# Decentralized Grid Control in COLMENA Activity 3

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# 1 Introduction

COLMENA aims to enable decentralized decision-making by coordinating autonomous agents that perform specific roles. Each agent can execute certain roles to impact the system and operate based on local measurements and predictions. This project uses COLMENA to deploy a multi agent control system in where each agent is responsible for the control of a specific area. The different agents use the tools available through COLMENA to ensure proper coordination for completing the goal of controlling the frequency throughout the grid.

Distributed Model Predictive Control (MPC) is a particularly well-suited control strategy in this context. MPC anticipates future states and disturbances, optimizes control actions over a prediction horizon, and incorporates constraints in a clear manner. When implemented in a distributed fashion through COLMENA, each agent can locally solve an MPC problem to manage its area, while coordinating with neighboring agents to respect power flow constraints and system-wide objectives.

This report will first explain the physical models and equations used to build the MPC, we will then explain the optimisation background used for to implement the Distributed MPC, and finally we will use the MPC control in the IEEE 39-bus grid, as found in [1], through ANDES to showcase its capability for frequency control.

# 2 Modeling

Accurate modeling of the contingencies that are likely to take place in the grid, as well as the frequency and angle dynamics of each area, are fundamental to the design and implementation of distributed control strategies in power systems. It is central to capture how each area responds to generation-demand imbalances and how it interacts electrically with neighboring regions through phase angle differences whenever a contingency takes place. By understanding these behaviors, the proposed MPC can anticipate future states and choose the appropriate controls actions.

# 2.1 Contingency definition

In power systems, contingencies refer to unexpected events or disturbances that can significantly impact the system's stability and operation. These events can range from equipment failures to sudden changes in load or generation, and they require immediate response from control systems to maintain grid stability and prevent cascading failures [2]. Not surprisingly, the recent Spanish blackout that took place last April was a clear example of such cascading events. Contingencies can be caused by multiple, being the most common types of contingencies the following:

- Generation contingencies: these involve the sudden loss of generating units due to mechanical failures, protection system trips, or fuel supply issues. Generator outages can cause immediate power imbalances, leading to frequency deviations and potential voltage problems. The severity depends on the size of the lost generation relative to the system's total capacity and available reserves. Most often, loss of generation causes the frequency to drop, which has to be counteracted by the control actions of other generation units, or also the reduction or even disconnection of loads [3].
- Transmission line contingencies: these occur when transmission lines are disconnected due to faults, protection system operation, or physical damage (also linked weather events or equipment failure). Line outages can cause power flow redistributions, potentially leading to overloaded remaining lines and voltage violations, which can further exacerbate the frequency deviations and result in a complete blackout of the system. Restorative actions can involve the quick re-connection of the line, the rerouting of the power flow by adjusting the setpoints of nearby elements, or running an optimal transmission switching algorithm to find the best positions of the switches and disconnectors. However, a strong power grid must also be properly planned and dedicate a budget for transmission expansion planning [4].

- Load contingencies: sudden changes in load demand, such as the disconnection of large industrial loads or the connection of significant new loads, can create power imbalances. While load increases typically cause frequency drops, load decreases can cause frequency rises [5]. On the other hand, loads are also employed to provide flexibility to the system, by adjusting the associated consumption to meet the requirements imposed by the transmission system operator, which are eventually monetarily compensated. Even though loads are constantly changing in the system, we define load contingencies as sudden and large spikes in demand, akin to the connection or disconnection of massive consumption points.
- Weather-related contingencies: extreme weather events such as storms, lightning strikes, or high winds can cause multiple simultaneous contingencies, including line outages, equipment damage, and load changes. These events often require coordinated responses across multiple control areas [6]. It is often the case that a weather-related event can simultaneously cause a generation contingency and a transmission line contingency. Hence, power grids are sometimes designed to meet not only the N-1 criterion, but also the N-2 criterion, which is the ability to withstand two contingencies at the same time.
- Equipment failures (others): other contingencies can be due to failures in critical equipment such as transformers, circuit breakers, or protection systems that can further lead to cascading effects throughout the system. These failures can be caused by aging, improper maintenance, or external factors [7]. In practical terms, and when seen from a modelling perspective, these equipment failures are not different from the aforementioned contingency types, as they can cause a sudden frequency variation and also a power flow redistribution.

The impact of contingencies on power system dynamics can be characterized by their severity, duration, and propagation characteristics. Severe contingencies can lead to frequency excursions that exceed normal operating limits, potentially triggering automatic load shedding or generator tripping to prevent system collapse [8].

In the context of distributed control systems like COLMENA, contingencies create the need for coordinated responses across multiple areas. The distributed MPC framework must be able to detect the impact of these events in the electrical magnitudes under measurement, assess their influence on local and neighboring areas, and coordinate appropriate control actions to restore system stability while respecting operational constraints. Figure 1 shows a schematic representation of the contingencies under study.

