

Estimation of Distributed Parameter Systems with Applications to Building Energy and Vehicle Electrification

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February 7, 2013

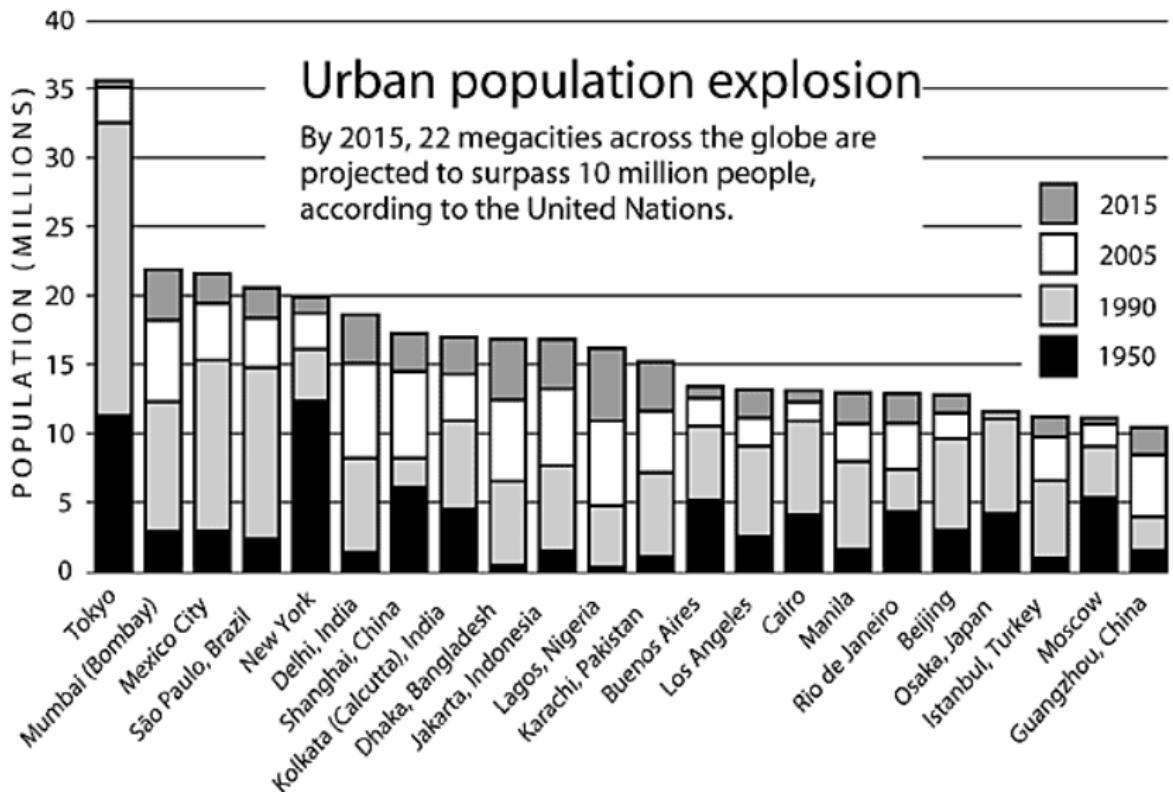


Core Philosophy:

(Mathematical models of physical phenomena)

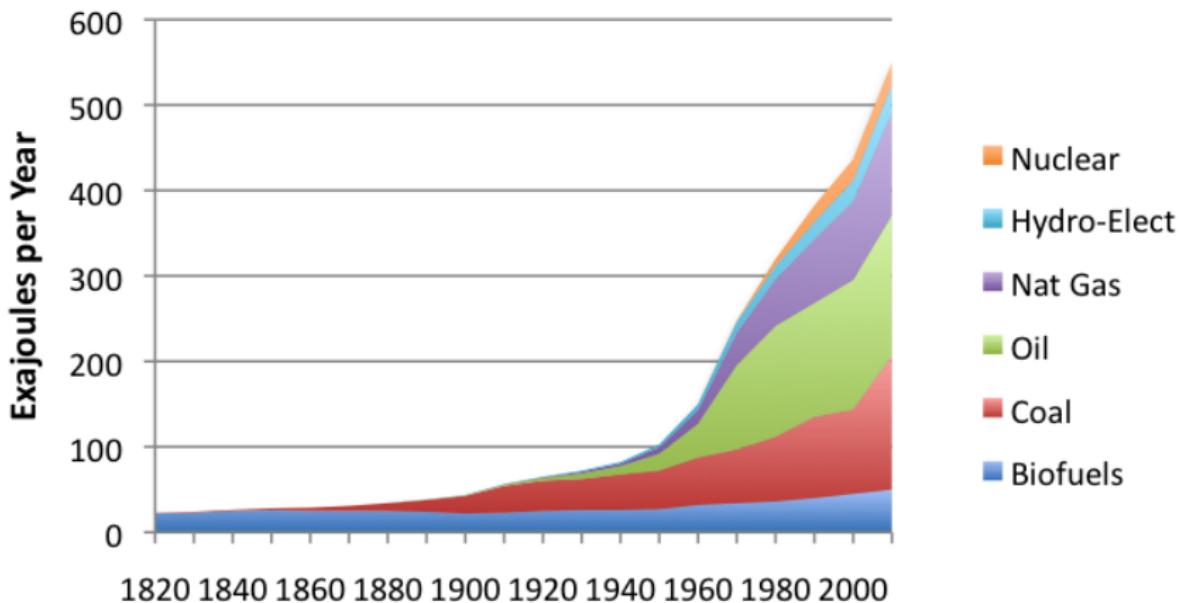
+ (novel system & control tools)

= (transformative societal advancements)



Source: United Nations, DESA, Population Division. World Urbanization Prospects.

World Energy Consumption



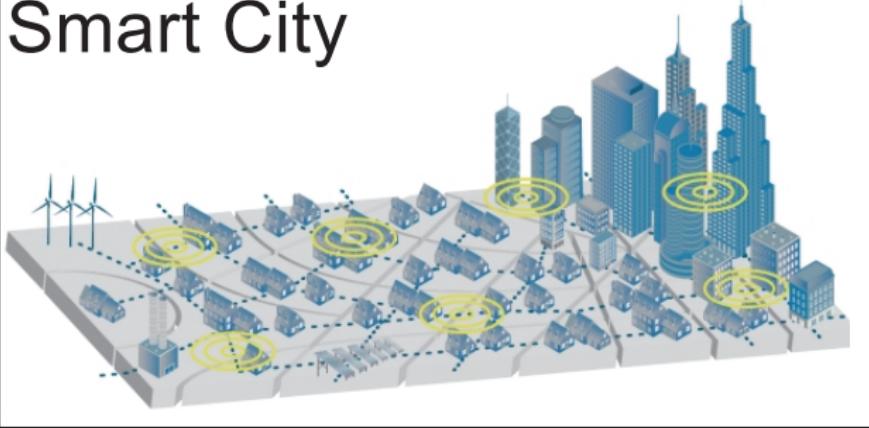
Source: Vaclav Smil Estimates from Energy Transitions

Photo from Superbowl

Photo from Superbowl



Smart City



Mobility



Economics



Energy



Structures



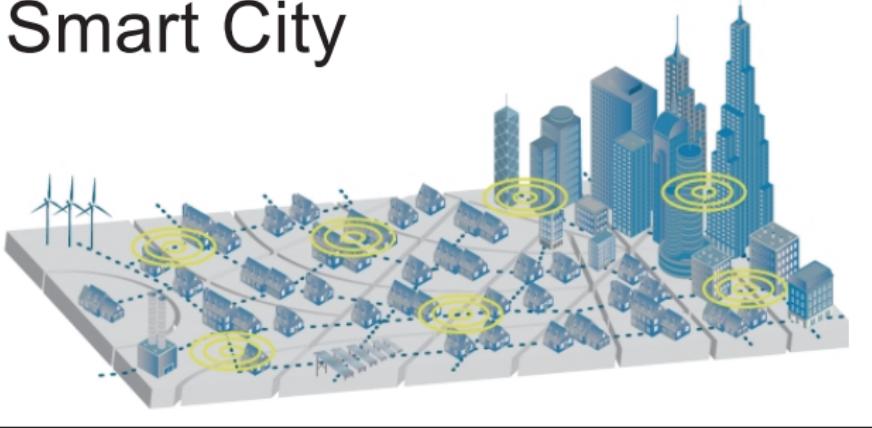
Health



Government

Categories adopted from: Giffinger, Rudolf; Christian Fertner, Hans Kramar, Robert Kalasek, Nataša Pichler-Milanovic, Evert Meijers (2007). "Smart cities - Ranking of European medium-sized cities."

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Key Objectives

Decrease energy waste



Intelligent energy management

Integrate renewables



Energy storage, e.g. batteries

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Energy storage, e.g. batteries

Outline

1 Modeling and Estimation for Building Energy

- Modeling Aggregations via PDEs
- Estimation - looking inside w/ Models, Meas., and Math

2 Vehicle to Grid Integration

3 Batteries

4 Future

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Why Buildings?

