroup of unipotent elements in  $R(G)$ . :::{.definition title="Semisimple and Reductive"} Suppose  $G$  is connected, so  $G = G^0$ , and nontrivial, so  $G \neq \{e\}$ . Then

- $G$  is semisimple iff  $R(G) = \{e\}$ .
- $G$  is reductive iff  $R_u(G) = \{e\}$ . :::

**Example 1.5.**

$G = \mathrm{GL}(n, k)$ , then  $R(G) = Z(G) = kI$  the scalar matrices, and  $R_u(G) = \{e\}$ . So  $G$  is reductive and semisimple.

**Example 1.6.**

$G = \mathrm{SL}(n, k)$ , then  $R(G) = \{I\}$ .

**Exercise 1.1.**

Is this semisimple? Reductive? What is  $R_u(G)$ ?

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**Definition 1.5.1** (Torus).

A *torus*  $T \subseteq G$  in  $G$  an algebraic group is a commutative algebraic subgroup consisting of semisimple elements.

**Example 1.7.**

Let

$$T := \left\langle \begin{bmatrix} a_1 & & \mathbf{0} \\ & \ddots & \\ \mathbf{0} & & a_n \end{bmatrix} \subseteq \mathrm{GL}(n, k) \right\rangle.$$

**Remark 1.**

Why are torii useful? For  $\mathfrak{g} = \mathrm{Lie}(G)$ , we obtain a root space decomposition

$$\mathfrak{g} = \left( \bigoplus_{\alpha \in \Phi_-} \mathfrak{g}_\alpha \right) \oplus \mathfrak{t} \oplus \left( \bigoplus_{\alpha \in \Phi_+} \mathfrak{g}_\alpha \right).$$

When  $G$  is a simple algebraic group, there is a classification/correspondence:

$$(G, T) \iff (\Phi, W).$$

where  $\Phi$  is an irreducible root system and  $W$  is a Weyl group.

## 2 Monday, August 24

### 2.1 Review and General Setup

- $k = \bar{k}$  is algebraically closed
- $G$  is a reductive algebraic group
- $T \subseteq G$  is a *maximal split torus*

$$\text{Split: } T \cong \bigoplus \mathbb{G}_m.$$

We'll associate to this a root system, not necessarily irreducible, yielding a correspondence

$$(G, T) \iff (\Phi, W)$$

with  $W$  a Weyl group.

This will be accomplished by looking at  $\mathfrak{g} = \mathrm{Lie}(G)$ . If  $G$  is simple, then  $\mathfrak{g}$  is “simple”, and  $\Phi$  irreducible will correspond to a Dynkin diagram.

There is this a 1-to-1 correspondence

$$G \text{ simple} / \sim \iff A_n, B_n, C_n, D_n, E_6, E_7, E_8, F_4, G_2$$

where  $\sim$  denotes *isogeny*.

Taking the Zariski tangent space at the identity “linearizes” an algebraic group, yielding a Lie algebra.

