

# Algebraic Topology 2: Smooth Manifolds

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August 16, 2019

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## 1 Lecture 1

The key point of this class will be a discussion of *smooth structures*. As you may recall, a sensational result of Milnor's exhibited exotic spheres with smooth structures – i.e., a differentiable manifold  $M$  which is homeomorphic but *not* diffeomorphic to a sphere.

Summary of this result: Look at bundles  $S^3 \rightarrow X \rightarrow S^4$ , then one can construct some  $X \cong S^7 \in \mathbf{Top}$  but  $X \not\cong S^7 \in \mathbf{Diff}^\infty$ . There are in fact 7 distinct choices for  $X$ .

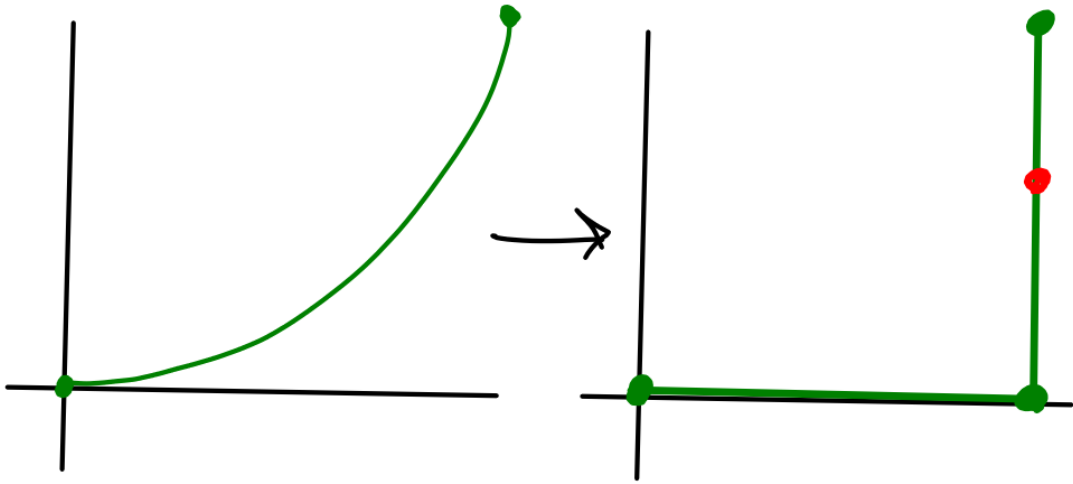
It is not known if there are exotic smooth structures on  $S^4$ . The Smooth Poincaré conjecture is that these do not exist; this is believed to be false.

The other key point of this course is to show that  $X \in \mathbf{Diff}^\infty \implies X \hookrightarrow \mathbb{R}^n$  for some  $n$ , and is in fact a topological subspace.

