# **Title**

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Tuesday 25<sup>th</sup> August, 2020

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# 1 Tuesday, August 25

Let  $k = \bar{k}$  and R a ring containing ideals I, J.

# Definition 1.0.1 (Radical).

Recall that the radical of I is defined as

$$\sqrt{I} = \left\{ r \in R \ \middle|\ r^k \in I \text{ for some } k \in \mathbb{N} \right\}.$$

### Example 1.1.

Let  $I = (x_1, x_2^2) \subset \mathbb{C}[x_1, x_2]$ , so  $I = \{f_1x_1 + f_2x_2 \mid f_1, f_2 \in \mathbb{C}[x_1, x_2]\}$ . Then  $\sqrt{I} = (x_1, x_2)$ , since  $x_2^2 \in I \implies x_2 \in \sqrt{I}.$ 

Given  $f \in k[x_1, \dots, x_n]$ , take its value at  $a = (a_1, \dots, a_n)$  and denote it f(a). Set  $\deg(f)$  to be the largest value of  $i_1 + \cdots + i_n$  such that the coefficient of  $\prod x_j^{i_j}$  is nonzero.

## Example 1.2.

 $\deg(x_1 + x_2^2 + x_1 x_2^3 = 4)$ 

## **Definition 1.0.2** (Affine Variety).

- 1. Affine *n*-space  $\mathbb{A}^n = \mathbb{A}^n_k$  is defined as  $\{(a_1, \dots, a_n) \mid a_i \in k\}$ .
  - Remark: not  $k^n$ , since we won't necessarily use the vector space structure (e.g. adding
- points).

  2. Let  $S \subset k[x_1, \dots, x_n]$  to be a set of polynomials.  $\{x \in \mathbb{A}^n \mid f(x) = 0\} \subset \mathbb{A}^n$  to be an affine variety. Then define V(S)

## Example 1.3.

- $\mathbb{A}^n = V(0)$ .
- For any point  $(a_1, \dots, a_n) \in \mathbb{A}^n$ , then  $V(x_1 a_1, \dots, x_n a_n) = \{a_1, \dots, a_n\}$  uniquely determines the point.
- For any finite set  $r_1, \dots, r_k \in \mathbb{A}^1$ , there exists a polynomial f(x) whose roots are  $r_i$ .

#### Remark 1.

We may as well assume S is an ideal by taking the ideal it generates,  $S \subseteq \langle S \rangle = \left\{ \sum g_i f_i \mid g_i \in k[x_1, \cdots, x_n], \ f_i \in S \right\}$ . Then  $V(\langle S \rangle) \subset V(S)$ .

Conversely, if  $f_1, f_2$  vanish at  $x \in \mathbb{A}^n$ , then  $f_1 + f_2, gf_1$  also vanish at x for all  $g \in k[x_1, \dots, x_n]$ . Thus  $V(S) \subset V(\langle S \rangle)$ .

### Lemma 1.1.

- 1. If  $S_1 \subseteq S_2$  then  $V(S_1) \subseteq V(S_2)$ . 2.  $V(S_1 \cup S_2) = V(S_1S_2) = V(S_1) \cap V(S_2)$ .

We thus have a map

 $V: \{ \text{Ideals in } k[x_1, \cdots, x_n] \} \longrightarrow \{ \text{Affine varieties in } \mathbb{A}^n \}.$ 

#### **Definition 1.1.1** (The Ideal of a Set).

Let  $X \subset \mathbb{A}^n$  be any set, then the ideal of X is defined as

$$I(X) := \left\{ f \in k[x_1, \cdots, x_n] \mid f(x) = 0 \,\forall x \in X \right\}.$$

#### Example 1.4.

Let X be the union of the  $x_1$  and  $x_2$  axes in  $\mathbb{A}^2$ , then  $I(X) = (x_1x_2) = \{x_1x_2g \mid g \in k[x_1, x_2]\}$ .

Note that if  $X_1 \subset X_2$  then  $I(X_1) \subset I(X_2)$ .

# Proposition 1.2(The Image of V is Radical).

I(X) is a radical ideal, i.e.  $I(X) = \sqrt{I(X)}$ .

This is because  $f(x)^k = 0 \forall x \in X$  implies f(x) = 0 for all  $x \in X$ , so  $f^k \in I(X)$  and thus  $f \in I(X)$ .

Our correspondence is thus

$$\left\{ \text{Ideals in } k[x_1, \cdots, x_n] \right\} \xrightarrow{V} \left\{ \text{Affine Varieties} \right\}$$

$$\left\{ \text{Radical Ideals} \right\} \xleftarrow{I} \left\{ ? \right\}.$$

## Proposition 1.3(Hilbert Nullstellensatz (Zero Locus Theorem)).

- a. For any affine variety X, V(I(X)) = X.
- b. For any ideal  $J \subset k[x_1, \cdots, x_n], I(V(J)) = \sqrt{J}$ .

