Parsing

Parsing

Objective: build an abstract syntax tree (AST) for the token sequence from the scanner.

$$2 * 3 + 4 \qquad \Rightarrow \qquad {^{\uparrow}}_{4}$$

Goal: discard irrelevant information to make it easier for the next stage.

Parentheses and most other forms of punctuation removed.

Grammars

Most programming languages described using a context-free grammar.

Compared to regular languages, context-free languages add one important thing: recursion.

Recursion allows you to count, e.g., to match pairs of nested parentheses.

Which languages do humans speak? I'd say it's regular: I do not not not not not not not not understand this sentence.

Languages

Regular languages (t is a terminal):

$$A \to t_1 \dots t_n B$$

$$A \to t_1 \dots t_n$$

Context-free languages (P is terminal or a variable):

$$A \to P_1 \dots P_n$$

Context-sensitive languages:

$$\alpha_1 A \alpha_2 \to \alpha_1 B \alpha_2$$

" $B \to A$ only in the 'context' of $\alpha_1 \cdots \alpha_2$ "

Issues

Ambiguous grammars

Precedence of operators

Left- versus right-recursive

Top-down vs. bottom-up parsers

Parse Tree vs. Abstract Syntax Tree

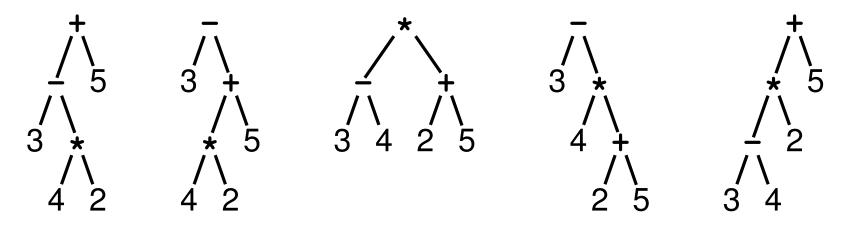
Ambiguous Grammars

A grammar can easily be ambiguous. Consider parsing

$$3 - 4 * 2 + 5$$

with the grammar

$$e \rightarrow e + e \mid e - e \mid e * e \mid e / e \mid N$$



Operator Precedence and Associativity

Usually resolve ambiguity in arithmetic expressions

Like you were taught in elementary school:

"My Dear Aunt Sally"

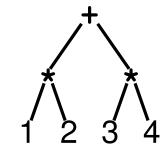
Mnemonic for multiplication and division before addition and subtraction.

Operator Precedence

Defines how "sticky" an operator is.

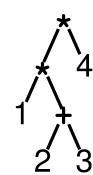
$$1 * 2 + 3 * 4$$

* at higher precedence than +: (1 * 2) + (3 * 4)



+ at higher precedence than *:

$$1 * (2 + 3) * 4$$



Associativity

Whether to evaluate left-to-right or right-to-left Most operators are left-associative

$$1 - 2 - 3 - 4$$

left associative

$$\frac{1}{2}$$
 $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{3}$ $\frac{1}{4}$ $\frac{1}$

Fixing Ambiguous Grammars

Original ANTLR grammar specification

```
expr
: expr '+' expr
| expr '-' expr
| expr '*' expr
| expr '/' expr
| NUMBER
;
```

Ambiguous: no precedence or associativity.

Assigning Precedence Levels

Split into multiple rules, one per level

Still ambiguous: associativity not defined

Assigning Associativity

Make one side or the other the next level of precedence

```
expr : expr '+' term
     | expr '-' term
     | term
term : term '*' atom
     | term '/' atom
     atom
atom : NUMBER ;
```

Parsing Context-Free Grammars

There are $O(n^3)$ algorithms for parsing arbitrary CFGs, but most compilers demand O(n) algorithms.

Fortunately, the LL and LR subclasses of CFGs have O(n) parsing algorithms. People use these in practice.

The CYK algorithm (Cocke-Younger-Kasami)

Inputs: a string $w=a_1a_2\dots a_n$ and a grammar in Chomsky Normal Form (only productions $X\to a$ and $X\to YZ$)

Construct an $n \times n$ table T, where T[i,j] is the set of nonterminals that generate the substring $a_i a_{i+1} \dots a_j$. Using dynamic programming, each table entry can be filled in O(n) time. The algorithm runs in $O(n^3)$ time.

