# **Topology Qualifying Exam Solutions**

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### 1 Definitions

- Closed (several characterizations)
- Bounded
- Compact
- Connectedness: There does not exist a disconnecting set  $X = A \coprod B$  such that  $\emptyset \neq A, B \subsetneq$ , i.e. X is the union of two proper disjoint nonempty sets. Equivalently, X contains no proper nonempty clopen sets.
- Subspace topology
- Retract: A subspace  $A \subset X$  is a retract of X iff there exists a continuous map  $f: X \longrightarrow A$  such that  $f \mid_A = \mathrm{id}_A$ . Equivalently it is a left inverse to the inclusion.

# 2 Theorems

- Closed subsets of Hausdorff spaces are compact? (check)
- Cantor's intersection theorem?
- Tube lemma
- Properties pushed forward through continuous maps:
  - Compactness?
  - Connectedness (when surjective)
  - Separability
  - Density **only when** f is surjective
  - Not openness
  - Not closedness
- Results that only work for metric spaces \_ ?
- A retract of a Hausdroff/connected/compact space is closed/connected/compact respectively.

# 3 General Topology

#### 3.1 2

Statement: state the definition of compactness, determine if the sets  $\{0\} \bigcup \left\{\frac{1}{n}\right\}$ , (0,1] are compact.

- i. A topological space  $(X, \tau)$  is **compact** if every open cover has a *finite* subcover. That is, if  $\left\{U_j \mid j \in J\right\} \subset \tau$  is a collection of open sets such that  $X \subseteq \bigcup_{j \in J} U_j$ , then there exists a *finite* subset  $J' \subset J$  such that  $X \subseteq \bigcup_{j \in J'} U_j$ .
- ii. Use Heine-Borel theorem: a set  $U \subset \mathbb{R}^n$  is compact  $\iff U$  is closed and bounded.
  - X is closed in  $\mathbb{R}$ , since we can write its complement as an arbitrary union of open intervals:

$$X^c = (-\infty, 0) \bigcup \left( \bigcup_{n \in \mathbb{Z}^+} \left( \frac{1}{n}, \frac{1}{n+1} \right) \right) \bigcup (1, \infty)$$

- X is bounded, since we can pick r=1, then  $x,y\in X \implies d(x,y)\leq r=1$ .
- iii. Use Heine-Borel again: X is not closed because it does not contain all of its limit points, e.g. the sequence  $\left\{x_n := \frac{1}{n} \mid n \in \mathbb{Z}^{\geq 1}\right\} \subset X$  but  $x_n \stackrel{n \longrightarrow \infty}{\longrightarrow} 0 \in X^c$ . Thus is is **not** compact.

### 3.1.1 Alternate Proof of (ii)

See Munkres p.164

- Let  $\{U_i \mid j \in J\} \rightrightarrows X$ ; then  $0 \in U_j$  for some  $j \in J$ .
- In the subspace topology,  $U_i$  is given by some  $V \in \tau(\mathbb{R})$  such that  $V \cap X = U_i$

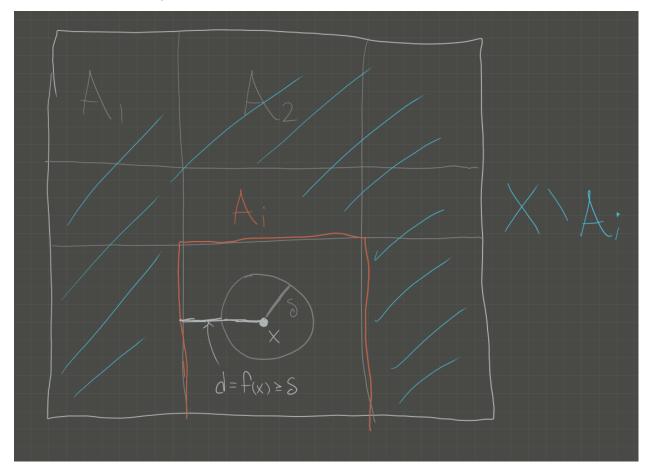
- A basis for the subspace topology on  $\mathbb{R}$  is open intervals, so write V as a union of open intervals  $V = \bigcup_{k \in K} I_k$ . - Since  $0 \in U_j$ ,  $0 \in I_k$  for some k.
- Since  $I_k$  is an interval, it contains infinitely many points of the form  $x_n = \frac{1}{n} \in X$
- Then  $I_k \cap X \subset U_j$  contains infinitely many such points.
- So there are only finitely many points in  $X \setminus U_j$ , each of which is in  $U_{j(n)}$  for some  $j(n) \in J$
- So  $U_j$  and the finitely many  $U_{j(n)}$  form a finite subcover of X.

