# **Uncertainty in Self-supervised Depth Estimation Using Multi-scale Decoders**

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<b>Keywords:</b> Machine Learning, Depth Estimation, Self-Supervised, View Synthesis, Uncertainty, Predictive Uncertainty, Sparsification, Student-teacher framework, U-Net, Decoder, Multi-scale, ResNet

For my family, friends, and faculty that offered endless support a	nd feedback.

#### **Abstract**

Depth estimation is an image translation problem that predicts depth-maps for a given camera image, and has fostered research in various applications including self-driving vehicles. Self-supervised depth estimation methods are of particular interest since ground truth LIDAR depth is expensive to acquire and instead use view synthesis as weaker supervision. Generally, the produced depth maps to date are only point estimates of an underlying depth distribution due to randomness in model training, resulting in noisy depth estimates that can propagate errors and lead to inaccurate or fatal decisions in real-world applications. Recent interest has been sparked in reducing such noise by modeling the uncertainty of depth estimates. Empirical uncertainty strategies seek to predict uncertainty via statistical methods on treating independent models as black-box predictors. Of particular interest are predictive strategies that seek to learn the inherent uncertainty of a depth model. For example, student-teacher frameworks train one network to learn the depth output distribution of another. Such methods are desirable due to the advantage of requiring fewer training and space resources compared to other empirical methods. In this work, we study self-supervised depth models with a U-Net architecture that output depths at multiple scales. In particular, we explore a novel predictive uncertainty model that only has access to these scales and the U-Net bottleneck feature. We evaluate and discuss the novel method alongside other uncertainty strategies on the KITTI dataset.

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#### Introduction

#### 1.1 Self-supervised Depth Estimation Primer

#### 1.1.1 Motivation

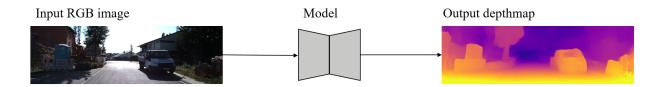


Figure 1.1: Monocular depth estimation is the problem of predicting pixel-wise depth-maps for a single camera image.

The problem of monocular depth estimation is to predict depthmaps from a given camera image as shown in Figure 1.2, where the underlying premise is that single images of an indoor/outdoor 3D scene contains various depth cues (i.e. object spatial arrangement, textures) that encode the distance from the camera i.e. depth. Furthermore, if camera images are collected via video or as stereo image pairs, then motion parallax between frames or stereo parallax respectively can give further depth cues.

However, ground truth depth points, usually from LIDAR (Light Detection and Ranging) hardware, are expensive to acquire. To address this problem, many works use view synthesis which is a geometry-based supervision. Sometimes called warping or reconstruction, this pipeline takes a camera image of a 3D scene from one viewpoint (i.e. camera pose) and predicts the image of the same scene for another viewpoint. For example, the KITTI dataset [4] offers stereo camera image pairs as the two views. Another option is to collect monocular video, and use different frames of time as the viewpoints [13]. The latter technique is called SfM (Structure from Motion) and involves using visual odometry to understand the transformation between viewpoints.

#### 1.1.2 View Synthesis Pipeline

During training, given a pair of images  $I_t$ ,  $I_c$  of two camera poses, the supervision signal comes by using predicted depth-map  $d_t$  of pixels in  $I_t$  to warp  $I_t$  into the pose of the  $I_c$ , and then comparing the warped image  $\widetilde{I}_c$  with  $I_c$  using photometric loss. This is a window-based loss that penalizes structural differences of the two images  $\widehat{I}_c$ ,  $I_c$ , meaning if the same neighborhood of pixels for the two images have similar spatial arrangements, then the loss contribution from that region is smaller.

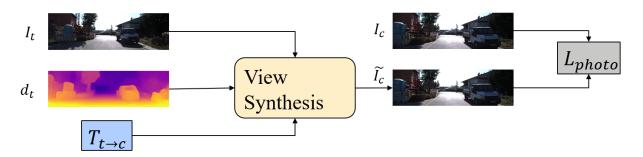


Figure 1.2: Overview of the view synthesis problem. Given two camera images,  $I_t$ ,  $I_c$ ,  $\widetilde{I}_c$  is synthesized from  $I_t$ , predicted depth  $d_t$  of  $I_t$ , and transformation between the views  $T_{t\to c}$ . Photometric loss  $L_{photo}$  compares  $I_c$  and  $\widetilde{I}_c$ , jointly supervising  $d_t$  and  $T_{t\to c}$  (if learned).

