

Dynamic Programming II

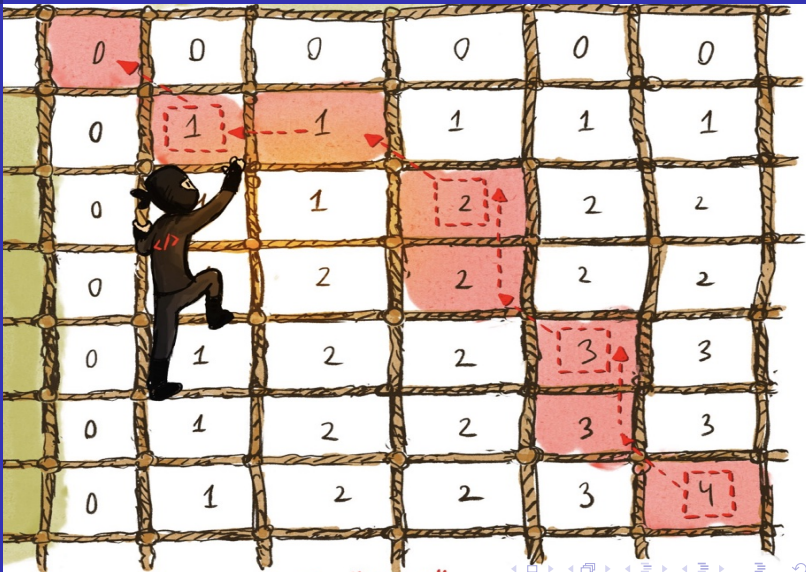
DP for pairing sequences

Framework
Edit distance
Longest common subsequence (LCS)
Longest common substring

Multiplying matrices

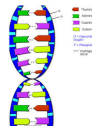
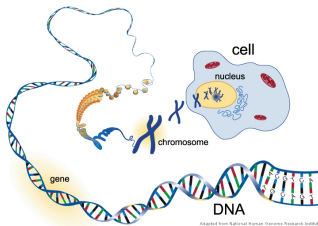
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Adding info for opt sol
Optimal solution

DP on trees



LCS "ACCA"

Matching DNA sequences



- DNA, is the hereditary material in almost all living organisms. They can reproduce by themselves.
- Its function is like a program unique to each individual organism that rules the working and evolution of the organism.
- Model as a string of 3×10^9 characters over $\{A, T, G, C\}$.

Computational genomics: Some questions

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- When a new gene is discovered, one way to gain insight into its working, is to find well known genes (not necessarily in the same species) which match it closely. Biologists suggest a generalization of edit distance as a definition of approximately match.
- GenBank (<https://www.ncbi.nlm.nih.gov/genbank/>) has a collection of $> 10^{10}$ well studied genes, BLAST is a software to do fast searching for similarities between a gene and those in a DB of genes.
- Sequencing DNA: consists in the determination of the order of DNA bases, in a short sequence of 500-700 characters of DNA. To get the global picture of the whole DNA chain, we generate a large amount of DNA sequences and try to assemble them into a coherent DNA sequence. This last part is usually a difficult one, as the position of each sequence in the global DNA chain is not known beforehand.

Evolution DNA

T	A	C	A	G	T	A	C	G
---	---	---	---	---	---	---	---	---

Mutation

T	A	C	A	C	T	A	C	G
---	---	---	---	---	---	---	---	---

Delete

T	X	C	A	G	X	A	C	G
---	---	---	---	---	---	---	---	---

Insertion

T	C	A	G	A	C	G
---	---	---	---	---	---	---

A	T	C	A	G	A	C	G
---	---	---	---	---	---	---	---

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How to compare sequences?

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A	C	C	G	G	T	C	G	A	G	T
---	---	---	---	---	---	---	---	---	---	---

 ...

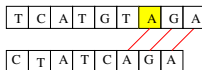
?

G	T	C	G	T	T	C	G	G	A	A
---	---	---	---	---	---	---	---	---	---	---

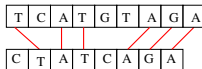
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Three problems

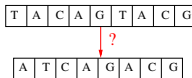
Longest common substring: Substring = consecutive characters in the string.



Longest common subsequence: Subsequence = ordered chain of characters (might have gaps).



Edit distance: Convert one string into another one using a given set of operations.



The EDIT DISTANCE problem

(Section 6.3 in Dasgupta, Papadimitriou, Vazirani's book.)

Information

edit dist = 4

Diagram illustrating the edit distance between the strings "Information" and "f a m i n e". The diagram shows the characters of "Information" (I, n, f, o, r, a, m, i, n, o, i, n) and "f a m i n e" (f, a, m, i, n, e) aligned. Red annotations indicate the operations required to transform "Information" into "f a m i n e":

- replace: 'I' is replaced by 'f'.
- delete: 'n' is deleted.
- insert: 'a' is inserted after 'f'.
- transpose: 'm' and 'i' are transposed.

= Information (edit dist = 4)

The **edit distance** between strings $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$ is defined to be the **minimum** number of *edit operations* needed to transform X into Y .

All the operations are done on X

Edit distance: Applications

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- Computational genomics: evolution between generations, i.e. between strings on $\{A, T, G, C, -\}$.
- Natural Language Processing: distance, between strings on the alphabet.
- Text processor, suggested corrections

EDIT DISTANCE: Levenshtein distance

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In the **Levenshtein distance** the set of operations are

- **insert**(X, i, a) = $x_1 \cdots x_i a x_{i+1} \cdots x_n$.
- **delete**(X, i) = $x_1 \cdots x_{i-1} x_{i+1} \cdots x_n$
- **modify**(X, i, a) = $x_1 \cdots x_{i-1} a x_{i+1} \cdots x_n$.

the cost of modify is 2, and the cost of insert/delete is 1.

To simplify, in the following we assume that *the cost of each operation is 1*.

For other operations and costs the structure of the DP will be similar.