U.S. buildings produce

- 48% of carbon emissions

U.S. buildings consume

- 39% of total energy
- 71% of electricity
- 54% of natural gas



The Building Energy Problem

Needs: (1) Reduce energy waste, (2) sustainable and resilient infrastructure

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Obstacle: Scalability, sensing/control infrastructure

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Definition (Thermostatically Controlled Loads):

Systems controlled by on-off actuation, e.g. heated/cooled spaces in buildings

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Some Interesting Facts

Thermostatically
Controlled Loads
(TCLs)

50% of U.S. electricity consumption is TCLs
11% of thermostats are programmed
Comfort is loosely coupled with control

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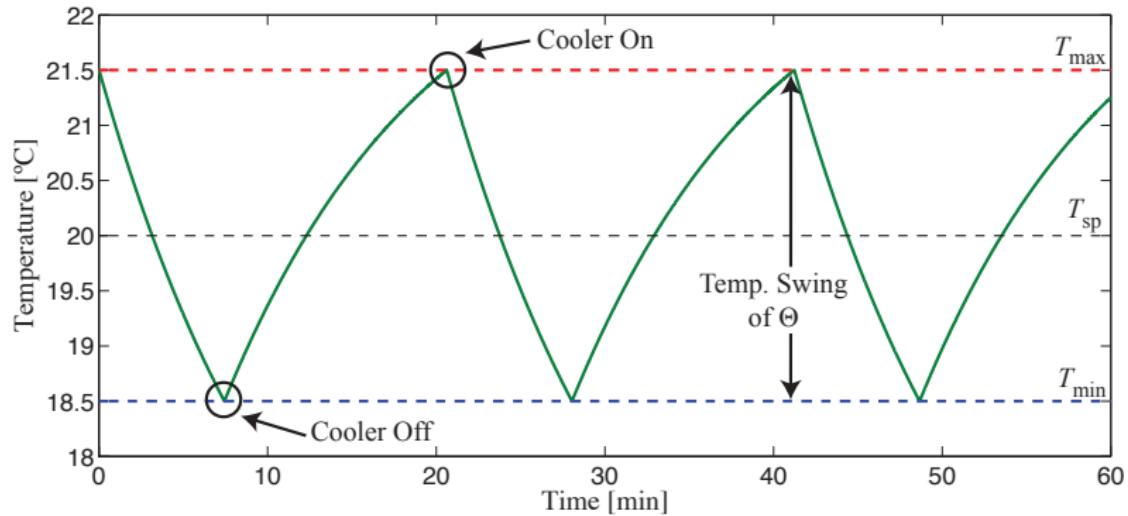
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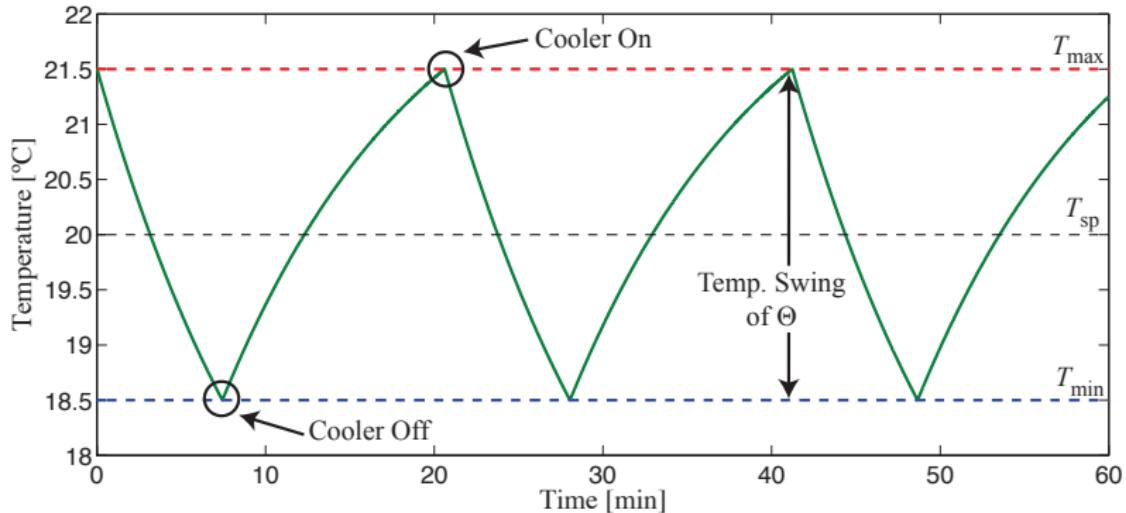
The Punchline

Exploit flexibility of TCLs to decrease energy waste

Modeling TCLs



Modeling TCLs



$$\dot{T}_i(t) = \frac{1}{R_i C_i} [T_\infty - T_i(t) - s_i(t) R_i P_i], \quad i = 1, 2, \dots, N$$
$$s_i \in \{0, 1\}$$

Modeling Aggregated TCLs

Main Idea: Convert 1000+ ODEs into two coupled linear PDEs

Modeling Aggregated TCLs

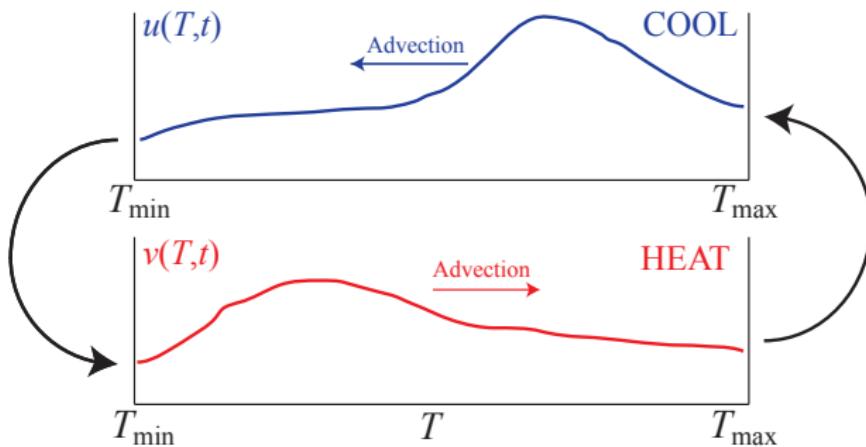
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$$\begin{array}{c|l} u(T, t) & \# \text{TCLs / } ^\circ\text{C, in COOL state, @ temp } T, \text{ time } t \\ v(T, t) & \# \text{TCLs / } ^\circ\text{C, in HEAT state, @ temp } T, \text{ time } t \end{array}$$

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Flux of TCLs in HEAT state:

#TCLs / sec

$$\psi(T, t) = v(T, t) \frac{dT}{dt}(t) = \frac{1}{RC} [T_\infty - T(t)] v(T, t)$$

Modeling Aggregated TCLs

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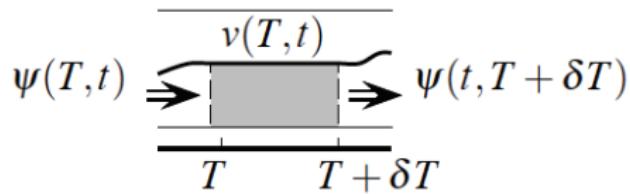
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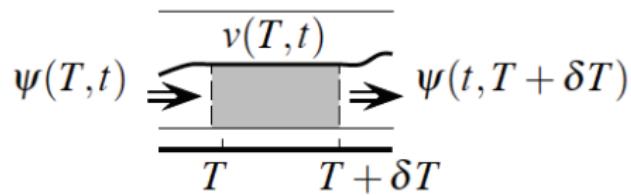
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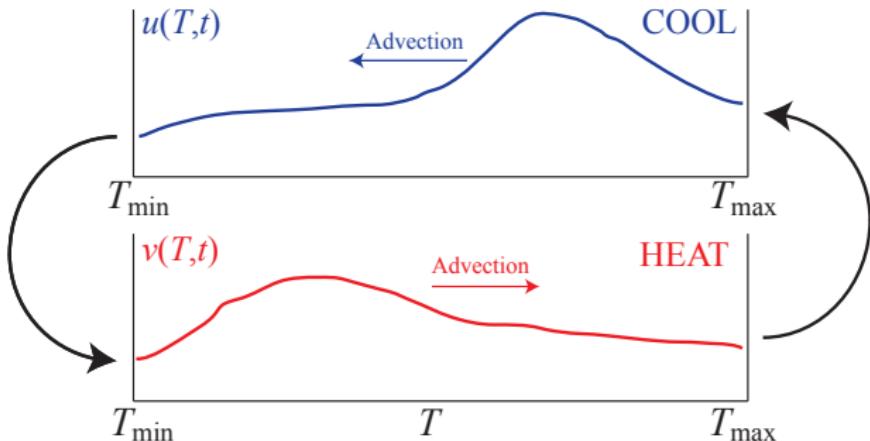
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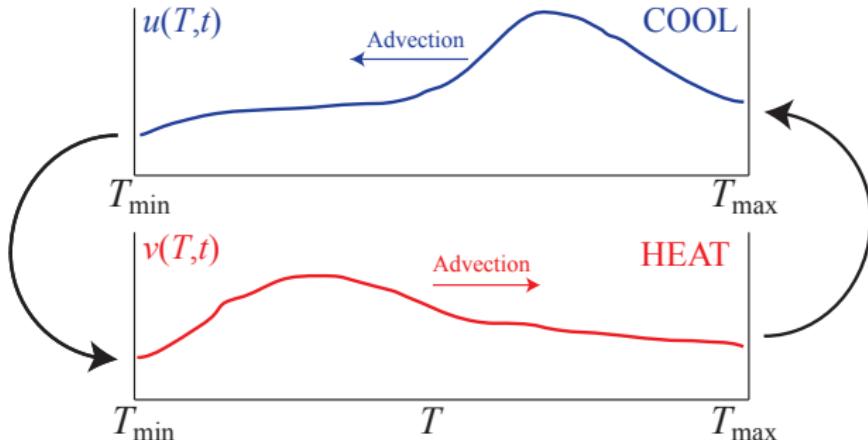


$$\begin{aligned} \frac{\partial v}{\partial t}(T, t) &= \lim_{\delta T \rightarrow 0} \left[\frac{\psi(T + \delta T, t) - \psi(T, t)}{\delta T} \right] \\ &= \frac{\partial \psi}{\partial T}(T, t) \\ &= -\frac{1}{RC} [T_\infty - T(t)] \frac{\partial v}{\partial T}(T, t) + \frac{1}{RC} v(T, t) \end{aligned}$$

