# Solutions to Mike's Compendium of Problems

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## 1 Preface

Note: usually (1/3) of the qual problems relate to point set (around 8 problems).

## 1.1 Problems to Revisit

- 4
- 6 (Without Heine-Borel)
- 8
- 10
- 11
- 14

#### 2 1: Point-Set

#### 2.1 2

See Munkres p.164, especially for (ii).

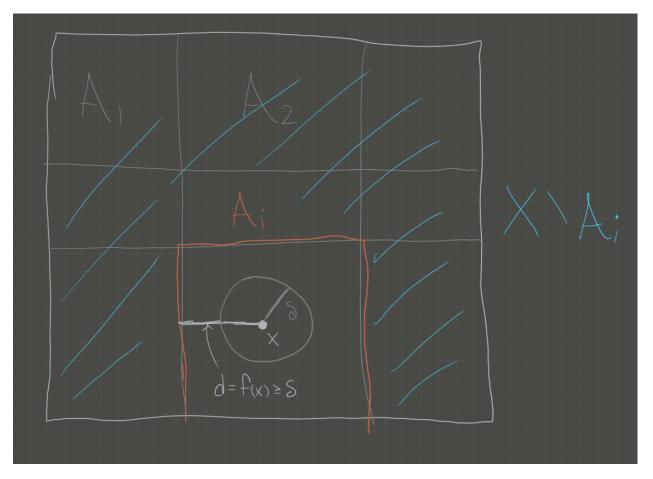
- i. See definition section of review doc.
- ii. Direct proof:
- Let  $\{U_i \mid j \in J\} \rightrightarrows X$ ; then  $0 \in U_j$  for some  $j \in J$ .
- In the subspace topology,  $U_i$  is given by some  $V \in \tau(\mathbb{R})$  such that  $V \cap X = U_i$ 
  - A basis for the subspace topology on  $\mathbb{R}$  is open intervals, so write V as a union of open intervals  $V = \bigcup_{k \in K} I_k$ . - Since  $0 \in U_j$ ,  $0 \in I_k$  for some k.
- Since  $I_k$  is an interval, it contains infinitely many points of the form  $x_n = \frac{1}{n} \in X$
- Then  $I_k \cap X \subset U_j$  contains infinitely many such points.
- So there are only finitely many points in  $X \setminus U_j$ , each of which is in  $U_{j(n)}$  for some  $j(n) \in J$ depending on n.
- So  $U_j$  and the *finitely* many  $U_{j(n)}$  form a finite subcover of X.
- iii. Todo: Need direct proof.

#### 2.2 4

Statement: show that the *Lebesgue number* is well-defined for compact metric spaces.

Note: this is a question about the Lebesgue Number. See Wikipedia for detailed proof.

- Write  $U = \{U_i \mid i \in I\}$ , then  $X \subseteq \bigcup_{i \in I} U_i$ . Need to construct a  $\delta > 0$ .
- By compactness of X, choose a finite subcover  $U_1, \dots, U_n$ .
- Define the distance between a point x and a set  $Y \subset X$ :  $d(x,Y) = \inf_{y \in Y} d(x,y)$ .
  - Claim: the function  $d(\cdot, Y): X \longrightarrow \mathbb{R}$  is continuous for a fixed set.
  - Proof: Todo, not obvious.



• Define a function

$$f: X \longrightarrow \mathbb{R}$$
  
 $x \mapsto \frac{1}{n} \sum_{i=1}^{n} d(x, X \setminus U_i).$ 

- Note this is a sum of continuous functions and thus continuous.

#### • Claim:

$$\delta \coloneqq \inf_{x \in X} f(x) = \min_{x \in X} f(x) = f(x_{\min}) > 0$$

suffices.

- That the infimum is a minimum: f is a continuous function on a compact set, apply the extreme value theorem: it attains its minimum.
- That  $\delta > 0$ : otherwise,  $\delta = 0 \implies \exists x_0 \text{ such that } d(x_0, X \setminus U_i) = 0 \text{ for all } i$ .
  - \* Forces  $x_0 \in X \setminus U_i$  for all i, but  $X \setminus \bigcup U_i = \emptyset$  since the  $U_i$  cover X.
- That it satisfies the Lebesgue condition:

$$\forall x \in X, \exists i \text{ such that } B_{\delta}(x) \subset U_i$$

- \* Let  $B_{\delta}(x) \ni x$ ; then by minimality  $f(x) \ge \delta$ .
- \* Thus it can not be the case that  $d(x, X \setminus U_i) < \delta$  for every i, otherwise

$$f(x) \le \frac{1}{n}(\delta + \dots + \delta) = \frac{n\delta}{n} = \delta$$

- \* So there is some particular i such that  $d(x, X \setminus U_i) \geq \delta$ .
- \* But then  $B_{\delta} \subseteq U_i$  as desired.

#### 2.3 6

Facts used:

- Cantor's Intersection Theorem
- Bases for standard topology on  $\mathbb{R}$ .
- Definition of compactness
- Toward a contradiction, let  $\{U_{\alpha}\} \rightrightarrows [0,1]$  be an open cover with no finite subcover.
- Then either  $[0, \frac{1}{2}]$  or  $[\frac{1}{2}, 1]$  has no finite subcover; WLOG assume it is  $[0, \frac{1}{2}]$ . Then either  $[0, \frac{1}{4}]$  or  $[\frac{1}{4}, \frac{1}{2}]$  has no finite subcover
- Inductively defining  $[a_n, b_n]$  this way yields a sequence of closed, bounded, nested intervals (each with no finite subcover) with diam( $[a_n, b_n]$ )  $\leq \frac{1}{2^n} \longrightarrow 0$ , so Cantor's Nested Interval theorem applies and the intersection contains exactly one point  $p \in [0, 1]$ .
- Since  $p \in [0,1]$ ,  $p \in U_{\alpha}$  for some  $\alpha$ .
- Since a basis for  $\tau(\mathbb{R})$  is given by open intervals, we can find an  $\varepsilon > 0$  such that  $(p-\varepsilon, p+\varepsilon) \subseteq U_{\alpha}$
- Then if  $\frac{1}{2^N} < \varepsilon$ , for  $n \ge N$  we have

$$[a_n, b_n] \subseteq (p - \varepsilon, p + \varepsilon) \subseteq U_{\alpha}.$$

• But then  $U_{\alpha} \rightrightarrows [a_n, b_n]$ , yielding a finite subcover of  $[a_n, b_n]$ , a contradiction.

