

# Doyle-Fuller-Newman (DFN) Full Order Model (FOM) Tutorial

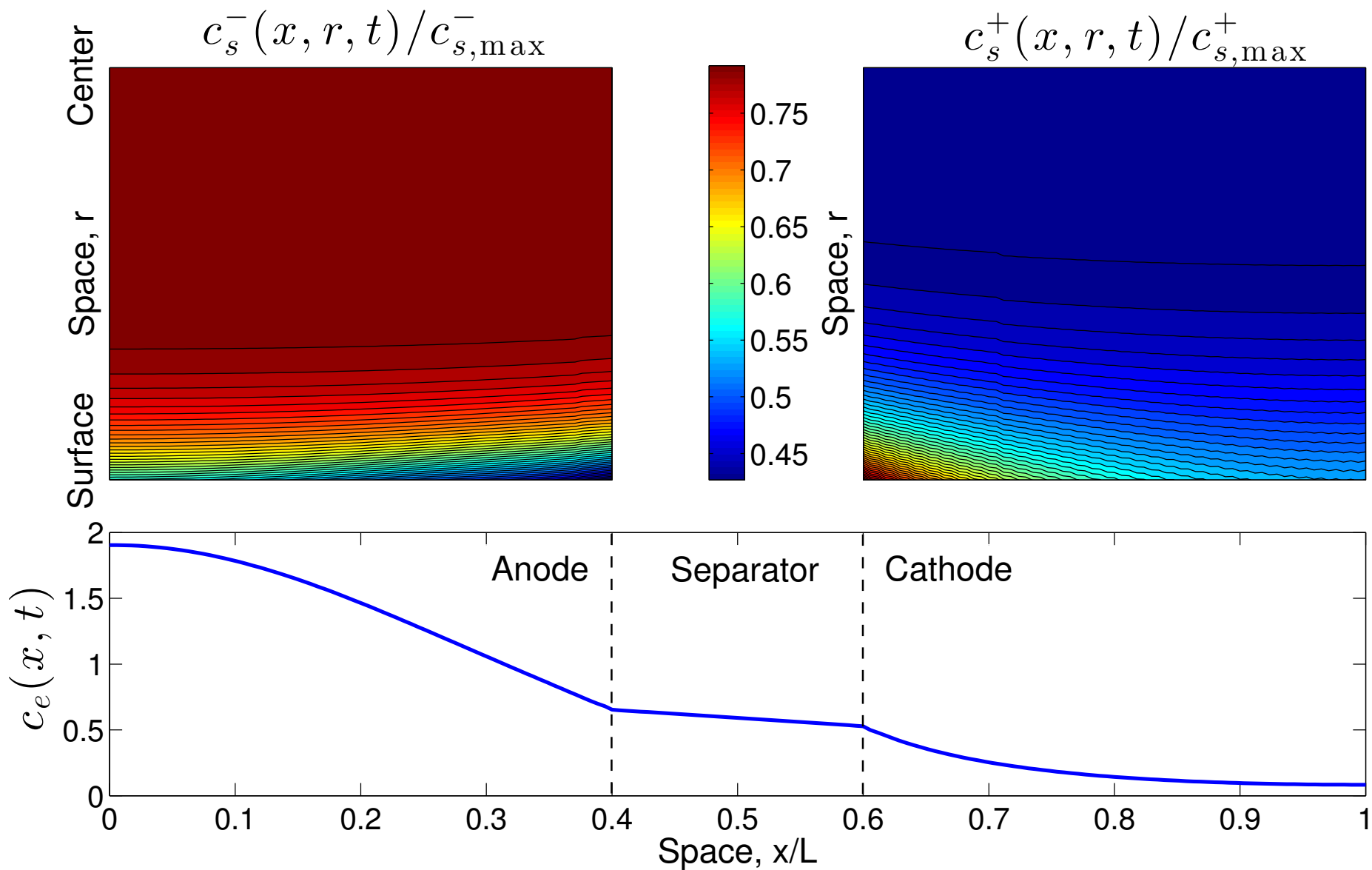
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May 12, 2016