PDE Model of Aggregated TCLs



PDE Model of Aggregated TCLs



$$u_t(T, t) = \alpha \lambda(T) u_T(T, t) + \alpha u(T, t)$$

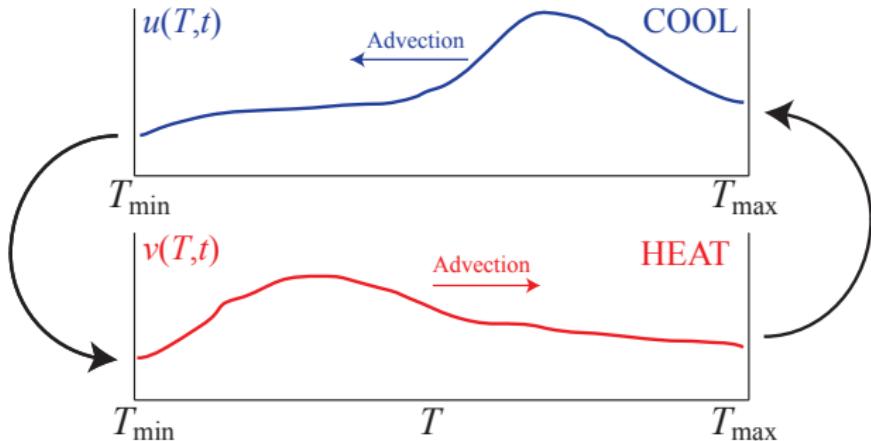
$$v_t(T, t) = -\alpha \mu(T) v_T(T, t) + \alpha v(T, t)$$

$$u(T_{\max}, t) = q_1 v(T_{\max}, t)$$

$$v(T_{\min}, t) = q_2 u(T_{\min}, t)$$

Video of 1,000 TCLs

PDE Model of Aggregated TCLs



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Original Idea: Malhame and Chong, Trans. on Automatic Control (1985)
Remark: Assumes homogeneous populations

Modeling Heterogeneous Aggregated TCLs

Reality: TCL populations are heterogeneous

e.g. variable heat capacity, power, deadband sizes

Video of 1,000 heterogeneous TCLs

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$$u(T_{\max}, t) = q_1 v(T_{\max}, t), \quad u_T(T_{\min}, t) = -v_T(T_{\min}, t)$$

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Modeling Heterogeneous Aggregated TCLs

Reality: TCL populations are heterogeneous

e.g. variable heat capacity, power, deadband sizes

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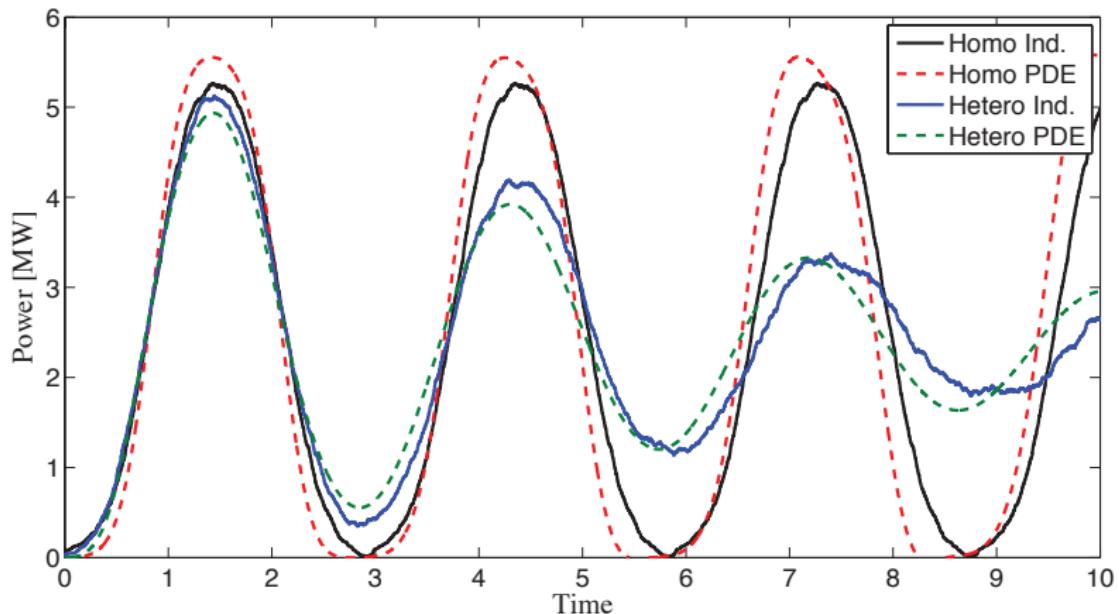
Proposition: The total number of TCLs is conserved over time.

$$Q(t) = \int_{T_{\min}}^{T_{\max}} u(T, t) dT + \int_{T_{\min}}^{T_{\max}} v(T, t) dT$$

$$\frac{dQ}{dt}(t) = 0, \quad \forall t$$

Video Evolution of Heterogeneous PDE

Model Comparison



The State Estimation Problem

Question: Possible to monitor TCLs with minimal sensing infrastructure?

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Answer: YES! Using HVAC on/off signals only and state estimation

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Problem Statement

Estimate states $u(T, t), v(T, t)$ from measurements of HVAC on/off signals

The State Estimation Problem

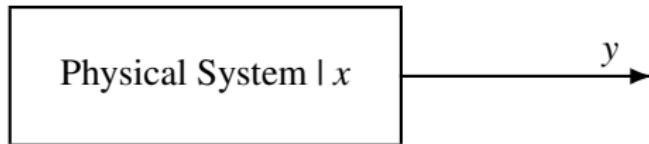
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Intro to Estimation



The State Estimation Problem

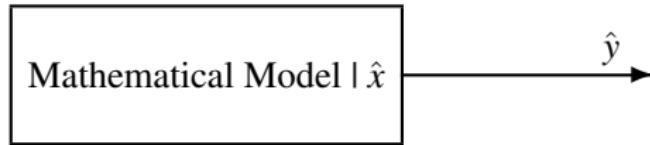
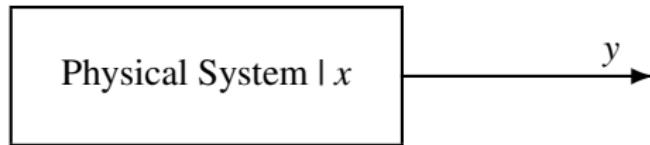
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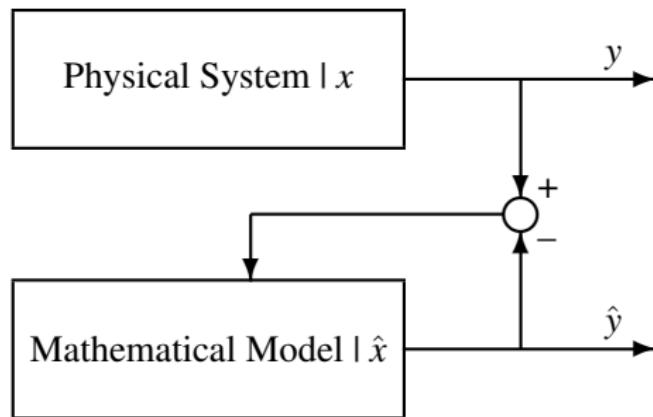
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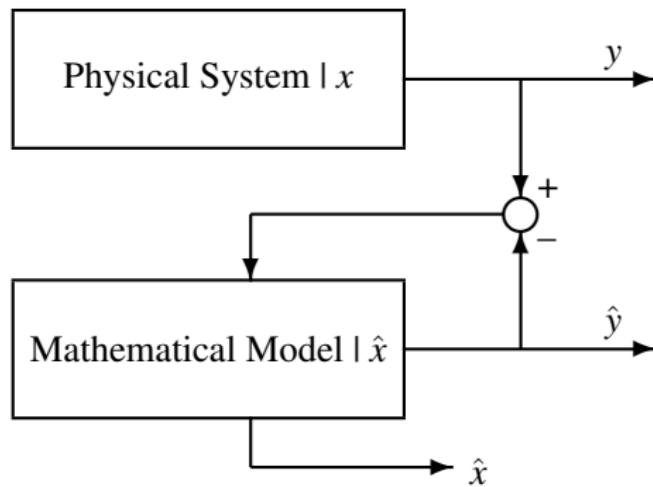
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Intro to Estimation



PDE State Estimator

Heterogeneous PDE Model: (u, v)

$$u_t(x, t) = \alpha\lambda(x)u_x + \alpha u + \beta u_{xx}$$

$$v_t(x, t) = -\alpha\mu(x)v_x + \alpha v + \beta v_{xx}$$

$$u(1, t) = q_1 v(1, t), \quad u_x(0, t) = -v_x(0, t)$$

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PDE State Estimator

Estimator: (\hat{u}, \hat{v})

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$$\hat{u}(1, t) = q_1 v(1, t), \quad \hat{u}_x(0, t) = -\hat{v}_x(0, t) + p_{10} [u(0, t) - \hat{u}(0, t)]$$

$$\hat{v}(0, t) = q_2 u(0, t), \quad \hat{v}_x(1, t) = -\hat{u}_x(1, t) + p_{20} [v(1, t) - \hat{v}(1, t)]$$

