

Dynamic Programming ... Cont'd

1. **Warshall's Algorithm** - for computing the *transitive closure of a graph* – also known as the **Reachability problem**

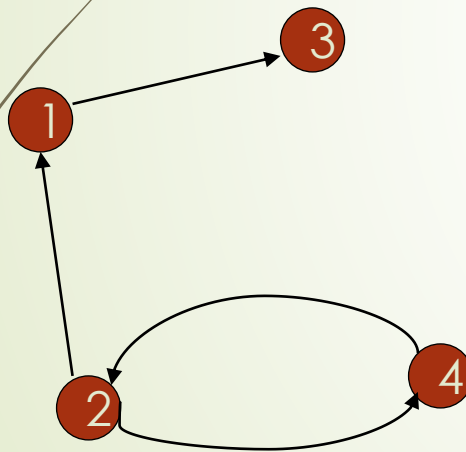
Warshall's algorithm for computing the *transitive closure* of a graph

Given a directed graph G , determine if a vertex j is reachable from another vertex i for all vertex pairs (i, j) in G . Reachable means that there ***is a path from vertex i to j*** . The reachability matrix, $R^{(n)}$, is called the **transitive closure of G**

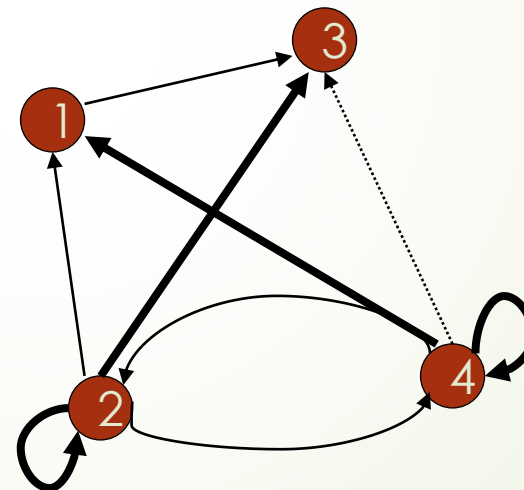
Warshall's Algorithm: Transitive Closure

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- Computes the transitive closure of a relation (also known as the Reachability problem). **Find out all nodes reachable from every node to every other node**
- Alternatively: existence of all nontrivial paths in a digraph
- Example of transitive closure:



0	0	1	0
1	0	0	1
0	0	0	0
0	1	0	0



0	0	1	0
1	1	1	1
0	0	0	0
1	1	1	1

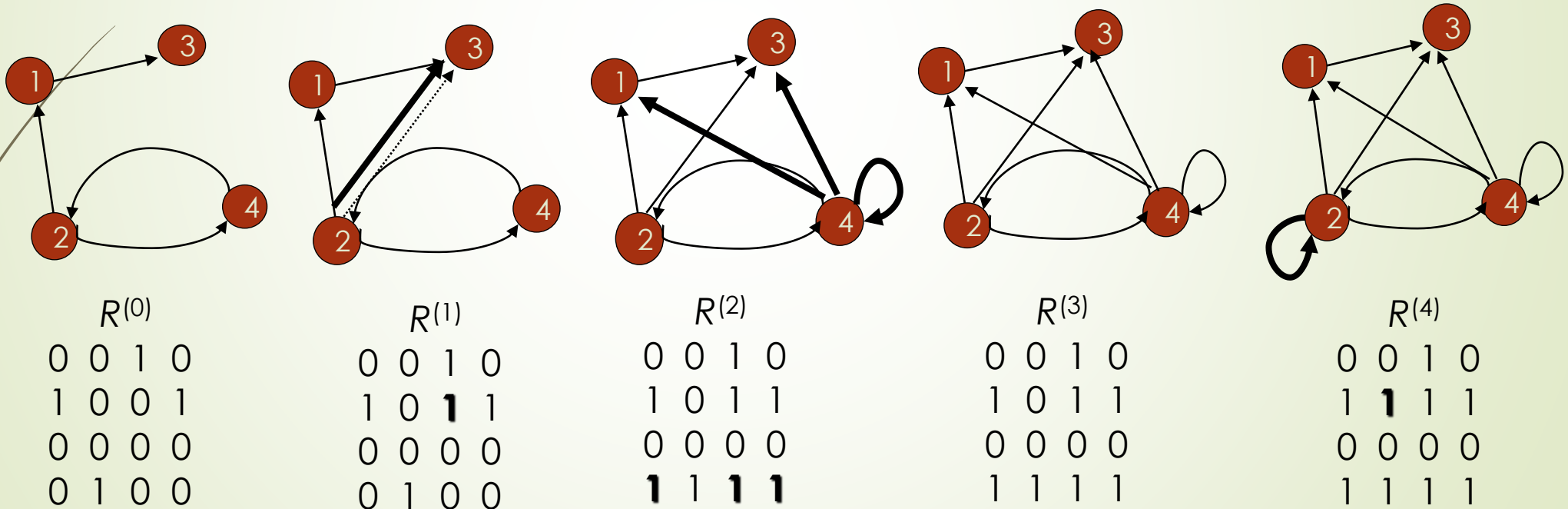
Warshall's Algorithm

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Constructs transitive closure T as the last matrix in the sequence of n -by- n matrices $R^{(0)}, \dots, R^{(k)}, \dots, R^{(n)}$ where

$R^{(k)}[i,j] = 1$ iff there is nontrivial path from i to j with only first k vertices allowed as intermediate

Note that $R^{(0)} = A$ (adjacency matrix), $R^{(n)} = T$ (transitive closure)

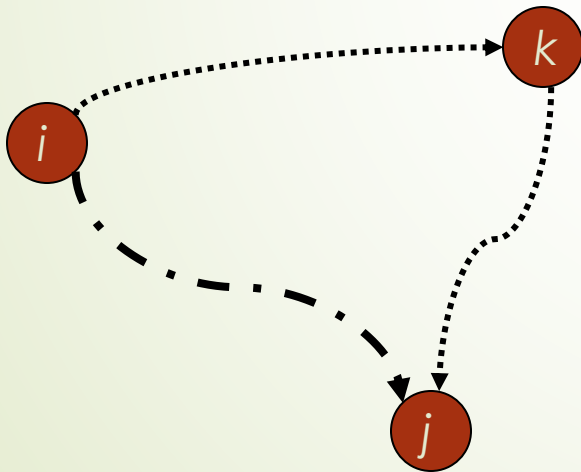


Warshall's Algorithm (recurrence)

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On the k -th iteration, the algorithm determines for every pair of vertices i, j if a path exists from i and j with just vertices $1, \dots, k$ allowed as intermediate

$$R^{(k)}[i,j] = \begin{cases} R^{(k-1)}[i,j] & \text{(path using just } 1, \dots, k-1) \\ \text{or} \\ R^{(k-1)}[i,k] \text{ and } R^{(k-1)}[k,j] & \text{(path from } i \text{ to } k \\ & \text{and from } k \text{ to } j \\ & \text{using just } 1, \dots, k-1) \end{cases}$$



Warshall's Algorithm (matrix generation)

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Recurrence relating elements $R^{(k)}$ to elements of $R^{(k-1)}$ is:

$$R^{(k)}[i,j] = R^{(k-1)}[i,j] \text{ or } (R^{(k-1)}[i,k] \text{ and } R^{(k-1)}[k,j])$$

It implies the following rules for generating $R^{(k)}$ from $R^{(k-1)}$:

Rule 1 If an element in row i and column j is 1 in $R^{(k-1)}$, it remains 1 in $R^{(k)}$

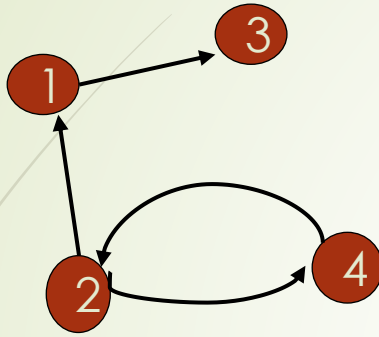
Rule 2 If an element in row i and column j is 0 in $R^{(k-1)}$, it has to be changed to 1 in $R^{(k)}$ if and only if the element in its row i and column k and the element in its column j and row k are both 1's in $R^{(k-1)}$

0	0	1	0
1	0	0	1
0	0	0	0
0	1	0	0

0	0	1	0
1	0	1	1
0	0	0	0
0	1	0	0

Warshall's Algorithm (example)

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$R^{(0)} =$

0	0	1	0
1	0	0	1
0	0	0	0
0	1	0	0

$R^{(1)} =$

0	0	1	0
1	0	1	1
0	0	0	0
0	1	0	0

$R^{(2)} =$

0	0	1	0
1	0	1	1
0	0	0	0
1	1	1	1

$R^{(3)} =$

0	0	1	0
1	0	1	1
0	0	0	0
1	1	1	1

$R^{(4)} =$

0	0	1	0
1	1	1	1
0	0	0	0
1	1	1	1

Rule for calculating $R^{(k)}$ from $R^{(k-1)}$ using Warshall's Algorithm

$$R^{(k-1)} = \begin{matrix} & \begin{matrix} j & k \end{matrix} \\ \begin{matrix} i \\ \uparrow 0 \end{matrix} & \begin{bmatrix} & & \\ 1 & & \\ & & 1 \end{bmatrix} \end{matrix} \longrightarrow R^{(k)} = \begin{matrix} & \begin{matrix} j & k \end{matrix} \\ \begin{matrix} i \\ \uparrow 1 \end{matrix} & \begin{bmatrix} & & \\ 1 & & \\ & 1 & 1 \end{bmatrix} \end{matrix}$$