#### 2.4 8

Topic: proof of the tube lemma.

Statement: show  $X, Y \in \text{Top}_{\text{compact}} \iff X \times Y \in \text{Top}_{\text{compact}}$ 

#### 2.4.1 Proof 1

- By universal properties, the product  $X \times Y$  is equipped with continuous projections
- The continuous image of a compact set is compact, and  $\pi_1(X \times Y) = X, p_2(X \times Y) = Y$
- So X, Y are compact.

- Let  $\{U_j \mid j \in J\} \rightrightarrows X \times Y$ .
- Fix  $x_0 \in X$ , the slice  $\{x_0\} \times Y$  is compact and can be covered by finitely many elements  $\{U_j \mid j \leq m\} \rightrightarrows \{x_0\} \times Y$ .
  - Sum: write  $N = \bigcup_{j=1}^{m} U_j$ ; then  $\{x_0\} \times Y \subset N$ .

- Apply the tube lemma to N: produce  $\{x_0\} \times Y \in W \times Y \subset N$ ; then  $\{U_j \mid j \leq m\} \Rightarrow W \times Y$ .
- Now let  $x \in X$  vary: for each  $x \in X$ , produce  $W_x \times Y$  as above, then  $\{W_x \times Y \mid x \in X\} \rightrightarrows X$ .

   By above argument, every tube  $W_x \times Y$  can be covered by finitely many  $U_j$ .
- Since  $\{W_x \mid x \in X\} \rightrightarrows X$  and X is compact, produce a finite subset  $\{W_k \mid k \leq m'\} \rightrightarrows X$ .
- Then  $\{W_k \times Y \mid k \leq m'\} \rightrightarrows X \times Y$ ; the claim is that it is a finite cover.
  - Finitely many k
  - For each k, the tube  $W_k \times Y$  is covered by finitely by  $U_i$
  - And finite  $\times$  finite = finite.

Shorter mnemonic:

19. U It is sufficient to consider a cover consisting of elementary sets. Since Y is compact, each fiber  $x \times Y$  has a finite subcovering  $\{U_i^x \times V_i^x\}$ . Put  $W^x = \cap U_i^x$ . Since X is compact, the cover  $\{W^x\}_{x \in X}$  has a finite subcovering  $W^{x_j}$ . Then  $\{U_i^{x_j} \times V_i^{x_j}\}$  is the required finite subcovering.

#### 2.4.2 Proof 2

Let  $\pi_X, \pi_Y$  denote the canonical projections, which we can note are continuous and preserve open sets.

 $\implies$ : Suppose  $X \times Y$  is compact, and let  $\{U_{\alpha}\}, \{V_{\beta}\}$  be open covers of X and Y respectively.

Let  $T_{\alpha\beta} = U_{\alpha} \times V_{\beta}$ ; then  $\{T_{\alpha\beta}\}$  is an open cover of  $X \times Y$ . So there is a finite subcover  $\{T_{ij}\}$ ,  $\{\pi_X(T_{ij})\}$  is an open cover of X, and similarly for Y. So both X,Y are compact.

 $\Leftarrow$ : Suppose X and Y are compact, and let  $U_{\alpha} \rightrightarrows X \times Y$  be an open cover. Let  $\pi_Y : X \times Y \longrightarrow Y$  be the canonical projection; then  $\{\pi_Y(U_{\alpha})\} \rightrightarrows Y$  and by compactness of Y there is a finite subcover of the form  $\{\pi_Y(U_i) \mid 1 \leq i \leq n\}$ . Then  $\{V_{x,i} := \{x\} \times U_i\}$  is an open cover of  $\{x\} \times Y$  for any fixed x.

So if we fix an  $x \in X$ , we can let  $V_{x,i} \rightrightarrows \{x\} \times Y$  be any finite subcollection covering this slice. By the Tube Lemma, there is an open set  $W_x$  such that  $\{x\} \times Y \subset W_x \times Y \subset \bigcup V_{x,i} = \{x\} \times Y$ .

Then  $\{W_x\} \rightrightarrows X$  as x varies is an open cover of X, and by compactness of X, there are finitely many  $x_j \in X$  such that  $W_{x_j} \rightrightarrows X$ . But then  $X \times Y = \bigcup_j W_{x_j} \times Y = \bigcup_j \bigcup_i W_{x_j} \times V_{x_j,i} \subset \bigcup_\alpha U_\alpha$  is a finite cover.

#### 2.4.3 Proof of Tube Lemma (Todo: Check)

Proof of Tube Lemma:

- Let  $\{U_j \times V_j \mid j \in J\} \rightrightarrows X \times Y$ .
- Fix a point  $x_0 \in X$ , then  $\{x_0\} \times Y \subset N$  for some open set N.
- By the tube lemma, there is a  $U^x \subset X$  such that the tube  $U^x \times Y \subset N$ .

- Since  $\{x_0\} \times Y \cong Y$  which is compact, there is a finite subcover  $\{U_j \times V_j \mid j \leq n\} \rightrightarrows \{x_0\} \times Y$ .
- "Integrate the X": write

$$W = \bigcap_{j=1}^{n} U_j,$$

then  $x_0 \in W$  and W is a finite intersection of open sets and thus open.

- Claim:  $\{U_j \times V_j \mid j \leq n\} \rightrightarrows W \times Y$ 
  - Let  $(x,y) \in W \times Y$ ; want to show  $(x,y) \in U_j \times V_j$  for some  $j \leq n$ .
  - Then  $(x_0, y) \in \{x_0\} \times Y$  is on the same horizontal line
  - $-(x_0,y) \in U_j \times V_j$  for some j by construction
  - So  $y \in V_j$  for this j
  - Since  $x \in W$ ,  $x \in U_j$  for every j, thus  $x \in U_j$ .
  - So  $(x,y) \in U_j \times V_j$

#### 2.5 9

#### 2.6 10

#### 2.6.1 Proof 1

X is connected:

