

Divide-and-conquer: Selection



Selection

From 9.3 in CLRS

Selection Problem: Given an array A of n **unordered** distinct keys, and $i \in \{1, \dots, n\}$, **select the i th-smallest element in A** , that is the key that is larger than exactly $i - 1$ other keys in A .

The problem

Algorithm
idea

Computing a
good split
element

The algorithm

The cost

Selection

From 9.3 in CLRS

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We use the term **rank** for the position that occupies an element after sorting A .

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Selection Problem: Given an array A of n **unordered** distinct keys, and $i \in \{1, \dots, n\}$, **select the i th-smallest element in A** , that is the key that is larger than exactly $i - 1$ other keys in A .

We use the term **rank** for the position that occupies an element after sorting A .

Notice that i can be any rank value, in particular when:

- 1 $i = 1$, the MINIMUM element
- 2 $i = n$, the MAXIMUM element
- 3 $i = \lfloor \frac{n+1}{2} \rfloor$, the **MEDIAN**
- 4 $i = \lfloor 0.25 n \rfloor \Rightarrow$ *order statistics*

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A first algorithm

Sort A in $(O(n \lg n))$ steps, then the i -th smallest key is $A[i]$.

Can we do it faster? in linear time?

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A first algorithm

Sort A in $(O(n \lg n))$ steps, then the i -th smallest key is $A[i]$.

Can we do it faster? in linear time?

Yes, selection is easier than sorting

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The algorithm: High level

The problem

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The cost

- Chose a split element x .
- Let k be the rank of x , if $k = i$, we found the i -th element. Otherwise,
- Use x to determine a partition of A , smaller than x to the left and larger to the right.
- Compute recursively the i -th element in the left part, when $i < k$, or the $i - k$ -th element in the right part, when $i > k$.

The algorithm: High level

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The algorithm is correct, independently of the rule used to determine x , as x 's rank is correctly computed.

The algorithm: High level

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The algorithm is correct, independently of the rule used to determine x , as x 's rank is correctly computed.

The time depends on the quality of the splitting element to divide fairly the elements

Selection: Finding a splitting element

If $n \leq 5$ return their median.

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Selection: Finding a splitting element

If $n \leq 5$ return their median.

Otherwise, divide the n elements in $\lceil n/5 \rceil$ groups, each with 5 elements except one group that might have < 5 elements).

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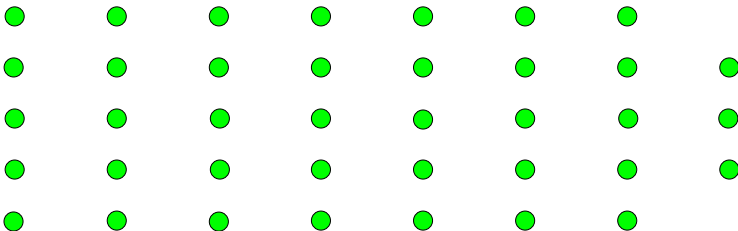
The algorithm

The cost

Selection: Finding a splitting element

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The problem

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Selection: Finding a splitting element

Sort the elements in each group and find its median.
(Each sort needs ≤ 25 comparisons, i.e. $\Theta(1)$).
Call x_j the median of the j -th group.

The problem

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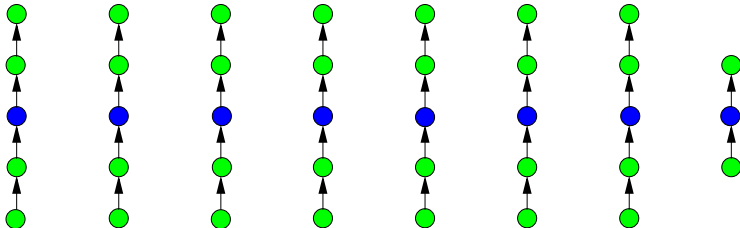
The cost

Selection: Finding a splitting element

Sort the elements in each group and find its median.

(Each sort needs ≤ 25 comparisons, i.e. $\Theta(1)$).

Call x_j the median of the j -th group.



The splitting element x is the median of the set of medians, $\{x_j \mid 1 \leq j \leq \lceil n/5 \rceil\}$.