Warshall's Algorithm (pseudocode and analysis)

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ALGORITHM *Warshall*($A[1..n, 1..n]$)

//Implements Warshall's algorithm for computing the transitive closure

//Input: The adjacency matrix A of a digraph with n vertices

//Output: The transitive closure of the digraph

$R^{(0)} \leftarrow A$

for $k \leftarrow 1$ **to** n **do**

for $i \leftarrow 1$ **to** n **do**

for $j \leftarrow 1$ **to** n **do**

$R^{(k)}[i, j] \leftarrow R^{(k-1)}[i, j] \text{ or } (R^{(k-1)}[i, k] \text{ and } R^{(k-1)}[k, j])$

return $R^{(n)}$

Time efficiency: $\Theta(n^3)$

Space efficiency: Matrices can be written over their predecessors, hence no extra space is required

2. Floyd's algorithm for all-pairs shortest paths

Using Dynamic Programming

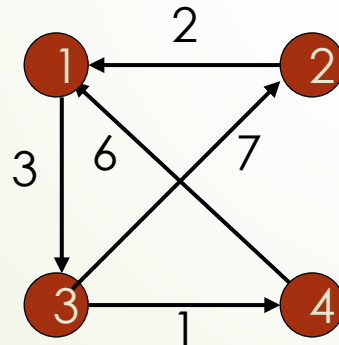
Floyd's Algorithm: All pairs shortest paths

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Problem: In a weighted (di)graph, find shortest paths between every pair of vertices

Same idea: construct solution through series of “distance” matrices $D^{(0)}, \dots, D^{(n)}$ using increasing subsets of the vertices allowed as intermediate

Example:



Original Weight matrix

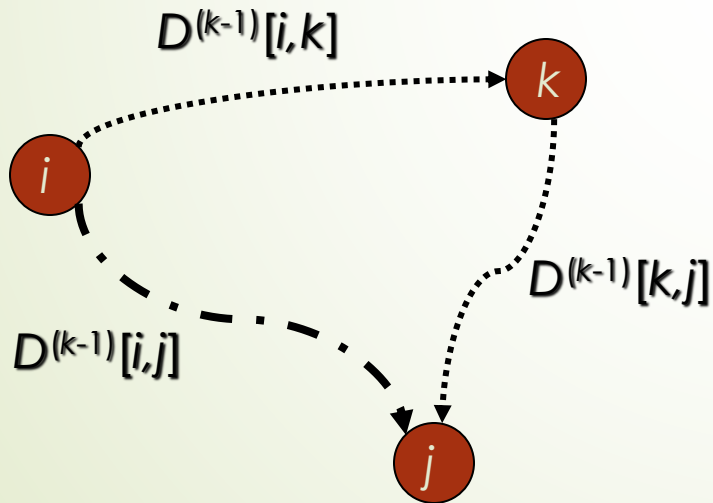
$$D^{(0)} = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{bmatrix} 0 & \infty & 3 & \infty \\ 2 & 0 & \infty & \infty \\ \infty & 7 & 0 & 1 \\ 6 & \infty & \infty & 0 \end{bmatrix} \end{matrix}$$

Floyd's Algorithm (matrix generation)

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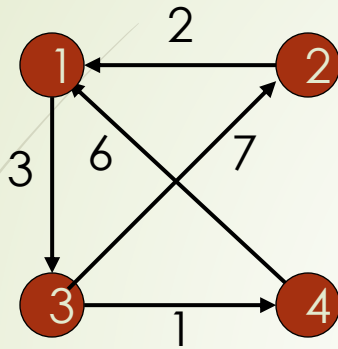
On the k -th iteration, the algorithm determines shortest paths between every pair of vertices i, j that use only vertices among $1, \dots, k$ as intermediate

$$D^{(k)}[i,j] = \min \{D^{(k-1)}[i,j], D^{(k-1)}[i,k] + D^{(k-1)}[k,j]\}$$



Floyd's Algorithm (example)

