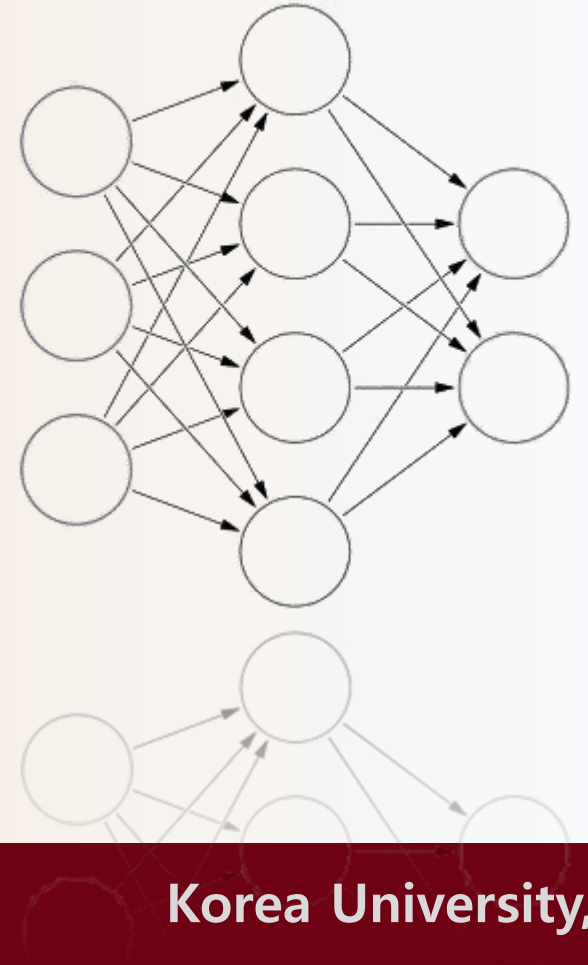


# Lexicon Integrated CNN Models with Attention for Sentiment Analysis

– Bonggun Shin, Timothy Lee, Jinho D. Choi

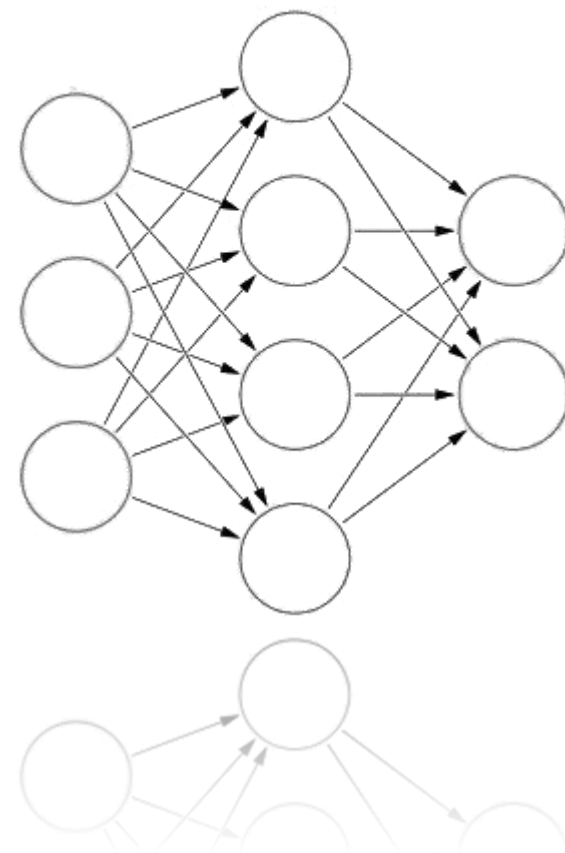


# Abstract

본 연구는 **Sentiment Analysis**를 하기 위해  
Lexicon embedding과 Attention mechanism을  
CNN에 적용한 Novel Approach에 관한 것이다

## Keywords

Sentiment analysis, Word embedding,  
Lexicon, Attention, CNN, SemEval'16 Task 4



# Introduction

## Sentiment Analysis

Sentiment Analysis is a task of **identifying sentiment polarities expressed in documents**, typically positive, neutral, or negative.

