# Lie Algebras

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## 1 Lecture 1

The material for this class will roughly come from Humphrey, Chapters 1 to 5. There is also a useful appendix which has been uploaded to the ELC system online.

#### 1.1 Overview

Here is a short overview of the topics we expect to cover:

#### 1.1.1 Chapter 2

- Ideals, solvability, and nilpotency
- Semisimple Lie algebras
  - These have a particularly nice structure and representation theory
- Determining if a Lie algebra is semisimple using Killing forms
- Weyl's theorem for complete reducibility for finite dimensional representations
- Root space decompositions

#### 1.1.2 Chapter 3-4

We will describe the following series of correspondences:



#### 1.2 Classification

The classical Lie algebras can be essentially classified by certain classes of diagrams:





#### 1.3 Chapters 4-5

These cover the following topics:

- Conjugacy classes of Cartan subalgebras
- The PBW theorem for the universal enveloping algebra
- Serre relations

#### 1.3.1 Chapter 6

Some import topics include:

- Weight space decompositions
- Finite dimensional modules
- Character and the Harish-Chandra theorem
- The Weyl character formula
  - This will be computed for the specific Lie algebras seen earlier

We will also see the type  $A_{\ell}$  algebra used for the first time; however, it differs from the other types in several important/significant ways.

#### 1.3.2 Chapter 7

Skip!

#### **1.3.3 Topics**

Time permitting, we may also cover the following extra topics:

- Infinite dimensional Lie algebras [Carter 05]
- BGG Cat-O [Humphrey 08]

#### 1.4 Content

Fix F a field of characteristic zero – note that prime characteristic is closer to a research topic.

**Definition 1.** A Lie Algebra  $\mathfrak{g}$  over F is an F-vector space with an operation denoted the Lie bracket,

$$[\cdot,\cdot]:\mathfrak{g}\times\mathfrak{g}\to\mathfrak{g}$$
  
 $(x,y)\mapsto[x,y].$ 

satisfying the following properties:

- $[\cdot, \cdot]$  is bilinear [x, x] = 0
- The Jacobi identity:

$$[x, [y, z]] + [y, [x, z]] + [z, [x, y]] = \mathbf{0}.$$

**Exercise 1.** Show that [x, y] = -[y, x].

**Definition 2.** Two Lie algebras  $\mathfrak{g}, \mathfrak{g}'$  are said to be isomorphic if  $\varphi([x,y]) = [\varphi(x), \varphi(y)]$ .

#### 1.5 Linear Lie Algebras

Let  $V = \mathbb{F}^n$ , and define  $\operatorname{End}(V) = \{f : V \to V \ni V \text{ is linear}\}$ . We can then define  $\mathfrak{gl}(n,V)$  by setting  $[x, y] = (x \circ y) - (y \circ x)$ .

**Exercise 2.** Verify that V is a Lie algebra.

**Definition 3.** Define

$$\mathfrak{sl}(n,V) = \{ f \in \mathfrak{gl}(n,V) \ni \mathrm{Tr}(f) = 0 \}.$$

(Note the different in definition compared to the lie group SL(n, V).).

**Definition 4.** A subalgebra of a Lie algebra is a vector subspace that is closed under the bracket.

**Definition 5.** The symplectic algebra

$$\mathfrak{sp}(2\ell, F) = \left\{ A \in \mathfrak{gl}(2\ell, F) \ni MA - A^TM = 0 \right\} \text{ where } M = \left( \begin{array}{c|c} 0 & I_n \\ \hline -I_n & 0 \end{array} \right).$$

**Definition 6.** The orthogonal algebra

$$\mathfrak{so}(2\ell,F) = \left\{ A \in \mathfrak{gl}(2\ell,F) \ni MA - A^TM = 0 \right\} \text{ where}$$

$$M = \begin{cases} \left( \begin{array}{c|c} 1 & 0 \\ \hline 0 & \overline{-I_n} & 0 \end{array} \right) & n = 2\ell + 1 \text{ odd,} \\ \\ \left( \begin{array}{c|c} 0 & I_n \\ \hline -I_n & 0 \end{array} \right) & \text{else.} \end{cases}$$

#### **Proposition 1.** The dimensions of these algebras can be computed;

• The dimension of  $\mathfrak{gl}(n,\mathbb{F})$  is  $n^2$ , and has basis  $\{e_{i,j}\}$  the matrices if a 1 in the i,j position and



zero elsewhere.