Question: how to determine that $a_1 a_2 \dots a_n$ is in the language?

Parsing LL(k) Grammars

LL: Left-to-right, Left-most derivation

k: number of tokens to look ahead. Usually k = 1

Parsed by top-down, predictive, recursive parsers

Basic idea: look at the next token to predict which production to use

ANTLR builds recursive LL(k) parsers

Almost a direct translation from the grammar.

Implementing a Top-Down Parser

```
stmt : IF expr THEN expr
         WHILE expr DO expr
       | expr ASSIG expr ;
expr : NUMBER | LPAR expr RPAR ;
stmt() {
 switch (token) {
 case IF:
   Match(IF); expr(); Match(THEN); expr();
                                                   break;
 case WHILE:
   Match(WHILE); expr(); Match(DO); expr();
                                                   break;
 case NUMBER or LPAREN:
   expr(); Match(ASSIG); expr();
                                                   break;
```

Writing LL(k) Grammars

Cannot have left-recursion

```
expr : expr '+' term | term ;
becomes
expr() {
 switch (token) {
 case NUMBER or LPAREN: expr(); Match('+'); term();
               /* Infinite Recursion in expr() */
```

Writing LL(1) Grammars

Cannot have common prefixes

```
expr : ID LPAR expr RPAR
        ID EQUALS expr
becomes
expr() {
 switch (token) {
 case ID:
   Match(ID); Match(LPAR); expr(); Match(RPAR); break;
 case ID:
   Match(ID); Match(EQUALS); expr();
                                               break;
```

Eliminating Common Prefixes

Consolidate common prefixes:

```
expr
  : expr '+' term
  | expr '-' term
   term
becomes
expr
  : expr ('+' term | '-' term )
  | term
```

Eliminating Left Recursion

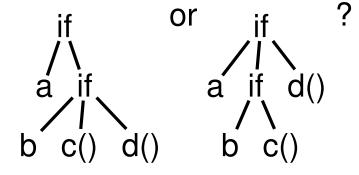
Understand the recursion and add tail rules

```
expr
  : expr ('+' term | '-' term )
  | term
becomes
expr : term exprt ;
exprt : '+' term exprt
      | '-' term exprt
      | /* nothing */
```

Using ANTLR's EBNF

ANTLR makes this easier since it supports *, + and ?:

Who owns the *else*?



Grammars are usually ambiguous; manuals give disambiguating rules such as C's:

As usual the "else" is resolved by connecting an else with the last encountered elseless if.

Problem comes when matching iftail.

Normally, an empty choice is taken if the next token is in the "follow set" of the rule. But since **ELSE** can follow an **iftail**, the decision is ambiguous.

ANTLR can resolve this problem by making certain rules "greedy." If a conditional is marked as greedy, it will take that option even if the "nothing" option would also match:

stmt

```
: IF expr THEN stmt
    ( options {greedy = true; }
    : ELSE stmt
    )?
| other-statements
;
```

Some languages resolve this problem by insisting on nesting everything.

E.g., Algol 68:

if a < b then a else b fi;

"fi" is "if" spelled backwards. The language also uses do-od and case-esac.

Statement separators/terminators

```
C uses; as a statement terminator.
if (a<b) printf("a less");</pre>
else {
  printf("b"); printf(" less");
Pascal uses; as a statement separator.
if a < b then writeln('a less')</pre>
else begin
  write('a'); writeln(' less')
end
Pascal later made a final; optional.
```

Table-driven Top-Down Parsing

Nomenclature

```
a,b,c,\ldots represent terminal symbols A,B,C,\ldots represent nonterminal symbols S represents the initial nonterminal symbol X,Y,Z,\ldots represent terminal or non-terminal symbols u,v,w,\ldots represent words of terminal symbols \alpha,\beta,\gamma,\ldots represent words of terminal and non-terminal symbols
```

 \Rightarrow_G represents a one-step derivation with grammar G $\stackrel{*}{\Rightarrow}_G$ represents zero or more steps in a derivation

Nullable, first and follow

$$\mathsf{NULLABLE}(\alpha) \Leftrightarrow \\ \exists \ \alpha \ \overset{*}{\Rightarrow} \ \epsilon$$

$$\mathsf{FIRST}(\alpha) \equiv$$
$$\{a: \alpha \overset{*}{\Rightarrow} a\beta\}$$

$$\mathsf{FOLLOW}(A) \equiv$$
$$\{a: S \, \$ \stackrel{*}{\Rightarrow} \alpha A a \beta \}$$