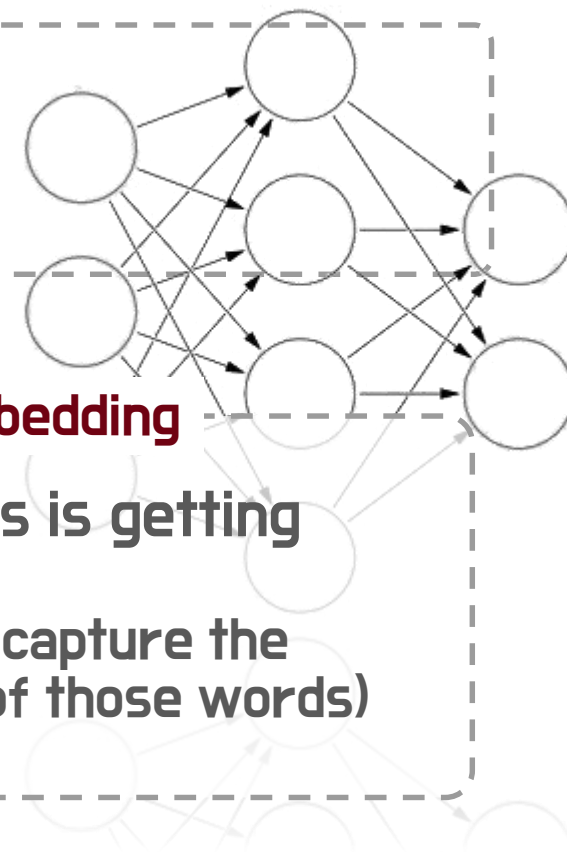
Statistical models  
based on sparse features

Lexicons' sentiment scores  
are shown to be highly effective



## Word embedding

The use of lexicons is getting faded away.  
(WE are believed to capture the sentiment aspects of those words)



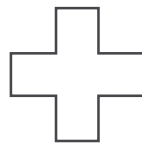
# Introduction

## Research Question

1. Can lexicons be **still useful** for sentiment analysis when coupled with WE?
2. If yes, what is the most effective way of **incorporating lexicons with WE**?

## 3가지 Approach

1. Naïve concatenation
2. Multichannel
3. Separate convolution



## Embedding Attention

Word embedding으로 이루어진  
Document matrix를 Length=1의  
Filter로 Attention Matrix를 생성 후  
Max pooling을 통해 Attention vector 계산



