Scott Moura

Associate Professor | eCAL Director Clare and Hsieh Wen Shen Endowed Distinguished Professorship Civil & Environmental Engineering Chair of Engineering Science University of California, Berkeley Office: 625 Davis Hall University of California, Berkeley Berkeley, CA USA 94720 Cell: +1 (818) 395-5718 smoura@berkeley.edu http://ecal.berkeley.edu

Education

UC President's Postdoctoral Fellow (2011-2013) University of California, San Diego

Topic: PDE Control and Estimation Techniques for Advanced Battery Management Systems

Advisor: Professor Miroslav Krstić

Ph.D., Mechanical Engineering (2011) University of Michigan, Ann Arbor

Dissertation: Techniques for Battery Health Conscious Power Management via Electrochemical

Modeling and Optimal Control

Advisors: Professor Jeffrey L. Stein (Michigan) and Professor Hosam K. Fathy (Penn State)
Committee: Prof. Jeffrey Stein (Michigan-ME), Prof. Hosam Fathy (Penn State-MNE), Prof. Huei

Peng (Michigan-ME), Prof. Jessy Grizzle (Michigan-EECS:Systems)

Major Field: Systems and Controls

M.S.E, Mechanical Engineering (2008) University of Michigan, Ann Arbor

Thesis: *Plug-in Hybrid Electric Vehicle Power Management: Optimal Control & Battery Sizing* Advisors: Professor Jeffrey L. Stein (Michigan), Professor Hosam K. Fathy (Penn State), and

. Professor Jerrey L. Stein (Wilcingan), Professor Hosain K. Pathy (Penn State),

Professor Duncan S. Callaway (UC Berkeley)

Major Field: Systems and Controls

B.S., Mechanical Engineering (2006)

University of California, Berkeley

Graduated with Honors

Research Interests

Theory: Control & estimation, optimization, data science

Applications: Batteries; automated, connected & electrified vehicles; clean energy systems

Awards & Honors

ASME Dynamic Systems and Control Division Young Investigator Award (2021)

UC Berkeley Institute of Transportation Studies Faculty of the Year (2020)

NSF CAREER (2019-2024)

IFAC Young Author Award Finalist (as advisor, student is Sangiae Bae) (2018)

2nd IFAC Conference on Cyber-Physical & Human Systems, Miami, FL USA

Best Student Paper Finalist (as advisor, student is Saehong Park) (2018)

2018 American Control Conference, Milwaukee, WI USA

Energy Systems Best Paper Award Finalist (2018)

2018 American Control Conference, Milwaukee, WI USA

National Academy of Engineering, China-America Frontiers of Engineering Participant (2017)

Carol D. Soc Distinguished Graduate Student Mentoring Award for Junior Faculty (2017)

1st LG Chem Battery Innovation Contest Winner (2017)

Blavatnik Awards for Young Scientists – UC Berkeley Campus nominee (2016)

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O. Hugo Schuck Best Paper Award, American Control Conference (2015)

"Sensitivity-Based Interval PDE Observer for Battery SOC Estimation"

IEEE Transactions on Smart Grid Best Reviewer Award (2015)

Siebel Energy Institute Research Grant (2 awards) (2015)

Energy Systems Best Paper Award

2015 ASME Dynamic Systems and Control Conference, Columbus, OH USA

Best Student Paper Award (as advisor, student is Hector Perez)

2015 American Control Conference, Chicago, IL USA

Hellman Fellow (2015)

University of California Presidential Postdoctoral Fellowship

University of California (2011 - 2013)

National Science Foundation (NSF) Graduate Research Fellowship

National Science Foundation (2008 - 2011)

ProQuest Distinguished Dissertation Award, Honorable Mention

Rackham Graduate School, University of Michigan (2011)

Distinguished Leadership Award

College of Engineering, University of Michigan (2009)

Rackham Merit Fellowship (RMF)

University of Michigan Rackham Graduate School (2006 – 2011)

2012 ASME Dynamic Systems and Control Conference, Ft Lauderdale, CA USA

Semi-Plenary Speaker

Best Presentation in Session

2012 American Control Conference, Montreal, QC Canada

Best Presentation in Session

2011 American Control Conference, San Francisco, CA USA

Best Student Paper Finalist

Best Presentation in Session

2009 ASME Dynamic Systems and Control Conference, Hollywood, CA USA

Best Student Paper Finalist

Best Presentation in Session

2009 American Control Conference, Baltimore, MD USA

Best Presentation in Session

2008 ASME Dynamic Systems and Control Conference, Ann Arbor, MI USA

Best Presentation in Session

2008 Society of Hispanic Professional Engineers Conference, Phoenix, AZ USA

1st Place Technical Paper Competition

2008 Engineering Graduate Symposium, University of Michigan

2nd Place Poster, Control Systems Session

2007 Engineering Graduate Symposium, University of Michigan

2nd Place Oral Presentation, System Analysis and Control Session

Michigan Memorial Phoenix Energy Institute (MMPEI)

MMPEI-Rackham Energy Fellowship, Honorable Mention (2007 – 2008)

SHPE Academic Achievement Award

Society of Hispanic Engineers and Scientists, University of Michigan (2007)

National Science Foundation (NSF)

Graduate Research Fellowship Program (GRFP), Honorable Mention (2006 – 2007)

Publications

Total Citations \geq 6900 / h-index = 40 / i10-index = 87 (<u>Google Scholar</u>, October 2021) **Bold names** indicate students that I have primarily mentored

Peer-Reviewed Journals

- J1. S. J. Moura, D. S. Callaway, H. K. Fathy, and J. L. Stein, "Tradeoffs between Battery Energy Capacity and Stochastic Optimal Power Management in Plug-in Hybrid Electric Vehicles," *Journal of Power Sources*, v 195, n 9, p 2979-2988, May 2010. DOI: 10.1016/j.jpowsour.2009.11.026
- J2. S. Bashash, S. J. Moura, J. C. Forman, and H. K. Fathy, "Plug-in hybrid electric vehicle charge pattern optimization for energy cost and battery longevity," *Journal of Power Sources*, v 196, n 1, p 541-549, January 2011. DOI: 10.1016/j.jpowsour.2010.07.001
- J3. S. J. Moura, H. K. Fathy, D. S. Callaway, and J. L. Stein, "A Stochastic Optimal Control Approach for Power Management in Plug-in Hybrid Electric Vehicles," *IEEE Transactions on Control Systems Technology*, v 19, n 3, p 545-555, May 2011. DOI: 10.1109/TCST.2010.2043736
- J4. S. J. Moura, J. C. Forman, S. Bashash, J. L. Stein, and H. K. Fathy, "Optimal Control of Film Growth in Lithium-Ion Battery Packs via Relay Switches," *IEEE Transactions on Industrial Electronics*, v 58, n 8, p 3555-3566, Aug 2011. DOI: <u>10.1109/TIE.2010.2087294</u>
- J5. S. Bashash, S. J. Moura, and H. K. Fathy, "On the Aggregated Grid Load Imposed by Battery Health-Conscious Charging of Plug-in Hybrid Electric Vehicles," *Journal of Power Sources*, v 196, n 20, p 8747-8754, Oct 2011. DOI: 10.1016/j.jpowsour.2011.06.025
- J6. J. C. Forman, S. J. Moura, J. L. Stein, H. K. Fathy, "Genetic Identification and Fisher Identifiability Analysis of the Doyle-Fuller-Newman Model from Experimental Cycling of a LiFePO₄ Cell," *Journal of Power Sources*, v 210, p 263-275, July 2012. DOI: 10.1016/j.jpowsour.2012.03.009
- J7. S. J. Moura and H. K. Fathy, "Optimal Boundary Control of Reaction-Diffusion PDEs via Weak Variations," *ASME Journal of Dynamic Systems, Measurement, and Control*, v 135, n 3, pp. 034501-034508, Feb 2013. DOI: 10.1115/1.4023071
- J8. S. J. Moura, J. L. Stein, and H. K. Fathy, "Battery Health Conscious Power Management in Plugin Hybrid Electric Vehicles via Electrochemical Modeling and Stochastic Control," *IEEE Transactions on Control Systems Technology*, v 21, n 3, pp. 679-694, May 2013. DOI: 10.1109/TCST.2012.2189773
- J9. S. J. Moura and Y. A. Chang, "Lyapunov-based Switched Extremum Seeking for Photovoltaic Power Maximization," *Control Engineering Practice*, v 21, n 7, pp. 971-980, July 2013. DOI: 10.1016/j.conengprac.2013.02.009
- J10. S. J. Moura, N. A. Chaturvedi, M. Krstic, "Adaptive PDE Observer for Battery SOC/SOH Estimation via an Electrochemical Model," *ASME Journal of Dynamic Systems, Measurement, and Control*, v 136, n 1, pp. 011015 011026, Oct 2013. DOI: 10.1115/1.4024801
- J11. S. J. Moura, J. Bendsten, V. Ruiz, "Parameter Identification of Aggregated Thermostatically Controlled Loads for Smart Grids using PDE Techniques," *International Journal of Control*, v 87, n 7, pp. 1373-1386, May 2014 (Invited Paper). DOI: 10.1080/00207179.2014.915083

