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GdlZd

Generating Functions

Functions

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The Weil Conjectures

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Zeta Functions

Examples

Background: Generating Functions

### **Varieties**

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Function

Fix q a prime and  $\mathbb{F} := \mathbb{F}_q$  the (unique) finite field with q elements, along with its (unique) degree n extensions

$$\mathbb{F}_{q^n} = \left\{ x \in \overline{\mathbb{F}}_q \mid x^{q^n} - x = 0 \right\} \quad \forall \ n \in \mathbb{Z}^{\geq 2}$$

#### Definition (Projective Algebraic Varieties)

Let  $J=\langle f_1,\cdots,f_M\rangle \leq k[x_0,\cdots,x_n]$  be an ideal, then a *projective algebraic* variety  $X\subset \mathbb{P}^n_{\mathbb{F}}$  can be described as

$$X = V(J) = \left\{ \mathbf{x} \in \mathbb{P}_{\mathbb{F}}^{n} \mid f_{1}(\mathbf{x}) = \cdots = f_{M}(\mathbf{x}) = \mathbf{0} \right\}$$

where J is generated by homogeneous polynomials in n+1 variables, i.e. there is a fixed  $d=\deg f_i\in\mathbb{Z}^{\geq 1}$  such that

$$f(\mathbf{x}) = \sum_{\substack{\mathbf{i} = (i_1, \cdots, i_n) \\ \sum_i i_i = d}} \alpha_{\mathbf{i}} \cdot x_0^{i_1} \cdots x_n^{i_n} \quad \text{ and } \quad f(\lambda \cdot \mathbf{x}) = \lambda^d f(\mathbf{x}), \lambda \in \mathbb{F}^{\times}.$$

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- For a fixed variety X, we can consider its  $\mathbb{F}$ -points  $X(\mathbb{F})$ .
  - Note that  $\#X(\mathbb{F})<\infty$  is an integer
- For any  $L/\mathbb{F}$ , we can also consider X(L)
  - In particular, we can consider  $X(\mathbb{F}_{q^n})$  for any  $n \geq 2$ .
  - We again have  $\#X(\mathbb{F}_{q^n})<\infty$  and are integers for every such n.
- So we can consider the sequence

$$[N_1, N_2, \cdots, N_n, \cdots] := [\#X(\mathbb{F}), \#X(\mathbb{F}_{q^2}), \cdots, \#X(\mathbb{F}_{q^n}), \cdots].$$

 Idea: associate some generating function (a formal power series) encoding sequence, e.g.

$$F(z) = \sum_{n=1}^{\infty} N_n z^n = N_1 z + N_2 z^2 + \cdots$$

### Why Generating Functions?

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Zeta Functions Note that for such an ordinary generating functions, the coefficients are related to the real-analytic properties of F: we can easily recover the coefficients in the following way:

$$[z^n] \cdot F(z) = [z^n] \cdot T_{F,z=0}(z) = \frac{1}{n!} \left(\frac{\partial}{\partial z}\right)^n F(z) \bigg|_{z=0} = N_n.$$

They are also related to the complex analytic properties: using the Residue theorem,

$$[z^n] \cdot F(z) := \frac{1}{2\pi i} \oint_{\mathbb{S}^1} \frac{F(z)}{z^{n+1}} dz = \frac{1}{2\pi i} \oint_{\mathbb{S}^1} \frac{N_n}{z} dz = N_n.$$

The latter form is very amenable to computer calculation.

### Why Generating Functions?

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Zeta Functions An OGF is an infinite series, which we can interpret as an analytic function  $\mathbb{C} \longrightarrow \mathbb{C}$  – in nice situations, we can hope for a closed-form representation.