Calculating nullable

```
NULLABLE(X):
For all terminals a: NULLABLE(a) = FALSE;
For all nonterminals A: NULLABLE(A) = FALSE;
For all productions A \to \epsilon: NULLABLE(A) = TRUE;
repeat

For all productions A \to X_1 X_2 \dots X_k

if \forall i in 1..k: NULLABLE(X_i) then

NULLABLE(X_i) = TRUE
until no further progress
```

Note: NULLABLE $(X_1 X_2 \dots X_k) \Leftrightarrow \forall i \text{ in } 1..k : \text{NULLABLE}(X_i)$

Calculating first and follow

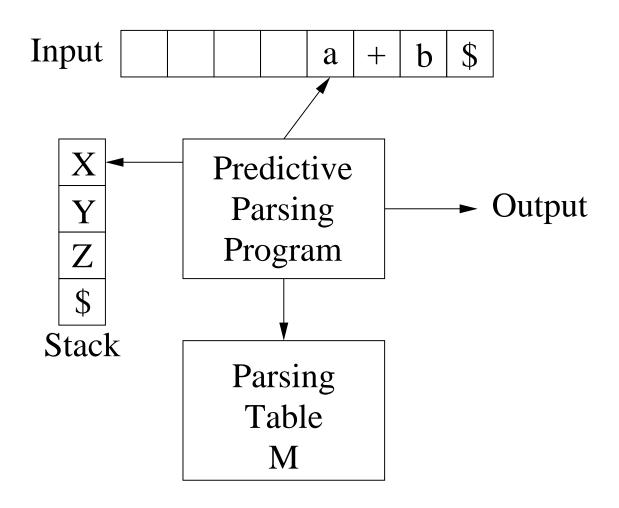
Note: FIRST $(X_1X_2...X_k)$ is calculated in a similar way as the inner loop.

Calculating first and follow

```
Follow(A):
   FOLLOW(S) = \{\$\}, where S is the start symbol
   For all other nonterminal symbols A, Follow(A) = \emptyset
   repeat
      For all productions A \to \alpha B\beta
         add FIRST(\beta) to FOLLOW(B)
      For all productions A \to \alpha B
               or A \to \alpha B\beta, where Nullable(\beta)
         add Follow(A) to Follow(B)
  until no further progress
```

Note: FIRST(β) is calculated in a similar way as the inner loop of FIRST.

Table-driven predictive parser



Parsing table

For each production $A \to \alpha$ of the grammar do:

- 1. For each terminal $a \in \mathsf{FIRST}(\alpha)$, add $A \to \alpha$ to M[A,a].
- 2. If NULLABLE(α), then for each terminal b in FOLLOW(A), add $A \to \alpha$ to M[A, b].

All empty entries must be set to *error*.

Parsing table

PRODUCTION $A ightarrow lpha$	NULLABLE($lpha$) FIRST($lpha$)		Follow(A)	
$E \rightarrow TE'$	FALSE $\{(, id)\}$		{),\$}	
$E' \rightarrow +TE'$	FALSE	{+}	() ¢)	
$E' \to \varepsilon$	TRUE	Ø	{), \$}	
$T \rightarrow FT'$	FALSE	$\{(,id\}$	{+,),\$}	
$T' \to *FT'$	FALSE	{*}	{+,),\$}	
$T' o \varepsilon$	TRUE	Ø		
$F \to (E)$	FALSE	{(}	$\{+,*,),\$\}$	
F o id	FALSE	$\{id\}$	$(\top, \Upsilon, J, \Psi)$	

	id	+	*	(),\$
\overline{E}	$E \rightarrow TE'$			$E \rightarrow TE'$	
E^{\prime}		$E' \rightarrow +TE'$			$E' \to \varepsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$	
T'		$T' \rightarrow \varepsilon$	$T' \to *FT'$		$T' \to \varepsilon$
F	F o id			$F \to (E)$	

LL(1) grammars

A grammar is LL(1) if and only if for every pair of rules $A \to \alpha$ and $A \to \beta$:

- FIRST(α) \cap FIRST(β) = \emptyset .
- $\mathsf{NULLABLE}(\alpha) \land \mathsf{NULLABLE}(\beta) = \mathsf{FALSE}.$
- If $\mathsf{NULLABLE}(\alpha)$, then $\mathsf{FIRST}(\beta) \cap \mathsf{FOLLOW}(A) = \emptyset$.