- J12. C. Sun, X. Hu, S. J. Moura, F. Sun, "Velocity Predictors for Predictive Energy Management in Hybrid Electric Vehicles," *IEEE Transactions on Control Systems Technology*, v 23, n 3, pp. 1197-1204, May 2015. DOI: 10.1109/TCST.2014.2359176
- J13. C. Sun, S. J. Moura, X. Hu, J. K. Hedrick, F. Sun, "Dynamic Traffic Feedback Data Enabled Energy Management in Plug-in Hybrid Electric Vehicles," *IEEE Transactions on Control Systems Technology*, v 23, n 3, pp. 1075-1086, May 2015. DOI: 10.1109/TCST.2014.2361294
- J14. S. Saxena, **C. Le Floch**, J. MacDonald, S. J. Moura, "Quantifying EV Battery End-of-Life through Analysis of Travel Needs with Vehicle Powertrain Models," *Journal of Power Sources*, v 282, n 15, pp. 265-276, May 2015. DOI: 10.1016/j.jpowsour.2015.01.072
- J15. A Ghaffari, S. J. Moura, M. Krstic, "PDE-based Modeling, Control, and Stability Analysis of Heterogeneous Thermostatically Controlled Load Populations," *ASME Journal of Dynamic Systems, Measurement, and Control*, v 137, n 10, pp. 101009-101009-9, July 2015. DOI: 10.1115/1.4030817.
- J16. **H. E. Perez**, N. Shahmohammadhamedani, S. J. Moura, "Enhanced Performance of Li-ion Batteries via Modified Reference Governors & Electrochemical Models," *IEEE/ASME Transactions on Mechatronics*, v 20, n 4, pp. 1511-1520, Aug 2015. DOI: 10.1109/TMECH.2014.2379695
- J17. S. Saxena, J. MacDonald, S. J. Moura, "Charging Ahead on the Transition to Electric Vehicles with Standard 120 V Wall Outlets," *Applied Energy*, v 157, pp. 720-728, Nov 2015. DOI: 10.1016/j.apenergy.2015.05.005
- J18. **E. Burger**, S. J. Moura, "Gated Ensemble Learning Method for Demand-Side Electricity Load Forecasting," *Energy and Buildings*, v 109, pp. 23-34, Dec 2015. DOI: 10.1016/j.enbuild.2015.10.019.
- J19. X. Hu, N. Murgovski, B. Egardt, S. J. Moura, D. Cao, "Integrated Optimization of Battery Sizing, Charging, and Power Management in Plug-in Hybrid Electric Vehicles," *IEEE Transactions on Control Systems Technology*, vol. 24, no. 3, pp. 1036-1043, May 2016. DOI: 10.1109/TCST.2015.2476799.
- J20. **C. Le Floch**, F. Belletti, S. J. Moura, "Optimal Charging of Electric Vehicles for Load Shaping: a Dual Splitting Framework with Explicit Convergence Bounds," *IEEE Transactions on Transportation Electrification*, vol. 2, no. 2, pp. 190-199, June 2016. DOI: 10.1109/TTE.2016.2531025.
- J21. C. Sun, F. Sun, S. J. Moura, "Nonlinear Predictive Energy Management of Residential Buildings with Photovoltaics & Batteries," *Journal of Power Sources*, v 325, pp. 723-731, Sep 2016. DOI: 10.1016/j.ipowsour.2016.06.076
- J22. X. Wu, X. Hu, S. J. Moura, X. Yin, V. Pickert, "Stochastic Control of Smart Home Energy Management with PEV Energy Storage and Photovoltaic Array," *Journal of Power Sources*, v 333, pp. 203-212, Nov 2016. DOI: 10.1016/j.jpowsour.2016.09.157
- J23. **E. Burger**, S. J. Moura, "Recursive Parameter Estimation of Thermostatically Controlled Loads via Unscented Kalman Filter," *Sustainable Energy, Grids and Networks*, v 8, pp. 12-25, Dec 2016. DOI: 10.1016/j.segan.2016.09.001
- J24. B. Wang, Z. Liu, S. Li, S J. Moura, H. Peng, "State of Charge Estimation for Lithium-Ion Batteries Based on a Nonlinear Fractional Model," *IEEE Transactions on Control Systems Technology*, v 25, n 1, pp. 3-11, Jan 2017. DOI: 10.1109/TCST.2016.2557221

- J25. S. J. Moura, F. Bribiesca Argomedo, R. Klein, A. Mirtabatabaei, M. Krstic, "Battery State Estimation for a Single Particle Model with Electrolyte Dynamics," *IEEE Transactions on Control Systems Technology*, v 25, n 2, pp. 453-468. Mar 2017. DOI: 10.1109/TCST.2016.2571663
- J26. **E. Burger**, S. J. Moura, "Generation Following with Thermostatically Controlled Loads via Alternating Direction Method of Multipliers Sharing Algorithm," *Electric Power Systems Research*, v 146, pp. 141-160, Mar 2017. DOI: 10.1016/j.epsr.2016.12.001
- J27. **H. E. Perez**, S. Dey, X. Hu, S. J. Moura, "Optimal Charging of Li-Ion Batteries via a Single Particle Model with Electrolyte and Thermal Dynamics," *Journal of the Electrochemical Society*, v 164, n 7, pp. A1679-A1687, June 2017. DOI: 10.1149/2.1301707jes
- J28. **H. E. Perez**, X. Hu, S. Dey, S. J. Moura, "Optimal Charging of Li-Ion Batteries with Coupled Electro-Thermal-Aging Dynamics," *IEEE Transactions on Vehicular Technology*, v 66, n 9, pp. 7761-7770, September 2017. DOI: 10.1109/TVT.2017.2676044
- J29. **C. Le Floch**, E. C. Kara, S. J. Moura, "PDE Modeling and Control of Electric Vehicle Fleets for Ancillary Services: A Discrete Charging Case," *IEEE Transactions on Smart Grid*, v9, n 2, pp. 573-581, March 2018. DOI: 10.1109/TSG.2016.2556643
- J30. X. Wu, S. J. Moura, X. Hu, X. Yin, "Stochastic Optimal Energy Management of Smart Home with PEV Energy Storage," *IEEE Transactions on Smart Grid*, v9, n 3, pp. 2065-2075, May 2018. DOI: 10.1109/TSG.2016.2606442
- J31. S. Park, D. Kato, Z. Gima, R. Klein, S. J. Moura, "Optimal Experimental Design for Parameterization of an Electrochemical Lithium-ion Battery Model," *Journal of the Electrochemical Society*, v 165, n 7, pp. A1309-A1323, May 2018. DOI: 10.1149/2.0421807jes
- J32. **H. Zhang**, S. J. Moura, Z. Hu, W. Qi, Y. Song, "A Second Order Cone Programming Model for PEV Fast-Charging Station Planning", *IEEE Transactions on Power Systems*, v33, n 3, pp. 2763-2777, May 2018. DOI: 10.1109/TPWRS.2017.2754940
- J33. Y. Xu, S. Colak, E. C. Kara, S. J. Moura, M. Gonzalez, "Planning for Electric Vehicle Needs by Coupling Charging Profiles with Urban Mobility," *Nature Energy*, v 3, pp. 484-493, Jun 2018. DOI: 10.1038/s41560-018-0136-x
- J34. **H. Zhang**, S. J. Moura, Z. Hu, Y. Song, "PEV Fast-Charging Station Siting and Sizing on Coupled Transportation and Power Networks," *IEEE Transactions on Smart Grid*, v9, n 4, pp. 2595-2605, July 2018. DOI: 10.1109/TSG.2016.2614939
- J35. **H. Zhang**, S. J. Moura, Z. Hu, W. Qi, Y. Song, "Joint Planning of PEV Fast-Charging Network and Distributed PV Generation Using the Accelerated Generalized Benders Decomposition," *IEEE Transactions on Transportation Electrification*, v4, n 3, pp. 789-803, Sep 2018. DOI: 10.1109/TTE.2018.2847244
- J36. M. Hao, J. Li, **S. Park**, S. J. Moura, C. Dames, "Efficient thermal management of Li-ion batteries with a passive interfacial thermal regulator based on shape memory alloy," *Nature Energy*, v3, n10, pp. 899-906, Oct 2018. DOI: 10.1038/s41560-018-0243-8. **Nature Energy News & Views.**
- J37. S. Dey, **H. Perez**, S. J. Moura, "Model-based Battery Thermal Fault Diagnostics: Algorithms, Analysis and Experiments," *IEEE Transactions on Control Systems Technology*, v27, n2, pp. 576-587, Mar 2019. DOI: 10.1109/TCST.2017.2776218
- J38. Y. Wang, S. J. Moura, S. Advani, A Prasad, "Power management system for a fuel cell/battery hybrid vehicle incorporating fuel cell and battery degradation," *International Journal of Hydrogen Energy*, v44, n16, pp. 8479-8492, Mar 2019. DOI: 10.1016/j.ijhydene.2019.02.003

