

# Deep Convolutional Encoder Networks for Multiple Sclerosis Lesion Segmentation

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**Abstract.** We propose a novel segmentation approach based on deep convolutional encoder networks and apply it to the segmentation of multiple sclerosis (MS) lesions in magnetic resonance images (MRIs). Our model is a neural network that is both convolutional and deconvolutional, and combines feature extraction and segmentation prediction in a single model. The joint training of the feature extraction and prediction layers allows the model to automatically learn features that are optimized for accuracy for any given combination of image types and application. In contrast to existing automatic feature learning approaches, which are typically patch-based, our model learns features from entire images, which eliminates patch selection and reduces redundant calculations at the overlap of neighboring patches and thereby speeds up the training. We have evaluated our method on the publicly available labeled cases from the MS Lesion Segmentation Challenge 2008 data set, showing that our method performs comparably to the state-of-the-art. In addition, we have evaluated our method on 500 images (split equally into training and test sets) from a data set from an MS clinical trial, showing that the segmentation performance can be greatly improved by having a representative training set.

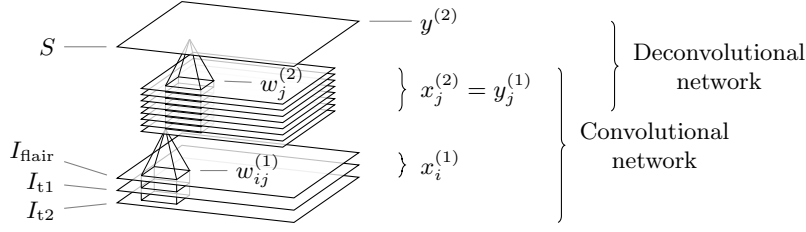
**Keywords:** Multiple sclerosis lesions, segmentation, MRI, machine learning, unbalanced classification, deep learning, convolutional neural nets

## 1 Introduction

Multiple sclerosis (MS) is an inflammatory and demyelinating disease of the central nervous system, which is characterized by the formation of lesions, primarily visible in the white matter on conventional magnetic resonance images (MRIs). Imaging biomarkers based on the delineation of lesions, such as lesion load and lesion count, have established their importance for assessing disease progression and treatment affect. However, lesions vary greatly in shape, intensity and location, which makes their automatic and accurate segmentation challenging. Many automatic methods have been proposed for the segmentation of MS lesions over the last two decades, which can be classified into unsupervised and supervised methods. Unsupervised methods do not require a labeled data set for training.

Instead, lesions are identified as an outlier class using, e.g., clustering methods [1] or dictionary learning and sparse coding [2] to model healthy tissue. Current supervised approaches typically start with a large set of features, either predefined by the user [3] or gathered in a feature extraction step, which is followed by a separate training step with labeled data to determine which set of features are the most important for segmentation in the particular domain. For example, Yoo et al. [4] proposed performing unsupervised learning of domain-specific features from image patches from unlabelled data using deep learning. The most closely related methodology to our currently proposed one comes from the domain of cell membrane segmentation, in which Ciresan et al. proposed to classify the center of image patches directly using a convolutional neural network [5] without a dedicated feature extraction step [6]. Instead, features are learned indirectly within the lower layers of the neural network during training, while the higher layers can be regarded as performing the classification. In contrast to unsupervised feature learning, this approach allows the learning of features that are specifically tuned to the segmentation task. Although deep network-based feature learning methods have shown great potential for image segmentation, the time required to train complex patch-based methods can make the approach infeasible when the size and number of patches are large.

