

Automating Separation Logic using SMT

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Motivation

Program with SL specification

```
concat(a: Node, b: Node) returns (res: Node)
  requires lseg(a, null) * lseg(b, null);
  ensures lseg(res, null);
{
  if (a == null)
    return b;

  Node curr := a;

  while (curr.next != null)
    invariant curr != null * lseg(a, curr) * lseg(curr, null);
    curr := curr.next;

  curr.next := b;
  return a;
}
```

← pre/post conditions

↙ loop invariant

Motivation

* and inductive predicates

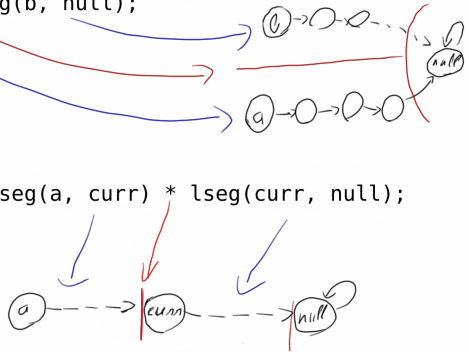
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  requires lseg(a, null) * lseg(b, null);
  ensures lseg(res, null);
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{
  if (a == null)
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```

```
  Node curr := a;
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  while (curr.next != null)
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    curr := curr.next;
```

```
  curr.next := b;
  return a;
}
```



Motivation

Frame inference

```

concat(a: Node, b: Node) returns (res: Node)
  requires lseg(a, null) * lseg(b, null);
  ensures lseg(res, null);
{
  if (a == null)
    return b;

  Node curr := a;

  while (curr.next != null)
    invariant curr != null * lseg(a, curr) * lseg(curr, null);
    curr := curr.next;

  curr.next := b;
  return a;
}

```

Motivation

Adding Data

```
concat(a: Node, b: Node) returns (res: Node)
  requires lslseg(a, null, x) * uslseg(b, null, x);
  ensures slseg(res, null);
{
  if (a == null)
    return b;
  Node curr := a;
  while (curr.next != null)
    invariant curr != null;
    invariant lslseg(a, curr, curr.data) * lslseg(curr, null, x)
    curr := curr.next;
  curr.next := b;
  return a;
}
```

sorted list

upper sorted list

lower sorted list

Our work

- Reduce a decidable fragment of SL to a decidable FO theory.
- Combining SL with other theories.
- Satisfiability, entailment, frame inference, and abduction problems for SL using SMT solvers.
- Implemented in the GRASShopper tool.

Decidable SL fragment: SLL \mathbb{B}

SLL (separation logic formulas for linked lists) introduced in [Berdine et al., 2004].

SLL

$$\Sigma ::= x = y \mid x \neq y \mid x \mapsto y \mid \text{ls}(x, y) \mid \Sigma * \Sigma$$

With extend SLL to SLL \mathbb{B} by adding boolean connective on top:

$$H ::= \Sigma \mid \neg H \mid H \wedge H$$

Semantics of SLLB (1)

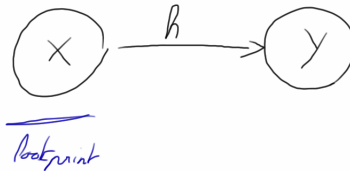
$$\Sigma ::= x = y \mid x \neq y \mid x \mapsto y \mid \text{ls}(x, y) \mid H_1 * H_2$$



Footprint = \emptyset

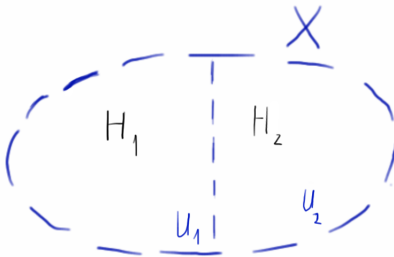


Footprint = \emptyset



Semantics of SLL \mathbb{B} (2)

$$\Sigma ::= x = y \mid x \neq y \mid x \mapsto y \mid \text{ls}(x, y) \mid H_1 * H_2$$



important: $\exists U_1, U_2$

Semantics of SLLB (3)

$$\Sigma ::= x = y \mid x \neq y \mid x \mapsto y \mid \text{ls}(x, y) \mid H_1 * H_2$$



Footprint

\emptyset

$\text{ls}^0(y, y)$

$\text{ls}^1(v, y)$

\vdots
 $\text{ls}^{n-1}(w, y)$

$\text{ls}^n(x, y)$

SLL \mathbb{B} \rightarrow GRASS

Translate SLL \mathbb{B} to a decidable FO theory.

