CRAG

Garza

Generating Functions

# CRAG

The Weil Conjectures

D. Zack Garza

April 2020

CDAG

D. Zack Garza

Background Benerating Functions

Zeta Functions

Background: Generating Functions

Fix q a prime and  $\mathbb{F} := \mathbb{F}_q$  the (unique) finite field with q elements, along with its (unique) degree n extensions

$$\mathbb{F}_{q^n} = \left\{ x \in \overline{\mathbb{F}}_q \mid x^{q^n} - x = 0 \right\} \quad \forall \ n \in \mathbb{Z}^{\geq 2}$$

#### Definition (Projective Algebraic Varieties)

Let  $J = \langle f_1, \dots, f_M \rangle \leq k[x_0, \dots, x_n]$  be an ideal, then a *projective algebraic* variety  $X \subset \mathbb{P}^n_{\mathbb{F}}$  can be described as

$$X = V(J) = \left\{ \mathbf{x} \in \mathbb{P}^n_{\mathbb{F}} \mid f_1(\mathbf{x}) = \cdots = f_M(\mathbf{x}) = \mathbf{0} \right\}$$

where J is generated by homogeneous polynomials in n+1 variables, i.e. there is a fixed  $d=\deg f_i\in\mathbb{Z}^{\geq 1}$  such that

$$f(\mathbf{x}) = \sum_{\substack{\mathbf{i} = (i_1, \cdots, i_n) \\ \sum_i i_i = d}} \alpha_{\mathbf{i}} \cdot x_0^{i_1} \cdots x_n^{i_n} \quad \text{ and } \quad f(\lambda \cdot \mathbf{x}) = \lambda^d f(\mathbf{x}), \, \lambda \in \mathbb{F}^{\times}.$$

- For a fixed variety X, we can consider its  $\mathbb{F}$ -points  $X(\mathbb{F})$ .
  - Note that  $\#X(\mathbb{F})<\infty$  is an integer
- For any  $L/\mathbb{F}$ , we can also consider X(L)
  - In particular, we can consider  $X(\mathbb{F}_{q^n})$  for any  $n \geq 2$ .
  - We again have  $\#X(\mathbb{F}_{q^n}) < \infty$  and are integers for every such n.
- So we can consider the sequence

$$[N_1, N_2, \cdots, N_n, \cdots] := [\#X(\mathbb{F}), \#X(\mathbb{F}_{q^2}), \cdots, \#X(\mathbb{F}_{q^n}), \cdots].$$

 Idea: associate some generating function (a formal power series) encoding sequence, e.g.

$$F(z) = \sum_{n=1}^{\infty} N_n z^n = N_1 z + N_2 z^2 + \cdots$$

## Why Generating Functions?

CRAG

D. Zac Garza

Background Generating Functions

Zeta Functions Note that for such an ordinary generating functions, the coefficients are related to the real-analytic properties of F: we can easily recover the coefficients in the following way:

$$[z^n] \cdot F(z) = [z^n] \cdot T_{F,z=0}(z) = \frac{1}{n!} \left(\frac{\partial}{\partial z}\right)^n F(z) \bigg|_{z=0} = N_n.$$

They are also related to the complex analytic properties: using the Residue theorem,

$$[z^n] \cdot F(z) := \frac{1}{2\pi i} \oint_{\mathbb{S}^1} \frac{F(z)}{z^{n+1}} dz = \frac{1}{2\pi i} \oint_{\mathbb{S}^1} \frac{N_n}{z} dz = N_n.$$

The latter form is very amenable to computer calculation.

### Why Generating Functions?

CRAG

D. Zack Garza

Background Generating Functions

Zeta Functions An OGF is an infinite series, which we can interpret as an analytic function  $\mathbb{C} \longrightarrow \mathbb{C}$  – in nice situations, we can hope for a closed-form representation.

A useful example: by integrating a geometric series we can derive

$$\frac{1}{1-z} = \sum_{n=0}^{\infty} z^n \qquad (= 1+z+z^2+\cdots)$$

$$\implies \int \frac{1}{1-z} = \int \sum_{n=0}^{\infty} z^n$$

$$= \sum_{n=0}^{\infty} \int z^n \quad for|z| < 1 \quad \text{by uniform convergence}$$

$$= \sum_{n=0}^{\infty} \frac{1}{n+1} z^{n+1}$$

$$\implies -\log(1-z) = \sum_{n=1}^{\infty} \frac{z^n}{n} \qquad \left(= z + \frac{z^2}{2} + \frac{z^3}{3} + \cdots\right).$$

For completeness, also recall that

$$\exp(z) := \sum_{n=0}^{\infty} \frac{z^n}{n!}$$

CBAC

D. Zack Garza

Background Generating Functions

Zeta Functions

### Zeta Functions

#### Definition: Local Zeta Function

CRAG

D. Zack Garza

Functions
Zeta
Functions

Problem: count points of a (smooth?) projective variety  $X/\mathbb{F}$  in all degree n extensions of  $\mathbb{F}$ .

#### Definition (Local Zeta Function)

The *local zeta function* of X is the following formal power series:

$$Z_X(z) = \exp\left(\sum_{n=1}^{\infty} N_n \frac{z^n}{n}\right) \in \mathbb{Q}[[z]] \text{ where } N_n := \#X(\mathbb{F}_n).$$

Note that

$$z\left(\frac{\partial}{\partial z}\right)\log Z_X(z) = z\frac{\partial}{\partial z}\left(N_1z + N_2\frac{z^2}{2} + N_3\frac{z^3}{3} + \cdots\right)$$

$$= z\left(N_1 + N_2z + N_3z^2 + \cdots\right) \qquad \text{(unif. conv.)}$$

$$= N_1z + N_2z^2 + \cdots = \sum_{n=1}^{\infty} N_nz^n,$$

which is an *ordinary* generating function for the sequence  $(N_n)$ .

## Simple but Useful Example: A Point

#### CRAG

D. Zack Garza

Functions
Zeta
Functions

Take  $X = \{x = 0\} / \mathbb{F}$  a single point over  $\mathbb{F}$ , then

$$\#X(\mathbb{F}) \coloneqq \alpha_1 = 1$$
 $\#X(\mathbb{F}_2) \coloneqq \alpha_2 = 1$ 
 $\vdots$ 
 $\#X(\mathbb{F}_n) \coloneqq \alpha_n = 1$ 
 $\vdots$ 

and so

$$Z_{\{pt\}}(z) = \exp\left(1 \cdot z + 1 \cdot \frac{z^2}{2} + 1 \cdot \frac{z^3}{3} + \cdots\right)$$
$$= \exp\left(-\log\left(1 - z\right)\right)$$
$$= \frac{1}{1 - z}.$$