# **Algebraic Groups**

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# Friday $25^{th}$ September, 2020

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These are notes live-tex'd from a graduate course in Algebraic Geometry taught by Dan Nakano at the University of Georgia in Fall 2020. As such, any errors or inaccuracies are almost certainly my own.

# 1 | Friday, August 21

Reference: Carter's "Finite Groups of Lie Type".

Reference: Humphrey's "Linear Algebraic Groups" (Springer)

# 1.1 Intro and Definitions

#### **Definition 1.0.1** (Affine Variety).

Let  $k = \overline{k}$  be algebraically closed (e.g.  $k = \mathbb{C}, \overline{\mathbb{F}_p}$ ). A variety  $V \subseteq k^n$  is an affine k-variety iff V is the zero set of a collection of polynomials in  $k[x_1, \dots, x_n]$ .

Here  $\mathbb{A}^n := k^n$  with the Zariski topology, so the closed sets are varieties.

# Definition 1.0.2 (Affine Algebraic Group).

An affine algebraic k-group is an affine variety with the structure of a group, where the multiplication and inversion maps

$$\mu:G\times G\to G$$
$$\iota:G\to G$$

are continuous.

#### Example 1.1.

 $G = \mathbb{G}_a \subseteq k$  the additive group of k is defined as  $\mathbb{G}_a := (k, +)$ . We then have a coordinate ring  $k[\mathbb{G}_a] = k[x]/I = k[x]$ .

#### Example 1.2.

G = GL(n, k), which has coordinate ring  $k[x_{ij}, T] / \langle \det(x_{ij}) \cdot T = 1 \rangle$ .

# Example 1.3.

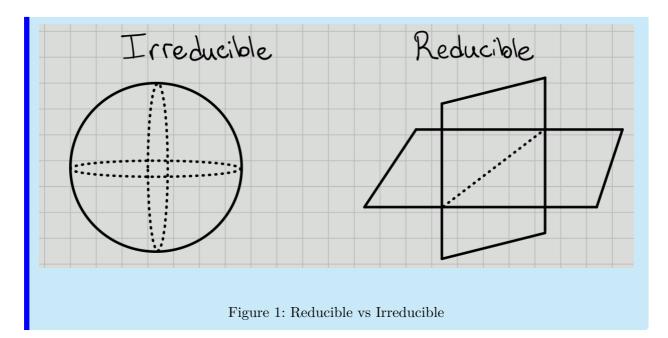
Setting n=1 above, we have  $\mathbb{G}_m := \mathrm{GL}(1,k) = (k^{\times},\cdot)$ . Here the coordinate ring is  $k[x,T]/\langle xT=1\rangle$ .

#### Example 1.4.

 $G = \operatorname{SL}(n, k) \leq \operatorname{GL}(n, k)$ , which has coordinate ring  $k[G] = k[x_{ij}] / \langle \det(x_{ij}) = 1 \rangle$ .

#### Definition 1.0.3 (Irreducible).

A variety V is *irreducible* iff V can not be written as  $V = \bigcup_{i=1}^{n} V_i$  with each  $V_i \subseteq V$  a proper subvariety.



#### Proposition 1.1(?).

There exists a unique irreducible component of G containing the identity e. Notation:  $G^0$ .

### Proposition 1.2(?).

G is the union of translates of  $G^0$ , i.e. there is a decomposition

$$G = \coprod_{g \in \Gamma} g \cdot G^0,$$

where we let G act on itself by left-translation and define  $\Gamma$  to be a set of representatives of distinct orbits.

#### Proposition 1.3(?).

One can define solvable and nilpotent algebraic groups in the same way as they are defined for finite groups, i.e. as having a terminating derived or lower central series respectively.

#### 1.2 Jordan-Chevalley Decomposition

#### Proposition 1.4(Existence and Uniqueness of Radical).

There is a maximal connected normal solvable subgroup R(G), denoted the radical of G.

- $\{e\} \subseteq R(G)$ , so the radical exists.
- If  $A, B \leq G$  are solvable then AB is again a solvable subgroup.

### **Definition 1.4.1** (Unipotent).

An element u is  $unipotent \iff u = 1 + n$  where n is nilpotent  $\iff$  its the only eigenvalue is  $\lambda = 1$ .

# Proposition 1.5(JC Decomposition).

For any G, there exists a closed embedding  $G \hookrightarrow \operatorname{GL}(V) = \operatorname{GL}(n,k)$  and for each  $x \in G$  a unique decomposition x = su where s is semisimple (diagonalizable) and u is unipotent.

Define  $R_u(G)$  to be the subgroup of unipotent elements in R(G). :::{.definition title="Semisimple and Reductive"} Suppose G is connected, so  $G = G^0$ , and nontrivial, so  $G \neq \{e\}$ . Then

- G is semisimple iff  $R(G) = \{e\}.$
- G is reductive iff  $R_u(G) = \{e\}$ . :::

# Example 1.5.

G = GL(n, k), then R(G) = Z(G) = kI the scalar matrices, and  $R_u(G) = \{e\}$ . So G is reductive and semisimple.

#### Example 1.6.

G = SL(n, k), then  $R(G) = \{I\}$ .

#### Exercise 1.1.

Is this semisimple? Reductive? What is  $R_u(G)$ ?

### Definition 1.5.1 (Torus).

A torus  $T \subseteq G$  in G an algebraic group is a commutative algebraic subgroup consisting of semisimple elements.

#### Example 1.7.

Let

$$T := \left\langle \begin{bmatrix} a_1 & \mathbf{0} \\ & \ddots & \\ \mathbf{0} & & a_n \end{bmatrix} \subseteq \operatorname{GL}(n,k) \right\rangle.$$

#### Remark 1.

Why are torii useful? For g = Lie(G), we obtain a root space decomposition

$$g = \left(\bigoplus_{\alpha \in \Phi_{-}} g_{\alpha}\right) \oplus t \oplus \left(\bigoplus_{\alpha \in \Phi_{+}} g_{\alpha}\right).$$

When G is a simple algebraic group, there is a classification/correspondence:

$$(G,T) \iff (\Phi,W).$$

where  $\Phi$  is an irreducible root system and W is a Weyl group.

# 2 | Monday, August 24

# 2.1 Review and General Setup

- $k = \bar{k}$  is algebraically closed
- $\bullet$  G is a reductive algebraic group
- $T \subseteq G$  is a maximal split torus

Split: 
$$T \cong \bigoplus \mathbb{G}_m$$
.

We'll associate to this a root system, not necessarily irreducible, yielding a correspondence

$$(G,T) \iff (\Phi,W)$$

with W a Weyl group.

This will be accomplished by looking at  $\mathfrak{g} = \text{Lie}(G)$ . If G is simple, then  $\mathfrak{g}$  is "simple", and  $\Phi$  irreducible will correspond to a Dynkin diagram.

There is this a 1-to-1 correspondence

$$G \text{ simple}/\sim \iff A_n, B_n, C_n, D_n, E_6, E_7, E_8, F_4, G_2$$

where  $\sim$  denotes *isogeny*.

Taking the Zariski tangent space at the identity "linearizes" an algebraic group, yielding a Lie algebra.

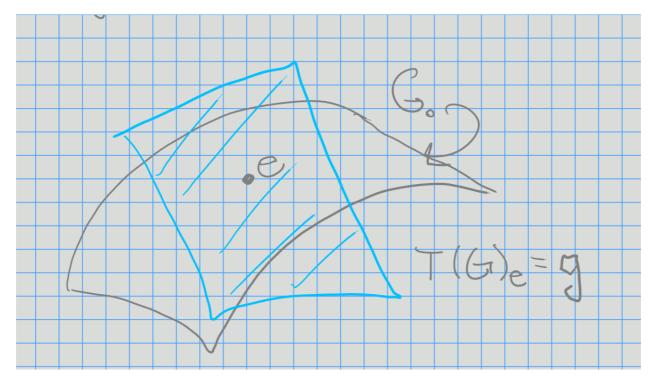


Figure 2: Image

We have the coordinate ring  $k[G] = k[x_1, \dots, x_n]/\mathcal{I}(G)$  where  $\mathcal{I}(G)$  is the zero set. This is equal to  $\{f: G \to k\}$ ,

# 2.2 The Associated Lie Algebra

**Definition 2.0.1** (The Lie Algebra of an Algebraic Group). Define *left translation* is

$$\lambda_x : k[G] \to k[G]$$
  
 $y \mapsto f(x^{-1}y).$ 

Define derivations as

$$\mathrm{Der}\ k[G] = \left\{D: k[G] \to k[G] \ \middle|\ D(fg) = D(f)g + fD(g)\right\}.$$

We can then realize the Lie algebra as

$$\mathfrak{g} = \operatorname{Lie}(G) = \{ D \in \operatorname{Der} k[G] \mid \lambda_x \circ D = D \circ \lambda_x \},$$

the left-invariant derivations.

#### Example 2.1.

- $G = GL(n,k) \implies \mathfrak{g} = \mathfrak{gl}(n,k)$
- $G = SL(n,k) \implies \mathfrak{g} = \mathfrak{sl}(n,k)$

Let G be reductive and T be a split torus. Then T acts on  $\mathfrak{g}$  via an adjoint action. (For  $GL_n$ ,  $SL_n$ , this is conjugation.)