- J39. **C. Le Floch**, S. Bansal, C. Tomlin, S. J. Moura, M. Zeilinger, "Plug-and-Play Model Predictive Control for Load Shaping and Voltage Control in Smart Grids," *IEEE Transactions on Smart Grid*, v10, n3, pp. 2334-2344, May 2019. DOI: 10.1109/TSG.2017.2655461
- J40. **H. Zhang**, Z. Hu, **E. Munsing**, S. J. Moura, Y. Song, "Data-driven Chance-constrained Regulation Capacity Offering for Distributed Energy Resources," *IEEE Transactions on Smart Grid*, v10, n3, pp. 2713-2725, May 2019. DOI: 10.1109/TSG.2018.2809046
- J41. M. Memarzadeh, S. J. Moura, A. Horvath, "Optimizing dynamics of integrated food-energy-water systems under the risk of climate change," *Environmental Research Letters*, v14, n7, pp. 074010, July 2019. DOI: 10.1088/1748-9326/ab2104
- J42. Y. Wang, S. J. Moura, S. Advani, A Prasad, "Optimization of powerplant component size on board a fuel cell/battery hybrid bus for fuel economy and system durability," *International Journal of Hydrogen Energy*, v44, n33, pp. 18283 18292, July 2019. DOI: 10.1016/j.ijhydene.2019.05.160
- J43. S. Dey, **H. E. Perez**, S. J. Moura, "Robust Fault Detection of a Class of Uncertain Linear Parabolic PDEs," *Automatica*, v107, n1, pp. 502-510, Sept 2019. DOI: 10.1016/j.automatica.2019.06.014
- J44. H. Zhang, C. Sheppard, T. Lipman, **T. Zeng**, S. J. Moura "Charging Infrastructure Demands of Shared-Use Autonomous Electric Vehicles in Urban Areas," *Transportation Research Part D: Transport and Environment*, v78, pp. 102210, Jan 2020. DOI: 10.1016/j.trd.2019.102210
- J45. **D. Zhang,** S. Dey, H. E. Perez, S. J. Moura, "Real-Time Capacity Estimation of Lithium-Ion Batteries Utilizing Thermal Dynamics," *IEEE Transactions on Control Systems Technology*, v28, n3, pp. 992-1000, May 2020. DOI: 10.1109/TCST.2018.2885681
- J46. **T. Zeng,** H. Zhang, S. J. Moura, "Solving Overstay and Stochasticity in PEV Charging Station Planning with Real Data," *IEEE Transactions on Industrial Informatics*, v16, n5, pp. 3504 3514, May 2020. DOI: 10.1109/TII.2019.2955997
- J47. C. Sun, J. Guanetti, F. Borrelli, S. J. Moura, "Optimal Eco-Driving Control of Connected and Autonomous Vehicles Through Signalized Intersections," *IEEE Internet of Things Journal*, v7, n5, pp. 3759-3773, May 2020. DOI: 10.1109/JIOT.2020.2968120
- J48. **D. Zhang**, S. Dey, L. Couto, S. J. Moura, "Battery Adaptive Observer for a Single Particle Model with Intercalation-Induced Stress," *IEEE Transactions on Control Systems Technology*, v28, n4, pp. 1363-1377, July 2020. DOI: 10.1109/TCST.2019.2910797
- J49. M. Memarzadeh, S. J. Moura, A. Horvath, "Multi-agent management of integrated food-energy-water systems using stochastic games: from Nash equilibrium to the social optimum," *Environmental Research Letters*, v15, n9, pp. 0940a4, Sep 2020. DOI: 10.1088/1748-9326/abadca
- J50. H. Zhang, C. J. R. Sheppard, T. E. Lipman, S. J. Moura, "Joint Fleet Sizing and Charging System Planning for Autonomous Electric Vehicles," *IEEE Transactions on Intelligent Transportation Systems*, v21, n11, pp. 4725-4738, Nov 2020. DOI: <u>10.1109/TITS.2019.2946152</u>
- J51. A. Halder, K. Caluya, B. Travacca, S. J. Moura, "Hopfield Neural Network Flow: A Geometric Viewpoint," *IEEE Transactions on Neural Networks and Learning Systems*, v31, n11, pp 4869-4880, Nov 2020. arXiv. DOI: 10.1109/TNNLS.2019.2958556
- J52. **L. N. Dunn, I. Kavvada, M. D. Badoual**, and S. J. Moura, "Bayesian Hierarchical Methods for Modeling Electrical Grid Component Failures," *Electrical Power Systems Research*, v189, pp. 106789, December 2020. DOI: 10.1016/j.epsr.2020.106789

- J53. D. Zhang, L. D. Couto, S. J. Moura, "Electrode-Level State Estimation in Lithium-ion Batteries via Kalman Decomposition," *IEEE Control Systems Letters*, v5, n5, pp. 1657-1662, Nov 2021. DOI: 10.1109/LCSYS.2020.3042751
- J54. **Z. Zhou**, S. J. Moura, H. Zhang, X. Zhang, Q. Guo, H. Sun, "Power-Traffic Network Equilibrium Incorporating Behavioral Theory: A Potential Game Perspective," *Applied Energy*, v289, pp. 116703, May 2021. DOI: 10.1016/j.apenergy.2021.116703
- J55. S. Woo, S. Bae, S. J. Moura, "Pareto Optimality in Cost and Service Quality for an Electric Vehicle Charging Facility," *Applied Energy*, v 290, pp. 116779, May 2021. DOI: 10.1016/j.apenergy.2021.116779.
- J56. T. Zeng, S. Bae, B. Travacca, S. J. Moura, "Inducing Human Behavior to Maximize Operation Performance at PEV Charging Station," *IEEE Transactions on Smart Grid*, v12, n4, pp. 3353-3363, July 2021. DOI: 10.1109/TSG.2021.3066998
- J57. D. Zhang, L. D. Couto, P. Gill, S. Benjamin, W. Zeng, S. J. Moura, "Thermal Enhanced Adaptive Interval Estimation in Battery Packs with Heterogeneous Cells," to appear in IEEE Transactions to Control Systems Technology. DOI: 10.1109/TCST.2021.3091108
- J58. **D. Zhang**, S. Dey, S. Tang, R. Drummond, S. J. Moura, "Battery Temperature Estimation with an Uncertain Semilinear Thermal PDE Model," *Automatica*, v133, pp. 109849, Nov 2021. DOI: 10.1016/j.automatica.2021.109849
- J59. H. Yu, **S. Park**, A. M. Bayen, S. J. Moura, M. Krstic, "Reinforcement Learning versus PDE Backstepping and PI Control for Congested Freeway Traffic," *to appear in IEEE Transactions on Control Systems Technology*. DOI: 10.1109/TCST.2021.3116796
- J60. L. D. Couto, R. Romagnoli, S. Park, D. Zhang, S. J. Moura, M. Kinnaert, E. Garone, "Faster and Healthier Charging of Lithium-Ion Batteries via Constrained Feedback Control," *provisional acceptance to IEEE Transactions on Control Systems Technology*.
- J61. B. Haydon, J. Cole, **L. Dunn, P. Keyantuo**, T. Katopodes-Chow, S. J. Moura, C. Vermillion, "Generalized Empirical Regret Bounds for Control of Renewable Energy Systems in Spatiotemporally Varying Environments", *to appear in ASME Journal of Dynamic Systems, Measurement, and Control*.
- J62. S. Bae, Y. Kim, Y. Choi, J. Guanetti, P. Gill, F. Borrelli, S. J. Moura, "Ecological Adaptive Cruise Control of Plug-in Hybrid Electric Vehicle with Connected Infrastructure and On-Road Experiments," to appear in ASME Journal of Dynamic Systems, Measurement, and Control.
- J63. I. Kavvada, S. J. Moura, A. Horvath, N. A. Abrahamson, "Probabilistic Seismic Hazard Analysis for Spatially Distributed Infrastructure Considering the Correlation of Spectral Acceleration Across Spectral Periods", *to appear in Earthquake Spectra*.

Peer-Reviewed Journals (In Review)

- J64. S. Dey, S. J. Moura, "Robust Fault Detection of the Wave Equations with Threshold-based Approach."
- J65. A. Bafandeh, C. Vermillion, L. Dunn, S. J. Moura, "Forecast-Based Hierarchical Control Structure for Spatiotemporally Varying Systems: A Case Study in Airborne Wind Energy".
- J66. **S. Park**, A. Pozzi, M. Whitmeyer, H. E. Perez, A. Kandel, G. Kim, Y. Choi, W. T. Joe, D. M. Raimondo, S. J. Moura, "A Deep Reinforcement Learning Framework for Fast Charging of Li-ion Batteries"

- J67. **S. Bae**, D. Isele, A. Nakhaei, P. Xu, A. Miranda Añon, C. Choi, K. Fujimura, S. J. Moura, "Lane-Change in Dense Traffic with Model Predictive Control and Neural Networks"
- J68. **Y. Zhao, T. Zeng**, Z. Allybokus, Y. Guo, S. J. Moura, "Joint Design for Electric Fleet Operator and Charging Service Provider: Understanding the Non-Cooperative Nature."
- J69. **A. Kandel, S. Park,** S. J. Moura, "Distributionally Robust Surrogate Optimal Control for High-Dimensional Systems."
- J70. A. Kandel, S. J. Moura, "Zero-Shot Model-Based Learning and Control."
- J71. I. Kavvada, A. Horvath, S. J. Moura, "Distributionally robust budget allocation for earthquake risk mitigation in buildings."

