# Synchronous Model Guide

## Flex Accounting for a Combined Lake Powell-Lake Mead System

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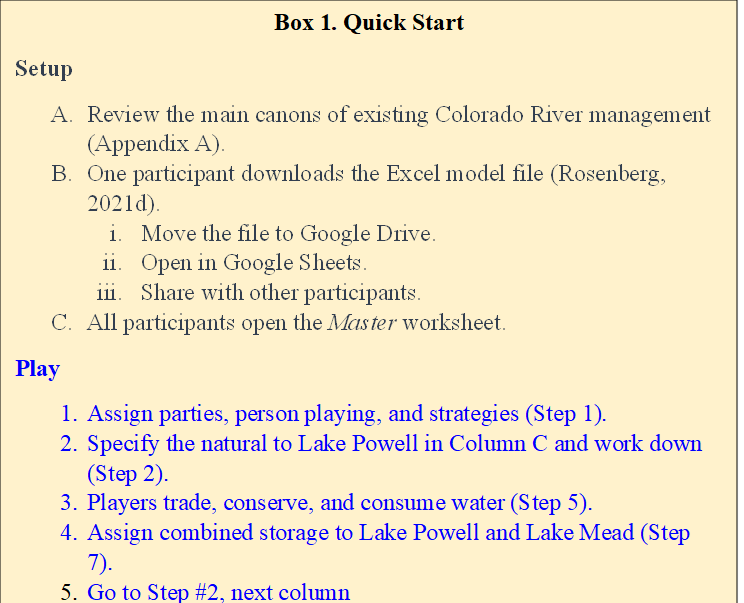
# I**ntroduction**

This document supports participants to use the synchronous model for a combined Lake Powell-Lake Mead system (Rosenberg, 2021d). Synchronous means multiple participants connect to the same cloud model and enter individual water conservation and consumption choices and group decisions as model time progresses year-by-year. The document provides context information for each individual and group choice and explains how choices build on existing Colorado River management (Appendix A). The document also suggest potential values to enter.

Find quick links to support information (the sections of this document) in the Model file, *Master* worksheet, Column N.

# Get Started

Follow the Setup and Play instructions in Box 1. The rest of the document provides guidance on the steps to play.



## Types of Use

The synchronous model can be used in two modes:

1. Synchronously by multiple people where each person plays one or more parties (in Google Drive).
2. By a single person (plays all parties).

Players can explore:

* Water conservation and consumptive use strategies,
* Scenarios of natural flow
* Political decisions such as:
  + Include more parties or stakeholders. split existing reservoir storage among users,
  + Split future inflows among users.
  + Split the combined reservoir storage among reservoirs.

# Step 1. Assign Parties, Person playing, and Strategies

Five parties and one shared, reserve account derive standing from the 1922 Colorado River Compact, U.S.-Mexico Treaty, subsequent Minutes, Upper and Lower Basin drought contingency plan, and desire to include more parties (Table 1)(1922; IBWC, 2021; USBR, 2019; USBR, 2020).

**Table 1. Parties, Reason(s) to include in model, and Potential Strategies**

| **Party** | Reason(s) to Include | **Potential Strategy(s)** |
| --- | --- | --- |
| Upper Basin | Article II(c to g) of the Colorado River Compact (1922). | * Continue to consume ~ 4.0 maf/year. This is historical consumptive use minus Lake Powell Evaporation. * Sell some water, get paid to conserve, and prepare for shortage and curtailment (Rosenberg, 2021e). |
| Lower Basin | Article II(c to g) of the 1922 Colorado River Compact (1922). | * Continue mandatory conservation and cutback from 7.5 maf per year as Lake Mead level declines (USBR, 2019). See cutback schedule in *MandatoryConservation* sheet. * Buy water from other parties to reduce mandatory conservation or save some water for future years. |
| Mexico | 1944 U.S.-Mexico Treaty and subsequent Minutes | * Continue mandatory conservation and cutback from 1.5 maf per year as Lake Mead levels decline (IBWC, 2021). See *MandatoryConservation* sheet. * Conserve additional water beyond mandatory targets. * Sell some water to other parties to gain money to build non-water projects. |
| Colorado River Delta | Section VIII of Minute 323 (IBWC, 2021) | * Save until have enough water for a 0.06 maf pulse flood every few years. * Save until have enough water for a longer duration 0.08 maf pulse flood. Scientists are still figuring our the ecological and other benefits of 0.08 or 0.06 maf pulse flood. * Buy water and make more frequent pulse floods. |
| First Nations | * Include more parties (USBR, 2020) * Tribal water study (Ten Tribes Partnership, 2018) | * Develop and use 2.0 maf per year of rights. * Lease undeveloped water until use. * Save water for future use. |
| Shared, Reserve | Protect Lake Powell and Lake Mead elevations of 3,525 and 1,020 feet (USBR, 2019) | * Maintain combined protection volume (11.6 maf). * Account managed by consensus of all parties. * Assume parties do not agree on releases, so no releases, steady storage. * Transfer some water without payment to party in need. |

