# Algebra Notes

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## 1 Group Theory

## 1.1 Big List of Notation

C(x) =	$\left\{g \in G \mid gxg^{-1} = x\right\}$	$\subseteq G$	Centralizer
$C_G(h) =$	$\left\{ghg^{-1} \mid g \in G\right\}$	$\subseteq G$	Conjugacy Class
Gx =	$\{g.x \mid x \in X\}$	$\subseteq X$	Orbit
$G_x =$	$\left\{g \in G \mid g.x = x\right\}$	$\subseteq G$	Stabilizer
$X_g =$	$\{x \in X \mid \forall g \in G, \ g.x = x\}$	$\subseteq X$	Fixed Points
Z(G) =	$\left\{ x \in G \mid \forall g \in G, \ gxg^{-1} = x \right\}$	$\subseteq G$	Center
Inn(G) =	$\left\{\phi_g(x) = gxg^{-1}\right\}$	$\subseteq \operatorname{Aut}(G)$	Inner Aut.
$\operatorname{Out}(G) =$	$\operatorname{Aut}(G)/\operatorname{Inn}(G)$	$\hookrightarrow \operatorname{Aut}(G)$	Outer Aut.
N(H) =	$\left\{g \in G \mid gHg^{-1} = H\right\}$	$\subseteq G$	Normalizer

## 1.2 Basics

Definition (Centralizer):

$$C_G(H) = \left\{ g \in G \mid ghg^{-1} = h \ \forall h \in H \right\}$$

Definition (Normalizer):

$$N_G(H) = \left\{ g \in G \mid gHg^{-1} = H \right\}$$

Lemma:  $C_G(H) \leq N_G(H)$ 

**Lemma:** The size of the conjugacy class of H is the index of its centralizer, i.e.

$$\left|\left\{gHg^{-1} \mid g \in G\right\}\right| = [G:C_G(H)].$$

Proof: Orbit-stabilizer.

Lemma ("The Fundamental Theorem of Cosets"):

$$aH = bH \iff a^{-1}b \in H \text{ or } aH \bigcap bH = \emptyset$$

**Definition**:  $[x,y] = x^{-1}y^{-1}xy$  is the **commutator**, and  $[G,G] := \{[x,y] \mid x,y \in G\}$  is the **commutator** subgroup.

Lemma:

$$[G,G] \leq H$$
 and  $H \subseteq G \implies G/H$  is abelian.

#### Lemmas:

- Every subgroup of a cyclic group is itself cyclic.
- Intersections of subgroups are still subgroups
  - Intersections of distinct coprime-order subgroups are trivial
  - Intersections of subgroups of the same prime order are either trivial or equality
- The Quaternion group has only one element of order 2, namely -1.
  - They also have the presentation

$$Q = \langle x, y, z \mid x^2 = y^2 = z^2 = xyz = -1 \rangle$$
  
=  $\langle x, y \mid x^4 = y^4 = e, x^2 = y^2, yxy^{-1} = x^{-1} \rangle$ .

• A dihedral group always has a presentation of the form

$$D_n = \langle x, y \mid x^n = y^2 = (xy)^2 = e \rangle,$$

yielding at least 2 distinct elements of order 2.

## 1.3 Finitely Generated Abelian Groups

Invariant factor decomposition:

$$G \cong \mathbb{Z}^r \times \prod_{j=1}^m \mathbb{Z}/(n_j)$$
 where  $n_1 \mid \cdots \mid n_m$ .

## Going from invariant divisors to elementary divisors:

- Take prime factorization of each factor
- Split into coprime pieces

Example:

$$\mathbb{Z}/(2) \oplus \mathbb{Z}/(2) \oplus \mathbb{Z}/(2^3 \cdot 5^2 \cdot 7)$$
  
$$\cong \mathbb{Z}/(2) \oplus \mathbb{Z}/(2) \oplus \mathbb{Z}/(2^3) \oplus \mathbb{Z}/(5^2) \oplus \mathbb{Z}/(7)$$

Going from elementary divisors to invariant factors:

- Bin up by primes occurring (keeping exponents)
- ullet Take highest power from each prime as last invariant factor
- Take highest power from all remaining primes as next, etc

Example: Given the invariant factor decomposition

$$G = \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_3 \times \mathbb{Z}_3 \times \mathbb{Z}_{25},.$$

$$\implies n_m = 5^2 \cdot 3 \cdot 2$$

$$\frac{p=2}{2,2} \quad \frac{p=3}{3} \quad \frac{p=5}{\emptyset}$$

$$\implies n_{m-1} = 3 \cdot 2$$

$$\frac{p=2}{2} \quad \frac{p=3}{\emptyset} \quad \frac{p=5}{\emptyset}$$

$$\implies n_{m-2} = 2$$

and thus

$$G \cong \mathbb{Z}/(2) \oplus \mathbb{Z}/(3 \cdot 2) \oplus \mathbb{Z}/(5^2 \cdot 3 \cdot 2).$$

## 1.4 The Symmetric Group

## **Definitions:**

- A cycle is **even**  $\iff$  product of an *even* number of transpositions.
  - A cycle of even length is odd
  - A cycle of odd *length* is **even**

**Definition** The alternating group is the subgroup of even permutations, i.e.  $A_n := \{ \sigma \in S_n \mid \text{sign}(\sigma) = 1 \}$  where  $\text{sign}(\sigma) = (-1)^m$  where m is the number of cycles of even length.

Corollary: Every  $\sigma \in A_n$  has an even number of odd cycles (i.e. an even number of even-length cycles).

Example:

$$A_4 = \{ id,$$

$$(1,3)(2,4), (1,2)(3,4), (1,4)(2,3),$$

$$(1,2,3), (1,3,2),$$

$$(1,2,4), (1,4,2),$$

$$(1,3,4), (1,4,3),$$

$$(2,3,4), (2,4,3) \}.$$

#### Lemmas:

- The transitive subgroups of  $S_3$  are  $S_3, A_3$
- The transitive subgroups of  $S_4$  are  $S_4, A_4, D_4, \mathbb{Z}_2^2, \mathbb{Z}_4$ .
- $S_4$  has two normal subgroups:  $A_4, \mathbb{Z}_2^2$ .
- $S_{n\geq 5}$  has one normal subgroup:  $A_n$ .
- $Z(S_n) = 1$  for  $n \ge 3$
- $Z(A_n) = 1$  for  $n \ge 4$
- $\bullet \ [S_n, S_n] = A_n$
- $\bullet \ [A_4, A_4] \cong \mathbb{Z}_2^2$
- $[A_n, A_n] = A_n$  for  $n \ge 5$ , so  $A_{n \ge 5}$  is nonabelian.
- $A_{n\geq 5}$  is simple.

