

# Algebraic Geometry

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Friday 18<sup>th</sup> September, 2020

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These are notes live-tex'd from a graduate course in Algebraic Geometry taught by Philip Engel at the University of Georgia in Fall 2020. As such, any errors or inaccuracies are almost certainly my own.

D. Zack Garza, Friday 18<sup>th</sup> September, 2020  
12:47

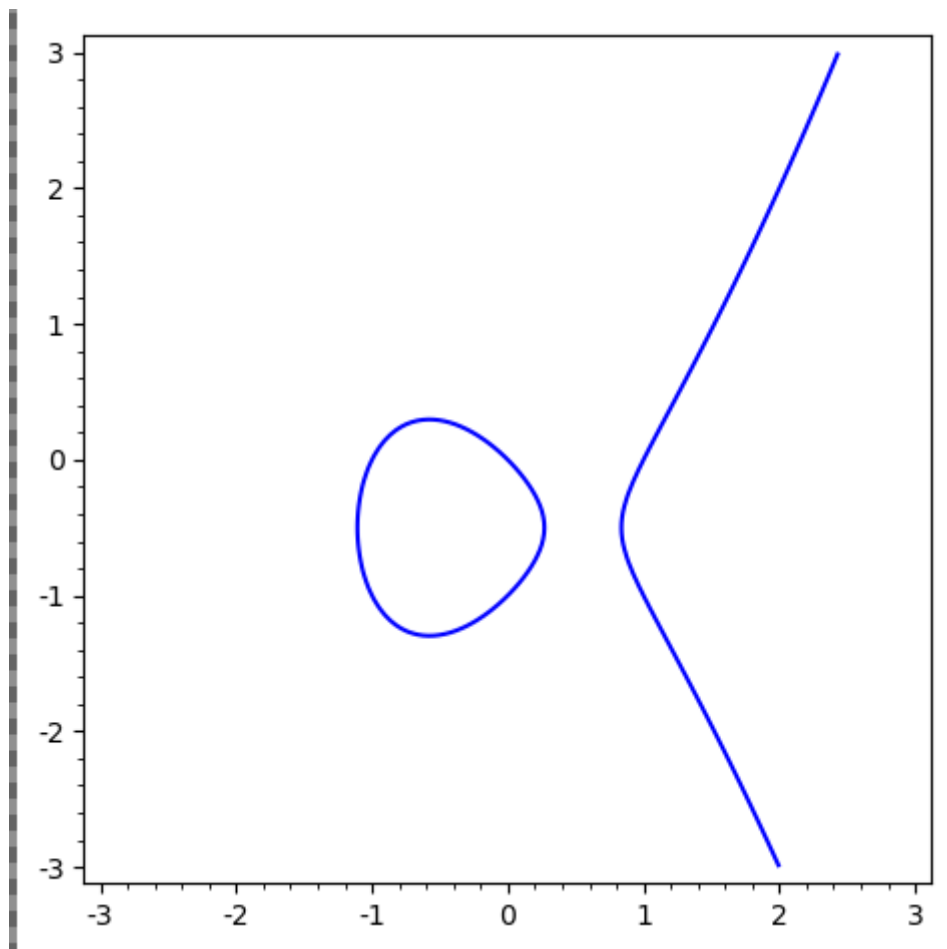
# 1 | Friday, August 21

Reference:  
<https://www.mathematik.uni-kl.de/~gathmann/class/alggeom-2019/alggeom-2019.pdf>

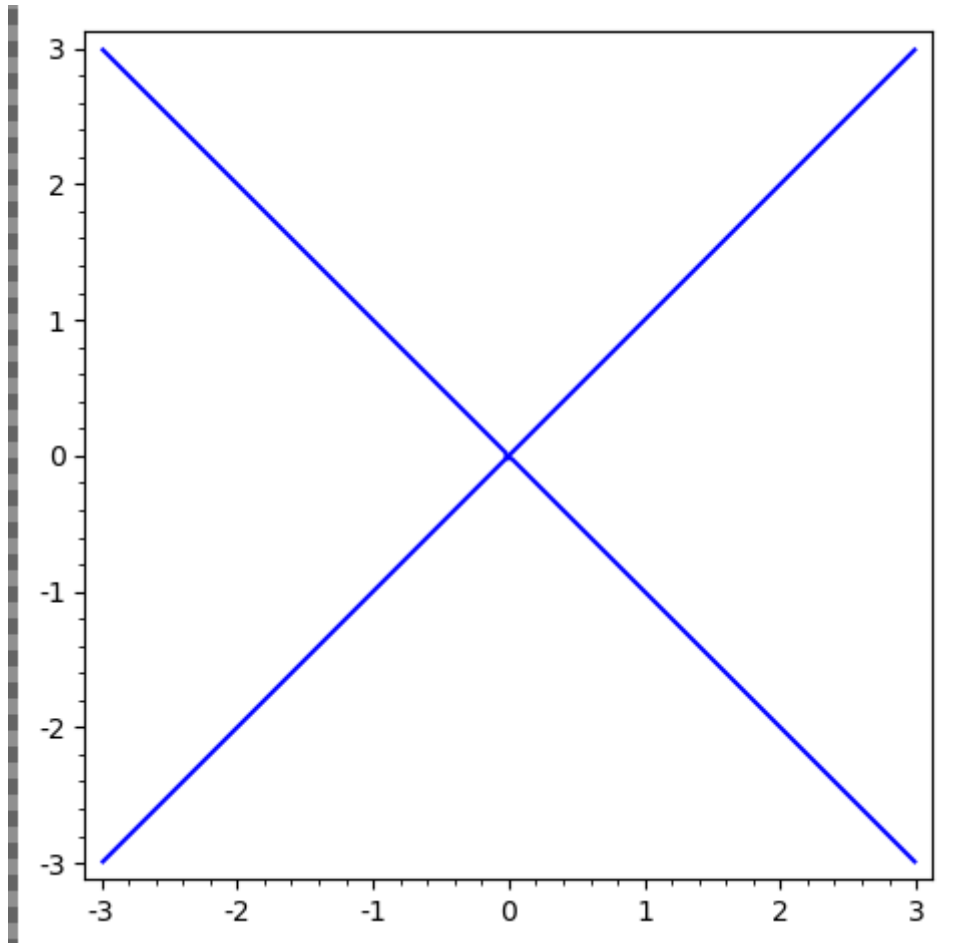
General idea: functions a coordinate ring  $R[x_1, \dots, x_n]/I$  will correspond to the geometry of the variety cut out by  $I$ .

## Example 1.1.

- $x^2 + y^2 - 1$  defines a circle, say, over  $\mathbb{R}$
- $y^2 = x^3 - x$  gives an elliptic curve:



- $x^n + y^n = 1$ : does it even contain a  $\mathbb{Q}$ -point? (Fermat's Last Theorem)
- $x^2 + 1$ , which has no  $\mathbb{R}$ -points.
- $x^2 + y^2 + 1/\mathbb{R}$  vanishes nowhere, so its ring of functions is not  $\mathbb{R}[x, y]/\langle x^2 + y^2 + 1 \rangle$  (problem:  $\mathbb{R}$  is not algebraically closed)
- $x^2 - y^2 = 0$  over  $\mathbb{C}$  is not a manifold (no chart at the origin):



- $x + y + 1/\mathbb{F}_3$ , which has 3 points over  $\mathbb{F}_3^2$ , but  $f(x, y) = (x^3 - x)(y^3 - y)$  vanishes at every point
  - Not possible when algebraically closed (is there nonzero polynomial that vanishes on every point in  $\mathbb{C}$ ?)
  - $V(f) = \mathbb{F}_3^2$ , so the coordinate ring is zero instead of  $\mathbb{F}_3[x, y]/\langle f \rangle$  (addressed by scheme theory)

**Theorem 1.1 (Harnack Curve Theorem).**

If  $f \in \mathbb{R}[x, y]$  is of degree  $d$ , then

$$\pi_1 V(f) \subseteq \mathbb{R}^2 \leq 1 + \frac{(d-1)(d-2)}{2}$$

Actual statement: the number of connected components is bounded above by this quantity.

**Example 1.2.**

Take the curve

$$X = \left\{ (x, y, z) = (t^3, t^4, t^5) \in \mathbb{C}^3 \mid t \in \mathbb{C} \right\}.$$

Then  $X$  is cut out by three equations:

- $y^2 = xz$
- $x^2 = yz$
- $z^2 = x^2y$

**Exercise 1.1.**

Show that the vanishing locus of the first two equations above is  $X \cup L$  for  $L$  a line.

Compare to linear algebra: codimension  $d$  iff cut out by exactly  $d$  equations.

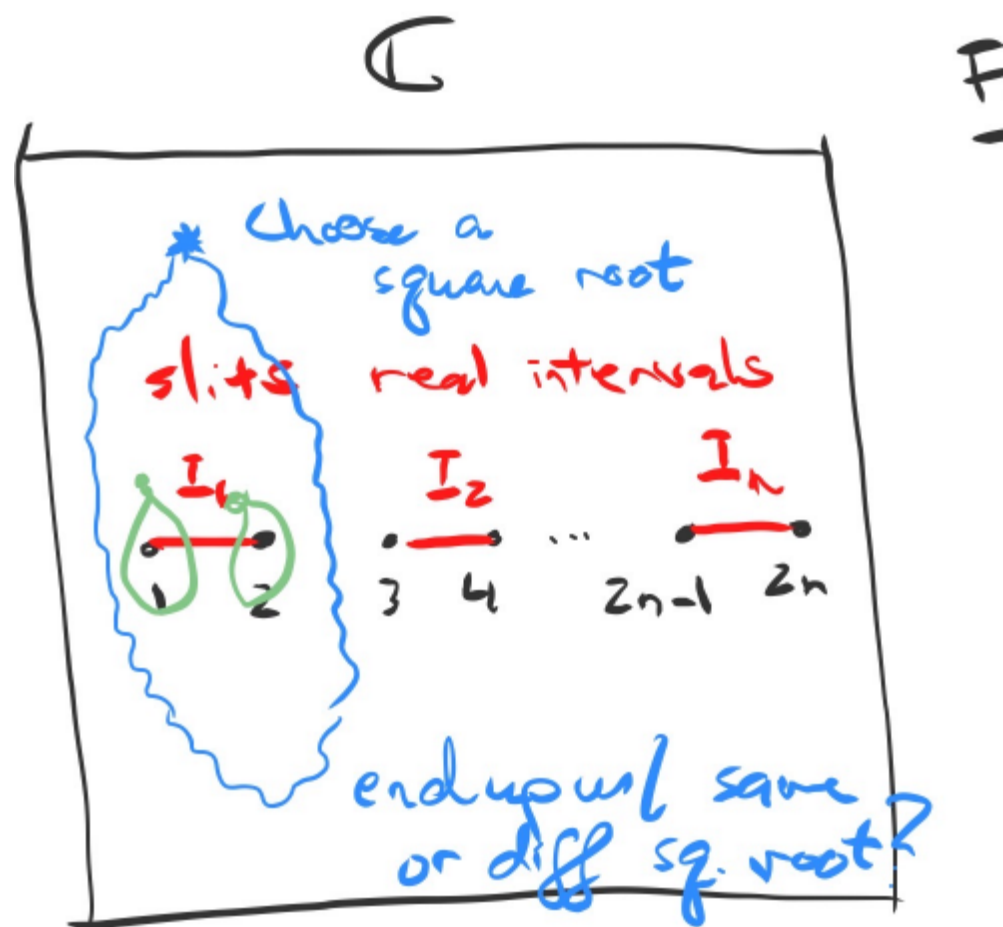
**Example 1.3.**

Given the Riemann surface

$$y^2 = (x-1)(x-2)\cdots(x-2n),$$

how to visualize the solution set?