- Write  $X = L \coprod G$  where  $L = \{0\} \times [-1, 1]$  and  $G = \{\Gamma(\sin(x)) \mid x \in (0, 1]\}$  is the graph of  $\sin(x)$ .
- $L \cong [0,1]$  which is connected
  - Claim: Every interval is connected (todo)
- Claim: G is connected (i.e. as the graph of a continuous function on a connected set)
  - The function

$$f:(0,1] \longrightarrow [-1,1]$$
  
 $x \mapsto \sin(x)$ 

- is continuous (how to prove?)
- Products of continuous functions are continuous iff all of the components are continuous.
- Claim: The diagonal map  $\Delta: Y \longrightarrow Y \times Y$  where  $\Delta(t) = (t, t)$  is continuous for any Y since  $\Delta = (\mathrm{id}, \mathrm{id})$ 
  - \* Product of identity functions, which are continuous.
- The composition of continuous function is continuous, therefore

$$F: (0,1] \xrightarrow{\Delta} (0,1]^2 \xrightarrow{(\mathrm{id},f)} (0,1] \times [-1,1]$$
$$t \mapsto (t,t) \mapsto (t,f(t))$$

- Then G = F((0,1]) is the continuous image of a connected set and thus connected.
- Claim: X is connected
  - Suppose there is a disconnecting cover  $X = A \coprod B$  such that  $\overline{A} \cap B = A \cap \overline{B} = \emptyset$  and  $A, B \neq \emptyset$ .
  - WLOG let  $(x, \sin(x)) \in B$  for x > 0 (otherwise just relabeling A, B)
  - Claim: B = G

\* It can't be the case that A intersects G: otherwise

$$X = A \coprod B \implies G = (A \bigcap G) \coprod (B \bigcap V)$$

disconnects G. So  $A \cap G = \emptyset$ , forcing  $A \subseteq L$ 

- \* Similarly L can not be disconnected, so  $B \cap L = \emptyset$  forcing  $B \subset G$
- \* So  $A \subset L$  and  $B \subset G$ , and since  $X = A \coprod B$ , this forces A = L and B = G.
- But any open set U in the subspace topology  $L \subset \mathbb{R}^2$  (generated by open balls) containing  $(0,0) \in L$  is the restriction of a ball  $V \subset \mathbb{R}^2$  of radius r > 0, i.e.  $U = V \cap X$ .
  - \* But any such ball contains points of G:

$$n \gg 0 \implies \frac{1}{n\pi} < r \implies \exists g \in G \text{ s.t. } g \in U.$$

- \* So  $U \cap L \cap G \neq \emptyset$ , contradicting  $L \cap G = \emptyset$ .
- $\bullet$  Claim: X is *not* path-connected.
  - Todo: "can't get from L to G in finite time".
  - Toward a contradiction, choose a continuous function  $f: I \longrightarrow X$  with  $f(0) \in G$  and  $f(1) \in L$ .
    - \* Since  $L \cong [0,1]$ , use path-connectedness to create a path  $f(1) \longrightarrow (0,1)$
    - \* Concatenate paths and reparameterize to obtain  $f(1) = (0,1) \in L \subset \mathbb{R}^2$ .
  - Let  $\varepsilon = \frac{1}{2}$ ; by continuity there exists a  $\delta \in I$  such that

$$t \in B_{\delta}(1) \subset I \implies f(t) \in B_{\varepsilon}(\mathbf{0}) \in X$$

- Using the fact that  $[1 \delta, 1]$  is connected,  $f([1 \delta, 1]) \subset X$  is connected.
- Let  $f(1-\delta) = \mathbf{x}_0 = (x_0, y_0) \subset X \subset \mathbb{R}^2$ .
- Define a composite map

$$F: [0,1] \longrightarrow \mathbb{R}F \qquad := \mathfrak{p}_{x\text{-axis}} \circ f.$$

- \* F is continuous as a composition of continuous functions.
- Then  $F([1-\delta,1]) \subset \mathbb{R}$  is connected and thus must be an interval (a,b)
- Since  $f(1) = \mathbf{0}$  which has x-component zero,  $[0, b] \subset (a, b)$ .
- Since  $f(1-\delta) = \mathbf{x}$ ,  $F(\mathbf{x}) = x_0$  and this  $[0, x_0] \subset (a, b)$ .
- Thus for all  $x \in (0, x_0]$  there exists a  $t \in [1 \delta, 1]$  such that  $f(t) = (x, \sin\left(\frac{1}{x}\right))$ .
- Now toward the contradiction, choose  $x = \frac{1}{2n\pi \pi/2} \in \mathbb{R}$  with n large enough such that  $x \in (0, x_0)$ .
  - \* Note that  $\sin\left(\frac{1}{x}\right) = -1$  by construction.
  - \* Apply the previous statement: there exists a t such that  $f(t) = (x, \sin\left(\frac{1}{x}\right)) = (x, -1)$ .
  - \* But then

$$||f(t) - f(x)|| = ||(x, -1) - (0, 1)|| = ||(x, 2)|| > \frac{1}{2},$$

contradicting continuity of f.

#### 2.6.2 Proof 2?

Let  $X = A \bigcup B$  with  $A = \{(0, y) \mid y \in [-1, 1]\}$  and  $B = \{(x, \sin(1/x)) \mid x \in (0, 1]\}$ . Since B is the graph of a continuous function, which is always connected. Moreover,  $X = \overline{A}$ , and the closure of a connected set is still connected.

Alternative direct argument: the subspace  $X' = B \bigcup \{ \mathbf{0} \}$  is not connected. If it were, write  $X' = U \coprod V$ , where wlog  $\mathbf{0} \in U$ . Then there is an open such that  $\mathbf{0} \in N_r(\mathbf{0}) \subset U$ . But any neighborhood about zero intersects B, so we must have  $V \subset B$  as a strict inclusion. But then  $U \cap B$  and V disconnects B, a connected set, which is a contradiction.

To see that X is not path-connected, suppose toward a contradiction that there is a continuous function  $f: I \longrightarrow X \subset \mathbb{R}^2$ . In particular, f is continuous at **0**, and so

$$\forall \varepsilon \quad \exists \delta \mid \|\mathbf{x}\| < \delta \implies \|f(\mathbf{x})\| < \varepsilon.$$

where the norm is the standard Euclidean norm.

However, we can pick  $\varepsilon < 1$ , say, and consider points of the form  $\mathbf{x}_n = (\frac{1}{2n\pi}, 0)$ . In particular, we can pick n large enough such that  $\|\mathbf{x}_n\|$  is as small as we like, whereas  $\|f(\mathbf{x}_n)\| = 1 > \varepsilon$  for all n, a contradiction.