## 1.5 Counting Theorems

Lagrange's Theorem:

$$H \le G \implies |H| \mid |G|.$$

Corollary: The order of every element divides the size of G, i.e.

$$g \in G \implies o(g) \mid o(G) \implies g^{|G|} = e.$$

**Warning:** There does **not** necessarily exist  $H \leq G$  with |H| = n for every  $n \mid |G|$ . Counterexample:  $|A_4| = 12$  but has no subgroup of order 6.

## Cauchy's Theorem:

For every prime p dividing |G|, there is an element (and thus a subgroup) of order p.

This is a partial converse to Lagrange's theorem, and strengthened by Sylow's theorem.

**Notation:** For a group G acting on a set X,

- $G \cdot x = \{g \curvearrowright x \mid g \in G\} \subseteq X$  is the orbit
- $G_x = \{g \in G \mid g \curvearrowright x = x\} \subseteq G$  is the stabilizer
- $X/G \subset \mathcal{P}(X)$  is the set of orbits

•  $X^g = \{x \in X \mid g \curvearrowright x = x\} \subseteq X$  are the fixed points

#### Orbit-Stabilizer:

$$|G \cdot x| = [G : G_x] = |G|/|G_x|$$
 if G is finite

Mnemonic:  $G/G_x \cong G \cdot x$ .

## 1.5.1 Examples of Orbit-Stabilizer

- 1. Let G act on itself by conjugation.
- $G \cdot x$  is the **conjugacy class** of x
- $G_x = Z(x) := C_G(x) = \{g \mid [g, x] = e\}, \text{ the centralizer of } x.$
- $G^g$  (the fixed points) is the **center** Z(G).

Corollary: The number of conjugates of an element (i.e. the size of its conjugacy class) is the index of its centralizer,  $[G:C_G(x)]$ .

Corollary: the Class Equation:

$$|G| = |Z(G)| + \sum_{\substack{\text{One } x_i \text{ from each conjugacy} \\ \text{class}}} [G:Z(x_i)]$$

- 1. Let G act on S, its set of *subgroups*, by conjugation.
- $G \cdot H = \{gHg^{-1}\}$  is the set of conjugate subgroups of H
- $G_H = N_G(H)$  is the **normalizer** of in G of H
- $S^G$  is the set of **normal subgroups** of G

Corollary: Given  $H \leq G$ , the number of conjugate subgroups is  $[G:N_G(H)]$ .

- 1. For a fixed proper subgroup H < G, let G act on its cosets  $G/H = \{gH \mid g \in G\}$  by left-multiplication.
- $G \cdot gH = G/H$ , i.e. this is a transitive action.
- $G_{qH} = gHg^{-1}$  is a conjugate subgroup of H
- $(G/H)^G = \emptyset$

Application: If G is simple, H < G proper, and [G : H] = n, then there exists an injective map  $\phi : G \hookrightarrow S_n$ .

*Proof:* This action induces  $\phi$ ; it is nontrivial since gH = H for all g implies H = G;  $\ker \phi \subseteq G$  and G simple implies  $\ker \phi = 1$ .

## Burnside's Formula:

$$|X/G| = \frac{1}{|G|} \sum_{g \in G} |X^g|.$$

## 1.5.2 Sylow Theorems

**Notation**: For any p, let  $Syl_p(G)$  be the set of Sylow-p subgroups of G.

Write

- $|G| = p^n m$  where (m, p) = 1,
- $S_p$  a Sylow-p subgroup, and
- $n_p$  the number of Sylow-p subgroups.

**Definition**: A p-group is a group G such that every element is order  $p^k$  for some k. If G is a finite p-group, then  $|G| = p^j$  for some j.

**Lemma:** *p*-groups have nontrivial centers.

Some useful facts:

- Coprime order subgroups are disjoint, or more generally  $\mathbb{Z}_p$ ,  $\mathbb{Z}_q \subset G \implies \mathbb{Z}_p \cap \mathbb{Z}_q = \mathbb{Z}_{(p,q)}$ .
- The Chinese Remainder theorem:  $(p,q) = 1 \implies \mathbb{Z}_p \times \mathbb{Z}_q \cong \mathbb{Z}_{pq}$

## 1.5.3 Sylow 1 (Cauchy for Prime Powers)

 $\forall p^n$  dividing |G| there exists a subgroup of size  $p^n$ .

If  $|G| = \prod p_i^{\alpha_i}$ , then there exist subgroups of order  $p_i^{\beta_i}$  for every i and every  $0 \le \beta_i \le \alpha_i$ . In particular, Sylow p-subgroups always exist.

#### 1.5.4 Sylow 2 (Sylows are Conjugate)

All sylow-p subgroups  $S_p$  are conjugate, i.e.

$$S^1_p, S^2_p \in \operatorname{Syl}_p(G) \implies \exists g \text{ such that } gS^1_p g^{-1} = S^2_p.$$

Corollary:  $n_p = 1 \iff S_p \leq G$ 

#### 1.5.5 Sylow 3 (Numerical Constraints)

- 1.  $n_p \mid m$  (in particular,  $n_p \leq m$ ),
- $2. \ n_p \equiv 1 \mod p,$
- 3.  $n_p = [G: N_G(S_p)]$  where  $N_G$  is the normalizer.

Corollary: p does not divide  $n_p$ .

**Lemma:** Every p-subgroup of G is contained in a Sylow p-subgroup.

*Proof:* Let  $H \leq G$  be a p-subgroup. If H is not p-roperly contained in any other p-subgroup, it is a Sylow p-subgroup by definition.

Otherwise, it is contained in some p-subgroup  $H^1$ . Inductively this yields a chain  $H \subsetneq H^1 \subsetneq \cdots$ , and by Zorn's lemma  $H := \bigcup H^i$  is maximal and thus a Sylow p-subgroup.

**Fratini's Argument**: If  $H \subseteq G$  and  $P \in \operatorname{Syl}_p(G)$ , then  $HN_G(P) = G$  and [G : H] divides  $|N_G(P)|$ .

#### 1.6 Products

Characterizing direct products:  $G \cong H \times K$  when

- $G = HK = \{hk \mid h \in H, k \in K\}$
- $H \cap K = \{e\} \subset G$
- $H, K \triangleleft G$

Can relax to only  $H \subseteq G$  to get a semidirect product instead

Characterizing semidirect products:  $G = N \rtimes_{\psi} H$  when

- G = NH
- $N \leq G$
- $H \curvearrowright N$  by conjugation via a map

$$\psi: H \to \operatorname{Aut}(N)$$
  
 $h \mapsto h(\cdot)h^{-1}.$ 

**Useful Facts** 

- If  $\sigma \in Aut(H)$ , then  $N \rtimes_{\psi} H \cong N \rtimes_{\psi \circ \sigma} H$ .
- $\operatorname{Aut}((\mathbb{Z}/(p)^n) \cong \operatorname{GL}(n, \mathbb{F}_p)$ 
  - If this occurs in a semidirect product, it suffices to consider similarity classes of matrices (i.e. just use canonical forms)
- Aut $(\mathbb{Z}/(n)) \cong \mathbb{Z}/(n)^{\times} \cong \mathbb{Z}/(\varphi(n))$  where  $\varphi$  is the totient function.  $-\varphi(p^k) = p^{k-1}(p-1)$
- If G, H have coprime order then  $\operatorname{Aut}(G \oplus H) \cong \operatorname{Aut}(G) \oplus \operatorname{Aut}(H)$ .