Refereed Conferences Proceedings

- C1. S. J. Moura, H. K. Fathy, D. S. Callaway, J. L. Stein, "A Stochastic Optimal Control Approach for Power Management in Plug-in Hybrid Electric Vehicles," 2008 ASME Dynamic Systems and Control Conference, Ann Arbor, MI, 2008. DOI: 10.1115/DSCC2008-2252
- C2. S. J. Moura, D. S. Callaway, H. K. Fathy, and J. L. Stein, "Impact of Battery Sizing on Stochastic Optimal Power Management in Plug-in Hybrid Electric Vehicles," 2008 IEEE International Conference on Vehicular Electronics & Safety, pp. 96-102, Columbus, OH, 2008. (Invited Paper). DOI: 10.1109/ICVES.2008.4640902
- C3. Y. A. Chang, S. J. Moura, "Air-Flow Control in Fuel Cell Systems: An Extremum Seeking Approach," 2009 American Control Conference, St. Louis, MO, 2009. DOI: 10.1109/ACC.2009.5160016
- C4. S. J. Moura, J. C. Forman, J. L Stein, H. K. Fathy, "Control of Film Growth in Lithium Ion Battery Packs via Switches," 2009 ASME Dynamic Systems and Control Conference, Hollywood, CA, 2009. DSCC Best Student Paper Finalist. DOI: 10.1115/DSCC2009-2724
- C5. S. J. Moura, Y. A. Chang "Asymptotic Convergence through Lyapunov-Based Switching in Extremum Seeking with Application to Photovoltaic Systems," 2010 American Control Conference, Baltimore, MD, 2010. DOI: 10.1109/ACC.2010.5530764
- C6. S. Bashash, S. J. Moura, H. K. Fathy "Charge Trajectory Optimization of Plug-in Hybrid Electric Vehicles for Energy Cost Reduction and Battery Life Enhancement," 2010 American Control Conference, Baltimore, MD, 2010. DOI: 10.1109/ACC.2010.5530497
- C7. S. J. Moura, J. B. Siegel, D. J. Siegel, H. K. Fathy, A. G. Stefanopoulou, "Education on Vehicle Electrification: Battery Systems, Fuel Cells, and Hydrogen," *2010 IEEE Vehicle Power and Propulsion Conference*, Lille, France, 2010. DOI: <u>10.1109/VPPC.2010.5729150</u>
- C8. S. J. Moura, J. L. Stein, H. K. Fathy, "Battery Health-Conscious Power Management for Plug-in Hybrid Electric Vehicles via Stochastic Control," 2010 ASME Dynamic Systems and Control Conference, Cambridge, MA, 2010. DOI: 10.1115/DSCC2010-4089
- C9. S. Bashash, S. J. Moura, H. K. Fathy, "Battery Health-Conscious Plug-in Hybrid Electric Vehicle Power Demand Prediction," ASME Dynamic Systems & Control Conf., Cambridge, MA, 2010. DOI: 10.1115/DSCC2010-4197
- C10. S. J. Moura, H. K. Fathy, "Optimal Boundary Control & Estimation of Diffusion-Reaction PDEs," 2011 American Control Conf., San Francisco, CA, 2011. ACC Best Student Paper Finalist. DOI: 10.1109/ACC.2011.5990900

- C11. J. C. Forman, S. J. Moura, J. L. Stein, H. K. Fathy, "Genetic Parameter Identification of the Doyle-Fuller-Newman Model From Experimental Cycling of a Li-ion LiFePO₄ Battery," 2011 American Control Conference, San Francisco, CA, 2011. DOI: 10.1109/ACC.2011.5991183
- C12. S. J. Moura, N. A. Chaturvedi, M. Krstic "PDE Estimation Techniques for Advanced Battery Management Systems Part I: SOC Estimation," 2012 American Control Conference, Montreal, Canada, 2012. (Invited Paper). DOI: 10.1109/ACC.2012.6315019
- C13. S. J. Moura, N. A. Chaturvedi, M. Krstic "PDE Estimation Techniques for Advanced Battery Management Systems Part II: SOH Identification," 2012 American Control Conference, Montreal, Canada, 2012. (Invited Paper). DOI: 10.1109/ACC.2012.6315020
- C14. S. J. Moura, N. A. Chaturvedi, M. Krstic, "Adaptive PDE Observer for Battery SOC/SOH Estimation," *ASME Dynamic Systems and Control Conference*, Ft. Lauderdale, FL, 2012. **DSCC Semi-Plenary.** DOI: 10.1115/DSCC2012-MOVIC2012-8800
- C15. J. C. Forman, S. J. Moura, J. L. Stein, H. K. Fathy, "Optimal Experimental Design for Modeling Battery Degradation," *ASME Dynamic Systems and Control Conf.*, Ft. Lauderdale, FL, 2012. DOI: 10.1115/DSCC2012-MOVIC2012-8751
- C16. P. Wolf, S. J. Moura, M. Krstic, "On Optimal Sensor Placement for Spatio-Temporal Temperature Estimation in Large Battery Packs," *51st IEEE Conference on Decision and Control*, Maui, HI, 2012. (Invited Paper). DOI: <u>10.1109/CDC.2012.6426191</u>
- C17. S. J. Moura, N. A. Chaturvedi, M. Krstic, "Constraint Management in Li-ion Batteries: A Modified Reference Governor Approach," *2013 American Control Conference*, Washington, D.C., 2013. (Invited Paper). DOI: <u>10.1109/ACC.2013.6580670</u>
- C18. S. J. Moura, V. Ruiz, J. Bendsten, "Modeling Heterogeneous Populations of Thermostatically Controlled Loads using Diffusion-Advection PDEs," *ASME Dynamic Systems and Control Conference*, Stanford, CA, 2013. DOI: <u>10.1115/DSCC2013-3809</u>
- C19. S. J. Moura, J. Bendsten, V. Ruiz, "Observer Design for Boundary Coupled PDEs: Application to Thermostatically Controlled Loads in Smart Grids." *52nd IEEE Conference on Decision and Control*, Florence, Italy, 2013. (Invited Paper). DOI: <u>10.1109/CDC.2013.6760883</u>
- C20. **C. Sun**, X. Hu, S. J. Moura, F. Sun, "Comparison of Velocity Forecasting Strategies for Predictive Control in HEVs," *ASME Dynamic Systems and Control Conference*, San Antonio, TX, 2014. DOI: 10.1115/DSCC2014-6031
- C21. A. Ghaffari, S. J. Moura, M. Krstic, "Analytic Modeling and Integral Control of Heterogeneous Thermostatically Controlled Load Populations," *ASME Dynamic Systems and Control Conference*, San Antonio, TX, 2014. DOI: 10.1115/DSCC2014-6022
- C22. **H. Perez**, S. J. Moura, "Sensitivity-Based Interval PDE Observer for Battery SOC Estimation," 2015 American Control Conference, Chicago, IL, 2015. **O. Hugo Schuck Best Paper & ACC Best Student Paper Awards.** 10.1109/ACC.2015.7170756
- C23. C. Le Floch, F. Di Meglio, S. J. Moura, "Optimal Charging of Vehicle-to-Grid Fleets via PDE Aggregation Techniques," 2015 American Control Conference, Chicago, IL, 2015. DOI: 10.1109/ACC.2015.7171839
- C24. C. Sun, F. Sun., X. Hu, S. J. Moura, "Integrating Traffic Velocity Data into Predictive Energy Management of Plug-in Hybrid Electric Vehicles," 2015 American Control Conference, Chicago, IL, 2015. DOI: 10.1109/ACC.2015.7171836

- C25. **C. Sun**, F. Sun, S. J. Moura, "Data Enabled Predictive Energy Management of a PV-Battery Smart Home Nanogrid," 2015 American Control Conference, Chicago, IL, 2015. DOI: 10.1109/ACC.2015.7170867
- C26. X. Hu, **H. Perez**, S. J. Moura, "Battery Charge Control with an Electro-Thermal-Aging Coupling," 2015 ASME Dynamic Systems and Control Conference, Columbus, OH, 2015. **Energy Systems TC Best Paper Award.** 10.1115/DSCC2015-9705
- C27. F. Belletti, C. Le Floch, S. J. Moura, A. Bayen, "Privacy-preserving dual splitting distributed optimization with Application to load flattening in California," 54th IEEE Conference on Decision and Control, Osaka, Japan, 2015. DOI: 10.1109/CDC.2015.7402724
- C28. C. Le Floch, F. Belletti, S. Saxena, A. Bayen, S. J. Moura, "Distributed Optimal Charging of Electric Vehicles for Demand Response and Load Shaping," 54th IEEE Conference on Decision and Control, Osaka, Japan, 2015. DOI: 10.1109/CDC.2015.7403254
- C29. S. J. Moura, "Estimation and Control of Battery Electrochemistry Models: A Tutorial," *54th IEEE Conference on Decision and Control*, Osaka, Japan, 2015. DOI: <u>10.1109/CDC.2015.7402827</u>
- C30. **H. Perez**, X. Hu, S. J. Moura, "Optimal Charging of Batteries via a Single Particle Model with Electrolyte and Thermal Dynamics," *2016 American Control Conference*, Boston, MA, 2016. DOI: 10.1109/ACC.2016.7525538
- C31. **H. Zhang**, Z. Hu, S. J. Moura, Y. Song, "Coordination of V2G and Distributed Wind Power Using the Storage-like Aggregate PEV Model," *2016 IEEE PES Innovative Smart Grid Technologies Conference*, Minneapolis, MN, 2016. DOI: <u>10.1109/ISGT.2016.7781246</u>
- C32. L. Camacho, M. Krstic, R. Klein, A. Mirtabatabaei, S. J. Moura, "State Estimation for an Electrochemical Model of Multiple-Material Lithium-Ion Batteries", 2016 ASME Dynamic Systems and Control Conference, Minneapolis, MN, 2016. DOI: 10.1115/DSCC2016-9877
- C33. A.-P. Avrin, S. J. Moura, D. M. Kammen, "Minimizing Cost Uncertainty with a New Methodology for Use In Policy Making: China's Electricity Pathways," 2016 IEEE/PES Asia-Pacific Power & Energy Engineering Conference, Xi'an, China, Oct 2016. APPEEC Best Student Paper Award. DOI: 10.1109/APPEEC.2016.7779459
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- C37. **D. Zhang, S. Dey, H. Perez**, S. J. Moura, "Remaining Useful Life Estimation of Lithium-Ion Batteries based on Thermal Dynamics," *2017 American Control Conference*, Seattle, WA, 2017. DOI: 10.23919/ACC.2017.7963575
- C38. **H. Zhang**, S. J. Moura, Z. Hu, W. Qi, Y. Song, "Joint PEV Charging Station and Distributed PV Generation Planning," *IEEE PES General Meeting*, Chicago, IL, 2017. DOI: 10.1109/PESGM.2017.8274111

