# Weil Conjectures

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## 1 Notes from Daniel's Office Hours

- 0. Definition of Zeta functions
- 1. Statement of the conjectures
- 2. Easy examples:  $\mathbb{P}^n_{\exists}$ ,  $\operatorname{Gr}_{\exists}(k,n) = \operatorname{GL}(n,\exists)/P$  the stabilizer of an  $\exists$ -point in  $\mathbb{C}^n$ ,  $\mathbb{F}_{p^n}$ .
- 3. Medium example:  $E/\mathbb{k}$  an elliptic curve.
- 4. Work out a harder example as in Weil

#### References

- http://www-personal.umich.edu/~mmustata/zeta\_book.pdf
- https://youtu.be/wEz7fCvK6sM?t=293
- Explanation of exponential appearing
- https://arxiv.org/pdf/1807.10812.pdf
- http://www.math.canterbury.ac.nz/~j.booher/expos/weil\_conjectures.pdf
- Weil's Paper

## 1.1 Definition of Zeta Function

Fix q a prime and  $\mathbb{F} := \mathbb{F}_q$  the finite field with q elements, along with its unique degree n extensions

$$\mathbb{F}_n := \mathbb{F}_{q^n} = \left\{ x \in \overline{\mathbb{F}}_p \mid x^{q^n} - x = 0 \right\} \quad \forall \ n \in \mathbb{Z}^{\geq 2}$$

#### Definition 1.0.1.

Let

$$J = \langle f_1, \cdots, f_M \rangle \le k[x_0, \cdots, x_n]$$

be an ideal, then a projective~algebraic variety  $X\subset \mathbb{P}^N_{\mathbb{F}}$  can be given by

$$X = V(J) = \left\{ \mathbf{x} \in \mathbb{P}_{\mathbb{F}}^{\infty} \mid f_1(\mathbf{x}) = \dots = f_M(\mathbf{x}) = \mathbf{0} \right\}$$

where an ideal generated by homogeneous polynomials in n+1 variables, i.e. there is some fixed  $d \in \mathbb{Z}^{\geq 1}$  such that

$$f(\mathbf{x}) = \sum_{\substack{\mathbf{I} = (i_1, \dots, i_n) \\ \sum_i i_j = d}} \alpha_{\mathbf{I}} \cdot x_0^{i_1} \cdots x_n^{i_n} \quad \text{and} \quad f(\lambda \cdot \mathbf{x}) = \lambda^d f(\mathbf{x}).$$

For the experts: we can take a reduced (possibly reducible) scheme of finite type over a field  $\mathbb{F}_p$ . We will be thinking of K-valued points for  $K/\mathbb{F}_p$  algebraic extensions. From the audience: what condition do we need to put on such a scheme to guarantee an embedding into  $\mathbb{P}^{\infty}$ ?

#### Examples:

• Dimension 1: Curves

• Dimension 2: Surfaces

• Codimension 1: Hypersurfaces

Fix  $X/\mathbb{F} \subset \mathbb{P}$  an N-dimensional projective algebraic variety, and say it's cut out by the equations  $f_1, \dots, f_M \in \mathbb{F}[x_0, \dots, x_n]$ . Note that it then has points in any finite extension L/K.

## Definition 1.0.2.

Define the local zeta function of X the following formal power series:

$$\zeta_X(z) = \exp\left(\sum_{n=1}^{\infty} \alpha_n \frac{z^n}{n}\right) \in \mathbb{Q}[[z]] \text{ where } \alpha_n := \#X(\mathbb{F}_n).$$

Concretely, for  $X \subset \mathbb{P}^M$  a variety cut out by  $\{f_i\} \subset \mathbb{F}[x_0, \cdots, x_M]$  we are measuring the sizes of the sets

$$\alpha_n \coloneqq \# \left\{ \mathbf{x} \in \mathbb{P}^M_{\mathbb{F}_{q^n}} \mid f_i(\mathbf{x}) = \mathbf{0} \ \forall i \right\}.$$

Note the following two properties:

$$\zeta_X(0) = 1$$

$$z\left(\frac{\partial}{\partial z}\right)\log\zeta_X(z) = t\left(\frac{\zeta_X'(z)}{\zeta_X(z)}\right) = \sum_{n=1}^{\infty} \alpha_n z^n = \alpha_1 z + \alpha_2 z^2 + \cdots,$$

which is an ordinary generating function for the sequence  $(\alpha_n)$ .