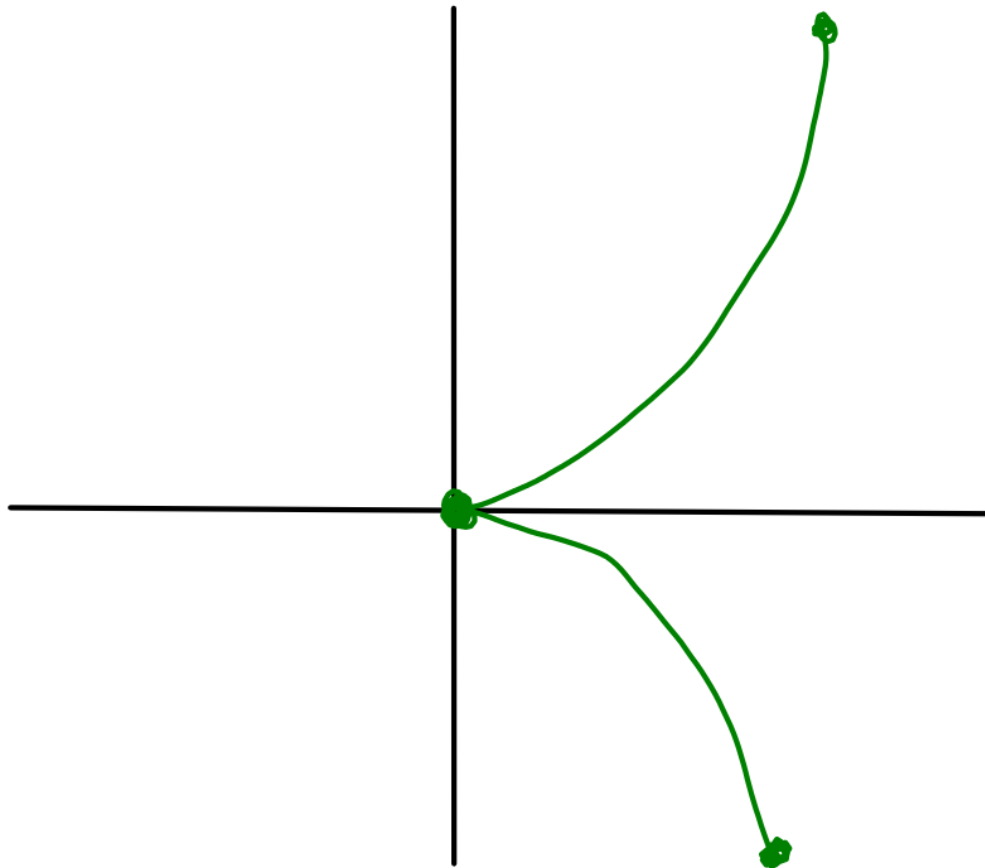
A short list of words/topics we hope to describe:

- Differentiable manifolds
- Local charts
- Submanifolds
- Projective spaces
- Lie groups
- Tangent spaces
- Vector fields
- Cotangent spaces
- Differentials of smooth maps  $G$
- Differential forms
- de Rham's theorem

We'd like a notion of "convergence" for, say, curves in  $\mathbb{R}^2$ . Consider the following examples.

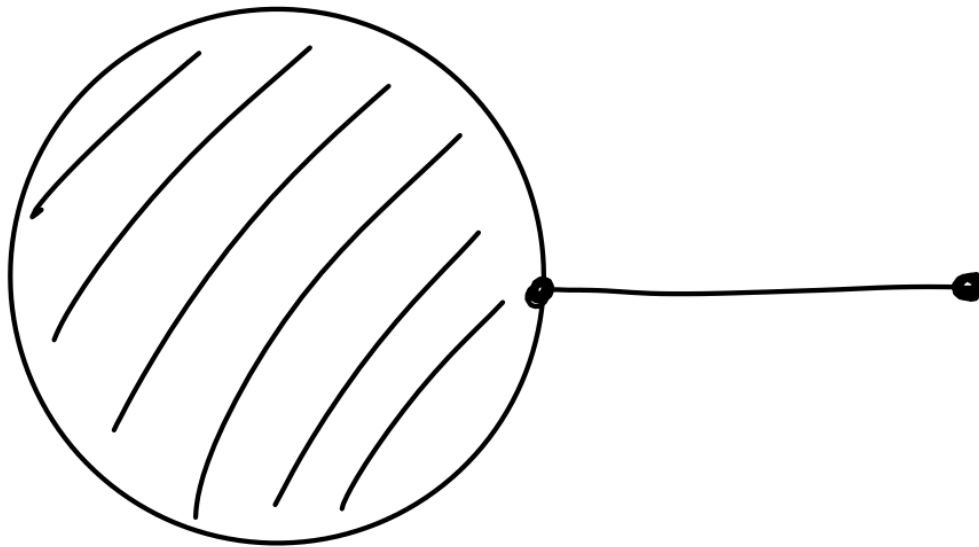


Note the problematic point on the bottom right, as well as the fact that neither of the usual notions of pointwise or uniform convergence will yield a point on the LHS that converges to the red point on the RHS.