# Sample Output



# Background

This code is Matlab implementation of electrochemical model equations found in

- Doyle, Marc, Thomas F. Fuller, and John Newman. "Modeling of galvanostatic charge and discharge of the lithium/polymer/insertion cell." *Journal of the Electrochemical Society* 140.6 (1993): 1526-1533.
- Fuller, Thomas F., Marc Doyle, and John Newman. "Simulation and optimization of the dual lithium ion insertion cell." *Journal of the Electrochemical Society* 141.1 (1994): 1-10.
- Thomas, Karen E., John Newman, and Robert M. Darling. "Mathematical modeling of lithium batteries." *Advances in lithium-ion batteries*. Springer US, 2002. 345-392.
- and more...

# What it does

- Simulates
  - Terminal voltage [V]
  - Solid & electrolyte concentrations [mol/m<sup>3</sup>]
  - Solid & electrolyte potentials [V]
  - Internal temperature [K]
- Generates
  - Various static plots and animations
- Takes as input
  - An applied electric current time-series (time, current)
- Requires
  - A parameter file, which defines electrochemical model parameters
  - OCP functions, electrolyte conductivity & diffusivity fcn's
- *Assumes user is proficient with Matlab*

# What it does **NOT** do

- Provide polished user-interface. This code is for developers, and is under development.
- Simulate
  - Blended cathode materials
  - Different particle sizes
  - non Li-ion chemistries (e.g. NiMH)
  - Battery pack geometries
  - Mechanical stress
  - Aging
- Include the model identification code (PSO)
- Some of these features are under-development
- Keep your expectations reasonable please 😊

# Tutorial Outline

1. Parameter file
2. Material function files
3. Input current data
4. Main script to run DFN model
5. Generate plots and animations

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# Parameter File

## Open params\_NMC\_Samsung\_new\_iteration.m

```
161 %% Params for Electrochemical Model
162 % Created June 3, 2011 by Scott Moura
163 % Modified March 01, 2014 by Hector Perez
164
165 % (Feb 22, 2014) Adjusted for lengths to be in SI units [m]
166 % These parameters are from Forman 2012, GA ParamID Study
167 % doi:10.1016/j.jpowsour.2012.03.009
168
169 % (Mar 01, 2014) Added Parameter Sensitivity Nominal Parameters for rSPM
170
171 % (Jun 26, 2015) Modified to work with dfn_scott.m
172
173
174 % (Sep 23, 2015) Modified to use NMC parameters from [Fang DOI: 10.1002/er.1652 , Ji DOI:10.1149/2.047304jes , Tan:
175
176 %% Geometric Params
177 % Thickness of each layer
178 p.L_n = 12.3E-05;% <--FROM Samsung %4.00E-05; %2.885e-5; % Thickness of negative electrode [m]
179 p.L_s = 2E-5;% <--FROM Samsung %2.50E-05; %1.697e-5; % Thickness of separator [m]
180 p.L_p = 11.9E-5;% <--FROM Samsung %3.66E-05; %6.521e-5; % Thickness of positive electrode [m]
181
182 % Particle Radii
183 p.R_s_n = 6.1708e-07;%5e-7;%1e-7;%5.00E-7;%5.00E-06; %3.596e-6; % Radius of solid particles in negative electrode [m]
184 p.R_s_p = 5.0000e-07;%5e-7;%1e-7;%5.00E-7; %1.637e-7; % Radius of solid particles in positive electrode [m]
185
186 % Volume fractions
187 p.epsilon_s_n = 0.7215;%0.662; %0.3810; % Volume fraction in solid for neg. electrode
188 p.epsilon_s_p = 0.6516;%0.58; %0.4800; % Volume fraction in solid for pos. electrode
189
190 p.epsilon_f_n = 0.0789;%0;%Filler
191 p.epsilon_f_p = 0.0998;%0;%Filler
192
193 p.epsilon_e_n = 1 - p.epsilon_s_n - p.epsilon_f_n;%0.3; %0.6190; % Volume fraction in electrolyte for neg. electrode
194 p.epsilon_e_s = 0.4; %0.3041; % Volume fraction in electrolyte for separator
195 p.epsilon_e_p = 1 - p.epsilon_s_p - p.epsilon_f_p; %0.5200; % Volume fraction in electrolyte for pos. electrode
196
197 % Specific interfacial surface area
198 p.a_s_n = 3*p.epsilon_s_n/p.R_s_n; % Negative electrode [m^2/m^3]
199 p.a_s_p = 3*p.epsilon_s_p/p.R_s_p; % Positive electrode [m^2/m^3]
200
201 %% Transport Params
```