Exemple-1

$X = aabab$ and $Y = babb$

$aabab = X$

$X' = \text{insert}(X, 0, b)$ *baabab*

$X'' = \text{delete}(X', 2)$ *babab*

$X'' = \text{delete}(X'', 4)$ *babb*

$X = aabab \rightarrow Y = babb$

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$X = aabab$ and $Y = babb$

$aabab = X$

$X' = \text{insert}(X, 0, b) \text{ } b aabab$

$X'' = \text{delete}(X', 2) \text{ } babab$

$X'' = \text{delete}(X'', 4) \text{ } babb$

$X = aabab \rightarrow Y = babb$

A shortest edit distance

$aabab = X$

$X' = \text{modify}(X, 1, b) \text{ } babab$

$Y = \text{delete}(X', 4) \text{ } babb$

Use dynamic programming.

The structure of an optimal solution

- In a solution O with minimum edit distance from $X = x_1 \cdots x_n$ to $Y = y_1 \cdots y_m$, we have three possible alignments for the last terms

(1)	(2)	(3)
x_n	—	x_n
—	y_m	y_m

- In (1), O performs **delete** x_n , and it transforms optimally, $x_1 \cdots x_{n-1}$ into $y_1 \cdots y_m$.
- In (2), O performs **insert** y_m at the end of x , and it transforms optimally, $x_1 \cdots x_n$ into $y_1 \cdots y_{m-1}$.
- In (3), if $x_n \neq y_m$, O performs **modify** x_n by y_m , otherwise O , aligns them without cost. Furthermore O transforms optimally $x_1 \cdots x_{n-1}$ into $y_1 \cdots y_{m-1}$.

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Let $X[i] = x_1 \cdots x_i$, $Y[j] = y_1 \cdots y_j$.

$E[i, j]$ = edit distance from $X[i]$ to $Y[j]$ is the maximum of

- **I** put y_j at the end of x : $E[i, j - 1] + 1$
- **D** delete x_i : $E[i - 1, j] + 1$
- if $x_i \neq y_j$, **M** change x_i into y_j : $E[i - 1, j - 1] + 1$,
otherwise $E[i - 1, j - 1]$

Edit distance: Recurrence

Adding the base cases, we have the recurrence

$$E[i, j] = \begin{cases} j & \text{if } i = 0 \text{ (converting } \lambda \rightarrow Y[j]) \\ i & \text{if } j = 0 \text{ (converting } X[i] \rightarrow \lambda) \\ \min \begin{cases} E[i-1, j] + 1 & \text{if D} \\ E[i, j-1] + 1, & \text{if I} \\ E[i-1, j-1] + \delta(x_i, y_j) & \text{otherwise} \end{cases} & \text{otherwise} \end{cases}$$

where

$$\delta(x_i, y_j) = \begin{cases} 0 & \text{if } x_i = y_j \\ 1 & \text{otherwise} \end{cases}$$

Computing the optimal costs and pointers

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```
Edit(X, Y)
for i = 0 to n do
    E[i, 0] = i
for j = 0 to m do
    E[0, j] = j
for i = 1 to n do
    for j = 1 to m do
         $\delta = 0$ 
        if  $x_i \neq y_j$  then
             $\delta = 1$ 
         $E[i, j] = E[i, j - 1] + 1$   $b[i, j] = \uparrow$ 
        if  $E[i - 1, j - 1] + \delta < E[i, j]$  then
             $E[i, j] = E[i - 1, j - 1] + \delta$ ,  $b[i, j] := \nwarrow$ 
        if  $E[i - 1, j] + 1 < E[i, j]$  then
             $E[i, j] = E[i - 1, j] + 1$ ,  $b[i, j] := \leftarrow$ 
```

Space and time complexity:

$O(nm)$.

\leftarrow is a **I** operation,
 \uparrow is a **D** operation, and
 \nwarrow is either a **M** or a **no-operation**.

Computing the optimal costs: Example

$X = \text{aabab}$; $Y = \text{babb}$. Therefore, $n = 5$, $m = 4$

		0	1	2	3	4
		λ	b	a	b	b
0	λ	0	$\leftarrow 1$	$\leftarrow 2$	$\leftarrow 3$	$\leftarrow 4$
1	a	$\uparrow 1$	$\swarrow 1$	$\swarrow 1$	$\leftarrow 2$	$\leftarrow 3$
2	a	$\uparrow 2$	$\swarrow 2$	$\swarrow 1$	$\leftarrow 2$	$\leftarrow 3$
3	b	$\uparrow 3$	$\swarrow 2$	$\uparrow 2$	$\swarrow 1$	$\swarrow 2$
4	a	$\uparrow 4$	$\uparrow 3$	$\swarrow 2$	$\uparrow 2$	$\swarrow 2$
5	b	$\uparrow 5$	$\swarrow 4$	$\uparrow 3$	$\uparrow 2$	$\swarrow 2$

\leftarrow is a **I** operation, \uparrow is a **D** operation, and
 \swarrow is either a **M** or a **no-operation**.

Obtain Y in edit distance from X

Uses as input the arrays E and b .

The first call to the algorithm is **con-Edit** (n, m)