# Contingency Types: — Generation outage — Demand increase — Line outage Area 1 $f_1(t)$ Line outage $G_1$ Gen. outage $G_2$ Demand increase $D_1$

Figure 1: Schematic representation of the power system contingencies under analysis showing generation outage, load/demand increase, and line opening events in a two-area system.

# 2.2 Connection/disconnection definition

In modern power systems, it is increasingly common to integrate new entities, such as solar parks or other renewable energy sources. The connection of a new component means that both the new entity and the rest of the system must detect the change and adapt, ensuring that the added installation does not negatively impact, and ideally improves, the operation of the electrical grid.

From a modeling and control perspective, the connection or disconnection of system elements, whether they are generators, loads, or other devices, can be treated as a particular case of contingency. The system must respond to these events in much the same way as it does to faults or sudden disturbances: by rebalancing power flows, adjusting control actions, and maintaining stability.

For this reason, in our framework, the connection or disconnection of components is not fundamentally different from other contingencies. Both require the system to adapt dynamically, leveraging distributed control and coordination among agents to ensure continued secure and efficient operation.

To test the system's adaptability, we consider scenarios involving the connection and disconnection of power injection sources, both generators and loads, to observe how the agents adjust their behavior. In both cases, the distributed control architecture must detect the change, coordinate the necessary adjustments, and maintain the desired performance of the grid.

# 2.3 Grid dynamics

In the proposed framework, each electrical area is represented using two states values:

- Frequency  $f_i(t)$ : the local frequency of area i, which reflects the balance between generation and consumption.
- Phase angle  $\delta_i(t)$ : the relative voltage phase angle of area *i* with respect to the reference area, governing power exchanges with neighboring areas.

This abstraction treats each area as a coherent group of generators and loads that tend to oscillate together in response to disturbances. It enables scalable distributed control by reducing the system's dimensionality.

The frequency evolution in each area is governed by a version of the swing equation:

$$f_{i,t+1} = f_{i,t} + \frac{\Delta t}{M_i} \left[ -D_i(f_{i,t} - f_0) + \sum_{k \in \mathcal{G}_i} P_t^{\text{gen}_k} - P_{i,t}^{\text{demand}} \right]$$

$$+ \sum_{j \in \mathcal{N}_i} B_{j,i}(\delta_{j,t} - \delta_{i,t})$$

$$=: f_{i,t}(x_t)$$

$$(2)$$

where:

- $M_i$  is the aggregated inertia of area i,
- $D_i$  is the damping coefficient of area i,
- $f_0$  is the nominal frequency (e.g., 50 or 60 Hz),
- $P_t^{\text{gen}_k}$  is the generation in area i,
- $P_t^{i,j}$  is the power exchanged from i to area j.

The relative angle  $\delta_i(t)$  accumulates the frequency deviation with the reference area over time:

$$\frac{d\delta_i(t)}{dt} = 2\pi (f_i(t) - f_0) \tag{3}$$

This relation ensures that the angle reflects the integral of frequency deviation, which is crucial for tracking system phase shifts over time.

In this formulation, we use the DC power flow approximation to model the active power exchange between areas. This approach is widely accepted in high-voltage

transmission system analysis due to its simplicity and relatively high accuracy under typical operating conditions. The approximation is based on the following assumptions:

- Voltage magnitudes are close to their nominal values and are considered constant.
- Angle differences between buses are small enough that  $\sin(\delta_i \delta_j) \approx \delta_i \delta_j$ .
- Line resistance is negligible compared to reactance, so power losses are ignored.

Under these assumptions, the active power flow from area i to area j can be approximated by the following. This shows that active power flows are driven by angle differences and line susceptance  $B_{i,j}$ ::

$$P_t^{i,j} = B_{i,j}(\delta_i(t) - \delta_j(t)) \tag{4}$$

where  $B_{i,j}$  represents the total susceptance between the two areas. This is calculated as the sum of the susceptances of all transmission lines directly connecting buses in area i to buses in area j:

$$B_{i,j} = \sum_{\text{line i connects area i to area j}} b_{\text{line i}} \tag{5}$$

This aggregation allows us to ignore the specific topologies of the areas during inter-area coordination, while still capturing the essential physics of power exchange via angle differences. It also reduces computational complexity, which is critical for scalable distributed optimisation.

# 2.4 Grid controls

We build a multi-agent system where each agent is responsible for the control of a defined electrical area. Each area comprises several buses, generators, and loads, and interacts with other areas through lines. The objective of the agent is to maintain frequency stability by coordinating with neighboring agents through the sharing of information.

Each agent is equipped with the capability to perform multiple control functions within its domain, depending on the system design and available actuators. These actions may include:

• **Power generation control**: adjusting generator outputs to balance load and maintain frequency.

- Load shedding strategies: curtailing controllable loads during contingencies to stabilize frequency.
- Battery or storage management: charging or discharging storage systems to buffer fluctuations in net demand or generation.
- Reactive power or voltage support: engaging voltage control mechanisms to assist in voltage regulation, if needed.

In the broader COLMENA framework, agents could also be capable of activating other local roles, provided that the dynamics and interactions of those roles are explicitly defined and known by the agent.

