# Category $\mathcal{O}$ , Problem Set 4

### D. Zack Garza

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# 1 Humphreys 3.1

Let  $\mathfrak{g} = \mathfrak{sl}(2,\mathbb{C})$  and identify  $\lambda \in \mathfrak{h}^{\vee}$  with a scalar. Let N be a 2-dimensional  $U(\mathfrak{b})$ -module defined by letting x act as 0 and h act as  $\begin{pmatrix} \lambda & 1 \\ 0 & \lambda \end{pmatrix}$ .

Show that the induced  $U(\mathfrak{g})$ -module structure  $M := U(\mathfrak{g}) \otimes_{U(\mathfrak{b})} N$  fits into an exact sequence which fails to split:

$$0 \longrightarrow M(\lambda) \longrightarrow M \longrightarrow M(\lambda) \longrightarrow 0$$

### 1.1 Solution

Reference 1 Reference 2

Hence  $M \notin \mathcal{O}$ .

We first unpack all definitions in terms of tensor products, using the fact that  $M(\lambda) = U(\mathfrak{g}) \otimes_{U(\mathfrak{b})} \mathbb{C}_{\lambda}$ :

$$0 \longrightarrow M(\lambda) \longrightarrow M \longrightarrow M(\lambda) \longrightarrow 0$$

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$$0 \longrightarrow U(\mathfrak{g}) \otimes_{U(\mathfrak{b})} \mathbb{C}_{\lambda} \longrightarrow U(\mathfrak{g}) \otimes_{U(\mathfrak{b})} N \longrightarrow U(\mathfrak{g}) \otimes_{U(\mathfrak{b})} \mathbb{C}_{\lambda} \longrightarrow 0$$

$$1 \otimes 1 \longmapsto^{\psi} 1 \otimes \mathbf{u} \longmapsto^{\phi} 1 \otimes 0$$

$$1 \otimes \mathbf{v} \longmapsto^{\phi} 1 \otimes 1$$

where  $N = \operatorname{span}_{\mathbb{C}} \{\mathbf{u}, \mathbf{v}\}.$ 

We make the following claims:

- 1. The  $U(\mathfrak{b})$  action defined on N lifts to a  $U(\mathfrak{g})$ -action on M.
- 2. This is an exact sequence of  $U(\mathfrak{g})$ -modules.
- 3.  $M \ncong M(\lambda) \oplus M(\lambda)$ , showing that this sequence can not split.

Claim 1: We choose the basis

$$x = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \quad h = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}, \quad y = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$$

and note that in the triangular decomposition  $\mathfrak{g} = \mathfrak{n}^- \oplus \mathfrak{h} \oplus \mathfrak{n}$ , we have

$$\mathfrak{n}^- = \mathbb{C} \cdot x$$
$$\mathfrak{h} = \mathbb{C} \cdot h$$
$$\mathfrak{n}^+ = \mathbb{C} \cdot y$$

Since the action is defined over  $\mathfrak{b} = \mathfrak{h} \oplus \mathfrak{n}$  and x acts by zero, we obtain a  $\mathfrak{g}$ -action on N which thus extends uniquely to a  $U(\mathfrak{g})$ - action.

Claim 2: We first note that since the submodule  $\mathbb{C} \cdot \mathbf{u} < M$  is closed under the action of h (since it concretely acts by  $u \mapsto \lambda u$ ) and is equal to the image of  $\psi$ , we can identify  $\mathbb{C} \cdot \mathbf{u} \cong \mathbb{C}_{\lambda}$  as  $U(\mathfrak{b})$ -modules and hence as  $U(\mathfrak{g})$ -modules since x acts by zero. In this way, we can identify  $M(\lambda)$  as a submodule of M. Thus the first map is an injection.

