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The Weil Conjectures

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Fix q a prime and $\mathbb{F} := \mathbb{F}_q$ the (unique) finite field with q elements, along with its (unique) degree n extensions

$$\mathbb{F}_{q^n} = \left\{ x \in \overline{\mathbb{F}}_q \ \middle| \ x^{q^n} - x = 0 \right\} \quad \forall \ n \in \mathbb{Z}^{\geq 2}$$

Definition (Projective Algebraic Varieties)

Let $J=\langle f_1,\cdots,f_M\rangle \leq k[x_0,\cdots,x_n]$ be an ideal, then a *projective algebraic* variety $X\subset \mathbb{P}^n_{\mathbb{F}}$ can be described as

$$X = V(J) = \left\{ \mathbf{x} \in \mathbb{P}_{\mathbb{F}}^{n} \mid f_{1}(\mathbf{x}) = \cdots = f_{M}(\mathbf{x}) = \mathbf{0} \right\}$$

where J is generated by homogeneous polynomials in n+1 variables, i.e. there is a fixed $d=\deg f_i\in\mathbb{Z}^{\geq 1}$ such that

$$f(\mathbf{x}) = \sum_{\substack{\mathbf{i} = (i_1, \cdots, i_n) \\ \sum_i i_j = d}} \alpha_{\mathbf{i}} \cdot x_0^{i_1} \cdots x_n^{i_n} \quad \text{ and } \quad f(\lambda \cdot \mathbf{x}) = \lambda^d f(\mathbf{x}), \lambda \in \mathbb{F}^{\times}.$$

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- For a fixed variety X, we can consider its \mathbb{F} -points $X(\mathbb{F})$.
 - Note that $\#X(\mathbb{F})<\infty$ is an integer
- For any L/\mathbb{F} , we can also consider X(L)
 - In particular, we can consider $X(\mathbb{F}_{q^n})$ for any $n \geq 2$.
 - We again have $\#X(\mathbb{F}_{q^n})<\infty$ and are integers for every such n.
- So we can consider the sequence

$$[N_1, N_2, \cdots, N_n, \cdots] := [\#X(\mathbb{F}), \ \#X(\mathbb{F}_{q^2}), \cdots, \ \#X(\mathbb{F}_{q^n}), \cdots].$$

 Idea: associate some generating function (a formal power series) encoding sequence, e.g.

$$F(z) = \sum_{n=1}^{\infty} N_n z^n = N_1 z + N_2 z^2 + \cdots$$

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Note that for such an ordinary generating functions, the coefficients are related to the real-analytic properties of F: we can easily recover the coefficients in the following way:

$$[z^n] \cdot F(z) = [z^n] \cdot T_{F,z=0}(z) = \frac{1}{n!} \left(\frac{\partial}{\partial z}\right)^n F(z) \bigg|_{z=0} = N_n.$$

They are also related to the complex analytic properties: using the Residue theorem,

$$[z^n] \cdot F(z) := \frac{1}{2\pi i} \oint_{\mathbb{S}^1} \frac{F(z)}{z^{n+1}} dz = \frac{1}{2\pi i} \oint_{\mathbb{S}^1} \frac{N_n}{z} dz = N_n.$$

The latter form is very amenable to computer calculation.

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An OGF is an infinite series, which we can interpret as an analytic function $\mathbb{C} \longrightarrow \mathbb{C}$ – in nice situations, we can hope for a closed-form representation.

A useful example: by integrating a geometric series we can derive

$$\frac{1}{1-z} = \sum_{n=0}^{\infty} z^n \qquad (= 1+z+z^2+\cdots)$$

$$\implies \int \frac{1}{1-z} = \int \sum_{n=0}^{\infty} z^n$$

$$= \sum_{n=0}^{\infty} \int z^n \quad for|z| < 1 \quad \text{by uniform convergence}$$

$$= \sum_{n=0}^{\infty} \frac{1}{n+1} z^{n+1}$$

$$\implies -\log(1-z) = \sum_{n=1}^{\infty} \frac{z^n}{n} \qquad \left(= z + \frac{z^2}{2} + \frac{z^3}{3} + \cdots\right).$$

For completeness, also recall that

$$\exp(z) := \sum_{n=0}^{\infty} \frac{z^n}{n!}$$

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Definition: Local Zeta Function

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Problem: count points of a (smooth?) projective variety X/\mathbb{F} in all (finite) degree n extensions of \mathbb{F} .