Each participant can play one or more roles.

Representatives of individual states — e.g., Utah, Wyoming, Colorado, and New Mexico in the Upper Basin — can team up to role play a party like the Upper Basin. When teaming, draw on prior water sharing agreements such as an Upper Basin Compact where parties share water in specified percentages (Carson et al., 1948).

## 1A. Explain cell types

Four model cell types are defined by fill color (Table 2).

**Table 2. Model Cell Types**



## 1B. Make Assumptions

### **(i) Evaporation rates**

Evaporation rates for Lake Powell and Lake Mead are taken as the midpoint within reported ranges of measurements (Table 3)(Schmidt et al., 2016). Evaporation rates for Lake Mead are presently measured and more reliable than Lake Powell. Lake Powell evaporation rates have not been measured in decades. A sensitivity analysis found that the lower and upper bounds on Lake Mead evaporation rates for a five year study for Lake Mead draw down saw variations of 0.25 maf or less in Lake Mead storage volume (Rosenberg, 2021a).

**Table 3. Reservoir evaporation rates (feet per year)**

|  |  |  |
| --- | --- | --- |
| **Reservoir** | **Midpoint** | **Range** |
| Powell | 5.7 | 4.9 – 6.5 |
| Mead | 6.0 | 5.5 – 6.4 |

### (ii) Start storage

Reservoir start storage is taken from data portals (USBR, 2021b; USBR, 2021c). Text in Column D lists the date.

### (iii) Protection elevations

The reservoir protection elevations of 3,525 feet for Lake Powell and 1,020 feet for Lake Mead are defined in the Upper and Lower Basin Drought Contingency Plans (USBR, 2019). As the reservoirs approach the protection levels, the plans state the parties will consult with the Federal Government to stabilize and prevent the reservoirs from falling below these levels. To stabilize, the parties will need to make reservoir releases plus evaporation less than inflow (Rosenberg, 2021a).

### (iv) The protection volumes

The reservoir protection volumes associated with the Lake Powell and Lake Mead protection elevations become the starting balance for the shared, reserve account. These volumes are calculated from the Elevation-Area-Volume curve for the reservoir. See worksheets *Powell-Elevation-Area* and *Mead-Elevation-Area*.

Participants can enter lower protection levels and volumes than the default values. Lowering will reduce hydropower generation at Glen Canyon Dam and Hoover Dam. Lowering will also raise the temperature of water in Lake Powell, raise Glen Canyon Dam release water temperature, and pressure the native, endangered fish populations of the Grand Canyon. With warmer release water temperatures, non-native fish outcompete the native fish. Colorado River managers recommend to keep the default protection levels for now. Participants can also jointly lower the protection volume later during each year of model time.

### (v) Prior 9-year Lake Powell release

Prior 9-year Lake Powell releaseis 78.1 maf and is the release measured through Glen Canyon Dam from 2012 to 2021 (Figure 1).

### **(vi)** **Prior 9-year Paria River flow**

Prior 9-year Paria River flow is 0.17 maf and measured just before the confluence with the Colorado River at Lee Ferry. We care about the 9-year Lake Powel release and Paria River flow because these volumes tell us how much the Upper Basin must deliver in the next 10th year (1st year of the model) to meet its 10-year delivery requirement of 82.5 maf each decade to the Lower Basin and Mexico via article III(d) of the 1922 Colorado River Compact and 1944 US-Mexico Treaty. Here,

82.5 maf = (7.5)(10) + (1.5/2)(10)

Where 7.5 maf is the Upper Basin’s annual delivery responsibility to the Lower Basin, (1.5/2) is the Upper Basin’s half share of the U.S. responsibility to Mexico, and 10 is a consecutive 10 year period.