## 1.7 Isomorphism Theorems

**Lemma:** If  $H, K \leq G$  and  $H \leq N_G(K)$  (or  $K \leq G$ ) then  $HK \leq G$  is a subgroup.

Diamond Theorem / 2nd Isomorphism Theorem:

If  $S \leq G$  and  $N \leq G$ , then

$$\frac{SN}{N} \cong \frac{S}{S \cap N}$$
 and  $|SN| = \frac{|S||N|}{|S \cap N|}$ 

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Mnemonic:

Note: for this to make sense, we also have

- $SN \leq G$ ,  $S \cap N \leq S$ ,

## Cancellation / 3rd Isomorphism Theorem

If  $H, K \subseteq G$  with  $H \subseteq K$ , then

$$\frac{G/H}{G/K} \cong \frac{G}{K}$$

Note: for this to make sense, we also have  $G/K \leq G/H$ .

The Correspondence Theorem / 4th Isomorphism Theorem: Suppose  $N \subseteq G$ , then there exists a correspondence:

$$\left\{ H < G \;\middle|\; N \subseteq H \right\} \iff \left\{ H \;\middle|\; H < \frac{G}{N} \right\}$$
 
$$\left\{ \substack{\text{Subgroups of } G \\ \text{containing } N} \right\} \iff \left\{ \substack{\text{Subgroups of the} \\ \text{quotient } G/N} \right\}.$$

In words, subgroups of G containing N correspond to subgroups of the quotient group G/N. This is given by the map  $H \mapsto H/N$ .

Note:  $N \subseteq G$  and  $N \subseteq H < G \implies N \subseteq H$ .

## 1.8 Special Classes of Groups

**Definition:** The "2 out of 3 property" is satisfied by a class of groups  $\mathcal{C}$  iff whenever  $G \in \mathcal{C}$ , then  $N, G/N \in \mathcal{C}$  for any  $N \leq G$ .

**Definition:** If  $|G| = p^k$ , then G is a **p-group.** 

Facts about p-groups:

- p-groups have nontrivial centers
- Every normal subgroup is contained in the center
- Normalizers grow
- Every maximal is normal
- Every maximal has index p
- p-groups are nilpotent
- p-groups are solvable

**Definition:** A group G is **simple** iff  $H \subseteq G \implies H = \{e\}, G$ , i.e. it has no non-trivial proper subgroups.

**Lemma:** If G is not simple, then for any  $N \subseteq G$ , it is the case that  $G \cong E$  for an extension of the form  $N \to E \to G/N$ . >

**Definition:** A group G is **solvable** iff G has a terminating normal series with abelian factors, i.e.

$$G \to G^1 \to \cdots \to \{e\}$$
 with  $G^i/G^{i+1}$  abelian for all i.

#### Lemmas:

- G is solvable iff G has a terminating derived series.
- Solvable groups satisfy the 2 out of 3 property
- $\bullet$  Abelian  $\Longrightarrow$  solvable
- Every group of order less than 60 is solvable.

**Definition:** A group G is **nilpotent** iff G has a terminating central series, upper central series, or lower central series.

Moral: the adjoint map is nilpotent.

**Lemma:** For G a finite group, TFAE:

- G is nilpotent
- Normalizers grow (i.e.  $H < N_G(H)$  whenever H is proper)
- Every Sylow-p subgroup is normal
- ullet G is the direct product of its Sylow p-subgroups
- Every maximal subgroup is normal
- $\bullet$  G has a terminating Lower Central Series
- G has a terminating Upper Central Series

#### Lemmas:

- G nilpotent  $\implies G$  solvable
- Nilpotent groups satisfy the 2 out of 3 property.
- G has normal subgroups of order d for every d dividing |G|
- G nilpotent  $\implies Z(G) \neq 0$
- $\bullet$  Abelian  $\Longrightarrow$  nilpotent

 $\bullet$  p-groups  $\Longrightarrow$  nilpotent

## 1.9 Series of Groups

**Definition**: A normal series of a group G is a sequence  $G \to G^1 \to G^2 \to \cdots$  such that  $G^{i+1} \subseteq G_i$  for every i.

**Definition** A composition series of a group G is a finite normal series such that  $G^{i+1}$  is a maximal proper normal subgroup of  $G^i$ .

**Theorem (Jordan-Holder)**: Any two composition series of a group have the same length and isomorphic factors (up to permutation).1

**Definition** A **derived series** of a group G is a normal series  $G \to G^1 \to G^2 \to \cdots$  where  $G^{i+1} = [G^i, G^i]$  is the commutator subgroup.

The derived series terminates iff G is solvable.

**Definition:** A **central series** for a group G is a terminating normal series  $G \to G^1 \to \cdots \to \{e\}$  such that each quotient is **central**, i.e.  $[G, G^i] \leq G^{i-1}$  for all i.

**Definition:** A lower central series is a terminating normal series  $G \to G^1 \to \cdots \to \{e\}$  such that  $G^{i+1} = [G^i, G]$ 

Moral: Iterate the adjoint map  $[\cdot, G]$ .

G is nilpotent  $\iff$  the LCS terminates.

**Definition:** An upper central series is a terminating normal series  $G \to G^1 \to \cdots \to \{e\}$  such that  $G^1 = Z(G)$  and  $G^{i+1}$  is defined such that  $G^{i+1}/G^i = Z(G^i)$ .

Moral: Iterate taking "higher centers".

## 2 Rings

#### 2.1 Definitions

**Definition:** A ring R is **simple** iff every ideal  $I \subseteq R$  is either 0 or R.

**Definition:** An element  $r \in R$  is **irreducible** iff  $r = ab \implies a$  is a unit or b is a unit.

**Definition:** An element  $r \in R$  is **prime** iff  $ab \mid r \implies a \mid r$  or  $b \mid r$  whenever a, b are nonzero and not units.

**Definition:**  $\mathfrak{p}$  is a **prime** ideal  $\iff ab \in \mathfrak{p} \implies a \in \mathfrak{p}$  or  $b \in \mathfrak{p}$ .

**Definition:** Spec $(R) = \{ \mathfrak{p} \leq R \mid \mathfrak{p} \text{ is prime} \}$  is the **spectrum** of R.

**Definition:**  $\mathfrak{m}$  is maximal  $\iff I \triangleleft R \implies I \subseteq \mathfrak{m}$ .

**Definition:** Spec<sub>max</sub> $(R) = \{ \mathfrak{m} \leq R \mid \mathfrak{m} \text{ is maximal} \}$  is the **max-spectrum** of R.