A useful example: by integrating a geometric series we can derive

$$\frac{1}{1-z} = \sum_{n=0}^{\infty} z^n \qquad (= 1 + z + z^2 + \cdots)$$

$$\implies \int \frac{1}{1-z} = \int \sum_{n=0}^{\infty} z^n$$

$$= \sum_{n=0}^{\infty} \int z^n \quad for|z| < 1 \quad \text{by uniform convergence}$$

$$= \sum_{n=0}^{\infty} \frac{1}{n+1} z^{n+1}$$

$$\implies -\log(1-z) = \sum_{n=0}^{\infty} \frac{z^n}{n} \qquad \left(= z + \frac{z^2}{2} + \frac{z^3}{3} + \cdots\right).$$

For completeness, also recall that

$$\exp(z) := \sum_{n=0}^{\infty} \frac{z^n}{n!}$$

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### Zeta Functions

### Definition: Local Zeta Function

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Zeta Functions Problem: count points of a (smooth?) projective variety  $X/\mathbb{F}$  in all degree n extensions of  $\mathbb{F}$ .

#### Definition (Local Zeta Function)

The *local zeta function* of an algebraic variety X is the following formal power series:

$$Z_X(z) = \exp\left(\sum_{n=1}^{\infty} N_n \frac{z^n}{n}\right) \in \mathbb{Q}[[z]]$$
 where  $N_n := \#X(\mathbb{F}_n)$ .

Note that

$$z\left(\frac{\partial}{\partial z}\right)\log Z_X(z) = z\frac{\partial}{\partial z}\left(N_1z + N_2\frac{z^2}{2} + N_3\frac{z^3}{3} + \cdots\right)$$

$$= z\left(N_1 + N_2z + N_3z^2 + \cdots\right) \qquad \text{(unif. conv.)}$$

$$= N_1z + N_2z^2 + \cdots = \sum_{n=1}^{\infty} N_nz^n,$$

which is an *ordinary* generating function for the sequence  $(N_n)$ .

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#### Examples

# Examples

### Example: A Point

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Take  $X = \{pt\} = V(\{f(x) = 0\})/\mathbb{F}$  a single point over  $\mathbb{F}$ , then

$$\#X(\mathbb{F}) := N_1 = 1$$
  
 $\#X(\mathbb{F}_2) := N_2 = 1$   
 $\vdots$   
 $\#X(\mathbb{F}_n) := N_n = 1$   
 $\vdots$ 

and so

$$Z_{\{pt\}}(z) = \exp\left(1 \cdot z + 1 \cdot \frac{z^2}{2} + 1 \cdot \frac{z^3}{3} + \cdots\right)$$
$$= \exp\left(-\log\left(1 - z\right)\right)$$
$$= \frac{1}{1 - z}.$$

Notice: Z admits a closed form and is a rational function.

### Example: The Affine Line

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Zeta Functions Examples Take  $X = \mathbb{A}^1/\mathbb{F}$  the affine line over  $\mathbb{F}$ , then We can write

$$\mathbb{A}^{1}(\mathbb{F}_{n}) = \left\{ \mathbf{x} = [x_{1}] \mid x_{1} \in \mathbb{F}_{q} \right\}$$

as the set of one-component vectors with entries in  $\mathbb{F}_n$ , so

$$X(\mathbb{F}) = q$$

$$X(\mathbb{F}_2) = q^2$$

$$\vdots$$

$$X(\mathbb{F}_n) = q^n.$$

Then

$$Z_X(z) = \exp\left(\sum_{n=1}^{\infty} q^n \frac{z^n}{n}\right)$$
$$= \exp(-\log(1 - qz))$$
$$= \frac{1}{1 - qz}.$$

## Example: Affine m-space

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Zeta Functions Examples Take  $X = \mathbb{A}^m/\mathbb{F}$  the affine line over  $\mathbb{F}$ , then We can write

$$\mathbb{A}^{m}(\mathbb{F}) = \left\{ \mathbf{x} = [x_{1}, \cdots, x_{m}] \mid x_{i} \in \mathbb{F}_{q} \right\}$$

as the set of one-component vectors with entries in  $\mathbb{F}_n$ , so

$$X(\mathbb{F}) = q^{m}$$

$$X(\mathbb{F}_{2}) = (q^{2})^{m}$$

$$\vdots$$

$$X(\mathbb{F}_{n}) = q^{nm}.$$

Then

$$Z_X(z) = \exp\left(\sum_{n=1}^{\infty} q^{nm} \frac{z^n}{n}\right)$$
$$= \exp(-\log(1 - q^m z))$$
$$= \frac{1}{1 - q^m z}.$$