Definition (Local Zeta Function)

The *local zeta function* of an algebraic variety X is the following formal power series:

$$Z_X(z) = \exp\left(\sum_{n=1}^{\infty} N_n \frac{z^n}{n}\right) \in \mathbb{Q}[[z]] \text{ where } N_n := \#X(\mathbb{F}_n).$$

Note that

$$z\left(\frac{\partial}{\partial z}\right)\log Z_X(z) = z\frac{\partial}{\partial z}\left(N_1z + N_2\frac{z^2}{2} + N_3\frac{z^3}{3} + \cdots\right)$$

$$= z\left(N_1 + N_2z + N_3z^2 + \cdots\right) \qquad \text{(unif. conv.)}$$

$$= N_1z + N_2z^2 + \cdots = \sum_{n=1}^{\infty} N_nz^n,$$

which is an *ordinary* generating function for the sequence (N_n) .

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Example: A Point

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Take $X = \{pt\} = V(\{f(x) = 0\})/\mathbb{F}$ a single point over \mathbb{F} , then $\#X(\mathbb{F}_a) := N_1 = 1$

$$\#X(\mathbb{F}_q) := N_1 = 1$$

 $\#X(\mathbb{F}_{q^2}) := N_2 = 1$

:

$$\#X(\mathbb{F}_{q^n}) := N_n = 1$$

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and so

$$Z_{\{pt\}}(z) = \exp\left(1 \cdot z + 1 \cdot \frac{z^2}{2} + 1 \cdot \frac{z^3}{3} + \cdots\right)$$
$$= \exp\left(\sum_{n=1}^{\infty} \frac{z^n}{n}\right)$$
$$= \exp\left(-\log\left(1 - z\right)\right)$$
$$= \frac{1}{1 - z}.$$

Notice: Z admits a closed form and is a rational function.

Example: The Affine Line

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Take $X = \mathbb{A}^1/\mathbb{F}$ the affine line over \mathbb{F} , then We can write

$$\mathbb{A}^1(\mathbb{F}_{q^n}) = \left\{ \mathbf{x} = [x_1] \mid x_1 \in \mathbb{F}_{q^n} \right\}$$

as the set of one-component vectors with entries in \mathbb{F}_n , so

$$X(\mathbb{F}_q) = q$$
$$X(\mathbb{F}_{q^2}) = q^2$$

:

$$X(\mathbb{F}_{q^n})=q^n.$$

Then

$$Z_X(z) = \exp\left(\sum_{n=1}^{\infty} q^n \frac{z^n}{n}\right)$$
$$= \exp\left(\sum_{n=1}^{\infty} \frac{(qz)^n}{n}\right)$$
$$= \exp(-\log(1 - qz))$$
$$= \frac{1}{1 - qz}.$$

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Take $X = \mathbb{A}^m/\mathbb{F}$ the affine line over \mathbb{F} , then We can write

$$\mathbb{A}^{m}(\mathbb{F}_{q^{n}}) = \left\{ \mathbf{x} = [x_{1}, \cdots, x_{m}] \mid x_{i} \in \mathbb{F}_{q^{n}} \right\}$$

as the set of one-component vectors with entries in \mathbb{F}_n , so

$$X(\mathbb{F}_q) = q^m$$

$$X(\mathbb{F}_{q^2}) = (q^2)^m$$

$$\vdots$$

$$X(\mathbb{F}_{q^n}) = q^{nm}.$$

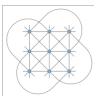


Figure:
$$\mathbb{A}^2/\mathbb{F}_3$$
 ($q = 3, m = 2, n = 1$)

Then

$$Z_X(z) = \exp\left(\sum_{n=1}^{\infty} q^{nm} \frac{z^n}{n}\right) = \exp\left(\sum_{n=1}^{\infty} \frac{(q^m z)^n}{n}\right)$$
$$= \exp(-\log(1 - q^m z))$$
$$= \frac{1}{1 - q^m z}.$$