Fact: on  $\mathbb{C}$  with some slits, you can consistently choose a square root of the RHS.



Away from  $x = 1, \dots, 2n$ , there are two solutions for  $y$  given  $x$ .

After gluing along strips, obtain:



## 2 | Tuesday, August 25

Let  $k = \bar{k}$  and  $R$  a ring containing ideals  $I, J$ .

**Definition 2.0.1** (Radical).

Recall that the *radical* of  $I$  is defined as

$$\sqrt{I} = \left\{ r \in R \mid r^k \in I \text{ for some } k \in \mathbb{N} \right\}.$$

**Example 2.1.**

Let  $I = (x_1, x_2^2) \subset \mathbb{C}[x_1, x_2]$ , so  $I = \{f_1 x_1 + f_2 x_2^2 \mid f_1, f_2 \in \mathbb{C}[x_1, x_2]\}$ . Then  $\sqrt{I} = (x_1, x_2)$ , since  $x_2^2 \in I \implies x_2 \in \sqrt{I}$ .

Given  $f \in k[x_1, \dots, x_n]$ , take its value at  $a = (a_1, \dots, a_n)$  and denote it  $f(a)$ . Set  $\deg(f)$  to be the largest value of  $i_1 + \dots + i_n$  such that the coefficient of  $\prod x_j^{i_j}$  is nonzero.

**Example 2.2.**

$$\deg(x_1 + x_2^2 + x_1 x_2^3) = 4$$

---

**Definition 2.0.2** (Affine Variety).

1. Affine  $n$ -space  $\mathbb{A}^n = \mathbb{A}_k^n$  is defined as  $\{(a_1, \dots, a_n) \mid a_i \in k\}$ .

Remark: not  $k^n$ , since we won't necessarily use the vector space structure (e.g. adding points).

2. Let  $S \subset k[x_1, \dots, x_n]$  to be a set of polynomials. Then define  $V(S) = \{x \in \mathbb{A}^n \mid f(x) = 0\} \subset \mathbb{A}^n$  to be an *affine variety*.

**Example 2.3.**

- $\mathbb{A}^n = V(0)$ .
- For any point  $(a_1, \dots, a_n) \in \mathbb{A}^n$ , then  $V(x_1 - a_1, \dots, x_n - a_n) = \{(a_1, \dots, a_n)\}$  uniquely determines the point.
- For any finite set  $r_1, \dots, r_k \in \mathbb{A}^1$ , there exists a polynomial  $f(x)$  whose roots are  $r_i$ .

**Remark 1.**

We may as well assume  $S$  is an ideal by taking the ideal it generates,  $S \subseteq \langle S \rangle = \{\sum g_i f_i \mid g_i \in k[x_1, \dots, x_n], f_i \in S\}$ . Then  $V(\langle S \rangle) \subset V(S)$ .

Conversely, if  $f_1, f_2$  vanish at  $x \in \mathbb{A}^n$ , then  $f_1 + f_2, gf_1$  also vanish at  $x$  for all  $g \in k[x_1, \dots, x_n]$ . Thus  $V(S) \subset V(\langle S \rangle)$ .

**Proposition 2.1** (*Properties and Definitions of Ideal Operations*).

- $I + J := \{f + g \mid f \in I, g \in J\}$ .
- $IJ := \left\{ \sum_{i=1}^N f_i g_i \mid f_i \in I, g_i \in J, N \in \mathbb{N} \right\}$ .
- If  $I + J = \langle 1 \rangle$  then  $I \cap J = IJ$  (coprime or comaximal)

**Proposition 2.2** (*Properties of  $V$* ).

1. If  $S_1 \subseteq S_2$  then  $V(S_1) \supseteq V(S_2)$ .
2.  $V(S_1) \cup V(S_2) = V(S_1 S_2) = V(S_1 \cap S_2)$ .
3.  $\bigcap V(S_i) = V\left(\bigcup S_i\right)$ .

We thus have a map

$$V : \{\text{Ideals in } k[x_1, \dots, x_n]\} \rightarrow \{\text{Affine varieties in } \mathbb{A}^n\}.$$

**Definition 2.2.1** (The Ideal of a Set).



Let  $X \subset \mathbb{A}^n$  be any set, then *the ideal of  $X$*  is defined as

$$I(X) := \left\{ f \in k[x_1, \dots, x_n] \mid f(x) = 0 \forall x \in X \right\}.$$

**Example 2.4.**

Let  $X$  be the union of the  $x_1$  and  $x_2$  axes in  $\mathbb{A}^2$ , then  $I(X) = (x_1x_2) = \{x_1x_2g \mid g \in k[x_1, x_2]\}$ .

Note that if  $X_1 \subset X_2$  then  $I(X_1) \subset I(X_2)$ .

**Proposition 2.3 (The Image of  $V$  is Radical).**

$I(X)$  is a radical ideal, i.e.  $I(X) = \sqrt{I(X)}$ .

This is because  $f(x)^k = 0 \forall x \in X$  implies  $f(x) = 0$  for all  $x \in X$ , so  $f^k \in I(X)$  and thus  $f \in I(X)$ .

Our correspondence is thus

$$\begin{aligned} \{\text{Ideals in } k[x_1, \dots, x_n]\} &\xrightarrow{V} \{\text{Affine Varieties}\} \\ \{\text{Radical Ideals}\} &\xleftarrow{I} \{?\}. \end{aligned}$$

**Proposition 2.4 (Hilbert Nullstellensatz (Zero Locus Theorem)).**

- a. For any affine variety  $X$ ,  $V(I(X)) = X$ .
- b. For any ideal  $J \subset k[x_1, \dots, x_n]$ ,  $I(V(J)) = \sqrt{J}$ .

Thus there is a bijection between radical ideals and affine varieties.

## 2.1 Proof of Nullstellensatz

**Remark 2.**

Recall the Hilbert Basis Theorem: any ideal in a finitely generated polynomial ring over a field is again finitely generated.

We need to show 4 inclusions, 3 of which are easy.

a:  $X \subset V(I(X))$ :

- If  $x \in X$  then  $f(x) = 0$  for all  $f \in I(X)$ .
- So  $x \in V(I(X))$ , since every  $f \in I(X)$  vanishes at  $x$ .

b:  $\sqrt{J} \subset I(V(J))$ :

- If  $f \in \sqrt{J}$  then  $f^k \in J$  for some  $k$ .
- Then  $f^k(x) = 0$  for all  $x \in V(J)$ .
- So  $f(x) = 0$  for all  $x \in V(J)$ .
- Thus  $f \in I(V(J))$ .

c:  $V(I(X)) \subset X$ :

- Need to now use that  $X$  is an affine variety.
  - Counterexample:  $X = \mathbb{Z}^2 \subset \mathbb{C}^2$ , then  $I(X) = 0$ . But  $V(I(X)) = \mathbb{C}^2$ , but  $\mathbb{C}^2 \not\subset \mathbb{Z}^2$ .
- By (b),  $I(V(J)) \supset \sqrt{J} \supset J$ .
- Since  $V(\cdot)$  is order-reversing, taking  $V$  of both sides reverses the containment.
- So  $V(I(V(J))) \subset V(J)$ , i.e.  $V(I(X)) \subset X$ .

d:  $I(V(J)) \subset \sqrt{J}$  (hard direction)

**Theorem 2.5 (1st Version of Nullstellensatz).**

Suppose  $k$  is algebraically closed and uncountable (still true in countable case by a different proof).

Then the maximal ideals in  $k[x_1, \dots, x_n]$  are of the form  $(x_1 - a_1, \dots, x_n - a_n)$ .

*Proof.*

Let  $\mathfrak{m}$  be a maximal ideal, then by the Hilbert Basis Theorem,  $\mathfrak{m} = \langle f_1, \dots, f_r \rangle$  is finitely generated.

Let  $L = \mathbb{Q}[\{c_i\}]$  where the  $c_i$  are all of the coefficients of the  $f_i$  if  $\text{char}(K) = 0$ , or  $\mathbb{F}_p[\{c_i\}]$  if  $\text{char}(k) = p$ . Then  $L \subset k$ .

Define  $\mathfrak{m}_0 = \mathfrak{m} \cap L[x_1, \dots, x_n]$ . Note that by construction,  $f_i \in \mathfrak{m}_0$  for all  $i$ , and we can write  $\mathfrak{m} = \mathfrak{m}_0 \cdot k[x_1, \dots, x_n]$ .

**Claim:**  $\mathfrak{m}_0$  is a maximal ideal.

If it were the case that

$$\mathfrak{m}_0 \subsetneq \mathfrak{m}'_0 \subsetneq L[x_1, \dots, x_n],$$

then

$$\mathfrak{m}_0 \cdot k[x_1, \dots, x_n] \subsetneq \mathfrak{m}'_0 \cdot k[x_1, \dots, x_n] \subsetneq k[x_1, \dots, x_n].$$

So far: constructed a smaller polynomial ring and a maximal ideal in it.

Thus  $L[x_1, \dots, x_n]/\mathfrak{m}_0$  is a field that is finitely generated over either  $\mathbb{Q}$  or  $\mathbb{F}_p$ .

**Theorem 2.6 (Noether Normalization).**

Any finitely-generated field extension  $k_1 \hookrightarrow k_2$  is a finite extension of a purely transcendental extension, i.e. there exist  $t_1, \dots, t_\ell$  such that  $k_2$  is finite over  $k_1(t_1, \dots, t_\ell)$ .

Note: this theorem is perhaps more important than the Nullstellensatz!

Thus  $L[x_1, \dots, x_n]/\mathfrak{m}_0$  is finite over some  $\mathbb{Q}(t_1, \dots, t_n)$ , and since  $k$  is uncountable, there exists an embedding  $\mathbb{Q}(t_1, \dots, t_n) \hookrightarrow k$ .

Use the fact that there are only countably many polynomials over a countable field.

This extends to an embedding of  $\varphi : L[x_1, \dots, x_n]/\mathfrak{m}_0 \hookrightarrow k$  since  $k$  is algebraically closed. Letting  $a_i$  be the image of  $x_i$  under  $\varphi$ , then  $f(a_1, \dots, a_n) = 0$  by construction,  $f_i \in (x_i - a_i)$  implies that  $\mathfrak{m} = (x_i - a_i)$  by maximality. ■

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# 3 | Thursday, August 27

Recall Hilbert's Nullstellensatz:

- a. For any affine variety,  $V(I(X)) = X$ .
- b. For any ideal  $J \subseteq k[x_1, \dots, x_n]$ ,  $I(V(J)) = \sqrt{J}$ .

So there's an order-reversing bijection

$$\{\text{Radical ideals } k[x_1, \dots, x_n]\} \rightarrow V(\cdot)I(\cdot) \{\text{Affine varieties in } \mathbb{A}^n\}.$$

In proving  $I(V(J)) \subseteq \sqrt{J}$ , we had an important lemma (Noether Normalization): the maximal ideals of  $k[x_1, \dots, x_n]$  are of the form  $\langle x - a_1, \dots, x - a_n \rangle$ .

### Corollary 3.1 (?).

If  $V(I)$  is empty, then  $I = \langle 1 \rangle$ .