Todo: why not an OGF.

Remark: Note that for an OGF  $F(x) = \sum_{n=0}^{\infty} f_n x^n$ , we can extract coefficients in the following way:

$$f_n := [x^n]F(x) = [x^n]T_{F,0}(x) = \frac{1}{n!} \left(\frac{\partial}{\partial x}\right)^n F(x) \Big|_{x=0}.$$

Using the Residue theorem, we can also extract in the following way:

$$[x^n]F(x) = \frac{1}{2\pi i} \oint_{\mathbb{S}^1} \frac{F(z)}{z^{n+1}}.$$

Note: this is extremely amenable to numerical approximation if you have a closed form for F or even just a black-box numerical version of F! I.e. easy to throw at a computer.

## 1.1.1 Simple but Useful Example: A Point

Take  $X = \{x = 0\} / \mathbb{F}$  a single point over  $\mathbb{F}$ , then

$$\#X(\mathbb{F}) \coloneqq \alpha_1 = 1$$
  
 $\#X(\mathbb{F}_2) \coloneqq \alpha_2 = 1$   
 $\vdots$   
 $\#X(\mathbb{F}_n) \coloneqq \alpha_n = 1$   
 $\vdots$ 

Recall that by integrating a geometric series we can derive

$$\frac{1}{1-z} = \sum_{n=0}^{\infty} z^n = 1 + z + z^2 + \cdots$$

$$\int \frac{1}{1-z} = \int \sum_{n=0}^{\infty} z^n = \sum_{n=0}^{\infty} \int z^n = \sum_{n=0}^{\infty} \frac{1}{n+1} z^{n+1} = z + \frac{1}{2} z^2 + \frac{1}{3} z^3 + \cdots$$

$$\implies -\log(1-z) = \sum_{n=1}^{\infty} \frac{z^n}{n}.$$

and so

$$\zeta_{\{\text{pt}\}}(z) = \exp\left(1 \cdot z + 1 \cdot \frac{z^2}{2} + 1 \cdot \frac{z^3}{3} + \cdots\right)$$

$$= \exp\left(-\log(1 - z)\right)$$

$$= \frac{1}{1 - z}.$$

#### 1.2 Statement of Weil Conjectures

(Weil 1949)

Let X be a smooth projective variety of dimension N over  $\mathbb{F}_q$  for q a prime and let  $\zeta_X(z)$  be its zeta function.

1. (Rationality)

 $\zeta_X(z)$  is a rational function:

$$\zeta_X(z) = \frac{p_1(z) \cdot p_3(z) \cdots p_{2N-1}(z)}{p_0(z) \cdot p_2(z) \cdots p_{2N}(z)} \in \mathbb{Q}(z), \quad \text{i.e.} \quad p_i(z) \in \mathbb{Z}[z]$$

$$P_0(z) = 1 - z$$

$$P_{2N}(z) = 1 - q^N z$$

$$P_j(z) = \prod_{j=1}^{\beta_i} (1 - a_{j,k}z) \quad \text{for some reciprocal roots} \quad a_{j,k} \in \mathbb{C}$$

where we've factored each  $P_i$  using its reciprocal roots  $a_{ij}$ .

We thus have a simplified expression:

$$\zeta_X(z) = \left(\frac{1}{1-z}\right) \cdot \frac{(1-\alpha_{1,1}z)(1-\alpha_{1,2}z)\cdots(1-\alpha_{1,\beta_1}z)}{(1-\alpha_{2,1}z)(1-\alpha_{2,2}z)\cdots(1-\alpha_{2,\beta_2}z)} \cdots \\
\cdot \frac{(1-\alpha_{2N-1,1}z)(1-\alpha_{2N-1,2}z)\cdots(1-\alpha_{2N-1,\beta_{2N-1}}z)}{(1-\alpha_{2N-2,1}z)(1-\alpha_{2N-2,2}z)\cdots(1-\alpha_{2N-2,\beta_{2N-2}}z)} \left(\frac{1}{1-q^Nz}\right).$$

2. (Functional Equation and Poincare Duality)

Let  $\chi(X)$  be the Euler characteristic of X, i.e. the self-intersection number of the diagonal embedding  $\Delta \hookrightarrow X \times X$ ; then  $\zeta_X(z)$  satisfies the following functional equation:

$$\zeta_X \left( \frac{1}{q^N z} \right) = \pm \left( q^{\frac{N}{2}} z \right)^{\chi(X)} \zeta_X(z).$$

Equivalently,

$$\zeta_X(N-z) = \pm q^{\chi(X)\cdot \left(\frac{n}{2}-2\right)} \zeta_X(z).$$

Equivalently, there is an involutive map on the (reciprocal) roots

$$z \iff \frac{q^N}{z}$$

$$\alpha_{j,k} \iff \alpha_{2N-j,k}$$

which sends roots of  $p_j$  to roots of  $p_{2N-k}$ .