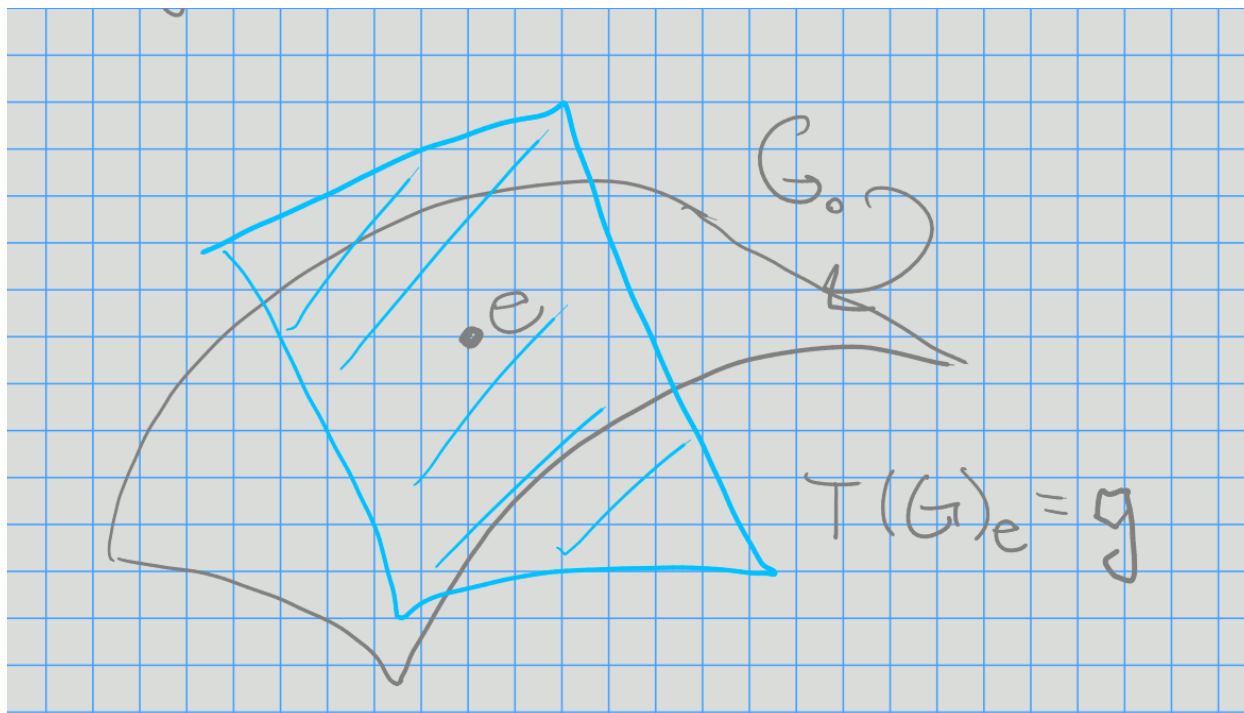


Figure 2: Image

We have the coordinate ring  $k[G] = k[x_1, \dots, x_n]/\mathcal{I}(G)$  where  $\mathcal{I}(G)$  is the zero set. This is equal to  $\{f : G \rightarrow k\}$ ,

## 2.2 The Associated Lie Algebra

**Definition 2.0.1** (The Lie Algebra of an Algebraic Group).

Define *left translation* is

$$\begin{aligned} \lambda_x : k[G] &\longrightarrow k[G] \\ y &\mapsto f(x^{-1}y). \end{aligned}$$

Define *derivations* as

$$\text{Der } k[G] = \left\{ D : k[G] \longrightarrow k[G] \mid D(fg) = D(f)g + fD(g) \right\}.$$

We can then realize the Lie algebra as

$$\mathfrak{g} = \text{Lie}(G) = \left\{ D \in \text{Der } k[G] \mid \lambda_x \circ D = D \circ \lambda_x \right\},$$

the left-invariant derivations.

**Example 2.1.**

- $G = \mathrm{GL}(n, k) \implies \mathfrak{g} = \mathfrak{gl}(n, k)$
- $G = \mathrm{SL}(n, k) \implies \mathfrak{g} = \mathfrak{sl}(n, k)$

Let  $G$  be reductive and  $T$  be a split torus. Then  $T$  acts on  $\mathfrak{g}$  via an *adjoint action*. (For  $\mathrm{GL}_n, \mathrm{SL}_n$ , this is conjugation.)

There is a decomposition into eigenspaces for the action of  $T$ ,

$$\mathfrak{g} = \left( \bigoplus_{\alpha \in \Phi} g_{\alpha} \right) \oplus t$$

where  $t = \mathrm{Lie}(T)$  and  $g_{\alpha} := \{x \in \mathfrak{g} \mid t.x = \alpha(t)x \ \forall t \in T\}$  with  $\alpha : T \longrightarrow K^{\times}$  a rational function (a *root*).

In general, take  $\alpha \in \mathrm{hom}_{\mathrm{AlgGrp}}(T, \mathbb{G}_m)$ .

**Example 2.2.**

Let  $G = \mathrm{GL}(n, k)$  and

$$T = \left\{ \begin{bmatrix} a_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & a_n \end{bmatrix} \mid a_j \in k^{\times} \right\}.$$

Then check the following action:

$$\begin{aligned}
 t. \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} &= \begin{pmatrix} a_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & a_n \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} a_1^{-1} & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & a_n^{-1} \end{pmatrix} \\
 &= \begin{pmatrix} 0 & a_1 a_2^{-1} & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \\
 &= a_1 a_2^{-1} \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}
 \end{aligned}$$

Figure 3: Action

which indeed acts by a rational function.