There is a decomposition into eigenspaces for the action of T,

$$\mathfrak{g} = \left(\bigoplus_{\alpha \in \Phi} g_{\alpha}\right) \oplus t$$

where t = Lie(T) and  $g_{\alpha} := \{x \in \mathfrak{g} \mid t.x = \alpha(t)x \ \forall t \in T\}$  with  $\alpha : T \to K^{\times}$  a rational function (a root).

In general, take  $\alpha \in \text{hom}_{AlgGrp}(T, \mathbb{G}_m)$ .

# Example 2.2.

Let G = GL(n, k) and

$$T = \left\{ \begin{bmatrix} a_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & a_n \end{bmatrix} \mid a_j \in k^{\times} \right\}.$$

Then check the following action:

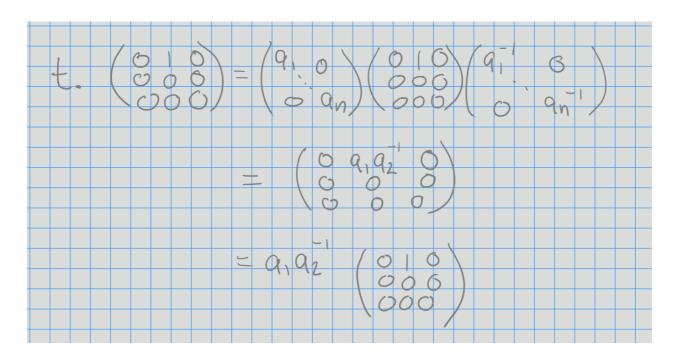


Figure 3: Action

which indeed acts by a rational function.

Then

$$g_{\alpha} = \operatorname{span} \left\{ \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \right\} = g_{(1,-1,0)}.$$

For  $\mathfrak{g} = \mathfrak{gl}(3, k)$ , we have

$$\mathfrak{g} = t \oplus g_{(1,-1,0)} \oplus g_{(-1,1,0)}$$
$$\oplus g_{(0,1,-1)} \oplus g_{(0,-1,1)}$$
$$\oplus g_{(1,0,-1)} \oplus g_{(-1,0,1)}.$$

# 2.3 Representations

Let  $\rho: G \to GL(V)$  be a group homomorphisms, then equivalently V is a (rational) G-module.

For  $T \subseteq G$ ,  $T \curvearrowright G$  semisimply, so we can simultaneously diagonalize these operators to obtain a weight space decomposition  $V = \bigoplus_{\lambda \in X(T)} V_{\lambda}$ , where

$$V_{\lambda} \coloneqq \left\{ v \in V \mid t.v = \lambda(t)v \ \forall t \in T \right\}$$
$$X(T) \coloneqq \hom(T, \mathbb{G}_m).$$

#### Example 2.3.

Let G = GL(n, k) and V the n-dimensional natural representation as column vectors,

$$V = \{ [v_1, \cdots, v_n] \mid v_j \in k \}.$$

Then

$$T = \left\{ \begin{bmatrix} a_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & a_n \end{bmatrix} \mid a_j \in k^{\times} \right\}.$$

Consider the basis vectors  $\mathbf{e}_{i}$ , then

$$\begin{bmatrix} a_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & a_n \end{bmatrix} \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} = a_j \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} = a_1^0 a_2^0 \cdots a_j^0 \cdots a_n^0 \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}.$$

Here the weights are of the form  $\varepsilon_j := [0, 0, \cdots, 1, \cdots, 0]$  with a 1 in the jth spot, so we have

$$V = V_{\varepsilon_1} \oplus V_{\varepsilon_2} \oplus \cdots \oplus V_{\varepsilon_n}.$$

#### Example 2.4.

For  $V = \mathbb{C}$ , we have  $t.v = (a_1^0 \cdots a_n^0)v$  and  $V = V_{(0,0,\cdots,0)}$ .

# 2.4 Classification

Let G be a simple algebraic group (ano closed, connected, normal subgroups other than  $\{e\}$ , G) that is nonabelian that is nonabelian.

#### Example 2.5.

Let G = SL(3, k). Then

$$T = \left\{ t = \begin{bmatrix} a_1 & 0 & 0 \\ 0 & a_1 a_2^{-1} & 0 \\ 0 & 0 & a_2^{-1} \end{bmatrix} \mid a_1, a_2 \in k^{\times} \right\}$$

and

$$t. \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} = a_1^2 a_2^{-1} \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}.$$

and  $\alpha_1 = (2, -1)$ .

What is  $\alpha_1$ ? Note that you can recover the Cartan something here?

Then

$$\mathfrak{g}=\mathfrak{g}_{(2,-1)}\oplus\mathfrak{g}_{(-2,1)}\oplus\mathfrak{g}_{(-1,2)}\oplus\mathfrak{g}_{(1,-2)}\oplus\mathfrak{g}_{(1,1)}\oplus\mathfrak{g}_{(-1,-1)}.$$

Then  $\alpha_2 = (-1, 2)$  and  $\alpha_1 + \alpha_2 = (1, 1)$ .

This gives the root space decomposition for  $\mathfrak{sl}_3$ :

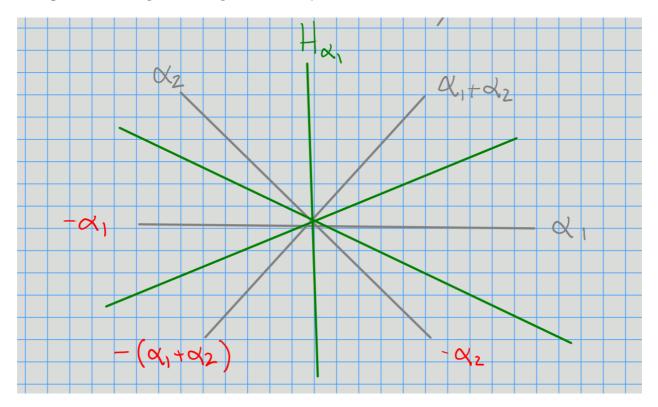


Figure 4: Image

Then the Weyl group will be generated by reflections through these hyperplanes.

# **3** Wednesday, August 26

#### 3.1 Review

- G a reductive algebraic group over k
- $T = \prod_{i=1}^{n} \mathbb{G}_{m}$  a maximal split torus
- $\mathfrak{g} = \overset{\widetilde{i=1}}{\operatorname{Lie}}(G)$
- There's an induced root space decomposition  $\mathfrak{g} = t \oplus \bigoplus_{\alpha \in \Phi} \mathfrak{g}_{\alpha}$
- When G is simple,  $\Phi$  is an *irreducible* root system
  - There is a classification of these by Dynkin diagrams

#### Example 3.1.

 $A_n$  corresponds to  $\mathfrak{sl}(n+1,k)$  (mnemonic:  $A_1$  corresponds to  $\mathfrak{sl}(2)$ )

- We have representations  $\rho: G \to \mathrm{GL}(V)$ , i.e. V is a G-module
- For  $T \subseteq G$ , we have a weight space decomposition:  $V = \bigoplus_{\lambda \in X(T)} V_{\lambda}$  where  $X(T) = \text{hom}(T, \mathbb{G}_m)$ .

Note that  $X(T) \cong \mathbb{Z}^n$ , the number of copies of  $\mathbb{G}_m$  in T.

# 3.2 Root Systems and Weights

# Example 3.2.

Let  $\Phi = A_2$ , then we have the following root system:

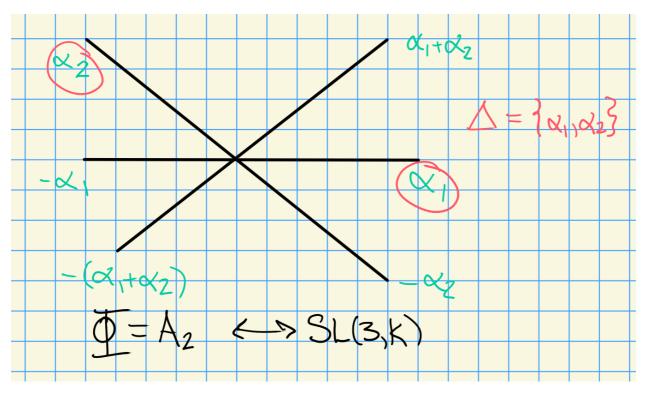


Figure 5: Image

In general, we'll have  $\Delta = \{\alpha_1, \dots, \alpha_n\}$  a basis of *simple roots*.

#### Remark 2.

Every root  $\alpha \in I$  can be expressed as either positive integer linear combination (or negative) of simple roots.

For any  $\alpha \in \Phi$ , let  $s_{\alpha}$  be the reflection across  $H_{\alpha}$ , the hyperplane orthogonal to  $\alpha$ . Then define the Weyl group  $W = \left\{ s_{\alpha} \mid \alpha \in \Phi \right\}$ .

# Example 3.3.

Here the Weyl group is  $S_3$ :



Figure 6: Image

#### Remark 3.

W acts transitively on bases.

#### Remark 4.

 $X(T) \subseteq \mathbb{Z}\Phi$ , recalling that  $X(T) = \text{hom}(T, \mathbb{G}_m) = \mathbb{Z}^n$  for some n. Denote  $\mathbb{Z}\Phi$  the root lattice and X(T) the weight lattice.