Example: Projective Line

Examples

Take $X = \mathbb{P}^1/\mathbb{F}$, we can still count by enumerating coordinates:

$$\mathbb{P}^{1}(\mathbb{F}_{q^{n}}) = \left\{ [x_{1} : x_{2}] \mid x_{1}, x_{2} \neq 0 \in \mathbb{F}_{q^{n}} \right\} / \sim = \left\{ [x_{1} : 1] \mid x_{1} \in \mathbb{F}_{q^{n}} \right\} \coprod \left\{ [1 : 0] \right\}.$$

Thus

$$X(\mathbb{F}_q) = q+1$$

$$X(\mathbb{F}_{q^2}) = q^2 + 1$$

$$\vdots$$

$$X(\mathbb{F}_{q^n}) = q^n + 1.$$



Figure: $\mathbb{P}^1/\mathbb{F}_3$ (a=3, n=1)

Thus

$$Z_X(z) = \exp\left(\sum_{n=1}^{\infty} (q^n + 1) \frac{z^n}{n}\right)$$
$$= \exp\left(\sum_{n=1}^{\infty} q^n \frac{z^n}{n} + \sum_{n=1}^{\infty} 1 \cdot \frac{z^n}{n}\right)$$
$$= \frac{1}{(1 - qz)(1 - z)}.$$

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(Weil 1949)

Let X be a smooth projective variety of dimension N over \mathbb{F}_q for q a prime, let $Z_X(z)$ be its zeta function, and define $\zeta_X(s) = Z_X(q^{-s})$.

(Rationality) $Z_X(z)$ is a rational function:

$$Z_X(z) = \frac{p_1(z) \cdot p_3(z) \cdots p_{2N-1}(z)}{p_0(z) \cdot p_2(z) \cdots p_{2N}(z)} \in \mathbb{Q}(z), \quad \text{i.e.} \quad p_i(z) \in \mathbb{Z}[z]$$

$$P_0(z) = 1 - z$$

$$P_{2N}(z) = 1 - q^N z$$

$$P_j(z) = \prod_{j=1}^{\beta_i} (1 - a_{j,k}z)$$
 for some reciprocal roots $a_{j,k} \in \mathbb{C}$

In particular, this implies the existence of a meromorphic continuation of the associated function $\zeta_X(s)$, which a priori only converges for $\Re(s)\gg 0$. This also implies that for n large enough, N_n satisfies a linear recurrence relation.

where we've factored each P_i using its reciprocal roots a_{ii} .

[2] (Functional Equation and Poincare Duality) Let $\chi(X)$ be the Euler characteristic of X, i.e. the self-intersection number of the diagonal embedding $\Delta \hookrightarrow X \times X$; then $Z_X(z)$ satisfies the following functional equation:

$$Z_X\left(\frac{1}{q^Nz}\right) = \pm \left(q^{\frac{N}{2}}z\right)^{\chi(X)} Z_X(z).$$

Equivalently,

$$\zeta_X(N-s) = \pm \left(q^{\frac{N}{2}-s}\right)^{\chi(X)} \zeta_X(s)$$

Note that when N=1, e.g. for a curve, this relates $\zeta_X(s)$ to $\zeta_X(1-s)$.

Equivalently, there is an involutive map on the (reciprocal) roots

$$z \iff \frac{q^N}{z}$$

$$\alpha_{j,k} \iff \alpha_{2N-j,k}$$

which sends roots of p_j to roots of p_{2N-j} .

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(Riemann Hypothesis)

The reciprocal roots $a_{j,k}$ are algebraic integers (roots of some monic $p \in \mathbb{Z}[x]$) which satisfy

$$|a_{j,k}|_{\mathbb{C}} = q^{\frac{j}{2}}, \qquad 1 \le j \le 2N - 1, \ \forall k.$$

4 (Betti Numbers)

If X is a "good reduction mod q" of a nonsingular projective variety \tilde{X} in characteristic zero, then the $\beta_i = \deg p_i(z)$ are the Betti numbers of the topological space $\tilde{X}(\mathbb{C})$.

Moral:

- The Diophantine properties of a variety's zeta function are governed by its (algebraic) topology.
- Conversely, the analytic properties of encode a lot of geometric/topological/algebraic information.
- Langland's: similarly asks for every L function arising from an automorphic representation to satisfy Weil 2 and 3.

Why is (3) called the "Riemann Hypothesis"?

