

Behavioural Types for Local-First Software

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joint work with

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and

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It-Matters
Lucca 11-12 July, 2023

– Prelude –

Take-away message

An approach to

trade consistency for availability in systems of **asymmetric replicated peers**

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An approach to
trade consistency for availability in systems of **asymmetric replicated peers**
using **local-first**'s principles to establish **eventual consensus**

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formally supported by behavioural types

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- **swarm** = (**machines** + **local logs**) * **imaginary global log**
- **swarm protocols**: systems from an abstract **global** viewpoint
- enforce **good behaviour** via behavioural typing

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See our recent ECOOP 2023 paper

(https://drops.dagstuhl.de/opus/frontdoor.php?source_opus=18208;
extended version available at <https://arxiv.org/abs/2305.04848>)

Distributed coordination

An “old” problem

Distributed agreement

Distributed sharing

Security

Computer-assisted collaborative work

...

With some “solutions”

Centralisation points

Consensus protocols

Commutative replicated data types

...

Distributed coordination

An “old” problem

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Security

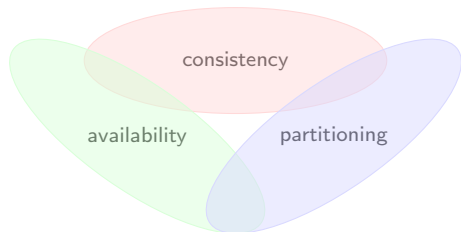
Computer-assisted collaborative work

...

Availability = Money

Kohavi et al. KDD'14

- Amazon sales down 1% if 100ms delay
- Google searches down 0.2% - 0.6% if 100-400ms delay
- Bing's revenue down ~1.5% if 250ms delay



With some “solutions”

Centralisation points

Consensus protocols

Commutative replicated data types

...

A new (?) solution

What about using local-first principles?

Thou shall be autonomous

Thou shall collaborate

Thou shall recognise conflicts

Thou shall resolve conflicts

Thou shall be consistent

Plan of the talk

Some motivations

Our formalisation

Our typing discipline

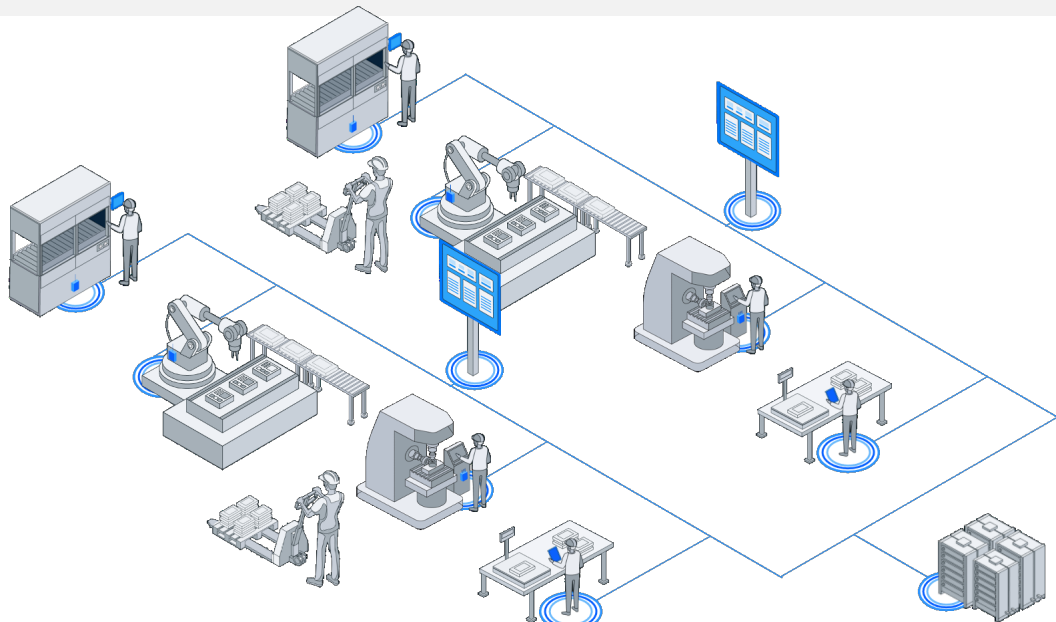
Tool support

Open issues

– Motivations –

A collaborative environment and its execution model

(the pictures are courtesy of Actyx AG)

