## **Title**

#### D. Zack Garza

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# 1 | Tuesday, September 15

#### 1.1 Setup

- $(M, \omega)$  a symplectic manifold,  $H \in ?$  a Hamiltonian,  $X_H$  its ?
- $\int_{S^2} u^* \omega = \sigma_1$  where  $u \in C^{\infty}(S^2, W)$ .
- $\langle c_1(TW), \ \pi_2(TW) \rangle = 0$ ?
- $C_k(H) := \mathbb{Z}/2\mathbb{Z}[S]$  where S is the set of periodic orbits of  $X_H$  of Maslov index k.
- x, y critical points of  $\mathcal{A}_H$  with  $\mathcal{M}(x, y)$  the moduli space of contractible solutions of finite energy connecting x, y.

#### 1.2 Review Last Time

- $\mathbb{R} \curvearrowright \mathcal{M}_{x,y}$ , so we quotient to define  $\mathcal{L}(x,y) := \mathcal{M}_{x,y}/\mathbb{R}$  with the quotient topology.
- Topology defined by when sequences converge:

$$\tilde{u}_n \stackrel{n \to \infty}{\to} \tilde{u} \iff \exists \{s_n\} \subseteq \mathbb{R} \text{ such that } u_n(s_n + s, \cdot) \stackrel{n \to \infty}{\to} u(s, \cdot).$$

#### Proposition 1.1(?).

 $\mathcal{L}(x,y)$  is Hausdorff.

- Want to show  $\mathcal{L}(x,y)$  is a compact 0-dimensional manifold.
- Have a differential

$$\partial: C_k(H) \longrightarrow C_{k-1}(H)$$
  

$$\partial(x) = \sum_{\text{Ind}(y)=k-1} n(x,y)y.$$

with n(x,y) the number (mod 2) of trajectories of grad  $A_H$  connecting x,y, i.e solutions to the Floer equation.

• Want to prove that the following is a 1-dimensional manifold:

$$M := \overline{\mathcal{L}}(x, z) = \mathcal{L}(x, z) \cup_{\mu(y) = \mu(x) + 1} \mathcal{L}(x, y) \times \mathcal{L}(y, z).$$

and show that M is compact with  $\partial M$  equal to the last union.

- Last time: closure of space of trajectories connecting x, y contains "broken" trajectories.
- Last time: toward proving that M is compact

#### 1.3 Upcoming

- Wanted to compactify  $\mathcal{L}(x,y)$ , needed to go to space of broken trajectories.
- Main theorem of chapter 9: 9.2.1.

#### Theorem 1.2(9.2.1).

Let (H, J) be a regular pair with H nondegenerate.

Let x, z be two periodic trajectories of H such that  $\mu(x) = \mu(z) + 2$ .

Then  $\bar{\mathcal{L}}(x,y)$  is a compact 1-manifold with boundary satisfying

$$\partial \overline{\mathcal{L}}(x,y) = \bigcup_{\mu(x) < \mu(y) < \mu(z)} \mathcal{L}(x,y) \times \mathcal{L}(y,z).$$

As a corollary,  $\partial^2 = 0$ .

- Know  $\overline{\mathcal{L}}(x,y)$  is compact and  $\mathcal{L}(x,y)$  is a 1-manifold
- Now suffices to study in a neighborhood of boundary points ("gluing theorem")

Three steps to gluing theorem:

- 1. Pre-gluing: Get a function  $w_p$  which interpolates between u and v (not exactly a solution itself, but will be approximated by one later).
- 2. Constructing  $\psi$  a "true solution" from  $w_p$  using the Newton-Picard method. We'll have

$$\psi(p) = \exp_{w_p}(\gamma(p))$$
  $\gamma(p) \in W^{1,p}(w_p^* TW) = T_{w_p} \mathcal{P}(x, z).$ 

where  $\mathcal{P} = ?$ .

- 3. Get a lift  $\hat{\psi} = \pi \circ \psi$  where  $\pi = ?$  satisfying
- $\widehat{\psi}(p) \stackrel{n \to \infty}{\to} (\widehat{u}, \widehat{v})$
- $\widehat{\varphi}$  is an embedding
- $\widehat{\psi}$  is unique in the following sense:

### Theorem 1.3(9.2.3).

Let x, y, z be critical points of the action functional  $\mathcal{A}_H$  such that  $\mu(x) = \mu(y) + 1 = \mu(z) + 2$ . Let  $(u, v) \in \mathcal{M}(x, y) \times \mathcal{M}(y, z)$  be trajectories, inducing  $(\bar{u}, \bar{v}) \in \mathcal{L}(x, y) \times \mathcal{L}(y, z)$ .