Note: nonstandard notation / definition.

#### Lemmas (Quotienting):

- R/I is a domain  $\iff I$  is prime,
- R/I is a field  $\iff I$  is maximal.
- For R a PID, I is prime  $\iff I$  is maximal.

## Lemma (Characterizations of Rings):

- R a commutative division ring  $\implies R$  is a field
- R a finite integral domain  $\implies R$  is a field.
- $\mathbb{F}$  a field  $\Longrightarrow \mathbb{F}[x]$  is a Euclidean domain.
- $\mathbb{F}$  a field  $\Longrightarrow \mathbb{F}[x]$  is a PID.
- $\mathbb{F}$  is a field  $\iff \mathbb{F}$  is a commutative simple ring.
- R is a UFD  $\iff R[x]$  is a UFD.
- R a PID  $\implies R[x]$  is a UFD
- R a PID  $\implies R$  Noetherian
- R[x] a PID  $\implies R$  is a field.

**Lemma:** Fields  $\subset$  Euclidean domains  $\subset$  PIDs  $\subset$  UFDs  $\subset$  Integral Domains  $\subset$  Rings

- A Euclidean Domain that is not a field:  $\mathbb{F}[x]$  for  $\mathbb{F}$  a field
  - Proof: Use previous lemma, and x is not invertible
- A PID that is not a Euclidean Domain:  $\mathbb{Z}\left[\frac{1+\sqrt{-19}}{2}\right]$ .
  - *Proof*: complicated.
- A UFD that is not a PID:  $\mathbb{F}[x,y]$ .
  - Proof:  $\langle x, y \rangle$  is not principal
- An integral domain that is not a UFD:  $\mathbb{Z}[\sqrt{-5}]$ 
  - Proof:  $(2+\sqrt{-5})(2-\sqrt{-5})=9=3\cdot 3$ , where all factors are irreducible (check norm).
- A ring that is not an integral domain:  $\mathbb{Z}/(4)$ 
  - Proof: 2 mod 4 is a zero divisor.

**Lemma:** In R a UFD, an element  $r \in R$  is prime  $\iff r$  is irreducible.

Note: For R an integral domain, prime  $\implies$  irreducible, but generally not the converse. Example of a prime that is not irreducible:  $x^2 \mod (x^2 + x) \in \mathbb{Q}[x]/(x^2 + x)$ . Check that x is prime directly, but  $x = x \cdot x$  and x is not a unit.

Example of an irreducible that is not prime:  $3 \in \mathbb{Z}[\sqrt{-5}]$ . Check norm to see irreducibility, but  $3 \mid 9 = (2 + \sqrt{-5})(2 - \sqrt{-5})$  and doesn't divide either factor.

**Lemma:** If R is a PID, then every element in R has a unique prime factorization.

**Definition:** A nonzero unital ring R is **semisimple** iff  $R \cong \bigoplus_{i=1}^{n} M_i$  with each  $M_i$  a simple module.

**Theorem (Artin-Wedderubrn)**: If R is a nonzero, unital, semisimple ring then  $R \cong \bigoplus_{i=1}^{m} \operatorname{Mat}(n_i, D_i)$ , a finite sum of matrix rings over division rings.

Corollary: If M is a simple ring over R a division ring, the M is isomorphic to a matrix ring.

#### 2.2 Nontrivial Properties

**Lemma:** Every  $a \in R$  for a finite ring is either a unit or a zero divisor.

*Proof:* Let  $a \in R$  and define  $\phi(x) = ax$ . If  $\phi$  is injective, then it is surjective, so 1 = ax for some  $x \implies x^{-1} = a$ . Otherwise,  $ax_1 = ax_2$  with  $x_1 \neq x_2 \implies a(x_1 - x_2) = 0$  and  $x_1 - x_2 \neq 0$ , so a is a zero divisor.

#### 2.3 Ideals

#### 2.3.1 Maximal and Prime Ideals

**Lemma:** Maximal  $\implies$  prime, but generally not the converse.

Counterexample:  $(0) \in \mathbb{Z}$  is prime since  $\mathbb{Z}$  is a domain, but not maximal since it is properly contained in any other ideal.

*Proof:* Suppose  $\mathfrak{m}$  is maximal,  $ab \in \mathfrak{m}$ , and  $b \notin \mathfrak{m}$ . Then there is a containment of ideals  $\mathfrak{m} \subsetneq \mathfrak{m} + (b) \Longrightarrow \mathfrak{m} + (b) = R$ . So

$$1 = m + rb \implies a = am + r(ab),$$

but  $am \in \mathfrak{m}$  and  $ab \in \mathfrak{m} \implies a \in \mathfrak{m}$ .

**Lemma:** If x is not a unit, then x is contained in some maximal ideal  $\mathfrak{m}$ .

Proof: Zorn's lemma.

**Lemma:**  $R/\mathfrak{m}$  is a field  $\iff \mathfrak{m}$  is maximal.

**Lemma:**  $R/\mathfrak{p}$  is an integral domain  $\iff \mathfrak{p}$  is prime.

#### 2.3.2 Nilradical and Jacobson Radical

**Definition:**  $\mathfrak{N} := \{ x \in R \mid x^n = 0 \text{ for some } n \}$  is the **nilradical** of R.

**Lemma:** The nilradical is the intersection of all **prime** ideals, i.e.

$$\mathfrak{N}(R) = \bigcap_{\mathfrak{p} \in \mathrm{Spec}(R)} \mathfrak{p}$$

Proof:  $\mathfrak{N} \subseteq \bigcap \mathfrak{p} \colon x \in \mathfrak{N} \implies x^n = 0 \in \mathfrak{p} \implies x \in \mathfrak{p} \text{ or } x^{n-1} \in \mathfrak{p}.$   $\mathfrak{N}^c \subseteq \bigcup \mathfrak{p}^c \colon \text{ Define } S = \Big\{ I \trianglelefteq R \ \Big| \ a^n \not\in I \text{ for any } n \Big\}. \text{ Then apply Zorn's lemma to get a maximal ideal } \mathfrak{m}, \text{ and maximal } \implies \text{ prime.}$ 

**Lemma:**  $R/\mathfrak{N}(R)$  has no nonzero nilpotent elements.

Proof:

$$a + \mathfrak{N}(R)$$
 nilpotent  $\implies (a + \mathfrak{N}(R))^n := a^n + \mathfrak{N}(R) = \mathfrak{N}(R)$   
 $\implies a^n \in \mathfrak{N}(R)$   
 $\implies \exists \ell \text{ such that } (a^n)^\ell = 0$   
 $\implies a \in \mathfrak{N}(R).$ 

**Definition:** The **Jacobson radical** is the intersection of all **maximal** ideals, i.e.

$$J(R) = \bigcap_{\mathfrak{m} \in \operatorname{Spec}_{\max}} \mathfrak{m}$$

**Lemma:**  $\mathfrak{N}(R) \subseteq J(R)$ .

*Proof:* Maximal  $\implies$  prime, and so if x is in every prime ideal, it is necessarily in every maximal ideal as well.