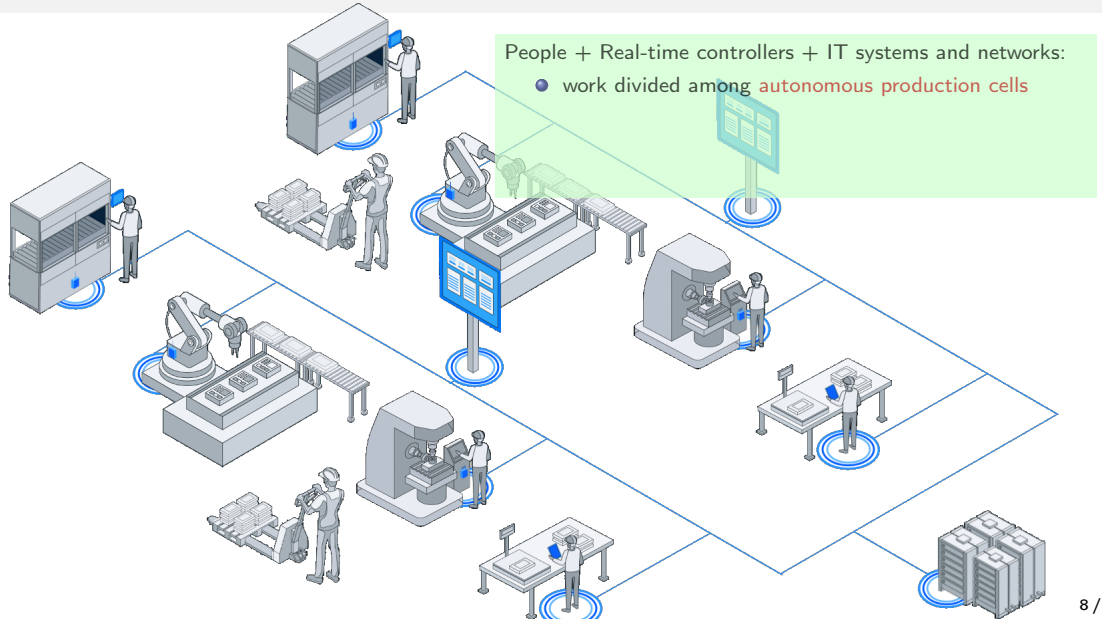


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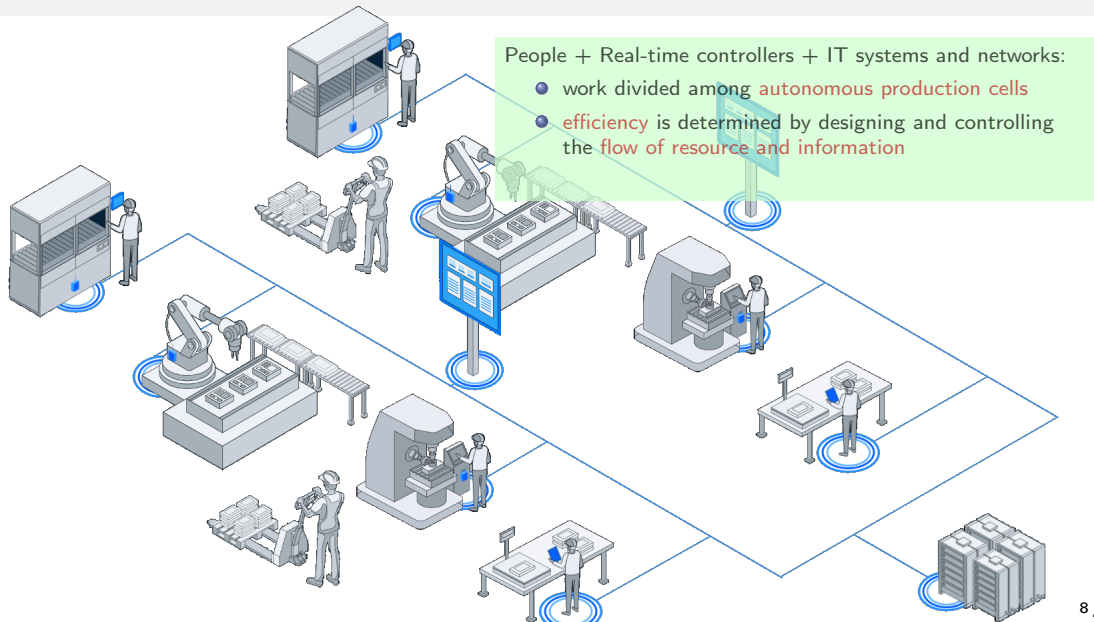
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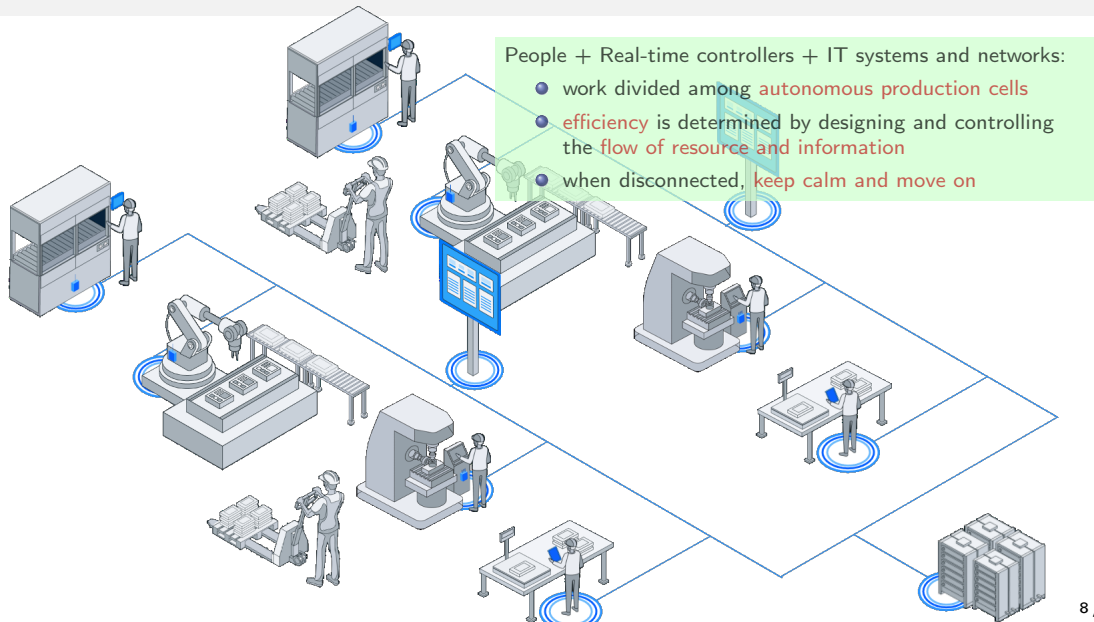
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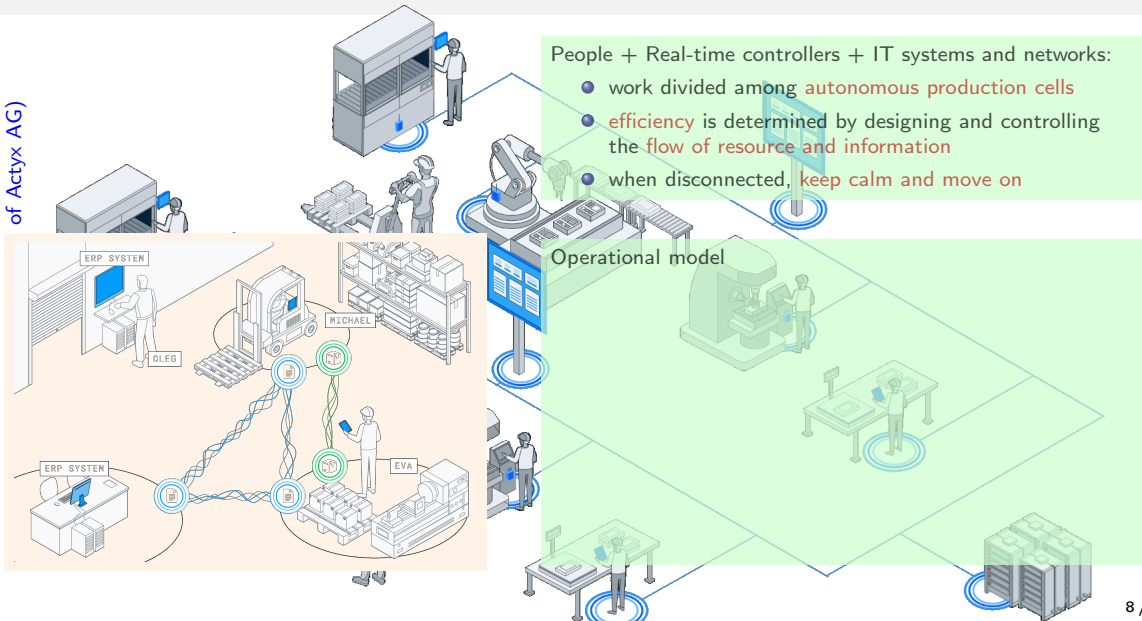
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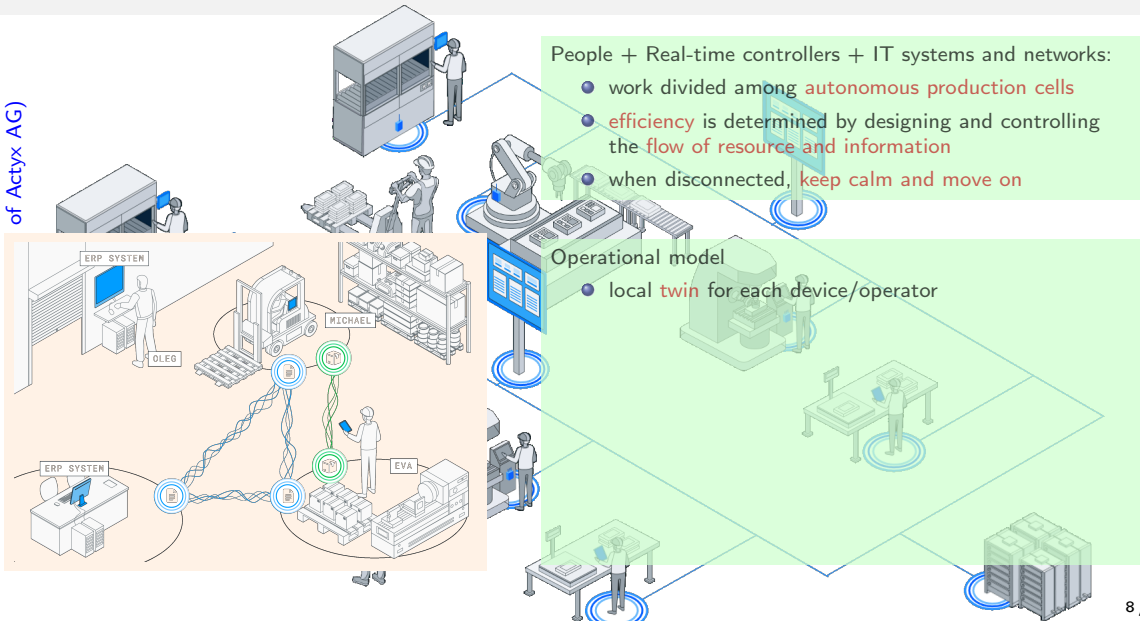
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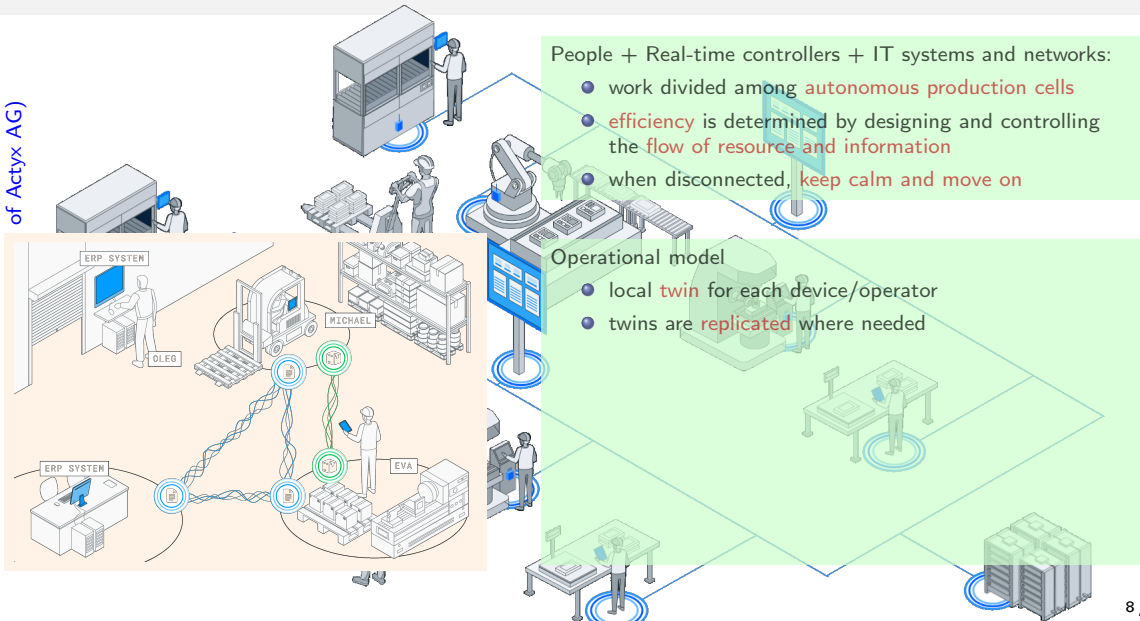
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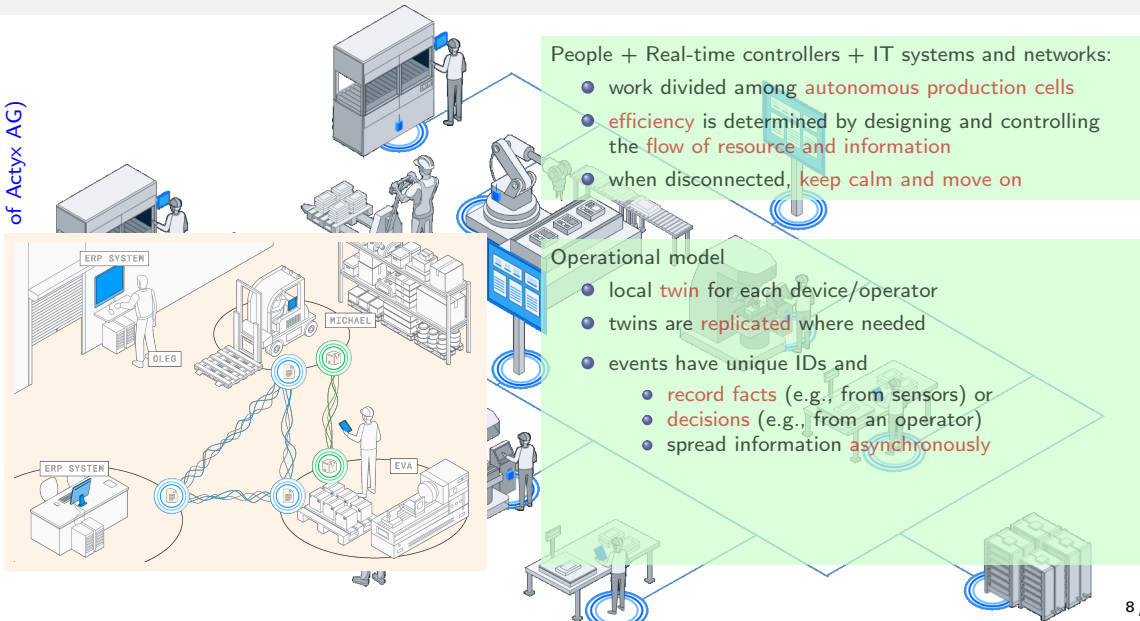