If a grammar is LL(1), then no conflicts appear in the parsing table.

Predicting parsing algorithm

```
ip is the pointer to the input (initially pointing at the first token);
The stack initially contains S$, with S on the top;
Set X to the top of the stack symbol;
while (X \neq \$) do
  a = INPUT[ip];
   if (X = a) Pop the stack and Advance ip;
  else if (X \text{ is a terminal} \neq a) SYNTAXERROR();
   else if (M[X,a] = error) SYNTAXERROR();
   else if (M[X,a] = X \rightarrow Y_1Y_2 \cdots Y_k)
      Output the production X \to Y_1 Y_2 \cdots Y_k;
      Pop the stack;
      Push Y_k, Y_{k-1}, \ldots, Y_1 onto the stack, with Y_1 on top;
   Set X to the top of the stack symbol;
```

Exercise: Execute the parsing algorithm with the input z * (x + y)\$.

Generation of top-down parsers (ANTLR style)

A simple example

Grammar

```
instruction_list: ( instruction )* ;
instruction: IDENT ASSIG expr
             IF expr THEN instruction_list ;
expr: (IDENT | NUM) (PLUS (IDENT | NUM))* ;
Parser
void instruction_list() {
  while (Token==IDENT or Token==IF) {
    instruction();
```

A simple example

```
instruction: IDENT ASSIG expr |
             IF expr THEN instruction_list ;
void instruction() {
  if (Token==IDENT) {
    Token = nexttoken();
    if (Token== ASSIG) {
      Token = nexttoken(); expr();
    } else SYNTAXERROR();
  } else if (Token==IF) {
    Token = nexttoken(); expr();
    if (Token==THEN) {
      Token = nextoken(); instruction_list();
    } else SYNTAXERROR();
  } else SYNTAXERROR();
                     40
```

A simple example

```
expr: (IDENT|NUM) (PLUS (IDENT|NUM))* ;
void expr() {
  if (Token==IDENT or Token==NUM) {
    Token = nexttoken();
    while (Token==PLUS) {
      Token = nexttoken();
      if (Token==IDENT or Token==NUM) {
        Token = nexttoken();
      } else SYNTAXERROR();
  } else SYNTAXERROR();
```

ANTLR

- ANTLR uses the EBFN notation
- NULLABLE, FIRST and FOLLOW must be extended to the EBNF notation.
- A recursive top-down parser is generated.

Nullable

- NULLABLE(ε) = true.
- NULLABLE(E*) = true.
- If NULLABLE(E), then NULLABLE(E+) = true.
- If $V \to E \in G$ and NULLABLE(E), then NULLABLE $(V) = \mathit{true}$.
- If NULLABLE (E_1) and NULLABLE (E_2) , then NULLABLE $(E_1E_2) = \textit{true}$.
- If $\mathsf{NULLABLE}(E_1)$ or $\mathsf{NULLABLE}(E_2)$, then $\mathsf{NULLABLE}(E_1|E_2) = \mathit{true}$.
- Nothing else is NULLABLE.

First

- If c is a terminal, $FIRST(c) = \{c\}$.
- If $V \to E \in G$, then $\mathsf{FIRST}(V)$ contains $\mathsf{FIRST}(E)$.
- FIRST (E_1E_2) contains FIRST (E_1) .
- If NULLABLE (E_1) , then FIRST (E_1E_2) contains FIRST (E_2) .
- FIRST $(E_1|E_2)$ contains FIRST (E_1) and FIRST (E_2) .
- FIRST(E*) and FIRST(E+) contain FIRST(E).
- Nothing else belongs to FIRST.