The algorithm

Select(A, i)

Divide A into $m = \lceil n/5 \rceil$ groups, all but at most one with 5 elements

$X[j] = \text{median}$ of group $j, j = 1, \dots, m$

$x = \text{Select}(X, \lfloor (m+1)/2 \rfloor)$ i.e. the median of X

Let k be the rank of x in A

if $i = k$ **then**

return x

else

L = the elements of A smaller than x

R = the elements of A bigger than x

if $i < k$ **then**

return **Select**(L, i)

else

return **Select**($R, i - k$)

The problem

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Example: Find the median

Let $n = 15$, we want to get the 5-th element on the following input:

$A =$ 3 13 9 4 5 1 15 12 10 2 6 14 8 17 11

The problem

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An example

Let $n = 15$, we want to get the 5-th element on the following input:

$A =$

3	13	9	4	5
1	15	12	10	2
6	14	8	17	11

The problem

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An example

Let $n = 15$, we want to get the 5-th element on the following input:

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4	2	8
5	10	11
9	12	14
13	15	17

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9	12	14
13	15	17

The median of $X = (5, 10, 11)$ is **10** which has rank 9

The problem

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An example

Let $n = 15$, we want to get the 5-th element on the following input:

$A =$

3	13	9	4	5
---	----	---	---	---

1	15	12	10	2
---	----	----	----	---

6	14	8	17	11
---	----	---	----	----

3	1	6
4	2	8
5	10	11
9	12	14
13	15	17

The median of $X = (5, 10, 11)$ is **10** which has rank 9
As $5 < 9$, recursively ask for the 5-th element in the left part
with respect to $x = 10$, i.e., $(3, 9, 4, 5, 1, 2, 6, 8)$

The problem

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Example: Find the median

In the next call $n = 8$, we look for the 5-th element in the following input:

$$A = 3 \ 9 \ 4 \ 5 \ 1 \ 2 \ 6 \ 8$$

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The cost

An example

In the next call $n = 8$, we look for the 5-th element in the following input:

$$A = \boxed{3 \ 9 \ 4 \ 5 \ 1} \boxed{2 \ 6 \ 8}$$

The problem

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The cost

An example

In the next call $n = 8$, we look for the 5-th element in the following input:

$A =$

3	9	4	5	1
---	---	---	---	---

2	6	8
---	---	---

1	
3	2
4	6
5	8
9	

The problem

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The cost

An example

In the next call $n = 8$, we look for the 5-th element in the following input:

$$A = \boxed{3 \ 9 \ 4 \ 5 \ 1} \boxed{2 \ 6 \ 8}$$

1	
3	2
4	6
5	8
9	

The median of $X = (4, 6)$ is 4 which has rank 4.

The problem

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Computing a
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The cost

An example

In the next call $n = 8$, we look for the 5-th element in the following input:

$$A = \boxed{3 \ 9 \ 4 \ 5 \ 1} \boxed{2 \ 6 \ 8}$$

1	
3	2
4	6
5	8
9	

The median of $X = (4, 6)$ is 4 which has rank 4.
As $5 > 4$ the algorithm looks for the 1st element in the right part $(5, 6, 8, 9)$, which is 5.

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Selection algorithm: Cost

Select(A, i)

Divide A into $m = \lceil n/5 \rceil$ groups, all but at most one with 5 elements $O(n)$

$X[j] = \text{median}$ of group $j, j = 1, \dots, m$ $O(n)$

$x = \text{Select}(X, \lfloor (m+1)/2 \rfloor)$ i.e. the median of X $T(n/5)$

Let k be the rank of x in A

if $i = k$ **then**

return x

else

$L =$ the elements of A smaller than x $O(n)$

$R =$ the elements of A bigger than x $O(n)$

if $i < k$ **then**

return **Select**(L, i) $T(?)$

else

return **Select**($R, i - k$) $T(?)$

The problem

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Selection algorithm: elements bigger than x

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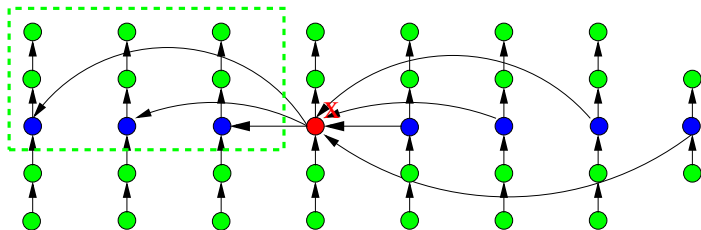
The algorithm

The cost

At least $3(\frac{1}{2}(\lceil n/5 \rceil - 2)) \geq \frac{3n}{10} - 6$ of the elements are $< x$.