In this paper, we propose a new method for segmenting MS lesions that feeds entire MRI volumes through a neural network with a novel objective function to automatically learn features tuned for lesion segmentation. By processing entire volumes, our model scales up more efficiently with image resolution than patch-based approaches, which allows our model to take advantage of large data sets. The improved efficiency of our model is due to the elimination of redundant calculations at the overlap of neighboring patches, which speeds up the training and removes the need to select representative patches. Our neural network is composed of three layers: an input layer composed of the image voxels of different modalities, a convolutional layer [5] that extracts features from the input layer at each voxel location, and a deconvolutional layer [7] that uses the extracted features to generatively predict a lesion mask and thereby classify each voxel of the image in a single operation. The entire network is trained at the same time, which enables feature learning to be driven by segmentation performance. The proposed network is similar in architecture to a convolutional auto-encoder [8] but instead of learning a lower dimensional representation of the input images themselves, the output of our network are the predicted lesion masks. Due to the structural similarity to convolutional auto-encoders, we will call our model a convolutional encoder network (CEN). Traditionally, neural networks are trained by back-propagating the sum of squared differences (SSD) of the predicted and expected outputs. However, if one class is greatly underrepresented, as is the case for lesions, which typically comprise less than 1 % of the image voxels, the algorithm would learn to ignore the minority class completely. To overcome this problem, we propose a new objective function based on a weighted combination of sensitivity and specificity, designed to deal with unbalanced classes and formulated to allow stable gradient computations.



**Fig. 1.** Convolutional encoder network used to segment MS lesion,  $S$ , in multi-modal images,  $I = (I_{\text{FLAIR}}, I_{\text{T1}}, I_{\text{T2}})$ . The first two layers form a convolutional neural network with trainable filter kernels  $w_{ij}^{(1)}$ , and the last two layers form a deconvolutional neural network with trainable filter kernels  $w_j^{(2)}$ .

## 2 Methods

In this paper, the task of segmenting MS lesions is defined as finding a function  $s$  that maps multi-modal images  $I$ , e.g.,  $I = (I_{\text{FLAIR}}, I_{\text{T1}}, I_{\text{T2}})$ , to corresponding lesion masks  $S$ . Given a set of training images  $I_n$ ,  $n \in \mathbb{N}$ , and corresponding segmentations  $S_n$ , we model finding an appropriate function for segmenting MS lesions as an optimization problem of the following form

$$\hat{s} = \arg \min_{s \in \mathcal{S}} \sum_n E(S_n, s(I_n)). \quad (1)$$

where  $\mathcal{S}$  is the set of possible segmentation functions, and  $E$  is an error measure that calculates the dissimilarity between ground truth segmentations and predicted segmentations.

The set of possible segmentation functions is modeled by the convolutional encoder network illustrated in Fig. 1. Our network consists of three layers: an input layer, a convolutional layer, and a deconvolutional layer. The input layer is composed of the image voxels  $x_i^{(1)}(\mathbf{p})$ ,  $i \in [1, C]$ ,  $C \in \mathbb{N}$ , where  $i$  indexes the modality,  $C$  is the number of modalities, and  $\mathbf{p} \in \mathbb{R}^3$  are the coordinates of a particular voxel. The convolutional layer automatically learns features from the input images. It is a deterministic function of the following form

$$y_j^{(1)} = \max \left( 0, \sum_{i=1}^C \tilde{w}_{ij}^{(1)} * x_i^{(1)} + b_j^{(1)} \right) \quad (2)$$

where  $y_j^{(1)}$ ,  $j \in [1, F]$ ,  $F \in \mathbb{N}$ , denotes the feature map corresponding to the trainable convolution filter  $w_{ij}^{(1)}$ ,  $F$  is the number of filters,  $b_j$  is a trainable bias term,  $*$  denotes valid convolution, and  $\tilde{w}$  denotes a flipped version of  $w$ . The deconvolutional layer uses the extracted features to calculate a probabilistic lesion mask as follows

$$y^{(2)} = \text{sigm} \left( \sum_{j=1}^F w_j^{(2)} \otimes x_j^{(2)} + b^{(2)} \right) \quad (3)$$

where  $x_j^{(2)} = y_j^{(1)}$ ,  $w_j^{(2)}$  and  $b^{(2)}$  are trainable parameters,  $\otimes$  denotes full convolution, and  $\text{sigm}(x)$  denotes the sigmoid function defined as  $\text{sigm}(z) = (1 + \exp(-z))^{-1}$ ,  $z \in \mathbb{R}$ . To obtain a binary lesion mask from the probabilistic output of our model, we chose a fixed threshold such that the average Dice similarity coefficient (DSC) is maximized on the training set.