Follow

- If E_1E_2 is and expression of the grammar, then
 - FOLLOW (E_1) contains FIRST (E_2) .
 - FOLLOW (E_2) contains FOLLOW (E_1E_2) .
 - if NULLABLE (E_2) , then FOLLOW (E_1) contains FOLLOW (E_1E_2) .
- If $E_1|E_2$ is and expression of the grammar, then FOLLOW (E_1) and FOLLOW (E_2) contain FOLLOW $(E_1|E_2)$.
- If E* is an expression of the grammar, then $\mathsf{FOLLOW}(E)$ contains $\mathsf{FOLLOW}(E*) \cup \mathsf{FIRST}(E)$.
- The previous rule also applies for E+.
- If $V \to E \in G$, then FOLLOW(E) contains FOLLOW(V).
- Nothing else belongs to FOLLOW.

Generating an LL(1) recursive-descent predictive parser

For every rule $A \to E$, a function is generated:

```
\begin{array}{c} \textbf{void A()} \ \\ \textbf{Parse}(E, \ \textit{Follow}(A)) \\ \end{array} \}
```

where Parse(E, F) is the code generated to recognize the EBNF expression E followed by the tokens in F.

Token is a variable that represents the current token.

```
\begin{array}{lll} \mathtt{Parse}\,(E_1 \mid E_2 \mid \ldots \mid E_n\,,\,F) \equiv \\ & \mathtt{if} \;\; (\mathtt{Token} \in \mathit{First}(E_1)) \;\; \mathtt{Parse}\,(E_1,F)\,; \\ & \mathtt{else} \;\; \mathtt{if} \;\; (\mathtt{Token} \in \mathit{First}(E_2)) \;\; \mathtt{Parse}\,(E_2,F)\,; \\ & \ldots \\ & \mathtt{else} \;\; \mathtt{if} \;\; (\mathtt{Token} \in \mathit{First}(E_n)) \;\; \mathtt{Parse}\,(E_n,F)\,; \\ & \mathtt{else} \;\; \mathtt{if} \;\; (\mathtt{no} \;E_i \;\; \mathtt{is} \;\; \mathtt{nullable}) \;\; \mathtt{SYNTAXERROR}\,()\,; \\ & \mathtt{else} \;\; \mathtt{if} \;\; (\mathtt{Token} \not\in F) \;\; \mathtt{SYNTAXERROR}\,()\,; \end{array}
```

If the BNF version of the grammar is LL(1) then there are no conflicts between the different branches (including the case of a nullable E_i).

```
Parse (E_1 E_2 \dots E_n, F) \equiv
Parse (E_1, First(E_2 \dots E_n \cdot F));
Parse (E_2, First(E_3 \dots E_n \cdot F));
...
Parse (E_n, F);
```

where $First(E \cdot F)$ is

- First(E) if E is not nullable
- $First(E) \cup F$, otherwise

```
\begin{array}{ll} \mathtt{Parse}\,(E*,F) & \equiv \\ & \mathtt{while} \ (\mathtt{Token} \in \mathbf{\mathit{First}}(E)) \ \ \mathtt{Parse}\,(E,F)\,; \\ \\ \mathtt{Parse}\,(E+,F) & \equiv \\ & \mathtt{do}\ \mathtt{Parse}\,(E,F)\,; \ \mathtt{while} \ (\mathtt{Token} \in \mathbf{\mathit{First}}(E))\,; \\ \\ \mathtt{Parse}\,(E?,F) & \equiv \\ & \mathtt{if} \ (\mathtt{Token} \in \mathbf{\mathit{First}}(E)) \ \ \mathtt{Parse}\,(E,F)\,; \end{array}
```

```
\mathtt{Parse}\,((E),F) \equiv \mathtt{Parse}\,(E,F); \mathtt{Parse}\,(\epsilon,F) \equiv ; \mathtt{Parse}\,(a,F) \equiv \mathtt{Match}\,(a)\,;\,\,/\!/\,a\,\, \mathrm{is}\,\, \mathrm{a}\,\, \mathrm{terminal}\,\, \mathrm{symbol}\,\, \mathtt{Parse}\,(A,F) \equiv A\,()\,;\,\,\,/\!/\,A\,\, \mathrm{is}\,\, \mathrm{a}\,\, \mathrm{non-terminal}\,\, \mathrm{symbol}\,\,
```

LL(1) example

Grammar:

```
E \rightarrow T ('+'T|'-'T)*
T \rightarrow F ('*'F|'/'F)*
F \rightarrow ID | NUM | '('E')'
```

```
void E() {
   T();
   while (Token == '+' or Token == '-') {
      if (Token == '+') { Match('+'); T(); }
      else if (Token == '-') { Match('-'); T(); }
      else SYNTAXERROR(); // redundant
   }
}
```

