Problem Set 1

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Source: Section 1 of Gathmann

Exercise 0.1 (Gathmann 1.19).

Prove that every affine variety $X \subset \mathbb{A}^n/k$ consisting of only finitely many points can be written as the zero locus of n polynomials.

Hint: Use interpolation. It is useful to assume at first that all points in X have different x_1 -coordinates.

Solution:

Let $X = {\mathbf{p}_1, \dots, \mathbf{p}_d} = {\mathbf{p}_j}_{j=1}^d$, where each $\mathbf{p}_j \in \mathbb{A}^n$ can be written in coordinates

$$\mathbf{p}_j \coloneqq \left[p_j^1, p_j^2, \cdots, p_j^n\right].$$

Proof idea: for some fixed k with $2 \le k \le n$, consider the pairs $(p_j^1, p_j^k) \in \mathbb{A}^2$. Letting j range over $1 \le j \le d$ yields d points of the form $(x, y) \in \mathbb{A}^2$, so construct an interpolating polynomial such that f(x) = y for each tuple. Then f(x) - y vanishes at every such tuple.

Doing this for each k (keeping the first coordinate always of the form p_j^1 and letting the second coordinate vary) yields n-1 polynomials in $k[x_1,x_k]\subseteq k[x_1,\cdots,x_n]$, then adding in the polynomial $p(x)=\prod_j(x-p_j^1)$ yields a system the vanishes precisely on $\{\mathbf{p}_j\}$.

Claim: Without loss of generality, we can assume all of the first components $\left\{p_j^1\right\}_{j=1}^d$ are distinct.

Proof.

Todo: follows from "rotation of axes"?

We will use the following fact:

Theorem 0.1(Lagrange).

Given a set of d points $\{(x_i, y_i)\}_{i=1}^d$ with all x_i distinct, there exists a unique polynomial of degree d in $f \in k[x]$ such that $\tilde{f}(x_i) = y_i$ for every i.

This can be explicitly given by

$$\tilde{f}(x) = \sum_{i=1}^{d} y_i \left(\prod_{\substack{0 \le m \le d \\ m \ne i}} \left(\frac{x - x_m}{x_i - x_m} \right) \right).$$

Equivalently, there is a polynomial f defined by $f(x_i) = \tilde{f}(x_i) - y_i$ of degree d whose roots are precisely the x_i .

Using this theorem, we define a system of n polynomials in the following way:

• Define $f_1 \in k[x_1] \subseteq k[x_1, \dots, x_n]$ by

$$f_1(x) = \prod_{i=1}^{d} (x - p_i^1).$$

Then the roots of f_1 are precisely the first components of the points p.

• Define $f_2 \in k[x_1, x_2] \subseteq k[x_1, \dots, x_n]$ by considering the ordered pairs

$$\{(x_1, x_2) = (p_j^1, p_j^2)\},\$$

then taking the unique Lagrange interpolating polynomial \tilde{f}_2 satisfying $\tilde{f}_2(p_j^1) = p_j^2$ for all $1 \le j \le d$. Then set $f_2 := \tilde{f}_2(x_1) - x_2 \in k[x_1, x_2]$.

• Define $f_3 \in k[x_1, x_3] \subseteq k[x_1, \dots, x_n]$ by considering the ordered pairs

$$\{(x_1, x_3) = (p_j^1, p_j^3)\},\$$

then taking the unique Lagrange interpolating polynomial \tilde{f}_3 satisfying $\tilde{f}_2(p_j^1) = p_j^3$ for all $1 \le j \le d$. Then set $f_3 := \tilde{f}_3(x_1) - x_3 \in k[x_1, x_3]$.

• ...

Continuing in this way up to $f_n \in k[x_1, x_n]$ yields a system of n polynomials.

Claim: $V(f_1, \dots, f_n) = X$.

This is essentially by construction.