- Embeds scalar parameter values
- Generates a struct object `p`, which is passed throughout the code



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# Open Circuit Potential Files

Open

- refPotentialAnode.m
- refPotentialCathode.m

```
1 %% Reference Potential for Pos. Electrode: Upref(theta_p)
2 % Created July 12, 2011 by Scott Moura
3
4 function [Uref,varargout] = refPotentialCathode(p,theta)
5
6 % Lookup table from SAMSUNG OCP data
7
8
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48
49
50
51
52
53
54 %=====
55 Uref = -10.72*theta.^4 + 23.88*theta.^3 -16.77*theta.^2 + 2.595*theta +4.563;
56 %=====
```

- Implements open circuit potentials for each electrode material
- Computes OCP, and it's derivative.

# Electrolyte Conductivity & Diffusivity

Open

- electrolyteCond.m
- electrolyteDe.m

```
1 %% Electrolyte Conductivity Function: kappa(c_e) [1/Ohms*m]
2 % Created July 12, 2011 by Scott Moura
3
4 function [kappa,varargout] = electrolyteCond(c_e)
5
6 % Identified function from Joel Forman's JPS ParamID paper
7 % xx = 0:1000:4000;
8 % yy = [1.050e-1, 1.760e-1, 2.190e-1, 8.166e-2, 3.014e-2];
9
10 % kappa = spline(xx*1e-6,yy,c_e) * 1e-2;
11 % kappa = ones(size(c_e)) * 0.1330 * 1e-2;
12 % kappa = 0.0370 * ones(size(c_e));
13
14 % From DUALFOIL LiPF6 in EC:DMC, Capiaglia et al. 1999
15 kappa = 0.0911+1.9101*c_e/1e3 - 1.052*(c_e/1e3).^2 + 0.1554*(c_e/1e3).^3;
16
17 if(nargout == 2)
18     dkappa = 1.9101/1e3 - 2*1.052*c_e/1e3/1e3 + 0.1554*3*(c_e/1e3)^2/1e3;
19     varargout{1} = dkappa;
20 end
```

```
1 %% Electrolyte Diffusion Coefficient Function: D_e(c_e) [m^2/s]
2 % Created July 12, 2011 by Scott Moura
3
4 function [D_e,varargout] = electrolyteDe(c_e)
5
6 % From DUALFOIL LiPF6 in EC:DMC, Capiglia et al. 1999
7 D_e = 5.34e-10*exp(-0.65*c_e/1e3);
8 D_e = 5.34e-10*ones(size(exp(-0.65*c_e/1e3)));
9
10 if(nargout == 2)
11     dD_e = -0.65*D_e/1e3;
12     dD_e = 0*(-0.65*D_e/1e3);
13     varargout{1} = dD_e;
14 end
```

- Electrolyte conductivity & diffusivity are functions of local concentration
- Computes conductivity/diffusivity and it's derivative