Slogan: the only ideals that vanish nowhere are trivial. No common vanishing locus  
 $\implies$  trivial ideal, so there's a linear combination that equals 1.

*Proof.*

By contrapositive, suppose  $I \neq \langle 1 \rangle$ . By Zorn's Lemma, there exists a maximal ideal  $\mathfrak{m}$  such that  $I \subset \mathfrak{m}$ . By the order-reversing property of  $V(\cdot)$ ,  $V(\mathfrak{m}) \subseteq V(I)$ . By the classification of maximal ideals,  $\mathfrak{m} = \langle x - a_1, \dots, x - a_n \rangle$ , so  $V(\mathfrak{m}) = \{a_1, \dots, a_n\}$  is nonempty. ■

Returning to the proof that  $I(V(J)) \subseteq \sqrt{J}$ : let  $f \in V(I(J))$ , we want to show  $f \in \sqrt{J}$ . Consider the ideal  $\tilde{J} := J + \langle ft - 1 \rangle \subseteq k[x_1, \dots, x_n, t]$ .

Observation:  $f = 0$  on all of  $V(J)$  by the definition of  $I(V(J))$ . But  $ft - 1 \neq 0$  if  $f = 0$ , so  $V(\tilde{J}) = V(J) \cap V(ft - 1) = \emptyset$ .

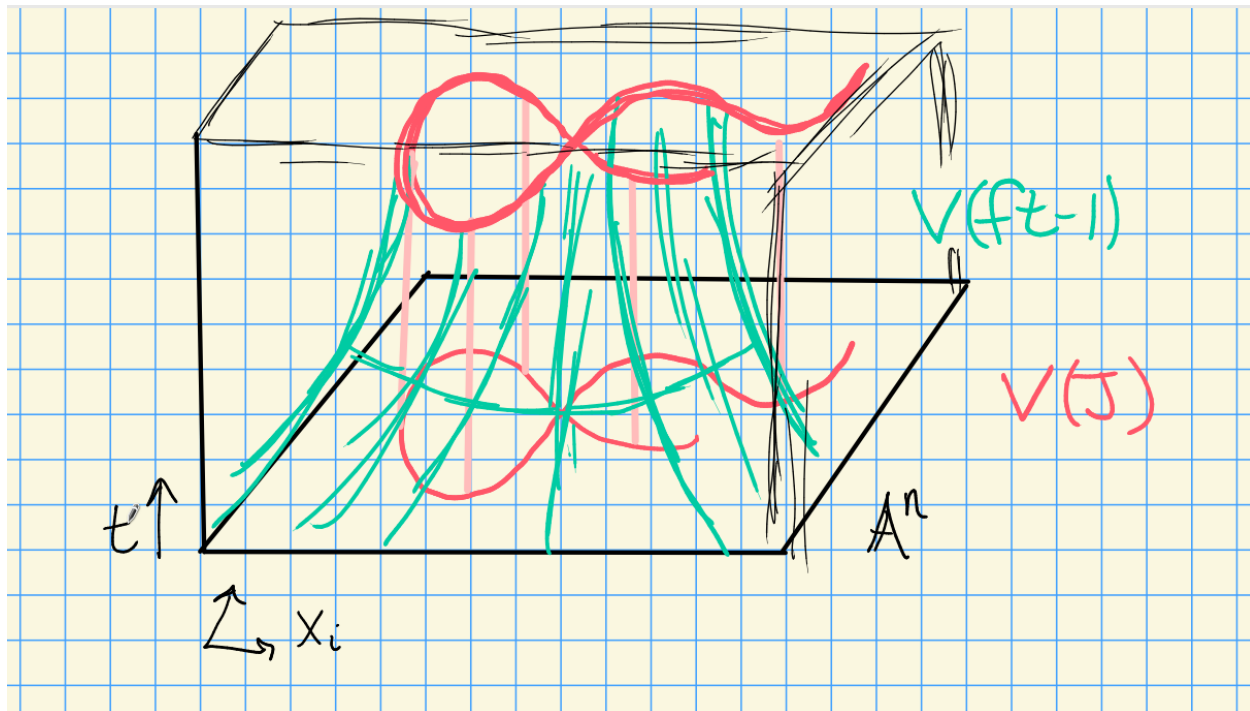


Figure 1: Effect, a hyperbolic tube around  $V(J)$ , so both can't vanish

Applying the corollary  $\tilde{J} = (1)$ , so  $1 = \langle ft - 1 \rangle g_0(x_1, \dots, x_n, t) + \sum f_i g_i(x_1, \dots, x_n, t)$  with  $f_i \in J$ . Let  $t^N$  be the largest power of  $t$  in any  $g_i$ . Thus for some polynomials  $G_i$ , we have

$$f^N := (ft - 1)G_0(x_1, \dots, x_n, ft) + \sum f_i G_i(x_1, \dots, x_n, ft)$$

noting that  $f$  does not depend on  $t$ .

Now take  $k[x_1, \dots, x_n, t] / \langle ft - 1 \rangle$ , so  $ft = 1$  in this ring. This kills the first term above, yielding

$$f^N = \sum f_i G_i(x_1, \dots, x_n, 1) \in k[x_1, \dots, x_n, t] / \langle ft - 1 \rangle.$$

Observation: there is an inclusion

$$k[x_1, \dots, x_n] \hookrightarrow k[x_1, \dots, x_n, t] / \langle ft - 1 \rangle.$$

### Exercise 3.1.

Why is this true?

Since this is injective, this identity also holds in  $k[x_1, \dots, x_n]$ . But  $f_i \in J$ , so  $f \in \sqrt{J}$ .

### Example 3.1.

Consider  $k[x]$ . If  $J \subset k[x]$  is an ideal, it is principal, so  $J = \langle f \rangle$ . We can factor  $f(x) = \prod_{i=1}^k (x - a_i)^{n_i}$  and  $V(f) = \{a_1, \dots, a_k\}$ . Then  $I(V(f)) = \langle (x - a_1)(x - a_2) \cdots (x - a_k) \rangle = \sqrt{J} \subsetneq J$ . Note that this loses information.

---

**Example 3.2.**

Let  $J = \langle x - a_1, \dots, x - a_n \rangle$ , then  $I(V(J)) = \sqrt{J} = J$  with  $J$  maximal. Thus there is a correspondence

$$\{\text{Points of } \mathbb{A}^n\} \iff \{\text{Maximal ideals of } k[x_1, \dots, x_n]\}.$$

**Theorem 3.2 (Properties of  $I$ ).**

- a.  $I(X_1 \cup X_2) = I(X_1) \cap I(X_2)$ .
- b.  $I(X_1) \cap I(X_2) = \sqrt{I(X_1) + I(X_2)}$ .

*Proof.*

We proved (a) on the variety side.

For (b), by the Nullstellensatz,  $X_i = V(I(X_i))$ , so

$$\begin{aligned} I(X_1 \cap X_2) &= I(VI(X_1) \cap VI(X_2)) \\ &= IV(I(X_1) + I(X_2)) \\ &= \sqrt{I(X_1) + I(X_2)}. \end{aligned}$$

■

**Example 3.3.**

Example of property (b):

Take  $X_1 = V(y - x^2)$  and  $X_2 = V(y)$ , a parabola and the  $x$ -axis.

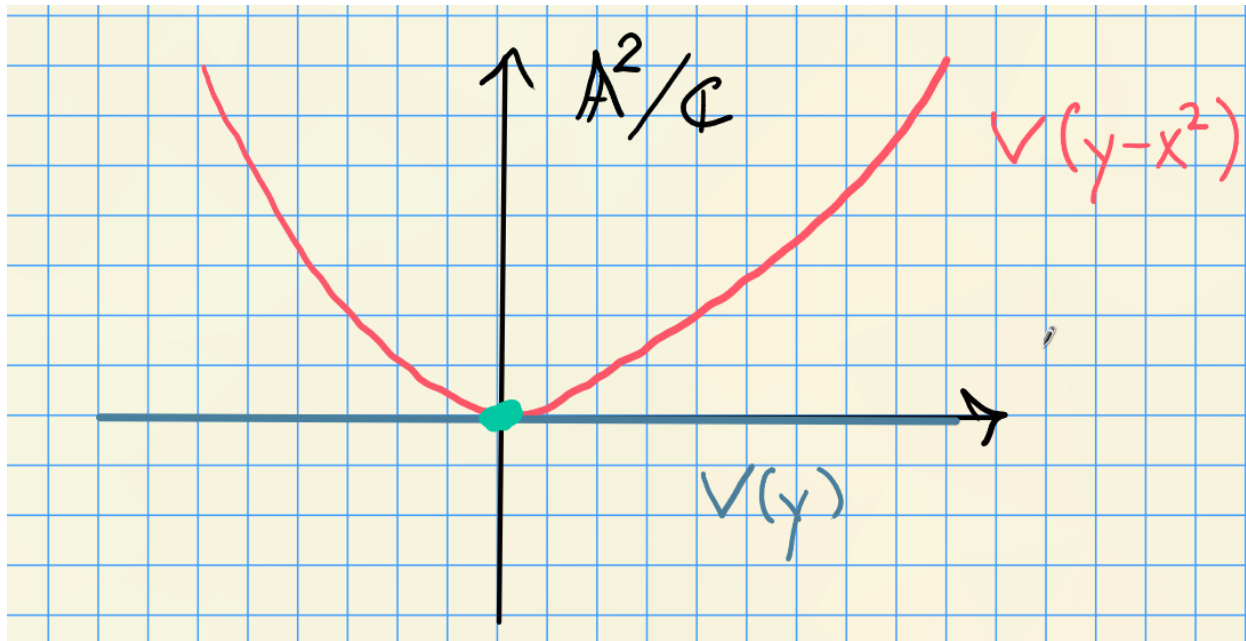


Figure 2: Image

Then  $X_1 \cap X_2 = \{(0, 0)\}$ , and  $I(X_1) + I(X_2) = \langle y - x^2, y \rangle = \langle x^2, y \rangle$ , but  $I(X_1 \cap X_2) = \langle x, y \rangle = \sqrt{\langle x^2, y \rangle}$ .

**Proposition 3.3(?)**.

If  $f, g \in k[x_1, \dots, x_n]$ , and suppose  $f(x) = g(x)$  for all  $x \in \mathbb{A}^n$ . Then  $f = g$ .

*Proof.*

Since  $f - g$  vanishes everywhere,  $f - g \in I(\mathbb{A}^n) = I(V(0)) = \sqrt{0} = 0$ . ■

More generally suppose  $f(x) = g(x)$  for all  $x \in X$ , where  $X$  is some affine variety. Then by definition,  $f - g \in I(X)$ , so a “natural” space of functions on  $X$  is  $k[x_1, \dots, x_n]/I(X)$ .

**Definition 3.3.1** (Coordinate Ring).

For an affine variety  $X$ , the *coordinate ring* of  $X$  is

$$A(X) := k[x_1, \dots, x_n]/I(X).$$

Elements  $f \in A(X)$  are called *polynomial* or *regular functions* on  $X$ .

Observation: The constructions  $V(\cdot), I(\cdot)$  work just as well for  $A(X)$  and  $X$ .