### (vii) Delivery to meet 10-year requirement

Upper Basin delivery to meet 10-year requirement is the 82.5 maf requirement minus the Lake Powell Release minus the Paria flow. The Upper Basin must deliver 4.2 maf to the Lower Basin next year at Lee Ferry. The Upper Basin can keep all Lake Powell natural flow above 4.2 maf.



**Figure 1. Lake Powell Releases**

# Step 2. Specify natural inflow to Lake Powell

Specify natural inflow to Lake Powell as the water in the Green, San Juan, mainstem Colorado, and other tributaries that would flow into Lake Powell if there were no upstream human consumptive use or reservoirs. Once a Lake Powell natural flow is specified, the rows below populate with data.

Because future flows are uncertain, we can only specify natural inflow to Lake Powell as a scenario of possible future flows. Specify a scenario from flows reconstructed from the historical (1905 to 2015) or paleo (1416 to 2015) periods (Meko et al., 2017; Prairie, 2020). See workbook tab *HydrologicScenarios* for some flow scenarios from these periods.

Alternatively, look at the mean natural flow of low-flow sequences in the paleo reconstructed or recent observed periods (Figure 2). For example, a 5-year drought that started in 1580 AD had a reconstructed average flow of 9 maf per year.



**Figure 2. Sequence-average plot of the tree-ring reconstructed flow of the Colorado River at Lees Ferry (Salehabadi et al., 2020).**

Or include flows representative of the more recent observations. Use the unregulated inflow tracked and projected by (USBR, 2021d)(Figure 3) and add ~3 maf. The addition represents the non-reservoir consumptive use in the Upper Basin. Figure 4 shows how to conserve from unregulated to natural flow.



**Figure 3. Unregulated flow to Lake Powell (USBR, 2021d). Add ~ 3 maf for natural flow.**



**Figure 4. Process to go from gaged (measured) flow to natural flow (Wheeler et al., 2019).**

Alternatively, devise a time series of flows on your own or adapt values year-to-year as model time progresses.

The model uses natural flow as in input because the model first credits a share of the year’s natural flow to each party’s flex account. Then parties consume and conserve water. Consumed water is subtracted from the account balance to obtain the end-of-year reservoir storage.

## 2A. Intervening Grand Canyon Flow

Enter the intervening flow from the Paria, Little Colorado, and Virgin rivers plus seeps on the Grand Canyon from Glen Canyon Dam and Lake Mead. This intervening flow excludes Lake Powell release or other upstream consumptive use on the rivers. A compilation of USGS gaged data from 1990 to 2020 show a mean intervening Grand Canyon flow of 0.9 maf per year with 5-year sequences below 0.75 maf (Figure 4)(Rosenberg, 2021b). By default, the model uses an intervening Grad Canyon flow of 0.8 maf per year.



**Figure 4. Mean Grand Canyon tributary flow (Glen Canyon Dam to Lake Mead) for different sequence lengths.**

## 2B. Mead to Imperial Dam intervening flow

Enter the intervening flow from Hoover Dam to Imperial dam. By default, this intervening flow is 0.2 maf per year and was estimated from the natural flow data set below Hoover Dam (Prairie, 2020).