#### 3. (Riemann Hypothesis)

The reciprocal roots  $a_{j,k}$  are algebraic integers (roots of some monic  $p \in \mathbb{Z}[x]$ ) which satisfy

$$|a_{j,k}|_{\mathbb{C}} = q^{\frac{j}{2}} \qquad \forall 1 \le j \le 2N - 1, \ \forall k.$$

4. (Betti Numbers) If X is a "good reduction mod q" of a nonsingular projective variety  $\tilde{X}$  in characteristic zero, then the  $\beta_i = \deg p_i(z)$  are the Betti numbers of the topological space  $\tilde{X}(\mathbb{C})$ .

Why is (3) called the "Riemann Hypothesis"?

We can use the fact that  $|e^z| = e^{\Re(z)}$  and  $a^z := e^{z \operatorname{Log}(a)}$ , and we'll replace the polynomials  $P_i$  with

$$L_j(s) := \zeta_X(q^{-s}) = \prod_{k=1}^{\beta_j} (1 - \alpha_{j,k} q^{-s}).$$

Now consider the roots of  $L_i$ : we have

$$L_j(s_0) = 0 \iff s_0 = \frac{1}{\alpha_{j,k}}$$
 for some  $k$ .

Moral: the Diophantine properties of a variety's zeta function are governed by its (algebraic) topology. Conversely, the analytic properties of encode a lot of geometric/topological/algebraic information.

#### Historical note

• Desire for a "cohomology theory of varieties" drove 25 years of progress in AG

#### Remarks:

- Resolved for varieties over  $\mathbb{F}_q$
- On  $L_X$ :
  - Conjectured for smooth varieties over  $\mathbb{Q}$  (rationality  $\sim$  analytically continues to a meromorphic function, some functional equation), little is known.
  - Resolved for elliptic curves (Taylor-Wiles c/o the Taniyama-Shimura conjecture), implies  $L_X$  is an L function coming from a modular form.

#### 1.2.1 Aside: Why call it a Zeta function?

Knowing the zeta function of a point, we can now make a precise analogy.

Suppose we have an algebraic variety cut out by equations:

$$\mathbb{A}^n_{\mathbb{Z}} \supseteq X = V(\langle f_1, \cdots, f_d \rangle)$$
 where  $f_i \in \mathbb{Z}[x_0, \cdots, x_{n-1}].$ 

Then for every prime q, we can reduce the equations mod p and consider

$$\mathbb{A}^n_{\mathbb{F}_q} \supseteq X_q \coloneqq V(\langle f_1 \mod q, \cdots, f_d \mod q \rangle) \quad \text{where} \quad f_1 \mod q \in \mathbb{F}_q[x_0, \cdots, x_{n-1}]$$

Then define the *Hasse-Weil* zeta function:

$$L_X(s) = \prod_{p \text{ prime}} \zeta_{X_p}(p^{-s}).$$

Take  $X = \operatorname{Spec} \mathbb{Q}$  and  $X_p = \operatorname{Spec} \mathbb{F}_p$ , which is a single point since  $\mathbb{F}_p$  is a field. The previous example shows that

$$\zeta_{X_p}(z) = \frac{1}{1-z},$$

We then find that

$$L_X(s) = \prod_{p \text{ prime}} \zeta_{X_p}(p^{-s})$$
$$= \prod_{p \text{ prime}} \left(\frac{1}{1 - p^{-s}}\right)$$
$$= \zeta(s),$$

which is the Euler product expansion of the classical Riemann Zeta function.

