Full Notes

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1 Wednesday January 8

Course text: http://math.uga.edu/~pete/integral2015.pdf

Summary: The study of commutative rings, ideals, and modules over them.

The chapters we'll cover:

- 1 (Intro),
- 2 (Modules, partial),
- 3 (Ideals, CRT),
- 7 (Localization),
- 8 (Noetherian Rings),
- 11 (Nullstellensatz),
- 12 (Hilbert-Jacobson rings),
- 13 (Spectrum),
- 14 (Integral extensions),
- 17 (Valuation rings),
- 18 (Normalization),
- 19 (Picard groups),
- 20 (Dedekind domains),

• 22 (1-dim Noetherian domains)

In number theory, arises in the study of \mathbb{Z}_k , the ring of integers over a number field k, along with localizations and orders (both preserve the fraction field?).

In algebraic geometry, consider $R = k[t_1, \dots, t_n]/I$ where k is a field and I is an ideal.

Some preliminary results:

- 1. In \mathbb{Z}_k , ideals factor uniquely into primes (i.e. it is a Dedekind domain).
- 2. \mathbb{Z}_k has an integral basis (i.e. as a \mathbb{Z} -modules, $\mathbb{Z}_k \cong \mathbb{Z}^{[k:\mathbb{Q}]}$).
- 3. The Nullstellansatz: there is a bijective correspondence

{Irreducible Zariski closed subsets of
$$\mathbb{C}^n$$
} \iff {Prime ideals in $\mathbb{C}[t_1, \cdots, t_n]$ }.

4. Noether normalization (a structure theorem for rings of the form R above).

All of these results concern particularly "nice" rings, e.g. $\mathbb{Z}_k, \mathbb{C}[t_1, \cdots, t_n]$. These rings are

- Domains
- Noetherian
- Finitely generated over other rings
- Finite Krull dimension (supremum of length of chains of prime ideals)
 - In particular, dim $\mathbb{Z}_k = 1$ since nonzero prime ideals are maximal in a Dedekind domain
- Regular (nonsingularity condition, can be interpreted in scheme-theoretic language)

Note: schemes will have "local charts" given by commutative rings, analogous to building a manifold from Euclidean n-space. General philosophy (Grothendieck): Every commutative ring is the ring of functions on some space, so we should study the category of commutative rings as a whole (i.e. let the rings be arbitrary). This does not hold for non-commutative rings! I.e. we can't necessarily associate a geometric space to every non-commutative ring. A common interesting example: k[G], the group ring of an arbitrary group. Good references: Lam, 'Lectures on Modules and Rings'.

Example: Let X be a topological space and C(X) be the continuous functions $f: X \to \mathbb{R}$. This is a ring under pointwise addition/multiplication. (This generally holds for the hom set into any commutative ring.)

Example: Take X = [0, 1] and C(X) as a ring.

Exercise:

- 1. Show that C(X) is a not a domain. > Hint: find two nonzero functions whose product is identically zero, e.g. bump functions. > Note that they are not analytic/holomorphic.
- 2. Show that it is not noetherian (i.e. there is an ideal that is *not* finitely generated).
- 3. Fix a point $x \in [0,1]$ and show that the ideal $\mathfrak{m}_x = \{f \mid f(x) = 0\}$ is maximal.
- 4. Are all maximal ideals of this form? > Hint: See textbook chapter 5, or Gilman and Jerison 'Rings of Continuous Functions'.

Theorem of Swan: A theorem about topological vector bundles over C([0,1]), see textbook. There is a categorical equivalence between vector bundles on a compact space and f.g. projective modules over this ring. (So commutative algebra has something to say about other branches of Mathematics!)

Definition: A topological space is called *boolean* (or a *Stone space*) iff it is compact, hausdorff, and totally disconnected.

Example: A projective variety over p-adics with \mathbb{Q}_p points plugged in.

Definition: A ring is boolean if every element is idempotent, i.e. $x \in R \implies x^2 = x$.

Exercise: If R is a boolean domain, then it is isomorphic to the field with 2 elements.

Lemma: There is a categorical equivalence between Boolean spaces, Boolean rings, and so-called "Boolean algebras".

