# Lie Algebras

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## 1 Lecture 1

The material for this class will roughly come from Humphrey, Chapters 1 to 5. There is also a useful appendix which has been uploaded to the ELC system online.

#### 1.1 Overview

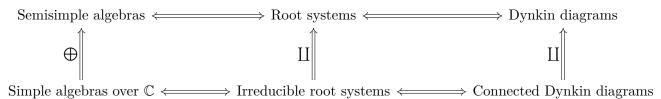
Here is a short overview of the topics we expect to cover:

## 1.1.1 Chapter 2

- Ideals, solvability, and nilpotency
- Semisimple Lie algebras
  - These have a particularly nice structure and representation theory
- Determining if a Lie algebra is semisimple using Killing forms
- Weyl's theorem for complete reducibility for finite dimensional representations
- Root space decompositions

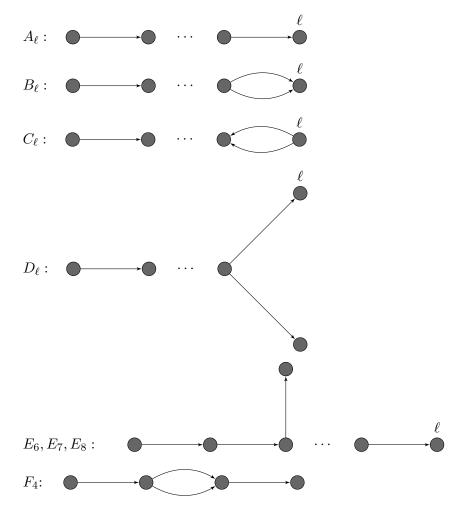
#### 1.1.2 Chapter 3-4

We will describe the following series of correspondences:



## 1.2 Classification

The classical Lie algebras can be essentially classified by certain classes of diagrams:



## 1.3 Chapters 4-5

These cover the following topics:

- Conjugacy classes of Cartan subalgebras
- The PBW theorem for the universal enveloping algebra
- Serre relations

#### 1.3.1 Chapter 6

Some import topics include:

- Weight space decompositions
- Finite dimensional modules
- Character and the Harish-Chandra theorem
- The Weyl character formula
  - This will be computed for the specific Lie algebras seen earlier

We will also see the type  $A_{\ell}$  algebra used for the first time; however, it differs from the other types in several important/significant ways.

### 1.3.2 Chapter 7

Skip!

#### **1.3.3 Topics**

Time permitting, we may also cover the following extra topics:

- Infinite dimensional Lie algebras [Carter 05]
- BGG Cat-O [Humphrey 08]

#### 1.4 Content

Fix F a field of characteristic zero – note that prime characteristic is closer to a research topic.

**Definition 1.** A Lie Algebra  $\mathfrak{g}$  over F is an F-vector space with an operation denoted the Lie bracket,

$$[\cdot,\cdot]:\mathfrak{g}\times\mathfrak{g}\to\mathfrak{g}$$
  
 $(x,y)\mapsto[x,y].$ 

satisfying the following properties:

- $[\cdot, \cdot]$  is bilinear
- [x, x] = 0
- The Jacobi identity:

$$[x, [y, z]] + [y, [x, z]] + [z, [x, y]] = \mathbf{0}.$$

**Exercise 1.** Show that [x, y] = -[y, x].

**Definition 2.** Two Lie algebras  $\mathfrak{g}, \mathfrak{g}'$  are said to be isomorphic if  $\varphi([x,y]) = [\varphi(x), \varphi(y)]$ .

#### 1.5 Linear Lie Algebras

Let 
$$V = \mathbb{F}$$

#### 2 Lecture 2

Recall from last time that a Lie Algebra is a vector space with a bilinear bracket, which importantly satisfies the Jacobi identity:

$$[x, [y, z]] + [y, [x, z]] + [z, [x, y]] = \mathbf{0}.$$

Also recall the examples from last time:

- $\bullet \ A_{\ell} \iff \mathfrak{sl}(\ell+1,F)$
- $B_{\ell} \iff \mathfrak{so}(2\ell+1,F)$
- $C_{\ell} \iff \mathfrak{sp}(2\ell, F)$
- $D_{\ell} \iff \mathfrak{so}(2\ell, F)$

**Exercise 2.** Characterize these matrix subalgebras in terms of basis elements, and compute their dimensions.

#### 2.1 Lie Algebras of Derivations

**Definition 3.** An *F*-algebra *A* is an *F*-vector space endowed with a bilinear map  $A^2 \to A$ ,  $(x, y) \mapsto xy$ .

**Definition 4.** An algebra is associative if x(yz) = (xy)z.

Modern interest: simple Lie algebras, which have a good representation theory. Take a look a Erdmann-Wildon (Springer) for an introductory look at 3-dimensional algebras.

**Definition 5.** Any map  $\delta: A^2 \to A$  that satisfies the Leibniz rule is called a **derivation** of A, where the rule is given by  $\delta(xy) = \delta(x)y + x\delta(y)$ .

**Definition 6.** We define  $Der(A) = \{\delta \ni \delta \text{ is a derivation } \}.$ 

Any Lie algebra  $\mathfrak{g}$  is an F-algebra, since  $[\cdot,\cdot]$  is bilinear. Moreover,  $\mathfrak{g}$  is associative iff [x,[y,z]]=0.