Then

$$g_\alpha = \text{span} \left\{ \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \right\} = g_{(1,-1,0)}.$$

For  $\mathfrak{g} = \mathfrak{gl}(3, k)$ , we have

$$\begin{aligned} \mathfrak{g} &= t \oplus g_{(1,-1,0)} \oplus g_{(-1,1,0)} \\ &\quad \oplus g_{(0,1,-1)} \oplus g_{(0,-1,1)} \\ &\quad \oplus g_{(1,0,-1)} \oplus g_{(-1,0,1)}. \end{aligned}$$

## 2.3 Representations

Let  $\rho : G \longrightarrow \text{GL}(V)$  be a group homomorphism, then equivalently  $V$  is a (rational)  $G$ -module.

For  $T \subseteq G$ ,  $T \curvearrowright G$  semisimply, so we can simultaneously diagonalize these operators to obtain a *weight space decomposition*  $V = \bigoplus_{\lambda \in X(T)} V_\lambda$ , where

$$\begin{aligned} V_\lambda &:= \left\{ v \in V \mid t.v = \lambda(t)v \ \forall t \in T \right\} \\ X(T) &:= \text{hom}(T, \mathbb{G}_m). \end{aligned}$$

### Example 2.3.

Let  $G = \text{GL}(n, k)$  and  $V$  the  $n$ -dimensional natural representation as column vectors,

$$V = \left\{ [v_1, \dots, v_n] \mid v_j \in k \right\}.$$

Then

$$T = \left\{ \begin{bmatrix} a_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & a_n \end{bmatrix} \mid a_j \in k^\times \right\}.$$

Consider the basis vectors  $\mathbf{e}_j$ , then

$$\begin{bmatrix} a_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & a_n \end{bmatrix} \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} = a_j \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} = a_1^0 a_2^0 \cdots a_j^0 \cdots a_n^0 \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}.$$

Here the weights are of the form  $\varepsilon_j := [0, 0, \dots, 1, \dots, 0]$  with a 1 in the  $j$ th spot, so we have

$$V = V_{\varepsilon_1} \oplus V_{\varepsilon_2} \oplus \cdots \oplus V_{\varepsilon_n}.$$

### Example 2.4.

For  $V = \mathbb{C}$ , we have  $t.v = (a_1^0 \cdots a_n^0)v$  and  $V = V_{(0,0,\dots,0)}$ .

**2.4 Classification**

Let  $G$  be a simple algebraic group (ano closed, connected, normal subgroups other than  $\{e\}, G$ ) that is nonabelian that is nonabelian.

**Example 2.5.**

Let  $G = \mathrm{SL}(3, k)$ . Then

$$T = \left\{ t = \begin{bmatrix} a_1 & 0 & 0 \\ 0 & a_1 a_2^{-1} & 0 \\ 0 & 0 & a_2^{-1} \end{bmatrix} \mid a_1, a_2 \in k^\times \right\}$$

and

$$t \cdot \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} = a_1^2 a_2^{-1} \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}.$$

and  $\alpha_1 = (2, -1)$ .

What is  $\alpha_1$ ? Note that you can recover the Cartan something here?

Then

$$\mathfrak{g} = \mathfrak{g}_{(2,-1)} \oplus \mathfrak{g}_{(-2,1)} \oplus \mathfrak{g}_{(-1,2)} \oplus \mathfrak{g}_{(1,-2)} \oplus \mathfrak{g}_{(1,1)} \oplus \mathfrak{g}_{(-1,-1)}.$$

Then  $\alpha_2 = (-1, 2)$  and  $\alpha_1 + \alpha_2 = (1, 1)$ .

This gives the root space decomposition for  $\mathfrak{sl}_3$ :