2 Monday January 13

2.1 Logistics

Some topics for final projects

- The cardinal Krull dimension of Hol(X).
- Galois connections
- Ordinal filtrations
- Lam-Reves prime ideal principal
- C(X)
- Hol(*X*)
- Semigroup rings
- Swan's Theorem
 - Vector bundles on a compact space
- Boolean rings and Stone duality
- More Nullstellansatz
 - Beyond Hilbert's usual one
- Hochster's Theorem
 - Characterizes $\operatorname{Spec} R$ as a topological space, i.e. when is a topological space homeomorphic to the spectrum of some commutative ring.
- Invariant theory (quotients of rings under finite group actions, i.e. R^G for $|G| < \infty$)
 - For R = k a field, this is Galois theory
 - Easy case of geometric invariant theory, when G is infinite
- UFDs
 - What conditions does a ring need to have to ensure unique factorization?
- Euclidean rings
- Claborn (Leedham-Green-Clark): Every commutative group is (up to isomorphism) the class group of some Dedekind domain.
 - A type of inverse problem, class group measures deviation from being a UFD
 - Uses ordinal filtrations, transfinite induction
 - See proof in elliptic curves course

2.2 Rings of Functions

Let k be a field, X a set of cardinality $|X| \ge 2$, and define $k^X := \text{Maps}(X.k) = \{f : X \to K\}$ is a ring under pointwise addition and multiplication. As a ring, this is a (big!) cartesian product.

Some facts:

- k^X is not a domain (exercise), and there are nontrivial idempotents ($e^2 = e$) > Note: it could be worse and have nilpotents.
- k^X is reduced, i.e. it has no nonzero nilpotents, where $z \in R$ is nilpotent iff $\exists n \geq 1$ such that $z^n = 0$.
 - Note: domains are reduced, cartesian products of reduced rings are reduced.
- Every subring of k^X is reduced. > Moral: should be viewing every ring as functions on some space, but this can't literally be true because of the above restrictions. > Nilpotent elements are "hard to view as functions".
- For X a topological space, C(X) the ring of continuous functionals to \mathbb{R} , then $C(X) \subset \mathbb{R}^X$.

Exercise: When is C(X) a domain? (Note that we can have products of nonzero functions being identically zero.)

 $\textit{Example:} \ \, \text{Let} \, R \text{ be the ring of holomorphic functions $\mathbb{C}\circlearrowleft, \text{i.e. } \mathrm{Hol}(\mathbb{C},\mathbb{C}) \coloneqq \Big\{ f:\mathbb{C} \to \mathbb{C} \,\,\Big|\,\, f \text{ is holomorphic } \Big\}.$

The set of zeros of such an f must be discrete, the example of bump functions doesn't go through holomorphically.

This is a domain, not Noetherian, not a PID, but every f.g. ideal is principal (thus this is a Bezout domain, a non-Noetherian analog of a PID).

It has infinite Krull dimension: recall that ideals are prime iff $xy \in \mathfrak{p} \implies x \in \mathfrak{p}$ or $y \in \mathfrak{p}$ iff R/\mathfrak{p} is a domain, and the Krull dimension is the supremum S of lengths of chains of prime ideals (only when S is finite).

If $C \subset (X, \leq)$ is a finite-length chain in a totally ordered set, then the length $\ell(C) = |C| - 1$ (1 less than the number of elements appearing). The *cardinal Krull dimension* of a ring R is the actual supremum.

Note: in Noetherian rings, there can still be finite but unbounded length chains.

Letting X be a complex manifold (i.e. covered by subsets of \mathbb{C}^n with holomorphic transition functions) and let $\operatorname{Hol}(X)$ be the holomorphic functionals $f: X \to \mathbb{C}$. Then $\operatorname{Hol}(X)$ is a domain iff X is connected.

Note that if X is disconnected, we can take a function that is constant on one component and zero on another, then switch, then multiply to get a zero function.

If X is a compact connected projective variety, then $\operatorname{Hol}(X)$ is just constant functions by the open mapping functions. So $\operatorname{Hol}(X) = \mathbb{C}$, and $\operatorname{carddim}\mathbb{C} = 0$ because for any field there are only two ideals, and here (0) is prime. Moreover, $\operatorname{carddim}\operatorname{Hol}(\mathbb{C}) \geq \alpha_0$.

Note that for complex manifolds, X is either compact or supports many holomorphic functions.