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Note the problematic point at the origin.



Note the problematic point in the middle, for which all neighborhoods of it are not homeomorphic to either a 2-dimensional nor a 1-dimensional space.

**Definition 1.** A topological space  $M$  is said to be a **topological manifold** when

- $M$  is Hausdorff, so  $p \neq q \in M \implies \exists N(p), N(q)$  such that  $N(p) \cap N(q) = \emptyset$ .
- $x \in M \implies$  there exists some  $U_x \subseteq M$  and a  $\varphi : U_x \rightarrow \mathbb{R}^n$  for some  $n$  which is a homeomorphism.
- $M$  is 2nd countable

There are somewhat technical conditions – most of the theory goes through without  $M$  being Hausdorff or 2nd countable, but these are needed to construction *partitions of unity* later.

Also note that these conditions exclude spaces such as the copy of  $D_2 \vee I$  from above.

The intuition here is that we'd like spaces that “locally look like  $\mathbb{R}^n$ ”, and we introduce the additional structure of smoothness in the following way:

**Definition 2.** A family of coordinate systems  $\{U_\alpha, \varphi_\alpha\}$  is a **smooth atlas** on  $M$  exactly when the change-of-coordinate maps  $f_{\alpha,\beta}$  are  $C^\infty$ .

**Exercise 1.** Show that  $S^n$  is a smooth manifolds for every  $n$ .

Supposing that  $f : M^n \rightarrow M^n$  is a map, then locally there is a map  $\tilde{f} : \mathbb{R}^n \rightarrow \mathbb{R}_n$ . Moreover, we can write

$$f(x_1, x_2, \dots x_n) = [f_1(x_1, x_2, \dots x_n), f_2(x_1, x_2, \dots x_n), \dots f_n(x_1, x_2, \dots x_n)]$$

**Proposition 1.** If  $M$  and  $N$  are smooth manifolds, then the product  $M \times N$  is also a smooth manifolds.

Being Hausdorff and 2nd countable can be checked on the basis elements, and it is indeed true that  $\mathcal{B}_1 \times \mathcal{B}_2$  furnishes a basis that satisfies these conditions.

**Example 1.** The  $n$ -fold copy of 1-dimensional sphere is given by

$$(S^1)^n = \prod_n S^1 := \mathbb{T}^n,$$

and is denoted the  $n$ -torus.

## 2 Lecture 2

Recall that last time we gave the definition of a smooth manifolds, discussed examples such as spheres, and saw that this category is closed under products.

Theorem: In  $\mathbb{R}^n$ , given smooth functions  $f_i(x_1, \dots, x_n)$  where  $q \leq i \leq n$ , the set  $Z := \{\mathbf{x} \in \mathbb{R}^n \mid f_i(\mathbf{x}) = 0 \quad \forall i\}$ , then  $Z$  is a smooth manifold if there exist  $\{i_1, \dots, i_m\} \subseteq \{q, \dots, n\}$  such that the Jacobian  $\left(\frac{\partial f_i}{\partial x_{i_j}}\right) \neq 0$ .