#### 3.2 4

Statement: show that the *Lebesgue number* is well-defined for compact metric spaces.

Note: this is a question about the Lebesgue Number. See Wikipedia for detailed proof.

- Write U = {U<sub>i</sub> | i ∈ I}, then X ⊆ ⋃<sub>i∈I</sub> U<sub>i</sub>. Need to construct a δ > 0.
  By compactness of X, choose a finite subcover U<sub>1</sub>, · · · , U<sub>n</sub>.
  Define the distance between a point x and a set Y ⊂ X: d(x, Y) = inf <sub>y∈Y</sub> d(x, y).
- - Claim: the function  $d(\cdot, Y): X \longrightarrow \mathbb{R}$  is continuous for a fixed set.
  - Proof: Todo, not obvious.



• Define a function

$$f: X \longrightarrow \mathbb{R}$$
  
 $x \mapsto \frac{1}{n} \sum_{i=1}^{n} d(x, X \setminus U_i).$ 

- Note this is a sum of continuous functions and thus continuous.

#### • Claim:

$$\delta := \inf_{x \in X} f(x) = \min_{x \in X} f(x) = f(x_{\min}) > 0$$

suffices.

- That the infimum is a minimum: f is a continuous function on a compact set, apply the extreme value theorem: it attains its minimum.
- That  $\delta > 0$ : otherwise,  $\delta = 0 \implies \exists x_0 \text{ such that } d(x_0, X \setminus U_i) = 0 \text{ for all } i$ .
  - \* Forces  $x_0 \in X \setminus U_i$  for all i, but  $X \setminus \bigcup U_i = \emptyset$  since the  $U_i$  cover X.
- That it satisfies the Lebesgue condition:

$$\forall x \in X, \exists i \text{ such that } B_{\delta}(x) \subset U_i$$

- \* Let  $B_{\delta}(x) \ni x$ ; then by minimality  $f(x) \ge \delta$ .
- \* Thus it can not be the case that  $d(x, X \setminus U_i) < \delta$  for every i, otherwise

$$f(x) \le \frac{1}{n}(\delta + \dots + \delta) = \frac{n\delta}{n} = \delta$$

- \* So there is some particular i such that  $d(x, X \setminus U_i) \geq \delta$ .
- \* But then  $B_{\delta} \subseteq U_i$  as desired.

#### 3.3 6

Statement: prove that  $[0,1] \subset \mathbb{R}$  is compact.

#### 3.3.1 Proof 1 (DZG)

Todo: find a direct proof.

#### 3.4 8

Topic: proof of the tube lemma.

Statement: show  $X, Y \in \text{Top}_{\text{compact}} \iff X \times Y \in \text{Top}_{\text{compact}}$ 

#### 3.4.1 Proof 1 (DZG)

⇐=:

- By universal properties, the product  $X \times Y$  is equipped with continuous projections
- The continuous image of a compact set is compact, and  $\pi_1(X \times Y) = X, p_2(X \times Y) = Y$
- So X, Y are compact.

 $\Longrightarrow$ :

Proof of Tube Lemma:

- Let  $\{U_j \times V_j \mid j \in J\} \rightrightarrows X \times Y$ .
- Fix a point  $x_0 \in X$ , then  $\{x_0\} \times Y \subset N$  for some open set N.
- By the tube lemma, there is a  $U^x \subset X$  such that the tube  $U^x \times Y \subset N$ .
- Since  $\{x_0\} \times Y \cong Y$  which is compact, there is a finite subcover  $\{U_j \times V_j \mid j \leq n\} \rightrightarrows \{x_0\} \times Y$ .
- "Integrate the X": write

$$W = \bigcap_{j=1}^{n} U_j,$$

then  $x_0 \in W$  and W is a finite intersection of open sets and thus open.