- C39. **E. Munsing**, J. Mather, S. J. Moura, "Blockchains for Decentralized Optimization of Energy Resources in Microgrid Networks," *IEEE Conference on Control Technology and Applications*, Kohala Coast, HI, 2017. DOI: 10.1109/CCTA.2017.8062773
- C40. **B. Travacca**, **S. Bae**, J. Wu, S. J. Moura, "Stochastic Day Ahead Load Scheduling for Aggregated Distributed Energy Resources," *IEEE Conference on Control Technology and Applications*, Kohala Coast, HI, 2017. DOI: <u>10.1109/CCTA.2017.8062774</u>
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- C43. X. Shen, S. J. Moura, Q. Guo, H. Sun, W. Xiong, L. Tang, "Optimal Dispatch Model for District Heating Network based on Interior-Point Method," *IEEE Conference on Energy Internet and Energy System Integration*, Beijing, China, 2017. DOI: 10.1109/EI2.2017.8245628
- C44. **D. Zhang**, S. Dey, S. J. Moura, "Lithium-Ion Battery State Estimation for a Single Particle Model with Intercalation-Induced Stress," *2018 American Control Conference*, Milwaukee, WI, USA, 2018. **Energy Systems TC Best Paper Finalist. DOI:** 10.23919/ACC.2018.8431476
- C45. C. Sun, X. Shen, S. J. Moura, "Robust Optimal Eco-driving Control with Uncertain Traffic Signal Timing," 2018 American Control Conference, Milwaukee, WI, USA, 2018.
- C46. **S. Bae**, S. M. Han, S. J. Moura, "System Analysis and Optimization of Human-Actuated Dynamical Systems," 2018 American Control Conference, Milwaukee, WI, USA, 2018.
- C47. **S. Park, D. Kato, Z. Gima**, R. Klein, S. J. Moura, "Optimal Input Design for Parameter Identification in an Electrochemical Li-ion Battery Model," *2018 American Control Conference*, Milwaukee, WI, USA, 2018. **ACC Best Student Paper Finalist.**
- C48. L. Camacho-Solorio, S. J. Moura, M. Krstic, "Robustness of Boundary Observers for Radial Diffusion Equations to Parameter Uncertainty," 2018 American Control Conference, Milwaukee, WI, USA, 2018.
- C49. S. Dey, S. J. Moura, "Robust Fault Diagnosis of Uncertain One-dimensional Wave Equations," 57th IEEE Conference on Decision and Control, Miami Beach, FL, USA, 2018.
- C50. **B. Travacca**, S. J. Moura, "Dual Hopfield Method for Large-Scale Mixed-Integer Programming," *57th IEEE Conference on Decision and Control*, Miami Beach, FL, USA, 2018.
- C51. **S. Bae**, S. M. Han, S. J. Moura, "Modeling and Control of Human Actuated Systems," 2nd IFAC Conference on Cyber-Physical & Human-Systems, Miami, FL, USA, 2018. **IFAC Young Author Award Finalist.** DOI: 10.1016/j.ifacol.2019.01.016
- C52. **S. Bae**, Y. Kim, J. Guanetti, F. Borrelli, S. J. Moura "Design and Implementation of Ecological Adaptive Cruise Control for Autonomous Driving with Communication to Traffic Lights," *2019 American Control Conference, Philadelphia, PA, USA, 2019.*
- C53. **L. Dunn**, C. Vermillion, F. Katopodes Chow, S. J. Moura "On Wind Speed Sensor Configurations and Altitude Control in Airborne Wind Energy Systems," *2019 American Control Conference, Philadelphia, PA, USA, 2019, Philadelphia, PA, USA, 2019.* DOI:

- C54. **Z. Zhou**, S. J. Moura, H. Zhang, X. Zhang, Q. Guo, H. Sun, "A Game-Theoretic Approach to Analyzing Equilibria in Coupled Power and Transportation Networks," *2019 IEEE Power & Energy Society General Meeting*.
- C55. **D. Zhang**, S. Tang, S. J. Moura, "State and Disturbance Estimator for Unstable Reaction Advection-Diffusion PDE with Anti-Collocated Disturbance," *2019 SIAM Conference on Control and Its Applications*.
- C56. **S. Bae**, Y. Choi, Y. Kim, J. Guanetti, F. Borrelli, S. J. Moura, "Real-time Ecological Velocity Planning for Plug-in Hybrid Vehicles with Partial Communication to Traffic Lights," *58th IEEE Conference on Decision and Control*.
- C57. B. Haydon, J. Cole, **L. Dunn, P. Keyantuo**, T. Katopodes-Chow, S. J. Moura, C. Vermillion," Empirical Regret Bounds for Control in Spatiotemporally Varying Environments: A Case Study in Airborne Wind Energy," *2019 ASME Dynamic Systems and Control Conference*.
- C58. Y. Zhang, S. J. Moura, "Stochastic Optimal Load Shedding with Heterogeneous Load Zones," 2020 IEEE PES Innovative Smart Grid Technologies Conference.
- C59. **D. Zhang**, L. D. Couto, S. Benjamin, W. Zeng, D. F. Coutinho, S. J. Moura, "State of Charge Estimation of Parallel Connected Battery Cells via Descriptor System Theory," *2020 American Control Conference*. **Energy Systems TC Best Paper Award.**
- C60. **D. Zhang**, L. D. Couto, **P. Gill**, S. Benjamin, W. Zeng, S. J. Moura, "Interval Observer for SOC Estimation in Parallel-Connected Lithium-ion Batteries," *2020 American Control Conference*.
- C61. **S. Bae, T. Zeng, B. Travacca**, S. J. Moura, "Inducing Human Behavior to Alleviate Overstay at PEV Charging Station," *2020 American Control Conference*.
- C62. **S. Bae**, D. M. Saxena, A. Nakhaei, C. Choi, K. Fujimura, S. J. Moura, "Cooperation-Aware Lane Change Maneuver in Dense Traffic based on Model Predictive Control with Recurrent Neural Network," *2020 American Control Conference*.
- C63. **A. Kandel, S. Park**, H. E. Perez, G. Kim, Y. Choi, H. J. Ahn, W. T. Joe, S. J. Moura, "Distributionally Robust Surrogate Optimal Control for Large-Scale Dynamical Systems," *2020 American Control Conference*.
- C64. **Z. Gima, D. Kato,** R. Klein, S. J. Moura, "Analysis of Online Parameter Estimation for Electrochemical Li-Ion Battery Models Via Reduced Sensitivity Equations," *2020 American Control Conference*, 1-3 July 2020.
- C65. **D. Zhang**, L. D. Couto, **S. Park, P. Gill**, S. J. Moura, "Nonlinear State and Parameter Estimation for Lithium-Ion Batteries with Thermal Coupling," *21st IFAC World Congress*, 2020.
- C66. Y. Choi, J. Guanetti, S. J. Moura, F. Borrelli "Data-driven Energy Management Strategy for Plugin Hybrid Electric Vehicles with Real-World Trip Information" *21*st IFAC World Congress, 2020.
- C67. **S. Park**, A. Pozzi, M. Whitmeyer, W. T. Joe, D. M. Raimondo, S. J. Moura "Reinforcement Learning-based Fast Charging Control Strategy for Li-ion Batteries," 2020 4th IEEE Conference on Control Technology and Applications.
- C68. L. D. Couto, **D. Zhang**, A. Aitio, S. J. Moura, D. Howey, "Estimation of Parameter Probability Distributions for Lithium-Ion Battery String Models Using Bayesian Methods," 2020 ASME Dynamic Systems and Control Conference.