- For type  $A_{\ell}$ , we have  $\dim \mathfrak{sl}(n,\mathbb{F}) = (\ell+1)^2 1$ .
- For type  $C_{\ell}$ , we have  $||\mathfrak{sp}(n,\mathbb{F})| = \ell^2 + 2\left(\frac{\ell(\ell+1)}{2}\right)$ , and so elements here

$$\left(\begin{array}{cc} A & B = B^t \\ C = C^t & A^t \end{array}\right).$$

• For type  $D_{\ell}$  we have

$$||\mathfrak{so}(2\ell,\mathbb{F}) = \dim \left\{ \left( \begin{array}{cc} A & B = -B^t \\ C = -C^t & -A^t \end{array} \right) \right\},$$

which turns out to be  $2\ell^2 - \ell$ .

• For type  $B_{\ell}$ , we have  $\dim \mathfrak{so}(2\ell, \mathbb{F}) = 2\ell^2 - \ell + 2\ell = 2\ell^2 + \ell$ , with elements of the form

$$\begin{pmatrix} 0 & M & N \\ \hline -N^t & A & C = C^t \\ -M^t & B = B^t & -A^t \end{pmatrix}.$$

**Exercise 3.** Use the relation  $MA = A^{tM}$  to reduce restrictions on the blocks.



**Theorem 1.** These are *all* of the isomorphisms between any of these types of algebras, in any dimension.

#### 2 Lecture 2

Recall from last time that a Lie Algebra is a vector space with a bilinear bracket, which importantly satisfies the Jacobi identity:

$$[x, [y, z]] + [y, [x, z]] + [z, [x, y]] = \mathbf{0}.$$

Also recall the examples from last time:

- $A_{\ell} \iff \mathfrak{sl}(\ell+1,F)$
- $B_{\ell} \iff \mathfrak{so}(2\ell+1,F)$
- $C_{\ell} \iff \mathfrak{sp}(2\ell, F)$
- $D_{\ell} \iff \mathfrak{so}(2\ell, F)$

**Exercise 4.** Characterize these matrix subalgebras in terms of basis elements, and compute their dimensions.

#### 2.1 Lie Algebras of Derivations

**Definition 7.** An *F*-algebra *A* is an *F*-vector space endowed with a bilinear map  $A^2 \to A$ ,  $(x,y) \mapsto xy$ .

**Definition 8.** An algebra is associative if x(yz) = (xy)z.

Modern interest: simple Lie algebras, which have a good representation theory. Take a look a Erdmann-Wildon (Springer) for an introductory look at 3-dimensional algebras.

**Definition 9.** Any map  $\delta: A^2 \to A$  that satisfies the Leibniz rule is called a **derivation** of A, where the rule is given by  $\delta(xy) = \delta(x)y + x\delta(y)$ .

**Definition 10.** We define  $Der(A) = \{\delta \ni \delta \text{ is a derivation } \}.$ 

Any Lie algebra  $\mathfrak{g}$  is an F-algebra, since  $[\cdot,\cdot]$  is bilinear. Moreover,  $\mathfrak{g}$  is associative iff [x,[y,z]]=0.

**Exercise 5.** Show that  $\operatorname{Der}\mathfrak{g} \leq \mathfrak{gl}(\mathfrak{g})$  is a Lie subalgebra. One needs to check that  $\delta_1, \delta_2 \in \mathfrak{g} \implies [\delta_1, \delta_2] \in \mathfrak{g}$ .

**Exercise 6** (Turn in). Define the adjoint by  $ad_x : \mathfrak{g} \circlearrowleft, y \mapsto [x,y]$ . Show that  $ad_x \in Der(\mathfrak{g})$ .

#### 2.2 Abstract Lie Algebras

Fact: Every finite-dimensional Lie algebra is isomorphic to a linear Lie algebra, i.e. a subalgebra of  $\mathfrak{gl}(V)$ . Each isomorphism type can be specified by certain *structure constants* for the Lie bracket.

**Example 1.** Any F-vector space can be made into a Lie algebra by setting [x, y] = 0; such algebras are referred to as *abelian*.

Attempting to classify Lie algebras of dimension at most 2.

