

# Title

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# 1 | Lecture 14: Monday, November 02

Recall that the *Hasse-Weil zeta function* of a one-variable function field  $K/\mathbb{F}_q$  over a finite ground field is defined in the following way: let  $A_n = A_n(K)$  be the number of effective divisors of degree  $n$ . We have proved that  $A_n$  is finite, and for  $n > 2g - 2$  we have a formula

$$Z(t) = \sum_{n=0}^{\infty} A_n t^n = \sum_{D \in \text{Div}^+(K)} t^{\deg(D)} \in \mathbb{Z}[[t]],$$

which is a formal power series with integer coefficients.

*Remark 1.0.1* : Recall that we have proved that it is a rational function of  $t$ , and in particular when  $g = 0, \delta = 1$ <sup>1</sup> we get

$$Z(t) = \frac{1}{(1 - qt)(1 - t)}.$$

We got another expression which isn't fantastic: it involves this  $\delta$ , which we'll work toward proving is equal to 1. When  $g > 1$ , we broke the zeta function into two pieces  $Z(t) = F(t) + G(t)$ . For divisors of sufficiently high degree, Riemann-Roch tells you what the dimension of the Riemann-Roch space is, and  $G(t)$  explains the part coming from divisors of large degree. We obtained a formula previously for  $F(t)$  and  $G(t)$ , and once we show  $\delta = 1$  the formula for  $G$  will simplify. For  $F(t)$ , we specifically had

$$F(t) = \frac{1}{q-1} \sum_{0 \leq \deg(c) \leq 2g-2} q^{\ell(c)} t^{\deg(c)},$$

where the sum is over divisor classes and  $\ell$  is the dimension of linear system corresponding to a divisor. But this isn't a great formula: what are these classes, how many are in each degree, and what is the dimension of the Riemann-Roch space?

*Remark 1.0.2* : This is analogous to the Dedekind zeta function of a number field  $K$ , in which case

$$\zeta_K(s) = \sum_{T \in \ell(\mathbb{Z}_K)} |\mathbb{Z}_K/I|^{-s},$$

which will be covered in a separate lecture on *Serre zeta functions*.

## **Theorem 1.0.1 (F.K. Schmidt).**

For all  $K/\mathbb{F}_q$ , we have  $\delta = I(K) = 1$  where  $I$  is the index.

This will follow from the associated, but it much weaker. However, this is one of the facts we'd like to establish to use to *prove* the Riemann hypothesis.

<sup>1</sup>The *index* of the function field, least positive degree of a divisor.

*Remark 1.0.3* : Pete studied this in 2004 and found that every  $I \in \mathbb{Z}^+$  arises as the index of a genus one function field  $K/\mathbb{Q}$ .

Notation: for  $n \in \mathbb{Z}^+$ , let  $\mu_n$  denote the  $n$ th roots of unity in  $\mathbb{C}$ .

**Lemma 1.1(?)**.

For  $m, r \in \mathbb{Z}^+$ , set  $d := \gcd(m, r)$ . Then

$$\left(1 - t^{mr/d}\right)^d = \prod_{\xi \in \mu_r} 1 - (\xi t)^m.$$

*Proof (?)*.

In  $\mathbb{C}[x]$ , we have

$$(X^{r/1} - 1)^d = \prod_{\xi \in \mu_r} (X - \xi^m),$$

where both sides are monic polynomials whose roots include the  $(r/d)$ th roots of unity, each with multiplicity  $d$ . On the LHS, the distinct roots are the  $r/d$ th roots of unity, then raising to the  $d$ th power gives them multiplicity  $d$ . On the RHS, this is an exercise in cyclic groups: consider the  $n$ th power map on  $\mathbb{Z}/r\mathbb{Z}$  and compute its image and kernel. As  $\xi$  ranges over  $r$ th roots of unity,  $\xi^m$  ranges over all  $r/d$ th roots of unity, each occurring with multiplicity  $d$ . Substituting  $X = t^{-m}$  and multiplying both sides by  $t^r$  yields the original result.

Special case: set  $m = r$ , so  $d = r$ , then the RHS is  $r$  copies of 1. ■

Next up, we want to compare the zeta function  $Z(t)$  for a function field over  $\mathbb{F}_q$  to the zeta function obtained when extending scalars to  $\mathbb{Q}^r$ .

**Proposition 1.0.1(?)**.

Let  $K/\mathbb{F}_q$  be a function field,  $r \in \mathbb{Z}^+$ , and take the compositum  $K_r$  of  $K$  and  $\mathbb{F}_q^r$  viewed as a function field over  $\mathbb{F}_q^r$ . Let  $Z(t)$  be the zeta function of  $K/\mathbb{F}_q$  and  $Z_r(t)$  the zeta function of  $K_r/\mathbb{F}_q^r$ . Then

$$Z_r(t^r) = \prod_{q \in \mu_r} Z(qt).$$

*Proof (?)*.

We have an Euler product formula

$$Z(t) = \prod_{p \in \Sigma(K/\mathbb{F}_q)} (1 - t^{\deg(p)})^{-1}.$$

where the sum is over places of the function field.

Proving this Euler product formula might show up in a separate lecture, but it is not any more difficult than proving it for the Riemann zeta function.

*Exercise 1.0.1 (?)*: Why is this product expansion true? Write as a geometric series with ratio  $t^{\deg(p)}$ . Here just expand each summand to get

$$Z(t) = \prod_p \sum_{j=1}^{\infty} t^{j \deg(p)}.$$

Multiplying this out and collecting terms is in effect multiplying out the prime divisors to get effective divisors.

We use the result that was stated (but not proved): If  $p \in \Sigma_m(K/\mathbb{F}_q)$  is a degree  $n$  place and  $r \in \mathbb{Z}^+$ , then there exist precisely  $d := \gcd(m, r)$  places  $p^r$  of  $K_r$  lying over  $p$ . Moreover, each place  $p^r$  has degree  $m/d$ . ■