## 2.3.3 Zorn's Lemma

Lemma: A field has no nontrivial proper ideals.

**Lemma:** If  $I \subseteq R$  is a proper ideal  $\iff I$  contains no units.

Proof: 
$$r \in R^{\times} \bigcap I \implies r^{-1}r \in I \implies 1 \in I \implies x \cdot 1 \in I \quad \forall x \in R.$$

**Lemma:** If  $I_1 \subseteq I_2 \subseteq \cdots$  are ideals then  $\bigcup_j I_j$  is an ideal.

Example Application of Zorn's Lemma: Every proper ideal is contained in a maximal ideal.

*Proof:* Let 0 < I < R be a proper ideal, and consider the set

$$S = \left\{ J \mid I \subseteq J < R \right\}.$$

Note  $I \in S$ , so S is nonempty. The claim is that S contains a maximal element M. S is a poset, ordered by set inclusion, so if we can show that every chain has an upper bound,

we can apply Zorn's lemma to produce M. Let  $C \subseteq S$  be a chain in S, so  $C = \{C_1 \subseteq C_2 \subseteq \cdots\}$  and define  $\hat{C} = \bigcup C_i$ .

 $\hat{C}$  is an upper bound for C:

This follows because every  $C_i \subseteq \hat{C}$ .

 $\hat{C}$  is in S:

Use the fact that  $I \subseteq C_i < R$  for every  $C_i$  and since no  $C_i$  contains a unit,  $\hat{C}$  doesn't contain a unit, and is thus proper.

## 3 Fields

Let k denote a field.

#### Lemmas:

- The characteristic of  $\mathbb{F}$  is either 0 or p a prime.
- All fields are simple rings
- Any homomorphism of fields is either 0 or injective
- If L/k is algebraic, then  $\min(\alpha, L)$  divides  $\min(\alpha, k)$ .

**Lemma:** Every finite extension is algebraic.

**Eisenstein's Criterion:** If  $f(x) = \sum_{i=0}^{n} \alpha_i x^i \in \mathbb{Q}[x]$  and  $\exists p$  such that

- p divides every coefficient  $except \ a_n$  and
- $p^2$  does not divide  $a_0$ ,

then f is irreducible.

**Definition:** For R a UFD, a polynomial  $p \in R[x]$  is **primitive** iff the greatest common divisors of its coefficients is a unit.

**Gauss' Lemma**: Let R be a UFD and F its field of fractions. Then a primitive  $p \in R[x]$  is irreducible in  $R[x] \iff p$  is irreducible in F[x].

Corollary: A primitive polynomial  $p \in \mathbb{Q}[x]$  is irreducible iff p is irreducible in  $\mathbb{Z}[x]$ .

#### 3.1 Finite Fields

**Definition:** The prime subfield of a field F is the subfield generated by 1.

Lemma:

**Lemma:** If char k = p then  $(a + b)^p = a^p + b^p$  and  $(ab)^p = a^p b^p$ .

**Theorem:**  $\mathbb{GF}(p^n) \cong \frac{\mathbb{F}_p}{(f)}$  where  $f \in \mathbb{F}_p[x]$  is any irreducible of degree n, and  $\mathbb{GF}(p^n) \cong \mathbb{F}[\alpha] \cong \operatorname{span}_{\mathbb{F}} \left\{ 1, \alpha, \dots, \alpha^{n-1} \right\}$  for any root  $\alpha$  of f.

**Lemma:** Every finite field F is isomorphic to a unique field of the form  $\mathbb{GF}(p^n)$  and if char F = p, it has prime subfield  $\mathbb{F}_p$ .

**Lemma:**  $\mathbb{GF}(p^n)$  is the splitting field of  $\rho(x) = x^{p^n} - x$ , and the elements are exactly the roots of  $\rho$ .

Every element is a root by Cauchy's theorem, and the  $p^n$  roots are distinct since its derivative is identically -1.

**Lemma:** Let  $\rho_n := x^{p^n} - x$ . Then  $f(x) \mid \rho_n(x) \iff \deg f \mid n$  and f is irreducible.

**Lemma:**  $x^{p^n} - x = \prod f_i(x)$  over all irreducible monic  $f_i \in \mathbb{F}_p[x]$  of degree d dividing n.

Proof:

Suppose f is irreducible of degree d. Then  $f \mid x^{p^d} - x$  (consider  $F[x]/\langle f \rangle$ ) and  $x^{p^d} - x \mid x^{p^n} - x \iff d \mid n$ .  $\Longrightarrow$ :

- $\alpha \in \mathbb{GF}(p^n) \iff \alpha^{p^n} \alpha = 0$ , so every element is a root of  $\phi_n$  and  $\deg \min(\alpha, \mathbb{F}_p) \mid n$  since  $\mathbb{F}_p(\alpha)$  is an intermediate extension.
- So if f is an irreducible factor of  $\phi_n$ , f is the minimal polynomial of some root  $\alpha$  of  $\phi_n$ , so  $\deg f \mid n$ .  $\phi'_n(x) = p^n x^{p^{n-1}} \neq 0$ , so  $\phi_n$  has distinct roots and thus no repeated factors. So  $\phi_n$  is the product of all such irreducible f.

## 3.2 Galois Theory

**Definition:** A field extension L/k is **algebraic** iff every  $\alpha \in L$  is the root of some polynomial  $f \in k[x]$ .

**Definition:** Let L/k be a finite extension. Then TFAE:

- L/k is normal.
- Every irreducible  $f \in k[x]$  that has one root in L has all of its roots in L
  - i.e. every polynomial splits into linear factors
- Every embedding  $\sigma: L \hookrightarrow \overline{k}$  that is a lift of the identity on k satisfies  $\sigma(L) = L$ .
- If L is separable: L is the splitting field of some irreducible  $f \in k[x]$ .

**Definition:** Let L/k be a field extension,  $\alpha \in L$  be arbitrary, and  $f(x) := \min(\alpha, k)$ . TFAE:

- L/k is separable
- f has no repeated factors/roots
- gcd(f, f') = 1, i.e. f is coprime to its derivative
- $f' \not\equiv 0$

**Lemma:** If char k = 0 or k is finite, then every algebraic extension L/k is separable.

**Definition:**  $\operatorname{Aut}(L/k) = \{ \sigma : L \to L \mid \sigma|_k = \operatorname{id}_k \}.$ 

**Lemma:** If L/k is algebraic, then Aut(L/k) permutes the roots of irreducible polynomials.

**Lemma:**  $|\operatorname{Aut}(L/k)| \leq [L:k]$  with equality precisely when L/k is normal.

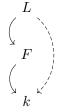
**Definition:** If L/k is Galois, we define Gal(L/k) := Aut(L/k).