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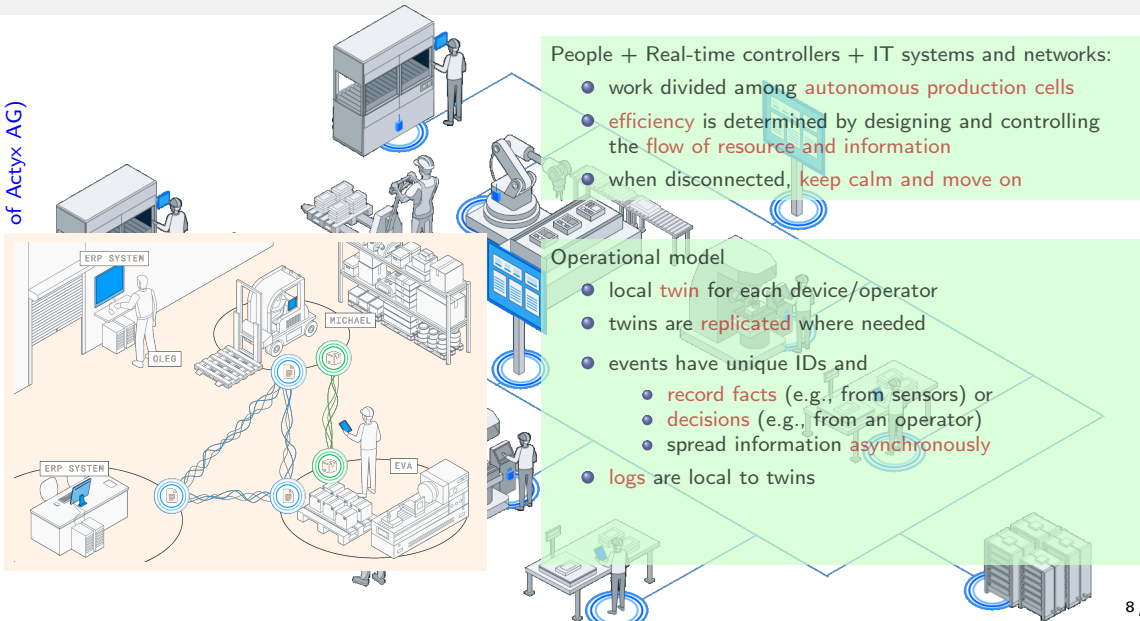