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Recall the Riemann zeta function is given by

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} = \prod_{p \text{ prime}} \frac{1}{1 - p^{-s}}.$$

After modifying ζ to make it symmetric about $\Re(s) = \frac{1}{2}$ and eliminate the trivial zeros to obtain $\widehat{\zeta}(s)$, there are three relevant properties

- "Rationality": $\widehat{\zeta}(s)$ has a meromorphic continuation to $\mathbb C$ with simple poles at s=0,1.
- "Functional equation": $\widehat{\zeta}(1-s) = \widehat{\zeta}(s)$
- "Riemann Hypothesis": The only zeros of $\hat{\zeta}$ have $\Re(s) = \frac{1}{2}$.

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Why is (3) called the "Riemann Hypothesis"?

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Suppose it holds. We can use the facts that

- $|\exp(z)| = \exp(\Re(z))$ and
- $b a^z := \exp(z \operatorname{Log}(a)),$

and to replace the polynomials P_i with

$$L_j(s) := P_j(q^{-s}) = \prod_{k=1}^{\beta_j} (1 - \alpha_{j,k} q^{-s}).$$

Relation to Riemann Hypothesis

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Now consider the roots of $L_i(s)$: we have

$$L_{j}(s_{0}) = 0$$

$$\iff q^{-s_{0}} = \frac{1}{\alpha_{j,k}} \quad \text{for some} \quad k$$

$$\implies |q^{-s_{0}}| = \left|\frac{1}{\alpha_{j,k}}\right| \qquad \stackrel{\text{by assumption}}{=} q^{-\frac{j}{2}}$$

$$\implies q^{-\frac{j}{2}} \stackrel{\text{(a)}}{=} \exp\left(-\frac{j}{2} \cdot \operatorname{Log}(q)\right) = |\exp\left(-s_{0} \cdot \operatorname{Log}(q)\right)|$$

$$\stackrel{\text{(b)}}{=} |\exp\left(-(\Re(s_{0}) + i \cdot \Im(s_{0})) \cdot \operatorname{Log}(q)\right)|$$

$$\stackrel{\text{(a)}}{=} \exp\left(-(\Re(s_{0})) \cdot \operatorname{Log}(q)\right)$$

$$\implies -\frac{j}{2} \cdot \operatorname{Log}(q) = -\Re(s_{0}) \cdot \operatorname{Log}(q) \quad \text{by injectivity}$$

$$\implies \Re(s_{0}) = \frac{j}{2}.$$

Relation to Riemann Hypothesis

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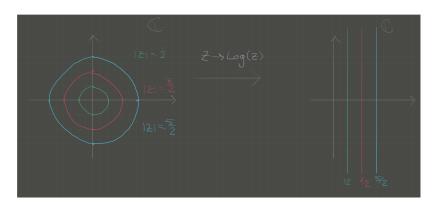
Example

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Roughly speaking, realizing that we would need to apply a logarithm (a conformal map) to send the $\alpha_{j,k}$ to zeros of the L_j , this says that the zeros all must lie on the "critical lines" $\frac{j}{2}$.



In particular, the zeros of L_1 have real part $\frac{1}{2}$, analogous to the classical Riemann hypothesis.

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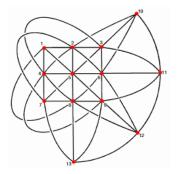
Grassmannia

Take $X = \mathbb{P}^m/\mathbb{F}$ We can write

$$\mathbb{P}^{m}(\mathbb{F}_{q^{n}}) = \mathbb{A}^{m+1}(\mathbb{F}_{q^{n}}) \setminus \left\{\mathbf{0}\right\} / \sim = \left\{\mathbf{x} = [x_{0}, \cdots, x_{m}] \mid x_{i} \in \mathbb{F}_{q^{n}}\right\} / \sim$$

But how many points are actually in this space?

Figure: Points and Lines in $\mathbb{P}^2/\mathbb{F}_3$



A nontrivial combinatorial problem!

g-Analogs and Grassmannians

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To illustrate, this can be done combinatorially: identify $\mathbb{P}_{\mathbb{F}}^m = \operatorname{Gr}_{\mathbb{F}}(1, m+1)$ as the space of lines in $\mathbb{A}^{m+1}_{\mathbb{R}}$.