**Lemmas about towers:** Let L/F/k be a finite tower of field extensions

- Multiplicativity: [L:k] = [L:F][F:k]
- L/k normal/algebraic/Galois  $\implies L/F$  normal/algebraic/Galois.
  - Proof (normal):  $\min(\alpha, F) \mid \min(\alpha, k)$ , so if the latter splits in L then so does the former.
  - Corollary:  $\alpha \in L$  algebraic over  $k \implies \alpha$  algebraic over F.
  - Corollary:  $E_1/k$  normal and  $E_2/k$  normal  $\implies E_1E_2/k$  normal and  $E_1 \cap E_2/k$  normal.



- F/k algebraic and L/F algebraic  $\implies L/k$  algebraic.
- If L/k is algebraic, then F/k separable and L/F separable  $\iff L/k$  separable



• F/k Galois and L/K Galois  $\Longrightarrow F/k$  Galois **only if**  $\operatorname{Gal}(L/F) \leq \operatorname{Gal}(L/k)$  $- \Longrightarrow \operatorname{Gal}(F/k) \cong \frac{\operatorname{Gal}(L/k)}{\operatorname{Gal}(L/F)}$ 



#### Common Counterexamples:

•  $\mathbb{Q}(\zeta_3, 2^{1/3})$  is normal but  $\mathbb{Q}(2^{1/3})$  is not since the irreducible polynomial  $x^3 - 2$  has only one root in it.

**Definition (Characterizations of Galois Extensions):** Let L/k be a finite field extension. TFAE:

- L/k is Galois
- L/k is finite, normal, and separable.
- L/k is the splitting field of a separable polynomial
- |Aut(L/k)| = [L:k]
- The fixed field of Aut(L/k) is exactly k.

Fundamental Theorem of Galois Theory: Let L/k be a Galois extension, then there is a correspondence:

$$\begin{split} \left\{ \mathrm{Subgroups} \ H \leq \mathrm{Gal}(L/k) \right\} &\iff \left\{ \begin{matrix} \mathrm{Fields} \ F \ \mathrm{such} \\ \mathrm{that} \ L/F/k \end{matrix} \right\} \\ &\quad H \to \left\{ E^H \coloneqq \ \mathrm{The \ fixed \ field \ of} \ H \right\} \\ \left\{ \mathrm{Gal}(L/F) \coloneqq \left\{ \sigma \in \mathrm{Gal}(L/k) \ \middle| \ \sigma(F) = F \right\} \right\} \leftarrow F. \end{split}$$

- This is contravariant with respect to subgroups/subfields.
- [F:k] = [G:H], so degrees of extensions over the base field correspond to indices of subgroups.
- [K : F] = |H|
- L/F is Galois and Gal(K/F) = H
- F/k is Galois  $\iff$  H is normal, and Gal(F/k) = Gal(L/k)/H.
- The compositum  $F_1F_2$  corresponds to  $H_1 \cap H_2$ .
- The subfield  $F_1 \cap F_2$  corresponds to  $H_1H_2$ .

#### 3.2.1 Examples

1.  $\operatorname{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q}) \cong \mathbb{Z}/(n)^{\times}$  and is generated by maps of the form  $\zeta_n \mapsto \zeta_n^j$  where (j,n) = 1.

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I.e., the following map is an isomorphism:

$$\mathbb{Z}/(n)^{\times} \to \operatorname{Gal}(\mathbb{Q}(\zeta_n), \mathbb{Q})$$
  
 $r \mod n \mapsto (\phi_r : \zeta_n \mapsto \zeta_n^r).$ 

2.  $\operatorname{Gal}(\mathbb{GF}(p^n)/\mathbb{F}_p) \cong \mathbb{Z}/(n)$ , a cyclic group generated by powers of the Frobenius automorphism:

$$\varphi_p: \mathbb{GF}(p^n) \to \mathbb{GF}(p^n)$$
  
 $x \mapsto x^p.$ 

Lemma: Every quadratic extension is Galois.

**Lemma:** If K is the splitting field of an irreducible polynomial of degree n, then  $\operatorname{Gal}(K/\mathbb{Q}) \leq S_n$  is a transitive subgroup.

Corollary: n divides the order  $|Gal(K/\mathbb{Q})|$ .

**Definition:** TFAE

- k is a **perfect** field.
- Every irreducible polynomial  $p \in k[x]$  is separable
- Every finite extension F/k is separable.
- If char k > 0, the Frobenius is an automorphism of k.

Theorem:

- If char k = 0 or k is finite, then k is perfect.
- $k = \mathbb{Q}, \mathbb{F}_p$  are perfect, and any finite normal extension is Galois.
- Every splitting field of a polynomial over a perfect field is Galois.

## 3.3 Cyclotomic Polynomials

**Definition:** Let  $\zeta_n = e^{2\pi i/n}$ , then

$$\Phi_n(x) = \prod_{\substack{k=1\\(j,n)=1}}^n \left(x - \zeta_n^k\right),\,$$

which is a product over primitive roots of unity.

**Lemma:** deg  $\Phi_n(x) = \phi(n)$  for  $\phi$  the totient function.

Computing  $\Phi_n$ :

1.

$$\Phi_n(z) = \prod_{d|n,d>0} \left(z^d - 1\right)^{\mu\left(\frac{n}{d}\right)}$$

where

$$\mu(n) \equiv \left\{ \begin{array}{ll} 0 & \text{if $n$ has one or more repeated prime factors} \\ 1 & \text{if $n=1$} \\ (-1)^k & \text{if $n$ is a product of $k$ distinct primes,} \end{array} \right.$$

2.

$$x^{n} - 1 = \prod_{d|n} \Phi_d(x) \implies \Phi_n(x) = \frac{x^{n} - 1}{\prod_{\substack{d|n\\d < n}} \Phi_d(x)},$$

so just use polynomial long division.

#### Lemma:

$$\Phi_p(x) = x^{p-1} + x^{p-2} + \dots + x + 1$$
  

$$\Phi_{2p}(x) = x^{p-1} - x^{p-2} + \dots - x + 1.$$

Lemma:

$$k \mid n \implies \Phi_{nk}(x) = \Phi_n\left(x^k\right)$$

**Definition:** An extension F/k is **simple** if  $F = k[\alpha]$  for a single element  $\alpha$ .

Theorem (Primitive Element): Every finite separable extension is simple.

Corollary:  $\mathbb{GF}(p^n)$  is a simple extension over  $\mathbb{F}_p$ .

## 4 Modules

## 4.1 General Modules

**Definition**: A module is **simple** iff it has no nontrivial proper submodules.

**Definition:** A free module is a module with a basis (i.e. a spanning, linearly independent set).

Example:  $\mathbb{Z}/(6)$  is a  $\mathbb{Z}$ -module that is not free.