Without loss of generality, assume  $i_j = j$ , we can then write this matrix as

$$[\nabla f_1, \nabla f_2, \dots, \nabla f_m]^t,$$

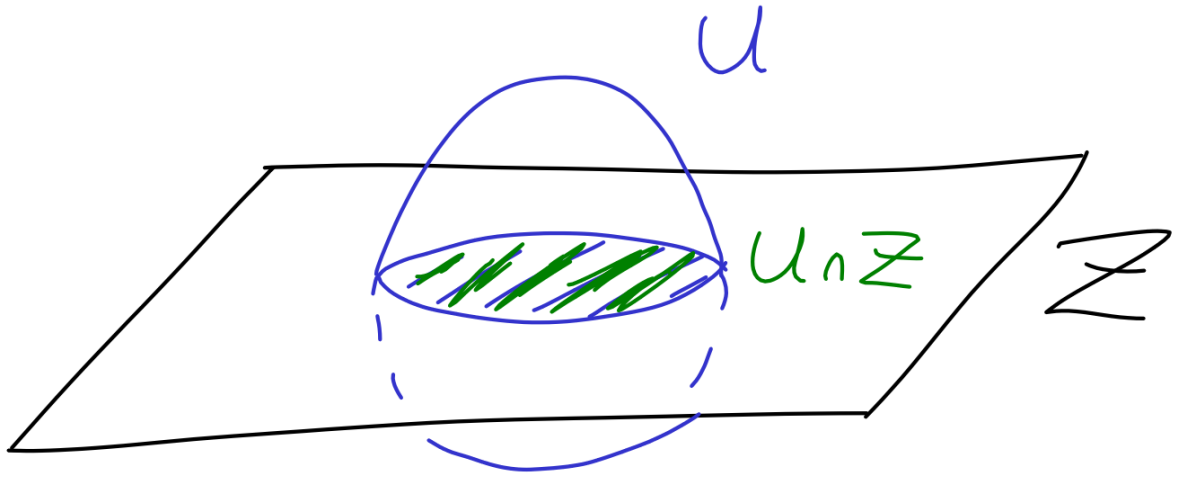
where the submatrix formed by first  $m$  columns has a nonzero determinant.

The implicit function theorem: In this situation, there exist a sequence of functions  $\{g_k\}_{k=m+1}^n$  such that  $g_k(x_1, \dots, x_m) = x_k$  which are smooth.

Compare this to  $F(x, y) = 0$  (the two variable case) and  $\frac{\partial F}{\partial x} \big|_{x=x_0} \neq 0$ , then there exists and  $f$  neared near  $x_0$  such that  $F(x, f(x)) = 0 \iff y = f(x)$ , and now just replace  $x$  with  $\mathbf{x}$  (add bars everywhere) to get the above theorem.

Say we have  $\mathbf{x}^0 = [x_1^0, \dots, x_n^0]$ . such that  $\left(\frac{\partial f_i}{\partial x_j^0}\right) \big|_{\mathbf{x}=\mathbf{x}^0} \neq 0$ , then there exists a  $U \subset \mathbb{R}^n$  where inside  $U \cap Z$ , all points have the form  $(x_1, x_2, \dots, x_m, g_{m+1}(x_1 \dots x_m), \dots, g_n(x_1, \dots, x_m))$ .

So only the first  $m$  variables are free, and the remaining are determined by some functions  $g_k$ .



Here  $U$  gives a defining region in  $\mathbb{R}^m$  for  $x_1, \dots, x_m$ , and  $\varphi_\alpha$  of this neighborhood satisfies  $\varphi_\alpha^{-1}(x_1, \dots, x_m) = (x_1, \dots, x_m, g_{m+1}, \dots, g_n)$ . Now we can look at the transition function  $f_{\alpha\beta} = \varphi_\beta \circ \varphi_\alpha^{-1}$ .

For example,

$$\begin{aligned}\varphi_\alpha(x_1, \dots, x_n) &= (x_1, \dots, x_{m-1}, x_m) \\ \varphi_\beta(x_1, \dots, x_n) &= (x_1, \dots, x_{m-1}, x_{m+1}) \\ \varphi_\alpha^{-1}(x_1, \dots, x_m) &= (x_1, \dots, x_m, g_{m+1}, \dots, g_n) \\ \varphi_\beta^{-1}(x_1, \dots, x_{m-1}, x_{m+1}) &= (x_1, x_{m-1}, h_m, x_{m+1}, h_{m+2}, \dots, h_n)\end{aligned}$$

For  $x \in U_\alpha \cap U_\beta$ , we have  $\varphi_\beta \circ \varphi_\alpha^{-1}(x_1, \dots, x_m) = (x_1, \dots, x_{m-1}, g_{m+1})$ .