 $X \subset V(f_i)$:

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Letting $p_i \in X$ be arbitrary, we find that

$$f_1(p_j) = \prod_{i=1}^d \left(p_j^1 - p_i^1 \right) = (p_j^1 - p_j^1) \prod_{\substack{i \le d \ i \ne j}} \left(p_j^1 - p_i^1 \right) = 0.$$

Similarly, for $2 \le k \le n$,

$$f_k(p_j) = \tilde{f}_k(p_j^1) - p_j^k = 0,$$

which follows from the fact that $\tilde{f}_k(p_j^1) = p_j^k$ for every k and every j by the construction of \tilde{f}_k . $X^c \subset V(f_i)$:

This follows from the fact the polynomials f given by Lagrange interpolation are unique, and thus the roots of \tilde{f} are unique. But if some other point was in $V(f_i)$, then one of its coordinates would be another root of some \tilde{f} .

Exercise 0.2 (Gathmann 1.21).

Determine \sqrt{I} for

$$I := \langle x_1^3 - x_2^6, x_1 x_2 - x_2^3 \rangle \le \mathbb{C}[x_1, x_2].$$

Solution:

For notational purposes, let \mathcal{I}, \mathcal{V} denote the maps in Hilbert's Nullstellensatz, we then have

$$(\mathcal{I} \circ \mathcal{V})(I) = \sqrt{I}.$$

So we consider $\mathcal{V}(I) \subseteq \mathbb{A}^2/\mathbb{C}$, the vanishing locus of these two polynomials, which yields the system

$$\begin{cases} x^3 - y^6 = 0 \\ xy - y^3 = 0. \end{cases}$$

In the second equation, we have $(x - y^2)y = 0$, and since $\mathbb{C}[x, y]$ is an integral domain, one term must be zero.

- 1. If y = 0, then $x^3 = 0 \implies x = 0$, and thus $(0,0) \in \mathcal{V}(I)$, i.e. the origin is contained in this vanishing locus.
- 2. Otherwise, if $x y^2 = 0$, then $x = y^2$, with no further conditions coming from the first equation.

Combining these conditions,

$$P := \left\{ (t^2, t) \mid t \in \mathbb{C} \right\} \subset \mathcal{V}(I).$$

where
$$I = \langle x^3 - y^6, xy - y^3 \rangle$$
.

We have $P = \mathcal{V}(I)$, and so taking the ideal generated by P yields

$$(\mathcal{I} \circ \mathcal{V})(I) = \mathcal{I}(P) = \langle y - x^2 \rangle \in \mathbb{C}[x, y]$$

and thus $\sqrt{I} = \langle y - x^2 \rangle$.

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Exercise 0.3 (Gathmann 1.22).

Let $X \subset \mathbb{A}^3/k$ be the union of the three coordinate axes. Compute generators for the ideal I(X) and show that it can not be generated by fewer than 3 elements.

Solution:

Claim:

$$I(X) = \langle x_1 x_2, x_1 x_3, x_2 x_3 \rangle.$$

Exercise 0.4 (Gathmann 1.23: Relative Nullstellensatz).

Let $Y \subset \mathbb{A}^n/k$ be an affine variety and define A(Y) by the quotient

$$\pi: k[x_1, \cdots, x_n] \longrightarrow A(Y) := k[x_1, \cdots, x_n]/I(Y).$$

- a. Show that $V_Y(J) = V(\pi^{-1}(J)$ for every $J \leq A(Y)$.
- b. Show that $\pi^{-1}(I_Y(X)) = I(X)$ for every affine subvariety $X \subseteq Y$.
- c. Using the fact that $I(V(J)) \subset \sqrt{J}$ for every $J \leq k[x_1, \dots, x_n]$, deduce that $I_Y(V_Y(J)) \subset \sqrt{J}$ for every $J \leq A(Y)$.

Conclude that there is an inclusion-reversing bijection

Exercise 0.5 (Extra).

Let $J \leq k[x_1, \dots, x_n]$ be an ideal, and find a counterexample to $I(V(J)) = \sqrt{J}$ when k is not algebraically closed.

Solution:

Take $J = \langle x^2 + 1 \rangle \leq \mathbb{R}[x]$, noting that J is nontrivial and proper but \mathbb{R} is not algebraically closed.

Then $V(J) \subseteq \mathbb{R}$ is empty, and thus $I(V(J)) = I(\emptyset)$. Checking definitions, we have

$$I(\emptyset) = \{ f \in \mathbb{R} \}$$
.

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