Theorem: If X is a connected complex manifold which has a nontrivial holomorphic function, i.e. $\operatorname{Hol}(X) \supset \mathbb{C}$, then there exists a chain of prime ideals in $\operatorname{Hol}(X)$ of length $|\mathbb{R}| > \aleph_0$, i.e. it has at least the cardinality of the continuum.

Note: the cardinality could be even bigger!

Maximals are prime: equivalent to fields are integral domains.

2.3 Rings

Take all rings to be unital, i.e. containing 1. A ring without identity is referred to as an rng. In this course, all rings are commutative.

Example: This is a fairly special restriction. Take (A, +) a commutative group and define $\operatorname{End}(A) = \{f : A \to A\}$ the ring of group homomorphisms under pointwise addition and composition. This is generally not commutative, i.e. $\operatorname{End}(\mathbb{Z}/(2) \oplus \mathbb{Z}/(2)) = M_2(\mathbb{Z}/(2))$ the ring of matrices with $\mathbb{Z}/(2)$ entries, which is not commutative.

Exercise: Given (A, +), show that $\operatorname{End}(\bigoplus^n A) = M_n(\operatorname{End}(A))$.

Generally, if R is a ring and M is as R-module, then $\operatorname{End}_R(M) = \{f : M \to M\}$ of R-module homomorphisms is always a ring under pointwise addition and composition, and is (probably) non-commutative.

3 Wednesday January 15th

Cayley's theorem: For G a group, then there is a canonical injective group homomorphism $\Phi: G \hookrightarrow \operatorname{Sym}(G) \cong S_n$ for n = |G|. The map is given by $g \mapsto g \cdot$, i.e. multiplying on the left. Is there an analog for rings?

Take a similar map:

$$R \to \operatorname{End}_{\mathbb{Z}}(R, +)$$

 $r \mapsto (x \mapsto rx).$

Unfortunately there is no specialization for commutative groups/rings – Sym(G) for example is noncommutative when $|G| \geq 2$. Similarly, even if R is commutative, End(R, +) is probably not. As per the Grothendieck philosophy, we find that all rings are a ring of functions on something – namely themselves, since this map is injective.

All rings are commutative here, so take $R^{\times} = \{x \in R \mid \exists y \text{s.t.} xy = 1\}$. For R a group, R^{\times} is a commutative group, so this is an interesting invariant.

Another interesting invariant: the class group.

Notation: Let $R^{\bullet} = R \setminus 0$. An element $x \in R$ is a zero divisor iff there exists $y \in R^{\bullet}$ such that xy = 0. For $x, y \in R$ we write $x \mid y$ iff $\exists z \in R$ such that xz = y.

R is a domain iff 0 is the only zero divisors, i.e. $xy = 0 \implies x = 0$ or y = 0. (R^{\bullet}, \cdot) is a commutative monoid (group without inverses) iff R is a domain. Observe that R is a field iff $R^{\bullet} = R^{\times}$.

For rings R, S we have the usual definition of ring homomorphism, additionally requiring f(1) = 1. Note that f(0) = 0 follows from f(x+y) = f(x) + f(y), but f(1) = 1 does not. Rings have products $R_1 \times R_2$ which is again a ring under coordinate-wise operations. Note that there are canonical projections $\pi_i R_1 \times R_2 \to R_i$. There is a dual inclusion $\iota_1 : R_1 \to R_1 \times R_2$ given by $x \mapsto (x,0)$, but these are not ring homomorphisms (although everything is a group homomorphism). This is because $\iota_1(1) = (1,0) \neq (1,1)$, the identity of $R_1 \times R_2$. Note that 1 always has to map to an idempotent element, i.e. $e^2 = e$, and idempotents are always zero divisors. Also note that the map $x \mapsto 0$ is not a ring homomorphism unless S = 0.

A ring homomorphism is a map $f: R \to S$ is an isomorphism iff it has a two-sided inverse, i.e. there exists a morphism $g: S \to R$ with $g \circ f = \mathrm{id}_R$ and $f \circ g = \mathrm{id}_S$.

Exercise: Check that this is equivalent to f being a bijection.

Exercise: Check that the zero ring is the final object in the category of rings. Show that \mathbb{Z} is the initial object in this category?