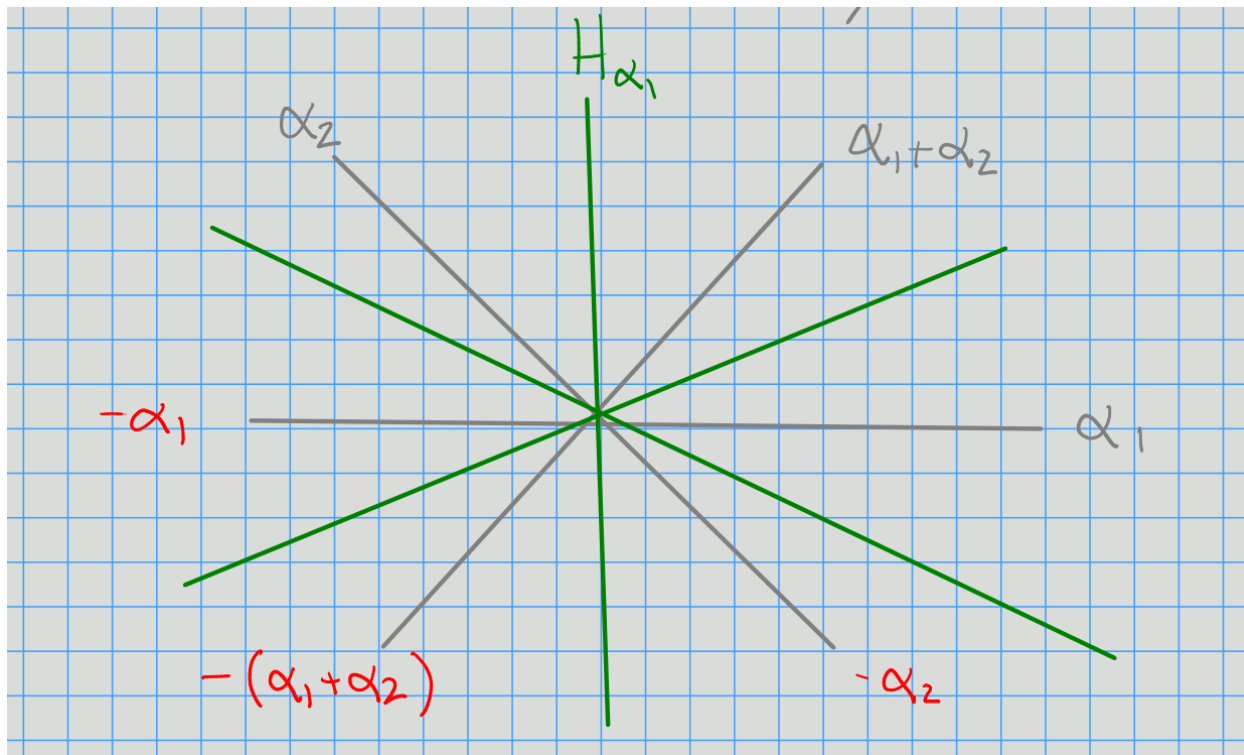


Figure 4: Image

Then the Weyl group will be generated by reflections through these hyperplanes.

### 3 Wednesday, August 26

#### 3.1 Review

- $G$  a reductive algebraic group over  $k$
- $T = \prod_{i=1}^n \mathbb{G}_m$  a maximal split torus
- $\mathfrak{g} = \text{Lie}(G)$
- There's an induced root space decomposition  $\mathfrak{g} = \mathfrak{t} \oplus \bigoplus_{\alpha \in \Phi} \mathfrak{g}_{\alpha}$
- When  $G$  is simple,  $\Phi$  is an *irreducible* root system
  - There is a classification of these by Dynkin diagrams

#### Example 3.1.

$A_n$  corresponds to  $\mathfrak{sl}(n+1, k)$  (mnemonic:  $A_1$  corresponds to  $\mathfrak{sl}(2)$ )

- We have representations  $\rho : G \rightarrow \text{GL}(V)$ , i.e.  $V$  is a  $G$ -module
- For  $T \subseteq G$ , we have a weight space decomposition:  $V = \bigoplus_{\lambda \in X(T)} V_{\lambda}$  where  $X(T) = \text{hom}(T, \mathbb{G}_m)$ .

Note that  $X(T) \cong \mathbb{Z}^n$ , the number of copies of  $\mathbb{G}_m$  in  $T$ .

### 3.2 Root Systems and Weights

**Example 3.2.**

Let  $\Phi = A_2$ , then we have the following root system:

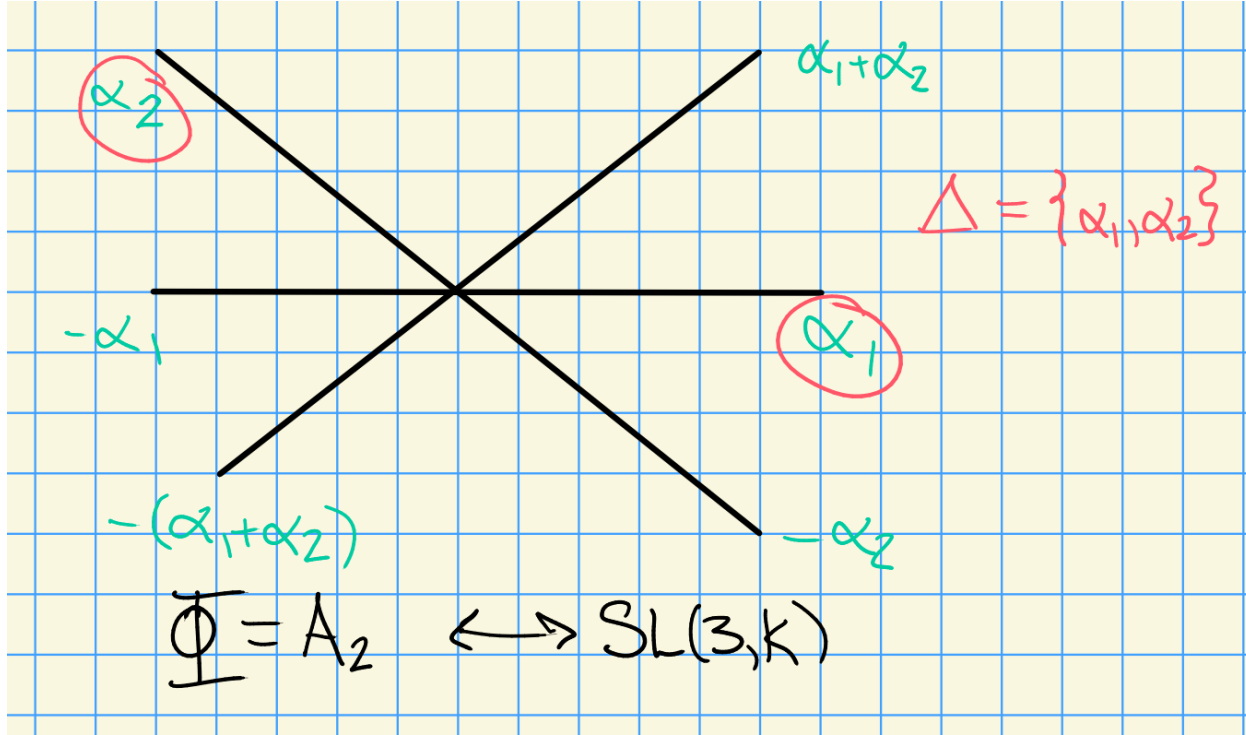


Figure 5: Image

In general, we'll have  $\Delta = \{\alpha_1, \dots, \alpha_n\}$  a basis of *simple roots*.

**Remark 2.**

Every root  $\alpha \in I$  can be expressed as either positive integer linear combination (or negative) of simple roots.

For any  $\alpha \in \Phi$ , let  $s_\alpha$  be the reflection across  $H_\alpha$ , the hyperplane orthogonal to  $\alpha$ . Then define the *Weyl group*  $W = \{s_\alpha \mid \alpha \in \Phi\}$ .

**Example 3.3.**

Here the Weyl group is  $S_3$ :