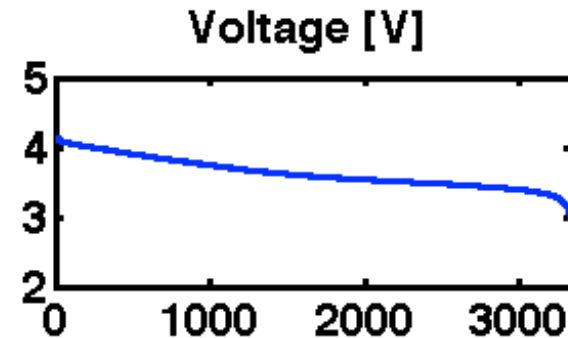
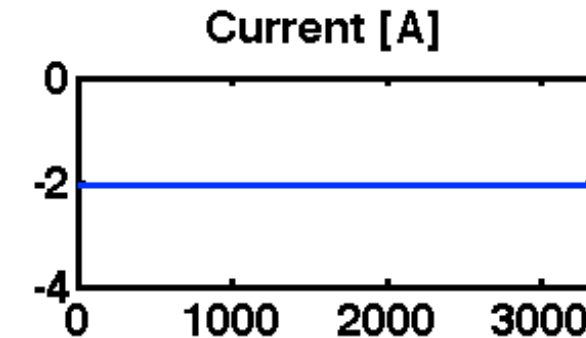
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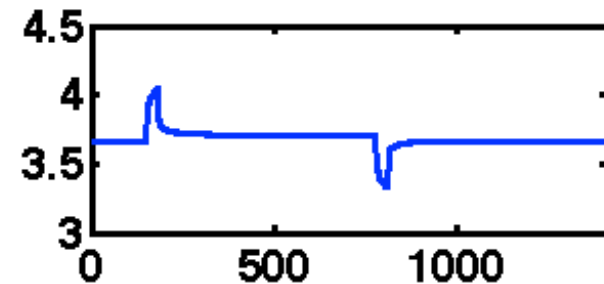
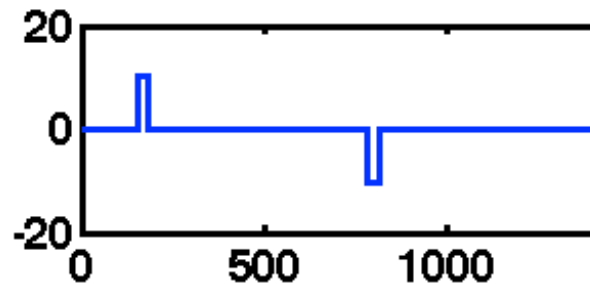
# Input current files

- All experimentally collected data is located in:  
data/Int\_Obs/ directory
- Samples:

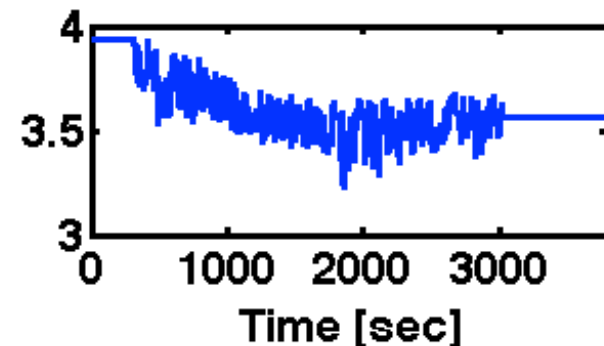
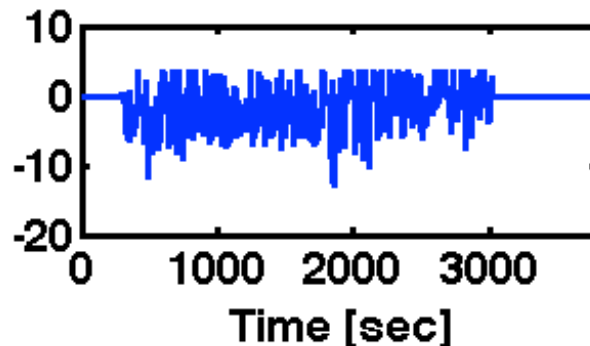
1C Discharge  
data/Int\_Obs/  
1C\_data\_Oct\_26\_2015  
\_05\_sample.mat