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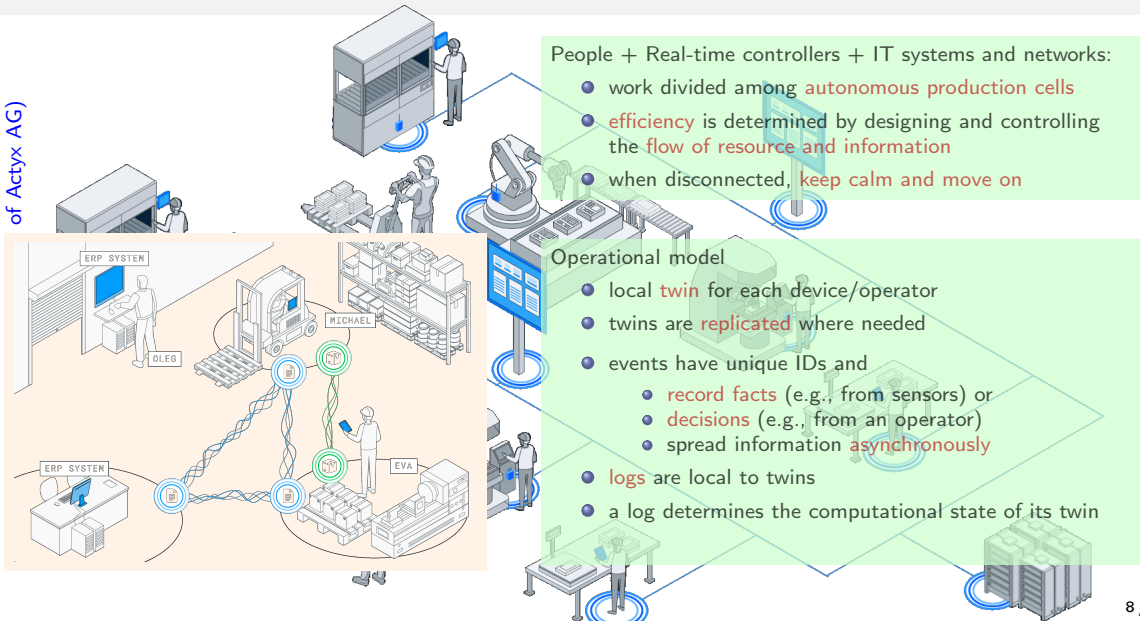
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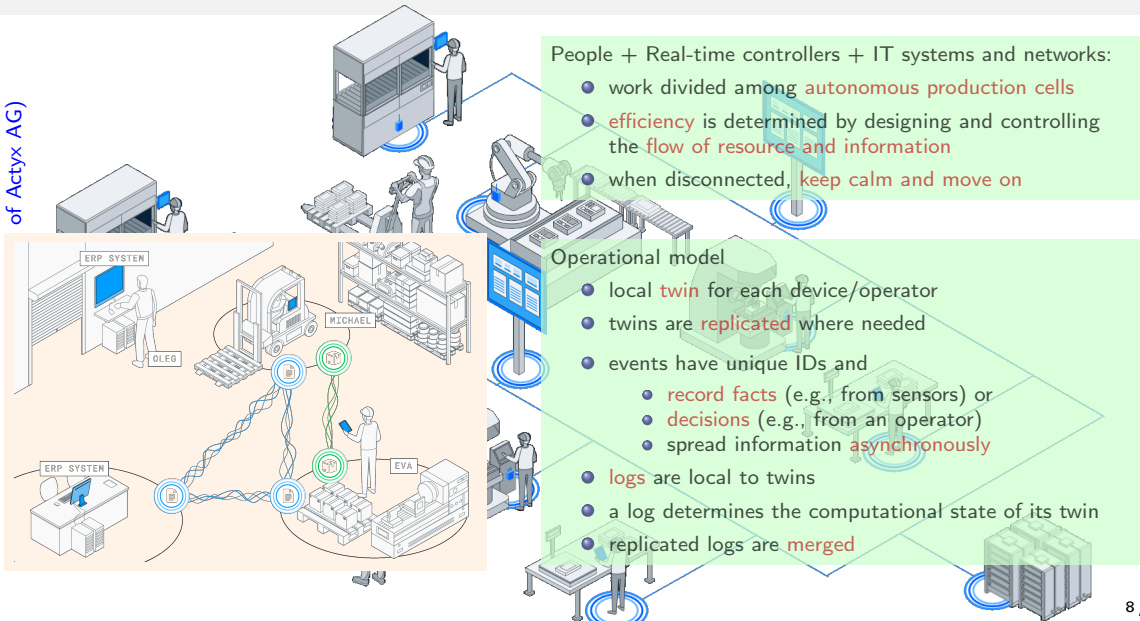
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A collaborative environment and its execution model

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while true:

 execute ;

 propagate ;

 merge

Other application domains / motivations

More applications

Robots (e.g., rescue missions or space applications)

Collaborative applications (<https://automerge.org/>)

Home automation

Other application domains / motivations

IoT...really?

Why your fridge and mobile **should go in the cloud** to talk to each other?

Other application domains / motivations

“Anytime, anywhere...” really?

like the AWS's outage on 25/11/2020

or almost all Google services down on 14/12/2020

DSL typical availability of 97% (& some SLA have no **lower bound**) checkout

<https://www.internetsociety.org/blog/2022/03/what-is-the-digital-divide>

Other application domains / motivations

Also, taking decisions locally

can reduce downtime

shifts data ownership

gets rid of any centralization point...for real

Plan of the talk

A motivating case study

Our formalisation

Our typing discipline

Tool support

Future work

– A formal model –

Events

e

Logs

$e_1 \cdot e_2 \dots$

Events

$\vdash e : t$

$src(e)$

Logs

$\vdash e_1 \cdot e_2 \dots : t_1 \cdot t_2 \dots$

Events $\vdash e : t$
 $src(e)$

Logs $\vdash e_1 \cdot e_2 \dots : t_1 \cdot t_2 \dots$

order induced by $\ell = e_1 \cdots e_n$ $e_i <_{\ell} e_j \iff i < j$

Ingredients (II): log shipping

Machine **Alice** **emits** logs upon **execution** of commands (we'll see how in a moment)

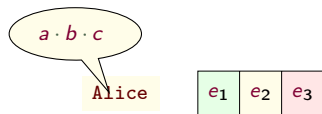
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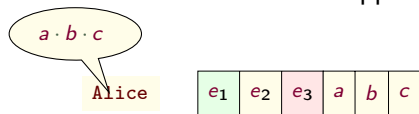
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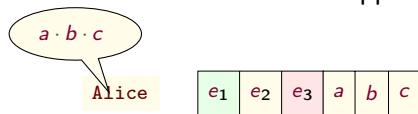
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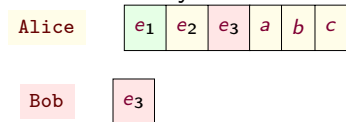
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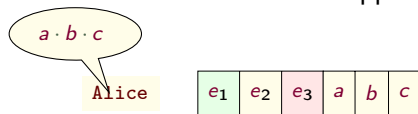
Phase II: newly emitted events are shipped to other machines



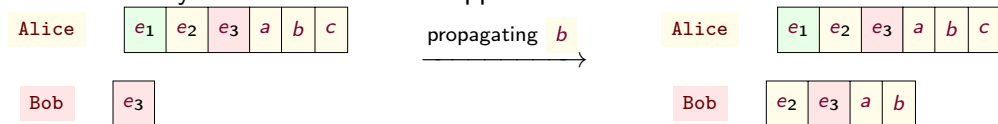
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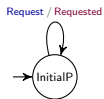


Machines by example



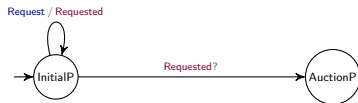
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Machines by example



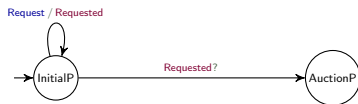
`InitialP` = `Request` \mapsto `Requested`.