In this first implementation, we focus on a simplified setting where each agent directly controls the ramp of generator power outputs within its area. Specifically, the control vector  $u_i$  for agent i is defined as the difference in power output between two consecutive time steps:

$$u_i(t) = P_i^{\text{gen}}(t+1) - P_i^{\text{gen}}(t) \in \mathbb{R}^{n_{g,i}}$$
 (6)

where  $P_i^{\text{gen}}(t)$  is the vector of generator power outputs at time t, and  $n_{g,i}$  is the number of controllable generators in area i.

The control inputs are subject to ramp-rate constraints that limit how fast the generators can increase or decrease their output:

$$u_k^{\min} \le u_{i,k}(t)\Delta t \le u_k^{\max} \quad \forall k \in \{1, \dots, n_{g,i}\}, \forall t$$
 (7)

where  $u_k^{\min}$  and  $u_k^{\max}$  are the ramp-down and ramp-up limits (in pu/s) for generator k.

# 3 Mathematical Formulation

A Model Predictive Control (MPC) is a control strategy that solves an optimisation problem at each time step to determine the best sequence of control actions over a finite future time horizon. The fundamental idea is to use a dynamic model of the system to predict its future behavior and to compute the control inputs that minimize a given cost function while satisfying physical and operational constraints.

# 3.1 Centralized global problem

In a distributed formulation of a power system, each area i tracks its own frequency  $f_{i,t}$ . Although control inputs are applied locally, the physical coupling between areas means that the frequency of area i is influenced by the overall system state, including the states of neighboring areas.

In this formulation, we express the local frequency  $f_{i,t}$  as a function of the full system state vector at time t, denoted  $x_t = \{\delta_{j,t}, f_{j,t}\}_{j=1}^N$ .

### State variables:

- $x_t$ : The global state vector at time t, including:
  - $\delta_{i,t}$ : voltage angle of area j
  - $-f_{j,t}$ : frequency of area j

### Local frequency dynamics:

The frequency update in area i can now be written as:

$$f_{i,t+1} = f_{i,t}(x_t) \tag{8}$$

More explicitly, using the physical model:

$$f_{i,t+1} = f_{i,t} + \frac{\Delta t}{M_i} \left[ -D_i(f_{i,t} - f_0) + \sum_{k \in \mathcal{G}_i} P_t^{\text{gen}_k} - P_{i,t}^{\text{demand}} \right]$$

$$+ \sum_{j \in \mathcal{N}_i} B_{j,i}(\delta_{j,t} - \delta_{i,t})$$

$$=: f_{i,t}(x_t)$$

$$(9)$$

This shows that  $f_{i,t+1}$  depends not only on  $f_{i,t}$  and  $\delta_{i,t}$  (local state), but also on  $\delta_{j,t}$  for  $j \in \mathcal{N}_i$  (neighboring states). Hence, local frequency regulation depends on the evolution of the entire system state.

### Angle dynamics:

In the discretized time formulation that is adopted, the angle dynamics is given by:

$$\delta_{i,t+1} = \delta_{i,t} + 2\pi \Delta t (f_{i,t} - f_0) \tag{11}$$

### Global optimization problem:

The global frequency control is equivalent to the following optimal control problem:

$$\min_{\{u_{i,t}\}} \quad \sum_{i=t=0}^{N} \sum_{t=0}^{T} (f_{i,t}(x_t) - f_0)^2$$
 (12)

Subject to:

$$\delta_{i,t+1} = \delta_{i,t} + 2\pi \Delta t (f_{i,t}(x_t) - f_0) \tag{13}$$

$$x_{t+1} = \text{State transition function dependent on controls and dynamics}$$
 (14)

$$u_k^{\min} \le u_{i,t}^{(k)} \le u_k^{\max} \tag{15}$$

$$u_k^{\min} \le u_{i,t+1}^{(k)} - u_{i,t}^{(k)} \le u_k^{\max} \tag{16}$$

Where we have  $u_{i,t} \in \mathbb{R}^{N \times T}$  the set of control variables. From these formulations we introduce the local state for area i,  $x_{i,t}$ . The variable is defined as the set of local state variables of area i and the state variables of the neighboring areas.

$$x_{i,t} = (\delta_{i,t}, f_{i,t}, \{\delta_{i,t}\}_{i \in \mathcal{N}_i})$$
 (17)

With this we can redefine the global problem as follows:

$$\min_{\{u_{i,t}\}} \quad \sum_{i=1}^{N} \sum_{t=0}^{T} (f_{i,t}(x_{i,t}) - f_0)^2$$
(18)

s.t. 
$$x_{i,t} = x_{j,t}$$
,  $\forall (i,j)$  areas s.t.  $B_{i,j} \neq 0$ ,  $\forall t$  (19)

In this formulation, the objective function itself is unchanged only the dependencies of the frequency values  $f_{i,t}$  changes to a function of the local state  $x_{i,t}$ . The key modeling change introduced here is the inclusion of a coupling constraint of the form  $x_{i,t} = x_{j,t}$  for all connected pairs of areas (i,j) and time t. Given that  $f_{i,t}$  directly depends on  $\delta_{j,t}$  this can be simplified to the following set of coupled constraints, where  $\delta_{j,i,t}$  is the value of the area's i angle seen in the area's j subproblem:

$$\delta_{i,i,t} = \delta_{j,i,t} \quad \forall t, i \quad \forall j \in \mathcal{N}_i$$
 (20)

This constraint enforces that the local copies of shared state variables maintained by each agent (e.g., voltage angles or neighboring states) must agree

with those of their neighbors. Although each agent i solves its problem using its local state  $x_{i,t}$ , coordination is required so that all local views converge to a common global state.

