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# Scott J. Moura, Ph.D.

Mechanical & Aerospace Engineering  
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## Education

### **President's Postdoctoral Fellow (2011-2013)**

**University of California, San Diego**

Topic: *PDE Control and Estimation Techniques for Advanced Battery Management Systems*  
Advisor: Professor Miroslav Krstić  
Major Field: Systems and Controls

### **Ph.D., Mechanical Engineering (2011)**

**University of Michigan, Ann Arbor**

Dissertation: *Techniques for Battery Health Conscious Power Management via Electrochemical Modeling and Optimal Control*  
Advisors: Professor Jeffrey L. Stein (Michigan) and Professor Hosam K. Fathy (Penn State)  
Committee: Prof. Jeffrey Stein (Michigan-ME), Prof. Hosam Fathy (Penn State-MNE), Prof. Huei Peng (Michigan-ME), Prof. Jessie Grizzle (Michigan-EECS:Systems)  
Major Field: Systems and Controls

### **M.S.E., Mechanical Engineering (2008)**

**University of Michigan, Ann Arbor**

Thesis: *Plug-in Hybrid Electric Vehicle Power Management: Optimal Control & Battery Sizing*  
Advisors: Professor Jeffrey L. Stein (Michigan), Professor Hosam K. Fathy (Penn State), and Professor Duncan S. Callaway (UC Berkeley)  
Major Field: Systems and Controls

### **B.S., Mechanical Engineering (2006)**

**University of California, Berkeley**

Graduated with Honors

## Research Interests

Optimal control, PDE control, stochastic control, adaptive control, dynamic system modeling, energy storage/conversion systems, advanced battery systems, vehicle electrification, smart grid systems.

## Publications & Presentations

### **Peer-Reviewed Journals (Accepted)**

- J1. S. J. Moura, H. K. Fathy, D. S. Callaway, and J. L. Stein, "A Stochastic Optimal Control Approach for Power Management in Plug-in Hybrid Electric Vehicles," *IEEE Transactions on Control Systems Technology*, v 19, n 3, p 545-555, May 2011.
- J2. S. J. Moura, D. S. Callaway, H. K. Fathy, and J. L. Stein, "Impact of Battery Sizing on Stochastic Optimal Power Management in Plug-in Hybrid Electric Vehicles," *Journal of Power Sources*, v 195, n 9, p 2979-2988, May 2010.
- J3. S. Bashash, S. J. Moura, J. C. Forman, and H. K. Fathy, "Plug-in hybrid electric vehicle charge pattern optimization for energy cost and battery longevity," *Journal of Power Sources*, v 196, n 1, p 541-549, January 2011.

- J4. S. J. Moura, J. C. Forman, S. Bashash, J. L. Stein, and H. K. Fathy, "Optimal Control of Film Growth in Lithium-Ion Battery Packs via Relay Switches," *IEEE Transactions on Industrial Electronics*, v 58, n 8, p 3555-3566, Aug 2011.
- J5. S. Bashash, S. J. Moura, and H. K. Fathy, "On the Aggregated Grid Load Imposed by Battery Health-Conscious Charging of Plug-in Hybrid Electric Vehicles," *Journal of Power Sources*, v 196, n 20, p 8747-8754, Oct 2011.
- J6. S. J. Moura, J. L. Stein, and H. K. Fathy, "Battery Health Conscious Power Management in Plug-in Hybrid Electric Vehicles via Electrochemical Modeling and Stochastic Control," *IEEE Transactions on Control Systems Technology*, to appear.

#### **Peer-Reviewed Journals (In Review)**

- J7. S. J. Moura and Y. A. Chang, "Lyapunov-Based Switched Extremum Seeking for Maximum Power Point Tracking in Photovoltaic Systems," (in review).
- J8. J. C. Forman, S. J. Moura, J. L. Stein, H. K. Fathy, "Genetic Identification and Fisher Identifiability Analysis of the Doyle-Fuller-Newman Model from Experimental Cycling of a LiFePO<sub>4</sub> Cell" (in review).
- J9. S. J. Moura and H. K. Fathy, "Optimal Boundary Control of Reaction-Diffusion PDEs via Weak Variations," (in review).