```
con-Edit( $i, j$ )  
  if  $i = 0$  or  $j = 0$  then  
    return  
  if  $b[i, j] = \nwarrow$  and  $x_i = y_j$  then  
    change( $X, i, y_j$ ); con-Edit( $i - 1, j - 1$ )  
  if  $b[i, j] = \uparrow$  then  
    delete( $X, i$ ); con-Edit( $i - 1, j$ )  
  if  $b[i, j] = \leftarrow$  then  
    insert( $X, i, y_j$ ), con-Edit( $i, j - 1$ )
```

This algorithm has time complexity $O(nm)$.

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The Longest Common Subsequence

(Section 15.4 in CormenLRS' book.)

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The Longest Common Subsequence

(Section 15.4 in CormenLRS' book.)

- $Z = z_1 \cdots z_k$ is a **subsequence** of X if there is a subsequence of integers $1 \leq i_1 < i_2 < \dots < i_k \leq n$ such that $z_j = x_{i_j}$.

TTT is a subsequence of $ATATAT$.

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TTT is a subsequence of $ATATAT$.

- If Z is a subsequence of X and Y , then Z is a **common subsequence** of X and Y .

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TTT is a subsequence of $ATATAT$.

- If Z is a subsequence of X and Y , then Z is a **common subsequence** of X and Y .

LCS Given sequences $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$, compute the longest common subsequence Z .

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DP approach: Characterization of optimal solution

Let $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$ and let Z be a longest common subsequence (lcs). Then,

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Let $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$ and let Z be a longest common subsequence (lcs). Then,

$$\blacksquare Z = x_{i_1} \dots x_{i_k} = y_{j_1} \dots y_{j_k}$$

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Let $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$ and let Z be a longest common subsequence (lcs). Then,

- $Z = x_{i_1} \cdots x_{i_k} = y_{j_1} \cdots y_{j_k}$
- There are no i, j , with $i > i_k$ and $j > j_k$, s.t. $x_i = y_j$. Otherwise, Z will not be optimal.

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Let $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$ and let Z be a longest common subsequence (lcs). Then,

- $Z = x_{i_1} \cdots x_{i_k} = y_{j_1} \cdots y_{j_k}$
- There are no i, j , with $i > i_k$ and $j > j_k$, s.t. $x_i = y_j$. Otherwise, Z will not be optimal.
- $a = x_{i_k}$ might appear after i_k in X , but not after j_k in Y , or viceversa.

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Let $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$ and let Z be a longest common subsequence (lcs). Then,

- $Z = x_{i_1} \cdots x_{i_k} = y_{j_1} \cdots y_{j_k}$
- There are no i, j , with $i > i_k$ and $j > j_k$, s.t. $x_i = y_j$. Otherwise, Z will not be optimal.
- $a = x_{i_k}$ might appear after i_k in X , but not after j_k in Y , or viceversa.
- There is an optimal solution in which i_k and j_k are the last occurrence of a in X and Y respectively.

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Let $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$ and let $Z = x_{i_1} \cdots x_{i_k} = y_{j_1} \cdots y_{j_k}$ a lcs s.t. the index of the final common symbol in Z is its last occurrence in both X and Y .

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Let $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$ and let $Z = x_{i_1} \cdots x_{i_k} = y_{j_1} \cdots y_{j_k}$ a lcs s.t. the index of the final common symbol in Z is its last occurrence in both X and Y .

Let $X^- = x_1 \cdots x_{n-1}$ and $Y^- = y_1 \cdots y_{m-1}$

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Let $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$ and let $Z = x_{i_1} \cdots x_{i_k} = y_{j_1} \cdots y_{j_k}$ a lcs s.t. the index of the final common symbol in Z is its last occurrence in both X and Y .

Let $X^- = x_1 \cdots x_{n-1}$ and $Y^- = y_1 \cdots y_{m-1}$

- Let us look at x_n and y_m .
- If $x_n = y_m$, $i_k = n$ and $j_k = m$ so, $x_{i_1} \cdots x_{i_{k-1}}$ is a lcs of X^- and Y^- .

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Let $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$ and let $Z = x_{i_1} \cdots x_{i_k} = y_{j_1} \cdots y_{j_k}$ a lcs s.t. the index of the final common symbol in Z is its last occurrence in X and Y .

Let $X^- = x_1 \cdots x_{n-1}$ and $Y^- = y_1 \cdots y_{m-1}$

- Let us look at x_n and y_m .
- If $x_n \neq y_m$,

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Let $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$ and let $Z = x_{i_1} \cdots x_{i_k} = y_{j_1} \cdots y_{j_k}$ a lcs s.t. the index of the final common symbol in Z is its last occurrence in X and Y .

Let $X^- = x_1 \cdots x_{n-1}$ and $Y^- = y_1 \cdots y_{m-1}$

- Let us look at x_n and y_m .
- If $x_n \neq y_m$,
 - If $i_k < n$ and $j_k < m$, Z is a lcs of X^- and Y^- .
 - If $i_k = n$ and $j_k < m$, Z is a lcs of X and Y^- .
 - If $i_k < n$ and $j_k = m$, Z is a lcs of X^- and Y .
 - The last two include the first one!

DP approach: Subproblems

Subproblems = lcs of pairs of prefixes of the initial strings.

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Subproblems = lcs of pairs of prefixes of the initial strings.

Notation:

- $X[i] = x_1 \dots x_i$, for $0 \leq i \leq n$
- $Y[j] = y_1 \dots y_j$, for $0 \leq j \leq m$
- $c[i, j]$ = length of the LCS of $X[i]$ and $Y[j]$.
- Want $c[n, m]$ i.e. length of the LCS for X and Y .

DP approach: Recursion

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Therefore, given X and Y

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ c[i - 1, j - 1] + 1 & \text{if } x_i = y_j \\ \max(c[i, j - 1], c[i - 1, j]) & \text{otherwise} \end{cases}$$

The recursive algorithm

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```
LCS( $X, Y$ )  
 $n = X.size(); m = Y.size()$   
if  $n = 0$  or  $m = 0$  then  
    return 0  
else if  $x_n = y_m$  then  
    return  $1 + \text{LCS}(X^-, Y^-)$   
else  
    return  $\max\{\text{LCS}(X, Y^-), \text{LCS}(X^-, Y)\}$ 
```

The recursive algorithm

```
LCS( $X, Y$ )  
   $n = X.size(); m = Y.size()$   
  if  $n = 0$  or  $m = 0$  then  
    return 0  
  else if  $x_n = y_m$  then  
    return  $1 + \text{LCS}(X^-, Y^-)$   
  else  
    return  $\max\{\text{LCS}(X, Y^-), \text{LCS}(X^-, Y)\}$ 
```

The algorithm makes 1 or 2 recursive calls and explores a tree of depth $O(n + m)$, therefore the time complexity is $2^{O(n+m)}$.