- 1 dimensional: We can write  $\mathfrak{g} = Fx$ , and so  $[x, x] = 0 \implies [\cdot, \cdot] = 0$ . So every bracket must be zero, and thus every Lie algebra is abelian.
- 2 dimensional: Write  $\mathfrak{g} = Fx \oplus Fy$ , the only nontrivial bracket here is [x,y]. Some cases:
  - $-[x,y]=0 \implies \mathfrak{g}$  is abelian.
  - $-[x,y] = ax + by \neq 0. \text{ Assume } a \neq 0 \text{ and set } x' = ax + by, y' = \frac{y}{a}. \text{ Now compute } [x',y'] = [ax + by, \frac{y}{a}] = [x,y] = ax + by = x'. \text{ Punchline: } \mathfrak{g} \cong Fx' \oplus Fy', [x',y'] = x'.$

We can fill in a table with all of the various combinations of brackets:

**Example 2.** Let  $V = \mathbb{R}^3$ , and define  $[a, b] = a \times b$  to be the usual cross product.

**Exercise 7.** Look at notes for basis elements of  $\mathfrak{sl}(2, F)$ ,

$$e = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix},$$

$$h = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix},$$

$$f = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}.$$

Compute the matrices of ad(e), ad(h), ad(g) with respect to this basis.

#### 2.3 Ideals

**Definition 11.** A subspace  $I \subseteq \mathfrak{g}$  is called an **ideal**, and we write  $I \subseteq \mathfrak{g}$ , if  $x, y \in I \implies [x, y] \in I$ .

Note that there is no need to distinguish right, left, or two-sided ideals. This can be shown using [x,y]=[-y,x].

**Exercise 8.** Check that the following are all ideals of  $\mathfrak{g}$ :

- $\{0\}, \mathfrak{g}.$
- $\mathfrak{z}(\mathfrak{g}) = \{ z \in \mathfrak{g} \ni [x, z] = 0 \quad \forall x \in \mathfrak{g} \}$
- The commutator (or derived) algebra  $[\mathfrak{g},\mathfrak{g}] = \{\sum_i [x_i,y_i] \ni x_i,y_i \in \mathfrak{g}\}.$ - Moreover,  $[\mathfrak{gl}(n,F),\mathfrak{gl}(n,F)] = \mathfrak{sl}(n,F).$

Fact: If  $I, J \leq \mathfrak{g}$ , then

- $I+J=\{x+y \ni x \in I, y \in J\} \leq \mathfrak{g}$
- $I \cap J \leq \mathfrak{g}$
- $[I,J] = \{\sum_i [x_i,y_i] \ni x_i \in I, y_i \in J\} \leq \mathfrak{g}$

**Definition 12.** A Lie algebra is **simple** if  $[\mathfrak{g},\mathfrak{g}] \neq 0$  (i.e. when  $\mathfrak{g}$  is not abelian) and has no non-trivial ideals. Note that this implies that  $[\mathfrak{g},\mathfrak{g}] = \mathfrak{g}$ .

**Theorem 2.** Suppose that char  $F \neq 2$ , then  $\mathfrak{sl}(2,F)$  is not simple.

*Proof.* Recall that we have a basis of  $\mathfrak{sl}(2,F)$  given by  $B=\{e,h,f\}$  where

- [e, f] = h,
- [h, e] = 2e,
- [h, f] = -2f.

So think of  $[h,e]=\mathrm{ad}_h$ , so h is an eigenvector of this map with eigenvalues  $\{0,\pm 2\}$ . Since char  $F\neq 2$ , these are all distinct. Suppose  $\mathfrak{sl}(2,F)$  has a nontrivial ideal I; then pick  $x=ae+bh+cf\in I$ . Then [e,x]=0-2be+ch, and [e,[e,x]]=0-0+2ce. Again since char  $F\neq 2$ , then if  $c\neq 0$  then  $e\in I$ . Now you can show that  $h\in I$  and  $f\in I$ , but then  $I=\mathfrak{sl}(2,F)$ , a contradiction. So c=0.

Then  $x = bh \neq 0$ , so  $h \in I$ , and we can compute

$$2e = [h, e] \in I \implies e \in I,$$
 
$$2f = [h, -f] \in I \implies f \in I.$$

which implies that  $I = \mathfrak{sl}(2, F)$  and thus it is simple.