The parameters of the model can be efficiently learned by minimizing the error  $E$  on the training set using stochastic gradient descent (SGD) [5]. Typically, neural networks are trained by minimizing the sum of squared differences (SSD)

$$E = \frac{1}{2} \sum_{\mathbf{p}} \left( S(\mathbf{p}) - y^{(2)}(\mathbf{p}) \right)^2. \quad (4)$$

The partial derivatives of the error with respect to the model parameters can be calculated using the delta rule and are given by

$$\frac{\partial E}{\partial w_j^{(2)}} = \delta^{(2)} * \tilde{x}_j^{(2)}, \quad \frac{\partial E}{\partial b^{(2)}} = \frac{1}{N^3} \sum_{\mathbf{p}} \delta^{(2)}(\mathbf{p}) \quad (5)$$

with

$$\delta^{(2)} = (y^{(2)} - S)y^{(2)}(1 - y^{(2)}) \quad (6)$$

where  $N^3$  is the number of voxels of a single input channel. The derivatives of the error with respect to the first layer parameters can be calculated by applying the chain rule of partial derivatives and is given by

$$\frac{\partial E}{\partial w_{ij}^{(1)}} = x_i^{(1)} * \tilde{\delta}_j^{(1)}, \quad \frac{\partial E}{\partial b_j^{(1)}} = \frac{1}{M^3} \sum_{\mathbf{q}} \delta_j^{(1)}(\mathbf{q}) \quad (7)$$

with

$$\delta_j^{(1)} = (w_j^{(2)} \otimes \delta^{(2)}) \mathbb{I}(y_j^{(1)} > 0) \quad (8)$$

where  $M^3$  is the number of voxels of a feature map and  $\mathbb{I}(z)$  denotes the indicator function, which is defined as 1 if the predicate  $z$  is true and 0 otherwise.

The sum of squared differences is a good measure of classification accuracy, if the two classes are fairly balanced. However, if one class contains vastly more samples, as is the case for lesion segmentation, the error measure is dominated by the majority class and consequently, the neural network would learn to completely ignore the minority class. To overcome this problem, we use a combination of sensitivity and specificity, which are two measures that are suitable for measuring classification performance even for vastly unbalanced classification problems. More precisely, the final error measure is a weighted sum of the mean squared difference of the lesion voxels (sensitivity) and non-lesion voxels (specificity), reformulated to be error terms:

$$E = r \frac{\sum_{\mathbf{p}} (S(\mathbf{p}) - y^{(2)}(\mathbf{p}))^2 S(\mathbf{p})}{\sum_{\mathbf{p}} S(\mathbf{p})} + (1-r) \frac{\sum_{\mathbf{p}} (S(\mathbf{p}) - y^{(2)}(\mathbf{p}))^2 (1 - S(\mathbf{p}))}{\sum_{\mathbf{p}} (1 - S(\mathbf{p}))} \quad (9)$$

where the first term captures the squared sensitivity error and the second term captures the squared specificity error. We formulate the sensitivity and specificity errors as squared errors in order to yield smooth gradients, which makes the optimization more robust. The sensitivity ratio  $r$  can be used to assign different weights to the two terms. Due to the large number of non-lesion voxels, weighting the specificity higher is important, but the algorithm is stable with respect to changes in  $r$ , which largely affects the threshold used to binarize the probabilistic output. On all our experiments, a sensitivity ratio between 0.10 and 0.01 yields very similar results.

To train our model, we have to compute the derivatives of the modified objective function with respect to the model parameters. Equations (5), (7), and (8) are a consequence of the chain rule of derivatives and independent of the chosen similarity measure. Hence, we only need to derive the update rule for  $\delta^{(2)}$ . With  $\alpha = 2r(\sum_{\mathbf{p}} S(\mathbf{p}))^{-1}$  and  $\beta = 2(1-r)(\sum_{\mathbf{p}} (1-S(\mathbf{p})))^{-1}$  we can rewrite  $E$  as

$$E = \frac{1}{2} \sum_{\mathbf{p}} \left( S(\mathbf{p}) - y^{(2)}(\mathbf{p}) \right)^2 \alpha S(\mathbf{p}) + \frac{1}{2} \sum_{\mathbf{p}} \left( S(\mathbf{p}) - y^{(2)}(\mathbf{p}) \right)^2 \beta (1 - S(\mathbf{p})) \quad (10)$$

$$= \frac{1}{2} \sum_{\mathbf{p}} (\alpha S(\mathbf{p}) + \beta (1 - S(\mathbf{p}))) \left( S(\mathbf{p}) - y^{(2)}(\mathbf{p}) \right)^2 \quad (11)$$