LL(1) example

```
void T() {
   F();
   while (Token == '*' or Token == '/') {
      if (Token == '*') { Match('*'); F(); }
      else if (Token == '/') { Match('/'); F(); }
      else SYNTAXERROR();  // redundant
void F() {
   if (Token == ID) Match(ID);
   else if (Token == NUM) Match(NUM);
   else if (Token == '(') {
      Match('('); E(); Match(')');
   } else SYNTAXERROR();
                     52
```

Bottom-up Parsing

Rightmost Derivation

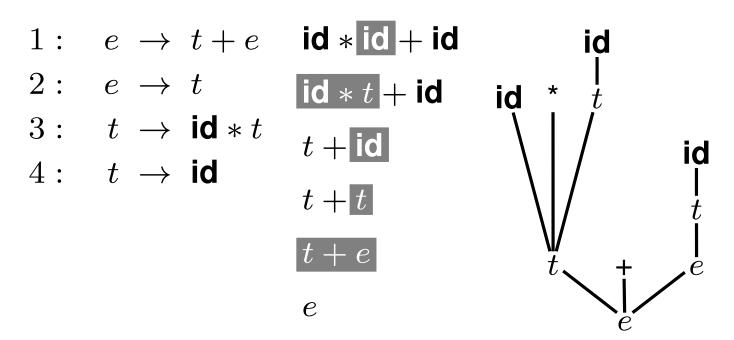
- $1: e \rightarrow t + e$
- $2: e \rightarrow t$
- $3: t \rightarrow id * t$
- $4: t \rightarrow id$

A rightmost derivation for id * id + id:

- e
- t + e
- t + t
- t + id
- id * t + id
- id * id + id

Basic idea of bottom-up parsing: construct this rightmost derivation backward.

Handles



This is a reverse rightmost derivation for id * id + id.

Each highlighted section is a handle handle.

Taken in order, the handles build the tree from the leaves to the root.

Shift-reduce Parsing

```
action
1: e \rightarrow t + e
                   stack
                                   input
                               id * id + id
                                               shift
2: e \rightarrow t
                     id
                                  * id + id
                                               shift
3: t \rightarrow id * t
                     id*
                                    id + id shift
4: t \rightarrow id
                     id * id
                                       + id
                                               reduce (4)
                    |id*t|
                                               reduce (3)
                                       + id
                                               shift
                                       + id
                     t
                                         id
                                               shift
                     t+
                     t + id
                                               reduce (4)
                                               reduce (2)
                     |t+|t|
                                               reduce (1)
                                               accept
                     e
```

Scan input left-to-right, looking for handles.

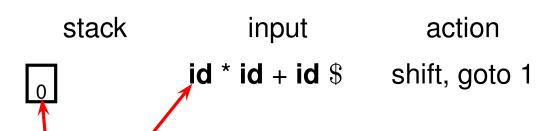
An oracle tells what to do-

LR Parsing

- $1: e \rightarrow t + e$
- $2: e \rightarrow t$
- $3: t \rightarrow id * t$
- $4: t \rightarrow id$

action goto

	aotion			9010		
	id	+ *	\$	e	t	
0	s1			7	2	
1		r4 s3	r4			
2		s4	r2			
3	s1				5	
4 5	s1			6	2	
		r3	r3			
6			r1			
7			acc			



- 1. Look at state on top of stack
- 2. and the next input token
- 3. to find the next action
 - 4. In this case, shift the token onto the stack and go to state 1.

LR Parsing

 $1 \cdot \rho \rightarrow t + \rho$

т.	E —	ι \top	C			
2:	$e \rightarrow$	t				
3:	$t \rightarrow$	id * t				
4:	$t \rightarrow$	id				
	action			goto		
	id + *	\$	e	t		
0	s1		7	2		
1	r4 s3	r4				
2	s4	r2				
2 3 4 5	s1			5 2		
4	s1		6	2		
5	r3	r3				
6		r1				
7		acc				

stack	input	action	
0	id * id + id \$	shift, goto 1	
	* id + id \$	shift, goto 3	
	id + id \$	shift, goto 1	
id * id 1	+ id \$	reduce w/ 4	