5C Pulses  
data/Int\_Obs/  
dfn\_5c.mat



UDDS drive cycle  
data/Int\_Obs/  
UDDS\_data\_Oct\_26\_20  
15\_Sample\_05sec.mat



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# Main Script

- Open dfn\_scott\_testing\_Satadru.m

This is the main script that runs the DFN model

1. To input parameter file, go to line 19. Enter desired parameter file.

```
13 %% Model Construction
14 % Electrochemical Model Parameters
15 % run params_bosch
16 % run params_dualfoil
17 % run params_FePO4_ACC15
18
19 - run params_NMC_Samsung_new_iteration
20
21 %n_sig = add(i);
```

# Main Script

2. To load input current, go to line 33. Enter desired input current file.

```
32  
33 - load('data/Int_Obs/IC_Pulse')  
34 - load('data/Int_Obs/IC_Pulse')
```

3. To set initial voltage, go to line 138.

```
135  
136 %% Initial Conditions & Preallocation  
137 % Solid concentration  
138 - V0 = 3.6673;% for 1C Pulse, for UDDS 3.9322;%4.1985;% for 1C,  
139 - [csn0,csp0] = init_cs(p,V0);  
140  
141 - c_s_n0 = zeros(p.PadeOrder,1);  
142 - c_s_p0 = zeros(p.PadeOrder,1);
```



# Main Script

4. You are ready! Run the m-file.

The command window output will look like...

```
>> dfn_scott_testing_Satadru  
Simulating DFN Model...
```

Time : 0.00 sec	C-rate : 0.99	SOC : 0.291	Voltage : 3.609V	Iters : 22
Time : 0.50 sec	C-rate : 0.99	SOC : 0.291	Voltage : 3.609V	Iters : 18
Time : 1.00 sec	C-rate : 0.99	SOC : 0.291	Voltage : 3.609V	Iters : 16
Time : 1.50 sec	C-rate : 0.99	SOC : 0.291	Voltage : 3.609V	Iters : 14
Time : 2.00 sec	C-rate : 0.99	SOC : 0.291	Voltage : 3.609V	Iters : 27
Time : 2.50 sec	C-rate : 0.99	SOC : 0.291	Voltage : 3.609V	Iters : 20
Time : 3.00 sec	C-rate : 0.99	SOC : 0.291	Voltage : 3.608V	Iters : 14
Time : 3.50 sec	C-rate : 0.99	SOC : 0.290	Voltage : 3.608V	Iters : 12
Time : 4.00 sec	C-rate : 0.99	SOC : 0.290	Voltage : 3.608V	Iters : 29
Time : 4.50 sec	C-rate : 0.99	SOC : 0.290	Voltage : 3.608V	Iters : 19
Time : 5.00 sec	C-rate : 0.99	SOC : 0.290	Voltage : 3.608V	Iters : 30
Time : 5.50 sec	C-rate : 0.99	SOC : 0.290	Voltage : 3.608V	Iters : 19
Time : 6.00 sec	C-rate : 0.99	SOC : 0.290	Voltage : 3.608V	Iters : 11
Time : 6.50 sec	C-rate : 0.99	SOC : 0.290	Voltage : 3.608V	Iters : 17
Time : 7.00 sec	C-rate : 0.99	SOC : 0.290	Voltage : 3.608V	Iters : 18
Time : 7.50 sec	C-rate : 0.99	SOC : 0.290	Voltage : 3.607V	Iters : 10
Time : 8.00 sec	C-rate : 0.99	SOC : 0.290	Voltage : 3.607V	Iters : 11
Time : 8.50 sec	C-rate : 0.99	SOC : 0.290	Voltage : 3.607V	Iters : 13
Time : 9.00 sec	C-rate : 0.99	SOC : 0.290	Voltage : 3.607V	Iters : 15