#### Example 3.4.

Let  $G = \mathfrak{sl}(2,\mathbb{C})$  then  $X(T) = \mathbb{Z}\omega$  where  $\omega = 1$ ,  $\mathbb{Z}\Phi = \mathbb{Z}\{\alpha\}$  Then there is one weight  $\alpha$ , and the root lattice  $\mathbb{Z}\Phi$  is just  $2\mathbb{Z}$ . However, the weight lattice is  $\mathbb{Z}\omega = \mathbb{Z}$ , and these are not equal in general.

#### Remark 5.

There is partial ordering on X(T) given by  $\lambda \geq \mu \iff \lambda - \mu = \sum_{\alpha \in \Delta} n_{\alpha} \alpha$  where  $n_{\alpha} \geq 0$ . (We say  $\lambda$  dominates  $\mu$ .)

#### **Definition 3.0.1** (Fundamental Dominant Weights).

We extend scalars for the weight lattice to obtain  $E := X(T) \otimes_{\mathbb{Z}} \mathbb{R} \cong \mathbb{R}^n$ , a Euclidean space with an inner product  $\langle \cdot, \cdot \rangle$ .

For  $\alpha \in \Phi$ , define its coroot  $\alpha^{\vee} := \frac{2\alpha}{\langle \alpha, \alpha \rangle}$ . Define the simple coroots as  $\Delta^{\vee} := \{\alpha_i^{\vee}\}_{i=1}^n$ , which

has a dual basis  $\Omega := \{\omega_i\}_{i=1}^n$  the fundamental weights. These satisfy  $\langle \omega_i, \alpha_j^{\vee} \rangle = \delta_{ij}$ .

What is the notation for fundamental weights? Definitely not  $\Omega$  usually

Important because we can index irreducible representations by fundamental weights.

A weight  $\lambda \in X(T)$  is dominant iff  $\lambda \in \mathbb{Z}^{\geq 0}\Omega$ , i.e.  $\lambda = \sum n_i \omega_i$  with  $n_i \in \mathbb{Z}^{\geq 0}$ .

If G is simply connected, then  $X(T) = \bigoplus \mathbb{Z}\omega_i$ .

See Jantzen for definition of simply-connected, SL(n+1) is simply connected but its adjoint PGL(n+1) is not simply connected.

# 3.3 Complex Semisimple Lie Algebras

When doing representation theory, we look at the Verma modules  $Z(\lambda) = U(\mathfrak{g}) \otimes_{U(\mathfrak{b}^+)} \lambda \twoheadrightarrow L(\lambda)$ .

Theorem 3.1(?).  $L(\lambda)$  as a finite-dimensional  $U(\mathfrak{g})$ -module  $\iff \lambda$  is dominant, i.e.  $\lambda \in X(T)_+$ .

Thus the representations are indexed by lattice points in a particular region:



Figure 7: Image

#### Question 1:

Suppose G is a simple (simply connected) algebraic group. How do you parameterize irreducible representations?

For  $\rho:G$ 

toGL(V), V is a simple module (an irreducible representation) iff the only proper G-submodules of V are trivial.

**Answer 1**: They are also parameterized by  $X(T)_+$ . We'll show this using the induction functor  $\operatorname{Ind}_B^G \lambda = H^0(G/B, \mathcal{L}(\lambda))$  (sheaf cohomology of the flag variety with coefficients in some line bundle).

We'll define what B is later, essentially upper-triangular matrices.

**Question 2**: What are the dimensions of the irreducible representations for *G*?

**Answer 2**: Over  $k = \mathbb{C}$  using Weyl's dimension formula.

For  $k = \overline{\mathbb{F}_p}$ : conjectured to be known for  $p \ge h$  (the *Coxeter number*), but by Williamson (2013) there are counterexamples. Current work being done!

# 4 | Friday, August 28

### 4.1 Representation Theory

Review: let  $\mathfrak{g}$  be a semisimple lie algebra / $\mathbb{C}$ . There is a decomposition  $\mathfrak{g} = \mathfrak{b}^+ \oplus \mathfrak{n}^- = \mathfrak{n}^+ \oplus t \oplus \mathfrak{n}^-$ , where t is a torus. We associate  $U(\mathfrak{g})$  the universal enveloping algebra, and representations of  $\mathfrak{g}$  correspond with representations of  $U(\mathfrak{g})$ .

Let  $\lambda \in X(T)$  be a weight, then  $\lambda$  is a  $U(\mathfrak{b}^+)$ -module. We can write  $Z(\lambda) = U(\mathfrak{g}) \otimes_{U(\mathfrak{b}^+)} \lambda$ .

#### Remark 6.

There exists a unique maximal submodule of  $Z(\lambda)$ , say  $RZ(\lambda)$  where  $Z(\lambda)/RZ(\lambda) \cong L(\lambda)$  is an irreducible representation of  $\mathfrak{g}$ .

#### Theorem 4.1(?).

Let  $L=L(\lambda)$  be a finite-dimensional irreducible representation for  $\mathfrak{g}$ . Then

- 1.  $L \cong Z(\lambda)/RZ(\lambda)$  for some  $\lambda$ .
- 2.  $\lambda \in X(T)_+$  is a dominant integral weight.

#### 4.1.1 Induction

Let  $\mathfrak{g}$  be an algebraic group /k with  $k = \bar{k}$ , and let  $H \leq G$ . Let M be an H-module, we'll eventually want to produce a G-modules.

Step 1: Make M into a  $G \times H$  where the first component (g,1) acts trivially on M.

Taking the coordinate algebra k[G], this is a (G-G)-bimodule, and thus becomes a  $G \times H$ -module. Let  $f \in k[G]$ , so  $f: G \to K$ , and let  $y \in G$ . The explicit action is

$$[(g,h)f](y) := f(g^{-1}yh).$$

Note that we can identify  $H \cong 1 \times H \leq G \times H$ . We can form  $(M \otimes_k k[G])^H$ , the *H*-fixed points.

#### Exercise 4.1.

Let N be an A-module and  $B \leq A$ , then  $N^B$  is an A/B-module.

Hint: the action of B is trivial on  $N^B$ . Here  $N^B := \{ n \in N \mid b.n = n \, \forall b \in B \}$ 

#### **Definition 4.1.1** (Induction).

The induced module is defined as

$$\operatorname{Ind}_H^G(M) := (M \otimes k[G])^H.$$

### 4.1.2 Properties of Induction

1.  $(\cdot \otimes_k k[G]) = \text{hom}_H(k, \cdot \otimes_k k[G])$  is only *left-exact*, i.e.

$$(0 \to A \to B \to C \to 0) \mapsto (0 \to FA \to FB \to FC \to \cdots).$$

2. By taking right-derived functors  $R^{j}F$ , you can take cohomology.

Note that in this category, we won't have enough projectives, but we will have enough injectives.

- 3. This functor commutes with direct sums and direct limits.
- 4. (Important) Frobenius Reciprocity: there is an adjoint, restriction, satisfying

$$\hom_G(N, \operatorname{Ind}_H^G M) = \hom_H(N \downarrow_H, M).$$

5. (Tensor Identity) If  $M \in \text{Mod}(H)$  and additionally  $M \in \text{Mod}(G)$ , then  $\text{Ind}_H^G = M \otimes_k \text{Ind}_H^G k$ .

If  $V_1, V_2 \in \text{Mod}(G)$  then  $V_1 \otimes_k V_2 \in \text{Mod}(G)$  with the action given by  $g(v_1 \otimes v_2) = gv_1 \otimes gv_2$ .

6. Another interpretation: we can write

$$\operatorname{Ind}_H^G(M) = \left\{ f \in \operatorname{Hom}(G, M_a) \mid f(gh) = h^{-1} \cdot f(g) \, \forall g \in G, h \in H \right\} \qquad M_a = M \coloneqq \mathbb{A}^{\dim M}.$$

I.e., equivariant wrt the H-action.

Then G acts on  $\operatorname{Ind}_H^G M$  by left-translation:  $(gf)(y) = f(g^{-1}y)$ .

7. There is an evaluation map:

$$\varepsilon: \operatorname{Ind}_H^G(M) \to M$$

$$f \mapsto f(1).$$

This is an H-module morphism. Why? We can check

$$\varepsilon(h.f) := (h.f)(a)$$

$$= f(h^{-1})$$

$$= hf(1)$$

$$= h(\varepsilon(f)).$$

We can write the isomorphism in Frobenius reciprocity explicitly:

$$\hom_G(N,\operatorname{Ind}_H^GM) \xrightarrow{\cong} \hom_H(N,M)$$
$$\varphi \mapsto \varepsilon \circ \varphi.$$

8. Transitivity of induction: for  $H \leq H' \leq G$ , there is a natural transformation (?) of functors:

$$\operatorname{Ind}_{H}^{G}(\,\cdot\,) = \operatorname{Ind}_{H'}^{G}\left(\operatorname{Ind}_{H}^{H'}(\,\cdot\,)\right).$$

Equality as a composition of functors?

# **4.2 Classification of Simple** *G***-modules**

Suppose G is a connected reductive algebraic group /k with  $k = \bar{k}$ .