Moreover, it is a theorem (difficult, not proved here!) that for any variety  $X/\mathbb{F}_p$ , we have

$$\zeta_X(t) = \prod_{x \in X_{\text{cl}}} \left( \frac{1}{1 - t^{\deg(x)}} \right) \quad \stackrel{t = p^{-s}}{\Longrightarrow} \quad \zeta_X(s) = \prod_{x \in X_{\text{cl}}} \left( \frac{1}{1 - \left( p^{\deg(x)} \right)^{-s}} \right),$$

which we can think of as attaching a "weight" to each closed point,  $|x| := p^{\deg(x)}$ , and the usual Riemann Zeta corresponds to assigning a weight of 1 to each point.

Note that this immediately implies that  $\zeta_X(t) \in \mathbb{Z}[[t]]$  is a rational function.

Recall the Riemann zeta function is given by

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} = \prod_{p \text{ prime}} \frac{1}{1 - p^{-s}}.$$

After modifying  $\zeta$  to make it symmetric about  $\Re(s) = \frac{1}{2}$  and eliminate the trivial zeros at  $-2\mathbb{Z}$  to obtain  $\widehat{\zeta}(s)$ , there are three relevant properties

- "Rationality":  $\hat{\zeta}(s)$  has a meromorphic continuation to  $\mathbb{C}$  with simple poles at s=0,1.
- "Functional equation":  $\hat{\zeta}(1-s) = \hat{\zeta}(s)$
- "Riemann Hypothesis": The only zeros of  $\hat{\zeta}$  have  $\Re(s) = \frac{1}{2}$ .

## 1.2.2 More Examples

**Example (Affine Line):**  $X = \mathbb{A}^1/\mathbb{F}$  the affine line over  $\mathbb{F}$ , then Note that we can write

$$\mathbb{A}^{1}(\mathbb{F}_{n}) = \left\{ \mathbf{x} = [x_{1}] \mid x_{1} \in \mathbb{F}_{n} \right\}$$

as the set of one-component vectors with entries in  $\mathbb{F}_n$ , so

$$X(\mathbb{F}) = q$$
$$X(\mathbb{F}_2) = q^2$$
$$\vdots$$
$$X(\mathbb{F}_n) = q^n.$$

Thus

$$\zeta_X(z) = \exp\left(\sum_{n=1}^{\infty} \frac{q^n}{n} z^n\right) = \frac{1}{1 - qz}.$$

**Example (Affine Space):** Set  $X = \mathbb{A}^m/\mathbb{F}$ , affine m-space over  $\mathbb{F}$ , so we can just repeat with now m coordinates

$$\mathbb{A}^1(\mathbb{F}_n) = \left\{ \mathbf{x} = [x_1, \cdots, x_m] \mid x_i \in \mathbb{F}_n \right\}$$

Counting yields

$$X(\mathbb{F}) = q^m$$

$$X(\mathbb{F}_2) = (q^2)^m$$

$$\vdots$$

$$X(\mathbb{F}_n) = (q^n)^m.$$

Thus

$$\zeta_X(z) = \exp\left(\sum_{n=1}^{\infty} \frac{q^{nm}}{n} z^n\right) = \frac{1}{1 - q^m z}.$$

**Example (Projective Line):**  $X = \mathbb{P}^1/\mathbb{F}$  the projective line over  $\mathbb{F}$ , then we can write use some geometry to write

$$\mathbb{P}^1_{\mathbb{F}}=\mathbb{A}^1_{\mathbb{F}}\coprod\{\infty\}$$

as the affine line with a point added at infinity.

We can then count by enumerating coordinates:

$$\mathbb{P}^{1}(\mathbb{F}_{n}) = \left\{ [x_{1}, x_{2}] \mid x_{1}, x_{2} \neq 0 \in \mathbb{F}_{n} \right\} / \sim$$
$$= \left\{ [x_{1}, 1] \mid x_{1} \in \mathbb{F}_{n} \right\} \coprod \left\{ [1, 0] \right\}.$$

Thus

$$X(\mathbb{F}) = q + 1$$

$$X(\mathbb{F}_2) = q^2 + 1$$

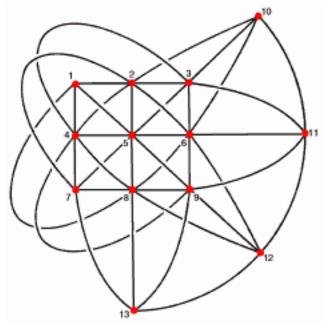
$$\vdots$$

$$X(\mathbb{F}_n) = q^n + 1$$

Thus

$$\zeta_X(z) = \frac{1}{(1-z)(1-qz)}$$

Example (Projective Space): Take  $X = \mathbb{P}_{\mathbb{F}}^n$ ,



Example image of  $\mathbb{P}^2_{\mathbb{GF}(3)}$ :

Note that we can identify  $X = Gr_{\mathbb{F}}(1, n)$  as the space of lines in  $\mathbb{A}^n_{\mathbb{F}}$ .