## 2C. Havasu/Parker evaporation and evapotranspiration

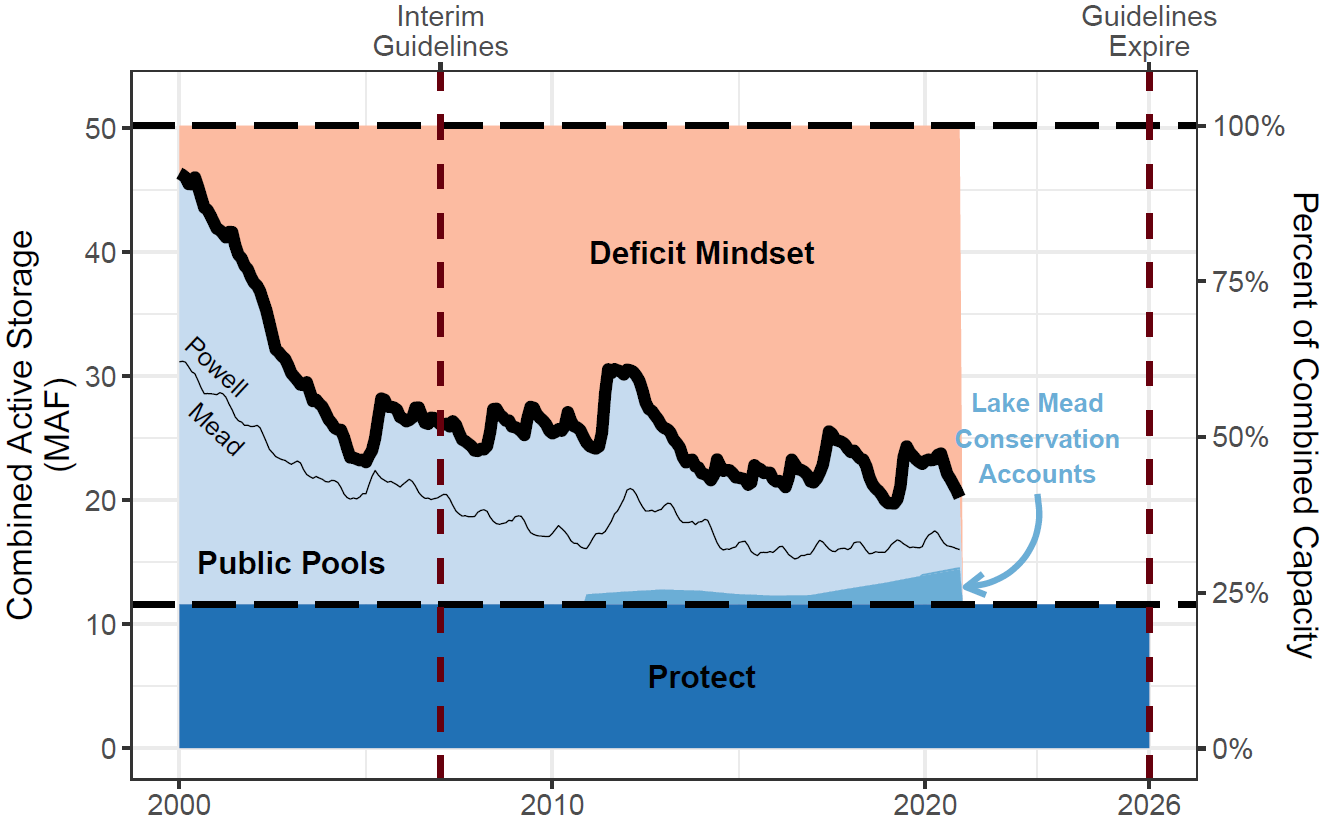
This value results evaporation from Lakes Havasu and Parker and the evapotranspiration of riverbank vegetation from Hoover Dam down to Lake Havasu. A default value of 0.6 maf per year is used and represents the value used in Lake Mead accounting. Find annual estimates of the Havasu/Parker evaporations and evapotranspiration from 1995 to 2014 at USBR (2021a).

# Step 3. Split existing reservoir storage among parties (year 1 only)

Split the starting combined reservoir storage (Figure 5) entered in Section 1B among the parties. These assignments are joint party (political) decisions. There are many possibilities. Here, the default values in Column B apply Interim Guidelines Intentionally Created Surplus (ICS) accounts, the Lower and Upper Basin drought contingency plans and the Minute 323 to the U.S.-Mexico Treaty (IBWC, 2021; USBR, 2019).

* Assign the 11.6 maf protection volume to the shared, reserve account. 11.6 maf is the sum of 5.9 maf protection volume for Lake Powell plus 5.7 maf protection volume for Lake Mead (USBR, 2019).
* Assign the 0.17 maf in Mexico’s Lake Mead conservation account to Mexico (USBR, 2021a).
* Assign the 2.8 maf balance in Lower Basin Lake Mead conservation (ICS) accounts to the Lower Basin.
* Assign the remaining Lake Mead storage to the Lower Basin.
* Assign the remaining storage in Lake Powell to the Upper Basin.
* In these assignments, the Colorado River Delta and First Nations do not get any storage.