Selection algorithm: elements bigger than x

At least $3(\frac{1}{2}(\lceil n/5 \rceil - 2)) \geq \frac{3n}{10} - 6$ of the elements are $< x$.



Selection algorithm: elements smaller than x

The problem

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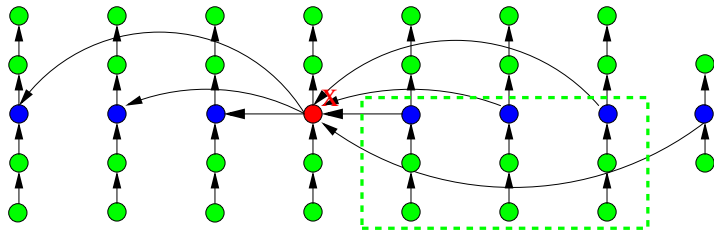
The algorithm

The cost

At least $3(\frac{1}{2}(\lceil n/5 \rceil - 2)) \geq \frac{3n}{10} - 6$ of the elements are $> x$.

Selection algorithm: elements smaller than x

At least $3(\frac{1}{2}(\lceil n/5 \rceil - 2)) \geq \frac{3n}{10} - 6$ of the elements are $> x$.



Selection algorithm: the recurrence

- As at least $\geq \frac{3n}{10} - 6$ of the elements are $> x$ ($< x$), at most $n - (\frac{3n}{10} - 6) = 6 + 7n/10$ elements are $\leq x$ ($\geq x$).
- In the worst case, **Select** recursively calls on a vector with size $\leq 6 + 7n/10$. So, step 5 takes time $\leq T(6 + 7n/10)$.

Therefore, selecting 50 as the size to stop the recursion, we have

$$T(n) = \begin{cases} \Theta(1) & \text{if } n \leq 50, \\ T(\lceil n/5 \rceil) + T(6 + 7n/10) + \Theta(n) & \text{if } n > 50. \end{cases}$$

Solving we get $T(n) = \Theta(n)$

The problem

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The cost

Selection algorithm: the recurrence

- As at least $\geq \frac{3n}{10} - 6$ of the elements are $> x$ ($< x$), at most $n - (\frac{3n}{10} - 6) = 6 + 7n/10$ elements are $\leq x$ ($\geq x$).
- In the worst case, **Select** recursively calls on a vector with size $\leq 6 + 7n/10$. So, step 5 takes time $\leq T(6 + 7n/10)$.

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$$T(n) = \begin{cases} \Theta(1) & \text{if } n \leq 50, \\ T(\lceil n/5 \rceil) + T(6 + 7n/10) + \Theta(n) & \text{if } n > 50. \end{cases}$$

Solving we get $T(n) = \Theta(n)$ How?

The problem

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The cost

Solving the recurrence

- Use substitution.

The problem

Algorithm
idea

Computing a
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The algorithm

The cost

Solving the recurrence

- Use substitution.
- Assume that $T(n) \leq c n$, for some constant c and $n \leq 50$.
Note that $6 + 7n/10 < n$, for $n > 12$.

The problem

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Solving the recurrence

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- Use substitution.
- Assume that $T(n) \leq c n$, for some constant c and $n \leq 50$. Note that $6 + 7n/10 < n$, for $n > 12$.
- Prove that $T(n) \leq c n$ by induction. As usual we replace a $\Theta(n)$ term by $d n$, for an adequate constant d .

$$\begin{aligned} T(n) &\leq T(\lceil n/5 \rceil) + T(6 + 7n/10) + d n \\ &\leq c \lceil n/5 \rceil + c(6 + 7n/10) + d n \\ &\leq c(n/5 + 1) + c(6 + 7n/10) + d n \\ &\leq 9 c n/10 + 7c + d n \leq c n \end{aligned}$$

Taking $c = 10d$, for large n , the inequality holds.

Remarks on the cardinality of the groups

Notice:

- If we make **groups of 7**, the number of elements $\geq x$ is $\frac{2n}{7}$, which yield $T(n) \leq T(n/7) + T(5n/7) + O(n)$ with solution $T(n) = O(n)$.
- However, if we make **groups of 3**, then $T(n) \leq T(n/3) + T(2n/3) + O(n)$, which has a solution $T(n) = O(n \ln n)$.

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The cost