#### 2.7 11

Consider the (continuous) projection  $\pi: \mathbb{R}^2 \longrightarrow \mathbb{RP}^1$  given by  $(x,y) \mapsto [y/x,1]$  in homogeneous coordinates. (I.e. this sends points to lines through the origin with rational slope).

Note that the image of  $\pi$  is  $\mathbb{RP}^1 \setminus \{\infty\}$ , which is homeomorphic to  $\mathbb{R}$ .

If we now define  $f = \pi|_X$ , we have  $f(X) \twoheadrightarrow \mathbb{Q} \subset \mathbb{R}$ . If X were connected, then f(X) would also be connected, but  $\mathbb{Q} \subset \mathbb{R}$  is disconnected, a contradiction.

#### 2.8 12 (Todo: Not Finished)

- Using the fact that  $[0, \infty) \subset \mathbb{R}$  is Hausdorff, any retract must be closed, so any closed interval  $[\varepsilon, N]$  for  $0 \le \varepsilon \le N \le \infty$ .
  - Note that  $\varepsilon = N$  yields all one point sets  $\{x_0\}$  for  $x_0 \ge 0$ .
- No finite discrete sets occur, since the retract of a connected set is connected.
- ?

#### 2.9 14

#### 2.9.1 Proof 1

- Take two connected sets X, Y; then there exists  $p \in X \cap Y$ .
- Toward a contradiction: write  $X \bigcup Y = A \coprod B$  with both  $A, B \subset A \coprod B$  open.
- Since  $p \in X \bigcup Y = A \coprod B$ , WLOG  $p \in A$ . We will show B must be empty.

- Claim:  $A \cap X$  is clopen in X.
  - $-A\bigcap X$  is open in X: ?
  - $-A\bigcap X$  is closed in X: ?
- The only clopen sets of a connected set are empty or the entire thing, and since  $p \in A$ , we must have  $A \cap X = X$ .
- By the same argument,  $A \cap Y = Y$ .
- So  $A \cap (X \cup Y) = (A \cap X) \cup (A \cap Y) = X \cup Y$
- Since  $A \subset X \bigcup Y$ ,  $A \cap (X \bigcup Y) = A$
- Thus  $A = X \bigcup Y$ , forcing  $B = \emptyset$ .

#### 2.9.2 Proof 2?

Let  $X := \bigcup_{\alpha} X_{\alpha}$ , and let  $p \in \bigcap X_{\alpha}$ . Suppose toward a contradiction that  $X = A \coprod B$  with A, B nonempty, disjoint, and relatively open as subspaces of X. Wlog, suppose  $p \in A$ , so let  $q \in B$  be arbitrary.

Then  $q \in X_{\alpha}$  for some  $\alpha$ , so  $q \in B \cap X_{\alpha}$ . We also have  $p \in A \cap X_{\alpha}$ .

But then these two sets disconnect  $X_{\alpha}$ , which was assumed to be connected – a contradiction.

#### 2.10 16

#### 2.10.1 Proof 1

Topic: closure and connectedness in the subspace topology. See Munkres p.148

- $S \subset X$  is **not** connected if S with the subspace topology is not connected.
  - I.e. there exist  $A, B \subset S$  such that
    - $*A, B \neq \emptyset,$
    - $*A \cap B = \emptyset,$
    - $*A \coprod B = S.$
- Or equivalently, there exists a nontrivial  $A \subset S$  that is clopen in S.

Show stronger statement: this is an iff.

 $\Longrightarrow$ :

- Suppose S is not connected; we then have sets  $A \bigcup B = S$  from above and it suffices to show  $\operatorname{cl}_Y(A) \cap B = A \cap \operatorname{cl}_X(B) = \emptyset$ .
- A is open by assumption and  $Y \setminus A = B$  is closed in Y, so A is clopen.
- Write  $\operatorname{cl}_Y(A) := \operatorname{cl}_X(A) \bigcap Y$ .
- Since A is closed in Y,  $A = \operatorname{cl}_Y(A)$  by definition, so  $A = \operatorname{cl}_Y(A) = \operatorname{cl}_X(A) \cap Y$ .
- Since  $A \cap B = \emptyset$ , we then have  $\operatorname{cl}_Y(A) \cap B = \emptyset$ .
- The same argument applies to B, so  $cl_Y(B) \cap A = \emptyset$ .

← :

• Suppose displayed condition holds; given such A, B we will show they are clopen in Y.

• Since  $\operatorname{cl}_Y(A) \cap B = \emptyset$ , (claim) we have  $\operatorname{cl}_Y(A) = A$  and thus A is closed in Y. – Why?

$$cl_{Y}(A) := cl_{X}(A) \bigcap Y$$

$$= cl_{X}(A) \bigcap \left(A \coprod B\right)$$

$$= \left(cl_{X}(A) \bigcap A\right) \coprod \left(cl_{X}(A) \bigcap B\right)$$

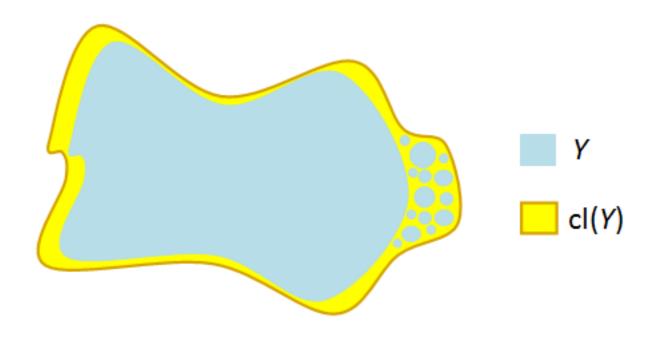
$$= A \coprod \left(cl_{X}(A) \bigcap B\right) \quad \text{since } A \subset cl_{Y}(A)$$

$$= A \coprod \left(cl_{Y}(A) \bigcap B\right) \quad \text{since } B \subset Y$$

$$= A \coprod \emptyset \quad \text{using the assumption}$$

$$= A.$$

• But  $A = Y \setminus B$  where B is closed, so A is open and thus a nontrivial clopen subset.