Theorem

The number of k-dimensional subspaces of $\mathbb{A}_{\mathbb{F}_a}^N$ is the q-analog of the binomial coefficient:

$$\begin{bmatrix} N \\ k \end{bmatrix}_q := \frac{(q^N - 1)(q^{N-1} - 1) \cdots (q^{N-(k-1)} - 1)}{(q^k - 1)(q^{k-1} - 1) \cdots (q - 1)}.$$

Remark: Note $\lim_{q \to 1} {N \brack k}_q = {N \choose k}$, the usual binomial coefficient.

Proof: To choose a k-dimensional subspace,

- Choose a nonzero vector $\mathbf{v}_1 \in \mathbb{A}^n_{\mathbb{F}}$ in $q^N 1$ ways.
 - For next step, note that $\#\mathrm{span}\,\{\mathsf{v}_1\}=\#\left\{\lambda\mathsf{v}_1\ \middle|\ \lambda\in\mathbb{F}_q\right\}=\#\mathbb{F}_q=q.$
- Choose a nonzero vector \mathbf{v}_2 not in the span of \mathbf{v}_1 in q^N-q ways.
 - Now note $\#\operatorname{span}\{\mathsf{v}_1,\mathsf{v}_2\} = \#\left\{\lambda_1\mathsf{v}_1 + \lambda_2\mathsf{v}_2 \mid \lambda_i \in \mathbb{F}\right\} = q \cdot q = q^2.$

- Choose a nonzero vector \mathbf{v}_3 not in the span of \mathbf{v}_1 , \mathbf{v}_2 in a^N-a^2 ways.

 $-\cdots$ until \mathbf{v}_k is chosen in

$$(q^{N}-1)(q^{N}-q)\cdots(q^{N}-q^{k-1})$$
 ways

- This yields a k-tuple of linearly independent vectors spanning a k-dimensional subspace V_k
- This overcounts because many linearly independent sets span V_k , we need to divide out by the number of ways to choose a basis inside of V_k .
- By the same argument, this is given by

$$(q^{k}-1)(q^{k}-q)\cdots(q^{k}-q^{k-1})$$

Thus

#subspaces =
$$\frac{(q^N - 1)(q^N - q)(q^N - q^2) \cdots (q^N - q^{k-1})}{(q^k - 1)(q^k - q)(q^k - q^2) \cdots (q^k - q^{k-1})}$$

$$\begin{split} &= \frac{q^N - 1}{q^k - 1} \cdot \left(\frac{q}{q}\right) \frac{q^{N-1} - 1}{q^{k-1} - 1} \cdot \left(\frac{q^2}{q^2}\right) \frac{q^{N-2} - 1}{q^{k-2} - 1} \cdots \left(\frac{q^{k-1}}{q^{k-1}}\right) \frac{q^{N-(k-1)} - 1}{q^{k-(k-1)-1}} \\ &= \frac{(q^N - 1)(q^{N-1} - 1) \cdots (q^{N-(k-1)} - 1)}{(q^k - 1)(q^{k-1} - 1) \cdots (q - 1)}. \end{split}$$

Counting Points

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Note that we've actually computed the number of points in any Grassmannian.

Identify $\mathbb{P}^m_{\mathbb{F}}=\operatorname{Gr}_{\mathbb{F}}(1,m+1)$ as the space of lines in $\mathbb{A}^{m+1}_{\mathbb{F}}$.

We obtain a nice simplification for the number of lines corresponding to setting k=1:

$$\begin{bmatrix} m+1 \\ 1 \end{bmatrix}_q = \frac{q^{m+1}-1}{q-1} = q^m + q^{m-1} + \dots + q + 1 = \sum_{j=0}^m q^j.$$

Thus

$$X(\mathbb{F}_q) = \sum_{j=0}^m q^j$$

$$X(\mathbb{F}_{q^2}) = \sum_{j=0}^m (q^2)^j$$

$$\vdots$$

$$X(\mathbb{F}_{q^n}) = \sum_{j=0}^m (q^n)^j.$$

Computing the Zeta Function

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$$Z_X(z) = \exp\left(\sum_{n=1}^{\infty} \sum_{j=0}^{m} (q^n)^j \frac{z^n}{n}\right)$$

$$= \exp\left(\sum_{n=1}^{\infty} \sum_{j=0}^{m} \frac{(q^j z)^n}{n}\right)$$

$$= \exp\left(\sum_{j=0}^{m} \sum_{n=1}^{\infty} \frac{(q^j z)^n}{n}\right)$$

$$= \exp\left(\sum_{j=0}^{m-1} -\log(1 - q^j z)\right)$$

$$= \prod_{j=0}^{m} \left(1 - q^j z\right)^{-1}$$

$$= \left(\frac{1}{1 - z}\right) \left(\frac{1}{1 - az}\right) \left(\frac{1}{1 - a^2 z}\right) \cdots \left(\frac{1}{1 - a^m z}\right),$$