Given any  $S \subset A(Y)$  for  $Y$  an affine variety,

$$V(S) = V_Y(S) := \left\{ x \in Y \mid f(x) = 0 \ \forall f \in S \right\}.$$

Given  $X \subset Y$  a subset,

$$I(X) = I_Y(X) := \left\{ f \in A(Y) \mid f(x) = 0 \ \forall x \in X \right\} \subseteq A(Y).$$

**Example 3.4.**

For  $X \subset Y \subset \mathbb{A}^n$ , we have  $I(X) \supset I(Y) \supset I(\mathbb{A}^n)$ , so we have maps

$$\begin{array}{ccccc} & & \cdot/I(X) & & \\ & \nearrow & & \searrow & \\ A(\mathbb{A}^n) & \xrightarrow{\cdot/I(Y)} & A(Y) & \xrightarrow{\cdot/I(X)} & A(X) \end{array}$$

**Theorem 3.4(?).**

Let  $X \subset Y$  be an affine subvariety, then

- a.  $A(X) = A(Y)/I_Y(X)$
- b. There is a correspondence

$$\begin{aligned} \{\text{Affine subvarieties of } Y\} &\iff \{\text{Radical ideals in } A(Y)\} \\ X &\mapsto I_Y(X) \\ V_Y(J) &\leftarrow J. \end{aligned}$$

*Proof .*

Properties are inherited from the case of  $\mathbb{A}^n$ , see exercise in Gathmann. ■

**Example 3.5.**

Let  $Y = V(y - x^2) \subset \mathbb{A}^2/\mathbb{C}$  and  $X = \{(1, 1)\} = V(x - 1, y - 1) \subset \mathbb{A}^2/\mathbb{C}$ .

Then there is an inclusion  $\langle y - x^2 \rangle \subset \langle x - 1, y - 1 \rangle$  (e.g. by Taylor expanding about the point  $(1, 1)$ ), and there is a map

$$\begin{array}{ccccc} A(\mathbb{A}^n) & \longrightarrow & A(Y) & \longrightarrow & A(X) \\ \parallel & & \parallel & & \parallel \\ k[x, y] & \longrightarrow & k[x, y]/\langle y - x^2 \rangle & \longrightarrow & k[x, y]/\langle x - 1, y - 1 \rangle \end{array}$$

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## 4 | Tuesday, September 01

Last time:  $V(I) = \{x \in \mathbb{A}^n \mid f(x) = 0 \forall x \in I\}$  and  $I(X) = \{f \in k[x_1, \dots, x_n] \mid f(x) = 0 \forall x \in X\}$ .

We proved the Hilbert Nullstellensatz  $I(V(J)) = \sqrt{J}$ , defined the coordinate ring of an affine variety  $X$  as  $A(X) := k[x_1, \dots, x_n]/I(X)$ , the ring of “regular” (polynomial) functions on  $X$ .

Recall that a *topology* on  $X$  can be defined as a collection of “closed” subsets of  $X$  that are closed under arbitrary intersections and finite unions. A subset  $Y \subset X$  inherits a subspace topology with closed sets of the form  $Z \cap Y$  for  $Z \subset X$  closed.

**Definition 4.0.1** (Zariski Topology).

Let  $X$  be an affine variety. The closed sets are affine subvarieties  $Y \subset X$ .

We have  $\emptyset, X$  closed, since

1.  $V_X(1) = \emptyset$ ,
2.  $V_X(0) = X$

Closure under finite unions: Let  $V_X(I), V_X(J)$  be closed in  $X$  with  $I, J \subset A(X)$  ideals. Then  $V_X(IJ) = V_X(I) \cup V_X(J)$ .

Closure under intersections: We have  $\bigcap_{i \in \sigma} V_X(J_i) = V_X\left(\sum_{i \in \sigma} J_i\right)$ .

**Remark 3.**

There are few closed sets, so this is a “weak” topology.

**Example 4.1.**

Compare the classical topology on  $\mathbb{A}^1/\mathbb{C}$  to the Zariski topology.

Consider the set  $A := \{x \in \mathbb{A}^1/\mathbb{C} \mid \|x\| \leq 1\}$ , which is closed in the classical topology.

But  $A$  is not closed in the Zariski topology, since the closed subsets are finite sets or the whole space.

Here the topology is in fact the cofinite topology.

**Example 4.2.**

Let  $f : \mathbb{A}^1/k \rightarrow \mathbb{A}^1/k$  be any injective map. Then  $f$  is necessarily continuous wrt the Zariski topology.

Thus the notion of continuity is too weak in this situation.

**Example 4.3.**

Consider  $X \times Y$  a product of affine varieties. Then there is a product topology where open sets are of the form  $\bigcup_{i=1}^n U_i \times V_i$  with  $U_i, V_i$  open in  $X, Y$  respectively.



---

This is the wrong topology! On  $\mathbb{A}^1 \times \mathbb{A}^1 = \mathbb{A}^2$ , the diagonal  $\Delta := V(x - y)$  is closed in the Zariski topology on  $\mathbb{A}^2$  but not in the product topology.

**Example 4.4.**

Consider  $\mathbb{A}^2/\mathbb{C}$ , so the closed sets are curves and points. Observation:  $V(x_1x_2) \subset \mathbb{A}^2/\mathbb{C}$  decomposed into the union of the coordinate axes  $X_1 := V(x_1)$  and  $X_2 := V(x_2)$ . The Zariski topology can detect these decompositions.

**Definition 4.0.2** (Irreducibility and Connectedness).

Let  $X$  be a topological space.

- a.  $X$  is *reducible* iff there exist nonempty proper closed subsets  $X_1, X_2 \subset X$  such that  $X = X_1 \cup X_2$ . Otherwise,  $X$  is said to be *irreducible*.
- b.  $X$  is *disconnected* if there exist  $X_1, X_2 \subset X$  such that  $X = X_1 \coprod X_2$ . Otherwise,  $X$  is said to be *connected*.

**Example 4.5.**

$V(x_1x_2)$  is reducible but connected.

**Remark 4.**

$\mathbb{A}^1/\mathbb{C}$  is *not* irreducible, since we can write  $\mathbb{A}^1/\mathbb{C} = \{\|x\| \leq 1\} \cup \{\|x\| \geq 1\}$ .

**Proposition 4.1 (?)**.

Let  $X$  be a disconnected affine variety with  $X = X_1 \coprod X_2$ . Then  $A(X) \cong A(X_1) \times A(X_2)$ .

*Proof .*

We have  $X_1 \cup X_2 = X$ , so  $I(X_1) \cap I(X_2) = I(X) = (0)$  in the coordinate ring  $A(X)$  (recalling that it is a quotient by  $I(X)$ .)

Since  $X_1 \cap X_2 = \emptyset$ , we have

$$I(X_1 \cap X_2) = \sqrt{I(X_1) + I(X_2)} = I(\emptyset) = \langle 1 \rangle.$$

Thus  $I(X_1) + I(X_2) = \langle 1 \rangle$ , and by the Chinese Remainder Theorem, the following map is an isomorphism:

$$A(X) \rightarrow A(X)/I(X_1) \times A(X)/I(X_2).$$

But the codomain is precisely  $A(X_1) \times A(X_2)$ . ■

**Proposition 4.2 (?)**.

An affine variety  $X$  is irreducible  $\iff A(X)$  is an integral domain.

---

*Proof .*

$\implies$  : By contrapositive, suppose  $f_1, f_2 \in A(X)$  are nonzero with  $f_1 f_2 = 0$ . Let  $X_i = V(f_i)$ , then  $X = V(0) = V(f_1 f_2) = X_1 \cup X_2$  which are closed and proper since  $f_i \neq 0$ .

$\impliedby$  : Suppose  $X$  is reducible with  $X = X_1 \cup X_2$  with  $X_i$  proper and closed. Define  $J_i := I(X_i)$ , and note  $J_i \neq 0$  because  $V(J_i) = V(I(X_i)) = X_i$  by part (a) of the Nullstellensatz. So there exists a nonzero  $f_i \in J_i = I(X_i)$ , so  $f_i$  vanishes on  $X_i$ . But then  $V(f_1) \cup V(f_2) \supset X_1 \cup X_2 = X$ , so  $X = V(f_1 f_2)$  and  $f_1 f_2 \in I(X) = \langle 0 \rangle$  and  $f_1 f_2 = 0$ . So  $A(X)$  is not a domain. ■

**Example 4.6.**

Let  $X = \{p_1, \dots, p_d\}$  be a finite set in  $\mathbb{A}^n$ . The Zariski topology on  $X$  is the discrete topology, and  $X = \coprod \{p_i\}$ . So

$$A(X) = A(\coprod \{p_i\}) = \prod_{i=1}^d A(\{p_i\}) = \prod_{i=1}^d k[x_1, \dots, x_n] / \langle x_j - a_j(p_i) \rangle_{j=1}^n.$$

**Example 4.7.**

Set  $V(x_1 x_2) = X$ , then  $A(X) = k[x_1, x_2] / \langle x_1 x_2 \rangle$ . This not being a domain (since  $x_1 x_2 = 0$ ) corresponds to  $X = V(x_1) \cup V(x_2)$  not being irreducible.

**Example 4.8.**

$\mathbb{A}^2/k$  is irreducible since  $k[x_1, \dots, x_n]$  is a domain.

**Example 4.9.**

Let  $X_1$  be the  $xy$  plane and  $X_2$  be the line parallel to the  $y$ -axis through  $[0, 0, 1]$ , and let  $X = X_1 \coprod X_2$ .

Then  $X_1 = V(z)$  and  $X_2 = V(x, z - 1)$ , and  $I(X) = \langle z \rangle \cdots \langle x, z - 1 \rangle = \langle xz, z^2 - z \rangle$ .

Then the coordinate ring is given by  $A(X) = \mathbb{C}[x, y, z] / \langle xz, z^2 - z \rangle = \mathbb{C}[x, y, z] / \langle z \rangle \oplus \mathbb{C}[x, y, z] / \langle x, z - 1 \rangle$ .

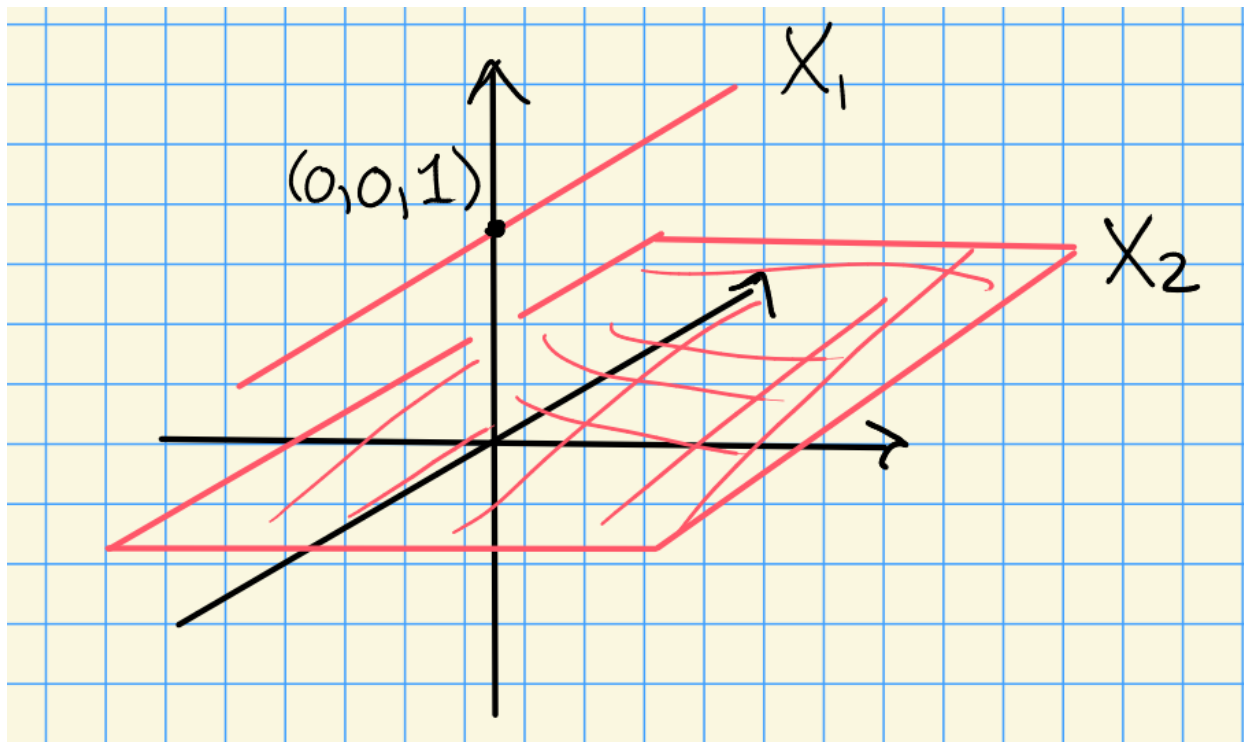


Figure 3: Image

## 5 | Thursday, September 03

Recall that the Zariski topology is defined on an affine variety  $X = V(J)$  with  $J \subseteq k[x_1, \dots, x_n]$  by describing the closed sets.