This structure enables the use of distributed optimization techniques, such as the Alternating Direction Method of Multipliers (ADMM), where each agent optimizes independently and the consistency across agents is enforced iteratively through dual variables and auxiliary updates [9]. The use of ADMM to solve a frequency control problem is inspired by [10] and [11]. Readers are invited to check its mathematical development in the Appendix.

### 4 MPC Role Definition

In multi agent architectures and in the context of COLMENA a role is usually tied to a local behavior with a narrow task that is activated when a specific value or KPI is not respected. In this context, the distributed MPC can be seen as a policy coordinating multiple roles as much a role. For this we propose two different solutions that limit the MPC to specific circumstances making it more akin to a proper role than a policy.

# 4.1 Frequency area response

In this configuration, the distributed MPC is deployed as a reactive role rather than a permanent coordination policy. When frequency takes abnormal values, which could potentially signal instability, as detected by the associated KPI being surpassed, COLMENA activates this role tasked with coordinating corrective actions within that area.

The COLMENA framework can select the most computationally capable agent within the affected area to execute the distributed MPC coordination logic. This agent acts as the local coordinator, solving the area-wide optimal control problem while communicating with neighboring agents to respect tie-line interactions and boundary constraints. This structure means that a single agent by area is executing the frequency area response role.

The role's objective is to minimize frequency deviations in the local area by adjusting controllable resources (e.g., generation and controllable loads) over a prediction horizon. The optimization prioritizes frequency recovery while incorporating ramp limits and operational constraints.

Within this context, although the distributed MPC involves system-wide coordination and may interact with multiple roles, it behaves as a role in this context because:

- It is activated only in response to a specific condition (frequency instability).
- It has a well-defined and limited objective: frequency stability.
- Changing the objective function (e.g., minimizing cost instead of deviation) or time scale (e.g., minutes vs seconds) would create a role with a different objective, not simply a parameter change within a generic policy.

If instead of minimizing the frequency deviation, the agent optimized for economic dispatch over a longer time scale such as 10 minutes, the same underlying MPC engine would constitute a completely different role, for instance, a

CostOptimizationRole. This highlights that roles are defined by their specific objectives, context, and triggers, and not merely by the algorithm they run.

Within COLMENA's architecture, the distributed MPC can straddle the line between coordination mechanism and agent behavior, depending on how it is contextualized and activated. By bounding its scope through KPIs and objectives, it becomes operationally meaningful as a discrete role. Table 1 summarizes the characteristics of the described role.

Aspect	Description
Role Name	FrequencyAreaResponseRole
Objective	Minimize frequency deviation in the local area by
	optimally adjusting controllable resources over a pre-
	diction horizon.
Activation Con-	Triggered when the frequency KPI is violated, i.e.,
dition	$ f_i - f_0  > \Delta f_{\text{max}}$ for any agent i in the area.
Scope	Local to an area, with coordination across neighbor-
	ing agents.
Agent Require-	Computational power and specific solver
ment	
Time Scale	Fast-acting (typically sub-minute) response to stabi-
	lize frequency
Key Dependen-	Access to local state measurements (frequency,
cies	power injection), ramp-rate limits, and communica-
	tion with neighboring agents.

Table 1: Summary of the FrequencyAreaResponseRole.

The following pseudocode describes the online control loop executed by each local agent in area i when the distributed MPC role is activated.

# Algorithm 1 Local Agent i — Online Distributed MPC

- 1: **Initialization:** Obtain initial state  $x_{i,0}$  and initialize dual variables and neighbor estimates.
- 2: **while** Frequency KPI is broken **do**
- 3: while not converged and max iterations not reached do
- 4: Receive latest state estimates from neighbors  $j \in \mathcal{N}_i$
- 5: Solve local MPC optimization problem over horizon  $t \to t + T$
- 6: Exchange updated local state estimates with neighbors
- 7: Update dual variables (consensus step)
- 8: end while
- 9: Apply first control input  $u_{i,t}$  to the system
- 10: Measure or estimate new local state  $x_{i,t+1}$
- 11: Shift horizon and prepare for next step
- 12: end while

# 4.2 Specific implementation

In this section we discuss how the different tools provided by COLMENA are used to set up the grid. Specifically, how the data and contexts decorators are used by the Distributed MPC role to be implemented in parallel. The parallel implementation is key to the distributed nature of the MPC.