#### **Peer-Reviewed Journals (In Preparation)**

- J10. S. J. Moura, N. A. Chaturvedi, M. Krstic, "Adaptive PDE Observer for Battery SOC/SOH Estimation," (in preparation).
- J11. H. K. Fathy, S. J. Moura, S. Bashash, and R. Patil, "Plug-in Hybrid Electric Vehicle Power Management for Vehicle-to-Grid Integration," (in preparation for textbook publication).
- J12. P. Wolfes, S. J. Moura, and M. Krstic, "Optimal Sensor Placement for Spatio-temporal Temperature Estimation in Large Battery Packs," (in preparation)

#### **Conferences Proceedings (Accepted)**

- C1. S. J. Moura, H. K. Fathy, D. S. Callaway, J. L. Stein, "A Stochastic Optimal Control Approach for Power Management in Plug-in Hybrid Electric Vehicles," *Proceedings of the 2008 ASME Dynamic Systems and Control Conference*, Ann Arbor, MI, 2008.
- C2. S. J. Moura, D. S. Callaway, H. K. Fathy, and J. L. Stein, "Impact of Battery Sizing on Stochastic Optimal Power Management in Plug-in Hybrid Electric Vehicles," *Proceedings of the 2008 IEEE International Conference on Vehicular Electronics and Safety*, pp. 96-102, Columbus, OH, 2008. (Invited Paper)
- C3. Y. A. Chang, S. J. Moura, "Real-Time Air-Flow Control in Fuel Cell Systems: An Extremum Seeking Approach," *Proc. of the 2009 American Control Conference*, St. Louis, MO, 2009.
- C4. S. J. Moura, J. C. Forman, J. L. Stein, H. K. Fathy, "Control of Film Growth in Lithium Ion Battery Packs via Switches," *Proceedings of the 2009 ASME Dynamic Systems and Control Conference*, Hollywood, CA, 2009. **Best Student Paper Finalist**
- C5. S. J. Moura, Y. A. Chang "Asymptotic Convergence through Lyapunov-Based Switching in Extremum Seeking with Application to Photovoltaic Systems," *Proceedings of the 2010 American Control Conference*, Baltimore, MD, 2010.

- C6. S. Bashash, S. J. Moura, H. K. Fathy “Charge Trajectory Optimization of Plug-in Hybrid Electric Vehicles for Energy Cost Reduction and Battery Life Enhancement,” *Proceedings of the 2010 American Control Conference*, Baltimore, MD, 2010.
- C7. S. J. Moura, J. B. Siegel, D. J. Siegel, H. K. Fathy, A. G. Stefanopoulou, “Education on Vehicle Electrification: Battery Systems, Fuel Cells, and Hydrogen,” *Proceedings of the 2010 IEEE Vehicle Power and Propulsion Conference*, Lille, France, 2010.
- C8. S. J. Moura, J. L. Stein, H. K. Fathy, “Battery Health-Conscious Power Management for Plug-in Hybrid Electric Vehicles via Stochastic Control,” *Proceedings of the 2010 ASME Dynamic Systems and Control Conference*, Cambridge, MA, 2010.
- C9. S. Bashash, S. J. Moura, H. K. Fathy, “Battery Health-Conscious Plug-in Hybrid Electric Vehicle Power Demand Prediction,” *Proceedings of the 2010 ASME Dynamic Systems and Control Conference*, Cambridge, MA, 2010.
- C10. S. J. Moura, H. K. Fathy, “Optimal Boundary Control & Estimation of Diffusion-Reaction PDEs,” *Proceedings of the 2011 American Control Conf.*, San Francisco, CA, 2011. **Best Student Paper Finalist**
- C11. J. C. Forman, S. J. Moura, J. L. Stein, H. K. Fathy, “Genetic Parameter Identification of the Doyle-Fuller-Newman Model From Experimental Cycling of a Li-ion LiFePO<sub>4</sub> Battery,” *Proceedings of the 2011 American Control Conference*, San Francisco, CA, 2011.
- C12. S. J. Moura, N. A. Chaturvedi, M. Krstic “PDE Estimation Techniques for Advanced Battery Management Systems - Part I: SOC Estimation,” *Proceedings of the 2012 American Control Conference*, Montreal, Canada, 2012. (Invited Paper)
- C13. S. J. Moura, N. A. Chaturvedi, M. Krstic “PDE Estimation Techniques for Advanced Battery Management Systems - Part II: SOH Identification,” *Proceedings of the 2012 American Control Conference*, Montreal, Canada, 2012. (Invited Paper)
- Conferences Proceedings (In Preparation)**
- C14. P. Wolfes, S. J. Moura, M. Krstic, “Optimal Sensor Placement for Spatio-Temporal Temperature Estimation in Large Battery Packs,” *Proceedings of the 51<sup>st</sup> IEEE Conference on Decision and Control*, Maui, HI, 2012. (Invited Paper)
- C15. S. J. Moura, N. Chaturvedi, M. Krstic, “Adaptive PDE Observer for Battery SOC/SOH Estimation,” *Proceedings of the 2012 ASME Dynamic Systems and Control Conference*, Ft. Lauderdale, FL, 2012. (in preparation)

