# Weil Conjectures

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# 1 Notes from Daniel's Office Hours

- 0. Definition of Zeta functions
- 1. Statement of the conjectures
- 2. Easy examples:  $\mathbb{P}^n_{\exists}$ ,  $\operatorname{Gr}_{\exists}(k,n) = \operatorname{GL}(n,\exists)/P$  the stabilizer of an  $\exists$ -point in  $\mathbb{C}^n$ ,  $\mathbb{F}_{p^n}$ .
- 3. Medium example:  $E/\mathbb{k}$  an elliptic curve.
- 4. Work out a harder example as in Weil

#### References

- http://www-personal.umich.edu/~mmustata/zeta\_book.pdf
- https://youtu.be/wEz7fCvK6sM?t=293
- Explanation of exponential appearing

#### 1.1 Definition of Zeta Function

Fix q a prime and  $\mathbb{F} := \mathbb{F}_q$  the finite field with q elements, along with its unique degree n extensions

$$\mathbb{F}_n := \mathbb{F}_{q^n} = \left\{ x \in \overline{\mathbb{F}}_p \mid x^{q^n} - x = 0 \right\} \quad \forall \ n \in \mathbb{Z}^{\geq 2}$$

#### Definition 1.0.1.

Let

$$J = \langle f_1, \cdots, f_N \rangle \le k[x_0, \cdots, x_n]$$

be an ideal, then a projective algebraic variety  $X \hookrightarrow \mathbb{P}^{\infty}_{\mathbb{F}}$  can be given by

$$X = V(J) = \left\{ \mathbf{x} \in \mathbb{P}_{\mathbb{F}}^{\infty} \mid f_1(\mathbf{x}) = \dots = f_N(\mathbf{x}) = \mathbf{0} \right\}$$

where an ideal generated by homogeneous polynomials in n+1 variables, i.e. there is some fixed  $d \in \mathbb{Z}^{\geq 1}$  such that

$$f(\mathbf{x}) = \sum_{\substack{\mathbf{I} = (i_1, \dots, i_n) \\ \sum_i i_j = d}} \alpha_{\mathbf{I}} \cdot x_0^{i_1} \cdots x_n^{i_n} \quad \text{and} \quad f(\lambda \cdot \mathbf{x}) = \lambda^d f(\mathbf{x}).$$

For the experts: we can take a reduced (possibly reducible) scheme of finite type over a field  $\mathbb{F}_p$ . We will be thinking of K-valued points for  $K/\mathbb{F}_p$  algebraic extensions. From the audience: what condition do we need to put on such a scheme to guarantee an embedding into  $\mathbb{P}^{\infty}$ ?

#### Examples:

• Dimension 1: Curves

• Dimension 2: Surfaces

• Codimension 1: Hypersurfaces

Example: Take  $f_1(x) = x \in \mathbb{F}[x]$ , consider  $V(\langle f_1 \rangle) \subset \mathbb{P}^1_{\mathbb{F}_n}$ . This is given by the single point  $x = \mathbf{0}$ .

Fix  $X/\mathbb{F}$  an N-dimensional projective algebraic variety. Note that it then has points in any finite extension L/K.

#### Definition 1.0.2.

Define the local zeta function (or Hasse-Weil zeta function) of X the following formal power series:

$$\zeta_X(z) = \exp\left(\sum_{n=1}^{\infty} \frac{\alpha_n}{n} z^n\right) \in \mathbb{Q}[[z]] \text{ where } \alpha_n := \#X(\mathbb{F}_n).$$

Note the following two properties:

$$\zeta_X(0) = 1$$

$$z\left(\frac{\partial}{\partial z}\right)\log\zeta_X(z) = t\left(\frac{\zeta_X'(z)}{\zeta_X(z)}\right) = \sum_{n=1}^{\infty} \alpha_n z^n = \alpha_1 z + \alpha_2 z^2 + \cdots,$$

which is an ordinary generating function for the sequence  $(\alpha_n)$ .

Thus if we define G(x) to be the OGF for  $(\alpha_n)$ , we have  $\zeta_X(t) = \exp$ 

Todo: why not an OGF.