In actuality, the parties will negotiate over a share of the existing reservoir storage. This negotiation should be more positive for parties. Parties will get the same or more storage water as they get with current operations. In the current operations there are no Lake Powell storage accounts and only Lake Mead conservation accounts for Lower Basin and Mexico.



**Figure 5. Assign combined Lake Powell and Lake Mead storage (left) to flex accounts (right).**

## 3A. Begin of year reservoir storage

In Year 1 (Column C), beginning of year reservoir storage is the Lake Powell and Lake Mead volumes specified in Section 1B.

In subsequent years (Columns D, E, …), the Lake Powell and Lake Mead storage volumes are the volumes decided by parties at the end of the prior year.

## 3B. Calculate Powell + Mead Evaporation

Reservoir evaporation volume is the product of (i) annual evaporation rate (see Section 1B), and the lake surface areas associated with the current reservoir volumes. Find the Elevation-Storage-Area relationships on the *Powell-Elevation-Area* and *Mead-Elevation-Area* worksheets (far right). Data were download from the Colorado River Simulation System (CRSS) model (Wheeler et al., 2019; Zagona et al., 2001).

The combined reservoir evaporation is divided among flex accounts in proportion to the flex account balance (Equation 1, evaporation terms in maf per year, balance and storage terms in maf).

 Equation 1

For example, if the combined evaporation is 1.0 maf and Upper Basin has 10% of the combined storage, then the Upper Basin is assigned 10% of the combined evaporation or 0.1 maf that year.

## 3C. Calculate Mexico Water Allocation

Mexico’s water allocation is its 1.5 maf per year treaty amount minus mandatory conservation volumes specified in Minutes 319 and 323 for declining Lake Mead levels (Table 4)(IBWC, 2021). The *MandatoryConservation* sheet shows the Mexico and Lower Basin conservation schedules.

**Table 4. Mexico Mandatory Conservation under Minutes 319 and 323**



# Split combined natural inflow among parties

Split the combined natural inflow – natural inflow to Lake Powell, plus intervening Grand Canyon inflow, plus Mead to Imperial Dam intervening inflow, minus Havasu / Parker evaporation and ET – among accounts. This split is a joint party (political) decision. There are lots of ways to split. Table 5 shows one way to split that draws on existing operations.

**Table 5. Assign inflow to parties**

|  |  |  |  |
| --- | --- | --- | --- |
| **Party** | **Volume (MCM)** | **Comment** | **Existing Operations** |
| Shared, Reserve | Varies by Evap. | Assign share of inflow as the account evaporation volume. So inflow equals outflow (evaporation) and the account balance stays steady. | Drought Contingency Plans (USBR, 2019) |
| Colorado River Delta | 0.016 | 0.016 maf is 67% of the 9-year, 0.21 maf volume pledged by the U.S. and Mexico. | Minute 323 (IBWC, 2021) |
| Mexico | 1.5 – mandatory conservation | Mandatory conservation volumes increase as Lake Mead level’s decreases. This volume is the same as Section 3C. | Minutes 319 and 323 (IBWC, 2021) |
| Lower Basin | 7.5 | All remaining Grand Canyon tributary and Mead to Imperial natural flow plus smaller of remaining Lake Powell natural flow or 7.5 maf. | 1922 Compact Article III(d) |
| Upper Basin | Remain | Remaining Lake Powell natural flow. | 1922 Compact Article III(d) |

**Additional notes:**

* In year 1, the Delivery to meet 10-year requirement (Section 1B) is assigned to the Lower Basin. Then, remaining natural flow to Lake Powell is assigned to the Upper Basin. This assignment makes the 10-year delivery to the Lower Basin and Mexico become 82.5 maf as provided by Article III(d) of the 1922 Compact and 1944 U.S.-Mexico Treaty.
* In Years 2 and higher, first split water for the shared, reserve, Colorado River Delta, and Mexico accounts. Then, assign the next 7.5 maf of Lake Powell natural flow to the Lower Basin. Any remaining natural flow goes to the Upper Basin.