- Claim:  $\{U_j \times V_j \mid j \leq n\} \rightrightarrows W \times Y$ 
  - Let  $(x, y) \in W \times Y$ ; want to show  $(x, y) \in U_j \times V_j$  for some  $j \leq n$ .
  - Then  $(x_0, y) \in \{x_0\} \times Y$  is on the same horizontal line
  - $-(x_0,y) \in U_j \times V_j$  for some j by construction
  - So  $y \in V_j$  for this j
  - Since  $x \in W$ ,  $x \in U_j$  for every j, thus  $x \in U_j$ .
  - So  $(x,y) \in U_j \times V_j$

#### **Actual Proof:**

- Let  $\{U_j \mid j \in J\} \rightrightarrows X \times Y$ .
- Fix  $x_0 \in X$ , the slice  $\{x_0\} \times Y$  is compact and can be covered by finitely many elements  $\{U_j \mid j \leq m\} \rightrightarrows \{x_0\} \times Y$ .
  - Sum: write  $N = \bigcup_{j=1}^{m} U_j$ ; then  $\{x_0\} \times Y \subset N$ .
  - Apply the tube lemma to N: produce  $\{x_0\} \times Y \in W \times Y \subset N$ ; then  $\{U_j \mid j \leq m\} \Rightarrow W \times Y$ .
- Now let  $x \in X$  vary: for each  $x \in X$ , produce  $W_x \times Y$  as above, then  $\{W_x \times Y \mid x \in X\} \rightrightarrows X$ .

   By above argument, every tube  $W_x \times Y$  can be covered by *finitely* many  $U_j$ .
- Since  $\{W_x \mid x \in X\} \rightrightarrows X$  and X is compact, produce a finite subset  $\{W_k \mid k \leq m'\} \rightrightarrows X$ .
- Then  $\{W_k \times Y \mid k \leq m'\} \rightrightarrows X \times Y$ ; the claim is that it is a finite cover.
  - Finitely many k
  - For each k, the tube  $W_k \times Y$  is covered by finitely by  $U_i$
  - And finite  $\times$  finite = finite.

#### Shorter mnemonic:

19. U It is sufficient to consider a cover consisting of elementary sets. Since Y is compact, each fiber  $x \times Y$  has a finite subcovering  $\{U_i^x \times V_i^x\}$ . Put  $W^x = \cap U_i^x$ . Since X is compact, the cover  $\{W^x\}_{x \in X}$  has a finite subcovering  $W^{x_j}$ . Then  $\{U_i^{x_j} \times V_i^{x_j}\}$  is the required finite subcovering.

## 4 10

X is connected:

- Write  $X = L \coprod G$  where  $L = \{0\} \times [-1, 1]$  and  $G = \{\Gamma(\sin(x)) \mid x \in (0, 1]\}$  is the graph of  $\sin(x)$ .
- $L \cong [0,1]$  which is connected
  - Claim: Every interval is connected (todo)
- $\bullet$  Claim: G is connected
  - The function

$$f: (0,1] \longrightarrow [-1,1]$$
  
 $x \mapsto \sin(x)$ 

- is continuous (how to prove?)
- Claim: The diagonal map  $\Delta: Y \longrightarrow Y \times Y$  where  $\Delta(t) = (t, t)$  is continuous for any Y since  $\Delta = (\mathrm{id}, \mathrm{id})$
- The composition of continuous function is continuous
- So the composition is continuous:

$$F: (0,1] \xrightarrow{\Delta} (0,1]^2 \xrightarrow{(\mathrm{id},f)} (0,1] \times [-1,1]$$
$$t \mapsto (t,t) \mapsto (t,f(t))$$

