

Notes: These are notes live-tex'd from a graduate course in Algebraic Number Theory taught by Paul Pollack at the University of Georgia in Spring 2021.

As such, any errors or inaccuracies are almost certainly my own.

# **Algebraic Number Theory**

Lectures by Paul Pollack. University of Georgia, Spring 2021

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# **1** | Thursday, January 14

See website for notes on books, intro to class.

- Youtube Playlist: https://www.youtube.com/playlist?list=PLAOxtXqOUji8fjQysx4k8a6h-hOZ7x5u6
- Free copies of textbook: https://www.dropbox.com/sh/rv5j222kn74bjhm/AABZ1qcR1rOnpaBsa5CL3P\_ Ea?dl=0&lst=
- Course website: ?

Paul's description of the course:

"This course is an introduction to arithmetic" beyond  $\mathbb{Z}$ ", specifically arithmetic in the ring of "integers" in a finite extension of  $\mathbb{Q}$ . (Among many other things) we'll prove three important theorems about these rings:

- Unique factorization into ideals.
- Finiteness of the group of ideal classes.
- Dirichlet's theorem on the structure of the unit group."

#### 1.1 Motivation

Solving Diophantine equations, i.e. polynomial equations over  $\mathbb{Z}$ .

**Example 1.1.1**(?): Consider  $y^2 = x^3 + x$ .

**Claim:** (x,y) = (0,0) is the only solution.

To see this, write  $y^2 = x(x^2 + 1)$ , which are relatively prime, i.e. no  $D \in \mathbb{Z}$  divides both of them. Why? If  $d \mid x$  and  $d \mid x + 1$ , then  $d \mid (x^2 + 1) + (-x) = 1$ . It's also the case that both  $x^2 + 1$  and  $x^2$  are squares (up to a unit), so  $x^2, x^2 + 1$  are consecutive squares in  $\mathbb{Z}$ . But the gaps between squares are increasing:  $1, 2, 4, 9, \cdots$ . The only possibilities would be x = 0, y = 1, but in this case you can conclude y = 0.

**Example 1.1.2** (Fermat): Consider  $y^2 = x^3 - 2$ .

Claim:  $(3, \pm 5)$  are the only solutions.

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Rewrite

$$x^{3} = y^{2} + 2 = (y + \sqrt{-2})(y - \sqrt{-2})$$

$$\in \mathbb{Z}[\sqrt{-2}] := \left\{ a + b\sqrt{-2} \mid a, b, \in \mathbb{Z} \right\} \le \mathbb{C}.$$

This is a subring of  $\mathbb{C}$ , and thus at least an integral domain. We want to try the same argument: showing the two factors are relatively prime. A little theory will help here:

### Definition 1.1.3 (Norm Map)

For  $\alpha \in \mathbb{Z}[\sqrt{-2}]$  define  $N\alpha = \alpha \overline{\alpha}$ .

### Lemma 1.1.4(?).

Let  $\alpha, \beta \in \mathbb{Z}[\sqrt{-2}]$ . Then

- 1.  $N(\alpha\beta) = N(\alpha)N(\beta)$
- 2.  $N(\alpha) \in \mathbb{Z}_{\geq 0}$  and  $N(\alpha) = 0$  if and only if  $\alpha = 0$ .
- 3.  $N(\alpha) = 1 \iff \alpha \in \mathbb{R}^{\times}$

Proof (?). 1. Missing, see video (10:13 AM).

- 2.  $N(\alpha) = a^2 + 2b^2 \ge 0$ , so this equals zero if and only if  $\alpha = \beta = 0$
- 3. Write  $1 = \alpha \overline{\alpha}$  if  $N(\alpha) = 1 \in \mathbb{R}^{\times}$ . Conversely if  $\alpha \in \mathbb{R}^{\times}$  write  $\alpha \beta = 1$ , then

$$1 = N(1) = N(\alpha\beta) = N(\alpha)N(\beta) \in \mathbb{Z}_{>0}$$

which forces both to be 1.