Machines by example



$$\text{InitialP} = \text{Request} \mapsto \text{Requested} \cdot [\text{Requested?} \underline{\text{AuctionP}}]$$

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Machines by example



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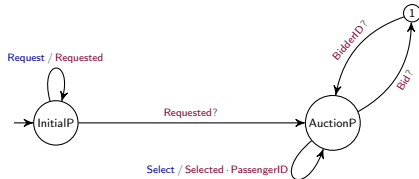
Machines by example



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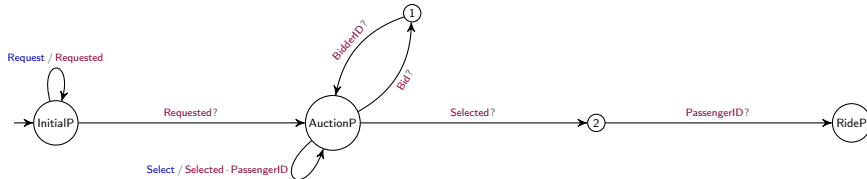
Machines by example



$\text{InitialP} = \text{Request} \mapsto \text{Requested} \cdot [\text{Requested?} \underline{\text{AuctionP}}]$

$\text{AuctionP} = \text{Select} \mapsto \text{Selected} \cdot \text{PassengerID} \cdot [\text{Bid? BidderID?} \underline{\text{AuctionP}}]$

Machines by example



InitialP = Request \mapsto Requested · [Requested? AuctionP]

AuctionP = Select \mapsto Selected · PassengerId · [
 Bid? BidderId? AuctionP
 &
 Selected? PassengerId? RideP
]

RideP = ...

Machines, formally

Fix a set of commands ranged over by c

Let κ range over finite maps from commands to non-empty log types

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Think of machines as emitters/consumers of events with a semantics given in terms of state transition function :

$$\delta(M, \epsilon) = M$$
$$\delta(M, e \cdot \ell) = \begin{cases} \delta(M', \ell) & \text{if } \vdash e : t, M \xrightarrow{t?} M' \\ \delta(M, \ell) & \text{otherwise} \end{cases}$$

That is

M with local log ℓ is in the implicit state $\delta(M, \ell)$ reached after processing each event in ℓ

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$$\frac{\delta(M, \ell) \xrightarrow{c/1} \delta(M, \ell) \quad \ell' \text{ fresh} \quad \vdash \ell' : 1}{(M, \ell) \xrightarrow{c/1} (M, \ell \cdot \ell')}$$

That is

M with local log ℓ is in the implicit state $\delta(M, \ell)$ reached after processing each event in ℓ

That is

after processing the events in ℓ , M reaches a state enabling $c/1$ then the command execution can emit ℓ' of type 1 and append it to the local log of M

Swarms

Swarms: $M_1[\ell_1] \mid \dots \mid M_n[\ell_n] \mid \ell$ s.t. $\ell = \bigcup_{1 \leq i \leq n} \ell_i$ and $\ell_i \sqsubseteq \ell$ for $1 \leq i \leq n$

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where $\ell_1 \sqsubseteq \ell_2$ is the sublog relation defined as

- $\ell_1 \subseteq \ell_2$ and $<_{\ell_1} \subseteq <_{\ell_2}$ and

- $e <_{\ell_2} e'$, $src(e) = src(e')$ and $e' \in \ell_1 \implies e \in \ell_1$

That is

all events of ℓ_1 appear in the same order in ℓ_2

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the per-source partitions of ℓ_1 are prefixes of the corresponding partitions of ℓ_2

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The propagation of newly generated events happens by merging logs:

Log merging: $\ell_1 \bowtie \ell_2 = \{\ell \mid \ell \subseteq \ell_1 \cup \ell_2 \text{ and } \ell_1 \sqsubseteq \ell \text{ and } \ell_2 \sqsubseteq \ell\}$

Semantics of swarms

By rule [Local] below, a command's execution updates both local and global logs

$$\frac{\begin{array}{l} S(i) = M[\ell_i] \quad M[\ell_i] \xrightarrow{c/1} M[\ell'_i] \quad \text{src}(\ell'_i \setminus \ell_i) = \{i\} \quad \ell' \in \ell \bowtie \ell'_i \end{array}}{(S, \ell) \xrightarrow{c/1} (S[i \mapsto M[\ell'_i]], \ell')} \text{ [Local]}$$

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$$\frac{S(i) = M[\ell_i] \quad \ell_i \sqsubseteq \ell' \sqsubseteq \ell \quad \ell_i \subset \ell'}{(S, \ell) \xrightarrow{\tau} (S[i \mapsto M[\ell'_i]], \ell)} \text{[Prop]}$$

By rule [Prop] above, the propagation of events happens

- by shipping a **non-deterministically chosen** subset of events in the global log
- to a **non-deterministically chosen** machine

Plan of the talk

A motivating case study

Our formalisation

Our typing discipline

Tool support

Future work

– Behavioural types for swarms –

Inspired by choreographies

Quoting W3C:

*"[...] a **contract** [...] of the common **ordering conditions and constraints** under which **messages** are exchanged [...] from a **global viewpoint** [...]
Each **party** can then use the global definition to **build and test solutions** [...]
global specification is in turn **realised by combination of** the resulting **local systems**"*

Inspired by choreographies

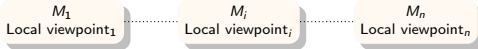
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Synchrony

Choreography G
global viewpoint

Asynchrony



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Synchrony

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global viewpoint

Asynchrony

M_1
Local viewpoint₁

M_i
Local viewpoint_i

M_n
Local viewpoint_n

spec, no code

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Synchrony

Choreography G
global viewpoint

Well-formedness

Asynchrony

M_1
Local viewpoint₁

M_i
Local viewpoint_i

M_n
Local viewpoint_n

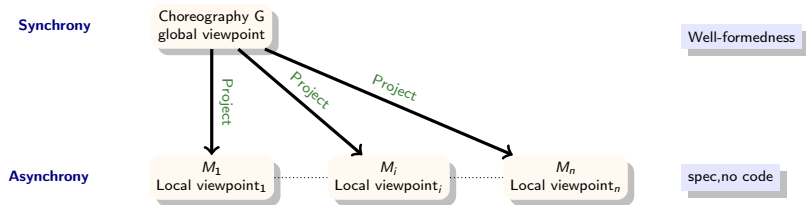
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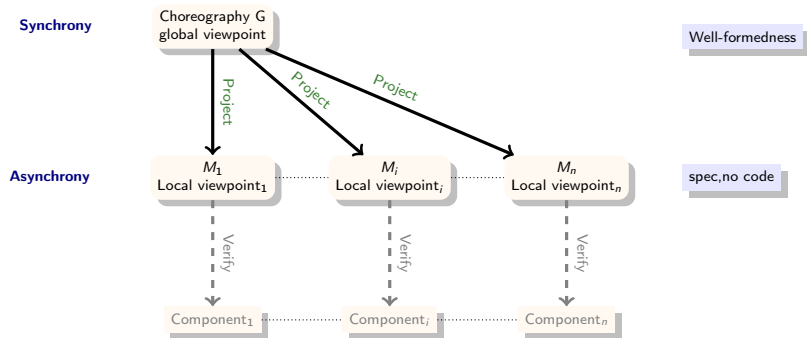