#### 2.10.2 Proof 2

Lemma: X is connected iff the only subsets of X that are closed and open are  $\emptyset, X$ .

If  $S \subset X$  is not connected, then there exists a subset  $A \subset S$  that is both open and closed in the subspace topology, where  $A \neq \emptyset$ , S.

Suppose S is not connected, then choose A as above. Then  $B = S \setminus A$  yields a pair A, B that disconnects S. Since A is closed in S,  $\overline{A} = A$  and thus  $\overline{A} \cap B = A \cap B = \emptyset$ . Similarly, since A is open, B is closed, and  $\overline{B} = B \implies \overline{B} \cap A = B \cap A = \emptyset$ .

2 1: POINT-SET

#### 2.11 18

• Define a new function

$$g: X \longrightarrow \mathbb{R}$$
 
$$x \mapsto d_X(x, f(x)).$$

- Attempt to minimize. Claim: *q* is a continuous function.
- Given claim, a continuous function on a compact space attains its infimum, so set

$$m \coloneqq \inf_{x \in X} g(x)$$

and produce  $x_0 \in X$  such that g(x) = m.

• Then

$$m > 0 \iff d(x_0, f(x_0)) > 0 \iff x_0 \neq f(x_0).$$

• Now apply f and use the assumption that f is a contraction to contradict minimality of m:

$$d(f(f(x_0)), f(x_0)) \le C \cdot d(f(x_0), x_0)$$

$$< d(f(x_0), x_0) \quad \text{since } C < 1$$

$$< m$$

• Proof that g is continuous: use the definition of g, the triangle inequality, and that f is a contraction:

$$d(x, f(x)) \le d(x, y) + d(y, f(y)) + d(f(x), f(y))$$

$$\implies d(x, f(x)) - d(y, f(y)) \le d(x, y) + d(f(x), f(y))$$

$$\implies q(x) - q(y) \le d(x, y) + C \cdot d(x, y) = (C + 1) \cdot d(x, y)$$

- This shows that g is Lipschitz continuous with constant C+1 (implies uniformly continuous, but not used).

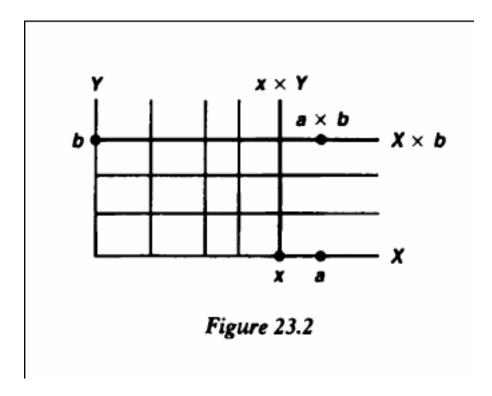
#### 2.12 19

Statement: prove that the product of two connected spaces is connected.

#### **Solution:**

Use the fact that a union of spaces containing a common point is still connected. Fix a point  $(a,b) \in X \times Y$ . Since the horizontal slice  $X_b := X \times \{b\}$  is homeomorphic to X which is connected, as are all of the vertical slices  $Y_x := \{x\} \times Y \cong Y$  (for any x), the "T-shaped" space  $T_x := X_b \bigcup Y_x$  is connected for each x.

Note that  $(a, b) \in T_x$  for every x, so  $\bigcup_{x \in X} T_x = X \times Y$  is connected.



#### 2.13 20

- a. See definitions in intro.
- b. Claim: the Topologist's sine curve X suffices.

#### Proof:

- $\bullet$  Claim 1: X is connected.
  - Intervals and graphs of cts functions are connected, so the only problem point is 0.
- $\bullet$  Claim 2: X is  $\bf not$  locally path connected.
  - Take any  $B_{\varepsilon}(0) \in \mathbb{R}^2$ ; then  $\pi_X B_{\varepsilon}(0)$  yields infinitely many arcs, each intersecting the graph at two points on  $\partial B_{\varepsilon}(0)$ .
  - These are homeomorphic to a collection of disjoint embedded open intervals, and any disjoint union of intervals is clearly not connected.

Space	Connected	Locally Connected
$\mathbb{R}$	<b>√</b>	<b>√</b>
$[0,1]\bigcup[2,3]$		$\checkmark$
Sine Curve	$\checkmark$	
$\mathbb{Q}$		

Todo: what's the picture?

#### 2.14 23

Note: this is precisely the cofinite topology.

- 1.  $\mathbb{R} \in \tau$  since  $\mathbb{R} \setminus \mathbb{R} = \emptyset$  is trivially a finite set, and  $\emptyset \in \tau$  by definition.
- 2. If  $U_i \in \tau$  then  $(\bigcup_i U_i)^c = \bigcap_i U_i^c$  is an intersection of finite sets and thus finite, so  $\bigcup_i U_i \in \tau$ .
- 3. If  $U_i \in \tau$ , then  $(\bigcap_{i=1}^n U_i)^c = \bigcup_{i=1}^n U_i^c$  is a finite union of finite sets and thus finite, so  $\bigcap U_i \in \tau$ .

So  $\tau$  forms a topology.

To see that  $(\mathbb{R}, \tau)$  is compact, let  $\{U_i\} \rightrightarrows \mathbb{R}$  be an open cover by elements in  $\tau$ .

Fix any  $U_{\alpha}$ , then  $U_{\alpha}^{c} = \{p_{1}, \dots, p_{n}\}$  is finite, say of size n. So pick  $U_{1} \ni p_{1}, \dots, U_{n} \ni p_{n}$ ; then  $\mathbb{R} \subset U_{\alpha} \bigcup_{i=1}^{n} U_{i}$  is a finite cover.

#### 2.15 27

Notes: use diagonal trick to construct the Cauchy sequence.

#### 2.15.1 a

 $\Longrightarrow$ :

If X is totally bounded, let  $\varepsilon = \frac{1}{n}$  for each n, and let  $\{x_i\}$  be an arbitrary sequence. For n = 1, pick a finite open cover  $\{U_i\}_n$  such that  $\operatorname{diam} U_i < \frac{1}{n}$  for every i.

Choose  $V_1$  such that there are infinitely many  $x_i \in V_1$ . (Why?) Note that diam $V_i < 1$ . Now choose  $x_i \in V_1$  arbitrarily and define it to be  $y_1$ .