Requirements:

- easy automation with SMT solvers
- well-behaved under theory combination
- no increase in complexity

GRASS: combination of two theories

- structure: *functional graph reachability* (\mathcal{T}_G)
to encode the shape of the heap (pointers)
- footprint: *stratified sets* (\mathcal{T}_S)
to encode the part of the heap used by a formula

GRASS: graph reachability and stratified sets

graph reachability

$$T ::= x \mid h(T)$$

$$A ::= T = T \mid T \xrightarrow{h \setminus T} T$$

$$R ::= A \mid \neg R \mid R \wedge R \mid R \vee R$$

stratified sets

$$S ::= X \mid \emptyset \mid S \setminus S \mid S \cap S \mid S \cup S \mid \{x. R\} \quad x \text{ not below } h \text{ in } R$$

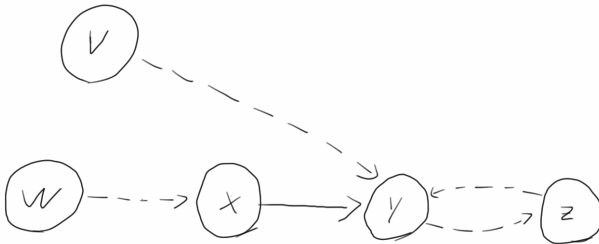
$$B ::= S = S \mid T \in S$$

top level boolean combination

$$F ::= A \mid B \mid \neg F \mid F \wedge F \mid F \vee F$$

\mathcal{T}_G : theory of function graphs

$t_1 \xrightarrow{h \setminus t_3} t_2$ is true if there exists a path in the graph of h that connects t_1 and t_2 without going through t_3 .



$w \xrightarrow{h} w$ (reflexivity)

$\neg v \xrightarrow{h} w$ (no path)

$x \xrightarrow{h} y$ (induced by h)

$\neg x \xrightarrow{h \setminus y} z$ (y is before z)

$Btwn(w, z) = \{y. w \xrightarrow{h \setminus z} y \wedge z \neq y\} = \{w, x, y\}$

SLL \mathbb{B} \rightarrow GRASS (1)

Usual way of translating SL to FO:

- structure: \mathcal{T}_G to encode the shape of the heap (pointers)
- footprint: \mathcal{T}_S to encode the part of the heap used by a formula

Negation (entailment check, frame) \Rightarrow more complicated

- structure: uses \mathcal{T}_G and \mathcal{T}_S to encode the shape of the heap (pointers) and disjointness
- set definition: uses \mathcal{T}_S for keep track of the sets that will make the footprint

SLLB \rightarrow GRASS: interesting cases

$$Tr_X(H) = \text{let } (F, G) = tr_X(H) \text{ in } F \wedge G$$

F is the structure

G is the set definitions.

$$tr_X(\text{ls}(x, y)) = (x \xrightarrow{h} y, X = Btwn(x, y))$$

$$tr_X(\Sigma_1 * \Sigma_2) = \text{let } Y_1, Y_2 \in \mathcal{X} \text{ fresh}$$

$$\text{and } (F_1, G_1) = tr_{Y_1}(\Sigma_1)$$

$$\text{and } (F_2, G_2) = tr_{Y_2}(\Sigma_2)$$

$$\text{in } (F_1 \wedge F_2 \wedge Y_1 \cap Y_2 = \emptyset, X = Y_1 \cup Y_2 \wedge G_1 \wedge G_2)$$

$$tr_X(\neg H) = \text{let } (F, G) = tr_X(H) \text{ in } (\neg F, G)$$

Example: without negation

a non-empty acyclic list segment from x to z

$$x \neq z * x \mapsto y * \text{ls}(y, z)$$

translate to

$$x \neq z \wedge h(x) = y \wedge y \xrightarrow{h} z \wedge Y_2 \cap Y_3 = \emptyset \wedge Y_4 \cap Y_5 = \emptyset \wedge X = Y_1 \wedge Y_1 = Y_2 \cup Y_3 \wedge Y_2 = \emptyset \wedge Y_3 = Y_4 \cup Y_5 \wedge Y_4 = \{x\} \wedge Y_5 = \text{Btwn}(y, z)$$