- Then G = F((0,1]) is the continuous image of a connected set and thus connected.
- $\bullet$  Claim: X is connected
  - Suppose there is a disconnecting cover  $X = A \coprod B$  such that  $\overline{A} \cap B = A \cap \overline{B} = \emptyset$  and  $A, B \neq \emptyset$ .
  - WLOG suppose  $(x, \sin(x)) \in B$  for x > 0.
  - Claim: B = G
    - \* It can't be the case that A intersects G: otherwise  $X = A \coprod B \implies G = (A \bigcap G) \coprod (B \bigcap V)$  disconnects G. So  $A \bigcap G = \emptyset$ , forcing  $A \subseteq L$
    - \* Similarly L can not be disconnected, so  $B \cap L = \emptyset$  forcing  $B \subset G$
    - \* So  $A \subset L$  and  $B \subset G$ , and since  $X = A \coprod B$ , this forces A = L and B = G.
  - But any open set U in the subspace topology  $\overline{L} \subset \mathbb{R}^2$  (generated by open balls) containing  $(0,0) \in L$  is the restriction of a ball  $V \subset \mathbb{R}^2$  of positive radius r > 0, i.e.  $U = V \cap X$ .
    - \* But any such ball contains points of G: namely take n large enough such that  $\frac{1}{n\pi} < r$ .
    - \* So  $U \cap L \cap G \neq \emptyset$ , contradicting  $L \cap G = \emptyset$ .

# 5 12

- Using the fact that  $[0, \infty) \subset \mathbb{R}$  is Hausdorff, any retract must be closed, so any closed interval  $[\varepsilon, N]$  for  $0 \le \varepsilon \le N \le \infty$ .
  - Note that  $\varepsilon = N$  yields all one point sets  $\{x_0\}$  for  $x_0 \ge 0$ .
- No finite discrete sets occur, since the retract of a connected set is connected.
- ?

# 6 14

- Take two connected sets X, Y; then there exists  $p \in X \cap Y$ .
- Write  $X \bigcup Y = A \coprod B$  with both  $A, B \subset A \coprod B$  open.
- Since  $p \in X \bigcup Y = A \coprod B$ , WLOG  $p \in A$ . We will show B must be empty.
- Claim:  $A \cap X$  is clopen in X.
  - $-A\bigcap X$  is open in X: ?
  - $-A \cap X$  is closed in X: ?
- The only clopen sets of a connected set are empty or the entire thing, and since  $p \in A$ , we must have  $A \cap X = X$ .
- By the same argument,  $A \cap Y = Y$ .
- So  $A \cap (X \cup Y) = (A \cap X) \cup (A \cap Y) = X \cup Y$
- Since  $A \subset X \bigcup Y$ ,  $A \cap (X \bigcup Y) = A$
- Thus  $A = X \bigcup Y$ , forcing  $B = \emptyset$ .

## 7 16

Topic: closure and connectedness in the subspace topology. See Munkres p.148

- $S \subset X$  is **not** connected if S with the subspace topology is not connected.
  - I.e. there exist  $A, B \subset S$  such that
    - $* A, B \neq \emptyset,$
    - \*  $A \cap B = \emptyset$ ,
    - \*  $A \prod B = S$ .
- Or equivalently, there exists a nontrivial  $A \subset S$  that is clopen in S.

Show stronger statement: this is an iff.

 $\Longrightarrow$ :

- Suppose S is not connected; we then have sets  $A \bigcup B = S$  from above and it suffices to show  $\operatorname{cl}_Y(A) \cap B = A \cap \operatorname{cl}_X(B) = \emptyset$ .
- A is open by assumption and  $Y \setminus A = B$  is closed in Y, so A is clopen.
- Write  $\operatorname{cl}_Y(A) := \operatorname{cl}_X(A) \bigcap Y$ .
- Since A is closed in Y,  $A = \operatorname{cl}_Y(A)$  by definition, so  $A = \operatorname{cl}_Y(A) = \operatorname{cl}_X(A) \cap Y$ .
- Since  $A \cap B = \emptyset$ , we then have  $cl_Y(A) \cap B = \emptyset$ .
- The same argument applies to B, so  $\operatorname{cl}_Y(B) \cap A = \emptyset$ .

 $\leftarrow$ 

- Suppose displayed condition holds; given such A, B we will show they are clopen in Y.
- Since  $\operatorname{cl}_Y(A) \cap B = \emptyset$