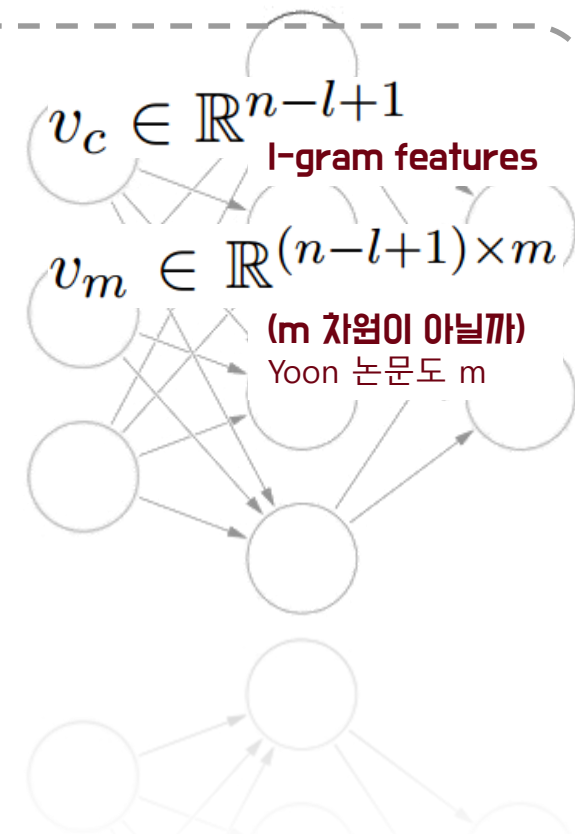
# Approach

## Notation

Input document matrix	$s \in \mathbb{R}^{n \times d}$
Num of words	$n$
Dim of word embedding	$d$
$i$ 'th word in document	$w_i \in \mathbb{R}^d$
Weights of filter	$c \in \mathbb{R}^{l \times d}$
Length of the filter	$l$
Num of the filter	$m$

Activation map of Conv  
(filter 마다 생기는데)

Output of max pooling



# Approach

### Lexicon Integration

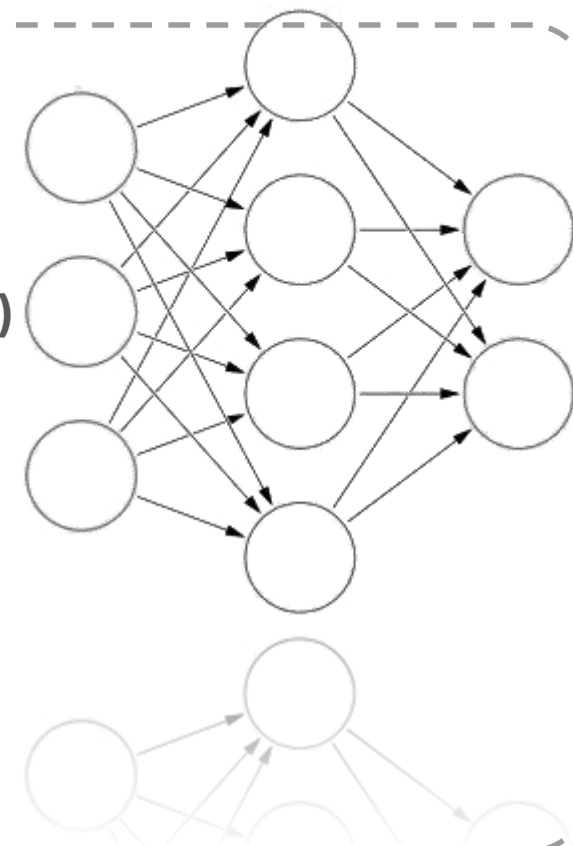
#### Lexicon Embedding

Multiple sources of lexicon datasets으로 부터 **score를 얻어서 embedding**

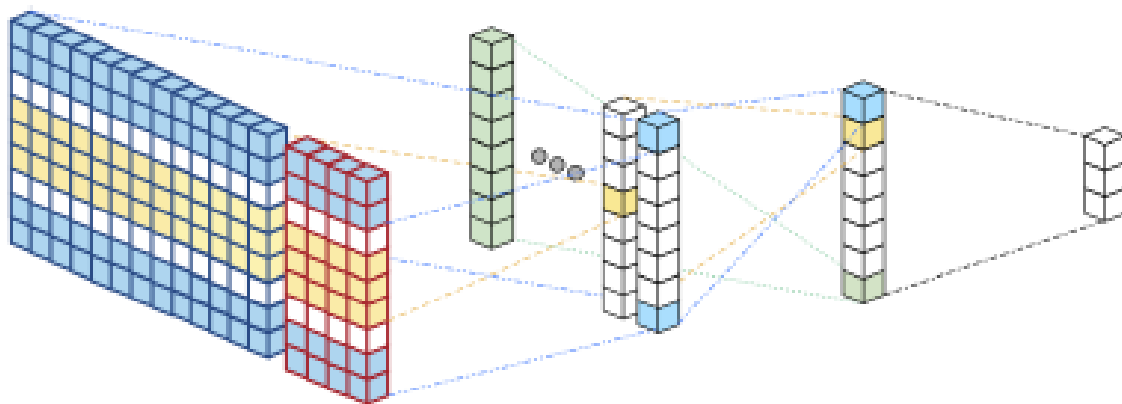
각 dataset은 key-value 형태 ( **{ Joy : 0.98 }** ) (-1: Negative, +1:Positive)