During training, the images  $I_t$ ,  $I_c$  are offered either as stereo camera pairs [3, 5], or as successive frames in a video [6, 7, 11, 13].

In the geometry-based view synthesis pipeline, pixels from  $I_t$  must be unprojected into 3D space using the predicted depth  $d_t$  and the camera's inverse intrinsics  $K^{-1}$ , which is used when the camera is assumed to have a pinhole geometry, where the intrinsics K is determined by the focal lengths  $f_x$ ,  $f_y$  and pixel center  $c_x$ ,  $c_y$ 

$$K = \begin{pmatrix} f_x & 0 & c_x \\ 0 & f_y & c_y \\ 0 & 0 & 1 \end{pmatrix}.$$

Once in 3D space in  $I_t$ 's camera reference frame, it is transformed to  $I_c$ 's reference frame by either additionally predicting the transformation pose  $T_{t\to c}$  between the frames in 3D space via a pose model that maps  $(I_t, I_c) \mapsto T_{t\to c}$  or calculating it based on odometry hardware. In the stereo case where  $I_t, I_c$  form the left and right images, camera extrinsics (the transformation from world to camera reference frame). Finally, the 3D point in  $I_c$ 's reference frame is projected to  $I_c$ 's camera via the intrinsics (assuming both cameras are have identical pinhole geometries). The pipeline is summarized below:

- 1. Predict depthmap  $d_t$  from image  $I_t$ .
- 2. Unproject points as homogenous coordinates p = (u, v, 1) in  $I_t$  to  $I_c$ 's 3D reference frame using  $d_t$  and camera inverse intrinsics  $K^{-1}$ :

$$\phi(p, d_t) = d_t K^{-1} I_t(p)$$

3. Transform 3D points  $\phi(p, d_t)$  to  $I_c$ 's reference frame.

$$P = T_{t \to c} \phi(p, d_t)$$

4. Project 3D points in  $I_c$ 's reference frame to  $I_c$ 's camera with camera intrinsics K:

$$\widetilde{I}_c(p) = \pi(P) = \frac{1}{P_z} KP = \frac{1}{P_z} KT_{t \to c} d_t K^{-1} I_t(p)$$

The prediction image  $\widetilde{I}_c$  is compared with  $I_c$  using photometric loss. This pipeline transforms points from one camera to another based on the pinhole geometry (camera intrinsics) and the relative geometry of the camera poses (called extrinsics, the transformation between the 3D scene and camera coordinates).

It is important to note that due to the geometric grounding of the view synthesis pipeline, we can generalize this process to datasets with stereo video, and even videos from multi-camera rigs. Once unprojected via  $\phi$ , we can chain transformations between cameras *and* between time frames simultaneously (e.g. via an additional matrix multiplication step). In this case, a viewpoint is generalized to any camera image in any time, and additional supervision comes from multiple photometric losses from reconstructions between these viewpoints.

Even more surprising is that some works have shown that we can predict the camera intrinsics K if unknown [1], and even the pinhole assumption can be relaxed. For cameras without pinhole geometries (e.g. fish-eye camera), a method called NRS (Neural Ray Surfaces) are used to learn the unprojection and projection operations  $\phi$ ,  $\pi$  themselves [11].

Regardless, during evaluation the depth model is considered separately and can make depthmap predictions on single camera images.

There has been a lot of attention on using CNNs (Convolutional Neural Networks).

Due to the high cost of LIDAR ground truth depths and ease of capturing many images via video, much attention has been paid to self-supervised methods, including those that use photometric warping loss between consecutive video frames.

TODO Why self-supervision using photometric warping loss is weaker.

Need to explain scale aware (stereo, fsm, anything where camera extrinsics are known -¿ provides metric scale) vs scale ambiguous (monodepth, transformation between pose based on visual odometry or hardware odometry).

Photometric assumptions about scene.

How some works address some of the broken photometric assumptions.

#### 1.2 Uncertainty

Uncertainty is supposed to encode the errors.

No ground truth in uncertainty for evaluation. If uncertainty encodes the errors via sparsification-based metrics (AUSE, AURG).

#### **Related Works**

- 2.1 Self-supervised monodepth
- 2.2 Uncertainty in flow estimation
- 2.3 Self-teaching
- 2.4 Empirical methods
- 2.4.1 Bootstrap ensembles

Figure 2.1.

#### 2.4.2 Snapshot ensembles

Figure 2.2

#### 2.4.3 Dropout sampling

Figure 2.3

Uncertainty studied for flow estimation in SfM.

Empirical (Greybox/BlackBox uncertainty inference) and predictive methods so far.