# Main Script

5. After running, the simulation outputs of interest are saved to struct object `out`. Go to line 467, uncomment, and save to a user-specified filename.

```
455 %% Save Output Data for Plotting (HEP)
456 - out.date=date;
457 - out.time=t;
458 - out.cur=I;
459 - out.volt=Vout;
460 - out.soc=SOC;
461 - out.c_ss_n=c_ss_n;
462 - out.c_ss_p=c_ss_p;
463 - out.eta_s_Ln=eta_s_Ln;
464 - out.ce0p=c_e_0p;
465 - out.simtime=simTime;
466
467 %save('data/new/dfn_etas_new.mat', '-struct', 'out'); %3C Charge LiCoO2
468 % save('data/new/dfn_ce_new.mat', '-struct', 'out'); %10C Discharge LiCo
```

# Main Script – Ex: HEV Validation

To reproduce the model vs. experiment validation on the Samsung HEV cycle...

1. Use `params_NMC_Samsung_new_iteration.m`
2. Load `data/Int_Obs/Samsung_HEV_data.mat` cycle
3. Initialive model voltage to equal initial voltage from experimental data on line 138.
4. Run `dfn_scott_testing_Satadru.m`
5. The model will require 30min or so. After it's finished, then the last code (line 507) will compute the RMS error between experiments and model

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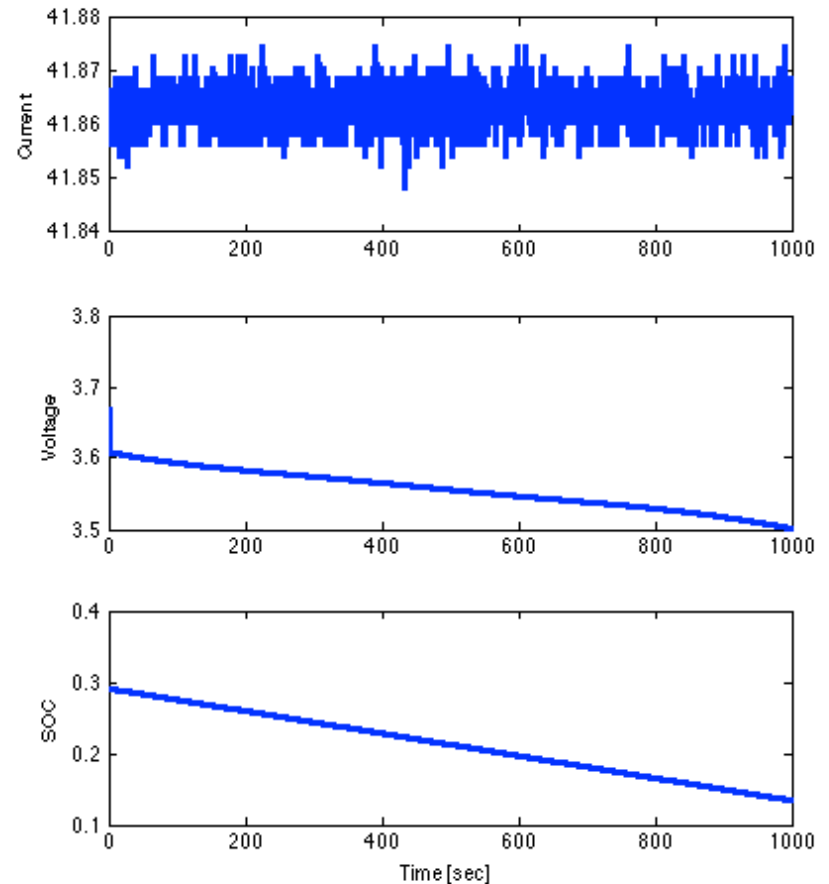
# Generate Plots and Animations

Open `plot_dfn.m`

## 1. Plot basic outputs: current, SOC, and voltage

```
1  %% Plot Doyle-Fuller-Newman Model Results
2  %   Created May 23, 2012 by Scott Moura
3  close all;
4
5  figure(1)
6  clf
7
8  subplot(3,1,1)
9  plot(t,I,'LineWidth',2)
10 ylabel('Current')
11
12 subplot(3,1,2)
13 plot(t,Volt,'LineWidth',2)
14 ylabel('Voltage')
15
16 subplot(3,1,3)
17 plot(t,SOC,'LineWidth',2)
18 ylabel('SOC')
19 xlabel('Time [sec]')
20
21 pause;
22
```

*Example: 1C discharge for 1000 sec*



# Generate Plots and Animations

Open `plot_dfn.m`

2. Animate electrochemical states, e.g. solid & electrolyte concentrations and ionic current. Please feel free to uncomment/comment parts of code to view different echem states.