PDE State Estimator

Estimator: (\hat{u}, \hat{v})

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$$\hat{u}(1, t) = q_1 \textcolor{red}{v(1, t)}, \quad \hat{u}_x(0, t) = -\hat{v}_x(0, t) \textcolor{red}{+ p_{10}} [u(0, t) - \hat{u}(0, t)]$$

$$\hat{v}(0, t) = q_2 \textcolor{red}{u(0, t)}, \quad \hat{v}_x(1, t) = -\hat{u}_x(1, t) \textcolor{red}{+ p_{20}} [v(1, t) - \hat{v}(1, t)]$$

Estimation Error Dynamics: $(\tilde{u}, \tilde{v}) = (u - \hat{u}, v - \hat{v})$

$$\tilde{u}_t(x, t) = \alpha\lambda(x)\tilde{u}_x + \alpha\tilde{u} + \beta\tilde{u}_{xx}$$

$$\tilde{v}_t(x, t) = -\alpha\mu(x)\tilde{v}_x + \alpha\tilde{v} + \beta\tilde{v}_{xx}$$

$$\tilde{u}(1, t) = 0, \quad \tilde{u}_x(0, t) = -\tilde{v}_x(0, t) \textcolor{red}{- p_{10}\tilde{u}(0, t)}$$

$$\tilde{v}(0, t) = 0, \quad \tilde{v}_x(1, t) = -\tilde{u}_x(1, t) \textcolor{red}{- p_{20}\tilde{v}(1, t)}$$

Goal: Pick $p_{10}, p_{20} \in \mathbb{R}$ such that $(\tilde{u}, \tilde{v}) = (0, 0)$ is exponentially stable in \mathcal{L}_2 -norm

Lyapunov Stability Analysis

Consider the \mathcal{L}_2 -norm as a candidate Lyapunov functional

$$V(t) = \frac{1}{2} \int_0^1 \tilde{u}(x, t)^2 dx + \frac{1}{2} \int_0^1 \tilde{v}(x, t)^2 dx$$

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The time derivative along the solution trajectories

$$\begin{aligned} \frac{dV}{dt}(t) &\leq \left[-\frac{\alpha}{2} \lambda' - \frac{\beta}{4} + \alpha \right] \int_0^1 \tilde{u}^2 dx + \left[\frac{\alpha}{2} \mu' - \frac{\beta}{4} + \alpha \right] \int_0^1 \tilde{v}^2 dx \\ &+ \left[\beta p_{10} - \frac{\alpha}{2} \lambda(0) \right] \tilde{u}^2(0) + \left[-\beta p_{20} + \frac{\alpha}{2} \mu(1) \right] \tilde{v}^2(1) \end{aligned}$$

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Then the evolution of the \mathcal{L}_2 -norm is bounded as

$$\begin{aligned} \|\tilde{u}(x, t)\|_{\mathcal{L}_2} &\leq \|\tilde{u}(x, 0)\|_{\mathcal{L}_2} e^{[-\frac{\alpha}{2} \lambda' - \frac{\beta}{4} + \alpha]t}, \\ \|\tilde{v}(x, t)\|_{\mathcal{L}_2} &\leq \|\tilde{v}(x, 0)\|_{\mathcal{L}_2} e^{[\frac{\alpha}{2} \mu' - \frac{\beta}{4} + \alpha]t}, \end{aligned}$$

Video Evolution of PDE estimator

Key point: Converges to true distribution, using only HVAC on/off signals.

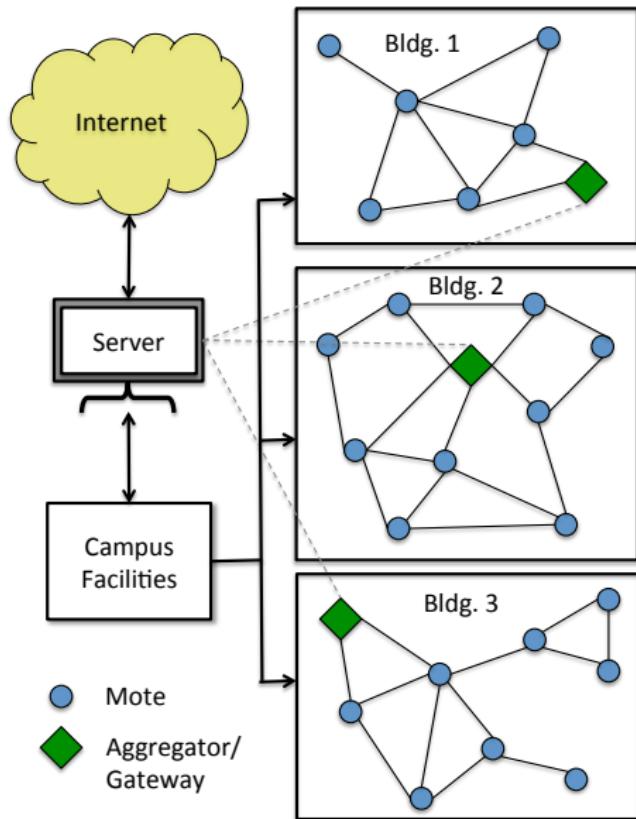
UCSD Campus: A Living Laboratory

Goal: Smart Campus

- ① Deploy temp. wireless sensor network
- ② Verify models and estimation algorithms
- ③ Derive control algorithms
- ④ Implement via UCSD facilities & management

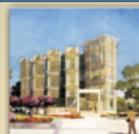


Libelium Waspmotes and Meshlium Gateway



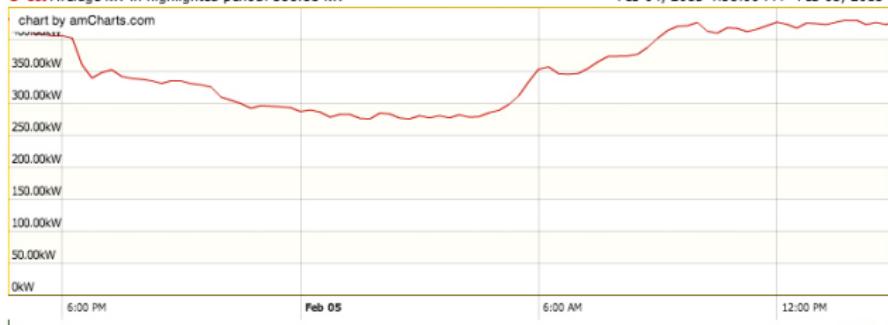
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CSE Building / EBU3B > Campus Meter

[Fast version](#) | [Meter Graph](#) | [Time Comparison](#) | [Add to compare list](#)**Device Information****Name:** EBU3B Total Power Usage**Description:** Total power usage for the CSE building through the two sub station meters. Combined mechanical, lighting, plug, and server room.**Overall Energy Statistics****kW-Hours:** 60162.96 kW-H**Average kW:** 358.11 kW**Energy costs:** \$7821.18**Power consumption for EBU3B Total Power Usage****From:** Jan, 29, 2013 05:12:49 PM **Resolution:** Every 15 minutes (averaged)
To: Feb, 05, 2013 05:12:49 PM **Timespan:** 7 days

- 1st Average kW in highlighted period: 358.11 kW

Feb 04, 2013 4:38:00 PM - Feb 05, 2013 .



energy.ucsd.edu

Fuse data from Dr. Yuvraj Agarwal's Energy Dashboard project

Main Contributions

- ① Convert 1,000+ ODEs into two PDEs
 - Scalable
 - Elegant
 - Facilitates analysis and algorithm design

- ② Monitor TCL population w/ min. sensing via boundary state estimation
 - Scalable
 - Reduces cost
 - Alleviates communication bandwidth req's

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The Vehicle-to-Grid (V2G) Integration Problem

Needs: Resilient and sustainable energy/transportation infrastructure

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Some Interesting Facts

Plug-in Electric Vehicles (PEVs)	Potentially dispatchable loads “carbitrage” opportunity Firm variable renewables
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The Punchline

Exploit flexibility of PEV charging to enhance efficiency across infrastructures

Modeling Aggregated PEVs

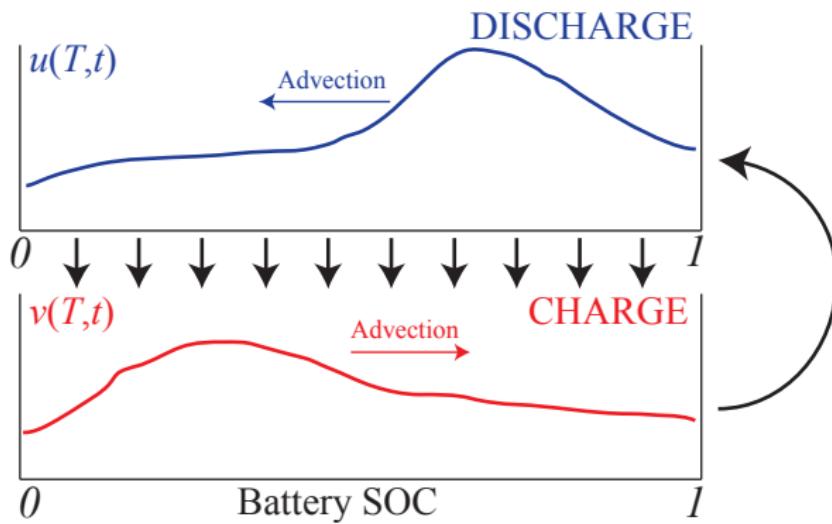
Main Idea: Mathematically model as coupled linear PDEs

$u(T, t)$	# PEVs / SOC, in DISCHARGE state , @ SOC x , time t
$v(T, t)$	# PEVs / SOC, in CHARGE state , @ SOC x , time t