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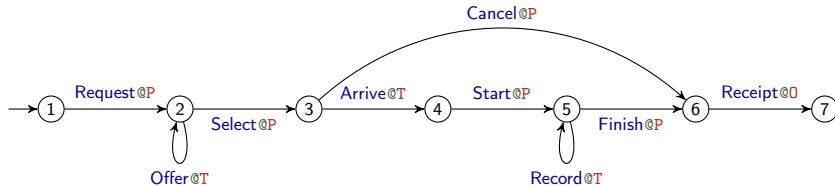
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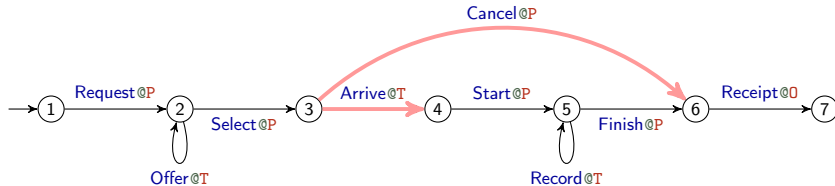
Swarm protocols by example

An intuitive auction protocol for a passenger **P** to get a taxi **T**:



Swarm protocols by example

An intuitive auction protocol for a passenger **P** to get a taxi **T**:



Swarm protocols: global type for local-first applications

An **idealised** specification relying on **synchronous communication**

Fix a set of roles ranged over by \mathbf{R} (e.g., \mathbf{P} , \mathbf{T} , and \mathbf{O} on slide 31)

The syntax of swarm protocols is again given co-inductively:

$$\mathbf{G} ::=^{\text{co}} \sum_{i \in I} \mathbf{c}_i @ \mathbf{R}_i \langle \mathbf{l}_i \rangle . \mathbf{G}_i \quad | \quad 0 \quad \text{where } I \text{ is a finite set (of indexes)}$$

An example

A swarm protocol for the taxi scenario on slide 31:

$$G = \text{Request@P}\langle \text{Requested} \rangle . G_{\text{auction}}$$

$$\begin{aligned} G_{\text{auction}} &= \text{Offer@T}\langle \text{Bid} \cdot \text{BidderID} \rangle . G_{\text{auction}} \\ &+ \text{Select@P}\langle \text{Selected} \cdot \text{PassengerID} \rangle . G_{\text{choose}} \end{aligned}$$

$$\begin{aligned} G_{\text{choose}} &= \text{Arrive@T}\langle \text{Arrived} \rangle . \text{Start@P}\langle \text{Started} \rangle . G_{\text{ride}} \\ &+ \text{Cancel@P}\langle \text{Cancelled} \rangle . \text{Receipt@O}\langle \text{Receipt} \rangle . 0 \end{aligned}$$

$$\begin{aligned} G_{\text{ride}} &= \text{Record@T}\langle \text{Path} \rangle . G_{\text{ride}} \\ &+ \text{Finish@P}\langle \text{Finished} \cdot \text{Rating} \rangle . \text{Receipt@O}\langle \text{Receipt} \rangle . 0 \end{aligned}$$

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*Note the log types
in each prefixes*

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Swarm protocols as FSA

Like for machines, a swarm protocols $G = \sum_{i \in I} c_i @ R_i \langle 1_i \rangle$. G_i has an associated FSA:

- the set of states consists of G plus the states in G_i for each $i \in \{1 \dots, n\}$
- G is the initial state
- for each $i \in I$, G has a transition to state G_i labelled with $c_i @ R_i \langle 1_i \rangle$, written
$$G \xrightarrow{c_i / 1_i} G_i$$

Semantics of swarm protocols

One rule only!

$$\frac{}{(G, \ell) \xrightarrow{c/1} (G, \ell)} \text{[G-Cmd]}$$

Semantics of swarm protocols

One rule only!

$$\frac{\delta(\mathbf{G}, \ell) \xrightarrow{\mathbf{c}/\mathbf{l}} \mathbf{G}'}{(\mathbf{G}, \ell) \xrightarrow{\mathbf{c}/\mathbf{l}} (\mathbf{G}, \ell)} \text{---[G-Cmd]}$$

where

$$\delta(\mathbf{G}, \ell) = \begin{cases} \mathbf{G} & \text{if } \ell = \epsilon \\ \delta(\mathbf{G}', \ell'') & \text{if } \mathbf{G} \xrightarrow{\mathbf{c}/\mathbf{l}} \mathbf{G}' \text{ and } \vdash \ell' : \mathbf{l} \text{ and } \ell = \ell' \cdot \ell'' \\ \perp & \text{otherwise} \end{cases}$$

*Logs to be consumed "atomically",
hence $\delta(\mathbf{G}, \ell)$ may be undefined*

Semantics of swarm protocols

One rule only!

$$\frac{\delta(\mathbf{G}, \ell) \xrightarrow{c/1} \mathbf{G}' \quad \vdash \ell' : 1 \quad \ell' \text{ log of fresh events}}{(\mathbf{G}, \ell) \xrightarrow{c/1} (\mathbf{G}, \ell \cdot \ell')} \text{[G-Cmd]}$$

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Logs to be consumed "atomically", hence $\delta(\mathbf{G}, \ell)$ may be undefined

We restrict ourselves to deterministic swarm protocols that is, on different transitions from a same state

- log types start differently
- pairs (command,role) differ

log determinism

command determinism

From swarm protocols to machines

Transitions of a swarm protocol G are labelled with a role that may invoke the command

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Obtain machines by projecting G on each role

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First attempt

$$\left(\sum_{i \in I} c_i @ R_i \langle l_i \rangle \cdot G_i \right) \downarrow_R = \kappa \cdot [\&_{i \in I} l_i ? G_i \downarrow_R]$$

where $\kappa = \{ (c_i / l_i) \mid R_i = R \text{ and } i \in I \}$

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simple, but

- projected machines are large in all but the most trivial cases
- processing **all** events is undesirable: security and efficiency

Another attempt



Let's subscribe to subscriptions : maps from roles to sets of event types

*In pub-sub,
processes subscribe
to "topics"*

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Given $G = \sum_{i \in I} c_i @ R_i \langle 1_i \rangle . G_i$, the
projection of G on a role R with respect to subscription σ is

$$G \downarrow_R^\sigma = \kappa \cdot [\&_{j \in J} \text{filter}(1_j, \sigma(R)) ? G_j \downarrow_R^\sigma]$$

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Given $G = \sum_{i \in I} \mathbf{c}_i @ \mathbf{R}_i \langle \mathbf{l}_i \rangle . G_i$, the
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$$G \downarrow_{\mathbf{R}}^{\sigma} = \kappa \cdot [\&_{j \in J} \text{filter}(\mathbf{l}_j, \sigma(\mathbf{R}))? G_j \downarrow_{\mathbf{R}}^{\sigma}] \quad \text{where}$$

$$\kappa = \{\mathbf{c}_i / \mathbf{l}_i \mid \mathbf{R}_i = \mathbf{R} \text{ and } i \in I\}$$

$$J = \{i \in I \mid \text{filter}(\mathbf{l}_i, \sigma(\mathbf{R})) \neq \epsilon\}$$

$$\text{filter}(\mathbf{l}, E) = \begin{cases} \epsilon, & \text{if } \mathbf{t} = \epsilon \\ \mathbf{t} \cdot \text{filter}(\mathbf{l}', E) & \text{if } \mathbf{t} \in E \text{ and } \mathbf{l} = \mathbf{t} \cdot \mathbf{l}' \\ \text{filter}(\mathbf{l}, E) & \text{otherwise} \end{cases}$$

Well-formedness

Trading consistency for availability has implications:

Well-formedness = Causality

Trading consistency for availability has implications:

Propagation of events is non-atomic (cf. rule [Prop])

\Rightarrow differences in how machines perceive the (state of the) computation

Causality

Fix a subscription σ . For each branch $i \in I$ of $G = \sum_{i \in I} c_i @ R_i \langle 1_i \rangle . G_i$

Explicit re-enabling $\sigma(R_i) \cap 1_i \neq \emptyset$

If R should have c enabled after c' then $\sigma(R)$ contains some event type emitted by c'

Command causality if R executes a command in G_i
then $\sigma(R) \cap 1_i \neq \emptyset$ and $\sigma(R) \cap 1_i \supseteq \bigcup_{R' \in \sigma G_i} \sigma(R') \cap 1_i$

Well-formedness = Causality + Determinacy

Trading consistency for availability has implications:

Propagation of events is non-atomic (cf. rule [Prop])

\Rightarrow different roles may take inconsistent decisions

Causality & Determinacy

Fix a subscription σ . For each branch $i \in I$ of $G = \sum_{i \in I} c_i @ R_i \langle 1_i \rangle . G_i$

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Determinacy $R \in_\sigma G_i \Rightarrow 1_i[0] \in \sigma(R)$

Well-formedness = Causality + Determinacy - Confusion

Trading consistency for availability has implications:

Propagation of events is non-atomic (cf. rule [Prop])

\Rightarrow branches unambiguously identified and events emitted on eventually discharged branches ignored

Causality & Determinacy & Confusion freeness

Fix a subscription σ . For each branch $i \in I$ of $G = \sum_{i \in I} c_i @ R_i \langle 1_i \rangle . G_i$

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Confusion freeness for each t starting a log emitted by a command in G
there is a unique state G' reachable from G which emits t

Implementations

Write $\ell \equiv_{G,\sigma} \ell'$ when ℓ and ℓ' have the same effective type wrt G and σ

A swarm (S, ϵ) is eventually faithful to G and σ if $(S, \epsilon) \Longrightarrow (S, \ell)$ then there is $(G, \epsilon) \Longrightarrow (G, \ell')$ with $\ell \equiv_{G,\sigma} \ell'$

Implementations

Write $\ell \equiv_{\mathbf{G}, \sigma} \ell'$ when ℓ and ℓ' have the same effective type wrt \mathbf{G} and σ

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A (σ, \mathbf{G}) -realisation is a swarm (\mathbf{S}, ϵ) such that, for each $i \in \text{dom } \mathbf{S}$, there exists a role $\mathbf{R} \in \text{roles}(\mathbf{G}, \sigma)$ such that $\mathbf{S}(i) = \mathbf{G} \downarrow_{\mathbf{R}}^{\sigma} \square$

Implementations & projections

Write $\ell \equiv_{G,\sigma} \ell'$ when ℓ and ℓ' have the same effective type wrt G and σ

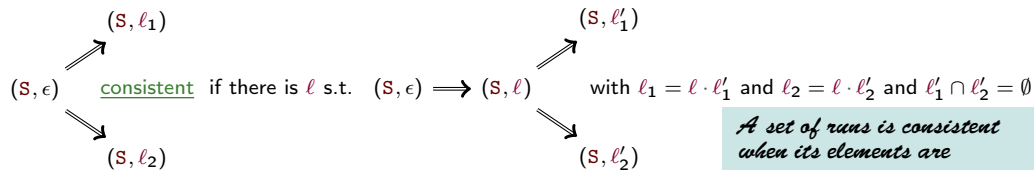
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Lemma (Projections of well-formed protocols are eventually faithful)

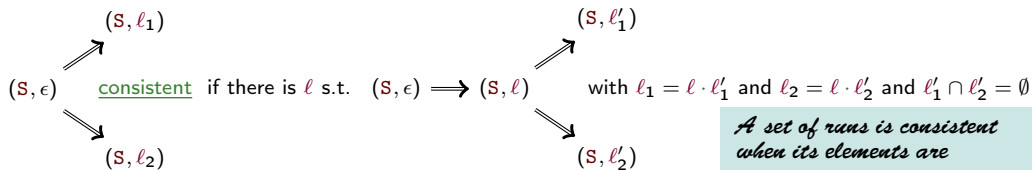
If G is a σ -WF protocol and $(\delta(G \downarrow_R^\sigma, \ell)) \downarrow_{c/1}$ then there exists $\ell' \equiv_{G,\sigma} \ell$ such that $(G, \epsilon) \Longrightarrow (G, \ell')$ and $\delta(G, \ell') \xrightarrow{c/1} G'$

On correct realisations



*A set of runs is consistent
when its elements are
pair-wise consistent*

On correct realisations

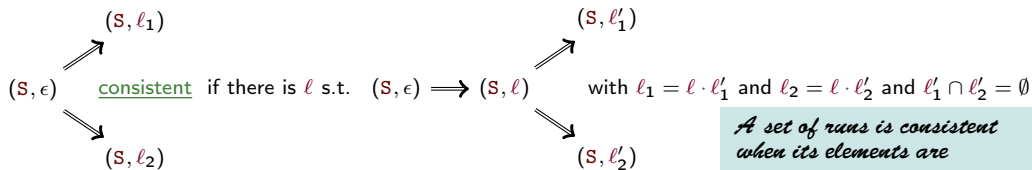


A set of runs is consistent when its elements are pair-wise consistent

Notation

For $(G, \epsilon) \xrightarrow{c_1 / l_1} (G, \ell_1) \xrightarrow{c_2 / l_2} \dots \xrightarrow{c_n / l_n} (G, \overbrace{\ell_1 \cdot \ell_2 \cdot \dots \cdot \ell_n}^{= \ell})$
 let $\ell^{(j)} = \ell_1 \cdot \dots \cdot \ell_j$

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Admissibility

A log ℓ is admissible for a σ -WF protocol G if there are consistent runs $\{(G, \epsilon) \implies (G, \ell_i)\}_{1 \leq i \leq k}$ and a log $\ell' \in (\boxtimes_{1 \leq i \leq k} \ell_i)$ such that

$$\ell = \bigcup_{1 \leq i \leq k} \ell_i, \quad \ell' \equiv_{G, \sigma} \ell, \quad \text{and} \quad \ell_i^{(j)} \sqsubseteq \ell \text{ for all } 1 \leq i \leq k$$

Results

Let G be well-formed; a realisation is a swarm whose components are projections of G

Lemma (Well-formedness generates any admissible log)

If ℓ is admissible for G then there is a log ℓ' such that $(G, \epsilon) \implies (G, \ell')$ and $\ell \equiv_{G, \sigma} \ell'$

Theorem (Well-formed protocols generate only admissible logs)

If $(S, \epsilon) \implies (S', \ell)$ for (S, ϵ) realisation of G then ℓ is admissible for G

Corollary

Every realisation of G is eventually faithful wrt G and σ

Theorem (Full realisations are complete)

If S is a full realisation of G and $(G, \epsilon) \implies (G, \ell')$ then there is S' s.t. $(S, \epsilon) \implies (S', \ell)$

Plan of the talk

A motivating case study

Our formalisation

Our typing discipline

Tool support

Future work

– Tooling –


```
// analogous for other events; "type" property matches type name (checked by tool)
type Requested = { type: 'Requested'; pickup: string; dest: string }
type Events = Requested | Bid | BidderID | Selected | ...
```

```
/** Initial state for role P */
```

```
@proto('taxiRide') // decorator injects inferred protocol into runtime
```