#### Example 4.1.

Let G = GL(n, k). There is a decomposition:

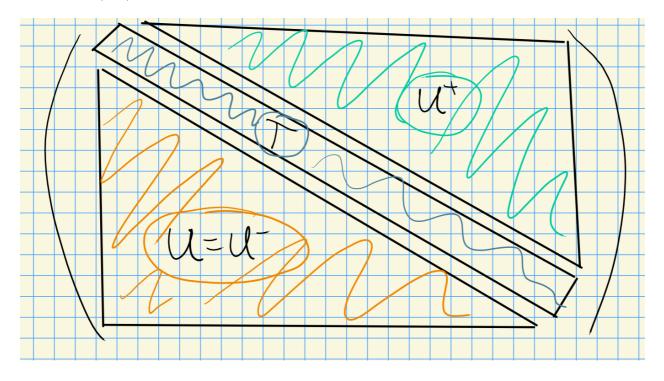


Figure 8: Image

#### **Step 1**: Getting modules for U.

Then there's a general fact:  $U^+TU \hookrightarrow G$  is dense in the Zariski topology for any reductive algebraic group. We can form

- $B^+ := T \rtimes U^+$ , the positive borel,
- $B^- := T \rtimes U$ , the negative borel,

Suppose we have a U-module, i.e. a representation  $\rho: U \to \mathrm{GL}(V)$ . We can find a basis such that  $\rho(u)$  is upper triangular with ones on the diagonal. In this case, there is a composition series with 1-dimensional quotients, and the composition factors are all isomorphic to k.

Moral: for unipotent groups, there are only trivial representations, i.e. the only simple U-modules are isomorphic to k.

#### **Step 2**: Getting modules for B.

Modules for B are solvable, in which case we can find a flag. In this case,  $\rho(b)$  embeds into upper triangular matrices, where the diagonal action may now not be trivial (i.e. diagonal is now arbitrary).

Thus simple B-modules arise by taking  $\lambda \in X(T) = \text{hom}(T, \mathbb{G}_m) = \text{hom}(T, \text{GL}(1, k))$ , then letting u act trivially on  $\lambda$ , i.e. u.v = v. Here we have  $B \to B/U = T$ , so any T-module can be pulled back to a B-module.

**Step 3**: Getting modules for G.

Let  $\lambda \in X(T)$ , then  $H^0(\lambda) = \operatorname{Ind}_B^G \lambda = \nabla(\lambda)$ .

# **5** | Monday, August 31

#### 5.1 Review of Representation Theory of Modules

Take R a ring, then consider M an R-module to be a "vector space" over M. Note that M is an R-module  $\iff$  there exists a ring morphism  $\rho: R \to \hom_{AbGrp}(M, M)$ .

Now let G be a group and consider G-modules M. Then a G-module will be defined by taking M/k a vector space and a G-action on M. This is equivalent to having a group morphism  $\rho: G \to \mathrm{GL}(M)$ .

For M a G-module, given a group action, define

$$\rho: G \to \mathrm{GL}(M)$$
$$\rho(g)(m) = g.m$$

where  $\rho(h): M \to M$ .

Similarly, for  $\rho: G \to \mathrm{GL}(M)$  a group morphism, define the group action  $g.m := \rho(g)m$ . Thus representations of G and G-modules are equivalent.

#### **Definition 5.0.1** (?).

Let M be a G-module.

- 1. M is a simple G-module (equivalently an irreducible representation)  $\iff$  the only G-submodules (equiv. G-invariant subspaces) are 0, M.
- 2. M is indecomposable  $\iff$  M can not be written as  $M = M_1 \oplus M_2$  with  $M_i < M$  proper

submodules.

#### Example 5.1.

For  $G = \mathrm{SL}(n,\mathbb{C})$ , there is a natural n-dimensional representation M = V, and this is irreducible.

What is V?

#### Example 5.2.

Let  $R = \mathbb{Z}$ , so we're considering  $\mathbb{Z}$ -modules. For  $M = \mathbb{Z}$ , M is not simple since  $2\mathbb{Z} < \mathbb{Z}$  is a proper submodule. However M is indecomposable.

Recall from last time: we defined a functor  $\operatorname{Ind}_H^G(\,\cdot\,): H\operatorname{-mod} \to G\operatorname{-mod}$ , where  $\operatorname{Ind}_H^G=(k[G]\otimes M)^H$ , the  $H\operatorname{-invariants}$ . This functor is left-exact but not right-exact, so we have cohomology  $R^j\operatorname{Ind}_H^G$  by taking right-derived functors.

Goal: classify simple G-modules for G a reductive connected algebraic group.

#### Example 5.3.

For G = GL(n, k), we have a decomposition

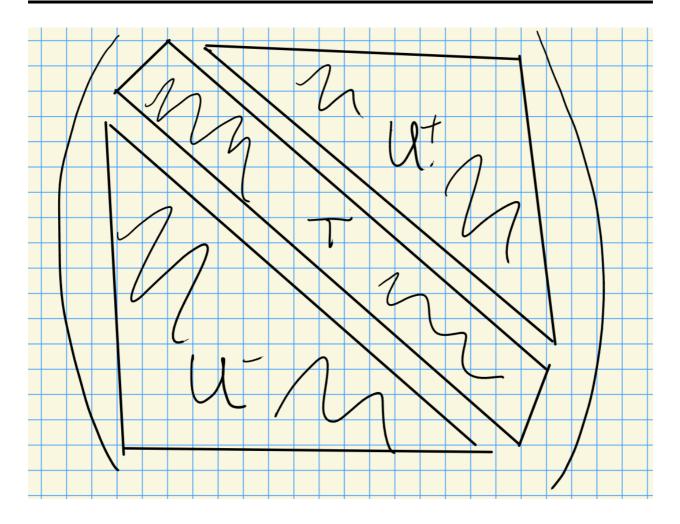


Figure 9: Image

We have

- $B = T \rtimes U$  the negative Borel,
- $B = T \rtimes U^+$  the Borel

For U-modules: k is the only simple U-module. Importantly, if V is a U-module, then the fixed points are never zero, i.e.  $V^U = \hom_{U\text{-}\mathrm{Mod}}(k,V) \neq 0$ .

For B-modules: let  $X(T) := \hom(T, \mathbb{G}_m) = \hom(T, \operatorname{GL}(1, k))$ . These are the simple representations for the torus T. Thus  $\lambda \in X(T)$  represents a simple T-module.

We have a map  $B \to B/U = T$ , so we can pullback T-representations to B-representations ("inflation"), since we have a map  $T \to \operatorname{GL}(1,k)$  and we can just compose. So  $\lambda$  is a 1-dimensional (simple) B-module where U acts trivially.

Lee's theorem: all irreducible representations for B are one-dimensional. Thus these are the simple B-modules.

For G-modules: define  $\nabla(\lambda) := \operatorname{Ind}_B^G(\lambda) = H^0(\lambda)$ .

#### Questions:

- 1. When does  $H^0(\lambda) = 0$ ?
- 2. What is  $\dim_{k\text{-Vect}} H^0(\lambda)$ ?
- 3. What are the composition factors of  $H^0(\lambda)$ ?

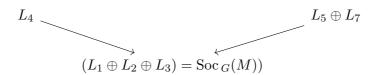
Known in characteristic zero, wildly open in positive characteristic.

#### Remark 7.

Another interpretation: look at the flag variety G/B and take global sections, then  $H^0(\lambda)$  $H^0(G/B,\mathcal{L}(\lambda))$  where  $\mathcal{L}$  is given by projecting the fiber product  $G \times_B \lambda \twoheadrightarrow G/B$  onto the first factor.

#### Remark 8.

- 1.  $H^0(k) = H^0([0, \dots, 0]) = k[G/B] = k$ .
- 2.  $H^0(M) = M$  if M is a G-module.
- 3. A G-module M is semisimple iff  $M = \bigoplus M_i$  with each  $M_i$  are simple.
- 4. Can consider the largest semisimple submodule, the  $socle Soc_G(M)$ .



Goal: classify simple G-modules. Strategy: used dominant highest weights.

As opposed to Verma modules, the irreducibles will be a dual situation where they sit at the bottom of the module. Indicated by the notation  $\nabla$  pointing down!

#### Proposition 5.1(?).

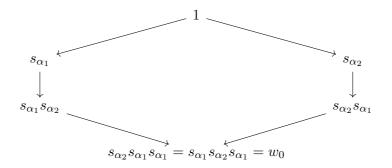
Let  $\lambda \in X(T)$  with  $H^0(\lambda) \neq 0$ .

- 1. dim  $H^0(\lambda)^{U^+} = 1$  and  $H^0(\lambda)^{U^+} = H^0(\lambda)_{\lambda}$ . 2. Every weight of  $H^0(\lambda)$  satisfies  $w_u \lambda \leq \mu \leq \lambda$ , where  $w_0$  is the longest Weyl group element and  $\alpha \leq \beta \iff \alpha - \beta \in \mathbb{Z}^+ \Phi$ .

Note that in fact  $\ell(w_0) = |\Phi^+|$ .

#### Example 5.4.

Take  $A_2$  with simple reflections  $s_{\alpha_1}, s_{\alpha_2}$  and  $\Delta = \{\alpha_1, \alpha_2\}$ .



Proof ((Sketch)).