Modeling Aggregated PEVs

Main Idea: Mathematically model as coupled linear PDEs

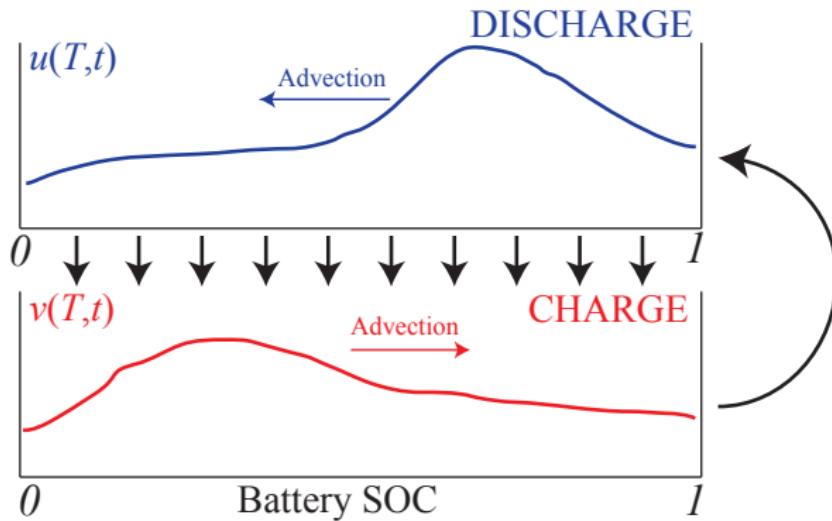
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Open Questions: Modeling, state estimation, control/optimization, implementation

When to charge PEVs?

Research Question:

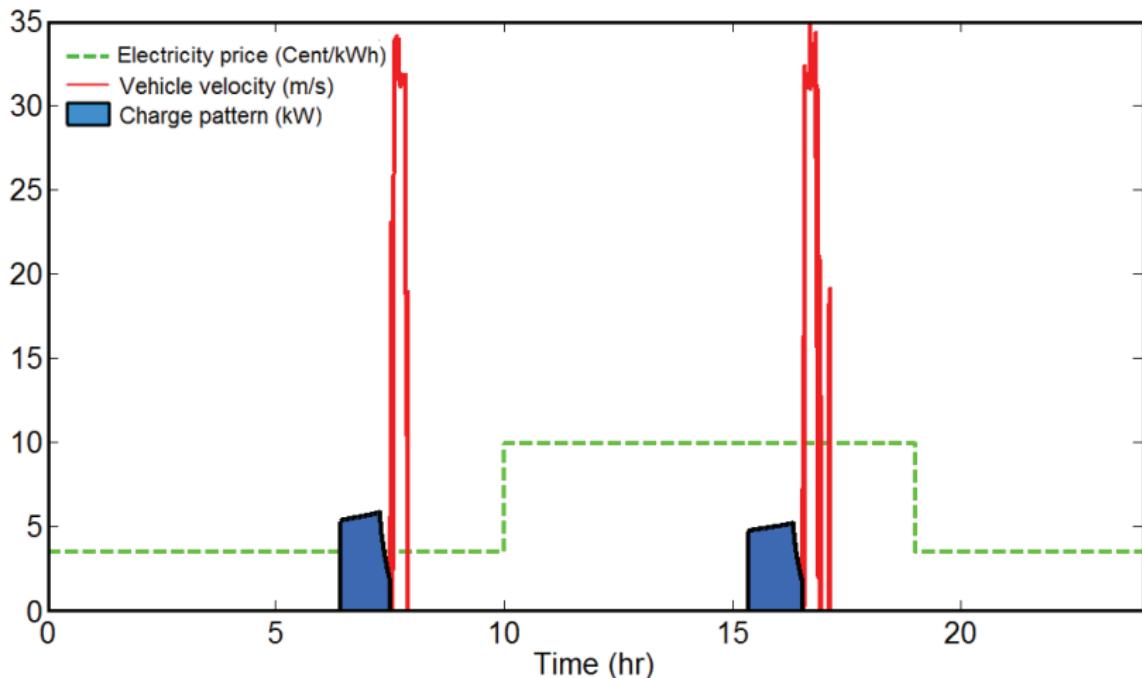
When to charge PEV's to maximize consumer-side benefits?

Objectives:

Fuel & electricity cost, battery health

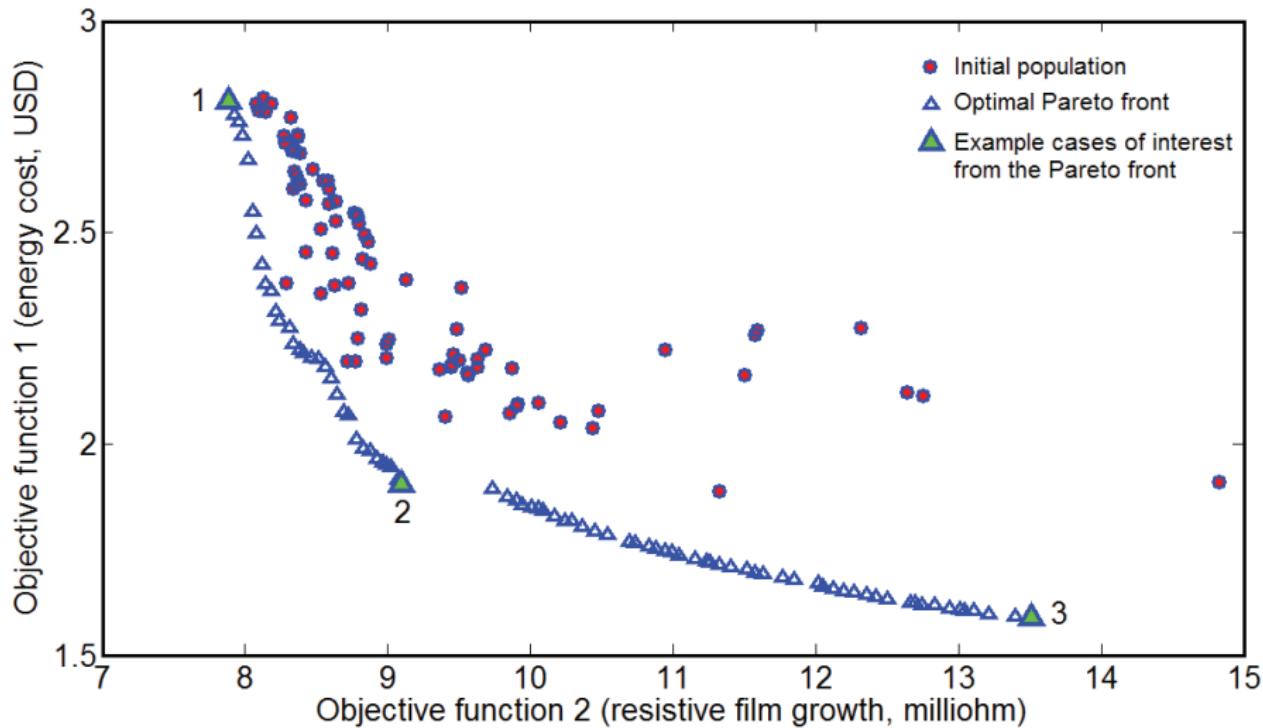
Decision variables:

When, how long, at what rate?



Multiobjective Optimization

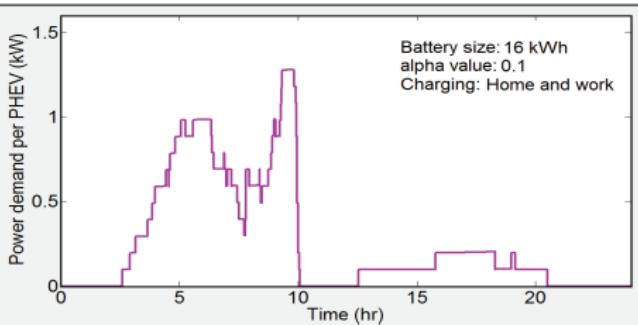
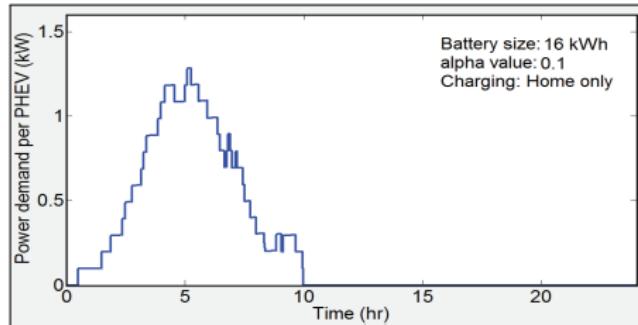
Energy Cost vs. Battery Health



Infrastructure Req's?