Miraculously, still a rational function!

An Easier Proof

Projective m-space

Quick recap:

$$Z_{\{ ext{pt}\}} = rac{1}{1-z} \qquad Z_{\mathbb{P}^1}(z) = rac{1}{1-qz} \qquad Z_{\mathbb{A}^1}(z) = rac{1}{(1-z)(1-qz)}.$$

Note that $\mathbb{P}^1 = \mathbb{A}^1 \prod \{\infty\}$ and correspondingly $Z_{\mathbb{P}^1}(z) = Z_{\mathbb{A}^1}(z) \cdot Z_{\{\mathsf{pt}\}}(z)$. This works in general:

Lemma (Excision)

If $Y/\mathbb{F}_a \subset X/\mathbb{F}_a$ is a closed subvariety, for $U = X \setminus Y$, $Z_X(z) = Z_Y(z) \cdot Z_U(z)$.

Proof: Let $N_n = \#Y(\mathbb{F}_{q^n})$ and $M_n = \#U(\mathbb{F}_{q^n})$, then

$$\zeta_X(z) = \exp\left(\sum_{n=1}^{\infty} (N_n + M_n) \frac{z^n}{n}\right)$$

$$= \exp\left(\sum_{n=1}^{\infty} N_n \cdot \frac{z^n}{n} + \sum_{n=1}^{\infty} M_n \cdot \frac{z^n}{n}\right)$$

$$= \exp\left(\sum_{n=1}^{\infty} N_n \cdot \frac{z^n}{n}\right) \cdot \exp\left(\sum_{n=1}^{\infty} M_n \cdot \frac{z^n}{n}\right) = \zeta_Y(z) \cdot \zeta_U(z).$$

A Easier Proof

Projective m-space

Note that geometry can help us here: we have a stratification $\mathbb{P}^n = \mathbb{P}^{n-1} \mathbf{I} \mathbf{A}^n$, and so inductively

$$\mathbb{P}^m = \coprod\nolimits_{j=0}^m \mathbb{A}^j = \mathbb{A}^0 \coprod \mathbb{A}^1 \coprod \cdots \coprod \mathbb{A}^m,$$

and recalling that

$$Z_{X\coprod Y}(z)=Z_X(z)\cdot Z_Y(z)$$

and $Z_{\mathbb{A}^j}(z) = \frac{1}{1-a^{j}z}$ we have

$$Z_{\mathbb{P}^m}(z) = \prod_{j=0}^m Z_{\mathbb{A}^j}(z) = \prod_{j=0}^m \frac{1}{1 - q^j z}.$$

Notice that the highest degree is exactly m, and there is exactly one factor for each i < m. Note that PP^m/\mathbb{F}_a can be though of as a mod a reduction of \mathbb{RP}^m or \mathbb{CP}^m , and somehow Z "sees" its dimension.

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Consider now $X = Gr(k, m)/\mathbb{F}$ – by the previous computation, we know

$$X(\mathbb{F}_{q^n}) = egin{bmatrix} m \ k \end{bmatrix}_{q^n} \coloneqq rac{(q^{nm}-1)(q^{nm-1}-1)\cdots(q^{nm-n(k-1)}-1)}{(q^{nk}-1)(q^{n(k-1)}-1)\cdots(q^n-1)}$$

but the corresponding Zeta function is much more complicated than the previous examples:

$$Z_X(z) = \exp\left(\sum_{n=1}^{\infty} {m \brack k}_{q^n} \frac{z^n}{n}\right) = \cdots?.$$

Note that $\dim_{\mathbb{R}} \operatorname{Gr}_{\mathbb{R}}(k, m) = k(m - k)$ as a real manifold, so