To see that this sequence is exact, we first note that im  $\psi \subset \ker \phi$  by construction, since we explicitly map the aforementioned submodule  $\mathbb{C} \cdot \mathbf{u}$  to 0. To see that  $\ker \phi \subset \operatorname{im} \psi$ , we note that by choosing a PBW basis of  $\mathfrak{sl}(2,\mathbb{C})$  and a basis  $\{\mathbf{u},\mathbf{v}\}$  for N, we can obtain a basis of M of the form  $\{y^j \otimes \mathbf{u}, y^k \otimes \mathbf{v} \mid j, k \in \mathbb{Z}^{\geq 0}\}$ . But since we know

# 2 Humphreys 3.2

Show that for  $M \in \mathcal{O}$  and dim  $L < \infty$ ,

$$(M\otimes L)^\vee\cong M^\vee\otimes L^\vee$$

Reference for Dual of Sum

#### 2.1 Solution

We first note that  $M \in \mathcal{O} \implies M = \bigoplus_{\lambda \in \mathfrak{h}^{\vee}} M_{\lambda}$  where each  $M_{\lambda}$  is a finite-dimensional weight space.

Moreover,  $M^{\vee} \coloneqq \bigoplus_{\lambda \in \mathfrak{h}^{\vee}} M_{\lambda}^{\vee}$  is defined to be a direct sum of duals of weight spaces, which are still finite-dimensional.

So let  $M, N \in \mathcal{O}$ ; we will proceed by showing that both  $(M \otimes_{\mathbb{C}} L)^{\vee}$  and  $M^{\vee} \otimes_{\mathbb{C}}^{\vee}$  have identical direct sum decompositions.

We first have

$$(M \otimes_{\mathbb{C}} L)^{\vee} := \bigoplus_{\lambda \in \mathfrak{h}^{\vee}} (M \otimes_{\mathbb{C}} L)_{\lambda}^{\vee}, \qquad \text{the $\lambda$ weight spaces of $M \otimes_{\mathbb{C}} L$}$$

$$\cong \bigoplus_{\lambda \in \mathfrak{h}^{\vee}} \left( \bigoplus_{\alpha + \beta = \lambda} (M_{\alpha} \otimes_{\mathbb{C}} L_{\beta}) \right)^{\vee} \quad \text{by an exercise on the weight spaces of a tensor product}$$

$$\cong \bigoplus_{\lambda \in \mathfrak{h}^{\vee}} \left( \bigoplus_{\alpha + \beta = \lambda} (M_{\alpha} \otimes_{\mathbb{C}} L_{\beta})^{\vee} \right) \quad \text{since the inner term is a finite sum}$$

$$\cong \bigoplus_{\lambda \in \mathfrak{h}^{\vee}} \left( \bigoplus_{\alpha + \beta = \lambda} \left( M_{\alpha}^{\vee} \otimes_{\mathbb{C}} L_{\beta}^{\vee} \right) \right) \quad \text{since the weight spaces are finite-dimensional,}$$

where we've repeatedly used the fact that  $(V \otimes W)^{\vee} \cong V^{\vee} \otimes W^{\vee}$  for finite-dimensional vector spaces, which inductively holds for any finite direct sum of vector spaces.

On the other hand, using the fact that

$$(A \oplus B) \otimes (C \oplus D) = ((A \oplus B) \otimes C) \oplus ((A \oplus B) \otimes D)$$

$$= (A \otimes C) \oplus (B \otimes C) \oplus (A \otimes D) \oplus (B \otimes D)$$

$$\implies \left(\bigoplus_{j \in J} A_i\right) \otimes \left(\bigoplus_{k \in K} B_k\right) = \bigoplus_{j \in J} \bigoplus_{k \in K} (A_j \otimes B_k) \quad \text{by induction} \quad .$$

we can write

$$M^{\vee} \otimes_{\mathbb{C}} L^{\vee} := \left(\bigoplus_{\alpha \in \mathfrak{h}^{\vee}} M_{\alpha}^{\vee}\right) \otimes_{\mathbb{C}} \left(\bigoplus_{\beta \in \mathfrak{h}^{\vee}} L_{\beta}^{\vee}\right)$$
$$\cong \bigoplus_{\lambda \in \mathfrak{h}^{\vee}} \left(\bigoplus_{\alpha + \beta = \lambda} \left(M_{\alpha}^{\vee} \otimes_{\mathbb{C}} L_{\beta}^{\vee}\right)\right),$$

which equals what was obtained above.