Research Question:
Sensitivity to:
Underlying Data:

Does charging at work lower peak loads?
Fuel/electricity price, battery size, health vs. energy
NHTS Survey, UMTRI driving patterns



Solutions for Energy & Mobility Infrastructures

Decrease energy waste



Intelligent energy management

(e.g., smart cities)

Integrate sustainable resources



Energy storage

(e.g., batteries)

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Outline

1 Modeling and Estimation for Building Energy

- Modeling Aggregations via PDEs
- Estimation - looking inside w/ Models, Meas., and Math

2 Vehicle to Grid Integration

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The Battery Problem

Needs: Cheap, high energy, high power, long life

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Some Motivating Facts

EV Batts

\$800 / kWh now (2010)

\$125 / kWh for parity to IC engine

Only 75% of available capacity is used

Range anxiety inhibits adoption

Lifetime risks caused by fast charging

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Two Solutions

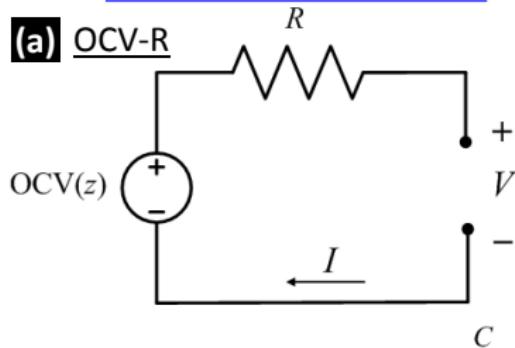
Design better batteries
(materials science & chemistry)

Make current batteries better
(estimation and control)

Operate Batteries at their Physical Limits

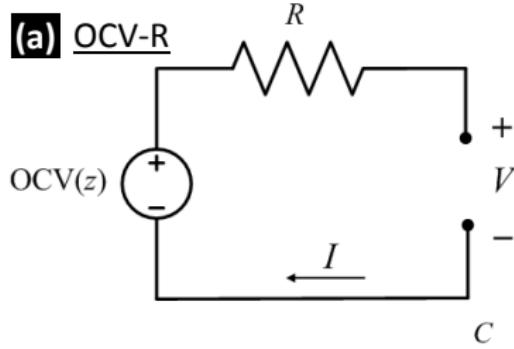
Equivalent Circuit Model

(a) OCV-R

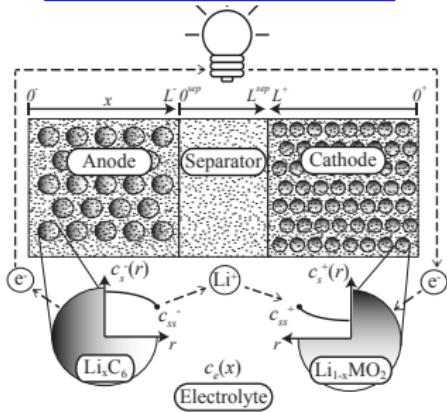


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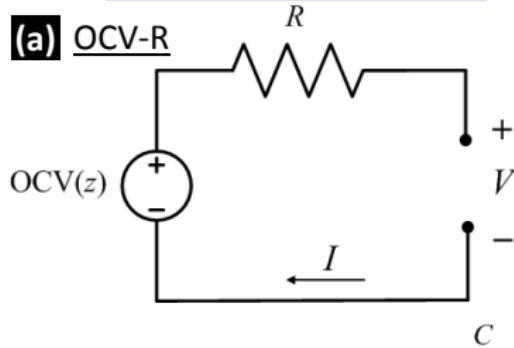


Electrochemical Model

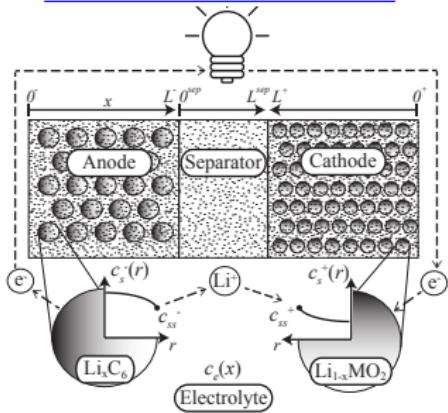


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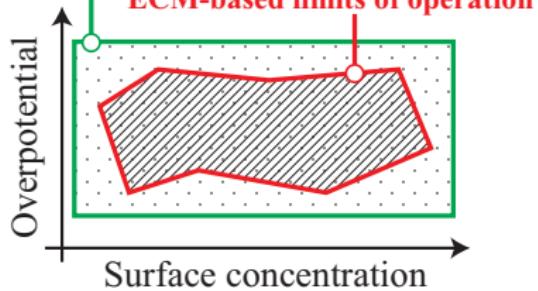
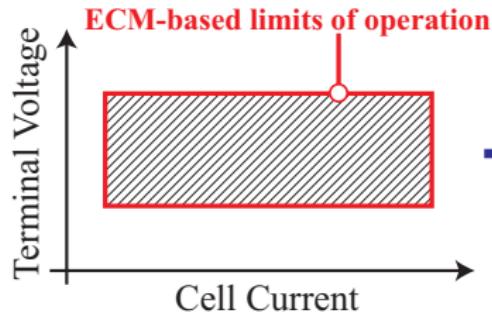
Equivalent Circuit Model



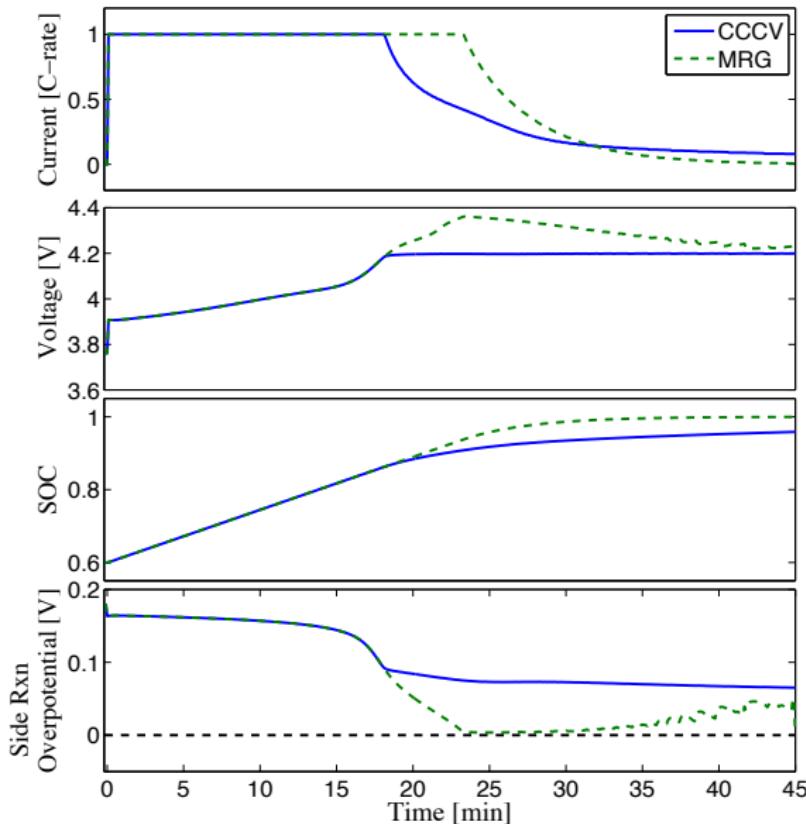
Electrochemical Model



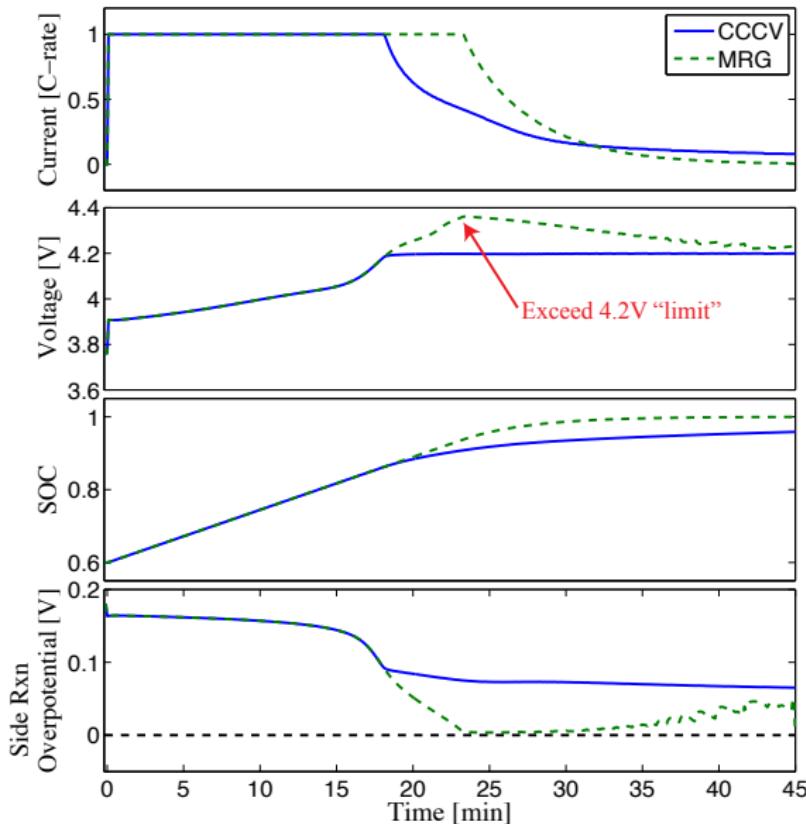
Electrochemical model-based limits of operation



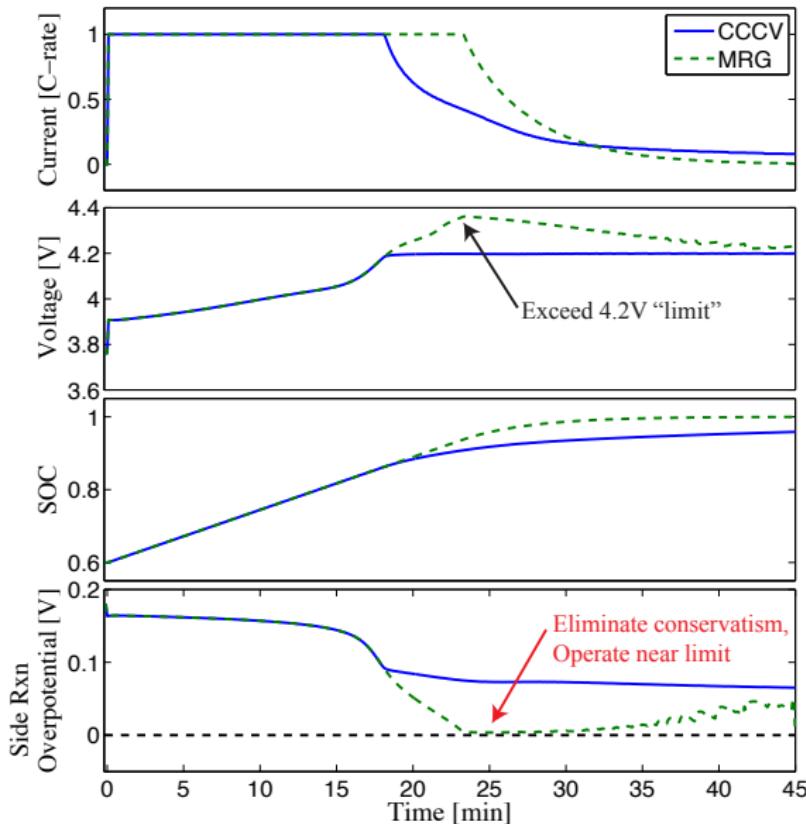
Operate at Limits. More energy, power, faster charge times.