Example: with negation

a non-empty acyclic list segment from x to z

$$\neg(x \neq z * x \mapsto y * \text{ls}(y, z))$$

with negation

structure (**negated**)

$$x = z \vee h(x) \neq y \vee \neg y \xrightarrow{h} z \vee Y_2 \cap Y_3 \neq \emptyset \vee Y_4 \cap Y_5 \neq \emptyset \vee X \neq Y_1$$

set definitions (**unchanged**)

$$Y_1 = Y_2 \cup Y_3 \wedge Y_2 = \emptyset \wedge Y_3 = Y_4 \cup Y_5 \wedge Y_4 = \{x\} \wedge Y_5 = \text{Btwn}(y, z)$$

Why is that correct ?

Translation: $Tr_X(H) = \text{let } (F, G) = tr_X(H) \text{ in } F \wedge G$

the auxiliary variables Y_i (in G) **are existentially quantified**

below negation, the existential quantifiers should become universal

the Y_i are defined as finite unions of set comprehensions

\rightarrow **satisfiable in any given heap interpretation \mathcal{A}**

Due to the precise semantics of SLLB

\rightarrow **exists exactly one assignment of the Y_i** that makes G true in \mathcal{A}

$\exists Y_1, \dots, Y_n. F \wedge G$ and

$\forall Y_1, \dots, Y_n. G \Rightarrow F$ are equivalent.

Where are we now ?

With the SLL_B to GRASS translation we can

- Check for satisfiability
- Check entailment (reduces to satisfiability of $H_1 \wedge \neg H_2$)

We also have GRASS to SLL_B to

- compute F in $A \models_{\text{SL}} B * F$ (frame)
- compute F in $A * F \models_{\text{SL}} B$ (antiframe)

The details are in the paper.

Combination with other theories and extensions

- The theories \mathcal{T}_G and \mathcal{T}_S are stably infinite with respect to sort node. (Nelson-Oppen)
- Data: we can add data and constraints (see paper for details).
- More pointers: we can extend the signature with field and uses $\bullet \xrightarrow{\bullet \backslash \bullet} \bullet$ with different fields. We can the also do read and write on the fields (array theory).
- More complex data structures, e.g. doubly linked lists

Experimental results

Implementation: GRASSHOPPER available at
<https://cs.nyu.edu/wies/software/grasshopper/>

program	sl		dl		rec sl		sls		program	sl		dl		rec sl		sls	
	#	t	#	t	#	t	#	t		#	t	#	t	#	t	#	t
concat	4	0.1	5	1.3	6	0.6	5	0.2	insert	6	0.2	5	1.5	5	0.2	6	0.4
copy	4	0.2	4	3.9	6	0.8	7	3.5	reverse	4	0.1	4	0.5	6	0.2	4	0.2
filter	7	0.6	5	1.1	8	0.4	5	1.1	remove	8	0.2	8	0.8	7	0.2	7	0.5
free	5	0.1	5	0.3	4	0.1	5	0.1	traverse	4	0.1	5	0.3	3	0.1	4	0.2
insertion sort							10	0.7	double all							7	2.2
merge sort							25	24	pairwise sum							10	20

sl singly-linked list
(loop or recursion)

dl doubly-linked list

sls sorted lists

number of VCs

t total time in s.

Conclusion

- Reduce a decidable fragment of SL to a decidable FO theory.
- Combining SL with other theories.
- Satisfiability, entailment, frame inference, and abduction problems for SL using SMT solvers.
- Implemented in the GRASShopper tool.

Related work

- Most prominent decidable fragments of SL: linked lists [Berdine et al., 2004], decidable in polynomial time [Cook et al., 2011] (graph-based).
- $SL \rightarrow FO$: [Calcagno and Hague, 2005] (no inductive predicate) and [Bobot and Filliâtre, 2012] (not a decidable fragment).
- Alternatives to SL: (implicit) dynamic frames [Kassios, 2011] and region logic [Banerjee et al., 2008, Rosenberg et al., 2012].
- The connection between SL and implicit dynamic frames has been studied in [Parkinson and Summers, 2012].
- SMT-based decision procedures for theories of reachability in graphs [Lahiri and Qadeer, 2008, Wies et al., 2011, Totla and Wies, 2013], decision procedures for theories of stratified sets [Zarba, 2004].



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Back to the future: revisiting precise program verification using SMT solvers.