Action is reduce with rule 4 $(t \rightarrow id)$. The right side is removed from the stack to reveal state 3. The goto table in state 3 tells us to go to state 5 when we reduce a t:

stack input action

LR Parsing

1:	$e \rightarrow$	t +	e		stack	input	action
2:	$e \rightarrow$	t			0	id * id + id \$	shift, goto 1
3:	$t \rightarrow$	id *	$\cdot t$			* id + id \$	shift, goto 3
4:	$t \rightarrow$	id				id + id \$	shift, goto 1
	actic		Ç	goto		+ id \$	reduce w/ 4
	id + *	\$	<i>e</i>	$\frac{t}{2}$	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	+ id \$	reduce w/ 3
0	s1 *4 o2	r 1	7	2		+ id \$	shift, goto 4
2	r4 s3 s4	r2				id \$	shift, goto 1
3	s1			5		\$	reduce w/ 4
4	s1		6	2	0 2 4 1	\$	reduce w/ 2
5	r3	r3					
6		r1			0 t + e 6	\$	reduce w/ 1
7		acc			0 e 7	\$	accept

Constructing the SLR Parse Table

The states are places we could be in a reverse-rightmost derivation. Let's represent such a place with a dot.

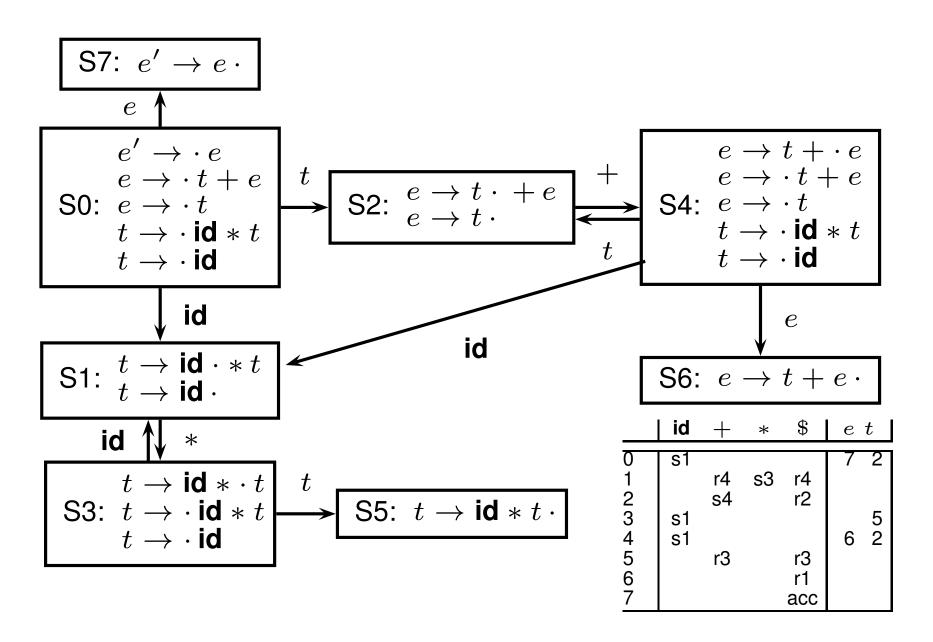
- $0: e' \rightarrow e$
- $1: e \rightarrow t + e$
- $2: e \rightarrow t$
- $3: t \rightarrow id * t$
- $4: t \rightarrow id$

Say we were at the beginning $(\cdot e)$. This corresponds to

$$e' \rightarrow \cdot e$$
 $e \rightarrow \cdot t + e$
 $e \rightarrow \cdot t$
 $t \rightarrow \cdot \mathbf{id} * t$
 $t \rightarrow \cdot \mathbf{id}$

The first is a placeholder. The second are the two possibilities when we're just before e. The last two are the two possibilities when we're just before t.

Constructing the SLR Parsing Table



The Punchline

This is a tricky, but mechanical procedure. The parser generators YACC, Bison, Cup, and others (but not ANTLR) use a modified version of this technique to generate fast bottom-up parsers.

You need to understand it to comprehend error messages:

Shift/reduce conflicts are caused by a state like

$$t \to \mathrm{id} \cdot * t$$

$$t o \mathsf{id} \cdot$$

Reduce/reduce conflicts are caused by a state like

$$t o \mathsf{id} * t \cdot$$

$$e \rightarrow t$$
.