Note that there is a homework coming due next Monday, about 4 questions.

#### 3 Lecture 3

Last time, we looked at ideals such as  $0, \mathfrak{g}, Z(\mathfrak{g})$ , and  $[\mathfrak{g}, \mathfrak{g}]$ .

Definition: If  $I \leq \mathfrak{g}$  is an ideal, then the quotient  $\mathfrak{g}/I$  also yields a Lie algebra with the bracket given by [x+I,y+I]=[x,y]+I.

Exercise: Check that this is well-defined, so that if x + I = x' + I and y + I = y' + I then [x, y] + I = [x', y'] + I.

#### 3.1 Homomorphisms and Representations

**Definition 13.** A linear map  $\phi: \mathfrak{g}_1 \to \mathfrak{g}_2$  is a *Lie homomorphism* if  $\phi[x,y] = [\phi(x),\phi()]$ .

**Remark.**  $\ker \phi \subseteq \mathfrak{g}_1$  and  $\operatorname{im} \phi \subseteq \mathfrak{g}_2$  is a subalgebra.

Fact: There is a canonical way to set up a 1-to-1 correspondence  $\{I \leq \mathfrak{g}\} \iff \{\hom \phi : \mathfrak{g} \to \mathfrak{g}'\}$  where  $I \mapsto (x \mapsto x + I)$  and the inverse is given by  $\phi \mapsto \ker \phi$ .

Theorem (Isomorphism theorem for Lie algebras):

- If  $\phi: \mathfrak{g}_1 \to \mathfrak{g}_2$  is a Lie algebra homomorphism, then  $\mathfrak{g}/\ker \phi \cong \operatorname{im} \phi$
- If  $I, J \leq \mathfrak{g}$  are ideals and  $I \subset J$  then  $J/I \leq \mathfrak{g}g/I$  and  $(\mathfrak{g}/I)/(J/I) \cong \mathfrak{g}/J$ .
- If  $I, J \leq \mathfrak{g}$  then  $(I+J)/J \cong I/(I \cap J)$ .

Definition: A representation of a Lie algebra  $\mathfrak{g}$  is a Lie algebra homomorphism  $\phi: \mathfrak{g} \to \mathfrak{gl}(V)$  into a linear Lie algebra for some vector space V.

We call V a g-module with action  $g \cdot v = \phi(g)(v)$ .

Example: The adjoint representation:

ad: 
$$\mathfrak{g} \to \mathfrak{gl}(\mathfrak{g})$$
  
 $x \mapsto [x, \cdot].$ 

Corollary 1. Any simple Lie algebra is isomorphic to a linear Lie algebra.

Proof: Since  $\mathfrak{g}$  is simple, the center  $Z(\mathfrak{g}) = 0$ . We can rewrite the center as

$$Z(\mathfrak{g}) = \left\{ x \in \mathfrak{g} \ni \mathrm{ad}_{x(y)} = 0 \quad \forall y \in \mathfrak{g} \right\}$$
$$= \ker \mathrm{ad}_x.$$

Using the first isomorphism theorem, we have  $\mathfrak{g}/Z(\mathfrak{g}) \cong \operatorname{im} \operatorname{ad} \subseteq \mathfrak{gl}(\mathfrak{g})$ . But  $\mathfrak{g}/Z(\mathfrak{g}) = \mathfrak{g}$  here, so we are done.

#### 3.2 Automorphisms

Definition: An automorphism of  $\mathfrak{g}$  is an isomorphism  $\mathfrak{g}$ , and we define

$$\operatorname{Aut}(\mathfrak{g}) = \{ \phi : \mathfrak{g} \circlearrowleft \ni \phi \text{ is an isomorphism } \}.$$

Proposition: If  $\delta \in \text{Der}(\mathfrak{g})$  is nilpotent, then

$$\exp(\delta) := \sum \frac{\delta^n}{n!} \in \operatorname{Aut}(\mathfrak{g}).$$

This is well-defined because  $\delta$  is nilpotent, and a binomial formula holds:

$$\frac{\delta^{n([x,y])}}{n!} = \sum_{i=0}^{n} \left[\frac{\delta^{i}(x)}{i!}, \frac{\delta^{n-i}(y)}{(n-i)!}\right].$$

and for  $n = 1, \delta([x, y]) = [x, \delta(y)] + [\delta(x), y].$ 

Exercise: Show that

$$[(\exp \delta)(x), (\exp \delta)(y)] = \sum_{n=0}^{k-1} \frac{\delta^n([x,y])}{n!}.$$

Example: Let  $\mathfrak{g} = \mathfrak{sl}(2, \mathbb{F})$  and define

$$s = \exp(\mathrm{ad}_e) \exp(\mathrm{ad}_{-f}) \exp(\mathrm{ad}_e) \in \mathrm{Aut}\mathfrak{g}.$$

where e, f are defined as (todo, see written notes).