R is a subring of S iff $R \subset S$ and the inclusion $R \hookrightarrow S$ is a morphism.

Adjoining elements: Suppose $R \leq S$ is a subring and $X \subset S$ is just a subset. Then there exists a ring R[X] such that

- Top-down description: $R[X] \leq S$ is a subring containing R and X, and is minimal with respect to this property (obtained by intersecting all such subrings)
- Bottom-up description: things resembling $\sum r_i x_i$

Exercise 1.6: Take $R = \mathbb{Z}, S = \mathbb{Q}, P$ a arbitrary set of prime numbers. Let $\mathbb{Z}_{\mathcal{P}} = \mathbb{Z}\left[\left\{\frac{1}{p} \mid p \in P\right\}\right]$.

- a. When do we have $\mathbb{Z}_{\mathcal{P}_1} \cong \mathbb{Z}_{\mathcal{P}_2}$? (Hint: take $P_1 = \{3,7,11\}$, $P_2 = \{5\}$. Need $P_1 = P_2$!)
- b. Show that every subring T such that $\mathbb{Z} \leq T \leq \mathbb{Q}$ is of the form $\mathbb{Z}_{\mathcal{P}}$ for some unique set of primes P.

Note that if T is any intermediate ring between R and S, then R[T] = T.

3.1 Ideals and Quotients

For $f: R \to S$ a ring homomorphism, define $I = \ker f = f^{-1}(\{0\})$. Then I is a subgroup of (R, +), and for all $i \in I$ and all $r \in R$ we have $ri \in I$, since f(ri) = f(r)f(i) = f(r)0 = 0. In other words, $RI \subseteq I$.

By definition, an ideal I of R is an additive subgroup of R that satisfies these properties. Is every ideal the kernel of a ring homomorphism? The answer is yes, namely the quotient $\pi: R \to R/I$.

Theorem: Let $I \subset (R, +)$, then TFAE:

- a. I is an ideal of R, written $I \subseteq R$.
- b. There exists a ring structure on the quotient group R/I such that the projection $r \mapsto r + I$ is a ring morphism.

When these conditions hold, the ring structure on R/I is unique and we refer to this as the quotient ring.

4 Friday January 17th

For a $R \subset T$ a subring of a ring, the set of intermediate rings is a large/interesting class of rings. Recall: uncountably many rings between \mathbb{Z} and \mathbb{Q} ! Taking R a PID and T its fraction field, a similar result will hold. Define $I \subseteq R$ as the kernel of a ring morphism. This implies that $I \subset (R, +)$ with the absorption property $RI \subset I$. Conversely, any I satisfying these two properties is the kernel of a ring morphism: namely $R \to R/I$. This makes sense as a group morphism.

Exercise: Define xy + I = (x+I)(y+I), need to check well-definedness. Write out $(x+i_1)(y+i_2) = \cdots$, need to check that $i_1y + i_2x + i_1i_2 \in I$, but the absorption property does precisely this.

Note that if we were in a non-commutative setting, this would define a left ideal. These don't have to coincide with right ideals – there are rings where the former satisfy properties that the latter does not.

Example: The subrings of $R = \mathbb{Z}$ are of the form $n\mathbb{Z}$ for $n \geq 0$, with the usual quotient.

Definition: An ideal $I \subseteq R$ is proper iff $I \subseteq R$.

Exercise: An ideal I is not proper iff I contains a unit.

Exercise: R is a field iff the only ideals are 0, R.

Definition: Let $\mathcal{I}(R)$ be the set of all ideals in R. What structure does it have? It is partially ordered under inclusion. It is a complete lattice, i.e. every element has an infimum (GLB) and a supremum (LUB). Namely, for a family of ideals $\{I_j\}$, the infimum is the intersection and supremum is defined as $\langle I_j \mid j \in J \rangle$, the smallest ideal containing all of the I_j , i.e. $\langle y \rangle =$

$$\left\{ \sum_{i=1}^{n} r_i y_i \mid n \in \mathbb{N}_{>0}, \ r_i \in R, \ y_i \in y \right\}.$$

Exercise: For $I_1, I_2 \subseteq R$, it is the case that $I_1 + I_2 := \{i_1 + i_2\} = \langle I_1, I_2 \rangle$.