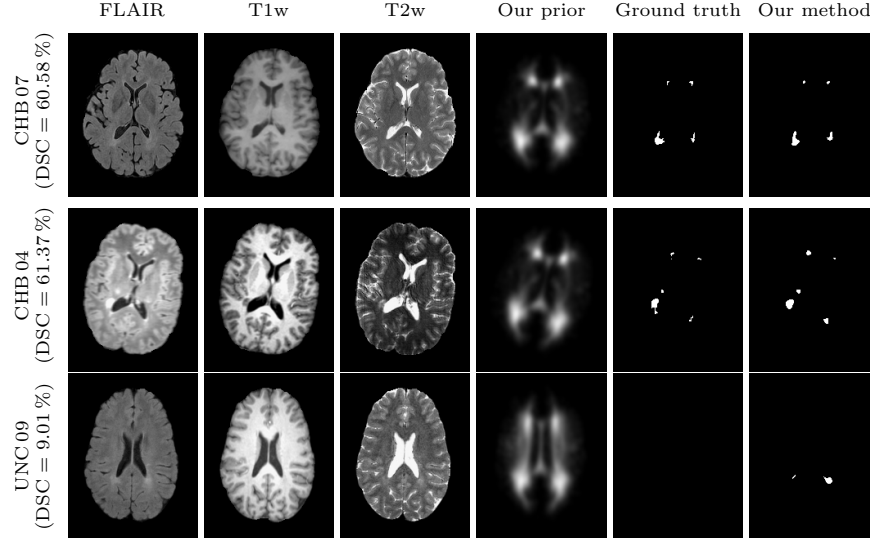
Our objective function is similar to the SSD, with an additional multiplicative term applied to the squared differences. The additional factor is constant with respect to the model parameters. Consequently,  $\delta^{(2)}$  can be derived analogously to the SSD case, and the new factor is simply carried over:

$$\delta^{(2)} = (\alpha S + \beta (1 - S)) (y^{(2)} - S) y^{(2)} (1 - y^{(2)}) \quad (12)$$

To account for the spatial distribution of lesions, we added a lesion distribution map as an additional channel to the input layer. Therefore, we affinely registered 250 subjects of an in-house data set from an MS clinical trial to MNI space and calculated the square root of the average lesion mask to counterbalance large differences in lesion probability between regions.

### 3 Experiments and Results

To allow for a direct comparison with state-of-the-art lesion segmentation methods, we evaluated our method on the FLAIR, T1-, and T2-weighted MRIs of the 20 publicly available labeled cases from the MS Lesion Segmentation Challenge 2008 [9], which we downsample from the original isotropic voxel size of  $0.5 \text{ mm}^3$  to an isotropic voxel size of  $1 \text{ mm}^3$ . In addition, we evaluated our method on an in-house data set from an MS clinical trial of 500 subjects split equally into training and test sets. The images were acquired from 45 different scanning sites. For each subject, the data set contains T2- and PD-weighted MRIs with a voxel size



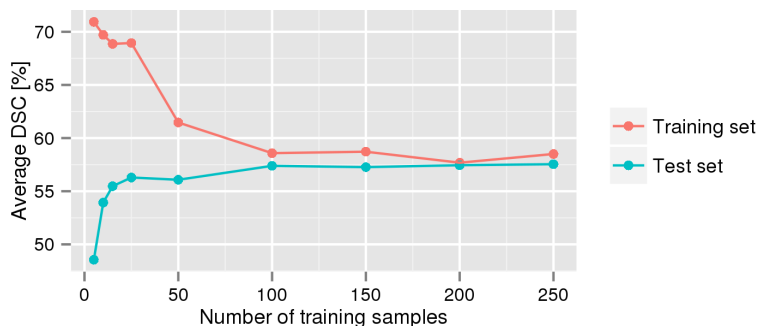
**Fig. 2.** Example segmentations of our method for three different subjects from the challenge data set. Our method performed well and consistent despite the large contrast differences (first two rows), but also segments lesions that have similar contrast, albeit these regions not being classified as lesions by the manual rater (last row).