0.9
0.7
0.9

→ Word "Joy"에 대한 sentiment score들을 embedding



# Approach



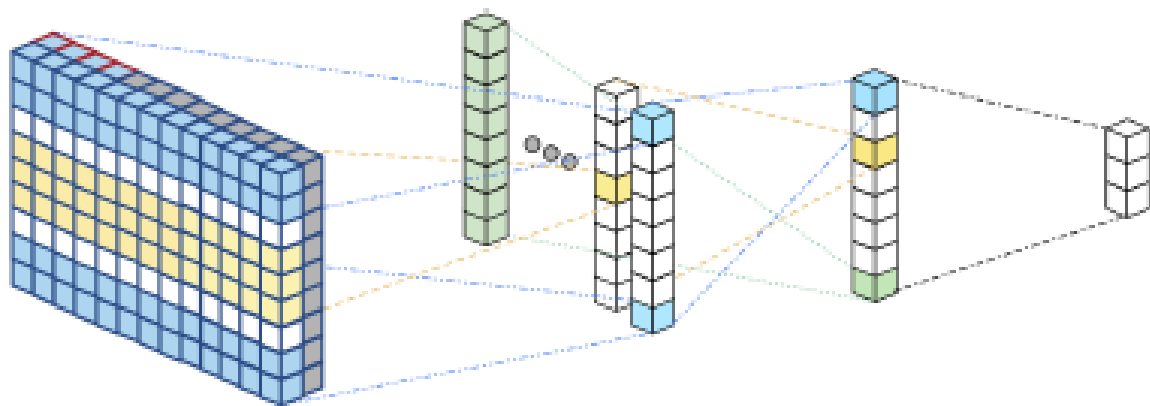
(a) Naive concatenation (Section 3.2.1). The lexicon embeddings (on the right) are concatenated to the word embeddings (on the left).

### Naïve Concatenation

가장 간단한 방법으로,  
Word Embedding과 Lexicon Embedding  
을 단순히 합친다

$$s \in \mathbb{R}^{n \times d} \longrightarrow s \in \mathbb{R}^{n \times (d+e)}$$

# Approach



(b) Multichannel (Section 3.2.2). The lexicon embeddings are added to the second channel whereas the word embeddings are added to the first channel.