### Proposition 5.1(?).

$X$  is irreducible if its coordinate ring  $A(X)$  is a domain.

### Proposition 5.2(?).

There is a 1-to-1 correspondence

$$\left\{ \begin{array}{c} \text{Irreducible subvarieties} \\ \text{of } X \end{array} \right\} \iff \left\{ \begin{array}{c} \text{Prime ideals} \\ \text{in } A(X) \end{array} \right\}.$$

*Proof.*

Suppose  $Y \subset X$  is an affine subvariety. Then

$$A(X)/I_X(Y) = A(Y).$$

By NSS, there is a bijection between subvarieties of  $X$  and radical ideals of  $A(X)$  where  $Y \mapsto I_X(Y)$ . A quotient is a domain iff quotienting by a prime ideal, so  $A(Y)$  is a domain iff  $I_X(Y)$  is prime.

---

Recall that  $\mathfrak{p} \subseteq R$  is prime when  $fg \in \mathfrak{p} \iff f \in \mathfrak{p} \text{ or } g \in \mathfrak{p}$ . Thus  $\bar{f}\bar{g} = 0$  in  $R/\mathfrak{p}$  implies  $\bar{f} = 0$  or  $\bar{g} = 0$  in  $R/\mathfrak{p}$ , i.e.  $R/\mathfrak{p}$  is a domain.

Finally note that prime ideals are radical (easy proof).

**Example 5.1.**

Consider  $\mathbb{A}^2/\mathbb{C}$  and some subvarieties  $C_i$ :

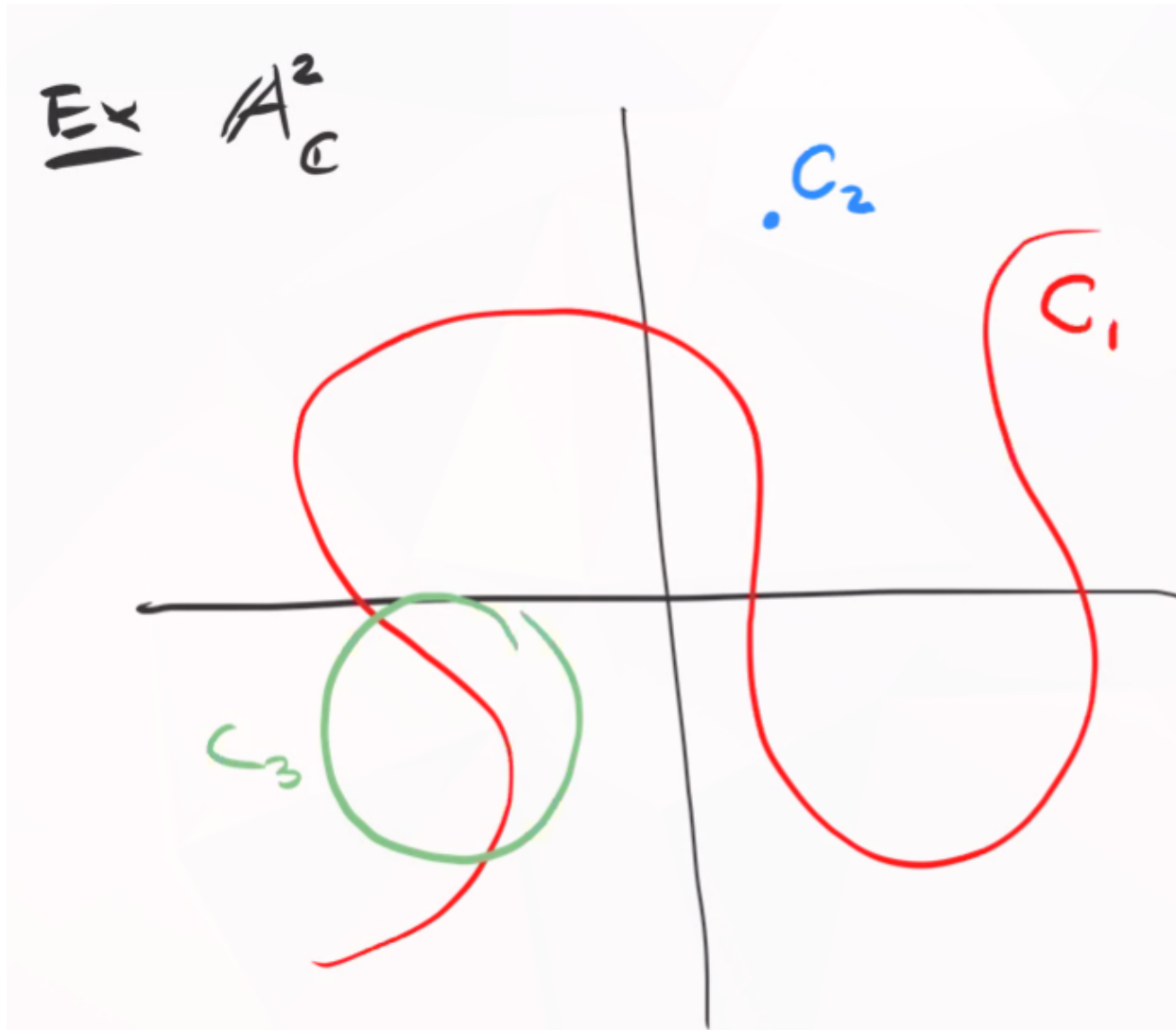


Figure 4: Subvarieties

Then irreducible subvarieties correspond to prime ideals in  $\mathbb{C}[x, y]$ . Here  $C_1, C_3$  correspond to  $V(f), V(g)$  for  $f, g$  irreducible polynomials, whereas  $C_2$  corresponds to a maximal ideal, i.e.  $V(x_1 - a_1, x_2 - a_2)$ .

---

Note that  $I(C_1 \cup C_2 \cup C_3)$  is not a prime ideal, since the variety is reducible as the union of 3 closed subsets.

**Example 5.2.**

A finite set is irreducible iff it contains only one point.

**Example 5.3.**

Any irreducible topological space is connected, since irreducible requires a union but connectedness requires a *disjoint* union.

**Example 5.4.**

$\mathbb{A}^n/k$  is irreducible: by prop 2.8, its irreducible iff the coordinate ring is a domain. However  $A(\mathbb{A}^n) = k[x_1, \dots, x_n]$ , which is a domain.

**Example 5.5.**

$V(x_1 x_2)$  is not irreducible, since it's equal to  $V(x_1) \cup V(x_2)$ .

**Definition 5.2.1** (Noetherian Space).

A *Noetherian* topological space  $X$  is a space with no infinite strictly decreasing sequence of closed subsets.

**Proposition 5.3(?)**.

An affine variety  $X$  with the zariski topology is a noetherian space.

*Proof .*

Let  $X_0 \supsetneq X_1 \supsetneq \dots$  be a decreasing sequence of closed subspaces. Then  $I(X_0) \subsetneq I(X_1) \subsetneq \dots$ . Note that these containments are strict, otherwise we could use  $V(I(X_1)) = X_1$  to get an equality in the original chain.

Recall that a ring  $R$  is Noetherian iff every ascending chain of ideals terminates. Thus it suffices to show that  $A(X)$  is Noetherian.

We have  $A(X) = k[x_1, \dots, x_n]/I(X)$ , and if this had an infinite chain  $I_1 \subsetneq I_2 \subsetneq \dots$  lifts to a chain in  $k[x_1, \dots, x_n]$ , which is Noetherian. A useful fact:  $R$  noetherian implies that  $R[x]$  is noetherian, and fields are always noetherian. ■

**Remark 5.**

Any subspace  $A \subset X$  of a noetherian space is noetherian. To see why, suppose we have a chain of closed sets in the subspace topology,

$$A \cap X_0 \supsetneq A \cap X_1 \supsetneq \dots$$

Then  $X_0 \supsetneq X_1 \supsetneq \cdots$  is a strictly decreasing chain of closed sets in  $X$ . Why strictly decreasing:  $\cap^n X_i = \cap^{n+1} X_i \implies A \cap^n X_i = A \cap^{n+1} X_i$ , a contradiction.

**Proposition 5.4(Important).**

Every noetherian space  $X$  is a finite union of irreducible closed subsets, i.e.  $X = \bigcup_{i=1}^k X_i$ . If we further assume  $X_i \not\subset X_j$  for all  $i, j$ , then the  $X_i$  are unique up to permutation.

**Remark 6.**

The  $X_i$  are the **components** of  $X$ . In the previous example  $C_1 \cup C_2 \cup C_3$  has three components.

*Proof.*

If  $X$  is irreducible, then  $X = X$  and this holds.

Otherwise, write  $X = X_1 \cup X_2$  with  $X_i$  proper closed subsets. If  $X_1$  and  $X_1'$  are irreducible, we're done, so otherwise suppose wlog  $X_1'$  is not irreducible.

Then we can express  $X = X_1 \cup (X_2 \cup X_2')$  with  $X_2, X_2' \subset X_1'$  closed and proper.

Thus we can obtain a tree whose leaves are proper closed subsets:



Figure 5: Image

This tree terminates because  $X$  is Noetherian: if it did not, this would generate an infinite decreasing chain of subspaces.

We now want to show that the decomposition is unique if no two components are contained in the other.

Suppose

$$X = \bigcup_{i=1}^k X_i = \bigcup_{j=1}^{\ell} X_j'.$$

Note that  $X_i \subset X$  implies that  $X_i = \bigcup_{j=1}^{\ell} X_i \cap X'_j$ . But  $X_i$  is irreducible and this would express  $X_i$  as a union of proper closed subsets, so some  $X_i \cap X'_j$  is *not* a proper closed subset. Thus  $X_i = X_i \cap X'_j$  for some  $j$ , which forces  $X_i \subset X'_j$ . Applying the same argument to  $X'_j$  to obtain  $X'_j \subset X_k$  for some  $k$ . Then  $X_i \subset X'_j \subset X_k$ , but  $X_i \not\subset X_j$  when  $j \neq i$ . Thus  $X_i = X'_j = X_k$ , forcing the  $X_i$  to be unique up to permutation. ■

Recall from ring theory: for  $I \subset R$  and  $R$  noetherian,  $I$  has a *primary decomposition*  $I = \bigcap_{i=1}^k Q_i$  with  $\sqrt{Q_i}$  prime. Assuming the  $Q_i$  are minimal in the sense that  $\sqrt{Q_i} \not\subset \sqrt{Q_j}$  for any  $i, j$ , this decomposition is unique.