## Proposition 1.1.

The number of k-dimensional subspaces of  $\mathbb{A}^m_{\mathbb{F}}$  is the q-binomial coefficient:

$$\begin{bmatrix} m \\ k \end{bmatrix}_q \coloneqq \frac{(q^m-1)(q^{m-1}-1)\cdots(q^{m-(k-1)}-1)}{(q^k-1)(q^{k-1}-1)\cdots(q-1)}.$$

Proof.

To choose a k-dimensional subspace,

• Choose a nonzero vector  $\mathbf{v}_1 \in \mathbb{A}^n_{\mathbb{F}}$  in

$$q^{m} - 1$$

- Identify 
$$\#\text{span}\{\mathbf{v}_1\} = \#\{\lambda \mathbf{v}_1 \mid \lambda \in \mathbb{F}\} = \#\mathbb{F} = q.$$
• Choose a nonzero vector  $\mathbf{v}_2$  not in the span of  $\mathbf{v}_1$  in

$$q^m - q$$

ways.

- Identify #span 
$$\{\mathbf{v}_1, \mathbf{v}_2\} = \# \{\lambda_1 \mathbf{v}_1 + \lambda_2 \mathbf{v}_2 \mid \lambda_i \in \mathbb{F}\} = q \cdot q = q^2.$$

• Choose a nonzero vector  $\mathbf{v}_3$  not in the span of  $\mathbf{v}_1$ ,  $\mathbf{v}_2$  in

$$q^m - q^2$$

ways.

• · · · until  $\mathbf{v}_k$  is chosen in

$$(q^m - 1)(q^m - q) \cdots (q^m - q^{k-1})$$

ways.

- This yields a k-tuple of linearly independent vectors spanning a k-dimensional subspace  $V_k$
- This overcounts because many linearly independent sets span  $V_k$ , we need to divide out by the number of choose a basis inside of  $V_k$ .
- By the same argument, this is given by

$$(q^k - 1)(q^k - q) \cdots (q^k - q^{k-1})$$

Thus

$$\# \text{subspaces} = \frac{(q^m - 1)(q^m - q)(q^m - q^2) \cdots (q^m - q^{k-1})}{(q^k - 1)(q^k - q)(q^k - q^2) \cdots (q^k - q^{k-1})}$$

$$= \frac{q^m - 1}{q^k - 1} \cdot \left(\frac{q}{q}\right) \frac{q^{m-1} - 1}{q^{k-1} - 1} \cdot \left(\frac{q^2}{q^2}\right) \frac{q^{m-2} - 1}{q^{k-2} - 1} \cdots \left(\frac{q^{k-1}}{q^{k-1}}\right) \frac{q^{m-(k-1)} - 1}{q^{k-(k-1)-1}}.$$

We obtain a nice simplification for the number of lines corresponding to setting k=1:

$$\begin{bmatrix} m \\ 1 \end{bmatrix}_q = \frac{q^m - 1}{q - 1} = q^{m-1} + q^{m-2} + \dots + q + 1 = \sum_{j=0}^{m-1} q^j.$$

Thus

$$X(\mathbb{F}) = \sum_{j=0}^{m-1} q^j$$

$$X(\mathbb{F}_2) = \sum_{j=0}^{m-1} \left(q^2\right)^j$$

$$\vdots$$

$$X(\mathbb{F}_n) = \sum_{j=0}^{m-1} \left(q^n\right)^j.$$

So

$$\zeta_X(z) = \left(\frac{1}{1-z}\right) \left(\frac{1}{1-qz}\right) \left(\frac{1}{1-q^2z}\right) \cdots \left(\frac{1}{1-q^mz}\right)$$