We can write

$$H^{0}(\lambda) = \left\{ f \in k[G] \mid f(gb) = \lambda(b)^{-1} f(g) \, b \in B, g \in G \right\}.$$

Suppose  $f \in H^0(\lambda)^{U^+}$  and  $u_+ \in U^+, t \in T, u \in U$ . Then

$$(u_+^{-1}f)(tu) = f(tu)$$
$$= \lambda(t)^{-1}f(1).$$

On the other hand,

$$\left(u_{+}^{-1}f\right)(tu) = f(u_{+}tu).$$

So by density, f(1) is determined by  $f(u_+tu)$  and dim  $H^0(\lambda)^{U^+} \leq 1$ . But since this can't be zero, the dimension must be equal to 1.

## Proposition 5.2(?).

Let

$$\varepsilon: H^0(\lambda) \to \lambda$$

be the evaluation morphism.

This is a morphism of B-modules, and in particular is a morphism of T-modules. Thus the image of a weight  $\mu \neq \lambda$  is zero, so  $\varepsilon$  is injective.

Proof.

We have

$$f(u_+tu) = \lambda(t)^{-1}f(1) = \lambda(t)^{-1}\varepsilon(f).$$

Suppose  $f \in H^0(\lambda)^{U^+}$  and  $\varepsilon(f) = 0$ . Then  $f(u_+tu) = 0$ , and by density  $f \equiv 0$ , showing injectivity.

Therefore  $H^0(\lambda)^{U^+} \subset H^0(\lambda)_{\lambda}$ . Suppose  $\mu$  is maximal among weights in  $H^0(\lambda)$ . Then

$$H^0(\lambda)_{\mu} \subseteq H^0(\lambda)^{U^+}$$

because  $U^+$  raises weights.

But  $H^0(\lambda)^{U^+} \subseteq H^0(\lambda)_{\lambda}$  implies  $\mu = \lambda$ . Thus the maximal weight in  $H^0(\lambda)$  is  $\lambda$ .

Recall the situation in lie algebras:  $g_{\alpha}v \in V_{\lambda+\alpha}$  when v  $inV_{\lambda}$ .

Since  $\lambda$  is maximal, any other weight  $\mu$  satisfies  $\mu \leq \lambda$ . Thus

$$H^0(\lambda)_{\lambda} \subseteq H^0(\lambda)^{U^+} \subseteq H^0(\lambda)_{\lambda},$$

forcing these to be equal and finishing part 1.

# 6 | Friday, September 04

Some concepts used in the proof of other theorems: Let G be a reductive algebraic group and  $\mathfrak{g}$  its lie algebra. There is an associative algebra  $U(\mathfrak{g})$  which reflects the representation theory of G.

Fact:  $\mathfrak{g}\text{-mod} \equiv U(\mathfrak{g})\text{-modules}$  which are unitary, i.e. 1.m = m.

We can write a basis

$$\mathfrak{g} = \langle e_{\alpha}, h_i, f_{\beta} \mid \alpha \in \Phi^+, \beta \in \Phi^-, i = 1, 2, \cdots, n \rangle,$$

the Chevalley basis. It turns out that the structure constants are all in  $\mathbb{Z}$ .

#### Example 6.1.

Take  $\mathfrak{g} = \mathfrak{sl}(2,k)$ , then

$$e = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$$
  $f = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$   $h = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$ .

We want to form a  $\mathbb{Z}$ -lattice in  $U(\mathfrak{g})$ , denoted

$$U(\mathfrak{g})_{\mathbb{Z}} = \left\langle e_{\alpha}^{[n]} = \frac{e_{\alpha}^{n}}{n!}, f_{\beta}^{[n]} = \frac{f_{\beta}^{n}}{n!}, \begin{pmatrix} h_{i} \\ m \end{pmatrix} \right\rangle.$$

We then form the distribution algebra (or hyperalgebra in earlier literature) as  $\mathrm{Dist}(G) := U(\mathfrak{g})_{\mathbb{Z}} \otimes_{\mathbb{Z}} k$  for k any field (e.g. char (k) = p).

#### Theorem 6.1(?).

G-modules  $\equiv \mathrm{Dist}(G)$ -modules which are

- Weight modules
- Locally finite: dim Dist $(G).m < \infty$  for all  $m \in M$ .

#### Remark 9.

In characteristic zero,  $Dist(G) = U(\mathfrak{g})$ . Thus there is a correspondence

$$\{G\text{-modules}\} \iff \{U(\mathfrak{g})\text{-modules}\}.$$

If char (k) = p, e.g.  $k = \overline{\mathbb{F}}_p$ , and if the Frobenius map  $F : G \to G$  satisfies  $G_1 := \ker F$  (thinking of  $G_1$  as a group scheme), then  $\operatorname{Dist}(G_1) < \operatorname{Dist}(G)$  is a proper submodule. In this case,  $\mathfrak{g} \subseteq \operatorname{Dist}(G_1)$  is a finite dimensional Hopf algebra, and  $k[G_1] = \operatorname{Dist}(G_1)^{\vee}$ . Importantly, the lie algebra does *not* generate  $\operatorname{Dist}(G)$  if  $k = \overline{\mathbb{F}}_p$ .

#### Example 6.2.

Take  $G = \mathbb{G}_a$ , then  $\mathrm{Dist}(\mathbb{G}_a) = \left\langle T^k \mid k = 0, 1, \cdots \right\rangle$  is an infinite dimensional algebra. In this case,  $T^k T^\ell = \binom{k+\ell}{\ell} T^{k+\ell}$ . For  $k = \mathbb{C}$ ,  $\mathrm{Dist}(\mathbb{G}_a) = \left\langle T^1 \right\rangle$  has one generator.

In the case  $k = \overline{\mathbb{F}}_p$ , we have  $\operatorname{Dist}((\mathbb{G}_a)_1) = \langle T^k \mid 0 \le k \le p-1 \rangle$ .

Note that taking duals yields a truncated polynomial algebra:  $k[(\mathbb{G}_a)_1] = k[x]/\langle x^p \rangle$ .

#### 6.1 Review

Recall that  $H^0(\lambda) := \operatorname{Ind}_B^G \lambda$ . Proved in last (missed) class: :::{.remark} Let  $H^0(\lambda) \neq 0$ . Then

- a. dim  $H^0(\lambda)_{\lambda} = 1$  where  $H^0(\lambda) = H^0(\lambda)^{U^+}$ .
- b. Each weight  $\mu$  of  $H^0(\lambda)$  satisfies  $w_0\lambda \leq \mu \leq \lambda$ , where  $w_0$  is the longest Weyl group element. :::

#### Remark 10.

Let  $H^0(\lambda)_{\lambda} \neq 0$ , then  $L(\lambda) = \operatorname{Soc}_G H^0(\lambda)$  is simple.

#### Remark 11.

If  $\mu$  is a weight of  $L(\lambda)$ , then  $w_0\lambda \leq \mu \leq \lambda$ , dim  $L(\lambda)_{\lambda} = 1$ , and  $L(\lambda)_{\lambda} = L(\lambda)^{U+}$ .

#### Remark 12.

Any simple G-module is isomorphic to  $L(\lambda)$  where  $H^0(\lambda) \neq 0$ .

Goal: We now want to classify simple G-modules. So we need an iff criterion for when  $H^0(\lambda) \neq 0$ . We look at the set of dominant weights

$$X(T)_{+} = \left\{ \lambda \in X(T) \mid \langle \lambda, \alpha^{\vee} \rangle \ge 0 \forall \alpha \in \Delta \right\} \qquad = \left\{ \lambda \in X(T) \mid \lambda = \sum_{i=1}^{\ell} n_{i} w_{i}, n_{i} \ge 0 \right\}.$$

#### Theorem 6.2(?).

TFAE:

- 1.  $H^{0}(\lambda) \neq 0$
- 2.  $\lambda \in X(T)_+$ , i.e.  $\lambda$  is a dominant weight.

Proof.

 $1 \implies 2$ : Suppose (1), then consider a simple reflection  $s_{\alpha}$  for some  $\alpha \in \Delta$ . We know  $H^{0}(\lambda)_{\lambda} \neq 0$ , thus  $H^{0}(\lambda)_{s_{\alpha}\lambda} \neq 0$ . Therefore

$$s_{\alpha}\lambda = \lambda - \langle \lambda, \ \alpha^{\vee} \rangle \alpha \le \lambda$$

$$\implies 0 \le \langle \lambda, \ \alpha^{\vee} \rangle \alpha$$

$$\implies \langle \lambda, \ \alpha^{\vee} \rangle \ge 0 \qquad \forall \alpha \in \Delta.$$

 $2 \implies 1$ : For a detailed proof, see Jantzen 2.6 in Part II.

- Let  $\lambda \in X(T)_+$ , then (by the intro lie algebras course) there exists an  $L(\lambda)$ : a simple finite dimensional  $U(\mathfrak{g})$ -module over  $\mathbb{C}$ .
- $L(\lambda)$  has an integral basis which is compatible with  $U(\mathfrak{g})_{\mathbb{Z}}$  (Kostant's  $\mathbb{Z}$ -form).
- Thus we can base change to get  $\tilde{L}(\lambda) := L(\lambda) \otimes_{\mathbb{Z}} k$ , which is a Dist(G)-module. Note that  $\tilde{L}(\lambda)$  still has highest weight  $\lambda$ , so consider  $\hom_B(\tilde{L}(\lambda), \lambda) \neq 0$ .
- Apply frobenius reciprocity:  $\hom_B(\tilde{L}(\lambda), \lambda) = \hom_G(\tilde{L}(\lambda), \operatorname{Ind}_B^G \lambda) = \hom_G(\tilde{L}(\lambda), H^0(\lambda))$ . But then  $H^0(\lambda) \neq 0$  (since otherwise this would imply the original hom was zero).