# Step 5. Player Dashboards – Conserve, Consume, and Trade

Each player has a dashboard where they can trade, conserve, and consume their available water (Figure 6). 

**Figure 6. Upper Basin Dashboard annotated. A Lake Powell natural flow of 9 maf gives the Upper Basin 5.7 maf of available water to sell or consume.**

Within their dashboard, A participant can:

* Purchase or sell water from other player(s) with compensation.
* Purchases and sales must include at least one other participant so the net trade volume for all players is zero.
* Monitor their available water.

|  |  |
| --- | --- |
|  | (Eq. 2) |

* Purchases add to the available water balance and sales lower the available water balance.

To calculate compensation for trades, multiply the purchase or sell volume in maf by the price in $ per acre-foot. Table 6 shows rough Colorado River water prices.

* For example, a purchase of 0.5 maf at $500 per acre-foot is (0.5)(500) = $250 million.
* If a party buys 0.5 maf at $500 per acre-foot from one party and 0.2 maf at $1,200 per acre-foot from a second party, enter the compensation formula as:

Purchase Cost = (0.5)(500) + (0.2)(1,200) = $850 million.

**Table 6. Rough Colorado River water prices**

|  |  |
| --- | --- |
| Description | Price  ($/acre-foot) |
| Low value agriculture – Upper Basin | $300 - $500 |
| Agriculture - Lower Basin | $700 - $1,000 |
| Desalination in the Sea of Cortez (James, 2021) | $2,000 |

Withdraws and consumptive use must stay within the available water. The withdraw cell fill will turn red when the withdraw exceeds the available water. No withdrawing more money than is in your bank account!

The dashboards repeat for the other parties.

## 5A. Shared, Reserve Dashboard

The dashboard for the shared, reserve account is orange fill – all parties must agree to a purchase or sale (Figure 7).



**Figure 7. All Shared, Reserve Account choices are a joint decision by all parties.**

The current recommendation is keep the shared, reserve account balance steady – no sales or gifts to parties in distress.

Selling or gifting water from the shared, reserve account to other parties will lower Lake Powell and/or Lake Mead levels:

* Reduce the head available for hydropower generation, and speed the time that reservoirs reach their minimum power pools (where they can no longer generate energy). When energy generation declines, Lake Powell and Lake Mead energy producers must go on the spot energy market to purchase the energy shortfall. The synchronous model does not quantify the impacts of reduced hydropower generation.
* Reduce storage in Lake Powell, heat the water temperature of Lake Powell storage (less stratification), increase the release water temperature, and make the native, endangered fish of the Grand Canyon more susceptible to prey by non-native fish. The worksheet *PowellReleaseTemperature* provides a table and figure of the impacts on fish of less water storage in Lake Powell.

Both impacts will depend on how combined storage is split between Lake Powell and Lake Mead (see next section).

# Step 6. Summary of Player Actions

Shows player actions grouped by Purchases and Sales, Account Withdraws, and Account end-of-year balances. These groupings can help see whether sales balanced purchases and also overall water consumption for the year.

## 6A. Combined Storage – End of Year

The combined storage – end of the year is the combined storage in Lake Powell and Lake Mead at the end of the year after all account withdraws and consumptive use. This volume is the sum of the end-of-year- balances in all accounts.

# Step 7. Assign Combined Storage to Powell and Mead

Assign the end-of-year combined storage to Lake Powell and Lake Mead. Enter as percent where 50% splits the combined storage equally between the two reservoirs and 75% places more storage in Lake Powell.

After entering a percent split, the following items will calculate:

### (i) Powell and Mead storage volumes and levels

The model uses the elevation-area-volume relationships for the reservoirs to calculate storage level from volume. See the left and right hand side of Figure 8 for critical levels and volumes such as dead pool (0 maf storage) and minimum power pool (penstock elevations) to avoid.

### (ii) Lake Powell release to achieve Powell and Mead storage volumes

This is the annual release from Lake Powell to achieve the specified storage volumes in Lake Powell and Lake Mead. Compare to numbers such as 7 to 9 maf per year that are the target of equalization releases (Figure 10){USBR, 2007 #2736}. But note that as combined storage declines, it will become harder to achieve the 7-9 maf targets.