Example: the sphere revisited. Take  $F(x_1, \dots, x_n) = 0$ , where  $F(x_1, \dots, x_m) = -1 + \sum x_i^2$ . For any  $(x_1, \dots, x_m) \in S^{m-1}$ , at least one  $x_i \neq 0$ , wlog let this be  $x_1$ . Then  $\left(\frac{\partial F}{\partial x_1}\right)\Big|_x = \partial x_1 \neq 0$ .

Example: the torus. We have  $\mathbb{T}^n \subset \mathbb{R}^{2n}$ , where  $\mathbb{T}^n = \prod_{i=1}^n S^1$ . Write a point in  $\mathbb{R}^{2n}$  as  $(x_1, y_1, \dots, x_n, y_n)$ , then  $\mathbb{T}^n = \{(\mathbf{x}, \mathbf{y}) \in (\mathbb{R}^2)^n \ni x_i^2 + y_i^2 = 1\}$ .

Remark (Choice of atlas): If  $\{(U_\alpha, \varphi_\alpha)\}$  is an atlas for  $M$ , then

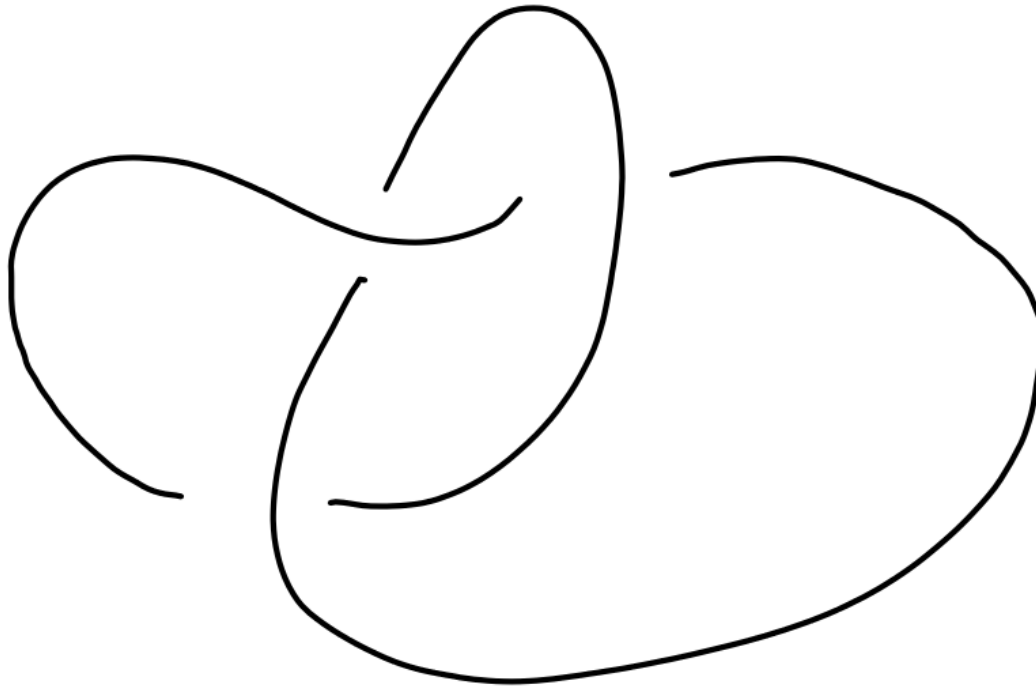
- Changing  $\varphi_\alpha \rightarrow \varepsilon_\alpha \circ \varphi_\alpha$  where  $\varepsilon_\alpha : \varphi_\alpha(U_\alpha) \rightarrow \mathbb{R}^n$  is a diffeomorphism, then the atlas  $\{(U_\alpha, \varepsilon_\alpha \circ \varphi_\alpha)\}$  does not a priori yield the same smooth manifold; we will declare them to be the same though.
- If  $\{(U_\beta, \varphi_\beta)\}$  is another atlas of  $M$  such that the refinement  $\{(U_\alpha, \varphi_\alpha)\} \cup \{(U_\beta, \varphi_\beta)\}$  is again an atlas of  $M$ , then they define the same smooth manifold  $M$ .