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$$D^{(0)} =$$

0	∞	3	∞
2	0	∞	∞
∞	7	0	1
6	∞	∞	0

$$D^{(1)} =$$

0	∞	3	∞
2	0	5	∞
∞	7	0	1
6	∞	9	0

$$D^{(2)} =$$

0	∞	3	∞
2	0	5	∞
9	7	0	1
6	∞	9	0

$$D^{(3)} =$$

0	10	3	4
2	0	5	6
9	7	0	1
6	16	9	0

$$D^{(4)} =$$

0	10	3	4
2	0	5	6
7	7	0	1
6	16	9	0

$$D^{(k)}[i,j] = \min \{D^{(k-1)}[i,j], D^{(k-1)}[i,k] + D^{(k-1)}[k,j]\}$$

Floyd's Algorithm (pseudocode and analysis)

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ALGORITHM *Floyd*($W[1..n, 1..n]$)

//Implements Floyd's algorithm for the all-pairs shortest-paths problem

//Input: The weight matrix W of a graph with no negative-length cycle

//Output: The distance matrix of the shortest paths' lengths

$D \leftarrow W$ //is not necessary if W can be overwritten

for $k \leftarrow 1$ **to** n **do**

for $i \leftarrow 1$ **to** n **do**

for $j \leftarrow 1$ **to** n **do**

$D[i, j] \leftarrow \min\{D[i, j], D[i, k] + D[k, j]\}$

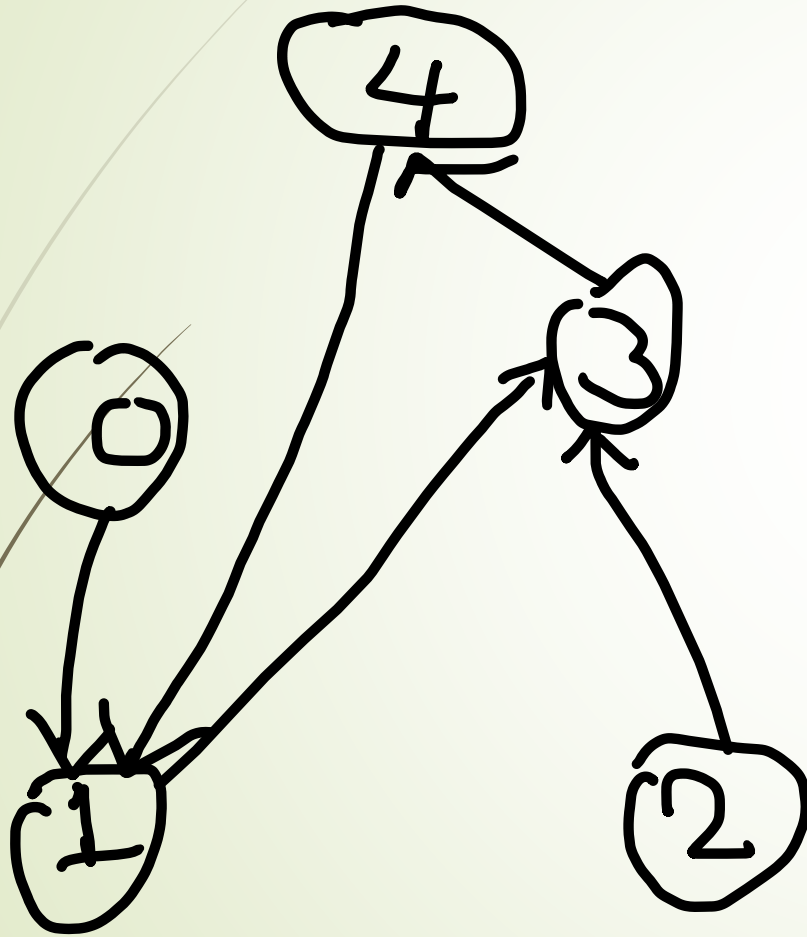
return D

Time efficiency: $\Theta(n^3)$

Space efficiency: Matrices can be written over their predecessors, hence none

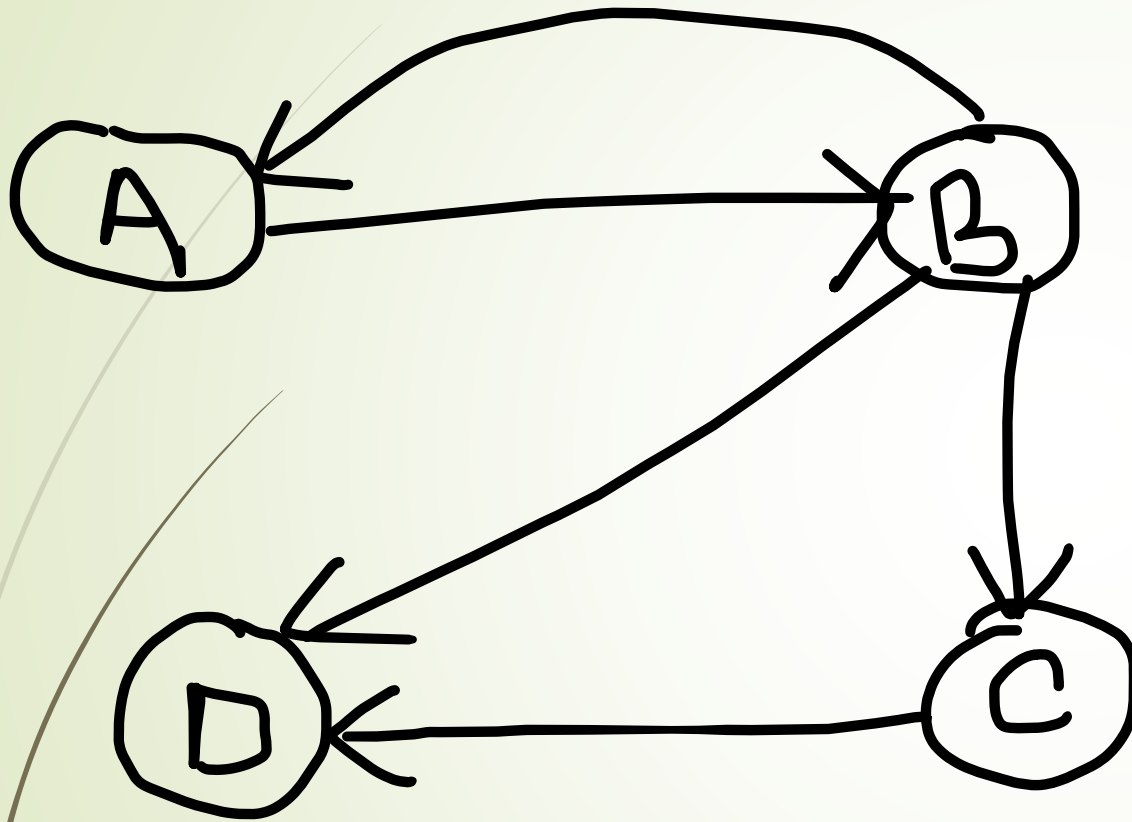
Note: Shortest paths themselves can be found, too (Problem 10 in Section 8.4)

In-class exercises ... 1



5. Using dynamic programming, Find the transitive close $A^{(5)}$ for the graph shown on the left.

In-class exercises ... 2



4. Consider the graph shown here on the left. Making use of the Warshall's Algorithm, find the Transitive Closure for the said graph.

In-class exercises - 3