### **Contexts Decorators**

The use of COLMENA's contexts fits quite well with the concept of areas. In the context of electrical grids, areas are defined by a set of buses and the electrical devices connected to those buses. Here we consider agents to belong to specific contexts when they are part of an area. For example, we could have contexts defined by the agents ID beforehand, or if we consider the initial prototype, if an agent is paired to an electrical device, then the agent would belong to that area's context.

We can use contexts to send control messages from the agent running the Distributed MPC to other agents. For instance, instead of the MPC including the dynamics of certain roles, the MPC can set objectives that then other roles inside the area can react to. For this case we define *Grid Areas* a context where a set of COLMENA agents are interlinked.

### **Data Decorators**

We define three different types of data channels to share information between the different agents running the ADMM:

- State horizon zata: channel where the copies of all the coupled primal variables are sent between agents, that is the  $\hat{\delta}_{i,j,t}$   $\forall i, j, t$ .
- Dual variables data: channel where the dual variables are stored, this specific channel is scoped with respect to the context *Grid Areas*. This makes it so that each area stores their own copy of the dual variables. Such an approach can be leveraged so that different agents in the same area could run different iterations of the online control.
- Error data: channel where the agents update the dual error of the ADMM algorithm. When the error goes below a specific tolerance we consider the ADMM has converged. Then the agents send the control actions to the ANDES simulation and wait until the next step.

### Coordination

The different agents read the state horizon channel to get the values for the primal variables of other agents and then post their updated primal values. To avoid agents overwriting the changes of other agents, we also add a coordination feature. This consists in different agents having to update various data channels depending on the context. We also consider defining a new coordinating agent to perform this task, which could be implemented in later phases, although it was deemed unnecessary at this stage.

### Activation

The distributed frequency control role is activated when two KPIs are broken. The role first communicates with ANDES and learns the initial conditions for the problem. It then solves a single iteration of the local problem. In the prototype, the other iterations are also executed by the same agent. The goal however is to potentially use COLMENA's resource control to run the solver, for example, in the most computationally powerful agent available. This could be possible thanks to the different communications channels already storing the necessary data independently of the agents.

# 4.3 Testing environment

The testing was carried out on a local machine, where the agents in the COL-MENA framework run in Docker containers, maintaining the decentralized nature of the system. These agents communicate locally with the ANDES simulator, which is also hosted on the same machine. The use of Docker containers for the agents ensures that the system remains modular and scalable, allowing for independent operation and coordination between agents while still adhering to decentralized principles.

Add an image or schematic of the Docker containers, and also an illustrative figure of a block of code. Further describe the testing environment and the interaction between ANDES and COLMENA.

### 4.4 COLMENA justification

The COLMENA framework is a good fit for frequency optimization mainly because it gets around the delays of a central controller. Frequency problems start locally, but a central system has to wait for data from all over the grid before it can react. By the time it sends commands back, precious time is lost.

COLMENA lets local agents act immediately based on their own measurements, which is much faster. They still coordinate with their neighbors, so their quick fixes work together to stabilize the whole grid instead of just creating new problems elsewhere.

A decentralized control system is generally speaking a much more practical way to handle modern grids. As the grid is full of different devices renewables, HVDC links, switches, among others, trying to update one giant, central control system for every new piece of equipment is deemed impractical. With COLMENA, a new agent with the right role can be deployed for that equipment. This makes the system more flexible and robust. If one agent fails, the others can still operate and pick up the slack, so there is no single point of failure that can take the whole system experience a blackout.

# 5 Case Studies

We consider the IEEE 39-bus grid, whose data can be found in [1], to perform multiple case studies and analyze how the COLMENA framework performs in controlling the frequency. The grid consists of 39 buses, 10 generators, 14 lines, among others. The calculations are performed in Python using the open-source package ANDES [12]. This grid is divided in two different areas of similar size, where each bus belongs to one specific area. The setup is identical to the one explained in the previous deliverable: ANDES is set up as an app that simulates the grid in real time. This app is also prepared to receive queries to from COLMENA regarding the state on the grid, akin to taking measurements of specific metrics on the grid. Additionally, it is also possible to modify the parameters of the simulation similar to a role acting on the grid. Figure 2 shows the diagram of the IEEE 39-bus grid with two areas.

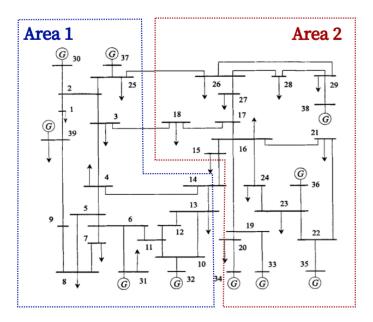


Figure 2: Diagram of the IEEE 39-bus grid with two area.