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We need to find the correct traversal of the table holding the $c[i, j]$ values.

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We need to find the correct traversal of the table holding the $c[i, j]$ values.

- Base case is $c[0, j] = 0$, for $0 \leq j \leq m$, and $c[i, 0] = 0$, for $0 \leq i \leq n$.
- To compute $c[i, j]$, we have to access

$c[i - 1, j - 1]$	$c[i - 1, j]$
$c[i, j - 1]$	$c[i, j]$

A row traversal provides a correct ordering.

- To being able to recover a solution we use a table b , to indicate which one of the three options provided the value $c[i, j]$.

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LCS(X, Y)

for $i = 0$ to n do

$c[i, 0] = 0$

for $j = 1$ to m do

$c[0, j] = 0$

for $i = 1$ to n do

for $j = 1$ to m do

if $x_i = y_j$ then

$c[i, j] = c[i - 1, j - 1] + 1, b[i, j] = \nwarrow$

else if $c[i - 1, j] \geq c[i, j - 1]$ then

$c[i, j] = c[i - 1, j], b[i, j] = \leftarrow$

else

$c[i, j] = c[i, j - 1], b[i, j] = \uparrow.$

complexity:
 $T = O(nm).$

Example.

$X=(ATCTGAT)$; $Y=(TGCATA)$. Therefore, $m = 6, n = 7$

		0	1	2	3	4	5	6
			T	G	C	A	T	A
0		0	0	0	0	0	0	0
1	A	0	↑0	↑0	↑0	↖1	←1	↖1
2	T	0	↖1	←1	←1	↑1	↖2	←2
3	C	0	↑1	↑1	↖2	←2	↑2	↑2
4	T	0	↖1	↑1	↑2	↑2	↖3	←3
5	G	0	↑1	↖2	↑2	↑2	↑3	↑3
6	A	0	↑1	↑2	↑2	↖3	↑3	↖4
7	T	0	↖1	↑2	↑2	↑3	↖4	↑4

Following the arrows: TCTA

Construct the solution

Access the tables c and d .

The first call to the algorithm is **sol-LCS**(n, m)

sol-LCS(i, j)

if $i = 0$ or $j = 0$ **then**

STOP.

else if $b[i, j] = \nwarrow$ **then**

sol-LCS($i - 1, j - 1$)

return x_i

else if $b[i, j] = \uparrow$ **then**

sol-LCS($i - 1, j$)

else

sol-LCS($i, j - 1$)

The algorithm has time complexity $O(n + m)$.

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- A slightly different problem with a similar solution

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- A slightly different problem with a similar solution
- **LCSt**: Given two strings $X = x_1 \dots x_n$ and $Y = y_1 \dots y_m$, compute their **longest common substring** Z , i.e., the largest k for which there are indices i and j with
$$x_i x_{i+1} \dots x_{i+k} = y_j y_{j+1} \dots y_{j+k}.$$

Longest common substring

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$$x_i x_{i+1} \dots x_{i+k} = y_j y_{j+1} \dots y_{j+k}.$$
- For example:
X : DEADBEEF
Y : EATBEEF
Z :

Longest common substring

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- A slightly different problem with a similar solution
- **LCS_t** Given two strings $X = x_1 \dots x_n$ and $Y = y_1 \dots y_m$, compute their longest common substring Z , i.e., corresponding to the largest k for which there are indices i and j with $x_i x_{i+1} \dots x_{i+k} = y_j y_{j+1} \dots y_{j+k}$.
- For example:
X : DEADBEEF
Y : EATBEEF
Z :

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- **LCS_t** Given two strings $X = x_1 \dots x_n$ and $Y = y_1 \dots y_m$, compute their longest common substring Z , i.e., corresponding to the largest k for which there are indices i and j with $x_i x_{i+1} \dots x_{i+k} = y_j y_{j+1} \dots y_{j+k}$.
- For example:
X : DEADBEEF
Y : EATBEEF
Z : BEEF pick the longest substring

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- Let $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$ and let Z be a longest common substring.
 - $Z = x_i \cdots x_{i+k} = y_j \cdots y_{j+k}$

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- Let $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$ and let Z be a longest common substring.
 - $Z = x_i \cdots x_{i+k} = y_j \cdots y_{j+k}$
 - Z is the longest common suffix of $X(i+k)$ and $Y(j+k)$.

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- Let $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$ and let Z be a longest common substring.
 - $Z = x_i \cdots x_{i+k} = y_j \cdots y_{j+k}$
 - Z is the longest common suffix of $X(i+k)$ and $Y(j+k)$.
- We can consider the subproblems $LCStf(i, j)$: compute the longest common suffix of $X(i)$ and $Y(j)$.

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- Let $X = x_1 \cdots x_n$ and $Y = y_1 \cdots y_m$ and let Z be a longest common substring.
 - $Z = x_i \cdots x_{i+k} = y_j \cdots y_{j+k}$
 - Z is the longest common suffix of $X(i+k)$ and $Y(j+k)$.
- We can consider the subproblems $LCSf(i, j)$: compute the longest common suffix of $X(i)$ and $Y(j)$.
- The $LCSf(X, Y)$ is the longest of such common suffixes.

Computing the LC Suffixes

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- To solve $LCSf(i, j)$ it is enough to go backward from position i in X and j in Y until we find two different characters.
- This has cost $O(n + m)$ per subproblem.

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- To solve $LCSf(i, j)$ it is enough to go backward from position i in X and j in Y until we find two different characters.
- This has cost $O(n + m)$ per subproblem.
- We get a $O(nm(n + m))$ algorithm for LCSt

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- This has cost $O(n + m)$ per subproblem.
- We get a $O(nm(n + m))$ algorithm for LCSt
- Can we do it faster?