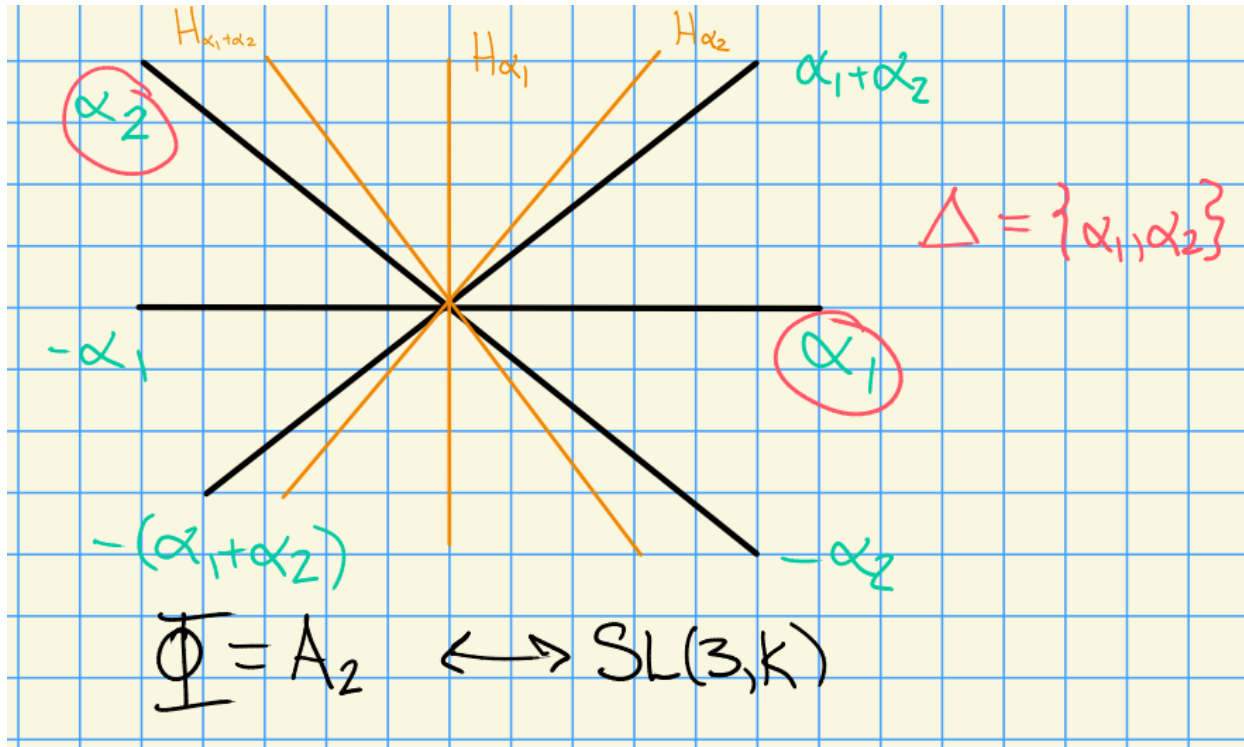


Figure 6: Image

**Remark 3.**

$W$  acts transitively on bases.

**Remark 4.**

$X(T) \subseteq \mathbb{Z}\Phi$ , recalling that  $X(T) = \text{hom}(T, \mathbb{G}_m) = \mathbb{Z}^n$  for some  $n$ . Denote  $\mathbb{Z}\Phi$  the *root lattice* and  $X(T)$  the *weight lattice*.

**Example 3.4.**

Let  $G = \mathfrak{sl}(2, \mathbb{C})$  then  $X(T) = \mathbb{Z}\omega$  where  $\omega = 1$ ,  $\mathbb{Z}\Phi = \mathbb{Z}\{\alpha\}$ . Then there is one weight  $\alpha$ , and the root lattice  $\mathbb{Z}\Phi$  is just  $2\mathbb{Z}$ . However, the weight lattice is  $\mathbb{Z}\omega = \mathbb{Z}$ , and these are not equal in general.

**Remark 5.**

There is partial ordering on  $X(T)$  given by  $\lambda \geq \mu \iff \lambda - \mu = \sum_{\alpha \in \Delta} n_{\alpha} \alpha$  where  $n_{\alpha} \geq 0$ . (We say  $\lambda$  *dominates*  $\mu$ .)

**Definition 3.0.1** (Fundamental Dominant Weights).

We extend scalars for the weight lattice to obtain  $E := X(T) \otimes_{\mathbb{Z}} \mathbb{R} \cong \mathbb{R}^n$ , a Euclidean space with an inner product  $\langle \cdot, \cdot \rangle$ .

For  $\alpha \in \Phi$ , define its *coroot*  $\alpha^\vee := \frac{2\alpha}{\langle \alpha, \alpha \rangle}$ . Define the *simple coroots* as  $\Delta^\vee := \{\alpha_i^\vee\}_{i=1}^n$ , which has a dual basis  $\Omega := \{\omega_i\}_{i=1}^n$  the *fundamental weights*. These satisfy  $\langle \omega_i, \alpha_j^\vee \rangle = \delta_{ij}$ .

What is the notation for fundamental weights? Definitely not  $\Omega$  usually!

Important because we can index irreducible representations by fundamental weights.

A weight  $\lambda \in X(T)$  is *dominant* iff  $\lambda \in \mathbb{Z}^{\geq 0}\Omega$ , i.e.  $\lambda = \sum n_i \omega_i$  with  $n_i \in \mathbb{Z}^{\geq 0}$ .

If  $G$  is simply connected, then  $X(T) = \bigoplus \mathbb{Z}\omega_i$ .

See Jantzen for definition of simply-connected,  $\mathrm{SL}(n+1)$  is simply connected but its adjoint  $\mathrm{PGL}(n+1)$  is not simply connected.