- C69. **S. Park**, D. Lee, H. J. Ahn, C. J. Tomlin, S. J. Moura, "Optimal Control of Battery Fast Charging Based-on Pontryagin's Minimum Principle," *59th IEEE Conference on Decision and Control*, 2020.
- C70. A. Pozzi, **S. Bae**, Y. Choi, D. M. Raimondo, S. J. Moura, "Ecological Velocity Planning through Signalized Intersections: A Deep Reinforcement Learning Approach," *59th IEEE Conference on Decision and Control*, 2020.
- C71. **B. Travacca**, L. El Ghaoui, S. J. Moura, "Implicit Optimization: Models and Methods," *59th IEEE Conference on Decision and Control*, 2020.
- C72. **Z. Huang**, D. Zhang, L. D. Couto, Q.-H. Yang, S. J. Moura, "State Estimation for a Zero-Dimensional Electrochemical Model of Lithium-Sulfur Batteries," *accepted to 2021 American Control Conference*.
- C73. **S. Park**, D. Zhang, R. Klein, S. J. Moura, "Estimation of Cyclable Lithium for Li-ion Battery State-of-Health Monitoring," *accepted to 2021 American Control Conference*.
- C74. **M. Badoual**, S. J. Moura, "A Learning-based Optimal Market Bidding Strategy for Price-Maker Energy Storage," *accepted to 2021 American Control Conference*.
- C75. **D. Kato**, S. J. Moura, "1D PDE Model for Thermal Dynamics in Fluid-Cooled Battery Packs: Numerical Methods and Sensor Placement," *accepted to 2021 American Control Conference*.
- C76. **G. Goujard, M. Baoudal**, K. Janin, S. Schwarz, S. J. Moura, "Optimal Siting, Sizing and Bid Scheduling of a Price-Maker Battery on a Nodal Wholesale Market," *accepted to 2021 American Control Conference*.
- C77. H. Tu, S. J. Moura, H. Fang, "Integrating Electrochemical Modeling with Machine Learning for Lithium-Ion Batteries," *accepted to 2021 American Control Conference*.
- C78. D. Zhang, L. D. Couto, S. J. Moura, "Observability Analysis for Electrode-Level State Estimation of Lithium-ion Batteries," *accepted to 2021 American Control Conference*.
- C79. **S. Bae**, D. Isele, K. Fujimura, S. J. Moura, "Risk-Aware Lane Selection on Highway with Dynamic Obstacles," *accepted to 2021 32nd IEEE Intelligent Vehicles Symposium*.
- C80. **P. Keyantuo, L. Dunn**, B. Haydon, C. Vermillion, F. Katopodes Chow, S. J. Moura, "A Vector Auto-Regression Based Forecast of Wind Speeds in Airborne Wind Energy Systems," *accepted to 2021 5th IEEE Conference on Control Technology and Applications*.
- C81. B. Haydon, K. Mishra, P. Keyantuo, D. Panagou, F. Katopodes Chow, S. J. Moura, C. Vermillion, "Dynamic Coverage Meets Regret: Unifying Two Control Performance Measures for Mobile Agents in Spatiotemporally Varying Environments."

Articles in Non-Refereed Magazines or Journals

M1. S. J. Moura and **H. Perez**, "Better Batteries through Electrochemistry and Controls," *ASME Dynamic Systems and Control Magazine*, v 2, n 2, pp. S15-S21, July 2014.

Patent

P1. S. J. Moura, "Design and Control of Electric Vehicle Charging Infrastructure," U.S. Provisional Patent 62/609,403 filed December 22, 2017.

Dissertations (as Author, Chair, or Primary Advisor)

D1. S. J. Moura, "Plug-in Hybrid Electric Vehicle Power Management: Optimal Control and Battery Sizing," M.S.E. Thesis, Dept of Mechanical Engineering, University of Michigan, Ann Arbor, 2008.

- D2. S. J. Moura, "S. J. Moura, "Plug-in Hybrid Electric Vehicle Power Management: Optimal Control and Battery Sizing," Ph.D. Thesis, Dept of Mechanical Engineering, University of Michigan, Ann Arbor, 2011.
- D3. H. E. Perez, "Model Based Optimal Control, Estimation, and Validation of Lithium-Ion Batteries," Ph.D. Thesis, Dept of Civil & Environmental Engineering, University of California, Berkeley, 2016.
- D4. E. M. Burger, "Building Energy Modeling and Control Methods for Optimization and Renewables Integration," Ph.D. Thesis, Dept of Civil & Environmental Engineering, University of California, Berkeley, 2017.
- D5. C. Le Floch, "Methods for Optimal Charging of Large Fleets of Electric Vehicles," Ph.D. Thesis, Dept of Civil & Environmental Engineering, University of California, Berkeley, 2017.
- D6. E. Munsing, "Optimization Tools for Constrained Energy Markets," Ph.D. Thesis, Dept of Civil & Environmental Engineering, University of California, Berkeley, 2018.
- D7. Z. T. Gima, "Parameter Estimation in Electrochemical Li-ion Battery Models," Ph.D. Thesis, Dept of Civil & Environmental Engineering, University of California, Berkeley, 2020.
- D8. D. Zhang, "Model-based Online State and Parameter Estimation for Lithium-ion Battery Management Systems," Ph.D. Thesis, Dept of Civil & Environmental Engineering, University of California, Berkeley, 2020.
- D9. L. N. Dunn, "Data-Driven Decision Analysis in Electric Power Systems," Ph.D. Thesis, Dept of Civil & Environmental Engineering, University of California, Berkeley, 2020.
- D10. S. Park, "Techniques for Battery Management: Modeling, Estimation, Learning & Controls," Ph.D. Thesis, Dept of Civil & Environmental Engineering, University of California, Berkeley, 2020.
- D11. S. Bae, "Optimization and Control in Smart Cities: Mobility, Electrification, and Behavior," Ph.D. Thesis, Dept of Civil & Environmental Engineering, University of California, Berkeley, 2020.

Invited Talks

Total since arriving at UC Berkeley: >90 in total

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•	C3.ai DTI ML for a Resilient, Secure, Carbon-Free Electricity Supply	Jun 2021
•	Princeton-UCSB Workshop on Modern Power Grids	Jun 2021
•	Penn State Energy Days 2021	May 2021
•	Apple	Apr 2021
•	MIT Pierce Laboratory for Infrastructure Science and Engineering	Mar 2021
•	2020 Virtual INFORMS Annual Meeting	Nov 2020
•	University of Colorado, Boulder Renewable and Sustainable Energy Institute	Nov 2020
•	NextProf Nexus 2020 Virtual	Sep 2020
•	General Motors	Sep 2020
•	Columbia University Columbia Electrochemical Energy Center	July 2020
•	Oxford Battery Modeling Symposium (Virtual Conference due to COVID)	March 2020
•	West Bengal Transport Corporation, Kolkata, India Workshop on Electric Mobility	March 2020
•	Gordon Research Conference: Batteries	Feb 2020
•	UCLA MAE Seminar	Dec 2019
•	IEEE Conf. on Decision & Control Workshop on Smart Society & CPHS	Dec 2019
•	NextProf Nexus Georgia Tech	Oct 2019
•	Georgia Tech Decision and Control Laboratory Seminar	Oct 2019
•	University of California, Davis MAE Seminar	May 2019
•	University of California, Santa Cruz Cyber-Physical Systems Research Center	Jan 2019
•	Stem Inc.	Jan 2019

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•	LANL Grid Science Winter School & Conference TC on Smart Grids	Jan 2019
•	"Smart Buildings: A Status Quo Check" Workshop IEEE CDC	Dec 2018
•	IEEE Conference on Decision and Control TC on Smart Grids	Dec 2018
•	Université Libre de Bruxelles (ULB)	Dec 2018
•	Americas International Meeting on Electrochemistry and Solid State Science	Oct 2018
•	ASME Dynamic Systems and Control Conf Workshop on CAVs	Oct 2018
•	University of Michigan, Ann Arbor	Sep 2018
•	École Polytechnique Center for Applied Mathematics (CMAP)	Sep 2018
•	Université Libre de Bruxelles (ULB)	Sep 2018
•	University of Oxford Dept. of Engineering Science	June 2018
•	University of Warwick Institute of Mathematics & Warwick Manufacturing Group	June 2018
•	University of Washington Chemical Engineering Dept.	Apr 2018
•	Rensselaer Polytechnic Institute Mechanical, Aerospace, Nuclear Eng.	Mar 2018
•	University of California, Irvine Mechanical & Aerospace Engineering	Feb 2018
•	Institut Henri Poincaré The Mathematics of Energy	Jan 2018
•	EDF Lab Paris-Saclay	Jan 2018
•	MINES ParisTech Centre Automatique et Systèmes (CAS)	Jan 2018
•	Clemson University Automotive Engineering Dept.	Dec 2017
•	NYU Abu Dhabi Abu Dhabi, United Arab Emirates	Nov 2017
•	Stanford University Energy Resources Engineering Dept.	Oct 2017
•	Carnegie Mellon University Civil & Environmental Engineering Dept.	Sep 2017
•	Nuclear Engineering Colloquium UC Berkeley	Sep 2017
•	Global Artificial Intelligence and Robotic Summit Shenzhen, China	Jul 2017
•	Shanghai Jiaotong University School of Mechanical Engineering	Jun 2017
•	Stanford University Smart Grid Seminar	May 2017
•	University of Southern California Electrical Engineering Dept.	Mar 2017
•	University of Electronics Science & Tech of China (UESTC) Chengdu, China	Jan 2017
•	Xihua University Chengdu, China	Jan 2017
•	FISITA World Automotive Congress Busan, South Korea	Sep 2016
•	Korea Advanced Institute of Science & Tech. (KAIST) Daejeon, South Korea	Sep 2016
•	Sogang University Seoul, South Korea	Sep 2016
•	Tsinghua-Berkeley Shenzhen Institute Shenzhen, China	Jun 2016
•	National University of Singapore Singapore	Apr 2016
•	IBM Research Collaboratory Singapore	Apr 2016
•	NYU Abu Dhabi Abu Dhabi, United Arab Emirates	Mar 2016
•	Center for the Built Environment UC Berkeley	Oct 2015
•	NSF Workshop on "Developing Intelligent Food, Energy, and Water Systems"	Sep 2015
•	Bosch LLC, Research and Technology Center Palo Alto, CA	Jul 2015
•	Energy Pescurees Group Colleguium LC Perkeley	Mar 2015
•	Energy Resources Group Colloquium UC Berkeley	Mar 2015 Mar 2015
•	OhmConnect San Francisco, CA American Control Conference Tutorial Session on Reference Governors	Jun 2014
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•	Los Alamos National Laboratory	May 2014
•	Cymer San Diego, CA NEC Laboratories North America Cupertino, CA	May 2014 Apr 2014
•	Environmental Energy Technologies Division Lawrence Berkeley National Lab	Dec 2013
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•	i ⁴ Energy UC Berkeley	Nov 2013
•	UC Berkeley Institute of Transportation Studies UC Berkeley	Sep 2013
•	Zhejiang University Hangzhou, China	Aug 2013
•	International Workshop on Smart City Hangzhou, China	Aug 2013
•	New Energy Vehicle Dynamic System and Control Workshop Beijing, China	Aug 2013
•	Chalmers University of Technology Gothenburg, Sweden	May 2013
•	Aalborg University Aalborg, Denmark	May 2013
•	MINES ParisTech Paris, France	May 2013
•	Carnegie Mellon University	Mar 2013
•	University of Michigan	Feb 2013
•	University of California, Davis	Feb 2013
•	University of California, Berkeley	Feb 2013
•	University of California, Santa Barbara	Jan 2013
•	Nest Labs Palo Alto, CA	Jan 2013
•	Ohio State University	Nov 2012
•	University of California, Los Angeles	Nov 2012
•	ASME Dynamic Systems and Control Conference Semi-Plenary	Oct 2012
•	University of California, San Diego	Oct 2012
•	University of Washington	Apr 2012
•	Princeton University	Mar 2012
•	Bosch LLC, Research and Technology Center Palo Alto, CA	Jan 2012
•	Tesla Motors Palo Alto, CA	Mar 2011
•	University of Illinois, Urbana-Champaign	Feb 2011
•	California Institute of Technology	Jan 2011
•	Ford Motor Company Dearborn, MI	Dec 2010
•	Colorado State University	Dec 2010
•	Syracuse University	Nov 2010