Theorem: Let $I \subseteq R$ and $\phi: R \to R/I$, and define $\ell(I) = \{I \subset J \subseteq R\}$. Then we can define maps

$$\Phi: \ell(R) \to \ell(R/I)$$

$$J \mapsto \frac{I+J}{I},$$

$$\Psi: \ell(R/I) \to \ell(R)$$
$$J \le R/I \mapsto \phi^{-1}(J).$$

We can check that $\Psi \circ \Phi(J) = I + J$, and $\Phi \circ \Psi(J) = J$ (= J/I?) So Ψ has a left inverse and is thus injective. Its image is the collection of ideals that contain J, and $\Psi : \ell(R/I) \to \ell_I(R)$ is a bijection and is in fact a lattice isomorphism with $\ell_I(R) \subset \ell(R)$.

Note that this gives us everything above (?) an ideal in the ideal lattice; the dual notion will come from localization.

Remarks:

The ideal lattice $\ell(R)$ is

- A complete lattice under subset inclusion,
- A commutative monoid under addition

• A commutative monoid under *multiplication*, which we'll define.

Definition: For $I, J \subseteq R$, we define $IJ = \langle ij \mid i \in I, j \in J \rangle$. Note that we have to take the ideal generated by products here.

For $\langle x \rangle = (x)$ a principal ideal and $\langle y \rangle$ principal, we do have (x)(y) = (xy). Note that $IJ \subset I \cap J$, whereas the sum was larger than I, J.

Exercise: Note that $(\ell(R), \cdot)$ has an absorbing element, namely (0)I = (0). For (M, +) a commutative monoid and $M \hookrightarrow G$ a group, then multiplication by x is injective and so for all $y \in M$, $xz = yz \implies x = y$, so M is cancellative.

Question: what if we consider $\mathcal{I}^{\bullet}(R)$ the set of nonzero ideals of R. Does this help? We will see next time.

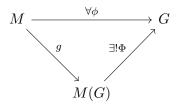
5 Wednesday January 22nd

Let R be a ring and let $\mathcal{I}(R)$ be the set of ideals $I \subseteq R$. This algebraic structure is

- Partially ordered under inclusion
- Forms a complete lattice with sup the ideal generated by a family and inf the intersection.
- Forms a commutative monoid under I + J
- \bullet Forms a commutative monoid under IJ

For any commutative monoid (M, +), there exists a group completion G(M) such that

- G(M) is a commutative group
- $g: M \to G(M)$ is a monoid homomorphism
- For any map $\phi:(M,+)\to(G,+)$ into a commutative group, we have the following diagram



So ϕ factors through M(G).

If this exists, it is unique up to unique isomorphism (as are all objects defined by universal properties). It remains to construct it.

Exercise: For (M, +) a commutative monoid, show that TFAE

- 1. There exists an injective $\iota: M \hookrightarrow G$ monoid homomorphism for G some commutative group.
- 2. The map $g: M \to G(M)$ is an injection.
- 3. M is cancellative, i.e. $\forall x, y, z \in M$ we have $x+z=y+z \implies x=y$, i.e. the map $p_z(x)=x+z$ is injective.

The content here is in $3 \implies 1$.

A commutative monoid is reduced iff $M^{\times} = (0)$, i.e. if " $\forall m \in M \exists n \text{ such that } m + n = 0$ " $\Longrightarrow m = 0$

Example: $(\mathbb{N}, +)$ and (\mathbb{Z}^+, \cdot) are cancellative and reduced.

Definition $z \in M$ is a zero element iff z + x = z for all $x \in M$.

Remark: If M has a zero element, then $G(M) = \{0\}.$

(0) is a zero element of $(\mathcal{I}(R), \cdot)$, so this is not cancellative. If we take \mathcal{I}^{\bullet} the set of nonzero ideals with multiplication, then this is a submonoid of $\mathcal{I}(R)$ iff R is a domain.

For R a domain, let $\mathcal{I}_1(R)$ be the set of nonzero principal ideals of R, then $\mathcal{I}_1(R) = R^{\bullet}/R^{\times}$, so this is reduced and cancellative.

What is the group completion? In this case, it will consist of fractional ideals.

If R is a PID, then $\mathcal{I}_1^{\bullet}(R) = \mathcal{I}^{\bullet}(R)$ is reduced and cancellative.