## 2.1 Submanifolds

If  $U \subseteq M$  and  $M$  is a smooth manifold, then  $U$  also has the structure of a smooth manifold. This is obtained by taking an atlas of  $M$  and intersecting each  $U_\alpha$  with  $U$ , and then restricting  $\varphi_\alpha$  to  $\varphi_\alpha|_U$ .

Examples:

- $\text{GL}(n, \mathbb{R}) \subseteq \text{Mat}(n, \mathbb{R}) = \{X \ni \det X \neq 0\}$ . Note that the  $\det X = 0$  is a closed subset, so its complement is open.



- Knot complements

Definition:  $N^k \subseteq M^n$  is a submanifold if  $\forall p \in N, \exists U_\alpha \subseteq M$  with  $p \in U_\alpha$  such that  $N \cap U_\alpha = \{\mathbf{q} \in U \ni x_{k+1}(\mathbf{q}) = \cdots = x_n(\mathbf{q}) = 0\}$  where  $x_i$  are the coordinate functions. (Note that we abuse notation here, and we are applying  $\varphi_\alpha$  to everything.)

### 3 Lecture 3

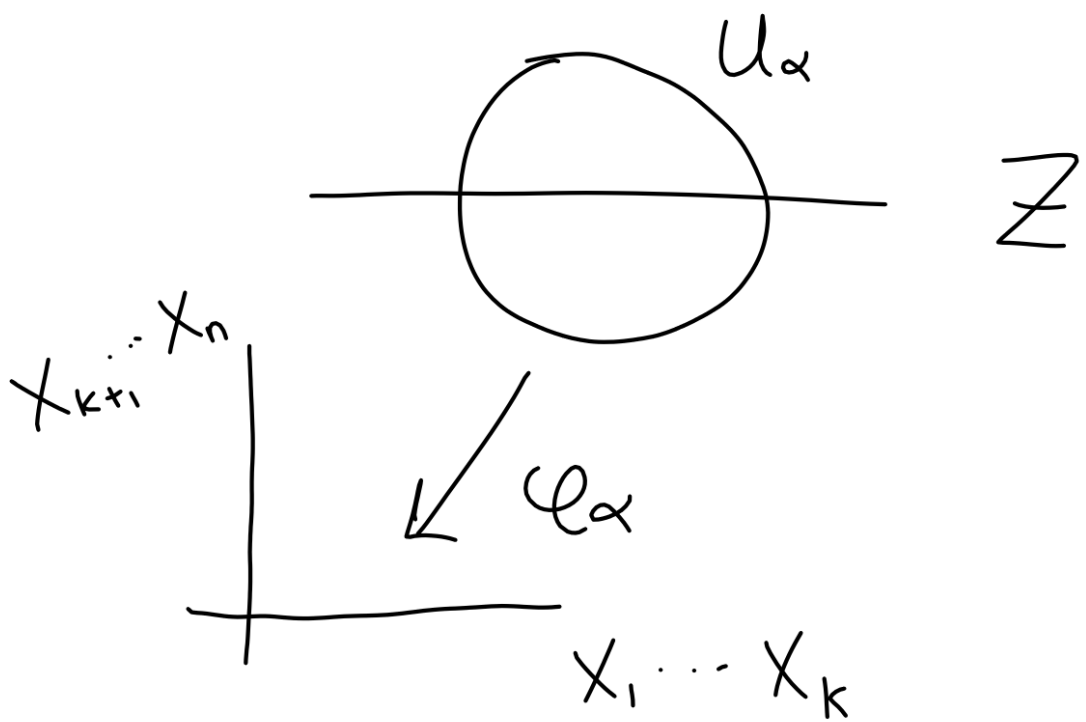


Figure 1: The coordinate chart situation.