Remark: Note that for an OGF  $F(x) = \sum_{n=0}^{\infty} f_n x^n$ , we can extract coefficients in the following way:

$$[x^n]F(x) = [x^n]T_{F,0}(x) = \frac{1}{n!} \left(\frac{\partial}{\partial x}\right)^n F(x) \Big|_{x=0}.$$

Using the Residue theorem, we can also extract in the following way:

$$[x^n]F(x) = \frac{1}{2\pi i} \oint_{\mathbb{S}^1} \frac{F(z)}{z^{n+1}}.$$

#### 1.1.1 Simple but Useful Example: A Point

Take  $X = \{x = 0\} / \mathbb{F}$  a single point over  $\mathbb{F}$ , then

$$\#X(\mathbb{F}) := \alpha_1 = 1$$
  
 $\#X(\mathbb{F}_2) := \alpha_2 = 1$   
 $\vdots$   
 $\#X(\mathbb{F}_n) := \alpha_n = 1$   
 $\vdots$ 

Recall that by integrating a geometric series we can derive

$$\log(1+t) = \sum_{n=1}^{\infty} (-1)^{n-1} \frac{t^n}{n}$$

$$\implies \log(1-t) = -\sum_{n=1}^{\infty} \frac{t^n}{n}$$

$$\implies -\log(1-t) = \sum_{n=1}^{\infty} \frac{t^n}{n}$$

$$= 1 \cdot t + 1 \cdot t^2 + 1 \cdot t^3 + \cdots$$

and so

$$\zeta_{\{\text{pt}\}}(t) = \exp(-\log(1-t)) = \frac{1}{1-t}.$$

#### 1.1.2 Aside: Why call it a Zeta function?

Knowing the above calculation, we can now make a precise analogy.

Suppose

$$\mathbb{A}^n_{\mathbb{Z}} \supseteq X = V(\langle f_1, \cdots, f_d \rangle) \text{ where } f_i \in \mathbb{Z}[x_0, \cdots, x_{n-1}].$$

Then for every prime, we can reduce the equations mod p and consider

$$\mathbb{A}^n_{\mathbb{F}_p} \supseteq X_p \coloneqq V(\langle f_1 \mod p, \cdots, f_d \mod p \rangle) \quad \text{where} \quad f_1 \mod p \in \mathbb{F}_p[x_0, \cdots, x_{n-1}]$$

Then define the "local at p" zeta function:

$$L_X(s) = \prod_{p \text{ prime}} \zeta_{X_p}(p^{-s}).$$

Note: the index set for the product may require some minor adjustment over  $\mathbb{Q}$  in general. There are also potentially modifications needed to extend to schemes.

Taking  $X = \operatorname{Spec} \mathbb{Q}$  and  $X_p = \operatorname{Spec} \mathbb{F}_p$  (which is a single point since  $\mathbb{F}_p$  is a field) and noting that

$$\zeta_{X_p}(z) = \exp\left(\sum_{n=1}^{\infty} \frac{1}{n} z^n\right)$$
$$= \exp\left(-\log\left(1 - z\right)\right)$$
$$= \frac{1}{1 - z},$$

we find that

$$L_X(s) = \prod_{p \text{ prime}} \zeta_{X_p}(p^{-s})$$
$$= \prod_{p \text{ prime}} \left(\frac{1}{1 - p^{-s}}\right)$$
$$= \zeta(s),$$

the classical Riemann Zeta function.

Moreover, it is a theorem (difficult, not proved here!) that for any variety  $X/\mathbb{F}_p$ , we have

$$\zeta_X(t) = \prod_{x \in X_{\text{cl}}} \left( \frac{1}{1 - t^{\deg(x)}} \right) \quad \stackrel{t = p^{-s}}{\Longrightarrow} \quad \zeta_X(s) = \prod_{x \in X_{\text{cl}}} \left( \frac{1}{1 - \left( p^{\deg(x)} \right)^{-s}} \right),$$

which we can think of as attaching a "weight" to each closed point,  $|x| := p^{\deg(x)}$ , and the usual Riemann Zeta corresponds to assigning a weight of 1 to each point.

Note that this immediately implies that  $\zeta_X(t) \in \mathbb{Z}[[t]]$  is a rational function.

Note for experts:  $\zeta_X(z)$  an honest generating function for the 0-cycles on X ( $F(X_{\rm cl})$ ) where are effective (nonnegative coefficients).