Funding (*last updated in Fall 2020)

Funding Agency	Performance Period	My Role	UCB Budget	Project Title
Tsinghua University Education Foundation	Aug 1, 2018 – Jul 31 2020	Core PI	\$73,333	Tsinghua-Berkeley Shenzhen Institute – Year 3
CITRIS COVID	May 15 2020 - May 14 2021	Lead PI Co-PI: Raja Sengupta	\$50,000	A Data Scientific Approach to Coronavirus Surveillance: Application to Re-Opening UC Campuses
National Science Foundation	Apr 15 2020 – Apr 14 2022	Co-PI	\$104,940	SBIR Phase II: Intelligent Planning and Control Software for EV Charging Infrastructure
Total S.A. / Saft Batteries	May 15 2020 - May 14 2021	Single PI	\$144,875	State-of-X Estimation in Battery Packs with Heterogeneous Cells
Total S.A.	Jan 6 2020 – Jan 29 2021	Single PI	\$251,410	Design of EV Fleets and Charging Infrastructure
Enel X North America	Unrestricted gift	Single PI	\$10,000	In support of CE 295
Allison Transmission	Unrestricted gift	Single PI	\$10,000	In support of CE 295
Leslee & Michael Perlstein	Unrestricted gift	Single PI	\$7,250	In support of CE 186
Enel Foundation via CITRIS	Sep 30, 2019 – Sep 29, 2020	Single PI	\$50,000	Tools for Electric Bus Planning & Operation
Total S.A. / Saft Batteries	May 15 2019 - Apr 30 2020	Single PI	\$130,400	State-of-Charge / State-of-Health Estimation in Battery Packs with Heterogeneous Cells
CITRIS Seed Funding	May 1, 2019 – June 30, 2020	Co-Lead PI Lead PI: Yu Zhang (UCSC)	\$30,000	Multi-Hazard Risk Analysis to Inform Distribution Grid Upgrades for Reliability and Resilience
National Science Foundation	Mar 1, 2019 – Feb 29, 2024	Single PI	\$531,177	CAREER: Estimation and Control of Electrochemical- Thermal Battery Models: Theory and Experiments
Leslee & Michael Perlstein	Unrestricted gift	Single PI	\$5,000	In support of CE 186
Total S.A.	Aug 1, 2018 – July 31, 2020	Single PI	\$299,814	Research in the Field of Electric Vehicle Charging Stations
Tsinghua University	Aug 1, 2018 – Jul 31 2019	Core PI	\$66,666	Tsinghua-Berkeley Shenzhen Institute – Year 3

Education Foundation				
LG Chem	Feb 1, 2018 – Jan 31, 2019	Single PI	\$150,000	Rapid-Safe Battery Charging: Controls & Learning with Electrochemical Models
National Science Foundation	Jan 1, 2018 – Dec 31, 2018	Co-PI Lead PI: N. Sankar (MGL)	\$104,327	STTR: Phase 1: Intelligent Planning & Control Software for EV Charging Infrastructure
Bosch RTC	Jan 1, 2018 – Dec 31, 2018	Single PI	\$114,953	Optimal Experiment Design of Lithium Ion Battery Model Parameter Identification - Year 2
National Science Foundation	Sep 15, 2017 – Aug 31, 2020	Co-PI Lead PI: C. Vermillion (UNNC)	\$235,000	Collaborative Research: Multi- Scale, Multi-Rate Spatio- Temporal Optimal Control with Application to Airborne Wind Energy Systems
National Science Foundation	Aug 15, 2017 – Jul 31, 2020	Team Member	\$828,428	INFEWS/T1: Reducing the Environmental Impacts of FEW Systems In and Around Cities
Advanced Research Projects Agency – Energy (ARPA-E)	Mar 3, 2017 – Mar 2, 2020	Co-PI Lead PI: F. Borrelli	\$3,329,716	Predictive Data-Driven Vehicle Dynamics and Powertrain Control
Total S.A.	Aug 1, 2016 – Jul 31, 2020	Single PI	\$503,000	Optimal Energy Management for Solar Communities
Bosch RTC	Jul 1, 2016 – Jun 30, 2017	Single PI	\$95,252	Optimal Experiment Design of Lithium Ion Battery Model Parameter Identification
California Energy Commission	May 1, 2016 – Mar 1, 2018	Team Member (18 total) Lead PI: D. Kammen	\$1.5M (CEC) \$770K (cost share)	The Oakland EcoBlock - A ZNE, Low Water Use Retrofit Neighborhood Demonstration Project
California Energy Commission	Feb 1, 2016 – Jan 31, 2019	Co-PI Lead PI: T. Lipman	\$1,590,000	An Open Source, Open Architecture Platform for Plug-in Electric Vehicle Smart Charging in California Residential and Commercial Settings
Siebel Energy Institute	Sep 1, 2015 – Feb 28, 2016	Lead PI	\$50,000	Understanding the Impact of Electric Vehicle Charging on the Power Grid: An Urban Mobility Perspective

Siebel Energy Institute	Sep 1, 2015 – Feb 28, 2016	Lead PI	\$25,000	Data-Driven Techniques for Assessing Current and Future Grid Reliability
Jacobs Institute for Design Innovation	Jan 1, 2015 – Dec 31, 2015	Lead PI	\$7,000	CE 186 – Design of Cyber Physical Systems (Course Development)
Samsung Global Research Outreach (GRO)	Aug 13, 2015 - Aug 12, 2016	Single PI	\$100,000	ElectroChemical model-based Control (ECC) of Li-ion Batteries
National Science Foundation	Aug 1, 2014 – July 31, 2017	Single PI	\$294,714	Fast Charging Batteries via Electrochemical Model-based Control
France Berkeley Fund	June 1, 2014 – Nov 30, 2015	Lead PI	\$10,000	Analysis and Control of Grid- Integrated Plug-in Electric Vehicle Fleets
CITRIS Seed Funding	Jun 1, 2014 – Aug 30, 2015	Co-Lead PI w/ J.W. Park (UCD)	\$30,000	Model Predictive Control of PV- ES System utilizing Second Life Lithium Battery
California Energy Commission	May 15, 2013 - Feb 20, 2014	Lead PI	\$95,000	Estimation of Thermostatically Controlled Loads for Demand Response
SUMMARY				
Total as Lead PI	3,094,845 USD			
Total	11,697,255 USD \$1.67M per year (CEE is 782k per FTE)			

Funding Distribution Bas Lead Pl

10% Industry Contract

Government Grant

32% Grant 58% Gift

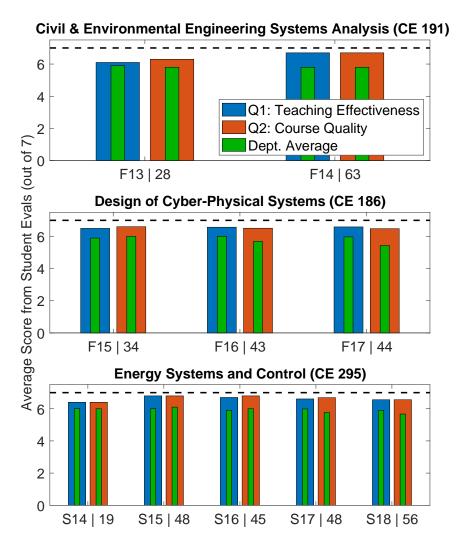
Teaching (*last updated in Fall 2020)