Then define the Weyl group  $W = \langle s \rangle$ .

Exercise: Check that s(e) = -f, s(f) = -e, s(h) = -h, and so the order of s is 2 and  $W = \{1, s\}$ .

#### 4 Lecture 4

#### 4.1 Solvability

Idea: Define a semisimple Lie algebra

Definition: The derived series for  $\mathfrak{g}$  is given by

$$\begin{split} \mathfrak{g}^{(0)} &= \mathfrak{g} \\ \mathfrak{g}^{(1)} &= [\mathfrak{g}^{(0)}, \mathfrak{g}^{(0)}] \\ &\cdots \\ \mathfrak{g}^{(i+1)} &= [\mathfrak{g}^{(i)}, \mathfrak{g}^{(i)}]. \end{split}$$

The Lie algebra  $\mathfrak{g}$  is *solvable* if there is some n for which  $\mathfrak{g}^{(n)} = 0$ .

Exercise (to turn in): Check that the Lie algebra of upper triangular matrices in  $\mathfrak{gl}(n,\mathbb{F})$ .

Example: Abelian Lie algebras are solvable

Example: Simple Lie algebras are not solvable.

Proposition: Let  $\mathfrak{g}$  be a Lie algebra, then

1. If  $\mathfrak{g}$  is solvable, then all subalgebras and all homomorphic images of  $\mathfrak{g}$  are also solvable.

- 2. If  $I \leq \mathfrak{g}$  and both I and  $\mathfrak{g}/I$  are solvable, then so is  $\mathfrak{g}$ .
- 3. If  $I, J \leq \mathfrak{g}$  are solvable, then so is I + J.

Corollary (of part 3 above): Any Lie algebra has a unique maximal solvable ideal, which we denote the  $radical \operatorname{Rad}(\mathfrak{g})$ .

Definition: A Lie algebra is semisimple if  $Rad(\mathfrak{g}) = 0$ .

Example: Any simple Lie algebra is semisimple.

Example: Using part (2) above, we can deduce that we can construct a semisimple Lie algebra from any Lie algebra: for any  $\mathfrak{g}$ , the quotient  $\mathfrak{g}/\mathrm{Rad}(\mathfrak{g})$  is semisimple.

#### 4.2 Nilpotency

$$\begin{split} \mathfrak{g}^0 &= \mathfrak{g} \\ \mathfrak{g}^1 &= [\mathfrak{g}^0, \mathfrak{g}^0] \\ & \cdots \\ \mathfrak{g}^{i+1} &= [\mathfrak{g}^i, \mathfrak{g}^i]. \end{split}$$

Much like the previous case, we have

Example: Abelian Lie algebras are nilpotent.

Example: Nilpotent Lie algebras are solvable.

Example: The *strictly* upper triangular matrices (with zero on the diagonal) are nilpotent.

- 1. If  $\mathfrak{g}$  is nilpotent, then all subalgebras and all homomorphic images of  $\mathfrak{g}$  are also nilpotent.
  - 2. If  $\mathfrak{g}/Z(\mathfrak{g})$  is nilpotent, then so is  $\mathfrak{g}$ .
  - 3. If  $\mathfrak{g} \neq 0$  is nilpotent, then  $Z(\mathfrak{g}) \neq 0$ .

Claim: If  $\mathfrak{g}$  is nilpotent, then  $\mathrm{ad}_x \in \mathrm{End}(\mathfrak{g})$  is nilpotent for all  $x \in \mathfrak{g}$ .