A negative release is infeasible and means too much water is already in Lake Mead. Specify a lower percentage in Step 7.

### (iii) Turbine release water temperature

The temperature of water released through the Lake Powell penstocks to generate hydropower. This data is pulled from observations of water temperature (Wheeler et al., 2021, Appendix 1).

### (iv) Suitability of native, endangered fish of the Grand Canyon

As release water temperature rises, the native fish of the Grand Canyon become more susceptible to competition and prey by introduced non-native fish. Table 7 shows the breakpoints of temperature suitability for native fish (Wheeler et al., 2021, Appendix 1).

Box 2 shows an example with 13.8 maf of combined storage to assign 65% of that storage to lake Powell.



## 7A. Consider four issues to split the combined storage between the two reservoirs:

### (i) Protect endangered, native fish of the Grand Canyon

As Lake Powell water storage drops to the turbine release elevation of 3,490 feet (4 maf), the water stored in Lake Powell heats (less stratification), increases release water temperature through the hydropower turbines, and makes the native, endangered fish of the Grand Canyon more susceptible to prey by non-native fish (Figure 8, left). Table 7 summarizes the important Lake Powell elevation break points for fish. To delay these negative impacts on fish, managers can forego release and hydropower generation through the turbines and instead release water through the low elevation river outlets (elevation 3,370 feet; 0 maf). For example, an 18oC release through the river outlets requires 5.9 maf less storage than an 18oC release through hydropower turbines (elevation 3,600 feet on Figure 8 left plot to 3,525 feet on right plot). This information is also presented on the *PowellReleaseTemperature* worksheet.

|  |  |
| --- | --- |
|  |  |

**Figure 8. Lake Powell release water temperatures through the hydropower turbines (elevation 3,490 feet; left) and river outlets (elevation 3,370 feet; right) for different water surface elevations (Wheeler et al., 2021).**

**Table 7. Effects of Summer Lake Powell Elevation on Fish**



### (ii) Reduce Impacts on Hydropower Generation

Reduced water surface elevation reduces hydropower generation and speeds the time that reservoirs reach their minimum power pools (elevations 3,490 and 955 feet in Lake Powell and Lake Mead) where the reservoirs can no longer generate energy. When energy generation declines, Lake Powell and Lake Mead energy producers must go on the spot energy market to purchase the energy shortfall. The synchronous model does not quantify the impacts of reduced hydropower generation.

### (iii) Reduce evaporation loss

The combined evaporated volume changes less than 0.12 maf (red numbers) when preferentially storing water in Lake Mead or Lake Powell (Figure 9). We do not recommend to use reservoir evaporation as a criteria for splitting water between Lake Powell and Lake Mead.



**Figure 9. Combined evaporated volume changes little (red numbers) to preferentially store water in Lake Mead or Lake Powell.**

### (iv) Current operations

Since 2007, the current operations sought to equalize storage in Lake Powell and Lake Mead (enter 50%)(USBR, 2007). Prior to 2007, managers kept more storage in Lake Mead (Figure 10).



**Figure 10. Lake Powell-Lake Mead coordination rules (blue fill) and historical lake levels before and after the 2007 interim guidelines (pink and purple lines)(Wheeler et al., 2019).**

# Step 8. Move to next year

Move to next year. Move to Step 2 Specify natural inflow to Lake Powell in the next year (next column). Repeat Steps 2 to 7 for each year.

The purpose of synchronous model is to provoke thought and discussion about renegotiation of Lake Powell and Lake Mead operations. So continue to play years so long as the discussion provokes new insights.

# Step 8. Finish

Congratulations. You finished! If you wish to provide feedback – things you liked, things to improve – please send an email to david.rosenberg@usu.edu.

# Data, Model, and Code Availability

The data, code, and directions to generate figures in this post are available on Github.com at Rosenberg (2021c).

# Requested Citation

David E. Rosenberg (2021). " Synchronous Model Guidance: Flex Accounting for a Combined Lake Powell-Lake Mead System." Utah State University. <https://github.com/dzeke/ColoradoRiverCoding/tree/main/ModelMusings>.

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