# **Evaluation of Semantic Answer Similarity Metrics**

# **Overview**



- Challenges in Question Answering (QA)
- Transformer-based SAS model metrics
- Statistical overview of the datasets
- Fine-tuning with Sentence BERT (SBERT)
- Error categories of model predictions
- Contributions and future work

# QA model evaluation challenge

- Who makes more money: NFL or Premier League?
  - Ground-truth answer:
     National Football League
  - Predicted Answer:the NFL

# QA model evaluation challenge

**Question**: Who makes more money: NFL or Premier League?

Ground-truth answer: National Football League

**Predicted Answer**: the NFL

**EM**: 0.00

 $\mathbf{F_1}$ : 0.00

**Top-1-Accuracy**: 0.00

**SAS**: 0.9008

**Human Judgment**: 2 (definitely correct prediction)

 $\mathbf{f_{BERT}}$ : 0.4317  $\mathbf{f'_{BERT}}$ : 0.4446

**Bi-Encoder**: 0.5019

## **Semantic similarity**

- Descriptions of the same entity, place, time, action, etc
- Different words or sentences having the same meaning in a given context (Zeng, 2007)

# What is Semantic Answer Similarity (SAS) model?

**Question**: Who makes more money: NFL or Premier League?

Ground-truth answer: National Football League

**Predicted Answer**: the NFL

**EM**: 0.00

 $\mathbf{F_1}$ : 0.00

**Top-1-Accuracy**: 0.00

**SAS**: 0.9008

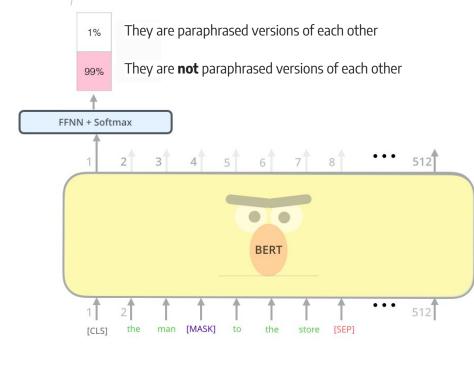
**Human Judgment**: 2 (definitely correct prediction)

 $f_{BERT}$ : 0.4317

 $f'_{BERT}$ : 0.4446

Bi-Encoder: 0.5019

# Transformers, aka, BERT, the magic sauce



Input

Tokenized Input

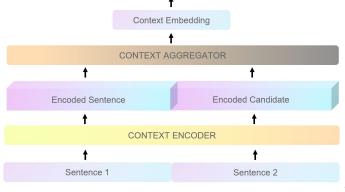
[CLS] the man [MASK] to the store [SEP] penguin [MASK] are flightless birds [SEP]

Sentence A Sentence B

# Models under 🕵

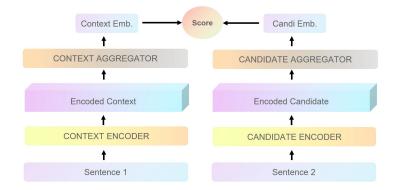


Bi-Encoder



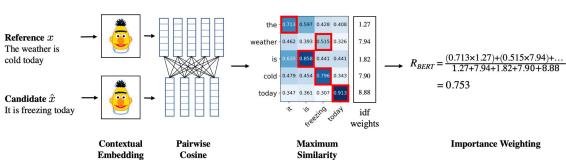
Score

BERTScore



Cross-Encoder

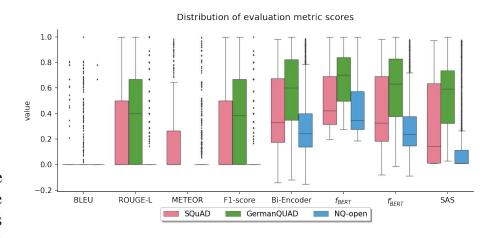
Similarity



#### **Datasets**

	SQuAD	GermanQuAD	NQ-open
Label 0	56.7	27.3	71.7
Label 1	30.7	51.5	16.6
Label 2	12.7	21.1	11.7
$\mathbf{F_1} = 0$	565	124	3030
$\mathbf{F_1} \neq 0$	374	299	529
Size	939	423	3559
Avg answer size	23	68	13

Table 1: Statistics on the subsets of datasets used in the analyses. The average answer size column refers to the average of both the first and second answers as well as ground-truth answer and predicted answer (NQ-open only).  $F_1=0$  indicates no string similarity,  $F_1\neq 0$  indicates some string similarity. Label distribution is given in percentages.