Solve the all-pairs shortest-path problem for the digraph with the weight matrix

$$\begin{bmatrix} 0 & 2 & \infty & 1 & 8 \\ 6 & 0 & 3 & 2 & \infty \\ \infty & \infty & 0 & 4 & \infty \\ \infty & \infty & 2 & 0 & 3 \\ 3 & \infty & \infty & \infty & 0 \end{bmatrix}$$

Dynamic Programming ... Cont'd

3. Knapsack problem

Using Dynamic Programming

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3. Knapsack Problem by Dynamic Programming

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Given n items of

integer weights: $w_1 \ w_2 \ \dots \ w_n$

values: $v_1 \ v_2 \ \dots \ v_n$

a knapsack of integer capacity W

find most valuable subset of the items that fit into the knapsack

Knapsack Problem by DP

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Given n items of

integer weights: $w_1 \ w_2 \ \dots \ w_n$

values: $v_1 \ v_2 \ \dots \ v_n$

a knapsack of integer capacity W

find most valuable subset of the items that fit into the knapsack

Consider instance defined by first i items ($i \leq n$) and capacity j ($j \leq W$).

Let $V[i,j]$ be optimal value of such instance. The i^{th} item may or may not be part of the optimal solution

- If item i is part of the optimal solution, then the value of the optimal solution is $v_i + V[i-1, j-w_i]$
- If item i is not part of the optimal solution, then the value of the optimal solution is $V[i-1, j]$

Knapsack Problem by DP

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Consider instance defined by first i items ($i \leq n$) and capacity j ($j \leq W$).