**Exercise 3.** Show that  $\operatorname{Der}\mathfrak{g} \leq \mathfrak{gl}(\mathfrak{g})$  is a Lie subalgebra. One needs to check that  $\delta_1, \delta_2 \in \mathfrak{g} \Longrightarrow [\delta_1, \delta_2] \in \mathfrak{g}$ .

**Exercise 4** (Turn in). Define the adjoint by  $ad_x : \mathfrak{g} \circlearrowleft, y \mapsto [x,y]$ . Show that  $ad_x \in Der(\mathfrak{g})$ .

#### 2.2 Abstract Lie Algebras

Fact: Every finite-dimensional Lie algebra is isomorphic to a linear Lie algebra, i.e. a subalgebra of  $\mathfrak{gl}(V)$ . Each isomorphism type can be specified by certain *structure constants* for the Lie bracket.

**Example 1.** Any F-vector space can be made into a Lie algebra by setting [x, y] = 0; such algebras are referred to as *abelian*.

Attempting to classify Lie algebras of dimension at most 2.

- 1 dimensional: We can write  $\mathfrak{g} = Fx$ , and so  $[x, x] = 0 \implies [\cdot, \cdot] = 0$ . So every bracket must be zero, and thus every Lie algebra is abelian.
- 2 dimensional: Write  $\mathfrak{g} = Fx \oplus Fy$ , the only nontrivial bracket here is [x,y]. Some cases:  $-[x,y] = 0 \implies \mathfrak{g}$  is abelian.

$$-[x,y]=ax+by\neq 0$$
. Assume  $a\neq 0$  and set  $x'=ax+by, y'=\frac{y}{a}$ . Now compute  $[x',y']=[ax+by,\frac{y}{a}]=[x,y]=ax+by=x'$ . Punchline:  $\mathfrak{g}\cong Fx'\oplus Fy',[x',y']=x'$ .

We can fill in a table with all of the various combinations of brackets:

**Example 2.** Let  $V = \mathbb{R}^3$ , and define  $[a, b] = a \times b$  to be the usual cross product.

**Exercise 5.** Look at notes for basis elements of  $\mathfrak{sl}(2, F)$ ,

$$e = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \quad h = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}, \quad f = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$$

Compute the matrices of ad(e), ad(h), ad(g) with respect to this basis.

#### 2.3 Ideals

**Definition 7.** A subspace  $I \subseteq \mathfrak{g}$  is called an **ideal**, and we write  $I \subseteq \mathfrak{g}$ , if  $x, y \in I \implies [x, y] \in I$ .

Note that there is no need to distinguish right, left, or two-sided ideals. This can be shown using [x,y] = [-y,x].

Exercise 6. Check that the following are all ideals of g:

- $\{0\}, \mathfrak{g}.$
- $\mathfrak{z}(\mathfrak{g}) = \{ z \in \mathfrak{g} \ni [x, z] = 0 \quad \forall x \in \mathfrak{g} \}$
- The commutator (or derived) algebra  $[\mathfrak{g},\mathfrak{g}] = \{\sum_i [x_i,y_i] \ni x_i,y_i \in \mathfrak{g}\}.$ - Moreover,  $[\mathfrak{gl}(n,F),\mathfrak{gl}(n,F)] = \mathfrak{sl}(n,F).$

Fact: If  $I, J \leq \mathfrak{g}$ , then

- $I + J = \{x + y \ni x \in I, y \in J\} \leq \mathfrak{g}$
- $I \cap J \leq \mathfrak{g}$
- $[I,J] = \{\sum_i [x_i, y_i] \ni x_i \in I, y_i \in J\} \subseteq \mathfrak{g}$

**Definition 8.** A Lie algebra is **simple** if  $[\mathfrak{g},\mathfrak{g}] \neq 0$  (i.e. when  $\mathfrak{g}$  is not abelian) and has no non-trivial ideals. Note that this implies that  $[\mathfrak{g},\mathfrak{g}] = \mathfrak{g}$ .

**Theorem 1.** Suppose that char  $F \neq 2$ , then  $\mathfrak{sl}(2, F)$  is not simple.

*Proof.* Recall that we have a basis of  $\mathfrak{sl}(2,F)$  given by  $B=\{e,h,f\}$  where

- $\bullet \ [e,f] = h,$
- [h, e] = 2e,
- [h, f] = -2f.

So think of  $[h, e] = \mathrm{ad}_h$ , so h is an eigenvector of this map with eigenvalues  $\{0, \pm 2\}$ . Since char  $F \neq 2$ , these are all distinct. Suppose  $\mathfrak{sl}(2, F)$  has a nontrivial ideal I; then pick  $x = ae + bh + cf \in I$ . Then [e, x] = 0 - 2be + ch, and [e, [e, x]] = 0 - 0 + 2ce. Again since char  $F \neq 2$ , then if  $c \neq 0$  then  $e \in I$ . Now you can show that  $h \in I$  and  $f \in I$ , but then  $I = \mathfrak{sl}(2, F)$ , a contradiction. So c = 0.

Then  $x = bh \neq 0$ , so  $h \in I$ , and we can compute

$$2e = [h, e] \in I \implies e \in I,$$
 
$$2f = [h, -f] \in I \implies f \in I.$$

which implies that  $I=\mathfrak{sl}(2,F)$  and thus it is simple.

Note that there is a homework coming due next Monday, about 4 questions.