

# Notes on Weil Conjectures

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Reference: Andre Weil, Numbers of Solutions of Equations in Finite Fields

## 1 External Background

Here we fix a prime  $p$  and a system of polynomials  $S = \{f_i\}$  of degree  $n$ , take the variety  $V(S)$  and let

- $a_1$  be its number of points of  $\mathbb{F}_p$
- $a_2$  be its number of points of  $\mathbb{F}_{p^2}$
- $\dots a_n$  be its number of points of  $\mathbb{F}_{p^n}$

Idea: assemble them into a generating function.

For unknown reasons, we put them in a zeta function instead:  $\zeta(x) = \exp\left(\sum \frac{a_n x^n}{n}\right)$ .

Conjectures:

1.  $\zeta(x) = \frac{P(x)}{Q(x)}$  is a rational function.
2. There is an explicit formula  $P(x) = \prod_{i \text{ odd}}^{2n-1} P_i(x)$  and  $Q(x) = \prod_{i \text{ even}} P_i(x)$  with each  $P_i \in \mathbb{Z}[x]$ .
  - For every root  $r$  of every  $P_i$ ,  $\frac{1}{r}$  is algebraic
  - (Riemann Hypothesis) Every root has modulus equal to  $p^{-i/2}$  (???)
3. (Functional Equation) The function  $z \mapsto \frac{1}{p^n z}$  interchanges roots of  $P_i$  with roots of  $P_{2n-i}$ .
4. (Under some conditions)  $\deg P_i = \beta_i(V)$ , the  $i$ th Betti number of  $i$ .

Relation to fixed points: In  $\mathbb{F}_{p^m}$ , every point is a fixed point of the Frobenius  $\Phi_{p^m}$ . So for any field  $F \supset \mathbb{F}_{p^m}$ , the points in  $\mathbb{F}_{p^m}$  are precisely the fixed points of  $\Phi_{p^m} : F \rightarrow F$  (because enlarging the field can not add more solutions).

Claim: If  $S \subset F^d$  is any subset defined by polynomial equations and  $x = (x_1, x_2, \dots, x_n) \in S$  is a point, then  $\Phi_{p^m}(x) = (\Phi_{p^m}(x_1), \dots) \in S$ . Moreover, the fixed points of  $\Phi_{p^m}$  restricted to  $S$  are precisely  $S \cap \mathbb{F}_{p^m}^d$ .

Compare 2b above: Riemann says roots are along critical strip  $\Re(z) = \frac{1}{2}$ ; this says roots of  $P_i$  are on a circle of radius  $p^{i/2}$  about the origin. (Note: there is a (conformal?) map that takes the circle to the line, so we can send the roots of  $P_i$  to the line  $\Re(z) = \frac{1}{2}$ ...but not for all  $i$  at once.)

Consequences: Riemann-Zeta: error estimates in the prime number theorem agree with probabilistic models Weil: error estimates in Ramanujan's  $\tau$  is as small as hoped.

Proofs: Grothendieck: 1,3, and 4 with etale cohomology. Notably not Weil 2. Deligne: Weil 2, The Riemann Hypothesis

Cohomology of Complex Grassmannian: Schubert cells exhibit structure as a CW complex with only even-dimensional cells, and  $H^{2d}(\text{Gr}(k, \mathbb{C}^{n+k})) \cong \mathbb{Z}^\ell$  where  $\ell$  is the number partitions of  $[d]$ , i.e. solutions to  $\sum_{j=1}^k x_j = d$  with  $x_j$  weakly increasing, i.e.  $x_1 \leq x_2 \leq \dots \leq x_k$ . The ring structure is isomorphic to the ring of symmetric polynomials and is generated by Chern classes. I.e.  $H^*(\text{Gr}(k, \mathbb{C}^\infty)) \cong \mathbb{C}[a_1, \dots, a_k]$  (with  $a_k$  Chern classes) which is invariant under the obvious action of the symmetric group  $S_k$ .

Example from end of paper: The number of rational points on  $\text{Gr}(m, r, \mathbb{P}_{\mathbb{F}_q})$

$$F(x) = \frac{(x^{m+1} - 1)(x^{m+1} - x) \dots (x^{m+1} - x^r)}{(x^{r+1} - 1)(x^{r+1} - x) \dots (x^{r+1} - x^r)}$$

and so the Poincare polynomial for  $\text{Gr}(m, r, \mathbb{P}_{\mathbb{C}})$  is  $F(X^2)$ .

## 2 Actual Paper

Considers equations of the form  $\sum_{i=1}^r a_i x_i^{n_i} = b$ .

Examples:

- $ax^3 - by^3 = 1$  in  $\mathbb{F}_p$ . ( $p = 3n + 1$ , Gauss, when studying “Gaussian sums”/ “cyclotomic periods”)
- $ax^4 - by^4$  in  $\mathbb{F}_p$  ( $p = 4n + 1$ , Gauss)

Can consider corresponding variety  $V$  over  $\mathbb{C}$ , want to relate numbers of solutions to topological properties of  $V$ .

Fix a finite field  $k$  with  $q$  elements,  $a_i \in k \setminus 0$ ,  $n_i \in \mathbb{Z}_{>0}$ , and first discuss  $b = 0$ .

Definitions:

$$f : k[x_0, \dots, x_r] \rightarrow k$$

$$f(x_0, \dots, x_r) = a_0 x_0^{n_0} + \dots + a_r x_r^{n_r}.$$

Only monomials appearing?

Example: Take  $k = \mathbb{Z}_2$  and  $g : k[x, y] \rightarrow k$  where  $g(x, y) = x^2 + y^2$ .

Non-example:  $h(x, y) = x^2 + y^2 + xy$ .