#### 1.1.3 More Examples

**Example (Affine Line):**  $X = \mathbb{A}^1/\mathbb{F}$  the affine line over  $\mathbb{F}$ , then Note that we can write

$$\mathbb{A}^1(\mathbb{F}_n) = \left\{ \mathbf{x} = [x_1] \mid x_1 \in \mathbb{F}_n \right\}$$

as the set of one-component vectors with entries in  $\mathbb{F}_n$ , so

$$X(\mathbb{F}) = q$$

$$X(\mathbb{F}_2) = q^2$$

$$\vdots$$

$$X(\mathbb{F}_n) = q^n.$$

Thus

$$\zeta_X(z) = \exp\left(\sum_{n=1}^{\infty} \frac{q^n}{n} z^n\right) = \frac{1}{1 - qz}.$$

**Example (Affine Space):** Set  $X = \mathbb{A}^m/\mathbb{F}$ , affine m-space over  $\mathbb{F}$ , so we can just repeat with now m coordinates

$$\mathbb{A}^1(\mathbb{F}_n) = \left\{ \mathbf{x} = [x_1, \cdots, x_m] \mid x_i \in \mathbb{F}_n \right\}$$

Counting yields

$$X(\mathbb{F}) = q^{m}$$

$$X(\mathbb{F}_{2}) = (q^{2})^{m}$$

$$\vdots$$

$$X(\mathbb{F}_{n}) = (q^{n})^{m}.$$

Thus

$$\zeta_X(z) = \exp\left(\sum_{n=1}^{\infty} \frac{q^{nm}}{n} z^n\right) = \frac{1}{1 - z^m t}.$$

**Example (Projective Line):**  $X = \mathbb{P}^1/\mathbb{F}$  the projective line over  $\mathbb{F}$ , then we can write use some geometry to write

$$\mathbb{P}^1_{\mathbb{F}}=\mathbb{A}^1_{\mathbb{F}}\prod\left\{\infty\right\}$$

as the affine line with a point added at infinity.

We can then count by enumerating coordinates:

$$\mathbb{P}^{1}(\mathbb{F}_{n}) = \left\{ [x_{1}, x_{2}] \mid x_{1}, x_{2} \neq 0 \in \mathbb{F}_{n} \right\} / \sim$$
$$= \left\{ [x_{1}, 1] \mid x_{1} \in \mathbb{F}_{n} \right\} \coprod \left\{ [1, 0] \right\}.$$

Thus

$$X(\mathbb{F}) = q + 1$$

$$X(\mathbb{F}_2) = q^2 + 1$$

$$\vdots$$

$$X(\mathbb{F}_n) = q^n + 1$$

Thus

$$\zeta_X(t) = \frac{1}{(1 - q^{-t})(1 - q^{1-t})}$$

Example (Projective Space): Take  $X = \mathbb{P}_{\mathbb{F}}^n$ ,

Identifying  $\mathbb{P}_{\mathbb{F}}^n = \operatorname{Gr}_{\mathbb{F}}(1,n)$  So  $\alpha_n = 1 + q^m + (q^m)^2 + \cdots + (q^m)^n$ . Note that we can identify  $X = \operatorname{Gr}_{\mathbb{F}}(1,n)$  as the space of lines in  $\mathbb{A}_{\mathbb{F}}^n$ .

#### Proposition 1.1.

The number of k-dimensional subspaces of  $\mathbb{A}^m_{\mathbb{F}}$  is the q-binomial coefficient:

$$\begin{bmatrix} m \\ k \end{bmatrix}_q \coloneqq \frac{(q^m-1)(q^{m-1}-1)\cdots(q^{m-(k-1)}-1)}{(q^k-1)(q^{k-1}-1)\cdots(q-1)}.$$

Proof.

To choose a k-dimensional subspace,

• Choose a nonzero vector  $\mathbf{v}_1 \in \mathbb{A}^n_{\mathbb{F}}$  in

$$q^{m} - 1$$

ways.

- Identify #span 
$$\{\mathbf{v}_1\} = \#\{\lambda \mathbf{v}_1 \mid \lambda \in \mathbb{F}\} = \#\mathbb{F} = q.$$

• Choose a nonzero vector  $\mathbf{v}_2$  not in the span of  $\mathbf{v}_1$  in

$$q^m - q$$

ways.

- Identify #span 
$$\{\mathbf{v}_1, \mathbf{v}_2\} = \# \{\lambda_1 \mathbf{v}_1 + \lambda_2 \mathbf{v}_2 \mid \lambda_i \in \mathbb{F}\} = q \cdot q = q^2$$
.

• Choose a nonzero vector  $\mathbf{v}_3$  not in the span of  $\mathbf{v}_1$ ,  $\mathbf{v}_2$  in

$$q^m - q^2$$

ways.

• · · · until  $\mathbf{v}_k$  is chosen in

$$(q^m - 1)(q^m - q) \cdots (q^m - q^{k-1})$$

ways.