Applying this to  $I(X) \subseteq k[x_1, \dots, x_n] = R$  yields

$$I(X) = \bigcap_{i=1}^k Q_i \implies X = V(I(X)) = \bigcup_{i=1}^k V(Q_i).$$

Letting  $P_i = \sqrt{Q_i}$ , noting that the  $P_i$  are prime and thus radical, we have  $V(Q_i) = V(P_i)$ . Writing  $X = \bigcup V(P_i)$ , we have  $I(V(P_i)) = P_i$  and thus  $A(V(P_i)) = R/P_i$  is a domain, meaning  $V(P_i)$  are irreducible affine varieties.

Conversely, if we express  $X = \bigcup X_i$ , we have  $I = I\left(\bigcup X_i\right) = \bigcap I(X_i) = \bigcap P_i$  which are irreducible since they are prime.

**Remark 7.**

There is a correspondence

$$\left\{ \begin{array}{c} \text{Irreducible components} \\ \text{of } X \end{array} \right\} \iff \left\{ \begin{array}{c} \text{Minimal prime ideals} \\ \text{in } A(X) \end{array} \right\},$$

where here *minimal* is the condition that no pair of ideals satisfies a subset containment.

**Remark 8.**

Let  $X$  be an irreducible topological space.

**Proposition 5.5(1).**

The intersection of nonempty two open sets is *never* empty.

*Proof.*

Let  $U, U'$  be open and  $X \setminus U, X \setminus U'$  closed. Then  $U \cap U' = \emptyset \iff (X \setminus U) \cup (X \setminus U') = X$ , but this is not possible since  $X$  is irreducible. ■

Irreducible iff any two nonempty open sets intersect.

---

**Proposition 5.6(?)**.

Any nonempty open set is dense, i.e. if  $U \subset X$  is open then its closure  $\text{cl}_X(U)$  is dense in  $X$ .

*Proof.*

Write  $X = \text{cl}_X(U) \cup (X \setminus U)$ . Since  $X \setminus U \neq X$  and  $X$  is irreducible, we have  $\text{cl}_X(U) = X$ . ■

## 6 | Tuesday, September 08

Review: we discussed irreducible components. Recall that the *Zariski topology* on an affine variety  $X$  has affine subvarieties as closed sets, and a *noetherian space* has no infinitely decreasing chains of closed subspaces.

We showed that any noetherian space has a decomposition into irreducible components  $X = \cup X_i$  with  $X_i$  closed, irreducible, and unique such that no two are subsets of each other. Applying this to affine varieties, a descending chain of subspaces  $X_0 \supsetneq X_1 \cdots$  in  $X$  corresponds to an increasing chain of ideals  $I(X_0) \subsetneq I(X_1) \cdots$  in  $A(X)$ . Since  $k[x_1, \dots, x_n]$  is a noetherian ring, this chain terminates, so affine varieties are noetherian.

### 6.1 Dimension

**Definition 6.0.1** (Dimensions).

Let  $X$  be a topological space.

1. The *dimension*  $\dim X \in \mathbb{N} \cup \{\infty\}$  is either  $\infty$  or the length  $n$  of the longest chain of **irreducible** closed subsets  $\emptyset \neq Y_0 \subsetneq \cdots \subsetneq Y_n \subset X$  where  $Y_n$  need not be equal to  $X$ .
2. The *codimension* of  $Y$  in  $X$ ,  $\text{codim}_X(Y)$ , for an irreducible subset  $Y \subseteq X$  is the length of the longest chain  $Y \subset Y_0 \subsetneq Y_1 \cdots \subset X$ .

**Example 6.1.**

Consider  $\mathbb{A}^1/k$ , what are the closed subsets? The finite sets, the empty set, and the entire space.

What are the irreducible closed subsets? Every point is a closed subset, so sets with more than one point are reducible. So the only irreducible closed subsets are  $\{a\}$ ,  $\mathbb{A}^1/k$ , since an affine variety is irreducible iff its coordinate ring is a domain and  $A(\mathbb{A}^1/k) = k[x]$ . We can check

$$\emptyset \subseteq Y_0 = \{a\} \subseteq Y_1 = \mathbb{A}^1/k,$$

which is of length 1, so  $\dim(\mathbb{A}^1/k) = 1$ .

Note that we count the number of nontrivial strict subset containments in this chain.

**Example 6.2.**

Consider  $V(x_1x_2) \subset \mathbb{A}^2/k$ , the union of the  $x_i$  axes. Then the closed subsets are  $V(x_1), V(x_2)$ , along with finite sets and their unions. What is the longest chain of irreducible closed subsets?



Note that  $k[x_1, x_2]/\langle x_1 \rangle \cong k[x_2]$  is a domain, so  $V(x_i)$  are irreducible. So we can have a chain

$$\emptyset \subsetneq \{a\} \subsetneq V(x_1) \subset X,$$

where  $a$  is any point on the  $x_2$ -axis, so  $\dim(X) = 1$ .

The only closed sets containing  $V(x_1)$  are  $V(x_1) \cup S$  for  $S$  some finite set, which can not be irreducible.

**Remark 9.**

You may be tempted to think that if  $X$  is noetherian then the dimension is finite. However, finite dimension requires a bounded length on descending/ascending chains, whereas noetherian only requires “termination”, which may not happen in a bounded number of steps. So this is **false**!

**Example 6.3.**

Take  $X = \mathbb{N}$  and define a topology by setting closed subsets be the sets  $\{0, \dots, n\}$  as  $n$  ranges over  $\mathbb{N}$ , along with  $\mathbb{N}$  itself. Is  $X$  noetherian? Check descending chains of closed sets:

$$\mathbb{N} \supsetneq \{0, \dots, N\} \supsetneq \{0, \dots, N-1\} \dots,$$

which has length at most  $N$ , so it terminates and  $X$  is noetherian.

But note that all of these closed subsets  $X_N := \{0, \dots, N\}$  are irreducible. Why? If  $X_n = X_i \cup X_j$  then one of  $i, j$  is equal to  $N$ , i.e  $X_i, X_j = X_N$ .

So for every  $N$ , there exists a chain of irreducible closed subsets of length  $N$ , implying that  $\dim(\mathbb{N}) = \infty$ .

**Remark 10.**

Let  $X$  be an affine variety. There is a correspondence

$$\left\{ \begin{array}{c} \text{Chains of irreducible closed subsets} \\ Y_0 \subsetneq \dots \subsetneq Y_n \text{ in } X \end{array} \right\} \left\{ \begin{array}{c} \text{Chains of prime ideals} \\ P_0 \supsetneq \dots \supsetneq P_n \text{ in } A(X) \end{array} \right\}.$$

Why? We have a correspondence between closed subsets and radical ideals. If we specialize to irreducible, we saw that these correspond to radical ideals  $I \subset A(X)$  such that  $A(Y) := A(X)/I$  is a domain, which precisely correspond to prime ideal in  $A(X)$ .

We thus make the following definition:

**Definition 6.0.2** (Krull Dimension).

The *krull dimension* of a ring  $R$  is the length  $n$  of the longest chain of prime ideals

$$P_0 \supsetneq P_1 \supsetneq \dots \supsetneq P_n.$$

---

**Remark 11.**

This uses the key fact from commutative algebra: a finitely generated  $k$ -algebra  $M$  satisfies

1.  $M$  has finite  $k$ -dimension
2. If  $M$  is a domain, every maximal chain has the same length.

**Remark 12.**

From scheme theory: for any ring  $R$ , there is an associated topological space  $\text{Spec } R$  given by the set of prime ideals in  $R$ , where the closed sets are given by

$$V(I) = \left\{ \text{Prime ideals } \mathfrak{p} \leq R \mid I \subseteq \mathfrak{p} \right\}.$$

If  $R$  is a noetherian ring, then  $\text{Spec}(R)$  is a noetherian space.

**Example 6.4.**

Using the fact above, let's compute  $\dim \mathbb{A}^n/k$ . We can take the following chain of prime ideals in  $k[x_1, \dots, x_n]$ :

$$0 \subsetneq \langle x_1 \rangle \subsetneq \langle x_1, x_2 \rangle \cdots \subsetneq \langle x_1, \dots, x_n \rangle.$$

By applying  $V(\cdot)$  we obtain

$$\mathbb{A}^n/k \supsetneq \mathbb{A}^{n-1}/k \cdots \supsetneq \mathbb{A}^0/k = \{0\} \supsetneq \emptyset,$$

where we know each is irreducible and closed, and it's easy to check that these are maximal:

If there were an ideal  $\langle x_1, x_2 \rangle \subset P \subset \langle x_1, x_2, x_3 \rangle$ , then take  $P \cap k[x_1, x_2, x_3]/\langle x_1, x_2 \rangle$  which would yield a polynomial ring in  $k[x_1]$ . But we know the only irreducible sets in  $\mathbb{A}^1/k$  are a point and the entire space.

So this is a chain of maximal length, implying  $\dim \mathbb{A}^n/k = n$ .

## 7 | Thursday, September 10

Recall that the dimension of a ring  $R$  is the length of the longest chain of prime ideals. Similarly, for an affine variety  $X$ , we defined  $\dim X$  to be the length of the longest chain of irreducible closed subsets.

These notions of dimension of the same when taking  $R = A(X)$ , i.e.  $\dim \mathbb{A}^n/k = n$ .

**Proposition 7.1 (Dimensions).**

Let  $k = \bar{k}$ .

- a. The dimension of  $k[x_1, \dots, x_n]$  is  $n$ .
- b. All maximal chains of prime ideals have length  $n$ .

## 7.1 Proof of Dimension Proposition

The case for  $n = 0$  is trivial, just take  $P_0 = \langle 0 \rangle$ . For  $n = 1$ , easy to see since the only prime ideals in  $k[x]$  are  $\langle 0 \rangle$  and  $\langle x - a \rangle$ , since any polynomial factors into linear factors.

Let  $P_0 \subsetneq \cdots \subsetneq P_m$  be a maximal chain of prime ideals in  $k[x_1, \dots, x_n]$ ; we then want to show that  $m = n$ . Assume  $P_0 = \langle 0 \rangle$ , since we can always extend our chain to make this true (using maximality). Then  $P_1$  is a minimal prime and  $P_m$  is a maximal ideal (and maximals are prime).

**Claim:**  $P_1$  is principle, i.e.  $P_1 = \langle f \rangle$  for some irreducible  $f$ .

### 7.1.1 Proof That $P_1$ is Principle

**Claim:**  $k[x_1, \dots, x_n]$  is a unique factorization domain. This follows since  $k$  is a UFD since it's a field, and  $R$  a UFD  $\implies R[x]$  is a UFD for any  $R$ .

See Gauss' lemma.

**Claim:** In a UFD, minimal primes are principal. Let  $r \in P$ , and write  $r = u \prod p_i^{n_i}$  with  $p_i$  irreducible and  $u$  a unit. So some  $p_i \in P$ , and  $p_i$  irreducible implies  $\langle p_i \rangle$  is prime. Since  $0 \subsetneq \langle p_i \rangle \subset P$ , but  $P$  was prime and assumed minimal, so  $\langle p_i \rangle = P$ .