From the COLMENA side, we employ multiple agents as Docker containers deployed in a single computer. We deploy two agents per area, where these agents can run both the Distributed MPC role and the roles presented in the previous deliverables (if they respect the requirements). In each area we deploy:

- Agent 1: Agent with requirement ('generator', 'solver')
- Agent 2: Agent with requirement ('converter')

On the simulation side, we start the simulation with a grid in steady-state conditions. We set up multiple scenarios with the objective of studying how the different roles act in the grid to counteract this perturbation. The distributed MPC is configured with a horizon of 3 seconds and a time step of 100 ms.

# 5.1 Case study 1 - Load increase

We define a perturbation at t=3s that consists in a load suddenly doubling. This perturbation provokes a drop in frequency. The distributed frequency optimizer role activates 10 seconds after the KPI is broken given the required time to set up. Once the role is activated, the frequency is brought back closer to the nominal value. The grid does not come back to exactly the nominal frequency because of the assumptions made in the modelling of the grid (mainly, modelling the system as linear when it is inherently non-linear). The final oscillations could then be cancelled by the Automatic Response Control. The comparison of the frequency evolution with and without the distributed MPC is shown in Figure 3 and 4.

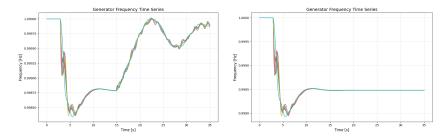


Figure 3: Frequency evolution for Figure 4: Frequency evolution for study case 1 with MPC study case 1 without MPC

Describe in which bus is the load varied and what new value in MW and Mvar does it take.

# 5.2 Case study 2 - Generator outage

Fill this case study with a figure showing the results and explaining the perturbation that was caused.

# 5.3 Case study 3 - Line outage

Fill this case study with a figure showing the results and explaining the perturbation that was caused.

# 6 Milestones

This brief chapter summarizes the milestones of the project associated with this deliverable corresponding to Activity 3.

# Milestone 1 - Prototype code delivery

The final version of the prototype is now fully functional and has been successfully deployed. This version leverages the tools provided by COLMENA to enable scalable, modular deployment of decentralized control algorithms. It has been extensively tested using multiple contingency scenarios, including generator outages, line outages and loads increases to validate the robustness of power converter responses under possible stress conditions. The response of the COLMENA control in these scenarios validates the capacity of the roles to respond to perturbations and restore the original frequency. The different testcases along with the prototype are available in the following online repository [13].

# Milestone 2 - Prototype deployment

The prototype has been successfully deployed on an eRoots machine, following a collaborative effort between eRoots engineers and BSC staff working to overcome possible difficulties during the development. We ensured compatibility with the required software stack and performance expectations. The specific ANDES app tasked with running the simulation is also available as a Docker container in case it is needed further testing is needed.

# 7 Conclusions and Future Work

The results from the simulations validate that deploying frequency control using the COLMENA framework is an effective approach for maintaining grid stability. The system successfully managed frequency deviations, responding to disturbances in a timely manner and restoring the frequency to its nominal value. This demonstrates the robustness and effectiveness of COLMENA's decentralized control strategy, confirming its potential as a reliable solution for frequency regulation in power grids.

While the current study on the IEEE 39-bus system demonstrates the fundamental viability of the distributed MPC, the most critical next step is to rigorously evaluate its performance at scale. Therefore, the future work will be centered on scaling the system to handle larger and more complex grid structures to ensure its readiness for real-world applications. This will involve the following key activities:

The primary objective is to test the limits of the distributed MPC controller by applying it to significantly larger power systems. This transition is not merely about increasing the number of buses but also about introducing greater topological complexity.

- Progressive system scaling: we will systematically move from the current 39-bus system to well-established, larger benchmarks, such as the IEEE 118-bus system, or potentially reaching up to the PEGASE 1000+bus grids.
- Handling increased complexity: these larger grids introduce challenges that are not present in smaller systems, such as higher mesh-like interconnectivity, the presence of multiple, weakly connected control areas, and more complex power flow patterns. We will assess how the distributed control framework adapts to these more realistic and challenging structures.
- Expanding the number of control areas: scaling will involve partitioning these larger grids into a greater number of control areas, with more numerous agents. This will directly test the scalability of the coordination mechanism (ADMM), as the number of interacting agents and required communication channels will increase substantially.

As the system size grows, it is crucial to quantify the impact on performance to ensure the framework remains practical for real-time operation. We will evaluate performance along several key dimensions:

• Computational speed and efficiency: we will measure the number

of iterations required for the distributed agents to reach a consensus. A key research question is whether the convergence rate scales linearly or sub-linearly with the number of agents, which is critical for maintaining performance. We will also track the time required to solve the optimization problem at each time step. This time should remain well below the control interval (e.g., a few seconds) to be viable for online control.

- Control accuracy and precision: for systems of a manageable size (like the IEEE 118-bus), we will compare the results of the distributed MPC against the performance of the non-MPC case. This will allow us to quantify any loss of optimality and ensure the control actions remain precise and effective. We will analyze the controller's ability to maintain grid stability (frequency, voltage profiles, line flows) in these larger, more inertial systems, to make sure no adverse dynamics are introduced by the distributed decision-making process.
- Communication overhead: we will analyze the data exchange requirements between agents. As the number of areas and interconnections grows, the communication network's load increases. We will measure the volume of data that needs to be exchanged per iteration to identify potential bottlenecks and inform the design of efficient communication protocols.