Example: $\mathcal{I}^{\bullet} \cong (\mathbb{Z}^+, \cdot)$.

Warning: If R is not a PID, then $\mathcal{I}^{\bullet}(R)$ need not be cancellative.

Exercise: Take $R = \mathbb{Z}[\sqrt{-3}]$ and $p_2 := \langle 1 + \sqrt{-3}, 1 - \sqrt{-3} \rangle$. Show that $|R/p_2| = 2$, |R/(2)| = 4, and $p_2^2 = p_2(2)$ and $|R/p_2^2| = 8$. Conclude that $\mathcal{I}^{\bullet}(R)$ is not cancellative.

What went wrong here? Take $K = \mathbb{Q}[\sqrt{-3}]$, then $\mathbb{Z}_k[\frac{1+\sqrt{-3}}{2}]$ is the integral closure of \mathbb{Z} in K. \mathbb{Z}_k is a Dedekind domain, and there are inclusions

$$\mathbb{Z} \subset \mathbb{Z}[\sqrt{-3}] \subsetneq \mathbb{Z}[\frac{1+\sqrt{-3}}{2}] \subseteq K.$$

Here the problem is that $\mathbb{Z}[\sqrt{-3}]$ is not a Dedekind domain. If R is a Dedekind domain, then $\mathcal{I}^{\bullet}(R)$ is cancellative.

Exercise: Does the converse hold?

Things that are too small to be the full rings of integers, and things tend to wrong.

5.1 Pushing / Pulling

Let $f: R \to S$ be a ring homomorphism.

We can define a pushforward on the set of ideals $\mathcal{I}(R)$:

$$f_*: \mathcal{I}_R \to \mathcal{I}(S)$$

 $I \mapsto \langle f(I) \rangle$.

and a pullback

$$f^*: \mathcal{I}(S) \to \mathcal{I}(R)$$

 $J \mapsto f^{-1}(J).$

Exercise: Show that $f^{-1}(J) \leq R$.

For $I \subseteq R$ and $J \subseteq S$, then

$$f^*f_*(I) \supseteq I$$

 $f_*f^*(J) \subseteq J$.

Exercise: These are not equal in general, and give examples where equality does and does not hold.

If f is surjective, $f_*f^*J = J$.

Will also hold for localization, which is dual to taking a quotient.

Define $\overline{I} := f^*f_*(I)$ and $J^{\circ} := f_*f^*(J)$, the closure and interior respectively. Show that these operations are idempotent.

Definition: An ideal \mathfrak{p} iff $ab \in \mathfrak{p} \implies a \in \mathfrak{p}$ or $b \in \mathfrak{p}$.

Exercise: I is prime iff R/I is a domain.

Definition: Spec $(R) = \{ \mathfrak{p} \leq R \}$ the collection of prime ideals is the spectrum.

Exercise: Show that for $I \subseteq R$, if we define $V(I) := \{ \mathfrak{p} \in \operatorname{Spec}(R) \mid p \supseteq I \} \subseteq \operatorname{Spec}(R)$, then $\{ V(I) \mid I \in \mathcal{I}(R) \}$ are the closed sets for a topology on $\operatorname{Spec}(R)$ (the Zariski topology).

Exercise: If $f: R \to S$ and $J \in \operatorname{Spec}(S)$ then $f^*(J) \in \operatorname{Spec}(R)$. Show that $f^*: \operatorname{Spec}(S) \to \operatorname{Spec}(R)$ is a continuous map. Conclude that $\operatorname{Spec}(\cdot)$ is a functor.

Definition: $I \leq R$ is maximal iff I is proper and is not contained in any other proper ideal.

Exercise: I is maximal iff R/I is a field.

Exercise: Show that maximal ideals are prime.

Definition: Let $\operatorname{Spec}_{\max}(R)$ be the set of maximal ideals and define $V(I) = \{\mathfrak{m} \in \operatorname{Spec}_{\max}(R) \mid \mathfrak{m} \supseteq I\}$. Show that these form the closed sets for a topology, and that this is the subspace topology for the Zariski topology.

Exercise: Show that if $f: R \to S$ and $\mathfrak{m} \in \operatorname{Spec}_{\max}(S)$ that $f^*(\mathfrak{m})$ is prime but need not be maximal.

If f is an integral extension, then maximals do pull back to maximals.