Then since  $V_1$  is totally bounded, repeat this process to obtain  $V_2 \subseteq V_1$  with diam $(V_2) < \frac{1}{2}$ , and choose  $x_i \in V_2$  arbitrarily and define it to be  $y_2$ .

This yields a nested family of sets  $V_1 \supseteq V_2 \supseteq \cdots$  and a sequence  $\{y_i\}$  such that  $d(y_i, y_j) < \max(\frac{1}{i}, \frac{1}{j}) \longrightarrow 0$ , so  $\{y_i\}$  is a Cauchy subsequence.

 $\Leftarrow$ :

Then fix  $\varepsilon > 0$  and pick  $x_1$  arbitrarily and define  $S_1 = B(\varepsilon, x_1)$ . Then pick  $x_2 \in S_1^c$  and define  $S_2 = S_1 \bigcup B(\varepsilon, x_2)$ , and so on. Continue by picking  $x_{n+1} \in S_n^c$  (Since X is not totally bounded, this can always be done) and defining  $S_{n+1} = S_n \bigcup B(\varepsilon, x_{n+1})$ .

Then  $\{x_n\}$  is not Cauchy, because  $d(x_i, x_j) > \varepsilon$  for every  $i \neq j$ .

#### 2.15.2 b

Take  $X = C^0([0,1])$  with the sup-norm, then  $f_n(x) = x^n$  are all bounded by 1, but  $||f_i - f_j|| = 1$  for every i, j, so no subsequence can be Cauchy, so X can not be totally bounded.

Moreover,  $\{f_n\}$  is closed. (Why?)

#### 2.16 30

Let  $A \subset X$  be compact, and pick a fixed  $x \in X \setminus A$ . Since X is Hausdorff, for arbitrary  $a \in A$ , there exists opens  $U_a \ni a$  and  $U_{x,a} \ni x$  such that  $V_a \cap U_{x,a} = \emptyset$ . Then  $\{U_a \mid a \in A\} \rightrightarrows A$ , so by compactness there is a finite subcover  $\{U_{a_i}\} \rightrightarrows A$ .

Now take  $U = \bigcup_i U_{a_i}$  and  $V_x = \bigcap_i V_{a_i,x}$ , so  $U \cap V = \emptyset$ . Note that both U and  $V_x$  are open.

But then defining  $V := \bigcup_{x \in X \setminus A} V_x$ , we have  $X \setminus A \subset V$  and  $V \cap A = \emptyset$ , so  $V = X \setminus A$ , which is open and thus A is closed.

#### 2.17 31

#### 2.17.1 a

Theorems used:

- Continuous bijection + open map (or closed map)  $\implies$  homeomorphism.
- Closed subsets of compact sets are compact.
- The continuous image of a compact set is compact.
- Closed subsets of Hausdorff spaces are compact.

So we'll show that f is a closed map.

Let  $U \in X$  be closed.

- Since X is compact, U is compact
- Since f is continuous, f(U) is compact
- Since Y is Hausdorff, f(U) is closed.

#### 2.17.2 b

Note that any finite space is clearly compact.

Take  $f:([2], \tau_1) \longrightarrow ([2], \tau_2)$  to be the identity map, where  $\tau_1$  is the discrete topology and  $\tau_2$  is the indiscrete topology. Any map into an indiscrete topology is continuous, and f is clearly a bijection.

Let g be the inverse map; then note that  $1 \in \tau_1$  but  $g^{-1}(1) = 1$  is not in  $\tau_2$ , so g is not continuous.

#### 2.18 32

 $\Longrightarrow$ :

- Let  $p \in \Delta^c$ .
- Then p is of the form (x, y) where  $x \neq y$  and  $x, y \in X$ .
- Since X is Hausdorff, pick  $N_x, N_y$  in X such that  $N_x \cap N_y = \emptyset$ .

- Then  $N_p := N_x \times N_y$  is an open set in  $X^2$  containing p.
- Claim:  $N_p \cap \Delta = \emptyset$ .
  - If  $q \in N_p \cap \Delta$ , then q = (z, z) where  $z \in X$ , and  $q \in N_p \implies q \in N_x \cap N_y = \emptyset$ .
- Then  $\Delta^c = \bigcup_p N_p$  is open.

 $\leftarrow$ 

- Let  $x \neq y \in X$ .
- Consider  $(x,y) \in \Delta^c \subset X^2$ , which is open.
- Thus  $(x,y) \in B$  for some box in the product topology.
- $B = U \times V$  where U, V are open in X, and  $B \subset \Delta^c$ .
- So  $x \in U, y \in V$ .
- Claim:  $U \cap V = \emptyset$ .
  - Otherwise,  $z \in U \cap V \implies (z, z) \in B$ , but  $B \cap \Delta^c = \emptyset$ .

#### 2.19 38

 $\mathbb{R}$  is clearly Hausdorff, and  $\mathbb{R}/\mathbb{Q}$  has the indiscrete topology, and is thus non-Hausdorff. So take the quotient map  $\pi: \mathbb{R} \longrightarrow \mathbb{R}/\mathbb{Q}$ .

Direct proof that  $\mathbb{R}/\mathbb{Q}$  isn't Hausdorff:

- Pick  $[x] \subset U \neq [y] \subset V \in \mathbb{R}/\mathbb{Q}$  and suppose  $U \cap V = \emptyset$ .
- Pull back  $U \longrightarrow A, V \longrightarrow B$  open disjoint sets in  $\mathbb{R}$
- Both A, B contain intervals, so they contain rationals  $p \in A, q \in B$
- Then  $[p] = [q] \in U \cap V$ .

#### 2.20 42

Proof that  $\mathbb{R}/\mathbb{Q}$  has the indiscrete topology:

- Let  $U \subset \mathbb{R}/\mathbb{Q}$  be open and nonempty, show  $U = \mathbb{R}/\mathbb{Q}$ .
- Let  $[x] \in U$ , then  $x \in \pi^{-1}(U) := V \subset \mathbb{R}$  is open.
- Then V contains an interval (a, b)
- Every  $y \in V$  satisfies  $y + q \in V$  for all  $q \in \mathbb{Q}$ , since  $y + q y \in \mathbb{Q} \implies [y + q] = [y]$ .
- So  $(a-q,b+q) \in V$  for all  $q \in \mathbb{Q}$ .
- So  $\bigcup_{q \in \mathbb{O}} (a q, b + q) \in V \implies \mathbb{R} \subset V.$
- So  $\pi(V) = \mathbb{R}/\mathbb{Q} = U$ , and thus the only open sets are the entire space and the empty set.