Proof: This is because  $\mathfrak{g}^n = 0 \iff [\mathfrak{g}, [\mathfrak{g}, [\mathfrak{g}, \cdots]]] = 0$ , and so for every  $x_i, y \in \mathfrak{g}$  we have  $[x_1, [x_2, \cdots [x_n, y]]] = 0$ , and so  $\mathrm{ad}_{x_1} \circ \mathrm{ad}_{x_2} \circ \cdots \mathrm{ad}_{x_n} = 0$  which implies that  $\mathrm{ad}_x^n = 0$  for all  $x \in \mathfrak{g}$ .

Theorem [Engel]: If  $ad_x$  is nilpotent for all  $x \in \mathfrak{g}$ , then  $\mathfrak{g}$  is nilpotent.

Remark: This can be confusing if  $\mathfrak{g}$  is a linear algebra, we can consider elements  $x \in \mathfrak{g}$  and ask if it is the case x being nilpotent (as an endomorphism) iff  $\mathfrak{g}g$  is nilpotent? False, a counterexample is  $\mathfrak{g} = \mathfrak{gl}(2,\mathbb{C})$ , where there exists an x which is *not* nilpotent while  $\mathrm{ad}_x$  is nilpotent, which contradicts the above theorem.

Proof:

Lemma: Let  $\mathfrak{g} \subseteq \mathfrak{gl}(V)$  be a Lie subalgebra for some finite dimensional vector space V. If x is nilpotent as an endomorphism on V for all  $x \in V$ , then there exists a nonzero vector  $v \in V$  such that  $\mathfrak{g}v = 0$ , so  $x \in \mathfrak{g} \implies x(v) = 0$ .

Proof of lemma Use induction on dim  $\mathfrak{g}$ , splitting into two separate base cases: - Case dim  $\mathfrak{g} = 0$ , then  $\mathfrak{g} = \{0\}$ . - Case dim g = 1, left as an exercise.

Inductive step: Let A be a maximal proper subalgebra and define  $\phi: A \to \mathfrak{gl}(\mathfrak{g}/A)$  where  $a \mapsto (x+A\mapsto [a,x]+A)$ . We need to check that  $\phi$  is a homomorphism, this just follows from using the Jacobi identity.

We also need to show that im  $\phi \leq \mathfrak{gl}(\mathfrak{g}/a)$  is a Lie subalgebra, and dim im  $\phi < \dim \mathfrak{g}$ . The claim is that  $\phi(a) \in \operatorname{End}(\mathfrak{g}/A)$  is nilpotent for all  $a \in A$ . By the inductive hypothesis, there is a nonzero coset  $y + A \in \mathfrak{g}/A$  such that  $(\operatorname{im} \phi) \cdot (y + A) = A$ . Since  $y \notin A$ , then  $\phi(a)(y + A) = A$  for all  $a \in A$ , and so  $[a, y] \in A$ .

We want to show that A is a subalgebra of codimension 1, and  $A \oplus F_y \leq \mathfrak{g}$  is a Lie subalgebra. This is because  $[a_1 + c_1 y, a_2 + c_2 y] = [a_1, a_2] + c_2[a_1, y] - c_2[a_2, y] + c_1c_2[y, y]$ . The last term is zero, the middle two terms are in A, and because A is closed under the bracket, the first term is in A as well

But then  $A \oplus F_y$  is a larger subalgebra than A, which was maximal, so it must be everything. So  $A \oplus F_y = \mathfrak{g}$ . So  $A \subseteq \mathfrak{g}$  because  $[a_1, a_2 + cy]$  is in  $A, A \oplus F_y = \mathfrak{g}$  respectively, and this equals  $[a_1, a_2] + c[a_1, y]$ , where both terms are in A.

Proof to be continued on Friday!

#### 5 Lecture 5

Last time: we had a theorem that said that if  $\mathfrak{g} \in \mathfrak{gl}(V)$  and every  $x \in \mathfrak{g}$  is nilpotent, then there exists a nonzero  $v \in V$  such that  $\mathfrak{g}v = 0$ .