Theorem 6.3(?).

Let G be a reductive connected algebraic group over k. Then there exists a 1-to-1 correspondence between dominant weights and irreducible G-representations:

$$\left\{ \text{Dominant weights: } X(T)_+ \right\} \iff \left\{ \text{Irreducible representations: } \left\{ L(\lambda) \ \middle| \ \lambda {\in} X(T)_+ \right\} \right\}.$$

**6.2** Characters of *G*-modules

Let G be reductive, so (importantly) it has a maximal torus T. Let  $M \in G$ -mod, so (importantly)  $M \in T$ -mod.

Then there is a weight space decomposition  $M = \bigoplus_{\lambda \in X(T)} M_{\lambda}$ . We then write the character of M as

char 
$$M := \sum_{\lambda \in X(T)} (\dim M_{\lambda}) e^{\lambda} \in \mathbb{Z}[X(T)].$$

Next time: more characters, and Weyl's dimension formula.

**7** Wednesday, September 09

Todo # Wednesday, September 16

# 7.1 Group Schemes

**Definition 7.0.1** (Representable Functors).

Let F :: k-alg  $\to$  Set be a functor, then F is **representable** iff F(R) corresponds to "solutions to equations in R".

#### Example 7.1.

Let  $F(\cdot) = \mathrm{SL}(2, \cdot)$ , then the corresponding equations are  $\det(x_{ij}) = 1$ .

If F is representable, there is a correspondence  $F(R) \cong \text{hom}_R(A,R)$ . In the above example,

$$A = k[x_{11}, x_{12}, x_{21}, x_{22}] / \langle x_{11}x_{22} - x_{12}x_{21} \rangle,$$

which is exactly the coordinate algebra.

**Definition 7.0.2** (Affine Group Scheme).

An affine group scheme is a representable functor F: k-alg  $\to$  Groups.

Suppose G is an affine group scheme, and let A = k[G] be the representing object. Then there is a correspondence

$$G$$
-modules  $\iff k[G]^{\vee}$ -modules.

For G reductive, the RHS is equivalent to Dist(G)-modules.

**Definition 7.0.3** (Finite Group Schemes).

G is a **finite** group scheme iff k[G] is finite dimensional.

If G is finite, then  $A^{\vee} \cong k[G]^{\vee}$  is a cocommutative Hopf algebras. Thus representations for *finite* group schemes are equivalent to representations for finite-dimensional cocommutative Hopf algebras.

On group scheme side: see reduction, spectral sequences, conceptual arguments. On the algebra side: have bases, underlying vector space, can do concrete computations. Can take  $\operatorname{Spec}(k[G])^{\vee}$  to recover a group scheme.

#### 7.2 Hopf Algebras

For A a k-alg, we have a multiplication and a unit, which can be defined in terms of diagrams. To categorically reverse arrows, we can ask for a comultiplication and a counit.

$$\Delta: A \to A^{\otimes 2}$$

$$\epsilon: A \to k$$
.

We'll want another map, an antipode

$$s:A\to A.$$

The comultiplication should satisfy

$$A^{\otimes 3} \xleftarrow{1 \otimes A} A^{\otimes 2}$$

$$\Delta \otimes 1 \uparrow \qquad \Delta \uparrow$$

$$A^{\otimes 2} \xleftarrow{\Delta} A$$

The counit should satisfy

$$k \otimes A \xleftarrow{\varepsilon \otimes 1} A^{\otimes 2}$$

$$\downarrow \cong \qquad \Delta \uparrow$$

$$A \xrightarrow{\cong} A$$

And the antipode should satisfy

$$\begin{array}{c} A \xleftarrow[m(s\otimes 1)]{} A \\ \uparrow \qquad \qquad \Delta \uparrow \\ A \xleftarrow[\varepsilon]{} A \end{array}$$

#### 7.2.1 Module Constructions

Let A be a Hopf algebra.

1. For A-modules M, N, we can form the A-module  $M \otimes_k N$  with

$$\Delta(a) = \sum a_i \otimes a_j$$

$$a(m\otimes n)=\sum a_1m\otimes a_2n.$$

2. If M is finite-dimensional over A, then  $M^{\vee} = \hom_k(M, k) \ni f$  is an A-module, and we can define (af)(x) := f(s(a)x) for  $a \in A, x \in M$ .

#### Example 7.2.

A = kG the group algebra on a group is a Hopf algebra:

$$\Delta: A \to A^{\otimes 2}$$
$$q \mapsto q \otimes q.$$

The module action is diagonal, namely  $g(m \otimes n) = gm \otimes gn$ . The antipode is given by  $s(g) = g^{-1}$ , and the unit is  $\varepsilon(g) = 1$  for all  $g \in G$ .

#### Example 7.3.

Let  $A=U(\mathfrak{g})$ , the universal enveloping algebra for  $\mathfrak{g}$  a Lie algebra. Recall that  $\mathfrak{g}$ -modules are equivalent to  $U(\mathfrak{g})$ -modules (unitary representations, some big associative algebra). Then A is a Hopf algebra, with  $\Delta(\ell)=\ell\otimes 1+1\otimes \ell$  for  $\ell\in\mathfrak{g}$ . The unit is  $\varepsilon(\ell)=0$ , and the antipode is  $s(\ell)=-\ell$ .

#### Example 7.4.

Take the additive group  $\mathbb{G}_a$ , then  $A = k[\mathbb{G}_a] \cong k[x]$  is a commutative Hopf algebra with  $\Delta(x) = x \otimes 1 + 1 \otimes x$ ,  $\varepsilon(x) = 0$ , s(x) = -x.

#### Example 7.5.

For  $\mathbb{G}_m$ , we have  $A = k[\mathbb{G}_m] \cong k[x, x^{-1}], \varepsilon(x) = 1, s(x) = x^{-1}$ .

#### 7.3 Frobenius Kernels

Let G be an algebraic group (scheme) over k, where char (k) = p. Let  $F : G \to G$  be the Frobenius, where e.g.

$$F: \mathrm{GL}(n,\,\cdot\,) \to \mathrm{GL}(n,\,\cdot\,)$$
  
 $(x_{ij}) \mapsto (x_{ij}^p).$ 

Then F is a map of group schemes.

**Definition 7.0.4** (Frobenius Kernels).

 $G_r := \ker F^r$ , where  $F^r := F \circ F \circ \cdots \circ F$  is the r-fold composition of the Frobenius. This yields a nesting  $G_1 \subseteq G_2 \subseteq G_3 \cdots \subseteq G$ .

Recall that

$$Dist(G) = \left\langle \frac{x_{\alpha}^n}{n!}, \frac{y_{\beta}^m}{m!}, \begin{pmatrix} H_i \\ k \end{pmatrix} \right\rangle.$$

We get a chain of finite dimensional algebras

$$\operatorname{Dist}(G_1) \leq \operatorname{Dist}(G_2) \leq \cdots \leq \operatorname{Dist}(G)$$

where

$$Dist(G_1) = \left\langle \frac{x_{\alpha}^n}{n!}, \frac{y_{\beta}^m}{m!}, \binom{H_i}{k} \mid 0 \le n, m, k \le p - 1 \right\rangle,$$

where in general  $\mathrm{Dist}(G_\ell)$  goes up to  $p^\ell - 1$ . Recall that  $G_r$  representations were equivalent to  $\mathrm{Dist}(G_r)$  representations.

Some basic questions (Curtis, Steinberg, 1960s):

- 1. What are the simple modules for Frobenius kernels? I.e., what are the irreducible representations for  $G_r$ ?
- 2. How are the representations for  $G_r$  related to those for G?

It turns out the representations for  $G_r$  will lift to representations to G. Use "twisted tensor product" (Steinberg).

#### Remark 13.

It turns out that  $G_1$  is special.

$$\operatorname{Dist}(G_1) \cong u(\mathfrak{g}) := U(\mathfrak{g}) / \langle x^p - x^{[p]} \rangle,$$

where  $\mathfrak{g} = \text{Lie}(G)$  is a restricted lie algebra (N. Jacobson). Note that for  $D \in \mathfrak{g}$  a derivation, we define  $D^{[p]} := D \circ \cdots \circ D$  is the p-fold composition.

 $G_1$ -modules are equivalent to  $\mathfrak{g}$ -modules which are restricted in the sense that

$$\rho: g \to \mathfrak{gl}(V)$$
$$x^{[p]} \mapsto \rho(x)^p.$$

# **8** | Friday, September 18

#### 8.1 Frobenius Kernels

Let char (k)p > 0 and let G be an algebraic group scheme. We have a Frobenius map  $F: G \to G$  given by  $F((x_{ij})) = (x_{ij}^p)$ , which we can iterate to get  $F^r$  for  $r \in \mathbb{N}$ . Setting  $G_r = \ker F^r$  the rth Frobenius kernel, we get a normal series of group schemes

$$G_1 \subseteq G_2 \subseteq \cdots \subseteq G$$
.

There is an associated chain of finite dimensional Hopf algebras

$$Dist(G_1) < Dist(G_2) < \cdots < Dist(G)$$
.