#### 2.21 44

#### 2.21.1 a

- Suppose X has a countable basis  $B = \{B_i\}$ .
- Choose an arbitrary  $x_i \in B_i$  for each i. Define  $Q = \{x_i\}$ .
- Let  $y \in N_y \subset X$ .
- By definition of a basis, there exists some  $B_i$  such that  $y \in B_i \subset N_y$ .
- Since  $x_i \in B_i$ ,  $Q \cap N_y \neq \emptyset$ .

• Thus Q is dense in X.

#### 2.21.2 b

- Let  $\{q_i\}$  be a countable dense subset.
- Define  $B_{i,j} = B_{\frac{1}{i}}(q_j)$ , which is still countable.
- Property 1: Every  $x \in B_{i,j}$  Take  $x \in N_{\frac{1}{2}}(x) \ni q_j$  by density.

   Then  $x \in B_{\frac{1}{2},j}$ .
- Property 2:  $x \in B_{i_1,j_1} \cap B_{i_2,j_2} \implies x \in B_{i_3,j_3} \subset B_{i_1,j_1} \cap B_{i_2,j_2}$ :

   Take  $i < \min(i_1, i_2)$ , then  $N_i(x) \ni q_j$ . for some j.

  - Thus  $x \in B_{i,j}$ .

## 3 2: Fundamental Group

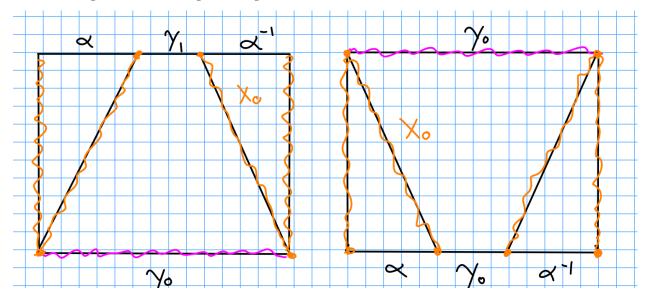
#### 3.1 1

Proposition:  $\gamma_1 \simeq \gamma_2 \iff \gamma_1, \gamma_2$  are conjugate in  $\pi_1(X, x_0)$ , i.e.  $\exists [\alpha] \in \pi_1$  such that  $[\gamma_1] = [\alpha][\gamma_2][\alpha]^{-1}$ .

#### Proof:

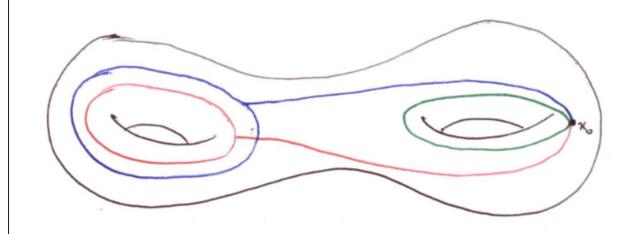
 $\implies$ : Clear, since  $\gamma_1 \sim \gamma_2 \implies [\gamma_1] = [\gamma_2] \in \pi_1(X)$ , so take  $\alpha(t) = x_0$  the constant loop for all t.

 $\Leftarrow$ : ? Forgot how these arguments go.



Counterexample where homotopic loops are not equal in  $\pi_1$ , but just conjugate:

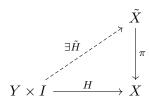
It's not a great picture, but the blue and red loops below are freely homotopic, but not homotopic relative to the basepoint  $x_0$ . In  $\pi_1(X, x_0)$ , they are conjugate via the green loop.



## 4 Covering Spaces

#### 4.1 1b

Homotopy lifting property:



 $\pi$  clearly induces a map  $p_*$  on  $\pi_1$  by functoriality, so we'll show that ker  $p_*$  is trivial. Let  $\gamma: S^1 \longrightarrow$  $\tilde{X} \in \pi_1(\tilde{X})$  and suppose  $\alpha := p_*(\gamma) = [e] \in \pi_1(X)$ . We'll show  $\gamma \simeq [e]$  in  $\pi_1(\tilde{X})$ .

Since  $\alpha = [e]$ ,  $\alpha \simeq \text{const.}$  and thus there is a homotopy  $H: I \times S^1 \longrightarrow X$  such that  $H_0 = \text{const.}(x_0)$ and  $H_1 = \gamma$ . By the HLP, this lifts to  $\tilde{H}: I \times S^1 \longrightarrow \tilde{X}$ . Noting that  $\pi^{-1}(\text{const.}(x_0))$  is still a constant loop, this says that  $\gamma$  is homotopic to a constant loop and thus nullhomotopic.

#### 4.2 1c

Since both spaces are path-connected, the degree of the covering map  $\pi$  is precisely the index of the included fundamental group. This forces  $\pi$  to be a degree 1 covering and hence a homeomorphism.

#### 4.3 6

Note  $\pi_1 \mathbb{RP}^2 = \mathbb{Z}/2\mathbb{Z}$ , so  $\pi_1 X = (\mathbb{Z}/2\mathbb{Z})^2$ .

The pullback of any neighborhood of the basepoint needs to be locally homeomorphic to one of

- $S^2 \vee S^2$
- $\mathbb{RP}^2 \vee S^2$

And so all possibilities for regular covering spaces are given by

- $\bigvee^{2k} S^2$  "beads" wrapped into a necklace for any  $k \ge 1$
- $\mathbb{RP}^2 \vee (\bigvee^k S^2) \vee \mathbb{RP}^2$
- $\vee^{\infty} S^2$ , the universal cover

To get a threefold cover, we want the basepoint to lift to three preimages, so we can take

- $S^2 \vee S^2 \vee S^2$  wrapped
- $\mathbb{RP}^2 \vee S^2 \vee \mathbb{RP}^2$ .