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- To solve $LCSf(i, j)$ it is enough to go backward from position i in X and j in Y until we find two different characters.
- This has cost $O(n + m)$ per subproblem.
- We get a $O(nm(n + m))$ algorithm for LCSt
- **Can we do it faster?** Let us use DP!

A recursive solution for LC Suffixes

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Notation:

- $X[i] = x_1 \dots x_i$, for $0 \leq i \leq n$
- $Y[j] = y_1 \dots y_j$, for $0 \leq j \leq m$
- $s[i, j]$ = the length of the LC Suffix of $X[i]$ and $Y[j]$.
- Want $\max_{i,j} s[i, j]$ i.e., the length of the LCSt of X, Y .

DP approach: Recursion

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Therefore, given X and Y

$$s[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ 0 & \text{if } x_i \neq y_j \\ s[i - 1, j - 1] + 1 & \text{if } x_i = y_j \end{cases}$$

DP approach: Recursion

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Using the recurrence the cost per recursive call (or per element in the table) is constant

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```
LCSf( $X, Y$ )  
for  $i = 0$  to  $n$  do  
     $s[i, 0] = 0$   
for  $j = 1$  to  $m$  do  
     $s[0, j] = 0$   
for  $i = 1$  to  $n$  do  
    for  $j = 1$  to  $m$  do  
         $s[i, j] = 0$   
        if  $x_i = y_j$  then  
             $s[i, j] = s[i - 1, j - 1] + 1$ 
```

complexity:
 $O(nm)$.

Which gives an algorithm with cost $O(nm)$ for LCS

Multiplying a Sequence of Matrices

(This example is from Section 15.2 in CormenLRS' book.)

MULTIPLICATION OF n MATRICES Given as input a sequence of n matrices $(A_1 \times A_2 \times \cdots \times A_n)$. Minimize the number of operation in the computation $A_1 \times A_2 \times \cdots \times A_n$

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Multiplying a Sequence of Matrices

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Recall that Given matrices A_1, A_2 with $\dim(A_1) = p_0 \times p_1$ and $\dim(A_2) = p_1 \times p_2$, the basic algorithm to $A_1 \times A_2$ takes time at most $p_0 p_1 p_2$.

Example:

$$\begin{bmatrix} 2 & 3 \\ 3 & 4 \\ 4 & 5 \end{bmatrix} \times \begin{bmatrix} 2 & 3 & 4 \\ 3 & 4 & 5 \end{bmatrix} = \begin{bmatrix} 13 & 18 & 23 \\ 18 & 25 & 32 \\ 23 & 32 & 41 \end{bmatrix}$$

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MULTIPLYING A SEQUENCE OF MATRICES

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- Matrix multiplication is NOT **commutative**, so we can not permute the order of the matrices without changing the result.
- It is **associative**, so we can put parenthesis as we wish.
- **How to multiply** is equivalent to the problem of **how to parenthesize**.
- We want to find the way to put parenthesis so that the product requires the minimum total number of operations. And use it to compute the product.

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Example Consider $A_1 \times A_2 \times A_3$, where $\dim(A_1) = 10 \times 100$
 $\dim(A_2) = 100 \times 5$ and $\dim(A_3) = 5 \times 50$.

- $((A_1 A_2) A_3)$ takes $(10 \times 100 \times 5) + (10 \times 5 \times 50) =$
7500 operations,

Example Consider $A_1 \times A_2 \times A_3$, where $\dim(A_1) = 10 \times 100$, $\dim(A_2) = 100 \times 5$ and $\dim(A_3) = 5 \times 50$.

- $((A_1 A_2) A_3)$ takes $(10 \times 100 \times 5) + (10 \times 5 \times 50) = 7500$ operations,
- $(A_1 (A_2 A_3))$ takes $(100 \times 5 \times 50) + (10 \times 100 \times 50) = 75000$ operations.

The order in which we make the computation of products of two matrices makes a big difference in the total computation's time.

How to parenthesize $(A_1 \times \dots \times A_n)$?

- If $n = 1$ we do not need parenthesis.

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How to parenthesize $(A_1 \times \dots \times A_n)$?

- If $n = 1$ we do not need parenthesis.
- Otherwise, decide where to break the sequence $((A_1 \times \dots \times A_k)(A_{k+1} \times \dots \times A_n))$ for some k , $1 \leq k < n$.

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- Then, combine any way to parenthesize $(A_1 \times \dots \times A_k)$ with any way to parenthesize $(A_{k+1} \times \dots \times A_n)$.

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- Then, combine any way to parenthesize $(A_1 \times \dots \times A_k)$ with any way to parenthesize $(A_{k+1} \times \dots \times A_n)$.

Using this structure, we can **count the number of ways** to parenthesize $(A_1 \times \dots \times A_n)$ as well as to **define a backtracking** algorithm that goes over all those ways to parenthesize and eventually to a **brute force recursive** algorithm to solve the problem of computing efficiently the product.

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How many ways to parenthesize $(A_1 \times \cdots \times A_n)$?

Let $P(n)$ be the number of ways to parenthesize $(A_1 \times \cdots \times A_n)$. Then,

$$P(n) = \begin{cases} 1 & \text{if } n = 1 \\ \sum_{k=1}^{n-1} P(k)P(n-k) & \text{if } n \geq 2 \end{cases}$$

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How many ways to parenthesize $(A_1 \times \cdots \times A_n)$?

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with solution $P(n) = \frac{1}{n+1} \binom{2n}{n} = \Omega(4^n / n^{3/2})$

The Catalan numbers.

How many ways to parenthesize $(A_1 \times \cdots \times A_n)$?

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with solution $P(n) = \frac{1}{n+1} \binom{2n}{n} = \Omega(4^n/n^{3/2})$

The Catalan numbers.

Brute force will take too long!

Structure of an optimal solution

- We want to compute $(A_1 \times \cdots \times A_n)$ efficiently.
- In an optimal solution the last matrix product must correspond to a break at some position k ,
 $((A_1 \times \cdots \times A_k)(A_{k+1} \times \cdots \times A_n))$ Let
 $A_{i-j} = (A_i A_{i+1} \cdots A_j)$.

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Structure of an optimal solution

- We want to compute $(A_1 \times \cdots \times A_n)$ efficiently.
- In an optimal solution the last matrix product must correspond to a break at some position k ,
 $((A_1 \times \cdots \times A_k)(A_{k+1} \times \cdots \times A_n))$ Let
 $A_{i-j} = (A_i A_{i+1} \cdots A_j)$.
- The parenthesization of the subchains $(A_1 \times \cdots \times A_k)$ and $(A_{k+1} \times \cdots \times A_n)$ within the optimal parenthesization must be an optimal parenthesization of $(A_1 \times \cdots \times A_k)$, $(A_{k+1} \times \cdots \times A_n)$. So,

$$\begin{aligned} \text{cost}(A_1 \dots A_n) = & \text{cost}(A_1 \dots A_k) \\ & + \text{cost}(A_{k+1} \dots A_n) + p_0 p_k p_n. \end{aligned}$$

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- An optimal solution decomposes in optimal solutions of the same problem on subchains.
- **Subproblems:** compute the product $A_i \times A_{i+1} \times \cdots \times A_j$, for $1 \leq i \leq j \leq n$

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- An optimal solution decomposes in optimal solutions of the same problem on subchains.
- **Subproblems:** compute the product $A_i \times A_{i+1} \times \cdots \times A_j$, for $1 \leq i \leq j \leq n$
- Let us call $B_i^j = A_i \times A_{i+1} \times \cdots \times A_j$.

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- Let $m[i, j]$ be the minimum cost of computing $B_i^j = (A_i \times \dots \times A_j)$, for $1 \leq i \leq j \leq n$.
- $m[i, j]$ is defined by the value k , $i \leq k \leq j$ that minimizes

$$m[i, k] + m[k + 1, j] + \text{cost}(B_i^k, B_{k+1}^j).$$

Cost Recurrence

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- $m[i, j]$ is defined by the value k , $i \leq k \leq j$ that minimizes

$$m[i, k] + m[k + 1, j] + \text{cost}(B_i^k, B_{k+1}^j).$$

- That is,

$$m[i, j] = \begin{cases} 0 & \text{if } i = j \\ \min_{i \leq k < j} \{m[i, k] + m[k + 1, j] + p_{i-1}p_kp_j\} & \text{otherwise} \end{cases}$$

Computing the cost of an optimal solution: Rec

Assume that vector P holds the values (p_0, p_1, \dots, p_n) .