Note that geometry can help us here: we have a "cell decomposition"  $\mathbb{P}^n = \mathbb{P}^{n-1} \coprod \mathbb{A}^n$ , and so inductively

$$\mathbb{P}^n = \mathbb{A}^0 \mathbf{I} \mathbf{I} \mathbb{A}^1 \mathbf{I} \mathbf{I} \cdots \mathbf{I} \mathbf{I} \mathbb{A}^n,$$

and it's straightforward to prove that

$$\zeta_{X | IY}(z) = \zeta_X(z) \cdot \zeta_Y(z)$$

and recalling that  $\zeta_{\mathbb{A}^j}(z) = \frac{1}{1 - q^j z}$  we have

$$\zeta_{\mathbb{P}^m}(z) = \prod_{j=0}^m \zeta_{\mathbb{A}^j}(z) = \prod_{j=0}^n \frac{1}{1 - q^j z}.$$

Example: Take  $X = Gr_{\mathbb{F}}(k, n)$ , then ????? so

$$\zeta_X(t) = ?.$$

#### 1.3 Hard Example: An Elliptic Curve

The Weyl conjectures take on a particularly nice form for curves. Let  $X/\mathbb{F}$  be a smooth projective curve of genus g, then

1. (Rationality)

$$\zeta_X(z) = \frac{p(z)}{(1-z)(1-qz)}$$

2. (Functional Equation)

$$\zeta_X\left(\frac{1}{qz}\right) = q^{1-g}z^{2-2g}\zeta_X(z)$$

3. (Riemann Hypothesis)

$$p(t) = \prod_{i=1}^{2g} (q - a_i z) \quad \text{where} \quad |a_i| = \frac{1}{\sqrt{q}}$$

Take  $X = E/\mathbb{F}$ .

Consider the curve E defined by the following equation:

$$E: y^2 + y = x^3 - x^2$$

This is a cubic, whose graph is presented in Figure 1.

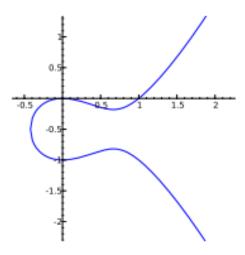


Figure 1: Implicit plot of E

Then

$$\zeta_X(t) = \frac{(1 - aq^{-t})(1 - \bar{a}q^{-t})}{(1 - q^{-t})(1 - q^{1-s})}.$$

The betti numbers are  $[1, 2, 1, 0, \cdots]$ .

The number of points are

$$X(\mathbb{F}_n) = (q^n + 1) - (\alpha^n + \overline{\alpha}^n)$$
 where  $|\alpha| = |\overline{\alpha}| = \sqrt{q}$ 

Rough outline of proof:

• ??

The (complex?) dimension of X is N = 1, The WC say we should be able to write this as

$$\frac{p_1(z)}{p_0(z)p_2(z)} = \frac{p_1(z)}{(1-z)(1-qz)} = \frac{(1-\alpha_{1,1}z)(1-\alpha_{1,2}z)}{(1-z)(1-qz)}.$$

Since we know the number of points, we can compute

$$\zeta_X(z) = \exp \sum_{n=1}^{\infty} \#X(\mathbb{F}_n) \frac{z^n}{n}$$

$$= \exp \sum_{n=1}^{\infty} (q^n + 1 - (\alpha^n + \bar{\alpha}^n)) \frac{z^n}{n}$$

$$= \exp \left(\sum_{n=1}^{\infty} q^n \cdot \frac{z^n}{n}\right) \exp \left(\sum_{n=1}^{\infty} 1 \cdot \frac{z^n}{n}\right) \exp \left(\sum_{n=1}^{\infty} -\alpha^n \cdot \frac{z^n}{n}\right) \exp \left(\sum_{n=1}^{\infty} -\bar{\alpha}^n \cdot \frac{z^n}{n}\right)$$

$$= \exp \left(-\log (1 - qz)\right) \exp \left(-\log (1 - z)\right) \exp \left(\log (1 - \alpha z)\right) \exp \left(\log (1 - \bar{\alpha}z)\right)$$

$$= \frac{(1 - \alpha z)(1 - \bar{\alpha}z)}{(1 - z)(1 - qz)} \in \mathbb{Q}(z),$$

which is indeed a rational function.

Originally conjectured for curves by Artin Proved by Weil in 1949, proposed generalization to projective varieties Proof had work contributed by Dwork (rationality using p-adic analysis), Artin, Grothendieck (etale cohomology), with completion by Deligne in 1970s (RH)