**Definition:** A module M is **projective** iff M is a direct summand of a free module  $F = M \oplus \cdots$ .

Free implies projective, but not the converse.

**Definition:** A sequence of homomorphisms  $0 \xrightarrow{d_1} A \xrightarrow{d_2} B \xrightarrow{d_3} C \to 0$  is *exact* iff im  $d_i = \ker d_{i+1}$ .

**Lemma:** If  $0 \to A \to B \to C \to 0$  is a short exact sequence, then

- C free  $\implies$  the sequence splits
- C projective  $\implies$  the sequence splits
- A injective  $\implies$  the sequence splits

Moreover, if this sequence splits, then  $B \cong A \oplus C$ .

## 4.2 Classification of Modules over a PID

Let M be a finitely generated modules over a PID R. Then there is an invariant factor decomposition

$$M \cong F \bigoplus R/(r_i)$$
 where  $r_1 \mid r_2 \mid \cdots$ ,

and similarly an elementary divisor decomposition.

## 4.3 Minimal / Characteristic Polynomials

Fix some notation:

 $\min_{A}(x)$ : The minimal polynomial of A

 $\chi_A(x)$ : The characteristic polynomial of A.

**Definition:** The minimal polynomial is the unique polynomial  $\min_{A}(x)$  of minimal degree such that  $\min_{A}(A) = 0$ .

**Definition:** The **characteristic polynomial** of A is given by

$$\chi_A(x) = \det(A - xI) = \det(SNF(A - xI)).$$

Useful lemma: If A is upper triangular, then  $det(A) = \prod_{i} a_{ii}$ 

Theorem (Cayley-Hamilton): The minimal polynomial divides the characteristic polynomial, and in particular  $\chi_A(A) = 0$ .

Lemma: Writing

$$\min_{A}(x) = \prod_{A}(x - \lambda_i)^{a_i}$$
$$\chi_A(x) = \prod_{A}(x - \lambda_i)^{b_i}$$

- $a_i \leq b_i$
- The roots both polynomials are precisely the eigenvalues of A.

*Proof*: By Cayley-Hamilton,  $\min_{A}$  divides  $\chi_A$ . Every  $\lambda_i$  is a root of  $\mu_M$ : Let  $(\mathbf{v}_i, \lambda_i)$  be a nontrivial eigenpair. Then by linearity,

$$\min_{A}(\lambda_i)\mathbf{v}_i = \min_{A}(A)\mathbf{v}_i = \mathbf{0},$$

which forces  $\min_{A}(\lambda_i) = 0$ .

**Definition:** Two matrices A, B are **similar** (i.e.  $A = PBP^{-1}$ )  $\iff A, B$  have the same Jordan Canonical Form (JCF).

**Definition:** Two matrices A, B are **equivalent** (i.e. A = PBQ)  $\iff$ 

- They have the same rank,
- They have the same invariant factors, and
- They have the same (JCF)

#### Finding the minimal polynomial:

Let m(x) denote the minimal polynomial A.

- 1. Find the characteristic polynomial  $\chi(x)$ ; this annihilates A by Cayley-Hamilton. Then  $m(x) \mid \chi(x)$ , so just test the finitely many products of irreducible factors.
- 2. Pick any  $\mathbf{v}$  and compute  $T\mathbf{v}, T^2\mathbf{v}, \cdots T^k\mathbf{v}$  until a linear dependence is introduced. Write this as p(T) = 0; then  $\min_A(x) \mid p(x)$ .

**Definition:** Given a monic  $p(x) = a_0 + a_1x + a_2x^2 + \cdots + a_{n-1}x^{n-1} + x^n$ , the **companion matrix** of p is given by

$$C_p := \begin{bmatrix} 0 & 0 & \dots & 0 & -a_0 \\ 1 & 0 & \dots & 0 & -a_1 \\ 0 & 1 & \dots & 0 & -a_2 \\ \vdots & & \ddots & & \vdots \\ 0 & 0 & \dots & 1 & -a_{n-1} \end{bmatrix}.$$

#### 4.4 Canonical Forms

#### 4.4.1 Rational Canonical Form

Corresponds to the **Invariant Factor Decomposition** of T.

**Lemma:** RCF(A) is a block matrix where each block is the companion matrix of an invariant factor of A.

#### **Derivation:**

- Let  $k[x] \curvearrowright V$  using T, take invariant factors  $a_i$ ,
- Note that  $T \curvearrowright V$  by multiplication by x
- Write  $\overline{x} = \pi(x)$  where  $F[x] \xrightarrow{\pi} F[x]/(a_i)$ ; then span  $\{\overline{x}\} = F[x]/(a_i)$ .
- Write  $a_i(x) = \sum b_i x^i$ , note that  $V \to F[x]$  pushes  $T \curvearrowright V$  to  $T \curvearrowright k[x]$  by multiplication by  $\overline{x}$
- WRT the basis  $\overline{x}$ , T then acts via the companion matrix on this summand.
- Each invariant factor corresponds to a block of the RCF.

#### 4.4.2 Jordan Canonical Form

Corresponds to the **Elementary Divisor Decomposition** of T.

**Lemma:** The elementary divisors of A are the minimal polynomials of the Jordan blocks.

Lemma: Writing

$$\min_{A}(x) = \prod_{A}(x - \lambda_i)^{a_i}$$
$$\chi_A(x) = \prod_{A}(x - \lambda_i)^{b_i}$$

- $a_i \leq b_i$
- $a_i$  tells you the size of the **largest** Jordan block associated to  $\lambda_i$ ,
- $b_i$  is the sum of sizes of all Jordan blocks associated to  $\lambda_i$
- dim  $E_{\lambda_i}$  is the number of Jordan blocks associated to  $\lambda_i$

## 4.5 Using Canonical Forms

**Lemma:** The characteristic polynomial is the *product of the invariant factors*, i.e.

$$\chi_A(x) = \prod_{j=1}^n f_j(x).$$

**Lemma**: The minimal polynomial of A is the invariant factor of highest degree, i.e.

$$\min_{A}(x) = f_n(x).$$

Lemma: For a linear operator on a vector space of nonzero finite dimension, TFAE:

- The minimal polynomial is equal to the characteristic polynomial.
- The list of invariant factors has length one.
- The Rational Canonical Form has a single block.
- The operator has a matrix similar to a companion matrix.
- There exists a cyclic vector  $\mathbf{v}$  such that  $\operatorname{span}_k\left\{T^j\mathbf{v} \mid j=1,2,\cdots\right\}=V$ .
- $\bullet$  T has dim V distinct eigenvalues

## 4.6 Diagonalizability

*Notation:*  $A^*$  denotes the conjugate transpose of A.

**Lemma:** Let V be a vector space over k an algebraically closed and  $A \in \operatorname{End}(V)$ . Then if  $W \subseteq V$  is an invariant subspace, so  $A(W) \subseteq W$ , the A has an eigenvector in W.