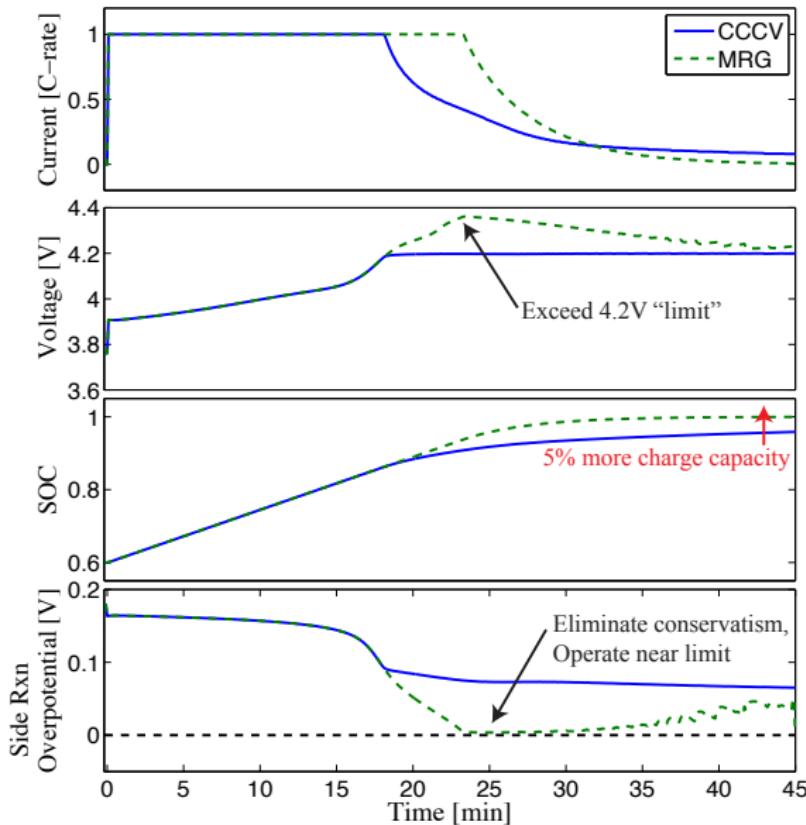
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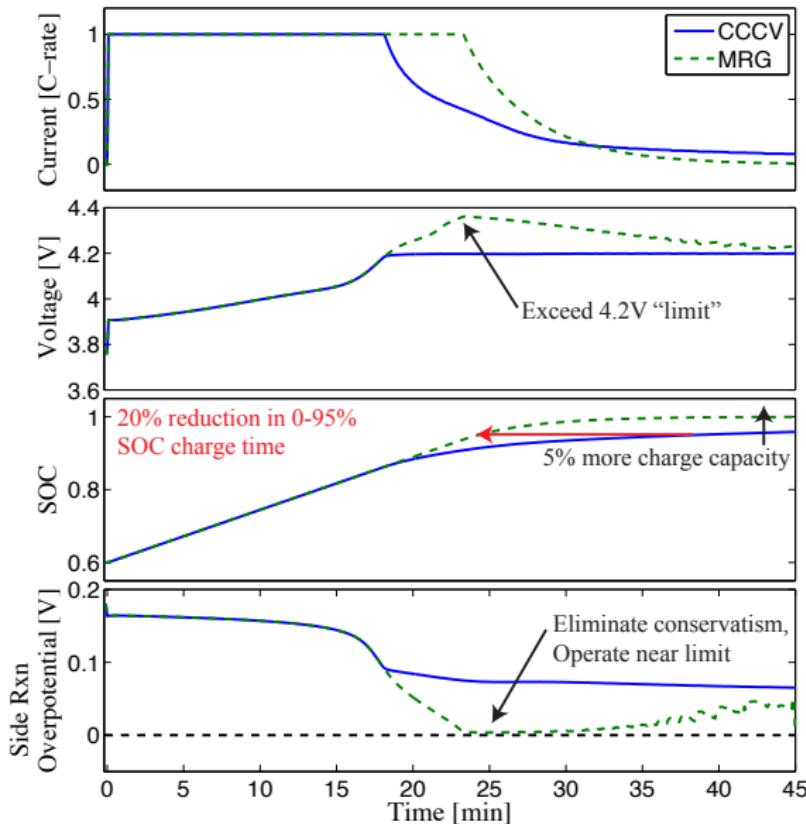
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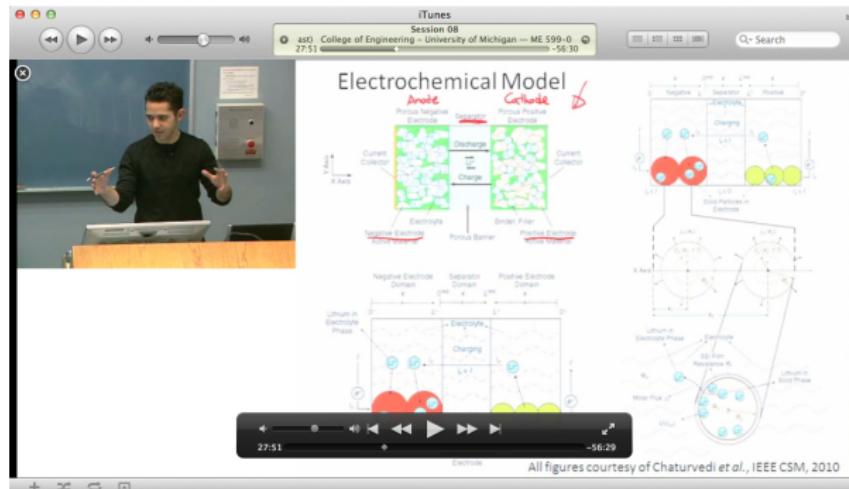


Battery Systems and Control Course

Funded by DOE-ARRA, University of Michigan

Enrollment

- Winter 2010: 59 + 5 distance
- Winter 2011: 50 + 26 distance
- All majors across CoE
- Undergraduates
- Graduate students
- Professionals
 - Tesla Motors, General Motors, Roush, US Army



Main Contributions

State and parameter estimation
of physically meaningful variables via electrochemical models,
PDE estimation theory, and adaptive control.

Impact through education.

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Future: Cyber-Physical Building Systems

Vision: Fully automated and adaptive building energy management.

Open technical problems for Coupled Parabolic PDEs:

- (1) Simultaneous **State & Parameter** Estimation

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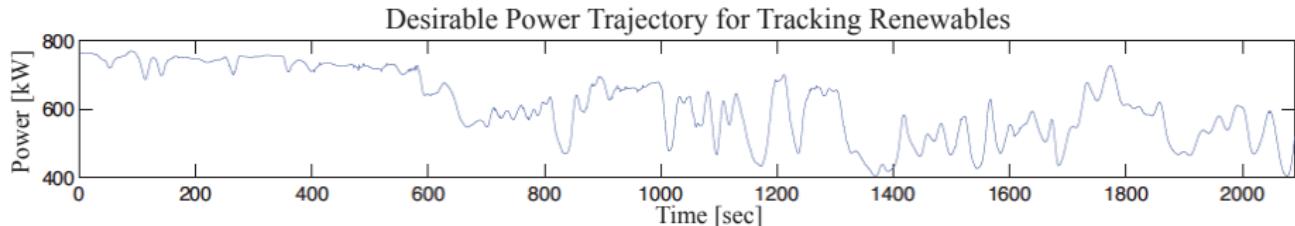
$$\begin{aligned} u_t &= \alpha\lambda(x)u_x + \alpha u + \beta u_{xx} \\ v_t &= -\alpha\mu(x)v_x + \alpha v + \beta v_{xx} \\ u(1, t) &= q_1 v(1, t), \quad u_x(0, t) = -v_x(0, t) \\ v(0, t) &= q_2 u(0, t), \quad v_x(1, t) = -u_x(1, t) \end{aligned}$$