We proceeded by induction on the dimension of V, constructing im  $\phi \subseteq \mathfrak{gl}(\mathfrak{g}/A)$ , and showed that  $\mathfrak{g} = A \oplus Fy$ . Now consider

$$W = \{ v \in V \ni Av = 0 \},$$

which is  $\mathfrak{g}$ -invariant, so  $\mathfrak{g}(W) \subseteq W$ , or for all  $a \in A, x \in \mathfrak{g}, v \in W$ , we have  $a \curvearrowright x(v) = 0$ . This is true because  $a \curvearrowright x = x \circ a + [a, x] \in \mathfrak{gl}(V)$ . But V is killed by any element in A, and both of these terms are in A. In particular, the y appearing in Fy also satisfies  $y \in W$ . Consider  $y|_W \in \operatorname{End}(w)$ , and we want to apply the inductive hypothesis to  $Fy|_W \subseteq \mathfrak{gl}(V)$ .

We need to check that  $y|_W \in \text{End}(V)$ , which is true exactly because y is nilpotent. So we can construct a nonzero  $v \in W \subset V$  such that y(v) = 0, and so  $\mathfrak{g}v = 0$ .

Claim:  $\phi(a) \in \operatorname{End}(\mathfrak{g}/A)$  is nilpotent. Each  $a \in A \subset \mathfrak{g}$  is nilpotent by assumption. Define the maps for left multiplication by  $a, m_{\ell} : x \mapsto ax$ , and the right multiplication  $m_r : x \mapsto xa$ . These are nilpotent, and since  $m_{\ell}, m_r$  commute, the difference  $m_{\ell} - m_r$  is nilpotent, and this is exactly  $\operatorname{ad}_a$ . But then  $\phi(a)$  is nilpotent.

Good proof for using all of the definitions!

Now we can see what the consequences of having such a nonzero vector are. This theorem implies Engel's theorem, which says that if  $ad_x \in End(\mathfrak{g})$  is nilpotent for every  $x \in \mathfrak{g}$ , then  $\mathfrak{g}$  is nilpotent.

Proof: By induction on dimension. The base case is easy. For the inductive step, the previous theorem applies to  $\operatorname{ad} g \subset \mathfrak{gl}(\mathfrak{g})$ . So we can produce the nonzero  $v \in \mathfrak{g}$  such that  $\operatorname{ad} \mathfrak{g} v = 0$ . Then [x,v]=0 for all  $x \in \mathfrak{g}$ , so either  $v \in Z(\mathfrak{g})$  or  $Z(\mathfrak{g}) \neq 0$ . In either case,  $\mathfrak{g}/Z(\mathfrak{g})$  has smaller dimension. Since  $\operatorname{ad}_x$  is nilpotent, so is  $\operatorname{ad}_x + Z(\mathfrak{g})$ , and so  $\mathfrak{g}/Z(\mathfrak{g})$  is nilpotent. By an earlier proposition, since the quotient is nilpotent, so is the total space.  $\square$ 

Let  $\mathfrak{N}(F)$  be the subalgebra of  $\mathfrak{gl}(F)$  consisting of strictly upper triangular matrices. We have a corollary: if  $\mathfrak{g} \subset \mathfrak{gl}(n,F)$  is a Lie subalgebra such every  $x \in \mathfrak{g}$  is nilpotent as an endomorphism of F, then the matrices of  $\mathfrak{g}$  with respect to some bases of in  $\mathfrak{N}(n,F)$ .

The proof is by induction on n, where the base case is easy. For the inductive step, we use the previous theorem to get a  $v_1$  such that  $x(v_1) = 0$  for all  $x \in \mathfrak{g}$ . Let  $\overline{V} = F^n/Fv_1 \cong F^{n-1}$ , and define  $\phi: \mathfrak{g} \to \mathfrak{gl}(\overline{V})$  where  $x \mapsto (\overline{y} \mapsto \overline{y(x)})$ .

Then im  $\phi \leq \mathfrak{gl}(n-1,F)$  as a subalgebra, and every  $\phi(x) \in \operatorname{End}(F^{n-1})$  is nilpotent, since x was nilpotent on the larger space. But (see notes) then x can be written as a strictly upper-triangular matrix.

#### 5.1 Chapter 2: Semisimple Lie Algebras

We now assume char F = 0 and  $\overline{F} = F$ .

Theorem: If  $\mathfrak{g}$  is a solvable Lie subalgebra of  $\mathfrak{gl}(V)$  for some finite dimensional V, then V contains a common eigenvector for a  $x \in \mathfrak{g}$ , i.e. a  $\lambda : \mathfrak{g} \to F, x \mapsto \lambda(x)$  such that  $x(v) = \lambda(x)v$  for all  $x \in \mathfrak{g}$ .