```
MCR( $i, j$ )  
if  $i = j$  then  
    return 0  
 $m[i, j] = \infty$   
for  $k = i$  to  $j - 1$  do  
     $q = \text{MCR}(i, k) + \text{MCR}(k + 1, j) + P[i - 1] * P[k] * P[j]$   
    if  $q < m[i, j]$  then  
         $m[i, j] = q$   
return ( $m[i, j]$ )
```

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Computing the cost of an optimal solution: Rec

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MCR(i, j)

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if $q < m[i, j]$ **then**

$m[i, j] = q$

return ($m[i, j]$)

Cost: $T(n) \geq 2 \sum_{i=1}^{n-1} T(i) + n \sim \Omega(2^n)$.

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Can we apply dynamic programming?

- We have an optimal recursive algorithm which takes exponential time.

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- We have an optimal recursive algorithm which takes exponential time.
- Subproblems?

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- We have an optimal recursive algorithm which takes exponential time.
- **Subproblems?**
The subproblems are identified by the two inputs in the recursive call, the pair (i, j) .

Can we apply dynamic programming?

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- We have an optimal recursive algorithm which takes exponential time.
- Subproblems?
The subproblems are identified by the two inputs in the recursive call, the pair (i, j) .
- How many subproblems?

Can we apply dynamic programming?

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- We have an optimal recursive algorithm which takes exponential time.
- Subproblems?
The subproblems are identified by the two inputs in the recursive call, the pair (i, j) .
- How many subproblems?
As $1 \leq i < j \leq n$, we have only $O(n^2)$ subproblems.

Can we apply dynamic programming?

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DP on trees

- We have an optimal recursive algorithm which takes exponential time.
- Subproblems?
The subproblems are identified by the two inputs in the recursive call, the pair (i, j) .
- How many subproblems?
As $1 \leq i < j \leq n$, we have only $O(n^2)$ subproblems.
- We can use DP!

Dynamic programming: Memoization

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```
MCP( $P$ )  
for all  $1 \leq i < j \leq n$  do  
     $m[i, j] = -1$   
for  $i = 1$  to  $n$  do  
     $m[i, i] = 0$   
MCR( $1, n$ )  
return ( $m[1, n]$ )
```

```
MCR( $i, j$ )  
if  $m[i, j] \neq -1$  then  
    return ( $m[i, j]$ )  
 $m[i, j] = \infty$   
for  $k = i$  to  $j - 1$  do  
     $q = \text{MCR}(i, k) + \text{MCR}(k + 1, j) +$   
         $P[i - 1] * P[k] * P[j]$   
    if  $q < m[i, j]$  then  
         $m[i, j] = q$   
return ( $m[i, j]$ )
```

$T(n) = \Theta(n^3)$ additional space $\Theta(n^2)$.

Dynamic programming: Tabulating

To compute the element $m[i, j]$ the base case is when $i = j$, we need to access $m[i, k]$ and $m[k + 1, j]$. We can achieve that by filling the (half) table by diagonals.