#### 4.4 7

- $\mathbb{RP}_3 \vee S^2 \vee \mathbb{RP}^3$ , which has  $\pi_2 = 0 * \mathbb{Z} * 0 = \mathbb{Z}$  since  $\pi_{i \geq 1} X = \pi_{i \geq 1} \tilde{X}$  and  $\mathbb{RP}^3 = S^3$ .  $\mathbb{RP}^2 \vee S^3 \vee \mathbb{RP}^2$ , which was  $\pi_2 = \mathbb{Z} * 0 * \mathbb{Z} = \mathbb{Z} * \mathbb{Z} \neq \mathbb{Z}$

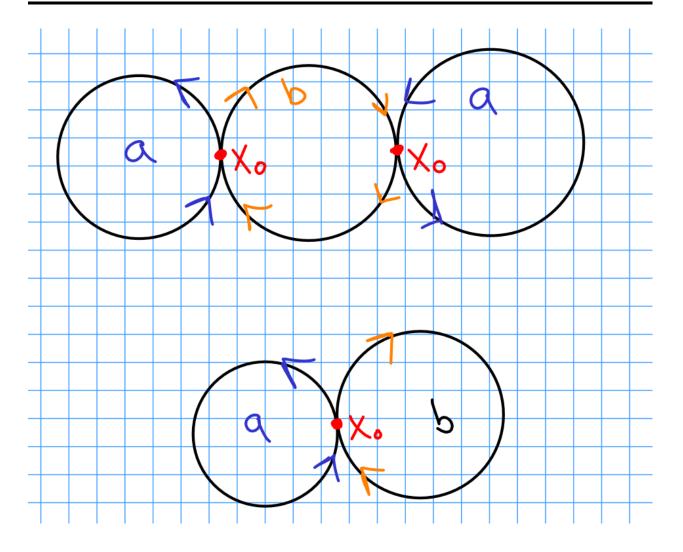


Figure 1: Image

## 4.5 8

Yes,

### 5 Spring 2019

#### 5.1 Problem 1

Complete and **totally** bounded  $\implies$  compact. - Definition: A space X is *totally bounded* if for every  $\varepsilon > 0$ , there is a finite cover  $X \subseteq \bigcup B_{\alpha}(\varepsilon)$  such that the radius of each ball is less than  $\varepsilon$ .

- Definition: A subset of a space  $S \subset X$  is bounded if there exists a B(r) such that  $r < \infty$  and  $S \subseteq B(r)$  - Totally bounded  $\implies$  bounded - Counterexample to converse:  $\mathbb N$  with the discrete metric. - Equivalent for Euclidean metric - Compact  $\implies$  totally bounded.

Counterexample for problem: the unit ball in any Hilbert (or Banach) space of infinite dimenion is closed, bounded, and not compact.

Proof: Inductively, let  $\mathbf{x}_1 \in B(1,\mathbf{0})$  and  $A_1 = \operatorname{span}(\mathbf{x}_1)$ , then choose  $s = \mathbf{x} + A_1 \in B(1,0)/A_1$  such that  $\|s\| = \frac{1}{2}$  and then a representative  $\mathbf{x}_2$  such that  $\|\mathbf{x}_2\| \le 1$ . Then  $\|\mathbf{x}_2 - \mathbf{x}_1\| \ge \frac{1}{2}$  Then, let  $A_2 = \operatorname{span}(\mathbf{x}_1, \mathbf{x}_2)$ , (which is closed) and repeat this for  $s = \mathbf{x} + A_2 \in B(1,\mathbf{0})/A_2$  to get an  $\mathbf{x}_3$  such that  $\|\mathbf{x}_3 - \mathbf{x}_{\le 2}\| \ge \frac{1}{2}$ .

This produces a non-convergent sequence in the closed ball, so it can not be compact.

Second counterexample:  $(\mathbb{R}, (x, y) \mapsto \frac{|x - y|}{1 + |x - y|})$ .

Best counterexample:  $X = \begin{pmatrix} \mathbb{Z}, \ \rho(x,y) = \begin{cases} 1 & \text{if } x \neq y \\ 0 & \text{if } x = y \end{cases}$ . This metric makes X complete for any X, then take  $\mathbb{N} \subset X$ . All sets are closed, and bounded, so we have a complete, closed, bounded set that is not compact – take that cover  $U_i = B(1,i)$ .

Useful tool:  $(X, d) \cong_{\text{Top}} (X, \min(d(x, y), 1))$  where the RHS is now a bounded space. This preserves all topological properties (e.g. compactness).

#### 5.2 Problem 2

Definition:  $(X, \tau)$  where  $\tau \subseteq \mathcal{P}(X)$  is a topological space iff

- $\emptyset, X \in \tau$
- $\{U_i\}_{i\in I} \subseteq \tau \implies \bigcup_{i\in I} U_i \in \tau$
- $\{U_i\}_{i\in\mathbb{N}}\subseteq\tau\implies\bigcap_{i\in\mathbb{N}}U_i\in\tau$

We can write  $\overline{(X,\tau)} = (X \coprod \{ \mathrm{pt} \}, \tau \bigcup \tau')$  where  $\tau' = \{ U \coprod \{ \mathrm{pt} \} \mid X - U \text{ is compact} \}$ . We need to show that  $T \coloneqq \tau \bigcup \tau'$  forms a topology.

- We have  $\emptyset, X \in \tau \implies \emptyset, X \in \tau \mid J\tau'$ .
- We just need to check that  $\tau'$  is closed under arbitrary unions. Let  $\{U_i\} \subset \tau'$ , so  $X U_i = K_i$  a compact set for each i. Then  $\bigcup_i U_i = \bigcup_i X (X U_i) = \bigcup_i X K_i = X \bigcup_i K_i$

### 5.3 7

Let  $f: S^1 \xrightarrow{\times k} S^1$ .

**Claim:** The inclusion  $S^1 \longrightarrow C_{\varphi}$  induces an isomorphism  $\pi_1(C_{\varphi}) \cong \pi_1(S^1)/H$  where  $H = N_{\pi_1(S^1)}(\langle f^* \rangle)$  is the normal subgroup generated by the induced map  $f^*\pi_1(S^1) \longrightarrow \pi_1(S^1)$ .

Since f is a k-fold cover, the induced map is multiplication by k on the generator  $\alpha \in \pi_1(S^1)$ , i.e.  $\alpha \mapsto \alpha^k$ . But then  $\pi_1(S^1) \cong \mathbb{Z}$  and  $H \cong k\mathbb{Z}$ , so  $\pi_1(C_{\varphi}) \cong \mathbb{Z}/m\mathbb{Z}$ .

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