#### Theorem (The Spectral Theorem):

- 1. Hermitian matrices (i.e.  $A^* = A$ ) are diagonalizable over  $\mathbb{C}$ .
- 2. Symmetric matrices (i.e.  $A^t = A$ ) are diagonalizable over  $\mathbb{R}$ .

*Proof:* Suppose A is Hermitian. Since V itself is an invariant subspace, A has an eigenvector  $\mathbf{v}_1 \in V$ . Let  $W_1 = \operatorname{span}_k \{\mathbf{v}_1\}^{\perp}$ . Then for any  $\mathbf{w}_1 \in W_1$ ,

$$\langle \mathbf{v}_1, A\mathbf{w}_1 \rangle = \langle A\mathbf{v}_1, \mathbf{w}_1 \rangle = \lambda \langle \mathbf{v}_1, \mathbf{w}_1 \rangle = 0,$$

so  $A(W_1) \subseteq W_1$  is an invariant subspace, etc.

Suppose now that A is symmetric. Then there is an eigenvector of norm 1,  $\mathbf{v} \in V$ .

$$\lambda = \lambda \langle \mathbf{v}, \ \mathbf{v} \rangle = \langle A\mathbf{v}, \ \mathbf{v} \rangle = \langle \mathbf{v}, \ A\mathbf{v} \rangle = \overline{\lambda} \implies \lambda \in \mathbb{R}.$$

**Lemma**:  $\{A_i\}$  pairwise commute  $\iff$  they are all simultaneously diagonalizable.

*Proof*: By induction on number of operators

- $A_n$  is diagonalizable, so  $V = \bigoplus E_i$  a sum of eigenspaces
- Restrict all n-1 operators A to  $E_n$ .
- The commute in V so they commute in  $E_n$
- (Lemma) They were diagonalizable in V, so they're diagonalizable in  $E_n$
- So they're simultaneously diagonalizable by I.H.
- But these eigenvectors for the  $A_i$  are all in  $E_n$ , so they're eigenvectors for  $A_n$  too.
- Can do this for each eigenspace.

Full details here

## Theorem (Characterizations of Diagonalizability)

M is diagonalizable over  $\mathbb{F} \iff \min_{M}(x,\mathbb{F})$  splits into distinct linear factors over  $\mathbb{F}$ , or equivalently iff all of the roots of  $\min_{M}$  lie in  $\mathbb{F}$ .

*Proof*:  $\Longrightarrow$ : If  $\min_A$  factors into linear factors, so does each invariant factor, so every elementary divisor is linear and JCF(A) is diagonal.

 $\Leftarrow$ : If A is diagonalizable, every elementary divisor is linear, so every invariant factor factors into linear pieces. But the minimal polynomial is just the largest invariant factor.

## 4.7 Matrix Counterexamples

- 1. A matrix that is:
- ullet Not diagonalizable over  $\mathbb R$  but diagonalizable over  $\mathbb C$
- ullet No eigenvalues in  $\mathbb R$  but distinct eigenvalues over  $\mathbb C$
- $\bullet \min_{M}(x) = \chi_{M}(x) = x^{2} + 1$

$$M = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \sim \begin{bmatrix} \frac{-1\sqrt{-1}}{0} & 0 \\ 0 & 1\sqrt{-1} \end{bmatrix}.$$

2.

$$M = \left[ \begin{array}{cc} 1 & 1 \\ 0 & 1 \end{array} \right] \sim \left[ \begin{array}{cc} 1 & 1 \\ 0 & 1 \end{array} \right].$$

ullet Not diagonalizable over  ${\mathbb C}$ 

- Eigenvalues [1, 1] (repeated, multiplicity 2)
- $\min_{M}(x) = \chi_{M}(x) = x^{2} 2x + 1$
- 3. Non-similar matrices with the same characteristic polynomial

$$\left[\begin{array}{cc} 1 & 0 \\ 0 & 1 \end{array}\right] \text{ and } \left[\begin{array}{cc} 1 & 1 \\ 0 & 1 \end{array}\right]$$

4. A full-rank matrix that is not diagonalizable:

$$\left[\begin{array}{ccc} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{array}\right].$$

5. Matrix roots of unity:

$$\sqrt{I_2} = \left[ \begin{array}{cc} 0 & 1 \\ 1 & 0 \end{array} \right].$$

$$\sqrt{-I_2} = \left[ \begin{array}{cc} 0 & -1 \\ 1 & 0 \end{array} \right].$$

#### 4.8 Unsorted

**Lemma:**  $I \subseteq R$  is a free R-module iff I is a principal ideal.

Proof:  $\Longrightarrow$ :

Suppose I is free as an R-module, and let  $B = \{\mathbf{m}_j\}_{j \in J} \subseteq I$  be a basis so we can write  $M = \langle B \rangle$ .

Suppose that  $|B| \geq 2$ , so we can pick at least 2 basis elements  $\mathbf{m}_1 \neq \mathbf{m}_2$ , and consider

$$\mathbf{c} = \mathbf{m}_1 \mathbf{m}_2 - \mathbf{m}_2 \mathbf{m}_1,$$

which is also an element of M .

Since R is an integral domain, R is commutative, and so

$$c = m_1 m_2 - m_2 m_1 = m_1 m_2 - m_1 m_2 = 0_M$$

However, this exhibits a linear dependence between  $\mathbf{m}_1$  and  $\mathbf{m}_2$ , namely that there exist  $\alpha_1, \alpha_2 \neq 0_R$  such that  $\alpha_1 \mathbf{m}_1 + \alpha_2 \mathbf{m}_2 = \mathbf{0}_M$ ; this follows because  $M \subset R$  means that we can take  $\alpha_1 = -m_2, \alpha_2 = m_1$ . This contradicts the assumption that B was a basis, so we must have |B| = 1 and so  $B = \{\mathbf{m}\}$  for some  $\mathbf{m} \in I$ . But then  $M = \langle B \rangle = \langle \mathbf{m} \rangle$  is generated by a single element, so M is principal.

⇐=:

Suppose  $M \leq R$  is principal, so  $M = \langle \mathbf{m} \rangle$  for some  $\mathbf{m} \neq \mathbf{0}_M \in M \subset R$ .

Then  $x \in M \implies x = \alpha \mathbf{m}$  for some element  $\alpha \in R$  and we just need to show that  $\alpha \mathbf{m} = \mathbf{0}_M \implies \alpha = \mathbf{0}_R$  in order for  $\{\mathbf{m}\}$  to be a basis for M, making M a free R-module.

But since  $M \subset R$ , we have  $\alpha, m \in R$  and  $\mathbf{0}_M = 0_R$ , and since R is an integral domain, we have  $\alpha m = 0_R \implies \alpha = 0_R$  or  $m = 0_R$ .

Since  $m \neq 0_R$ , this forces  $\alpha = 0_R$ , which allows  $\{m\}$  to be a linearly independent set and thus a basis for M as an R-module.