Then  $k[G]^{\vee} = \text{Dist}(G_r)$ , and we get an equivalence of representations for  $G_r$  to representations for  $\text{Dist}(G_r)$ .

A special case will be when G is a reductive algebraic group scheme. We'll start by finding a basis for  $Dist(G_r)$ .

Recall the PBW theorem: we have a basis for  $\mathfrak{g}$  given by

$$\left\{ x_{\alpha} \mid \alpha \in \Phi^{+} \right\}$$
 Positive root vectors  $\left\{ h_{i} \mid i = 1, \dots, n \right\}$  A basis for  $t$   $\left\{ x_{\alpha} \mid \alpha \in \Phi^{-} \right\}$  Negative root vectors

We can then obtain a basis for  $U(\mathfrak{g})$ :

$$U(\mathfrak{g}) = \left\langle \prod_{\alpha \in \Phi^+} x_{\alpha}^{n(\alpha)} \prod_{i=1}^n h_i^{k_i} \prod_{\alpha \in \Phi^+} x_{-\alpha}^{m(\alpha)} \right\rangle.$$

We can similarly obtain a basis for the distribution algebra

$$\mathrm{Dist}(G) = \left\langle \prod_{\alpha \in \Phi^+} \frac{x_{\alpha}^{n(\alpha)}}{n!} \prod_{i=1}^n \binom{h_i}{k_i} \prod_{\alpha \in \Phi^+} \frac{x_{-\alpha}^{n(\alpha)}}{n!} \right\rangle,$$

and we can similar get  $\mathrm{Dist}(G_r)$  by restricting to  $0 \leq n(\alpha), k_i, m(\alpha) \leq p^r - 1$ . Above the  $k_i$  are allowed to be any integers. This yields a triangular decomposition

$$\operatorname{Dist}(G_r) = \operatorname{Dist}(U_r^+) \operatorname{Dist}(T_r) \operatorname{Dist}(U_r^-),$$

where we'll denote the first two terms  $Dist(B_r^+)$  and the last two as  $Dist(B_r)$ .

#### 8.2 Induced and Coinduced Modules

Goal: Classify simple  $G_r$ -modules. We know the classification of simple G-modules, so we'll follow similar reasoning. We started by realizing  $L(\lambda) \hookrightarrow \operatorname{Ind}_B^G \lambda$  as a submodule (the socle) of some "universal" module.

Let M be a  $B_r$ -module, we can then define

$$\operatorname{Ind}_{B_r}^{G_r} M = (k[G_r] \otimes M)^{B_r},$$

where we're now taking the  $B_r$ -invariants. We get a decomposition as vector spaces,

$$k[G_r] = k[U_r^+] \otimes_k k[B_r]$$

and thus an isomorphism

$$\operatorname{Ind}_{B_r}^{G_r} M = (k[G_r] \otimes M)^{B_r} \cong k[U_r^+] \otimes (k[B_r] \otimes M)^{B_r} \cong k[U_r^+] \otimes M$$

since  $k[B_r] \otimes M \cong \operatorname{Ind}_{B_r}^{B_r} M \cong M$ .

We then define

$$\operatorname{Coind}_{B_r}^{G_r} = \operatorname{Dist}(G_r) \otimes_{\operatorname{Dist}(B_r)} \otimes M,$$

which is an analog of  $U(\mathfrak{g}) \otimes_{U(\mathfrak{b})} M$ .

We have  $\operatorname{Dist}(U_r^+) \otimes \operatorname{Dist}(B_r) \cong \operatorname{Dist}(G_r)$ , so

$$\operatorname{Coind}_{B_r}^{G_r} = \operatorname{Dist}(G_r) \otimes_{\operatorname{Dist}(B_r)} \otimes M \cong \operatorname{Dist}(U_r^+) \otimes_k \operatorname{Dist}(B_r) \otimes_{\operatorname{Dist}(B_r)} M \cong \operatorname{Dist}(U_r^+) \otimes_k M,$$

which we'll define as the **coinduced module**.

We can compute the dimension:

$$\dim \operatorname{Ind}_{B_r}^{G_r} M = \dim \operatorname{Coind}_{B_r}^{G_r} M = (\dim M) p^{r|\Phi^+|}.$$

Open: don't know how to compute composition factors.

#### Proposition 8.1(?).

1.

$$\operatorname{Coind}_{B_r}^{G_r} M \equiv \operatorname{Ind}_{B_r}^{G_r} M \otimes 2(p^r - 1)\rho$$

where the last term is a one-dimensional  $B_r$ -module and  $\rho$  is the Weyl weight.

2.

$$\operatorname{Coind}_{B_r^r}^{G_r} M \cong \operatorname{Ind}_{B_r^r}^{G_r} M \otimes -2(p^r-1)\rho$$

where

$$\rho = \frac{1}{2} \sum_{\alpha \in \Phi^+} \alpha = \sum_{i=1}^n w_i.$$

Proof (Sketch for (1)).

Since the tensor product satisfies a universal property, we have a map

$$M \xrightarrow{B_r} \operatorname{Dist}(G_r) \otimes_{\operatorname{Dist}(B_r)} M$$

$$N = M \operatorname{Ind}_{B_r}^{G_r} \otimes 2(p^r - 1)\rho$$

- 1. We need to find a  $B_r$  morphism  $f: M \to N$ .
- 2. We need to show that f generates N as a  $G_r$ -module.

Note that if (1) and (2) hold, then  $\psi$  is surjective, but since  $\dim \operatorname{Coind}_{B_r}^{G_r} M = \dim N$  this forces  $\psi$  to be an isomorphism.

We can write

$$\operatorname{Ind}_{B_r}^{G_r} M \otimes 2(p^r - 1)\rho = (k[G_r] \otimes M \otimes 2(p^r - 1)\rho)^{B_r}$$
  

$$\cong \operatorname{hom}_{B_r} (\operatorname{Dist}(G_r), M \otimes 2(p^r - 1)\rho).$$

Let 
$$g_m(x) := m \otimes 2(p^r - 1)\rho$$
 for any  $x = \prod_{\alpha \in \Phi^+} \frac{x_{\alpha}^{p^r - 1}}{(p^r - 1)!}$ , and  $g_m(x) = 0$  for any other  $x$ .

Now define  $f(m) = g_m$ , and check that im f generates N.

#### 8.3 Verma Modules

Recall that  $W(\lambda) := U(\mathfrak{g}) \otimes_{U(\mathfrak{b}^+)} \lambda$  were the Verma modules for lie algebras.

Let  $\lambda \in X(T)$ , we have  $T_r \leq T$  and restriction yields a map  $X(T) \to X(T_r)$ . Given a weight  $\lambda$ , we can write it p-adically as

$$\lambda = \lambda_0 + \lambda_1 p + \lambda_2 p^2 + \dots + \lambda_{r-1} + \dots$$

This yields an exact sequence

$$0 \to p^r X(T) \to X(T) \to X(T_r) \to 0$$
,

and thus  $X(T)/p^rX(T) \cong X(T_r)$ .

Let  $\lambda \in X(T_r)$ , then  $\lambda$  becomes a  $B_r$ -module by letting  $U_r$  act trivially, since we have

$$\cdots U_r \to B_r \twoheadrightarrow T_r \to 0.$$

Set  $Z(r) = \operatorname{Coind}_{B_r}^{G_r} \lambda$ , and set  $Z(r)' = \operatorname{Ind}_{B_r}^{G_r} \lambda$ . Then  $\dim Z_r(\lambda) = \dim Z'_r(\lambda) = p^{r|\Phi^+|}$ . We'll then think of

- Coind  $\rightarrow L_r(\lambda)$  being in the head,
- $L_r(\lambda) \hookrightarrow \text{Ind being the socle.}$

Note that the dimensions aren't known, nor are the projective covers or injective hulls.

We have a form of translation invariance, namely

$$Z_r(\lambda + p^r \nu) = Z_r(\lambda) \qquad \forall \nu \in X(T)$$
  
 $Z'_r(\lambda + p^r \nu) = Z'_r(\lambda) \qquad \forall \nu \in X(T).$ 

### Proposition 8.2(?).

Let  $\lambda \in X(T)$ .

- 1.  $Z_r(\lambda)\downarrow_{B_r}$  is the projective cover of  $\lambda$  and the injective hull of  $\lambda 2(p^r 1)\rho$ .
- 2.  $Z'_r(\lambda)\downarrow_{B^{\pm}}$  is the injective hull of  $\lambda$  and the projective hull of  $\lambda 2(p^r 1)\rho$ .

# 9 | Monday, September 21

Let G be a reductive algebraic group scheme,  $k = \overline{\mathbb{F}}_p$  with p > 0, equipped with the Frobenius map  $F: G \to G$  with  $F^r$  its r-fold composition. We defined Frobenius kernels  $G_r := \ker F^r$ , which are in correspondence with the cocommutative Hopf algebras  $\operatorname{Dist}(G_r)$ .

Goal: We want to classify simple  $G_r$ -modules, and to do this we'll use socles.