Let  $N := \left| \{x \in k \mid f(x) = 0\} \right|$  the number of solutions over  $k$ .

Note: shouldn't this be the number of solutions in  $k^{r+1}$ , since a "solution" is an  $(r+1)$ -tuple?

Example: For  $g$  above,  $(x, y) = (0, 0), (1, 1)$  are the only two solutions, so here  $N = 2$

Define  $d_i := \gcd(n_i, q - 1)$

Example: For  $\mathbb{Z}_2$ ,  $q = 1$  so  $d_1 = \gcd(2, 1) = 1$  and  $d_2 = \gcd(2, 1)$ .

For an arbitrary  $u \in k$ , define

$$N_i(u) = \left| \{x \in k \mid x^{n_i} = u\} \right|,$$

i.e. the number of solutions to  $x^{n_i} = u$  in  $k$ , i.e. the number of  $d_i$ th roots of  $u$ .

This is equal to:

- 1 if  $u = 0$ ,
- $d_i$  if  $u \neq 0$  is a  $d_i$ th power in  $k$
- 0 otherwise

Not entirely clear why case 2 holds. Try for an example in the case  $n_i = 2$  to compare to quadratic residues?

Define

$$L : k^{r+1} \rightarrow k$$
$$L(u) = L(u_0, \dots, u_r) = \sum_{i=0}^r a_i u_i.$$

We'll consider the variety  $V(L)$  defined by  $L$ .

This yields a decomposition

$$N = \sum_{\substack{u \in k^{r+1} \\ L(u)=0}} N_0(u_0) \cdots N_r(u_r)$$
$$= \sum_{u \in V(L)} \prod_{i=0}^r N_i(u_i),$$

i.e. any solutions to  $f = 0$  over  $k^{r+1}$  can be found by first choosing a point  $u = (u_0, \dots, u_r)$  in the variety cut out by  $L$ , so  $L(u) = \sum a_i u_i = 0$ , then picking an  $n_i$ th root  $s_i \in k$  of each  $u_i$  to obtain

some  $s = (s_0, \dots, s_r) \in k^{r+1}$ . Then  $u_i = s_i^{n_i}$  implies that  $0 = \sum a_i u_i = \sum a_i s_i^{n_i}$ , so  $s$  is a solution to  $f$ .

Definition: Let  $G$  be a group and  $V$  a vector space over a field  $F$ , then a representation is morphism of groups  $\rho : G \rightarrow \text{GL}(V)$ . For  $V$  finite-dimensional, a character of  $\rho$  is the function  $\chi_\rho : G \rightarrow F$  where  $g \mapsto \text{Tr}(\rho(g))$ . (Recall that the trace can be defined by choosing a basis for  $V$  and taking the trace of the image of  $g$ , and is basis-independent.) A character is irreducible iff ?

Lemma: Let  $G = \mathbb{Z}/n\mathbb{Z}$  and define  $\lambda : G \rightarrow \mathbb{C}^\times$  where  $1 \mapsto \zeta_n$  a primitive  $n$ th root of unity, then  $\{\lambda^i \mid 0 \leq i \leq n-1\}$  is a complete set of irreducible characters.

Aside, maybe not useful: The irreducible characters span the space of class functions  $\mathcal{C}(G)$ , so we can define a surjective map

$$\begin{aligned} \Phi : \mathbb{C}[x] &\rightarrow \mathcal{C}(G) \\ f &\mapsto f(\lambda) \end{aligned}$$

and since  $\lambda^n = \text{id}_{\mathbb{C}}$ , we have  $\ker \Phi = (x^n - 1)$ , so  $\mathcal{C}(G) \cong \mathbb{C}[x]/(x^n - 1)$  is a polynomial algebra.

Let  $G = k^\times \cong \mathbb{Z}/(q-1)\mathbb{Z}$ , and let  $\chi : G \rightarrow \mathbb{C}$  be any character.

Note: are the representations actually taking values in  $\mathbb{C}$  here?

Since  $G$  is cyclic, let  $\omega$  by any generator; then  $\chi$  is fully determined by  $\chi(\omega)$ .

For  $\alpha \in \mathbb{Q}$  any rational such that  $(q-1)\alpha \in \mathbb{Z}$ , define a character

$$\begin{aligned} \chi_\alpha : k^\times &\rightarrow \mathbb{C} \\ \omega &\mapsto e^{2\pi i \alpha}. \end{aligned}$$

We extend this to a character on  $k$  by setting  $\chi_\alpha(0) = 1 \iff \alpha \in \mathbb{Z}$  and 0 otherwise.

Let  $S_i = \{\alpha \in \mathbb{Q} \cap [0, 1) \mid d_i \alpha \in \mathbb{Z}\}$ . We can then write

$$N_i(u) = \sum_{\alpha \in S_i} \chi_\alpha(u).$$

Note: no clue why!

A priori, this is a countable infinite sum. The claim is that it can in fact be reduced to a finite sum. (?)

We can then let  $\zeta = \chi_{\frac{1}{d_i}}(u)$ , which is  $d_i$ th root of unity. Then  $\zeta = 1 \iff u$  is a  $d_i$ th power in  $k^\times$ .

Since both sides equal 1 if  $u = 0$ , we can rewrite this as

$$N_i(u) = \sum_{j=1}^{d_i-1} \zeta^j,$$

and thus

$$N = \sum_{u \in V(L)} \chi_{\alpha_0}(u_0) \cdots \chi_{\alpha_r}(u_r) \quad \text{where } \alpha_i \in [0, 1), \ d_i \alpha_i \in \mathbb{Z}.$$

Definitely countable due to the previous equation, hence the  $i$  index. But where did the  $\zeta$ s go?