This exhibits the isomorphism as  $\mathbb{C}$ -vector spaces, to see that this is in fact as isomorphism of  $U(\mathfrak{g})$ -modules we can use the fact that for  $M \in \mathcal{O}$ , a twisted  $\mathfrak{g}$ -action was defined as

$$\mathbf{v} \in M, \ f \in M^{\vee}, \ g \in \mathfrak{g} \implies (g \cdot f)(\mathbf{v}) = f(\tau(g) \cdot \mathbf{v})$$

for the transpose map  $\tau$ . This action can be "linearly extended" over direct products and tensor products by taking the action component-wise, and is thus preserved by all of the isomorphisms appearing above.

Since the final terms  $\bigoplus_{\lambda \in \mathfrak{h}} \bigoplus_{\alpha+\beta=\lambda} M_{\alpha}^{\vee} \otimes L_{\beta}^{\vee}$  are identical, they carry the same action, and since they

are preserved by the isomorphisms, working backwards shows that the actions on  $(M \otimes L)^{\vee}$  and  $M^{\vee} \otimes L^{\vee}$  must also agree, yielding the desired isomorphism.

### 3 Humphreys 3.4

Show that  $\Phi_{[\lambda]} \cap \Phi^+$  is a positive system in the root system  $\Phi_{[\lambda]}$ , but the corresponding simple system  $\Delta_{[\lambda]}$  may be unrelated to  $\Delta$ .

For a concrete example, take  $\Phi$  of type  $B_2$  with a short simple root  $\alpha$  and a long simple root  $\beta$ . If  $\lambda := \alpha/2$ , check that  $\Phi_{[\lambda]}$  contains just the four short roots in  $\Phi$ .

#### 3.1 Solution

We would like to show the following two propositions:

- 1.  $\Phi_{[\lambda]}^+ := \Phi_{[\lambda]} \bigcap \Phi^+$  is a positive system in  $\Phi_{[\lambda]}$ ,
- 2. In general, the associated simple system  $\Delta_{[\lambda]} \neq \Phi_{[\lambda]}^+ \cap \Delta$ .

#### 3.1.1 Proof of Proposition 1

We'll use the definition that for an abstract root system  $\Phi$ , a positive system  $\Phi^+$  is defined by picking a hyperplane H not containing any roots and taking all roots on one side of this hyperplane.

However, if every element of  $\Phi^+$  is on one side of H, then any subset satisfies this property as well, thus  $\Phi_{[\lambda]} \cap \Phi^+$  consists only of positive roots and thus forms a positive system.

### 3.1.2 Proof of Proposition 2

Concretely, we can realize  $\Phi$  and  $\Delta$  as subsets of  $\mathbb{R}^2$  in the following way:

$$\Phi = P_1 \coprod P_2 := \{[1,0], [0,1], [-1,0], [0,-1]\} \coprod \{[1,1], [-1,1], [1,-1], [-1,-1]\}$$
  
$$\Delta := \{\alpha, \beta\} := \{[1,0], [-1,1]\},$$

where we note that  $P_1$  consists of short roots (of norm 1) and  $P_2$  of long roots (of norm  $\sqrt{2}$ ) and we've chosen a simple system consisting of one short root and one long root.