Courses Taught at UC Berkeley during Academic Year

- Civil & Environmental Engineering Systems Analysis (CE 191) | F13, F14
- Design of Cyber-Physical Systems (CE 185) | F15, F16, F17, F18, F19
- Energy Systems and Control (CE 295) | S14, S15, S16, S17, S18, S19, S20

UCB Student Course Evaluation Survey Results (Average Scores over 14 semesters)

	My Score (out of 7)	Dept. Avg. (out of 7)	
Teaching Effectiveness	6.528	5.932	+10% from dept. avg
Course Quality	6.488	5.778	+12% from dept. avg
Enrollment	46.21		



Summer Courses & Enrollment

Course (Program)	<u>2016</u>	<u>2017</u>	<u>2018</u>	<u>2019</u>	<u>2020</u>
Renewable Energy Systems and Control (TBSI)	8	12	7		
Intro to Reinforcement Learning (TBSI)				6	TBD
Maker Design Studio (PREP/TPREP)		60	120	120	180

Student Researcher Mentoring

Hyperlinks connect to LinkedIn accounts

Ph.D. Student

- Bertrand TRAVACCA
- Soomin Woo
- Mathilde BADOUAL
- Teng ZENG
- Dylan KATO
- Aaron Kandel
- Patrick Keyantuo
- Ioanna Kavvada
- Hassan OBEID
- Guillaume GOUJARD
- Preet GILL
- Chitra DANGWAL
- Callie CLARK

Postdoctoral Scholars

- Saehong PARK
- Zhijia Huang

Visiting Ph.D. Student

• None currently due to COVID

M.S./MEng. Student

- Deep Dayaramani
- German Perez Lopez

B.S. Student

- Akshat Jain
- Kai Jin

Former PhD and Postdoc Mentees

Ph.D. Students

- Dr. Hector PEREZ
- Dr. Eric BURGER
- Dr. Caroline LE FLOCH
- Dr. Eric MUNSING
- Dr. Hongcai ZHANG
- Dr. Zach GIMA
- Dr. Laurel DUNN
- Dr. Dong ZHANG
- Dr. Sangjae BAE
- Dr. Saehong PARK
- Dr. Yiqi Zhao

Postdoctoral Scholars

- Dr. Azad GHAFFARI (UC San Diego, PhD)
- Dr. Xiaosong HU (Beijing Institute of Tech. PhD)
- Dr. Satadru DEY (Clemson University, PhD)
- Dr. Chao SUN (Beijing Institute of Tech. PhD)
- Dr. <u>Hector PEREZ</u> (UC Berkeley PhD)
- Dr. Hongcai ZHANG (Tsinghua University PhD)
- Dr. Milad Memarzadeh (Carnegie Mellon Univ. PhD)

NOTE: Full History of Mentees, including Visiting Scholars, MS/MEng, and BS is available upon request.

Summary Statistics

	Currently Total				
PhD	13	24			
Postdoc	2	9			
Visiting PhD	0	16			
MS/MEng	8	19			
BS	5	23			
TOTAL	28	91			
Women	13	25			
URM	5	13			

Society Memberships

American Society of Mechanical Engineers (ASME)

Energy Systems Technical Committee, DSCD (2012 – present)

Chair (2020 – present)

Vice-Char (2018 – 2020)

Secretary (2016-2018)

Publicity (2014-2016)

Member (2012 – present)

Student Member, Dynamic Systems and Control Division (DSCD) (2002 – 2011)

Institute of Electrical and Electronics Engineers (IEEE)

Technical Committee on Smart Cities, CSS (2014 – present)

Technical Committee on Smart Grids, CSS (2013 – present)

Technical Committee on Automotive Controls, CSS (2012 – 2014)

Student Member, Control Systems Society (2008 – present)

Society of Hispanic Professional Engineers (SHPE)

University of Michigan, Student Chapter (2006 – 2011)

UC Berkeley Hispanic Engineers & Scientists, Student Chapter (2002 - 2006)

Administrative Vice-President (2004-2006)

Conference Committee Service

- Program Committee, 2014 American Control Conference in Portland, OR USA
- Program Committee, 2017 ACM BuildSys in Delft, Netherlands
- Program Committee, 2020 American Control Conference in Denver, CO USA

Invited/Special/Tutorial Sessions Organized at Conferences

- "Energy Systems Modeling and Estimation" (Invited Session, ACC14), Organizer
- "Energy Systems Optimization" (Invited, ACC14), Co-Chair
- "Energy Storage in Transportation Applications: Modeling and Identification of Li-ion Batteries" (Invited, DSCC14), Chair
- "Energy Storage: Grid Applications" (Invited, DSCC14), Chair
- "The Future of Battery Controls" (Special, ACC15), Chair
- "Battery Management Systems" (Invited, DSCC15), Chair
- "Battery Modeling for Control and Estimation Problems" (Tutorial, CDC15), Co-Chair
- "Control Strategies for Renewable Energy Integration into the Smart Grid: Wind Applications" (Invited, ACC16), Co-Chair
- "Control Strategies for Renewable Energy Integration into the Smart Grid: Distribution Systems and Microgrids" (Invited, ACC16), Co-Chair
- "Battery and Oil & Gas Systems," (Invited, DSCC16), Co-Chair
- "Electrochemical Modeling and Diagnostics of Li-ion Batteries" (Invited, ACC17), Co-Chair
- "Estimation and Control of Batteries" (Invited, ACC18), Co-Chair
- "Control, Optimization, and Diagnostics of Energy Storage Systems" (Invited, ACC19), Co-Chair
- "Estimation and Identification of Energy Storage Systems" (Invited, ACC19), Co-Chair
- "A Tutorial on Battery Systems and Control" (Tutorial, ACC19), Co-Chair
- "Renewable and Smart Energy Systems" (Invited, DSCC19), Co-Chair
- "Modeling and Identification of Energy Storage Systems" (Invited, ACC20), Co-Chair
- "Estimation and Diagnostics of Batteries" (Invited, ACC20), Co-Chair
- "Control and Estimation of Batteries" (Invited, ACC20), Co-Chair
- "Autonomous Energy Systems: Estimation, Modeling, and Control" (Invited, ACC20), Co-Chair

Academic Service

Editorial Boards

- ASME Journal of Dynamical Systems Measurement and Control, 2019-present
- SAE International Journal of Connected and Automated Vehicles, 2017-2018

CEE Department

- Strategic Planning Committee, 2019 2020
- Faculty Search Committee "Future Infrastructure Systems," 2018 2019
- ECIC Admissions Officer, 2015 2018
- SYS Admissions Officer, 2017 2018
- Faculty Search Committee "Engineering for Sustainability," 2014 2015
- Systems Program Graduate Advisor, 2014 2015
- Curriculum Committee, 2013 2014
- Equity and Inclusion Committee, 2014 present

College of Engineering

- Energy Engineering Advisor, 2015 present
- Tsinghua-Berkeley-Shenzhen Institute (TBSI), 2015 present
- Center for Access to Engineering Excellence, 2013 present
- Jacobs Institute for Design Innovation Director's Council, 2016 present
- ITS Strategic Planning Committee, 2017-2018

UC Berkeley Campus

• Committee on Undergraduate Scholarships, Honors, and Financial Aid, 2014

Technical Advisory Boards

- Enviome Research Pvt Ltd, 2020 present
- Zitara, 2020 present
- Microgrid Labs, 2018 present
- eCalCharge, 2016 present
- eLum, 2015 present
- CEC Project: "Demonstration of Community Scale Low Cost Highly Efficient PV and Energy Management System," lead by UC Davis, 2015 2018

Reviewer

- **Funding Agencies:** National Science Foundation (NSF); Croatian Science Foundation (CSF); Nebraska Research Initiative (NRI); Kansas NSF EPSCoR.
- **Publishers:** Springer, Wiley & Sons, Cambridge University Press
- Journals: Applied Energy; ASME Journal of Dynamics Systems, Measurement, and Control; Automatica; Electrochimica Acta; Energies; European Journal of Control; Control Engineering Practice; IEEE Transactions on Automatic Control; IEEE Trans. on Control Systems Technology; IEEE Trans. on Industrial Electronics; IEEE Trans. on Intelligent Transportation Systems; IEEE/ASME Trans. on Mechatronics; IEEE Trans. on Power Systems; IEEE Trans. on the Smart Grid; IEEE Trans. on Sustainable Energy; IEEE Trans. on Vehicular Technology; IET Intelligent Transport Systems; International Journal of Control; International Journal of Electrical Power & Energy Systems; International Journal of Powertrains; Journal of the Electrochemical Society; Journal of Energy Storage; Journal of Fluids and Structures; Journal of Power Systems; Journal of Robust & Nonlinear Control; Nature Energy; Proceedings of the IEEE; Proceedings of the National Academy of Sciences; Science Advances; Sensors; Simulation Modeling Practice and Theory; Transportation Research Parts C & D.

Appointments and Experience

Jul 2021 - present
Jul 2020 – Jun 2025
Jul 2019 – present
Jul 2013 – Jun 2019
Mar 2013 – Jun 2013
Jul 2011 – Jun 2013
Aug 2006 – Apr 2011
May 2006 - Aug 2006
May 2005 - Aug 2005
Jun 2004 - Aug 2004
Jun 2003 - Jul 2003