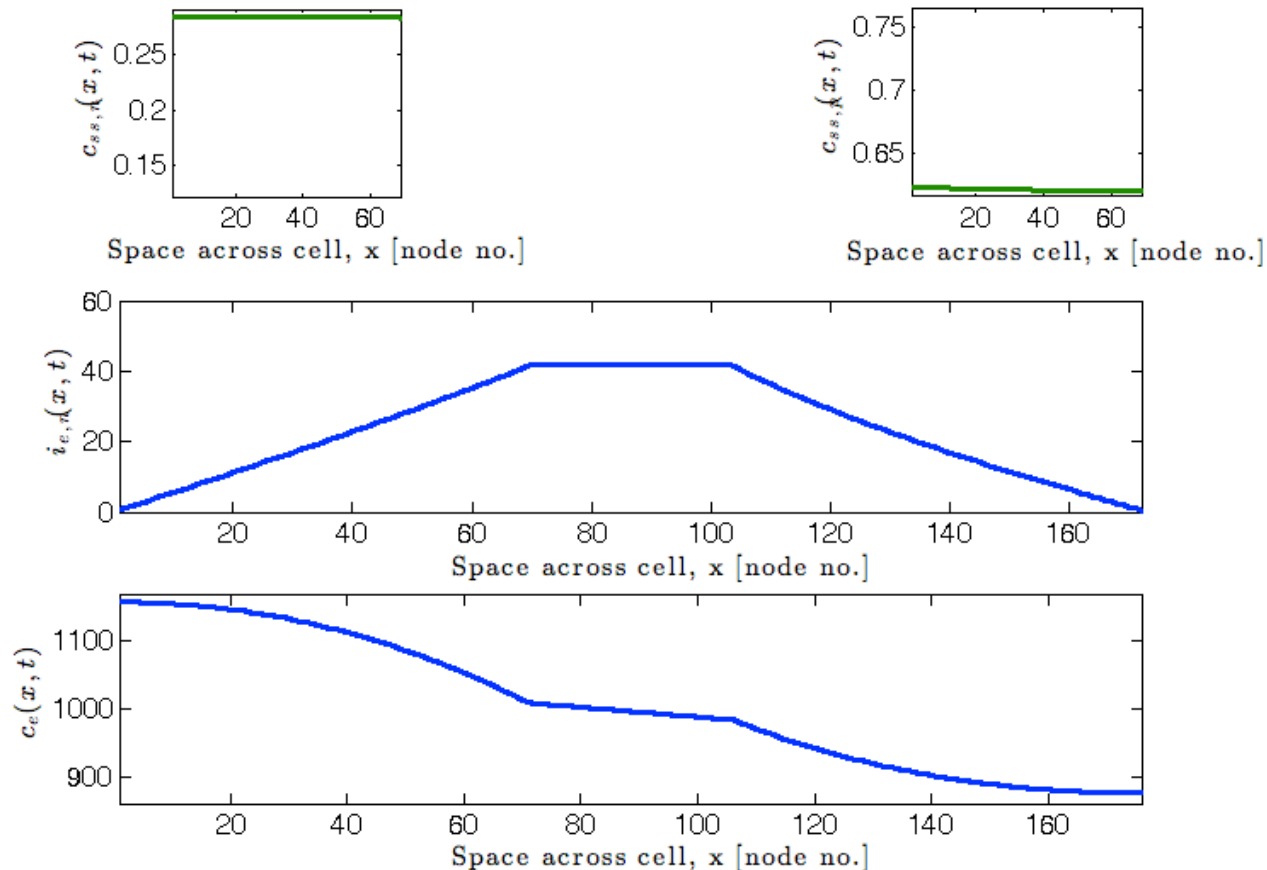
```
23 %% Animation
24
25 for k = 1:NT
26
27     figure(2)
28     set(gcf,'Position',[103 3 1151 673]);
29
30     subplot(3,3,1)
31     cla
32     plot(1:Nn,c_ss_n(:,k)/p.c_s_n_max,1:Nn,c_avg_n(:,k)/p.c_s_n_max,'LineWi
33 %     plot(1:Nn,phi_s_n(:,k),'LineWidth',2);
34     xlim([1 Nn])
35     ylim([min(min(c_ss_n/p.c_s_n_max)) max(max(c_ss_n/p.c_s_n_max))])
36 %     ylim([min(min(phi_s_n)) max(max(phi_s_n))])
37     ylabel('$$c_{ss,n}(x,t)$$','interpreter','latex')
38 %     ylabel('$$\phi_{s,n}$$','interpreter','latex')
39
40     subplot(3,3,3)
41     cla
42     plot(1:Np,c_ss_p(:,k)/p.c_s_p_max,1:Np,c_avg_p(:,k)/p.c_s_p_max,'LineWi
43 %     plot(1:Np,phi_s_p(:,k),'LineWidth',2)
44     xlim([1 Np])
45     ylim([min(min(c_ss_p/p.c_s_p_max)) max(max(c_ss_p/p.c_s_p_max))])
46 %     ylim([min(min(phi_s_p)) max(max(phi_s_p))])
47     ylabel('$$c_{ss,p}(x,t)$$','interpreter','latex')
48 %     ylabel('$$\phi_{s,p}$$','interpreter','latex')
49
50     jall = [jn(:,k); zeros(p.Nxs-1,1); jp(:,k)];
51     etaall = [eta_n(:,k); zeros(p.Nxs-1,1); eta_p(:,k)];
52     ieall = [i_en(:,k); I(k)*ones(p.Nxs-1,1); i_ep(:,k)];
53     phisall = [phi_s_n(:,k); zeros(p.Nxs-1,1); phi_s_p(:,k)];