Now by definition,

$$\Phi_{[\lambda]} \coloneqq \left\{ \gamma \in \Phi \mid \langle \lambda, \ \gamma^{\vee} \rangle \in \mathbb{Z} \right\}, \qquad \gamma^{\vee} \coloneqq \frac{2}{\|\gamma\|^2} \ \gamma, 
\Delta_{[\lambda]} \coloneqq \left\{ \gamma \in \Delta \mid \langle \lambda, \ \gamma^{\vee} \rangle \in \mathbb{Z} \right\}.$$

Now choosing  $\lambda := \frac{\alpha}{2} = \left[\frac{1}{2}, 0\right]$ , we now consider the inner products  $\langle \lambda, \gamma^{\vee} \rangle$  for  $\gamma \in \Phi$ :

Thus

$$\gamma_1 \in P_1 \implies \left\langle \left[ \frac{1}{2}, 0 \right], \ 2\gamma_1 \right\rangle = 2\left( \frac{1}{2} \right) \langle [1, 0], \ \gamma_1 \rangle = (\gamma_1)_1 \in \{0, \pm 1\} \in \mathbb{Z}$$

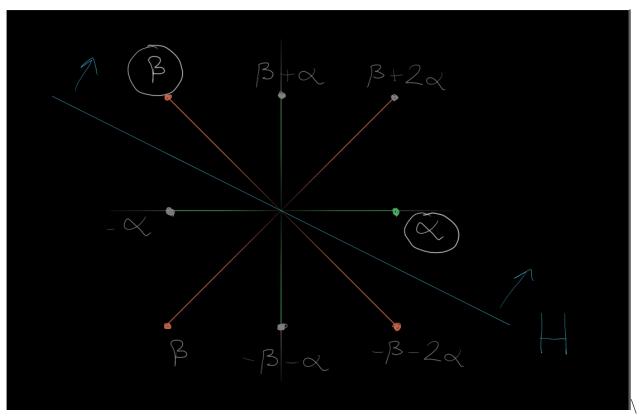
$$\gamma_2 \in P_2 \implies \left\langle \lambda, \ \gamma_2^{\vee} \right\rangle = \left\langle \left[ \frac{1}{2}, 0 \right], \ \frac{2}{\left( \sqrt{2} \right)^2} [\pm 1, \pm 1] \right\rangle = \pm \frac{1}{2} \notin \mathbb{Z}$$

where  $(\gamma_1)_1$  denotes the first component of  $\gamma_1$ .

We thus find that

$$\Phi_{[\lambda]} = P_1 \qquad \qquad \text{the short roots}$$
 
$$\Delta_{[\lambda]} = \Phi_{[\lambda]} \bigcap \Delta = \{\alpha\} \qquad \qquad \text{the single short simple root.}$$

Choosing the following hyperplane H not containing any root, we can choose a positive system:



$$\Phi^+ = \{\beta, \beta + \alpha, \beta + 2\alpha, \alpha\}$$

where we can note that  $\Phi^+ \cap \Delta = \Delta$ , since we've placed both simple roots on the positive side of this hyperplane by construction.

But by taking roots on the positive side of this plane, we have

$$\Phi_{[\lambda]} = \{\alpha, -\alpha, \alpha + \beta, -\alpha - \beta\} \implies \Phi_{[\lambda]}^+ = \{\alpha, \alpha + \beta\}$$

where we can now note that a simple system in *this* root system must still have rank 2, so we can take  $\Delta_{[\lambda]} = \{\alpha, \alpha + \beta\}$ . But now we can note

$$\Delta_{[\lambda]} = \{\alpha, \alpha + \beta\} \neq \{\alpha\} = \{\alpha, \alpha + \beta\} \bigcap \{\alpha, \beta\} = \Phi^+_{[\lambda]} \bigcap \Delta,$$

which is what we wanted to show.

### 4 Humphreys 3.7

### 4.1 a

If a module M has a standard filtration and there exists an epimorphism  $\phi: M \longrightarrow M(\lambda)$ , prove that ker  $\phi$  admits a standard filtration.

#### 4.2 b

Show by example that when  $\mathfrak{g} = \mathfrak{sl}(2,\mathbb{C})$  that the existence of a monomorphism  $\phi: M(\lambda) \longrightarrow M$  where M has a standard filtration fails to imply that coker  $\phi$  has a standard filtration.