# **Answer categories**

Category	Definition	Question	Gold label	Prediction
Acronym	An abbreviation formed from the initial letters of other words and pronounced as a word	What channel does the haves and have nots come on on DirecTV?	OWN	Oprah Winfrey Network
Alias	Indicate an additional name that a person sometimes uses	Who is the man in black the dark tower?	Randall Flagg	Walter Padick
Biological term	Of or relating to biology or life and living processes	Where is the ground tissue located in plants?	In regions of new growth	Cortex
Co-reference	Requires resolution of a relationship	Who is Marconi in "We built this city"?	The father of the radio	Italian inventor Guglielmo Marconi
Multiple correct answers	There is no single definite answer	City belonging to mid west of united states	Des Moines	Kansas city
Spatial	Requires an understanding of the concept of space, location, or proximity	Where was the tv series "Pie in the sky" filmed?	Marlow in Buckinghamshire	Bray studios
Synonyms	Gold label and prediction are synonymous	What is the purpose of a chip in a debit card?	Control access to a resource	Security
Wrong gold label	The ground-truth label is incorrect	How do you call a person who cannot speak?	Sign language	Mute

#### **Model Errors**

- Names
  - Geographical names
  - Co-references
  - Aliases
- Translation
- Numeric types
  - Numbers & Dates
- Synonyms
- Scientific terminology

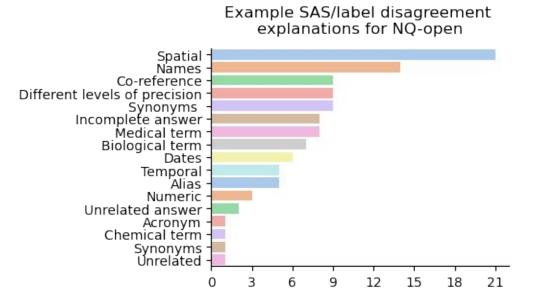


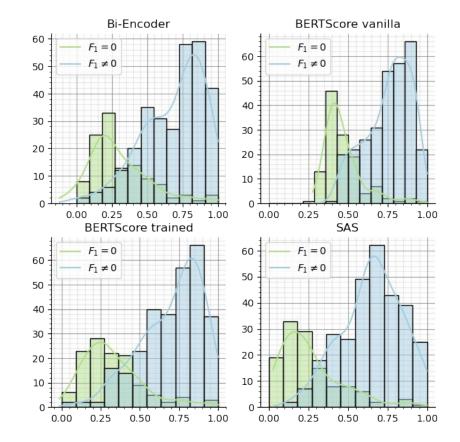
Figure 5: Subset of NQ-open test set, where SAS score < 0.01 and human label is 2, manually annotated for explanation of discrepancies. Original questions and Google search has been used to assess the correctness of the gold labels.

#### GermanQuAD

	GermanQuAD						
	$F_1 = 0$						
Metrics	r	ho	au	r	ho	au	
BLEU	0.000	0.000	0.000	0.153	0.095	0.089	
<b>ROUGE-L</b>	0.172	0.106	0.100	0.579	0.554	0.460	
$F_1$ -score	0.000	0.000	0.000	0.560	0.534	0.443	
Bi-Encoder	0.392	0.337	0.273	0.596	0.595	0.491	
$f_{BERT}$	0.149	0.008	0.006	0.599	0.554	0.457	
$f_{BERT}^{\prime}$	0.410	0.349	0.284	0.606	0.592	0.489	
SAS	0.488	0.432	0.349	0.713	0.690	0.574	

Table 3: Pearson, Spearman's, and Kendall's rank correlations of annotator labels and automated metrics on subsets of GermanQuAD.  $f_{BERT}$  is BERTScore vanilla and  $f'_{BERT}$  is BERTScore trained.

Distribution of metric scores for GermanQuAD ( $F_1 = 0$  vs.  $F_1 \neq 0$ )



# **Embedding Layer Extraction**

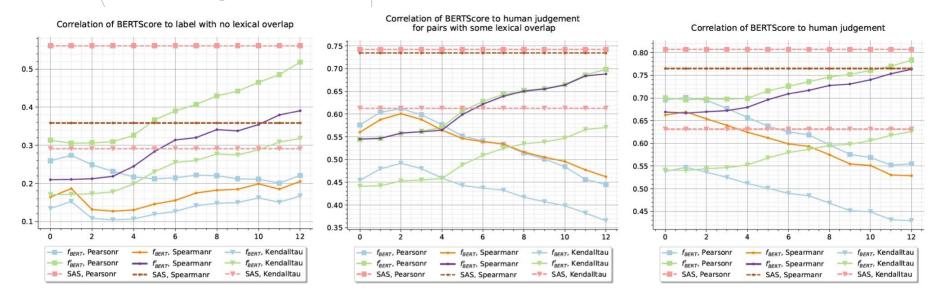
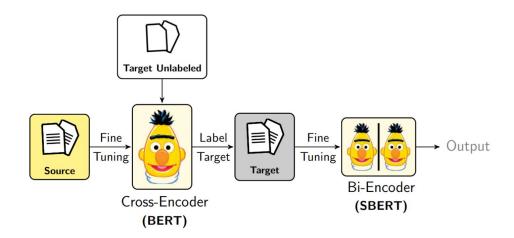