### Distributed MPC via ADMM Α

In order to enforce consistency across areas while allowing decentralized computation, we adopt the Alternating Direction Method of Multipliers (ADMM) to solve the distributed MPC problem. At each iteration, each agent i solves a local optimal control problem over its prediction horizon. This problem incorporates local dynamics and objectives, along with augmented terms that enforce consensus on shared variables (e.g., voltage angles) with neighboring agents.

The augmented Lagrangian  $\mathcal{L}_i$  for agent i combines the local objective with terms enforcing agreement on shared voltage angle variables  $\delta_{i,t}$  with its neighbors. Here  $\hat{\delta}_{i,j,t}$  is the copy of the variable  $\delta j,t$  seen from the agent in area i. The dual variables  $\lambda_{i,j,t}$  and  $\lambda_{j,i,t}$  enforce the consensus constraints between agent i and neighbor j. The penalty term weighted by  $\rho$  further encourages agreement across shared variables through a quadratic penalty.

$$\mathcal{L}_{i} = \sum_{t=0}^{T} (f_{i,t} - f_{0})^{2} + \sum_{j \in \mathcal{N}_{i}} \sum_{t=0}^{T} \left[ \lambda_{i,j,t} (\delta_{i,t} - \hat{\delta}_{j,i,t}) + \lambda_{j,i,t} (\delta_{j,t} - \hat{\delta}_{i,j,t}) \right] + \frac{\rho}{2} \sum_{j \in \mathcal{N}_{i}} \sum_{t=0}^{T} \left[ (\delta_{i,t} - \hat{\delta}_{j,i,t})^{2} + (\delta_{j,t} - \hat{\delta}_{i,j,t})^{2} \right]$$
(21)

With this we can define a local subproblem for agent i. At each iteration of the ADMM algorithm agent i will solve minimizes the augmented Lagrangian with respect to its own local decision variable  $\{u_{i,t}\}$  over the prediction horizon. The subproblem has the following expression:

$$\min_{\{u_{i,t}\}} \quad \sum_{t=0}^{T} (f_{i,t} - f_0)^2 
+ \sum_{j \in \mathcal{N}_i} \left[ \lambda_{j,i,t} (\delta_{j,t} - \hat{\delta}_{i,j,t}) + \lambda_{j,i,t} (\hat{\delta_{j,t}} - \hat{\delta}_{i,j,t}) \cdot \right] 
+ \frac{\rho}{2} \sum_{i \in \mathcal{N}_i} \sum_{t=0}^{T} \left[ (\delta_{i,t} - \hat{\delta}_{j,i,t})^2 + (\delta_{j,t} - \hat{\delta}_{i,j,t})^2 \right]$$
(22)

Subject to the following local constraints:

$$u_k^{\min} \le P_{t+1}^{\text{gen}_k} - P_t^{\text{gen}_k} \le u_k^{\max} \quad \forall k \in \mathcal{G}_i, \ \forall t$$

$$P_{\min}^{\text{gen}_k} \le P_t^{\text{gen}_k} \le P_{\max}^{\text{gen}_k} \quad \forall k \in \mathcal{G}_i, \ \forall t$$

$$(23)$$

$$P_{\min}^{\text{gen}_k} \le P_t^{\text{gen}_k} \le P_{\max}^{\text{gen}_k} \quad \forall k \in \mathcal{G}_i, \ \forall t$$
 (24)

# Interpretation:

- The first term penalizes local frequency deviation over the prediction horizon.
- The second term introduces dual variables  $\lambda_{i,j,t}$  and  $\lambda_{j,i,t}$  that enforce agreement between shared voltage angle variables  $\delta_{i,t}$  and  $\delta_{j,t}$  across neighboring agents.
- $\hat{\delta}_{j,i,t}$  is the angle value received from neighbor j during the previous iteration
- The last term is a quadratic penalty that reinforces consensus via the parameter  $\rho$ .

### A.1 Local Problem Modifications

**A. Damping Term** We add an additional term damping term to the cost function when solving the local MPC. It penalizes deviations from the previous iteration k-1 of the primal variables. The use of this term is heavily influenced by [14].

$$\frac{\beta}{2} \|\delta_{i,t} - \delta_{i,t}^{k-1}\|^2 \quad \forall i, t$$

where  $\delta_{i,t}$  is the area's decision variable for the angle and  $\delta_{i,t}^{k-1}$  is its value in the previous iteration, and  $\beta > 0$  is a damping coefficient. This modification biases the optimizer towards solutions close to the prior iteration, it stabilizes convergence and avoids oscillations in the horizon state.