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MCP(P)

for $i = 1$ **to** n **do**

$m[i, i] = 0$

for $d = 2$ **to** n **do**

for $i = 1$ **to** $n - d + 1$ **do**

$j = i + d - 1$

$m[i, j] = \infty$

for $k = i$ **to** $j - 1$ **do**

$q =$

$m[i, k] + m[k + 1, j] + P[i - 1] * P[k] * P[j]$

if $q < m[i, j]$ **then**

$m[i, j] = q$

return $(m[1, n])$

$T(n) = \Theta(n^3),$
 $\text{space} = \Theta(n^2).$

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We wish to compute $A_1 \times A_2 \times A_3 \times A_4$ with $P = \langle 3, 5, 3, 2, 4 \rangle$

$i \backslash j$	1	2	3	4
1				
2				
3				
4				

Example.

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We wish to compute $A_1 \times A_2 \times A_3 \times A_4$ with $P = \langle 3, 5, 3, 2, 4 \rangle$

$i \backslash j$	1	2	3	4
1	0			
2		0		
3			0	
4				0

Example.

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DP on trees

We wish to compute $A_1 \times A_2 \times A_3 \times A_4$ with $P = \langle 3, 5, 3, 2, 4 \rangle$

$i \setminus j$	1	2	3	4
1	0	45		
2		0	30	
3			0	24
4				0

Example.

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$i \setminus j$	1	2	3	4
1	0	45	60	
2		0	30	70
3			0	24
4				0

Example.

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We wish to compute $A_1 \times A_2 \times A_3 \times A_4$ with $P = \langle 3, 5, 3, 2, 4 \rangle$

$i \setminus j$	1	2	3	4
1	0	45	60	84
2		0	30	70
3			0	24
4				0

Recording more information about the optimal solution

We have been working with the recurrence

$$m[i, j] = \begin{cases} 0 & \text{if } i = j \\ \min_{i \leq k < j} \{m[i, k] + m[k + 1, j] + p_{i-1}p_kp_j\} & \text{otherwise} \end{cases}$$

To keep information about the optimal solution the algorithm keep additional information about the value of k that provides the optimal cost as

$$s[i, j] = \begin{cases} i & \text{if } i = j \\ \arg \min_{i \leq k < j} \{m[i, k] + m[k + 1, j] + p_{i-1}p_kp_j\} & \text{otherwise} \end{cases}$$

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MCP(P)

for all $1 \leq i < j \leq n$ **do**

$m[i, j] = -1$

for $i = 1$ **to** n **do**

$m[i, i] = 0$; $s[i, i] = i$;

MCR($1, n$)

return m, s

MCR(i, j)

if $m[i, j] \neq -1$ **then**

return ($m[i, j]$)

$m[i, j] = \infty$

for $k = i$ **to** $j - 1$ **do**

$q = \text{MCR}(i, k) + \text{MCR}(k + 1, j) +$
 $P[i - 1] * P[k] * P[j]$

if $q < m[i, j]$ **then**

$m[i, j] = q$; $s[i, j] = k$;

return ($m[i, j]$)

Dynamic programming: Tabulating

MCP(P)

for $i = 1$ **to** n **do**

$m[i, i] = 0; s[i, i] = 0;$

for $d = 2$ **to** n **do**

for $i = 1$ **to** $n - d + 1$ **do**

$j = i + d - 1$

$m[i, j] = \infty$

for $k = i$ **to** $j - 1$ **do**

$q =$

$m[i, k] + m[k + 1, j] + P[i - 1] * P[k] * P[j]$

if $q < m[i, j]$ **then**

$m[i, j] = q; s[i, j] = k;$

return $m, s.$

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We wish to compute $A_1 \times A_2 \times A_3 \times A_4$ with $P = (3, 5, 3, 2, 4)$

$i \backslash j$	1	2	3	4
1				
2				
3				
4				

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$i \setminus j$	1	2	3	4
1	0 1			
2		0 2		
3			0 3	
4				0 4

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$i \setminus j$	1	2	3	4
1	0 1	45 1		
2		0 2	30 2	
3			0 3	24 3
4				0 4

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We wish to compute $A_1 \times A_2 \times A_3 \times A_4$ with $P = (3, 5, 3, 2, 4)$

$i \setminus j$	1	2	3	4
1	0 1	45 1	60 1	
2		0 2	30 2	70 3
3			0 3	24 3
4				0 4

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Computing optimally the product

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- $s[i,j]$ contains the value of k that decomposes optimally the product as product of two submatrices, i.e.,

$$A_i \times \cdots \times A_j = (A_i \times \cdots \times A_{s[i,j]})(A_{s[i,j]+1} \times \cdots \times A_j).$$

- Therefore,

$$A_1 \times \cdots \times A_n = (A_1 \times \cdots \times A_{s[1,n]})(A_{s[1,n]+1} \times \cdots \times A_n).$$

- We can design a recursive algorithm to perform the product in an optimal way.

The product algorithm

The input is the sequence of matrices $A = A_1, \dots, A_n$ and the table s computed before.

```
Product( $A, s, i, j$ )  
if  $i = j$  then  
    return ( $A_i$ )  
 $X = \mathbf{Product}(A, s, i, s[i, j])$   
 $Y = \mathbf{Product}(A, s, s[i, j] + 1, j)$   
return ( $X \times Y$ )
```

The total number operations required to compute the product is $m[1, n]$ and the cost of the complete algorithm is

$$T(n) = O(n^3 + m[1, n])$$

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Example.

We wish to compute $A_1 \times A_2 \times A_3 \times A_4$ with $P = (3, 5, 3, 2, 4)$

$i \backslash j$	1	2	3	4
1	0 1	45 1	60 1	84 3
2		0 2	30 2	70 3
3			0 3	24 3
4				0 4

The optimal way to minimize the number of operations is

$$(((A_1) \times (A_2 \times A_3)) \times (A_4))$$

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- In order to compute s , we only need the dimensions of the matrices.

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- In order to compute s , we only need the dimensions of the matrices.
- What if we use Strassen algorithm to compute a two matrices product instead of the naive algorithm?