#### Invited Talks

- Princeton University (Mar 2012)  
“Optimal Control and Adaptive Estimation in Battery Energy Storage Systems ”
- Bosch LLC, Research and Technology Center, Palo Alto, CA (Jan 2012)  
“Adaptive PDE Observer for Battery SOC/SOH Estimation”
- Tesla Motors (Mar 2011)  
“Battery Health Conscious Power Management in Plug-in Hybrid Electric Vehicles via Electrochemical Modeling & Stochastic Control”
- University of Illinois, Urbana-Champaign (Feb 2011)  
“Optimal Control of Lithium-ion Battery Energy Storage Systems”
- California Institute of Technology (Jan 2011)  
“Optimal Control of Lithium-ion Battery Energy Storage Systems”

- Ford Motor Company (Dec 2010)  
“Optimal Control of Lithium-ion Battery Energy Storage Systems”
- Colorado State University (Dec 2010)  
“Optimal Control of Lithium-ion Battery Energy Storage Systems”
- Syracuse University (Nov 2010)  
“Optimal Control of Lithium-ion Battery Energy Storage Systems”

#### **Technical Presentations**

- S. J. Moura, H. K. Fathy, D. S. Callaway, and J. L. Stein, “Interaction of Battery Size and Optimal Power Management in Plug-in Hybrid Electric Vehicles,” *Automotive Research Center Conference*, Ann Arbor, MI, 2009.
- S. J. Moura, D. S. Callaway, H. K. Fathy, and J. L. Stein, “Plug-in Hybrid Electric Vehicle Power Management: Optimal Control and Battery Sizing,” *Society of Hispanic Professional Engineers Conference*, Phoenix, AZ, 2008. **Technical Paper Competition Winner**
- S. J. Moura, D. S. Callaway, H. K. Fathy, and J. L. Stein, “Plug-in Hybrid Electric Vehicle Power Management: Optimal Control and Battery Sizing,” *Engineering Graduate Symposium*, Ann Arbor, MI, 2008. **2<sup>nd</sup> Place Poster, System Analysis and Control Session**
- S. J. Moura, H. K. Fathy, D. S. Callaway, and J. L. Stein, “A Stochastic Optimal Control Approach for Power Management in Plug-in Hybrid Electric Vehicles,” *Automotive Research Center Conference*, Ann Arbor, MI, 2008.
- S. J. Moura, H. K. Fathy, D. S. Callaway, and J. L. Stein, “Plug-in Hybrid Powertrain Modeling,” *Engineering Graduate Symposium*, Ann Arbor, MI, 2007. **2<sup>nd</sup> Place Oral Presentation, System Analysis and Control Session**
- S. J. Moura, D. Kum, H. K. Fathy, and J. L. Stein, “Hybrid Powertrain Optimization for Plug-in Microgrid Power Generation,” *Automotive Research Center Conference*, Ann Arbor, MI, 2007.

#### **Awards & Honors**

##### **University of California Presidential Postdoctoral Fellowship**

University of California (2011 - 2012)

##### **National Science Foundation (NSF) Graduate Research Fellowship**

National Science Foundation (2008 - 2011)

##### **ProQuest Distinguished Dissertation Award, Honorable Mention**

Rackham Graduate School, University of Michigan (2011)

##### **Distinguished Leadership Award**

College of Engineering, University of Michigan (2009)

##### **Rackham Merit Fellowship (RMF)**

University of Michigan Rackham Graduate School (2006 – 2011)

##### **2011 American Control Conference, San Francisco, CA USA**

Best Student Paper Finalist

Best Presentation in Session

##### **2009 ASME Dynamic Systems and Control Conference, Hollywood, CA USA**

Best Student Paper Finalist

Best Presentation in Session

##### **2009 American Control Conference, Baltimore, MD USA**

Best Presentation in Session

**2008 ASME Dynamic Systems and Control Conference, Ann Arbor, MI USA**

Best Presentation in Session

**2008 Society of Hispanic Professional Engineers Conference, Phoenix, AZ USA**

1<sup>st</sup> Place Technical Paper Competition

**2008 Engineering Graduate Symposium, University of Michigan**

2<sup>nd</sup> Place Poster, Control Systems Session

**2007 Engineering Graduate Symposium, University of Michigan**

2<sup>nd</sup> Place Oral Presentation, System Analysis and Control Session

**Michigan Memorial Phoenix Energy Institute (MMPEI)**

MMPEI-Rackham Energy Fellowship, Honorable Mention (2007 – 2008)

**SHPE Academic Achievement Award**

Society of Hispanic Engineers and Scientists, University of Michigan (2007)

**National Science Foundation (NSF)**

Graduate Research Fellowship Program (GRFP), Honorable Mention (2006 – 2007)

**Christopher A. Burrows Memorial Scholarship**

Community Scholarship Foundation (2002)

**Paul Rickershauser Memorial Award**

Community Scholarship Foundation (2002)

**The Governor's Scholars Award**

Governor's Scholarship Programs (2001)