The idea is to now transfer the chain  $P_0 \subsetneq \cdots \subsetneq P_m$  to a maximal chain in  $k[x_1, \dots, x_{n-1}]$ . The first step is to make a linear change of coordinates so that  $f$  is monic in the variable  $x_n$ .

#### Example 7.1.

Take  $f = x_1x_2 + x_3^2x_4$  and map  $x_3 \mapsto x_3 + x_4$ .

So write

$$f(x_1, \dots, x_n) = x_n^d + f_1(x_1, \dots, x_{n-1})x_n^{d-1} + \cdots + f_d(x_1, \dots, x_{n-1}).$$

We can then descend to  $k[x_1, \dots, x_n]$  to  $k[x_1, \dots, x_n]/\langle f \rangle$ :

$$\begin{array}{ccccccc} P_0 & \longrightarrow & P_1 & \longrightarrow & \cdots & \longrightarrow & P_m \\ & & \downarrow & & \downarrow & & \downarrow \\ & & P_1/P_1 & \longrightarrow & \cdots & \longrightarrow & P_m/P_1 \\ & & \downarrow & & \downarrow & & \downarrow \\ & & P_1/P_1 \cap k[x_1, \dots, x_{n-1}] & \longrightarrow & \cdots & \longrightarrow & (P_m/P_1) \cap k[x_1, \dots, x_{n-1}] \end{array}$$

The first set of downward arrows denote taking the quotient, and the upward is taking inverse images, and this preserves strict inequalities.

#### Definition 7.1.1 (Integral Extension).

An *integral* ring extension  $R \hookrightarrow R'$  of  $R$  is one such that all  $r' \in R'$  satisfying a monic polynomial with coefficients in  $R$ , where  $R'$  is finitely generated.

In this case, also implies that  $R'$  is a finitely-generated  $R$  module.

In this case,  $k[x_1, \dots, x_{n-1}] \hookrightarrow k[x_1, \dots, x_n]/\langle f \rangle$  is an integral extension. We want to show that the intersection step above also preserves strictness of inclusions, since it preserves primality.

**Lemma 7.2.**

Suppose  $P', Q' \subset R'$  are distinct prime ideals with  $R \hookrightarrow R'$  an integral extension. Then if  $P' \cap R = Q' \cap R$ , neither contains the other, i.e.  $P' \not\subset Q'$  and  $Q' \not\subset P'$ .

*Proof.*

Toward a contradiction, suppose  $P' \subset Q'$ , we then want to show that  $Q' \supset P'$ . Let  $a \in Q' \setminus P'$  (again toward a contradiction), then

$$R/(P' \cap R) \hookrightarrow R'/P'$$

is integral.

Then  $\bar{a} \neq 0$  in  $R'/P'$ , and there exists a monic polynomial of minimal degree that  $\bar{a}$  satisfies,  $p(x) = x^n + \sum_{i=2}^n \bar{c}_i x^{n-i}$ . This implies  $\bar{c}_n \in Q'/P'$  (which will contradict  $c_n \in P'$ ), since if  $\bar{c}_n = 0$  then factoring out  $x$  yields a lower degree polynomial that  $\bar{a}$  satisfies.

But then  $\bar{a}_n \in Q' \cap R$ , so ???

■

Question: Given  $R \hookrightarrow R'$  is an integral extension, can we lift chains of prime ideals?

Answer: Yes, by the “Going Up” Theorem: given  $P \subset R$  prime, there exists  $P' \subset R'$  prime such that  $P' \cap R = P$ . Furthermore, we can lift  $P_1 \subset P_2$  to  $P'_1 \subset P'_2$ , as well as “lifting sandwiches”:

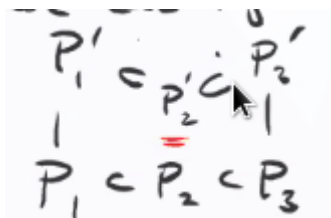


Figure 6: Image

In this process, the length of the chain decreased since  $\langle 0 \rangle$  was deleted, but otherwise the chains are in bijective correspondence. So the inductive hypothesis applies. ■

## 7.2 Using Dimension Theory

Key fact used: the dimension doesn't change under integral extensions, i.e. if  $R \hookrightarrow R'$  is integral then  $\dim R = \dim R'$ .

**Claim:** Any affine variety has finite dimension.

*Proof.*

We have  $\dim X = \dim A(X)$ , where  $A(X) := k[x_1, \dots, x_n]/I$  for some  $I(X) = \sqrt{I(X)}$ .

The noether normalization lemma (used in proof of nullstellensatz) shows that a finitely generated  $k$ -algebra is an integral extension of some polynomial ring  $k[y_1, \dots, y_d]$ . I.e., the following extension is integral:

$$k[y_1, \dots, y_d] \hookrightarrow k[x_1, \dots, x_n]/I.$$

We can conclude that  $\dim A(X) = d < \infty$ . ■

**Proposition 7.3(?)**.

Let  $X, Y$  be irreducible affine varieties. Then

- a.  $\dim X \times Y = \dim X + \dim Y$ .
- b.  $Y \subset X \implies \dim X = \dim Y + \operatorname{codim}_X Y$ .
- c. If  $f \in A(X)$  is nonzero, then any component of  $V(f)$  has codimension 1.

*Proof .*

**Remark .**

Why is  $X \times Y$  again an affine variety? If  $X \subset \mathbb{A}^n/k$ ,  $Y \subset \mathbb{A}^m/k$  with  $X = V(I)$ ,  $Y = V(J)$ , then  $X \times Y \subset \mathbb{A}^n/k \times \mathbb{A}^m/k = \mathbb{A}^{n+m}/k$  can be given by taking  $I+J \subseteq k[x_1, \dots, x_n, y_1, \dots, y_m]$  using the natural inclusions of  $k[x_1, \dots, x_\ell]$ .

Note that we can write

$$k[x_1, \dots, x_n, y_1, \dots, y_m] = k[x_1, \dots, x_n] \otimes_k k[y_1, \dots, y_m]$$

where we think of  $x_i = x_i \otimes 1$ ,  $y_j = 1 \otimes y_j$ . We thus map  $I, J$  to  $I \otimes 1 + 1 \otimes J$  and obtain  $V(I \otimes 1 + 1 \otimes J) = X \times Y$  and  $A(X \times Y) = A(X) \otimes_k A(Y)$ .

In general, for  $k$ -algebras  $R, S$ ,

$$R/I \otimes_k S/J \cong R \otimes_k S / \langle I \otimes 1 + 1 \otimes J \rangle.$$

**Remark .**

For  $R, S$  finitely generated  $k$ -algebras,  $\dim R \otimes_k S = \dim R + \dim S$ .

Part (a) is proved by the above remarks.

For part (b), the statement is equivalent to  $P \subset A(X)$  with  $I(Y) \subset P$  is a member of some maximal chain, along with the statement that all maximal chains are the same length. ■

# 8 | Tuesday, September 15

## 8.1 Review

Let  $k = \bar{k}$ , we're setting up correspondences

Ring Theory	Geometry/Topology of Affine Varieties
Polynomial functions	Affine space
$k[x_1, \dots, x_n]$	$\mathbb{A}^n/k := \{[a_1, \dots, a_n] \in k^n\}$
Maximal ideals $\langle x_1 - a_1, \dots, x_n - a_n \rangle$	Points $[a_1, \dots, a_n] \in \mathbb{A}^n/k$
Radical ideals $I \leq k[x_1, \dots, x_n]$	Affine varieties $X \subset \mathbb{A}^n/k$ , vanishing loci of polynomials
	$I \mapsto V(I) := \{a \mid f(a) = 0 \forall f \in I\}$
	$I(X) := \{f \mid f _X = 0\} \leftarrow X$
Radical ideals containing $I(X)$ , i.e. ideals in $A(X)$	closed subsets of $X$ , i.e. affine subvarieties
$A(X)$ is a domain	$X$ irreducible
$A(X)$ is not a direct sum	$X$ connected
Prime ideals in $A(X)$	Irreducible closed subsets of $X$
Krull dimension $n$ (longest chain of prime ideals)	$\dim X = n$ , (longest chain of irreducible closed subsets).

Recall that we defined the coordinate ring  $A(X) := k[x_1, \dots, x_n]/I(X)$ , which contained no nilpotents.

We had some results about dimension

1.  $\dim X < \infty$  and  $\dim \mathbb{A}^n = n$ .
2.  $\dim Y + \text{codim}_X Y = \dim X$  when  $Y \subset X$  is irreducible.
3. Only over  $\bar{k} = k$ ,  $\text{codim}_X V(f) = 1$ .

### Example 8.1.

Take  $V(x^2 + y^2) \subset \mathbb{A}^2/\mathbb{R}$

#### Definition 8.0.1 (?).

An affine variety  $Y$  of

- $\dim Y = 1$  is a **curve**,
- $\dim Y = 2$  is a **surface**,
- $\text{codim}_X Y = 1$  is a **hypersurface in  $X$**

Question: Is every hypersurface the vanishing locus of a *single* polynomials  $f \in A(X)$ ?

Answer: This is true iff  $A(X)$  is a UFD.

#### Definition 8.0.2 (Codimension in a Ring).

$\text{codim}_R \mathfrak{p}$  is the length of the longest chain

$$P_0 \subsetneq P_1 \subsetneq \dots \subsetneq P_n = \mathfrak{p}.$$

Recall that  $f$  is irreducible if  $f = f_1 f_2 \implies f_i \in R^\times$  for one  $i$ , and  $f$  is prime iff  $\langle f \rangle$  is a prime ideal, or equivalently  $f \mid ab \implies f \mid a$  or  $f \mid b$ .

Note that prime implies irreducible, since  $f$  divides itself.

**Proposition 8.1(?)**.

Let  $R$  be a Noetherian domain, then TFAE

- a. All prime ideals of codimension 1 are principal.
- b.  $R$  is a UFD.

*Proof.*

$a \implies b$ :

Let  $f$  be a nonzero non-unit, we'll show it admits a prime factorization. If  $f$  is not irreducible, then  $f = f_1 f'_1$ , both non-units. If  $f'_1$  is not irreducible, we can repeat this, to get a chain

$$\langle f \rangle \subsetneq \langle f'_1 \rangle \subsetneq \langle f'_2 \rangle \subsetneq \cdots,$$

which must terminate.

This yields a factorization  $f = \prod f_i$  with  $f_i$  irreducible. To show that  $R$  is a UFD, it thus suffices to show that the  $f_i$  are prime. Choose a minimal prime ideal containing  $f$ . We'll use Krull's Principal Ideal Theorem: if you have a minimal prime ideal  $\mathfrak{p}$  containing  $f$ , its codimension  $\text{codim}_R \mathfrak{p}$  is one. By assumption, this implies that  $\mathfrak{p} = \langle g \rangle$  is principal. But  $g \mid f$  with  $f$  irreducible, so  $f, g$  differ by a unit, forcing  $\mathfrak{p} = \langle f \rangle$ . So  $\langle f \rangle$  is a prime ideal.

$b \implies a$ :

Let  $\mathfrak{p}$  be a prime ideal of codimension 1. If  $\mathfrak{p} = \langle 0 \rangle$ , it is principal, so assume not. Then there exists some nonzero non-unit  $f \in \mathfrak{p}$ , which by assumption has a prime factorization since  $R$  is assumed a UFD. So  $f = \prod f_i$ .