Claim: The two factors  $y \pm \sqrt{2}$  are *coprime* in  $\mathbb{Z}[\sqrt{-2}]$ , i.e. every common divisor is a unit.

Proof(?).

Suppose  $\delta \mid y \pm \sqrt{-2}$ , then  $y + \sqrt{-2} = \delta \beta$  for some  $\beta \in \mathbb{Z}[\sqrt{-2}]$ . Take norms to obtain  $y^2 + 2 = N\delta N\beta$ , and in particular

- $N\delta y^2 + 2$
- $\delta \mid (y + \sqrt{-2}) (y \sqrt{-2}) = 2\sqrt{-2}$  and thus  $N\delta \mid N(2\sqrt{-2}) = 8$ .

In the original equation  $y^2 = x^3 - 2$ , if y is even then x is even, and  $x^3 - 2 \equiv 0 - 2 \pmod{4} \equiv 2$ , and so  $y^2 \equiv 2 \pmod{4}$ . But this can't happen, so y is odd, and we're done: we have  $N\delta \mid 8$  which is even or 1, but  $N\delta \mid y^2 + 2$  which is odd, so  $N\delta = 1$ .

We can identify the units in this ring:

$$\mathbb{Z}[\sqrt{-2}]^{\times} = \{a + b\sqrt{-2} \mid a^2 + 2b^2 = 1\}$$

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**1** ToDos

which forces  $a^2 \le 1, b^2 \le 1$  and thus this set is  $\{\pm 1\}$ .

So we have  $x^3 = ab$  which are relatively primes, so a, b should also be cubes. We don't have to worry about units here, since  $\pm 1$  are both cubes. So e.g. we can write

$$y + \sqrt{-2} = (a + b\sqrt{-2})^3 = (a^3 - 6ab^2) + (3a^2b - 2b^3)\sqrt{-2}$$
.

Comparing coefficients of  $\sqrt{-2}$  yields

$$1 = b(3a^2b - 2b^2) \in \mathbb{Z} \implies b \mid 1,$$

and thus  $b \in \mathbb{Z}^{\times}$ , i.e.  $b \in \{\pm 1\}$ . By cases:

• If b = 1, then  $1 = 3a^2 - 2 \implies a^2 = 1 \implies a = \pm 1$ . So  $y = \sqrt{-2} = (\pm 1 + \sqrt{-2})^3 = \pm 5 + \sqrt{-2}.$ 

which forces  $y = \pm 5$ , the solution we already knew.

• If b = -1, then  $1 = -(3a^2 - 1)$  which forces  $1 = 3a^2 \in \mathbb{Z}$ , so there are no solutions.

**Example 1.1.5**(?): Consider  $y^2 = x^3 - 26$ . Rewrite this as

$$x^3 = y^2 + 26 = (y + \sqrt{-26})(y - \sqrt{-26}),$$

then the same lemma goes through with 2 replaced by 26 everywhere where the RHS factors are still coprime. Setting  $y + \sqrt{-26} = (a + b\sqrt{-26})^3$  and comparing coefficients, you'll find  $b = 1, a = \pm 3$ . This yields  $x = 35, y = \pm 207$ . But there are more solutions:  $(x, y) = (3, \pm 1)!$  The issue is that we used unique factorization when showing that ab is a square implies a or b is a square (say by checking prime factorizations and seeing even exponents). In this ring, we can have ab a cube with neither a, b a cube, even up to a unit.

#### Question 1.1.6

When does a ring admit unique factorization? Do you even need it?

This will lead to a discussion of things like the **class number**, which measure the failure of unique factorization. In general, the above type of proof will work when the class number is 3!

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# **Definitions**

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Definitions

## **Theorems**

Theorems

## **Exercises**

Exercises

# **Figures**

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Figures