Some new things people have tried in research (self-teaching for self-supervised, Bayesian NNs [intractable, approx.], ).

SAFENet and multi-task learning where features inform each other (only applicable to joint teaching).

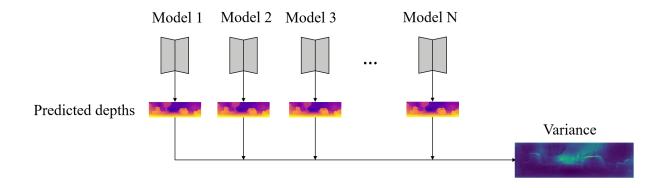


Figure 2.1: Using variance across bootstrap ensembles as uncertainty.

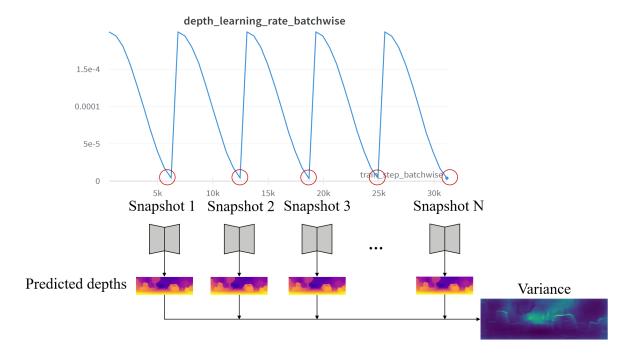


Figure 2.2: Using variance across snapshot ensembles as uncertainty. Snapshots of the model are taken at low points of a cyclic learning rate throughout a single training session.

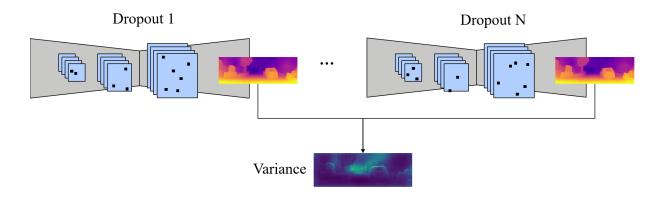


Figure 2.3: Using random dropout to zero-out certain neurons. Uncertainty is taken as variance across N independent dropout forward passes with the *same* model after training.

### **Experimental Results**

#### 3.1 Metrics

#### 3.1.1 Depth Metrics

For evaluation, LIDAR ground truth depth  $d^*$  is compared against the predicted depth  $d_t$ .

Metric | Equation

#### **3.1.2** Uncertainty Metrics

No ground truth uncertainty.

Sparsification as a measure of how well uncertainty encodes error. AUSE, AURG

#### 3.2 Metrics

Show ResNet18 pose network graphs together, then make general observations in discussion. Remember to compare groups of runs against each other (posenet type, freeze vs detach

teaching, etc.)

Model	Abs Rel↓	Sq Rel↓	RMSE↓	$\text{RMSE}_{log} \downarrow$	$\delta < 1.25 \uparrow$	$\delta < 1.25^2 \uparrow$	$\delta < 1.25^3 \uparrow$
scale	0.132	0.917	4.920	0.208	0.845	0.951	0.979
boot	0.134	1.055	4.991	0.209	0.849	0.951	0.978
snap	0.139	1.139	5.172	0.212	0.842	0.951	0.977
scalenet teach	0.144	1.126	5.283	0.220	0.825	0.947	0.977
Model	AUSE↓	AURG↑					
scale	1.658	2.415					
boot	0.951	3.209					
snap	1.631	2.664					
scalenet teach	2.540	1.811					

Table 3.1: depth (top) and uncertainty (bottom) metrics after gt-scaling and flip post-processing. All architectures have DepthResNet18 depth and PoseNet pose networks.

Model	Abs Rel↓	Sq Rel↓	RMSE↓	$\text{RMSE}_{log} \downarrow$	$\delta < 1.25 \uparrow$	$\delta < 1.25^2 \uparrow$	$\delta < 1.25^3 \uparrow$
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Table 3.2: All metrics are computed after applying ground-truth median scaling and image flipping post-processing. All architectures have DepthResNet18 depth and PoseResNet18 pose networks.

### **Discussion**

What if depth estimates predicted as certain but not accurate?  $\rightarrow$  most dangerous kind of estimate (false certainty).

### **Conclusion**

What makes architecture of depth decoder better/worse than baseline methods.

How to explain performance of scalenet using the abalation and difference between other methods.

TODO: [1, 2, 3, 4, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13]

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