**Teaching Experience**

- MAE 287: Control of Distributed Parameter Systems @ UC San Diego (Fall 2011)  
Guest lecturer on PDE backstepping observers with application to batteries
- ME 499/599: Battery Systems & Control @ U-Michigan (Winter 2011)  
Co-Instructor and co-developer for new course within DOE-ARRA education program  
Enrollment: 50
- ME 499/599: Battery Systems & Control @ U-Michigan (Winter 2010)  
Co-Instructor and co-developer for new course within DOE-ARRA education program  
Enrollment: 59
- Undergraduate Research Assistant Mentor @ U-Michigan (Summer 2010)  
“Li-ion Battery Float Charger Design and Fabrication”
- 10<sup>th</sup> Grade Preparatory Math (Summer 2005)  
Campbell Academic Services, ACES, Detroit, MI
- Drum Major (Summer 2004)  
Mystikal Drum & Bugle Corps, Newbury Park, CA

**Student Supervision**

- Philipp Wolf, Univ. of Stuttgart, Diplome (equivalent to Masters)  
“PDE Modeling, Estimation, and Control for Thermal Dynamics in Battery Packs”
- Aaron Stein, Undergraduate @ U-Michigan  
“Li-ion Battery Float Charger Design and Fabrication”



## **Society Memberships**

### **American Society of Mechanical Engineers (ASME)**

Student Member, Dynamic Systems and Control Division (2002 – present)

### **Institute of Electrical and Electronics Engineers (IEEE)**

Student Member, Control Systems Society (2008 – present)

Student Member, Industrial Electronics Society (2010 – present)

### **Society of Hispanic Professional Engineers (SHPE)**

University of Michigan, Student Chapter (2006 – present)

Community Service Committee (Sept. 2007 – present)

UC Berkeley Hispanic Engineers & Scientists, Student Chapter (2002 - 2006)

Administrative Vice-President (2004-2006)

## **Academic Service**

### *Reviewer*

- **Funding Agencies:** National Science Foundation (NSF); Croatian Science Foundation (CSF)
- **Journals:** ASME Journal of Dynamics Systems, Measurement, and Control; Energies; IEEE Trans. on Control Systems Technology; IEEE Trans. on Industrial Electronics; IEEE Trans. on Intelligent Transportation Systems; IEEE/ASME Trans. on Mechatronics; IEEE Trans. on Power Systems; IEEE Trans. on Vehicular Technology; IET Intelligent Transport Systems; International Journal of Electrical Power & Energy Systems; International Journal of Powertrains; Journal of Fluids and Structures; Sensors; Simulation Modeling Practice and Theory.
- **Conferences:** ASME Dynamics Systems and Control Conference; ASME International Mechanical Engineering Congress & Exposition; American Control Conference; European Control Conference; IEEE Forum on Integrated and Sustainable Transportation System; IEEE Conference on Decision and Control; IEEE Vehicle Power and Propulsion Conference

### *Session Chair*

- 2009 University of Michigan Graduate Symposium, Design & Control Systems Session
- 2008 University of Michigan Graduate Symposium, Control Systems Session

### *Web Design*

- Student Services Site for the 2009 American Control Conference, St. Louis, MO
- 2008 and 2009 University of Michigan Graduate Symposium
- International Union of Theoretical and Applied Mechanics (IUTAM) Symposium on Cellular, Molecular and Tissue Mechanics, Woods Hole, MA

## **Appointments and Experience**

### **University of California, San Diego**

(July 2011 – June 2012)

*UC President's Postdoctoral Fellow*

### **University of Michigan – Ann Arbor, Michigan**

(August 2006 – April 2011)

*Graduate Student Research Assistant*

### **DaimlerChrysler Corporation – Detroit, Michigan**

(May 2006 - August 2006)

*Summer Intern, Electrical Engineering - Vehicle Engineering*

### **Ford Motor Company - Dearborn, Michigan**

(May 2005 - August 2005)

*Summer Intern, Manufacturing & Quality*

### **Southern California Edison - Rosemead, California**

(June 2004 - August 2004)

*Professional Aide, Staff Engineering*

### **BIS Computer Solutions, Inc. - La Crescenta, California**

(June 2003 - July 2003)

*Sales Assistant, Computer Technician*

## **Instrumental Music Experience**

### **Instruments**

Oboe, English horn, Alto/Tenor/Baritone Sax, Timpani, Cymbals, Bass Drum, Conducting

### **Performance Groups**

University of Michigan Pops Orchestra	(September 2010 – April 2011)
University of Michigan Campus Philharmonic Orchestra	(September 2009 – March 2010)
University of Michigan Campus Band	(January 2009 – April 2009)
UC Berkeley Symphony Orchestra	(2003 - 2006)
UC Berkeley University Wind Ensemble	(January 2003 – May 2006)
California Marching Band	(September 2003 – May 2006)
Mystikal Drum & Bugle Corps	(April 2004 – July 2004)