Let $V[i,j]$ be optimal value of such instance. The i^{th} item may or may not be part of the optimal solution

- If item i is part of the optimal solution, then the value of the optimal solution is $v_i + V[i-1, j-w_i]$
- If item i is not part of the optimal solution, then the value of the optimal solution is $V[i-1, j]$

$$V[i,j] = \begin{cases} \max \{V[i-1, j], v_i + V[i-1, j-w_i]\} & \text{if } j-w_i \geq 0 \\ V[i-1, j] & \text{if } j-w_i < 0 \end{cases}$$

Initial conditions: $V[0,j] = 0$ and $V[i,0] = 0$, we can also initialize the remaining cells to -1, to help us know when to call a recursive function. So we will use **Memoization + Recursion**

Knapsack Problem by DP (example)

Example: Knapsack of capacity $W = 5$

item	weight	value
1	2	\$12
2	1	\$10
3	3	\$20
4	2	\$15

$$V[i,j] = \begin{cases} \max \{V[i-1,j], v_i + V[i-1,j-w_i]\} & \text{if } j-w_i \geq 0 \\ V[i-1,j] & \text{if } j-w_i < 0 \end{cases}$$

Initial conditions: $V[0,j] = 0$ and $V[i,0] = 0$

		capacity j					
		0	1	2	3	4	5
item i	0						
	$w_1 = 2, v_1 = 12$	1					
	$w_2 = 1, v_2 = 10$	2					
	$w_3 = 3, v_3 = 20$	3					
	$w_4 = 2, v_4 = 15$	4					?

Knapsack Problem by DP (example)

Example: Knapsack of capacity $W = 5$

item	weight	value
1	2	\$12
2	1	\$10
3	3	\$20
4	2	\$15

$$V[i,j] = \begin{cases} \max \{V[i-1,j], v_i + V[i-1,j-w_i]\} & \text{if } j-w_i \geq 0 \\ V[i-1,j] & \text{if } j-w_i < 0 \end{cases}$$

Initial conditions: $V[0,j] = 0$ and $V[i,0] = 0$

		capacity j					
		0	1	2	3	4	5
item i	0	0	0	0	0	0	0
	$w_1 = 2, v_1 = 12$ 1	0					
	$w_2 = 1, v_2 = 10$ 2	0					
	$w_3 = 3, v_3 = 20$ 3	0					
	$w_4 = 2, v_4 = 15$ 4	0					

Knapsack Problem by DP (example)

Example: Knapsack of capacity $W = 5$

item	weight	value
1	2	\$12
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3	3	\$20
4	2	\$15

$$V[i,j] = \begin{cases} \max \{V[i-1,j], v_i + V[i-1,j-w_i]\} & \text{if } j-w_i \geq 0 \\ V[i-1,j] & \text{if } j-w_i < 0 \end{cases}$$

Initial conditions: $V[0,j] = 0$ and $V[i,0] = 0$

		capacity j					
		0	1	2	3	4	5
item i	0	0	0	0	0	0	0
	$w_1 = 2, v_1 = 12$	1	0	0	0	0	0
	$w_2 = 1, v_2 = 10$	2	0	0	0	0	0
	$w_3 = 3, v_3 = 20$	3	0	0	0	0	0
	$w_4 = 2, v_4 = 15$	4	0	0	0	0	0

When $i = 1$, and $j = 1$, $j - w_i = 1 - 2 < 0$

So $V[1,1] = V[0,1]$

Knapsack Problem by DP (example)

Example: Knapsack of capacity $W = 5$

item	weight	value
1	2	\$12
2	1	\$10
3	3	\$20
4	2	\$15

$$V[i,j] = \begin{cases} \max \{V[i-1,j], v_i + V[i-1,j-w_i]\} & \text{if } j-w_i \geq 0 \\ V[i-1,j] & \text{if } j-w_i < 0 \end{cases}$$

Initial conditions: $V[0,j] = 0$ and $V[i,0] = 0$

		capacity j					
		0	1	2	3	4	5
item i	0	<u>0</u>	0	<u>0</u>	0	0	0
	$w_1 = 2, v_1 = 12$	1	0	12			
	$w_2 = 1, v_2 = 10$	2	0				
	$w_3 = 3, v_3 = 20$	3	0				
	$w_4 = 2, v_4 = 15$	4	0				

When $i = 1$, and $j = 2$, $j - w_i = 2 - 2 = 0$

So $V[1,2] = \max(V[0,2], 12 + V[0,0])$

$= \max(0, 12 + 0)$

$= 12$

Knapsack Problem by DP (example)

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Example: Knapsack of capacity $W = 5$

item	weight	value
1	2	\$12
2	1	\$10
3	3	\$20
4	2	\$15

$$V[i,j] = \begin{cases} \max \{V[i-1,j], v_i + V[i-1,j-w_i]\} & \text{if } j-w_i \geq 0 \\ V[i-1,j] & \text{if } j-w_i < 0 \end{cases}$$