of  $0.937 \text{ mm} \times 0.937 \text{ mm} \times 3 \text{ mm}$ . The main preprocessing steps included rigid intra-subject registration, brain extraction, intensity normalization, and background cropping. We used a CEN with 32 filters and filter sizes of  $9 \times 9 \times 9$  and  $9 \times 9 \times 5$  voxels for the challenge and in-house data sets, respectively. Training on a single GeForce GTX 780 graphics card took between 24 and 32 hours per model. However, once the network is trained, segmentation of new images can be performed in less than one second.

We evaluated our method on the challenge data set using 5-fold cross-validation and calculated the true positive rate (TPR), the positive predictive value (PPV), and the Dice similarity coefficient (DSC) between the predicted segmentations and the resampled ground truth. Figure 2 shows a comparison of three subjects from the challenge data set. The first two rows show the FLAIR, T1w, T2w, lesion priors, ground truth segmentations, and predicted segmentations of two subjects with a DSC of 60.58% and 61.37%. Despite the large contrast differences between the two subjects, our method performed well and consistently, which indicates that our model was able to learn features that are robust to a large range of intensity variations. The last row shows a subject with a DSC of 9.01%, one of the lowest DSC scores from the data set. Our method segmented lesions that have similar contrast to the other two subjects, but these regions were not classified as lesions by the manual rater. This highlights the difficulty of manual lesion segmentation, as the difference between diffuse white matter pathology and focal lesions is often indistinct. A comparison of our method with

**Table 1.** Comparison of our method with state-of-the-art lesion segmentation methods in terms of mean TPR, PPV, and DSC. Our method performs comparably to the best methods reported on the MS lesion segmentation challenge data set.

Method	TPR	PPV	DSC
Souplet et al. [1]	20.65	30.00	—
Weiss et al. [2]	33.00	36.85	29.05
Geremia et al. [3]	39.85	40.35	—
Our method	39.71	41.38	35.52



**Fig. 3.** Comparison of DSC scores calculated on the training and test sets for varying numbers of training samples. At around 100 images, the model becomes stable in terms of test performance and the small difference between training and test DSCs, indicating that overfitting of the training data is not occurring.

other state-of-the-art methods is summarized in Table 1. Our method outperforms the winning method (Souplet et al. [1]) of the MS Lesion segmentation challenge 2008 and the currently best unsupervised method reported on that data set (Weiss et al. [2]) in terms of mean TPR and PPV. Our method performs comparably to a current method that uses a carefully designed set of features specifically designed for lesion segmentation, despite our method having learned the features solely from a relatively small training set.

To evaluate the impact of the training set size on the segmentation performance, we trained our model on our in-house data set with a varying number of training samples and calculated the mean DSC on the training and test sets as illustrated in Fig. 3. For small training sets, there is a large difference between the DSCs on the training and test set, which indicates that the training set is too small to learn a representative set of features. At around 100 images, the model becomes stable in terms of test performance and the small difference between training and test DSCs, indicating that overfitting of the training data is not occurring. With 100 training subjects, our method achieves a DSC on the test set of 57.38 %, which shows that the segmentation accuracy can be greatly improved compared to the results on the challenge data set, when a representative data set is available.

## 4 Conclusions

We have introduced a new method for the automatic segmentation of MS lesions based on convolutional auto encoders. The joint training of the feature extraction and prediction layers with a novel objective function allows for the automatic learning of features that are tuned for a given combination of image types and segmentation task with very unbalanced classes. We have evaluated our method on two data sets showing that approximately 100 images are required to train the model without overfitting but that the method performs comparably well to the state-of-the-art algorithms, even when only a relatively small data set is used for training. For future work, we plan to increasing the depth of the network, which would allow the learning of a set of hierarchical features. This could further improve segmentation accuracy, but may require larger training sets. We would also like to investigate the use of different objective functions for training based on other measures of segmentation performance.

## Acknowledgements \*\*\*\*

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