**B.** Adaptable  $\rho$  Instead of using a fixed penalty parameter  $\rho$ , we adjust it based on the ratio of primal and dual residuals. This helps balance the convergence of both residuals and improves performance across different problem scales[9]. The update rule is:

$$\rho^{k+1} := \begin{cases} \tau \rho^k & \text{if } ||r^k|| > \mu ||s^k|| \\ \rho^k / \tau & \text{if } ||s^k|| > \mu ||r^k|| \\ \rho^k & \text{otherwise} \end{cases}$$

Here,  $r^k$  and  $s^k$  are the primal and dual residuals respectively, and  $\tau > 1$ ,  $\mu > 5$  are tuning parameters. The residuals in iteration k are defined as follows:

$$r_{j,i}^k := \delta j, i^k - \delta_j^k$$

$$s_{j,i}^k := \rho \left( \delta_j^k - \delta_j^{k-1} \right)$$

C. Cost Function Scaling In practice, the magnitudes of the objective terms across agents can differ significantly. Specially, when dealing with the frequency in per unit the cost function can be of the order of  $10^{-6}$ . This means that if the cost function is not properly scaled the penalty and lagrangian term override the capcity of the solver to converge. This results on reaching consensus easier but with suboptimal horizons. Thats we redefine the frequency cost as follows. In the simulation we use  $\gamma = 10^9$ .

$$\gamma ||f_{i,t} - f_{nom}||_2^2 \tag{25}$$

This subproblem defines the core of the distributed MPC framework under ADMM. Each agent optimizes locally, exchanges information with its neighbors, and updates its variables based on the augmented Lagrangian terms, gradually driving the overall system toward a consensus-based optimal trajectory.

**D. Smoothing and Terminal cost** Additionally, we add two new terms to the cost function in order to get better results out of the distributed MPC. These new cost terms consists of a smoothing term that smooths the trajectory of the frequency:

$$\alpha_1 \sum_{0 \le t < T} (f_{i,t+1} - f_{i,t})^2 \tag{26}$$

And a Terminal cost term that we define as follows:

$$\alpha_2 (f_{i,T} - f_{nom})^2 \tag{27}$$

Overall, these additions help us find a more suitable solution of the frequency's trajectory rather than just minimizing for the cost.

**E. Redundant coupled constraints** Finally, we add additional coupled constraint to the local MPC formulation. This new constraint do not change the model mathematically but significantly improve convergence speed. The existing formulation can pose problems since it achieves consensus in the states that are further in the horizon and can lock the problem into a suboptimal path. The new coupled constraint intent to achieve consensus between the agents also in the dynamics. They are the following in

$$P_{\text{seen from area i}}^{\text{exchanged from i to j}} + P_{\text{seen from area j}}^{\text{exchanged from j to i}} = 0$$
 (28)

$$\omega_{i,i,t} = \omega_{j,i,t} \tag{29}$$

We can also write this equations in terms of  $\delta_{j,i,t}$ . With this addition we also need to modify the local MPC with new terms.

### A.2ADMM Algorithm

```
Algorithm 2 Distributed MPC via ADMM
```

```
1: Initialize states x \leftarrow x_0 by getting the grid's actual state.
2: while consensus error > \epsilon do
        \mathbf{for} \ \mathrm{each} \ \mathrm{agent} \ i \ \mathbf{do}
3:
             Receive \hat{\delta}_{j,i,t} from neighbors j \in \mathcal{N}_i the copies of the shared values
4:
   in the neighboring agents.
             Solve local MPC with updated dual and penalty terms.
5:
6:
             Send \delta_{i,j,t}^* to agent's i neighbors.
        end for
7:
        for each pair (i, j) do
8:
             Update dual variables:
9:
                                   \lambda_{i,j,t} \leftarrow \lambda_{i,j,t} + \rho(\delta_{i,i,t} - \hat{\delta}_{i,i,t})
```

$$\lambda_{i,j,t} \leftarrow \lambda_{i,j,t} + \rho(\delta_{j,j,t} - \hat{\delta}_{i,j,t})$$

end for 10: 11: end while

This distributed MPC approach converges to the centralized solution while allowing each agent to optimize its control strategy independently and in parallel. The dual variables ensure consensus across shared variables, and ADMM iterations enforce global coordination.

In the prototype we aim to implement an online implementation of this ADMM by solving the ADMM algorithm iteratively. In practice this means that each area solves its local optimization problem over a finite horizon and exchanges boundary variables with neighboring areas. Once there is convergence, only the first control action of the optimal sequence is applied to the physical system. This includes updating the setpoints of generators for the next time step.

The following algorithm illustrates how each generator applies the control update by computing the power ramp to be executed in the current time step:

This way, the agents are able to solve the local problem and send the control

# Algorithm 3 Online Setpoint Update using ADMM

```
for each generator k do

Apply control ramp: u_k(t) = P_{t+1}^{\text{gen}_k} - P_t^{\text{gen}_k}.

Send updated setpoint P_{t+1}^{\text{gen}_k} to the generator's governor.

end for
```

actions to the ANDES simulator, which would then provide the system operating conditions for the next time step.

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