Since  $\mathfrak{p}$  is a prime ideal and  $f \in \mathfrak{p}$ , some  $f_i \in \mathfrak{p}$ . Then  $\langle f_i \rangle \subset \mathfrak{p}$  and  $\mathfrak{p}$  minimal implies  $\langle f_i \rangle = \mathfrak{p}$ , so  $\mathfrak{p}$  is principal. ■

**Corollary 8.2(?)**.

Every hypersurface  $Y \subset X$  is cut out by a single polynomial, so  $Y = V(f)$ , iff  $A(X)$  is a UFD.

**Example 8.2.**

Apply this to  $R = A(X)$ , we find that there is a bijection

$$\text{codim1 prime ideals} \iff \text{codim1 closed irreducible subsets } Y \subset X, \text{ i.e. hypersurfaces.}$$

Taking  $A(X) = \mathbb{C}[x, y, z] / \langle x^2 + y^2 - z^2 \rangle$ , whose real points form a cone:



Figure 7: Image

Note that  $x^2 + y^2 = (x - iy)(x + iy) = z^2$  in this quotient, so this is not a UFD.

Then taking a line through its surface is a codimension 1 subvariety not cut out by a single polynomial. Such a line might be given by  $V(x + iy, z)$ , which is 2 polynomials, so why not codimension 2?

Note that  $V(z)$  is the union of the lines

- $z = 0, x + iy = 0,$
- $z = 0, x - iy = 0.$

Note that it suffices to show that this ring has an irreducible that is not prime. Supposing  $z = f_1 f_2$ , some  $f_i$  is a unit, then  $z$  is not prime because  $z \mid xy$  but divides neither of  $x, y$ .

### Example 8.3.

Note that  $k[x_1, \dots, x_n]$  is a UFD since  $k$  is a UFD. Applying the corollary, every hypersurface in  $\mathbb{A}^n$  is cut out by a single irreducible polynomial.



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**Definition 8.2.1** (?).

An affine variety  $X$  is of **pure dimension**  $d$  iff every irreducible component  $X_i$  is of dimension  $d$ .

Note that  $X$  is a Noetherian space, so has a unique decomposition  $X = \cup X_i$ .

Given  $X \subset \mathbb{A}^n/k$  of pure dimension  $n - 1$ ,  $X = \cup X_i$  with  $X_i$  hypersurfaces with  $I(X_j) = \langle f_j \rangle$ ,  $I(X) = \langle f \rangle$  where  $f = \prod f_i$ .

**Definition 8.2.2** (?).

Given such an  $X$ , define the **degree of a hypersurface** as the degree of  $f$  where  $I(X) = \langle f \rangle$ .

# 9 | Thursday, September 17

## 9.1 Regular Functions

See chapter 3 in the notes.

Some examples:

- $X$  a manifold or an open set in  $\mathbb{R}^n$  has a ring of  $C^\infty$  functions.
- $X \subset \mathbb{C}$  has a ring of holomorphic functions.
- $X \subset \mathbb{R}$  has a ring of real analytic functions

These all share a common feature: it suffices to check if a function is a member on an arbitrary open set about a point, i.e. they are *local*.

**Definition 9.0.1** (?).

Let  $X$  be an affine variety and  $U \subseteq X$  open. A **regular function** on  $U$  is a function  $\varphi : U \rightarrow k$  such that  $\varphi$  is “locally a fraction”, i.e. a ratio of polynomial functions.

More formally, for all  $p \in U$  there exists a  $U_p$  with  $p \in U_p \subseteq U$  such that  $\varphi(x) = g(x)/f(x)$  for all  $x \in U_p$  with  $f, g \in A(X)$ .

**Example 9.1.**

For  $X$  an affine variety and  $f \in A(X)$ , consider the open set  $U := V(f)^c$ . Then  $\frac{1}{f}$  is a regular function on  $U$ , so for  $p \in U$  we can take  $U_p$  to be all of  $U$ .

**Example 9.2.**

For  $X = \mathbb{A}^1$ , take  $f = x - 1$ . Then  $\frac{x}{x - 1}$  is a regular function on  $\mathbb{A}^1 \setminus \{1\}$ .

**Example 9.3.**

Let  $X = V(x_1x_4 - x_2x_3)$  and

$$U := X \setminus V(x_2, x_4) = \left\{ [x_1, x_2, x_3, x_4] \mid x_1x_4 = x_2x_3, x_2 \neq 0 \text{ or } x_4 \neq 0 \right\}.$$

Define

$$\varphi : U \rightarrow K$$

$$[x_1, x_2, x_3, x_4] \mapsto \begin{cases} \frac{x_1}{x_2} & \text{if } x_2 \neq 0 \\ \frac{x_3}{x_4} & \text{if } x_4 \neq 0 \end{cases}.$$

This is well-defined on  $\{x_2 \neq 0\} \cap \{x_4 \neq 0\}$ , since  $\frac{x_1}{x_2} = \frac{x_3}{x_4}$ . Note that this doesn't define an element of  $k$  at  $[0, 0, 0, 1] \in U$ . So this is not globally a fraction.

Notation: we'll let  $\mathcal{O}_X(U)$  is the ring of regular function on  $U$ .

**Proposition 9.1(?)**.

Let  $U \subset X$  be an affine variety and  $\varphi \in \mathcal{O}_X(U)$ . Then  $V(\varphi) := \{x \in U \mid \varphi(x) = 0\}$  is closed in the subspace topology on  $U$ .

*Proof.*

For all  $a \in U$  there exists  $U_a \subset U$  such that  $\varphi = g_a/f_a$  on  $U_a$  with  $f_a, g_a \in A(X)$  with  $f_a \neq 0$  on  $U_a$ .

Then

$$\{x \in U_a \mid \varphi(x) \neq 0\} = U_a \setminus V(g_a) \cap U_a$$

is an open subset of  $U_a$ , so taking the union over  $a$  again yields an open set. But this is precisely  $V(\varphi)^c$ . ■

**Proposition 9.2.**

Let  $U \subset V$  be open in  $X$  an *irreducible* affine variety. If  $\varphi_1, \varphi_2 \in \mathcal{O}_X(V)$  agree on  $U$ , then they are equal.

*Proof.*

$V(\varphi_1 - \varphi_2)$  contains  $U$  and is closed in  $V$ . It contains  $\bar{U} \cap V$ , by an earlier lemma,  $X$  irreducible implies that  $\bar{U} = X$  and so  $V(\varphi_1 - \varphi_2) = V$ . ■

Compare and contrast: Let  $U \subset V \subset \mathbb{R}^n$  be open. If  $\varphi_1, \varphi_2 \in C^\infty(V)$  such that  $\varphi_1, \varphi_2$  are equal when restricted  $U \subset V$ . Does this imply  $\varphi_1 = \varphi_2$ ?

For  $\mathbb{R}^n$ , no, there exist smooth bump functions. You can make a bump function on  $V \setminus U$  and extend by zero to  $U$ . For  $\mathbb{C}$  and holomorphic functions, the answer is yes, by the uniqueness of analytic continuation.

**Definition 9.2.1** ((Important) Distinguished Opens).

A **distinguished open set** in an affine variety is one of the form

$$D(f) := X \setminus V(f) = \{x \in X \mid f(x) \neq 0\}.$$

**Proposition 9.3.**

The distinguished open sets form a base of the zariski topology.

*Proof.*

Given  $f, g \in A(X)$ , we can check:

1. Closed under finite intersections:  $D(f) \cap D(g) = D(fg)$ .
- 2.

$$U = X \setminus V(f_1, \dots, f_k) = V \setminus \bigcap V(f_i) = \bigcup D(f_i),$$

and any open set is a *finite* union of distinguished opens by the Hilbert basis theorem. ■

**Proposition 9.4(?)**.

The regular functions on  $D(f)$  are given by

$$\mathcal{O}_X(D(f)) = \left\{ \frac{g}{f^n} \mid g \in A(X), n \in \mathbb{N} \right\} = A(X)_{\langle f \rangle},$$

the localization of  $A(X)$  at  $\langle f \rangle$ .

Note that if  $f = 1$ , then  $\mathcal{O}_X(X) = A(X)$ .

**Proposition 9.5(?)**.

Note that  $\frac{g}{f^n} \in \mathcal{O}_X(D(f))$  since  $f^n \neq 0$  on  $D(f)$ . Let  $\varphi : D(f) \rightarrow k$  be a regular function.

By definition, for all  $a \in D(f)$  there exists a local representation as a fraction  $\varphi = g_a/f_a$  on  $U_a \ni a$ . Note that  $U_a$  can be covered by distinguished opens, one of which contains  $a$ . Shrink  $U_a$  if necessary to assume it is a distinguished open set  $U_a = D(h_a)$ .

Now replace

$$\varphi = \frac{g_a}{f_a} = \frac{g_a h_a}{f_a h_a},$$

which makes sense because  $h_a \neq 0$  on  $U_a$ . We can assume wlog that  $h_a = f_a$ . Why? We have  $\varphi = \frac{g_a}{f_a}$  on  $D(f_a)$ . Since  $f_a$  doesn't vanish on  $U_a$ , we have  $V(f_a h_a) = V(h_a)$  since  $V(f_a) \subset D(h_a)^c = V(h_a)$ .

Consider  $U_a = D(f_a)$  and  $U_b = D(f_b)$ , on which  $\varphi = \frac{g_a}{f_a}$  and  $\varphi = \frac{g_b}{f_b}$  respectively. On  $U_a \cap U_b = D(f_a f_b)$ , these are equal, i.e.  $f_b g_a = f_a g_b$  in the coordinate ring  $A(X)$ .

Then  $D(f) = \bigcup_a D(f_a)$ , so take the component  $V(f) = \bigcap V(f_a)$  by the Nullstellensatz  $f \in$

$$I(V(f_a)) = I(V(g_a, a \in D_f)) = \sqrt{f_a \mid a \in D_f}.$$

Then there exists an expression  $f^n = \sum k_a f_a$  as a finite sum, so set  $g = \sum g_a k_a$ .

**Claim:**  $\varphi = g/f^n$  on  $D(f)$ .

This follows because on  $D(f_b)$ , we have  $\varphi = \frac{g_b}{f_b}$ , and so  $g f_b = \sum k_a g_a f_b$ .

Finish next class

## 10 | Misc Unsorted

algebra	$\leftrightarrow$	geometry
radical ideal $I = \sqrt{I}$	$\rightarrow$	$V(I)$ variety
$I(V)$ ideal of a set	$\leftarrow$	solution set $V$
sum of ideals $I + J$	$\rightarrow$	$V(I) \cap V(J)$ intersection of varieties
$\sqrt{I(V) + I(W)}$ radical of sum	$\leftarrow$	intersection of sets $V \cap W$
product of ideals $IJ$	$\rightarrow$	$V(I) \cup V(J)$ union of varieties
$\sqrt{I(V)I(W)}$ radical of product	$\leftarrow$	union of sets $V \cup W$
intersection of ideals $I \cap J$	$\rightarrow$	$V(I) \cup V(J)$ union of varieties
$I(V) \cap I(W)$	$\leftarrow$	union of sets $V \cup W$
quotient of ideals $I : J$	$\rightarrow$	$\overline{V(I) - V(J)}$ difference of varieties
$I(V) : I(W)$	$\leftarrow$	difference of sets $\overline{V - W}$
elimination $\sqrt{I \cap \mathbb{C}[x_{k+1}, \dots, x_n]}$	$\leftrightarrow$	$\overline{\pi_k(V(I))}$ projection of varieties

Figure 8: Image