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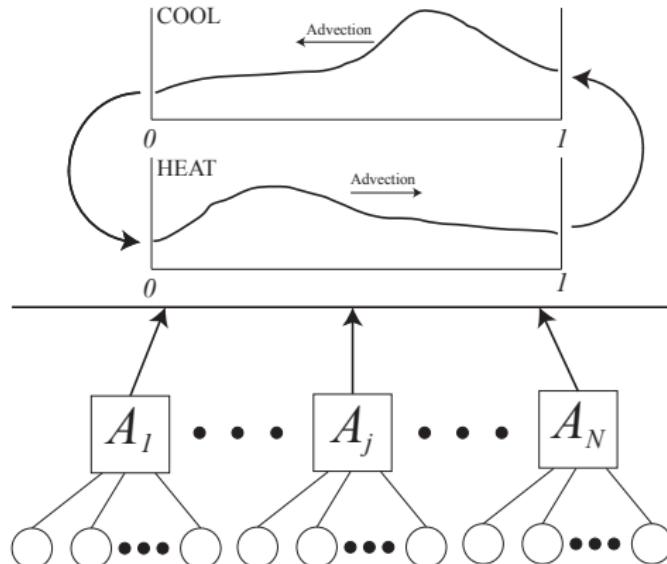


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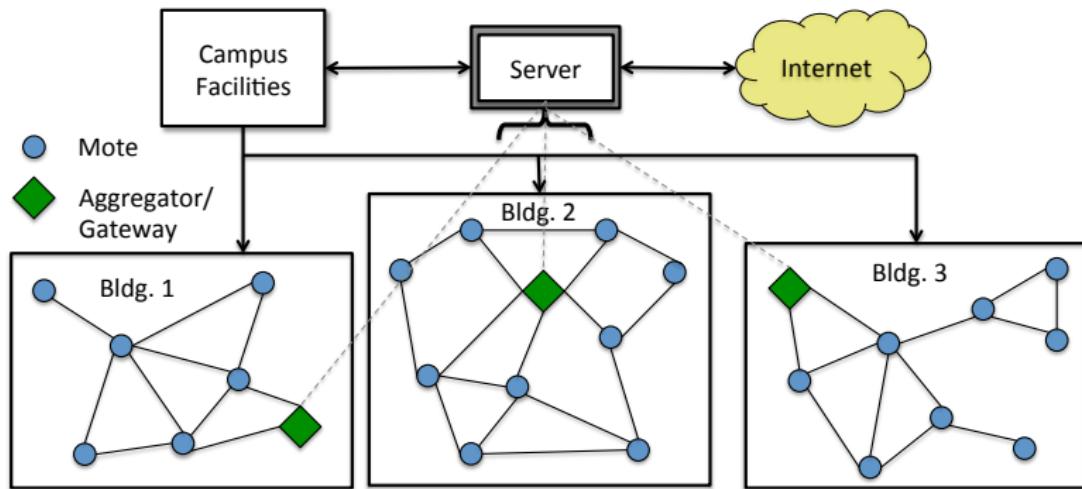


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- Nest Labs, Palo Alto
- Demand Response Research Center (LBL), Callaway (ERG), Auslander (ME), Poolla (ME), Varaiya (EECS)

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Funding:

- California Energy Commission
- NSF EPAS, CPS

Future: EV Urban Mobility

Goal: Solve range anxiety

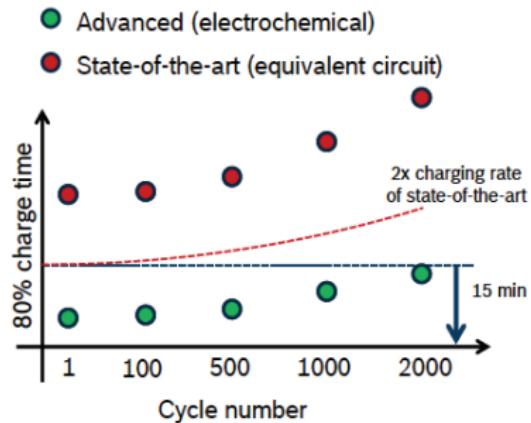
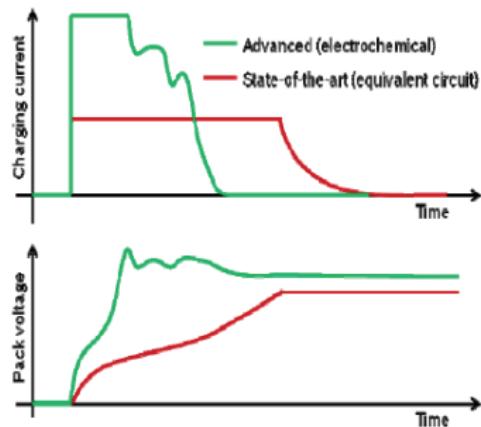
Key components:

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Key components:

(1) Fast-safe charging



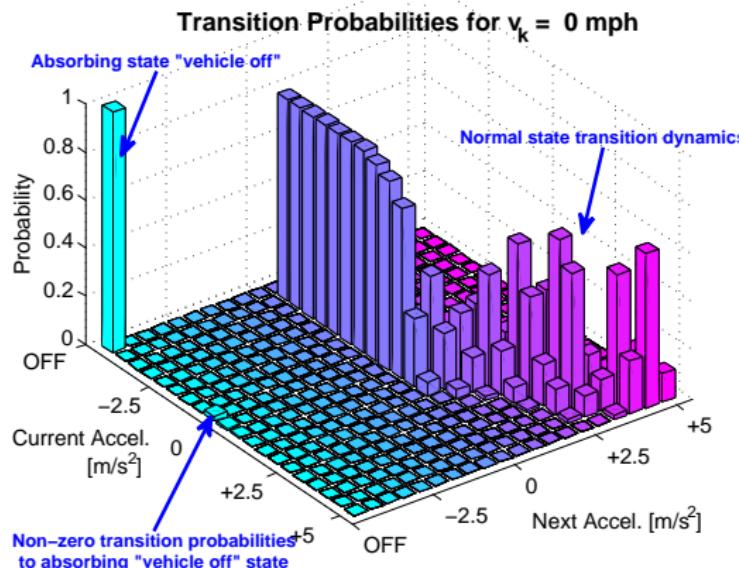
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Key components:

- (1) Fast-safe charging
- (2) Self-Learning Driving Patterns

Adaptive Markov chain model: $p_{ijm} = \Pr(a_{k+1} = j | a_k = i, v_k = m)$



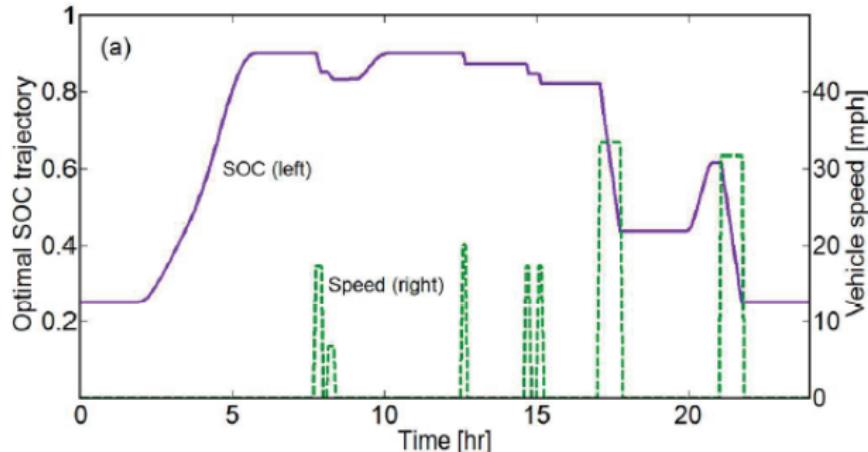
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- (3) Optimal EV Usage

- Satisfy range req.
- Max health, min energy consumption
- Grid interface for city-wide resilience



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- Electric mobility LCA & course development (ECIC program - Horvath)
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- ARPA-E AMPED
- DoD HESM

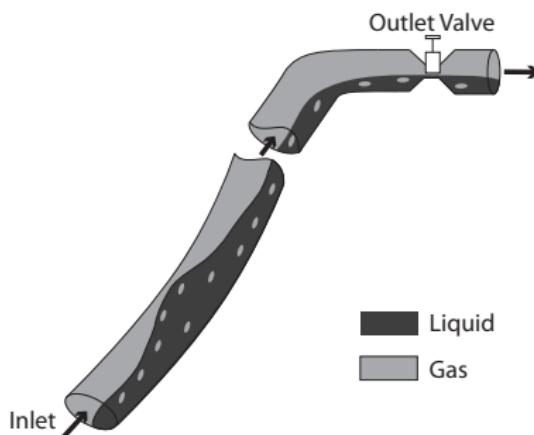
Future: Multiphase Flow of Oil Production Systems

Collaboration with CAS @ Ecole des Mines (di Meglio, Petit) and Statoil

Goal: Control slugging

Technical problem: Estimation & control of multiphase flow

$$\frac{\partial \zeta}{\partial t}(x, t) + A(\zeta) \frac{\partial \zeta}{\partial x}(x, t) = S(x)$$



■ Liquid
■ Gas



Images courtesy of Florent di Meglio

Courses I could teach

Undergrad

CE 93 - Engineering Data Analysis

CE 155 - Transportation Systems Engineering

Graduate

CE 186 - Design of Cyber-Physical Systems

CE 200B - Numerical Methods for Environmental Flow Monitoring (Chow)

CE 268E - Civil Systems and the Environment (Horvath)

C291F - Control & Optimization of Distributed Parameter Systems (Bayen)

Control & Automation Laboratory (Cal) for Infrastructure Systems

Themes: Energy | Electrified mobility

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Key Tools:

- PDE modeling
- Lyapunov stability
- Adaptive control
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Theory/Computational	70%	30%	Experimental
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Outreach, Diversity, and Inclusion

- Cal NERDS
- HES, BESSA, SWE
- SUPERB, UC LEADS

Publications available at <http://flyingv.ucsd.edu/smoura/>