Dynamic Programming in Trees

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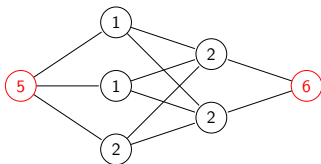
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DP on trees

- Trees are nice graphs easily adapted to recursion.
- Once you root the tree each node can be seen as the root of a subtree .
- We can use Dynamic Programming to give polynomial solutions to "difficult" graph problems when the input is restricted to be a tree, or to have a tree-like structure (small treewidth).
- In this case instead of having a global table, each node in the tree keeps additional information about the associated subproblem.

The MAXIMUM WEIGHT INDEPENDENT SET (MWIS)

Given as input $G = (V, E)$, together with a weight $w : V \rightarrow \mathbb{R}$. Find the heaviest $S \subseteq V$ such that no two vertices in S are connected in G .



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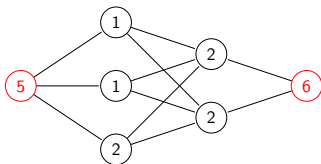
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The MAXIMUM WEIGHT INDEPENDENT SET (MWIS)

Given as input $G = (V, E)$, together with a weight $w : V \rightarrow \mathbb{R}$. Find the heaviest $S \subseteq V$ such that no two vertices in S are connected in G .



For general graphs, the problem is hard, even for the case in which all vertex have weight 1, i.e. MAXIMUM INDEPENDENT SET is NP-complete.

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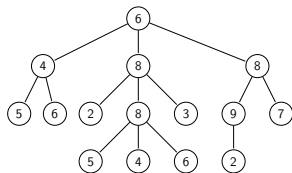
MAXIMUM WEIGHT INDEPENDENT SET on Trees

Given a tree $T = (V, E)$ choose a $r \in V$ and root it from r

i.e. Given a rooted tree

$T = (V, E, r)$ and weights

$w : V \rightarrow \mathbb{R}$, find the independent set with maximum weight.



Notation:

- For $v \in V$, let T_v be the subtree rooted at v . $T = T_r$.
- Given $v \in V$ let $C(v)$ be the set of children of v , and $G(v)$ be the set of grandchildren of v .

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Key observation: An IS can't contain vertices which are father-son.

Characterization of the optimal solution

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Key observation: An IS can't contain vertices which are father-son.

Let S be an optimal solution.

- If $r \in S$: then $C(r) \not\subseteq S_r$. So $S - \{r\}$ contains an optimum solution for each T_v , with $v \in G(r)$.
- If $r \notin S$: S contains an optimum solution for each T_u , with $u \in C(r)$.

Recursive definition of the optimal solution

- To implement DP, for every node v , we add one value, $v.M$: the value of the optimal solution for T_v
Following the recursive structure of the solution we have the following recurrence

$$v.M = \begin{cases} w(v) & v \text{ a leaf,} \\ \max\{\sum_{u \in C(v)} u.M, w(v) + \sum_{u \in G(v)} u.M\} & \text{otherwise.} \end{cases}$$

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$$v.M = \begin{cases} w(v) & v \text{ a leaf,} \\ \max\{\sum_{u \in C(v)} u.M, w(v) + \sum_{u \in G(v)} u.M\} & \text{otherwise.} \end{cases}$$

- Notice that for any $v \in T$: we have to compute $\sum_{u \in C(v)} u.M$ and for this we must access to the children of its children

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Recursive definition of the optimal solution

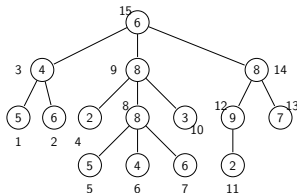
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$$v.M = \begin{cases} w(v) & v \text{ a leaf,} \\ \max\{\sum_{u \in C(v)} u.M, w(v) + \sum_{u \in G(v)} u.M\} & \text{otherwise.} \end{cases}$$

- Notice that for any $v \in T$: we have to compute $\sum_{u \in C(v)} u.M$ and for this we must access to the children of its children
- To avoid this we add another value to the node $v.M'$: the sum of the values of the optimal solutions of their children, i.e., $\sum_{u \in C(v)} u.M$.

Post-order traversal of a rooted tree

To perform the computation, we can follow a DFS, post-order, traversal of the nodes in the tree, computing the additional values at each node.



DP Algorithm to compute the optimal weight

Let $v_1, \dots, v_n = r$ be the post-order traversal of T_r

WIS T_r

Let $v_1, \dots, v_n = r$ the post-order traversal of T_r

for $i = 1$ **to** n **do**

if v_i is a leaf **then**

$$v_i.M = w[v_i], v_i.M' = 0$$

else

$$v_i.M' = \sum_{u \in C(v)} u.M$$

$$aux = \sum_{u \in C(v)} u.M'$$

$$v_i.M = \max\{aux + w[v_i], v_i.M'\}$$

return $r.M$

Complexity: space = $O(n)$, time = $O(n)$

DP for pairing
sequences

Framework

Edit distance

Longest common
subsequence (LCS)

Longest common
substring

Multiplying
matrices

The problem

Optimal
substructure

Cost of an optimal
sol

Adding info for opt
sol

Optimal solution

DP on trees

Top-down traversal to obtain an optimal IS

DP for pairing
sequences

Framework
Edit distance
Longest common
subsequence (LCS)
Longest common
substring

Multiplying
matrices

The problem
Optimal
substructure
Cost of an optimal
sol
Adding info for opt
sol
Optimal solution

DP on trees

```
RWIS( $v$ )  
if  $v$  is a leaf then  
    return ( $\{v\}$ )  
if  $v_i.M = v_i.M' + w[v_i]$  then  
     $S = S \cup \{v_i\}$   
    for  $w \in G(v)$  do  
         $S = S \cup \text{RWIS}(w)$   
else  
    for  $w \in N(v)$  do  
         $S = S \cup \text{RWIS}(w)$   
return  $S$ 
```

RWIS(r)

provides an optimal solution
in time $O(n)$

Total cost $O(n)$ and
additional space $O(n)$