Thus there is a bijection between radical ideals and affine varieties.

#### 1.1 Proof of Nullstellensatz

#### Remark 2.

Recall the Hilbert Basis Theorem: any ideal in a finitely generated polynomial ring over a field is again finitely generated.

We need to show 4 inclusions, 3 of which are easy.

- a:  $X \subset V(I(X))$ :
  - If  $x \in X$  then f(x) = 0 for all  $f \in I(X)$ .
  - So  $x \in V(I(X))$ , since every  $f \in I(X)$  vanishes at x.

b:  $\sqrt{J} \subset I(V(J))$ :

- If  $f \in \sqrt{J}$  then  $f^k \in J$  for some k.
- Then  $f^k(x) = 0$  for all  $x \in V(J)$ .
- So f(x) = 0 for all  $x \in V(J)$ .
- Thus  $f \in I(V(J))$ .

c:  $V(I(X)) \subset X$ :

- Need to now use that X is an affine variety.
  - Counterexample:  $X = \mathbb{Z}^2 \subset \mathbb{C}^2$ , then I(X) = 0. But  $V(I(X)) = \mathbb{C}^2$ , but  $\mathbb{C}^2 \not\subset \mathbb{Z}^2$ .
- By (b),  $I(V(J)) \supset \sqrt{J} \supset J$ .
- Since  $V(\cdot)$  is order-reversing, taking V of both sides reverses the containment.
- So  $V(I(V(J))) \subset V(J)$ , i.e.  $V(I(X)) \subset X$ .

d:  $I(V(J)) \subset \sqrt{J}$  (hard direction)

#### Theorem 1.4(1st Version of Nullstellensatz).

Suppose k is algebraically closed and uncountable (still true in countable case by a different proof).

Then the maximal ideals in  $k[x_1, \dots, x_n]$  are of the form  $(x_1 - a_1, \dots, x_n - a_n)$ .

#### Proof.

Let  $\mathfrak{m}$  be a maximal ideal, then by the Hilbert Basis Theorem,  $\mathfrak{m} = \langle f_1, \dots, f_r \rangle$  is finitely generated.

Let  $L = \mathbb{Q}[\{c_i\}]$  where the  $c_i$  are all of the coefficients of the  $f_i$  if char (K) = 0, or  $\mathbb{F}_p[\{c_i\}]$  if char (k) = p. Then  $L \subset k$ .

Define  $\mathfrak{m}_0 = \mathfrak{m} \cap L[x_1, \dots, x_n]$ . Note that by construction,  $f_i \in \mathfrak{m}_0$  for all i, and we can write  $\mathfrak{m} = \mathfrak{m}_0 \cdot k[x_1, \dots, x_n]$ .

Claim:  $\mathfrak{m}_0$  is a maximal ideal.

If it were the case that

$$\mathfrak{m}_0 \subsetneq \mathfrak{m}'_0 \subsetneq L[x_1, \cdots, x_n],$$

then

$$\mathfrak{m}_0 \cdot k[x_1, \cdots, x_n] \subseteq \mathfrak{m}'_0 \cdot k[x_1, \cdots, x_n] \subseteq k[x_1, \cdots, x_n].$$

So far: constructed a smaller polynomial ring and a maximal ideal in it.

Thus  $L[x_1, \dots, x_n]/\mathfrak{m}_0$  is a field that is finitely generated over either  $\mathbb{Q}$  or  $\mathbb{F}_p$ .

### Theorem 1.5 (Noether Normalization).

Any finitely-generated field extension  $k_1 \hookrightarrow k_2$  is a finite extension of a purely transcendental extension, i.e. there exist  $t_1, \dots, t_\ell$  such that  $k_2$  is finite over  $k_1(t_1, \dots, t_\ell)$ .

Thus  $L[x_1, \dots, x_n]/\mathfrak{m}_0$  is finite over some  $\mathbb{Q}(t_1, \dots, t_n)$ , and since k is uncountable, there exists an embedding  $\mathbb{Q}(t_1, \dots, t_n) \hookrightarrow k$ .

Use the fact that there are only countably many polynomials over a countable field.

This extends to an embedding of  $\varphi: L[x_1, \dots, x_n]/\mathfrak{m}_0 \hookrightarrow k$  since k is algebraically closed. Letting  $a_i$  be the image of  $x_i$  under  $\varphi$ , then  $f(a_1, \dots, a_n) = 0$  by construction,  $f_i \in (x_i - a_i)$  implies that  $\mathfrak{m} = (x_i - a_i)$  by maximality.