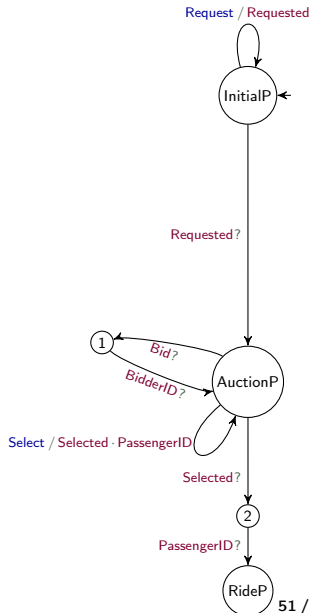
```
export class InitialP extends State<Events> {
  constructor(public id: string) { super() }
  execRequest(pickup: string, dest: string) {
    return this.events({ type: 'Requested', pickup, dest })
  }
  onRequest(ev: Requested) {
    return new AuctionP(this.id, ev.pickup, ev.dest, [])
  }
}
```

```
@proto('taxiRide')
```

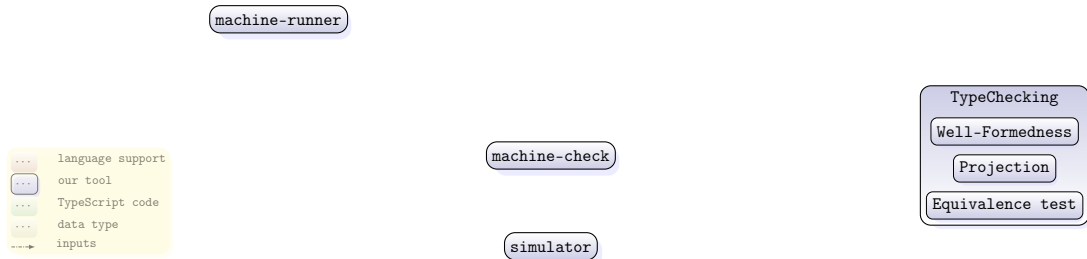
```
export class AuctionP extends State<Events> {
  constructor(public id: string, public pickup: string, public dest: string,
    public bids: BidData[]) { super() }
  onBid(ev1: Bid, ev2: BidderID) {
    const [ price, time ] = ev1
    this.bids.push({ price, time, bidderID: ev2.id })
    return this
  }
  execSelect(taxiId: string) {
    return this.events({ type: 'Selected', taxiID },
      { type: 'PassengerID', id: this.id })
  }
  onSelected(ev: Selected, id: PassengerID) {
    return new RideP(this.id, ev.taxiID)
  }
}
```

```
@proto('taxiRide')
```

```
export class RideP extends State<Events> { ... }
```

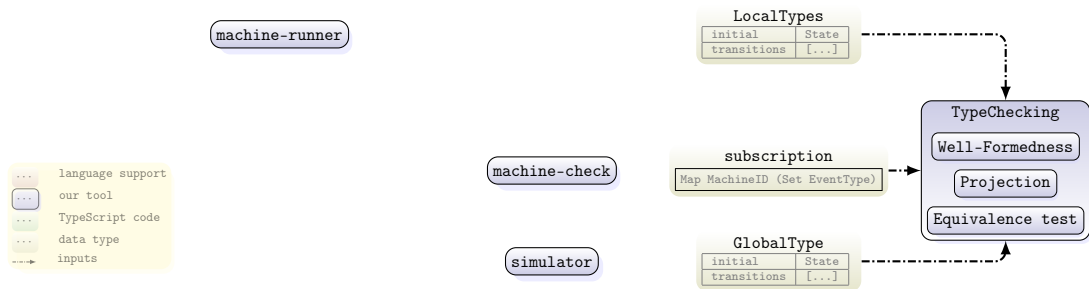


Architecture



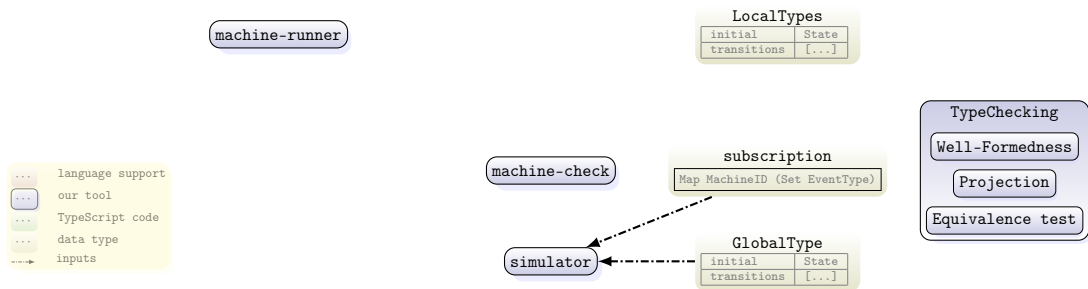
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- simulator simulates the semantics of swarm realisations
- machine-check and machine-runner integrate our framework in the Actyx platform

Architecture



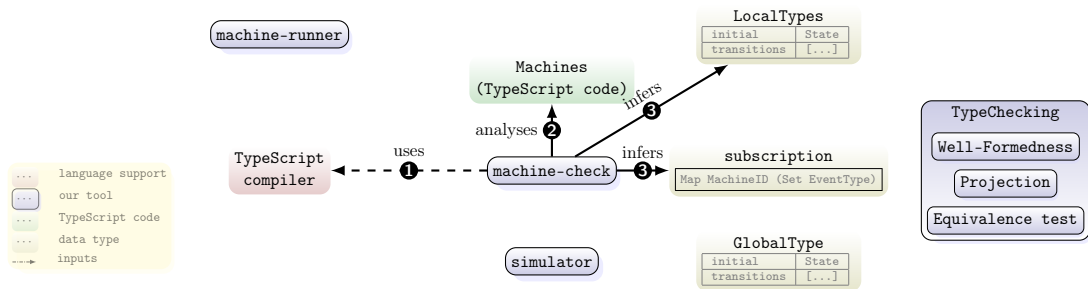
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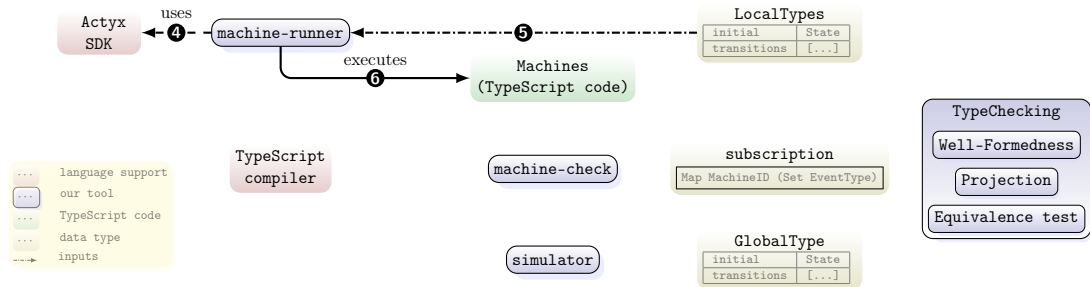
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If you want to play with our prototype?

Have a look at

- our ECOOP artifact paper
(<https://drops.dagstuhl.de/opus/volltexte/2023/18254/>)
- code at <https://doi.org/10.5281/zenodo.7737188>
- An ISSTA tool paper from Actyx (<https://arxiv.org/abs/2306.09068>)

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– Epilogue –

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A formal semantics that faithfully captures Actyx's platform

and behavioural types to specify and verify eventual consensus

Thank you!

Temporary page!

\LaTeX was unable to guess the total number of pages correctly. As there was some unprocessed data that should have been added to the final page this extra page has been added to receive it.

If you rerun the document (without altering it) this surplus page will go away, because \LaTeX now knows how many pages to expect for this document.