- This yields a k-tuple of linearly independent vectors spanning a k-dimensional subspace  $V_k$
- This overcounts because many linearly independent sets span  $V_k$ , we need to divide out by the number of choose a basis inside of  $V_k$ .
- By the same argument, this is given by

$$(q^k - 1)(q^k - q) \cdots (q^k - q^{k-1})$$

Thus

$$\begin{split} \# \text{subspaces} &= \frac{(q^m-1)(q^m-q)(q^m-q^2)\cdots(q^m-q^{k-1})}{(q^k-1)(q^k-q)(q^k-q^2)\cdots(q^k-q^{k-1})} \\ &= \frac{q^m-1}{q^k-1}\cdot\left(\frac{q}{q}\right)\frac{q^{m-1}-1}{q^{k-1}-1}\cdot\left(\frac{q^2}{q^2}\right)\frac{q^{m-2}-1}{q^{k-2}-1}\cdots\left(\frac{q^{k-1}}{q^{k-1}}\right)\frac{q^{m-(k-1)}-1}{q^{k-(k-1)-1}}. \end{split}$$

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We obtain a nice simplification for the number of lines corresponding to setting k=1:

$$\begin{bmatrix} m \\ 1 \end{bmatrix}_q = \frac{q^m - 1}{q - 1} = q^{m-1} + q^{m-2} + \dots + q + 1 = \sum_{j=0}^{m-1} q^j.$$

Thus

$$X(\mathbb{F}) = \sum_{j=0}^{m-1} q^j$$

$$X(\mathbb{F}_2) = \sum_{j=0}^{m-1} (q^2)^j$$

$$\vdots$$

$$X(\mathbb{F}_n) = \sum_{j=0}^{m-1} (q^n)^j.$$

So

$$\zeta_X(t) = \left(\frac{1}{1 - q^{-t}}\right) \left(\frac{1}{1 - q^{1 - t}}\right) \left(\frac{1}{1 - q^{2 - t}}\right) \cdots \left(\frac{1}{q^{n - t}}\right)$$

or equivalently, take your favorite curve  $\gamma \in \mathbb{C}$  homotopic to  $\mathbb{S}^1$ .

Note: this is extremely amenable to numerical approximation if you have a closed form for F or even just a black-box numerical version of F! I.e. easy to throw at a computer.

Todo: how to manually count points in  $\mathbb{P}^n$ !

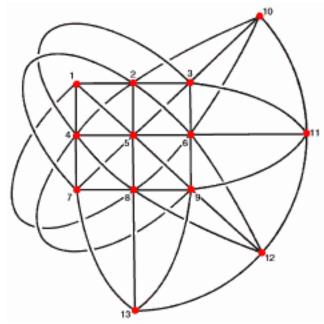


Image of  $\mathbb{P}^2_{\mathbb{GF}(3)}$ 

Example: Take  $X = Gr_{\mathbb{F}}(k, n)$ , then ????? so

$$\zeta_X(t) = ?.$$

Questions about properties

- $\zeta_{X\coprod Y}(t) =_{?} \zeta_X(t)\zeta_Y(t)$ ?  $\zeta_{X\times Y} =_{?}$

## 1.2 Statement of Weil Conjectures

1. (Rationality)

$$\zeta_X(t) = \frac{p_1(t)p_3(t)\cdots p_{2N-1}(t)}{p_0(t)p_2(t)\cdots p_N(t)} \in \mathbb{Z}(t), \quad \text{i.e.} \quad p_i(t) \in \mathbb{Z}[t]$$

$$P_0(t) = 1 - t$$

$$P_{2n}(t) = 1 - q^n t$$

$$P_i(t) = \prod_j (1 - a_{ij}t), \quad a_{ij} \in \mathbb{C}.$$

2. (Functional Equation and Poincare Duality)

$$\zeta_X(n-t) = \pm q^{\frac{1}{2}(nE)-Et}\zeta(x,t).$$

- 3. (Riemann Hypothesis)
- 4. (Betti Numbers)

#### 1.3 Hard Example: An Elliptic Curve

Take  $X = E/\mathbb{F}$ , then  $\alpha_n = q^n - (a^n + \bar{a}^n - 1)$  where  $|a|_{\mathbb{C}} = |\bar{\alpha}|_{\mathbb{C}} = \sqrt{q}$ . Then

$$\zeta_X(t) = \frac{(1 - aq^{-t})(1 - \bar{a}q^{-t})}{(1 - q^{-t})(1 - q^{1-s})}.$$