**3.3 Complex Semisimple Lie Algebras**

When doing representation theory, we look at the Verma modules  $Z(\lambda) = U(\mathfrak{g}) \otimes_{U(\mathfrak{b}^+)} \lambda \twoheadrightarrow L(\lambda)$ .

**Theorem 3.1(?)**.

$L(\lambda)$  as a finite-dimensional  $U(\mathfrak{g})$ -module  $\iff \lambda$  is dominant, i.e.  $\lambda \in X(T)_+$ .

Thus the representations are indexed by lattice points in a particular region:

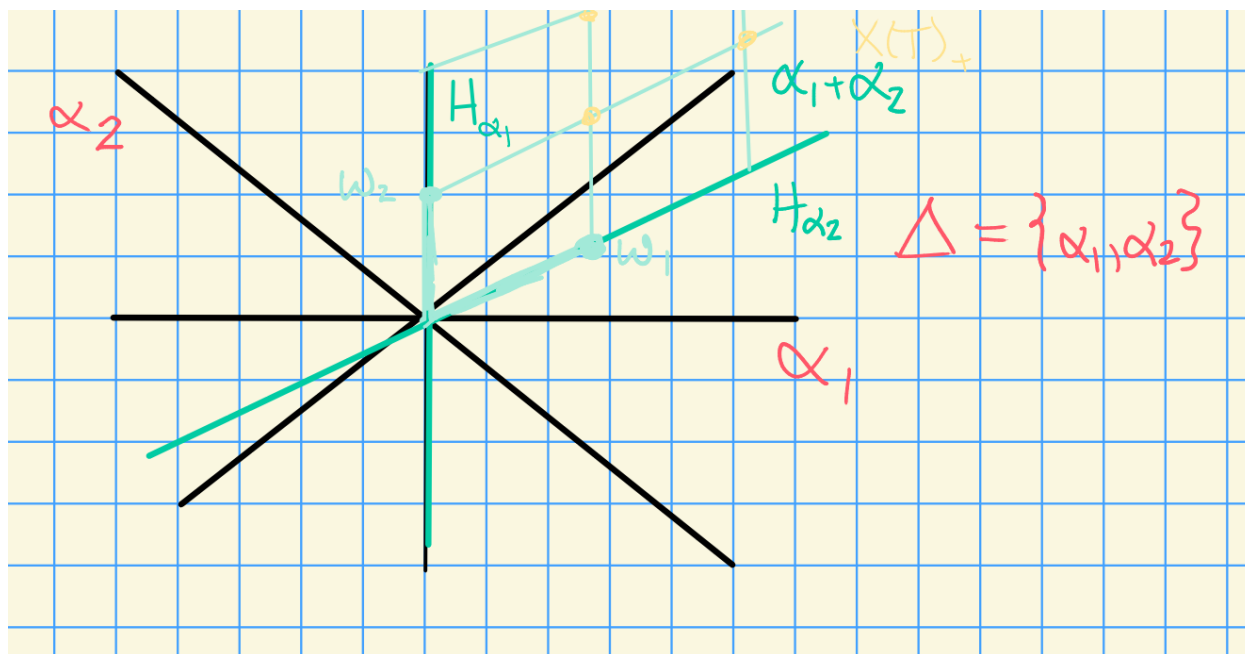


Figure 7: Image

**Question 1:**

Suppose  $G$  is a simple (simply connected) algebraic group. How do you parameterize *irreducible* representations?

For  $\rho : G$

$\rightarrow \mathrm{GL}(V)$ ,  $V$  is a *simple module* (an *irreducible representation*) iff the only proper  $G$ -submodules of  $V$  are trivial.

**Answer 1:** They are also parameterized by  $X(T)_+$ . We'll show this using the induction functor  $\mathrm{Ind}_B^G \lambda = H^0(G/B, \mathcal{L}(\lambda))$  (sheaf cohomology of the flag variety with coefficients in some line bundle).

We'll define what  $B$  is later, essentially upper-triangular matrices.

**Question 2:** What are the dimensions of the irreducible representations for  $G$ ?

**Answer 2:** Over  $k = \mathbb{C}$  using Weyl's dimension formula.

For  $k = \overline{\mathbb{F}_p}$ : conjectured to be known for  $p \geq h$  (the *Coxeter number*), but by Williamson (2013) there are counterexamples. Current work being done!