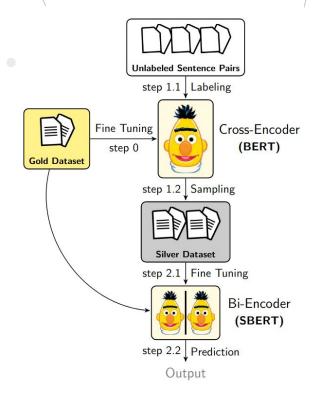
Figure 4: Pearson, Spearman's, and Kendall's rank correlations for different embedding extractions for when there is no lexical overlap ( $F_1 = 0$ ), when there is some overlap ( $F_1 \neq 0$ ) and aggregated for the SQuAD subset.  $f_{BERT}$  is BERTScore vanilla and  $f'_{BERT}$  is BERTScore trained.

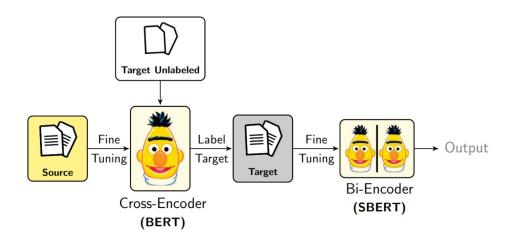
## Fine-tuning with Augmented SBERT

- New name pairs dataset based on Wagner, 2017
- US public figures on Wikipedia and DBpedia
- Sum of name pairs (random + aliases): ~40.000



# Fine-tuning with Augmented SBERT





# Fine-tuning with Augmented SBERT: Examples

Name1	Name2	Similarity Score	Random / Alias
Murray Feingold	Elvin Charles Stakman	0.011	Random (CE labelled)
Tona Rozum	Tona Rozum	0.968	Random (CE labelled)
Elvin Charles Stakman	Stakman Elvin C.	1	Alias (always 1)
Holly Ryder	Lisa Marie Abato	1	Alias (always 1)

# Metric Comparison

	<b>SQuad</b>			NQ-open				
	$F_1 = 0$		$F_1 \neq 0$		$F_1 = 0$		$F_1$	$\neq 0$
Metrics	$\rho$	au	$\rho$	au	$\rho$	au	$\rho$	au
BLEU	0.00	0.00	0.17	0.16	0.00	0.00	0.05	0.05
<b>ROUGE-L</b>	0.04	0.04	0.54	0.46	0.16	0.16	0.46	0.38
<b>METEOR</b>	0.21	0.20	0.46	0.38	0.15	0.15	0.18	0.14
F1-score	0.00	0.00	0.58	0.50	0.00	0.00	0.41	0.34
Bi-Encoder	0.37	0.30	0.68	0.57	0.21	0.17	0.45	0.35
$f_{BERT}$	0.13	0.11	0.60	0.49	0.17	0.14	0.14	0.11
$f_{BERT}^{\prime}$	0.39	0.32	0.69	0.57	0.23	0.18	0.45	0.35
SAS	0.36	0.29	0.74	0.61	0.20	0.16	0.65	0.51
New Bi-Encoder	0.39	0.32	0.69	0.57	0.25	0.20	0.50	0.39
$ ilde{f}_{BERT}$	0.40	0.32	0.70	0.58	0.26	0.21	0.51	0.40

Table 2: Spearman's, and Kendall's rank correlations of annotator labels and automated metrics on subsets of SQuAD and NQ-open.  $f_{BERT}$  is BERTScore vanilla and  $f'_{BERT}$  is BERTScore trained, and  $\tilde{f}_{BERT}$  is the new BERTScore trained on names.

#### **Contributions**

- Improved (by finding and correcting for errors) a paper published on EMNLP 2021 (workshop paper)
- A new names dataset
- Relabelled NQ-open dataset
- An improved single configuration of bi-encoder and BERTScore model

#### **Future work**

- Improve the performance on non-common names in English contexts and spatial names
- Use BERTScore as a training objective to generate soft predictions, allowing the network to remain differentiable end-to-end

#### References

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