We have a maximal torus  $T \subseteq G$  and thus  $T_r \subseteq G_r$  after acting by Frobenius. This yields a SES

$$0 \to p_r X(T) \to X(T) \to X(T)/p^r X(T) = X(T_r) \to 0.$$

How to think about this: take  $\lambda \in X(T_r)$ , then we can write  $\lambda = \lambda + p^r \sigma$  in  $X(T_r)$  for some other weight  $\sigma \in X(T)$ . We'll define the "baby Verma modules"

$$Z_r(\lambda) := \operatorname{Coind}_{B_r^+}^{G_r} \lambda$$
  
 $Z'_r(\lambda) := \operatorname{Ind}_{B_r^+}^{G_r} \lambda,$ 

and we have dim  $Z_r(\lambda) = \dim Z'_r(\lambda) = p^{r|\Phi^+|}$ .

## Proposition 9.1(?).

Let  $\lambda \in X(T)$  be a weight.

- 1.  $Z_r(\lambda) \downarrow_{B_r}$  is the projective cover of  $\lambda$  and the injective hull of  $\lambda 2(p^r 1)\rho$ .
- 2.  $Z'_r(\lambda) \downarrow_{B_r^+}$  is the injective hull of  $\lambda$  and the projective cover of  $\lambda 2(p^r 1)\rho$ .

Note the latter are  $T_r$ -modules, so we let  $U^+$  act trivially.

Proof (of 1).

What we need to do:

- 1. Show  $Z_r(\lambda) \downarrow_{B_r}$  is projective.
- 2. Show  $Z_r(\lambda)$  is the smallest projective module such that  $Z_r(\lambda) \rightarrow \lambda$ .

For (1), we can write

$$\operatorname{Dist}(G_r) = \operatorname{Dist}(U_r^+)\operatorname{Dist}(B_r) = \operatorname{Dist}(B_r^+)\operatorname{Dist}(U_r),$$

and so

$$Z_r(\lambda) = \operatorname{Coind}_{B_r^+}^{G_r} \lambda$$

$$= \left(\operatorname{dist}(G_r) \otimes_{\operatorname{Dist}(B_r)} \lambda\right) \downarrow_{B_r^+}$$

$$= \operatorname{Dist}(U_r^+) \otimes \lambda$$

$$= \operatorname{Dist}(B_r^+) \otimes_{\operatorname{Dist}(T_r)} \lambda$$

$$= \operatorname{Coind}_{T_r}^{B_r^+} \lambda.$$

Why is this projective? Look at cohomology, suffices to show that higher Exts vanish. So consider

$$\operatorname{Ext}_{B_r^+}^n(\operatorname{Coind}_{T_r}^{B_r^+}, M) = \operatorname{Ext}_{T_r}^n(\lambda, M)$$
 by Frobenius reciprocity
$$= 0 \quad \text{for } n \geq 0,$$

since representations for  $T_r$  are completely reducible, and we've used the fact that  $\operatorname{Coind}_{T_r}^{B_r^+}(\cdot)$  is exact.

Note: general algebra fact that higher exts vanish for projective modules.

For (2), we can write

$$\begin{aligned} \hom_{B_r^+}(Z_r(\lambda),\mu) &= \hom_{B_r^+}(\operatorname{Coind}_{T_r}^{B_r^+} \lambda,\mu) \\ &= \hom_{T_r}(\lambda,\mu) \quad \text{by Frobenius reciprocity} \\ &= \begin{cases} k \& \lambda = \mu \\ 0 \& \text{else.} \end{cases} \end{aligned}$$

Thus  $Z_r(\lambda)/\mathrm{rad}\ Z_r(\lambda) \downarrow B_r^+ = \lambda$ .

If we now write  $A = \operatorname{Dist}(B_r^+)$  and  $\mathfrak{g} = \mathfrak{n}^+ \oplus t \oplus \mathfrak{n}$  with  $\mathfrak{b}^+ := \mathfrak{n}^+ \oplus t$ ,

$$\sum_{S} (\dim P(S))(\dim(S))$$

$$= \sum_{\lambda \in X(T_r)} (\dim Z_r(\lambda))(\dim \lambda)$$

$$= \sum_{\lambda \in X(T_r)} p^{r|\Phi^+|} \cdot 1$$

$$= |X(T_r)|p^{r|\Phi^+|}$$

$$= p^{rn}p^{r|\Phi^+|} \qquad n = \dim t$$

$$= p^{r\dim \mathfrak{b}^+}$$

$$= \dim A$$

# **9.1** Simple *G*-modules

We know that after taking fixed points,  $Z_r(\lambda)^{U_r}$  and  $Z'_r(\lambda)^{U_r^+}$  are one-dimensional, and thus

$$Z_r(\lambda)/\operatorname{rad} Z_r(\lambda) \cong L_r(\lambda)$$
  $\operatorname{Soc}_{G_r} Z'_r(\lambda) = L_r(\lambda)$ 

following the same argument considering  $H_0(\lambda)$ .

For any  $\lambda \in X(T_r)$  we have  $0 \neq L_r = \operatorname{Soc}_{G_r} Z'_r(\lambda)$ . By the one-dimensionality above, we know

$$L_r(\mu) = L_r(\lambda) \iff \lambda = \mu \in X(T_r).$$

Letting N be a simple  $G_r$ -module, we can consider it as a  $B_r$ -module, and the simple  $B_r$ -modules are one dimensional and obtained from simple  $T_r$ -modules. We then know that for some  $\lambda \in X(T_r)$ ,

$$0 \neq \hom_{B_r}(N, \lambda)$$

$$= \hom_{G_r}(N, \operatorname{Ind}_{B_r}^{G_r} \lambda),$$

which implies that  $N \hookrightarrow \operatorname{Ind}_{B_r}^{G_r} \lambda = Z'_r(\lambda)$  as a submodule, and thus  $N = L_r(\lambda)$ .

# Theorem 9.2 (Main Theorem).

Let  $\Lambda$  be a set of representatives of  $XX(T)/p^rX(T)\cong X(T_r)$ . Then there exists a one-to-one correspondence

$$\Lambda \iff \{L_r(\lambda)\lambda \in \Lambda\},\,$$

where the RHS are simple  $G_r$ -modules.

How to think about this: restricted regions. Choose dominant weights as representatives

$$X_r(T) = \left\{ \lambda \in X(T)_+ \mid 0 \le \langle \lambda, \alpha^{\vee} \rangle < p^r \, \forall \alpha \in \Delta \right\}$$
$$= \left\{ \lambda \in X(T)_+ \mid \lambda = \sum_{i=1}^{\ell} n_i w_i, \, 0 \le n_j \le p^r - 1 \, \forall j \right\}$$

Pictures:

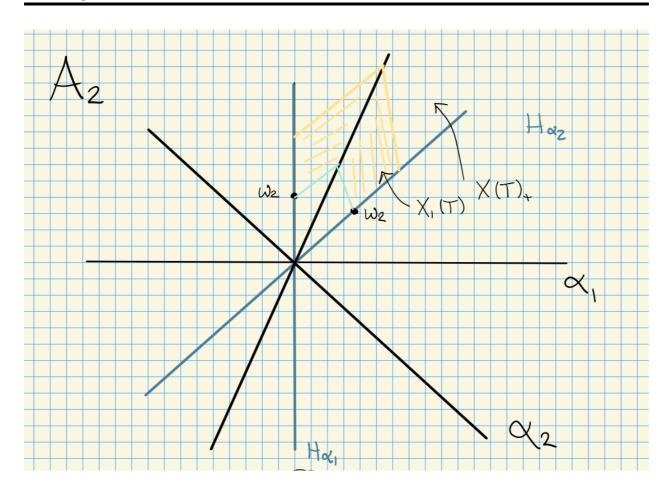


Figure 10: Root systems, chambers formed by dominant weights

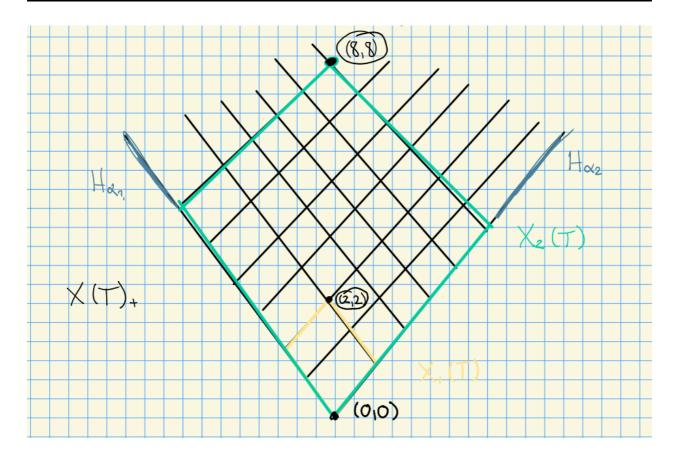


Figure 11: Restricted regions

Some facts:

If  $\lambda \in X(T)_+$ , then  $L(\lambda)$  is a simple G-module.

**Question 1**: What happens when we restrict  $L(\lambda) \downarrow_{G_r}$ ?

**Answer**: This remains irreducible over  $G_r$  iff  $\lambda \in X_r(T)$ , i.e. if  $L(\lambda) \downarrow_G \cong L_r(\lambda)$  when  $\lambda \in X_r(T)$ .

Question 2: Given  $L(\lambda)$  for  $\lambda \in X(T)_+$ , can we express  $L(\lambda)$  in terms of simple  $G_r$ -modules?

Answer: Yes, can be formulated in terms of Steinberg's twisted tensor product.