Initial conditions: $V[0,j] = 0$ and $V[i,0] = 0$

			capacity j						
			0	1	2	3	4	5	
item i		0	0	0	0	0	0	0	
	$w_1 = 2, v_1 = 12$	1	0	0	12	12			
	$w_2 = 1, v_2 = 10$	2	0						
	$w_3 = 3, v_3 = 20$	3	0						
	$w_4 = 2, v_4 = 15$	4	0						

When $i = 1$, and $j = 3$, $j - w_i = 3 - 2 = 1 \geq 0$

So $V[1,3] = \max(V[0,3], 12 + V[0,1])$

$= \max(0, 12 + 0)$

$= 12$

Knapsack Problem by DP (example)

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Example: Knapsack of capacity $W = 5$

item	weight	value
1	2	\$12
2	1	\$10
3	3	\$20
4	2	\$15

$$V[i,j] = \begin{cases} \max \{V[i-1,j], v_i + V[i-1,j-w_i]\} & \text{if } j-w_i \geq 0 \\ V[i-1,j] & \text{if } j-w_i < 0 \end{cases}$$

Initial conditions: $V[0,j] = 0$ and $V[i,0] = 0$

			capacity j						
			0	1	2	3	4	5	
item i		0	0	0	0	0	0	0	
	$w_1 = 2, v_1 = 12$	1	0	0	12	12	12		
	$w_2 = 1, v_2 = 10$	2	0						
	$w_3 = 3, v_3 = 20$	3	0						
	$w_4 = 2, v_4 = 15$	4	0						

When $i = 1$, and $j = 4$, $j - w_i = 4 - 2 = 2 \geq 0$

So $V[1,4] = \max(V[0,4], 12 + V[0,2])$

$= \max(0, 12 + 0)$

$= 12$

Knapsack Problem by DP (example)

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Example: Knapsack of capacity $W = 5$

item	weight	value
1	2	\$12
2	1	\$10
3	3	\$20
4	2	\$15

$$V[i,j] = \begin{cases} \max \{V[i-1,j], v_i + V[i-1,j-w_i]\} & \text{if } j-w_i \geq 0 \\ V[i-1,j] & \text{if } j-w_i < 0 \end{cases}$$

Initial conditions: $V[0,j] = 0$ and $V[i,0] = 0$

		capacity j					
		0	1	2	3	4	5
item i	0	0	0	0	0	0	0
	$w_1 = 2, v_1 = 12$	0	0	12	12	12	12
	$w_2 = 1, v_2 = 10$	0					
	$w_3 = 3, v_3 = 20$	0					
	$w_4 = 2, v_4 = 15$	0					

When $i = 1$, and $j = 5$, $j-w_i = 5 - 2 = 3 \geq 0$

So $V[1,5] = \max(V[0,5], 12+V[0,3])$

$= \max(0, 12+0)$

$= 12$

Knapsack Problem by DP (example)

Example: Knapsack of capacity $W = 5$

item	weight	value
1	2	\$12
2	1	\$10
3	3	\$20
4	2	\$15

$$V[i,j] = \begin{cases} \max \{V[i-1,j], v_i + V[i-1,j-w_i]\} & \text{if } j-w_i \geq 0 \\ V[i-1,j] & \text{if } j-w_i < 0 \end{cases}$$

Initial conditions: $V[0,j] = 0$ and $V[i,0] = 0$

		capacity j					
		0	1	2	3	4	5
item i	0	0	0	0	0	0	0
	$w_1 = 2, v_1 = 12$	0	0	12	12	12	12
	$w_2 = 1, v_2 = 10$	0	10				
	$w_3 = 3, v_3 = 20$	0					
	$w_4 = 2, v_4 = 15$	0					

When $i = 2$, and $j = 1$, $j - w_i = 1 - 1 = 0$

So $V[2,1] = \max(V[1,1], 10 + V[1,0])$

$= \max(0, 10 + 0)$

$= 10$

Knapsack Problem by DP (example)

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Example: Knapsack of capacity $W = 5$

item	weight	value
1	2	\$12
2	1	\$10
3	3	\$20
4	2	\$15

$$V[i,j] = \begin{cases} \max \{V[i-1,j], v_i + V[i-1,j-w_i]\} & \text{if } j-w_i \geq 0 \\ V[i-1,j] & \text{if } j-w_i < 0 \end{cases}$$

Initial conditions: $V[0,j] = 0$ and $V[i,0] = 0$

		capacity j					
		0	1	2	3	4	5
item i	0	0	0	0	0	0	0
	$w_1 = 2, v_1 = 12$	0	<u>0</u>	<u>12</u>	12	12	12
	$w_2 = 1, v_2 = 10$	0	10	12			
	$w_3 = 3, v_3 = 20$	0					
	$w_4 = 2, v_4 = 15$	0					