54     phieall = phi_e(:,k);
55
56     subplot(3,3,[4 5 6])
57     cla
58     plot(1:Nx,ieall,'LineWidth',2);
59     xlim([1 Nx])
60 %     ylim([min(min(i_en)), max(max(i_en))])
61     ylabel('$$i_{e,n}(x,t)$$','interpreter','latex')
62
63 %     subplot(3,3,6)
64 %     cla
65 %     plot(1:Np,jp(:,k),'LineWidth',2);
66 %     xlim([1 Np])
67 % %     ylim([min(min(i_ep)), max(max(i_ep))])
68 %     ylabel('$$i_{e,p}(x,t)$$','interpreter','latex')
69
70     subplot(3,3,[7 8 9])
71     cla
72     plot(1:(p.Nx+1), c_ex(:,k),'LineWidth',2);
73 %     plot(1:(p.Nx-3), phi_e(:,k),'LineWidth',2)
74 %     plot(1:Nx,etaall, 'LineWidth',2)
75     xlim([1 p.Nx+1])
76     ylabel('$$c_e(x,t)$$','interpreter','latex')
77 %     ylabel('$$\phi_e$$','interpreter','latex')
78     ylim([min(min(c_ex)), max(max(c_ex))])
79 %     ylim([min(min(phi_e)), max(max(phi_e))])
80
81     pause(0.1);
```

# Generate Plots and Animations

Open `plot_dfn.m`

2. Animate electrochemical states, e.g. solid & electrolyte concentrations and ionic current.

*Example: Snapshot of 1C discharge animation*



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*Closing remarks: This code is under development. Future versions will have enhanced speed, accuracy, usability, etc. Enjoy!*