When $i = 2$, and $j = 2$, $j - w_i = 2 - 1 = 1$

So $V[2,2] = \max(V[1,2], 10 + V[1,1])$

$= \max(12, 10 + 0)$

$= 12$

Knapsack Problem by DP (example)

Example: Knapsack of capacity $W = 5$

item	weight	value
1	2	\$12
2	1	\$10
3	3	\$20
4	2	\$15

$$V[i,j] = \begin{cases} \max \{V[i-1,j], v_i + V[i-1,j-w_i]\} & \text{if } j-w_i \geq 0 \\ V[i-1,j] & \text{if } j-w_i < 0 \end{cases}$$

Initial conditions: $V[0,j] = 0$ and $V[i,0] = 0$

			capacity j					
			0	1	2	3	4	5
item i		0	0	0	0	0	0	0
	$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
	$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
	$w_3 = 3, v_3 = 20$	3	0	10	12	22	30	32
	$w_4 = 2, v_4 = 15$	4	0	10	15	25	30	37

Knapsack Problem by DP (example)

Example: Knapsack of capacity $W = 5$

item	weight	value
1	2	\$12
2	1	\$10
3	3	\$20
4	2	\$15

$$V[i,j] = \begin{cases} \max \{V[i-1,j], v_i + V[i-1,j-w_i]\} & \text{if } j-w_i \geq 0 \\ V[i-1,j] & \text{if } j-w_i < 0 \end{cases}$$

Initial conditions: $V[0,j] = 0$ and $V[i,0] = 0$

			capacity j					
			0	1	2	3	4	5
item i		0	0	0	0	0	0	0
	$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
	$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
	$w_3 = 3, v_3 = 20$	3	0	10	12	22	30	32
	$w_4 = 2, v_4 = 15$	4	0	10	15	25	30	37

Composition of solution:

Item 4 (value 15)

Item 2 (value 10)

Item 1 (value 12)

Knapsack Problem by DP with Memoization (example)

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Example: Knapsack of capacity $W = 5$

item	weight	value
1	2	\$12
2	1	\$10
3	3	\$20
4	2	\$15

$$V[i,j] = \begin{cases} \max \{V[i-1,j], v_i + V[i-1,j-w_i]\} & \text{if } j-w_i \geq 0 \\ V[i-1,j] & \text{if } j-w_i < 0 \end{cases}$$

Initial conditions: $V[0,j] = 0$ and $V[i,0] = 0$

		capacity j					
		0	1	2	3	4	5
item i	0	0	0	0	0	0	0
	$w_1 = 2, v_1 = 12$ 1	0	0	12	12	12	12
	$w_2 = 1, v_2 = 10$ 2	0	10	12	22	22	22
	$w_3 = 3, v_3 = 20$ 3	0	10	12	22	30	32
	$w_4 = 2, v_4 = 15$ 4	0	10	15	25	30	37

Knapsack Problem using Memoization (DP)

ALGORITHM *MFKnapsack*(*i*, *j*)

```
//Implements the memory function method for the knapsack problem
```

//Input: A nonnegative integer i indicating the number of the first

// items being considered and a nonnegative integer j indicating

// the knapsack's capacity

```
//Output: The value of an optimal feasible subset of the first  $i$  items
```

```
//Note: Uses as global variables input arrays Weights[1..n], Values[1..n],
```

//and table $V[0..n, 0..W]$ whose entries are initialized with -1 's except for

```
//row 0 and column 0 initialized with 0's
```

if $V[i, j] < 0$

```

if  $j < Weights[i]$ 

```

$$value \leftarrow MFKnapsack(i - 1, j)$$
else
$$value \leftarrow \max(MFKnapsack(i-1, j), \\ Values[i] + MFKnapsack(i-1, j - Weights[i]))$$
$$V[i, j] \leftarrow value$$

```

return  $V[i, j]$ 

```

Runtime of algorithm: $O(nW)$

Space requirements: $O(nW)$

Runtime to determine the composition of the optimal solution: $O(n)$

In-class exercises

2. A knapsack has a maximum capacity of 60. There are 4 items with weights {20, 30, 40, 70} and values {70, 80, 90, 200}. Using dynamic programming, determine the maximum value of the items that can be carried in the knapsack.

3. Consider 3 items with the following : item 1 has weight 5 and value 4, item 2 has weight 12 and value 10 and item 3 has weight 8 and value 5. The total capacity of the knapsack is 11. Using dynamic programming, find the **maximum number of items** that can fit into the knapsack as well as **this maximum value**.