### Multichannel

Conv Layer에서 **함께 Convolved** 됨

$d \gg e$  인 경우 나머지

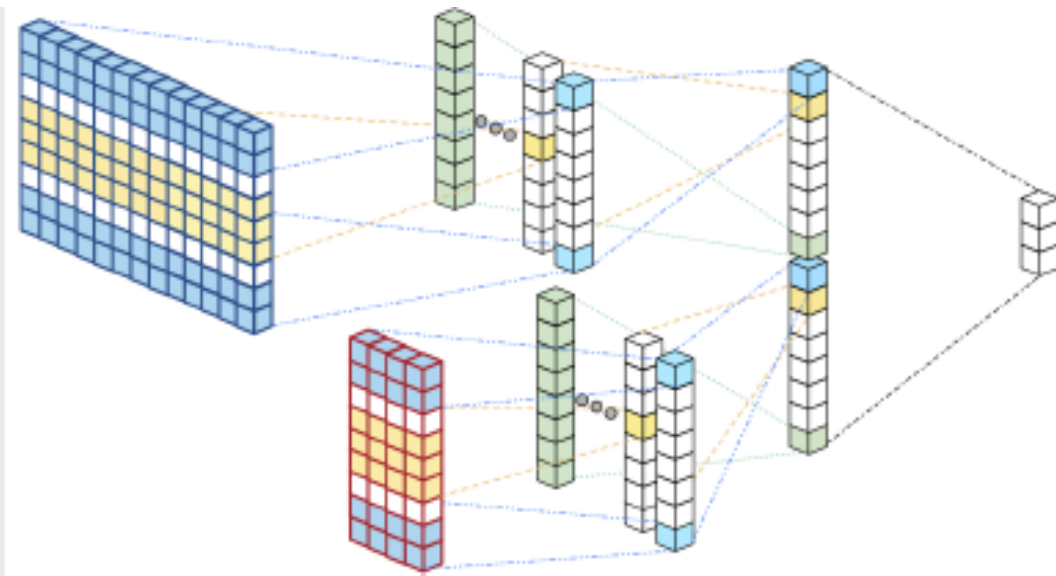
Lexicon embedding은 Zero padding

$s \in \mathbb{R}^{n \times d} \longrightarrow s \in \mathbb{R}^{n \times d}$   
+ Channel추가



# Approach

Size of 2<sup>nd</sup> layer:  $[(n - l_w + 1) \times m_w] + [(n - l_x + 1) \times m_x]$



(c) Separate convolution (Section 3.2.3). The lexicon embeddings are processed by a separate convolution (on the right) from the word embeddings (on the left).

### Separate Convolution

Word embedding, Lexicon embedding을 각각 따로 Convolution, max pooling 한 후 합쳐서 Softmax layer에 넣음

$l_w, l_x$  각각의 filter 길이

$m_w, m_x$  각각의 filter 개수

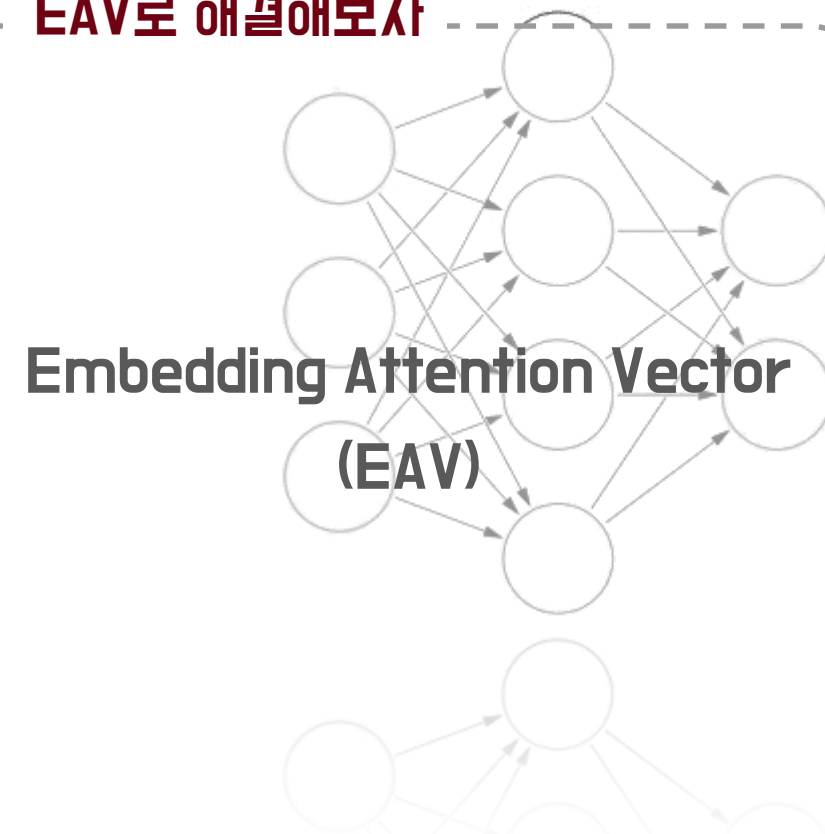
### 왜 Attention을 도입했나?

현재는 l-gram feature를 잡아내는 형태의 구조  
즉, "account only for **local views**,  
**not the global view** of the document" 라 할 수 있음

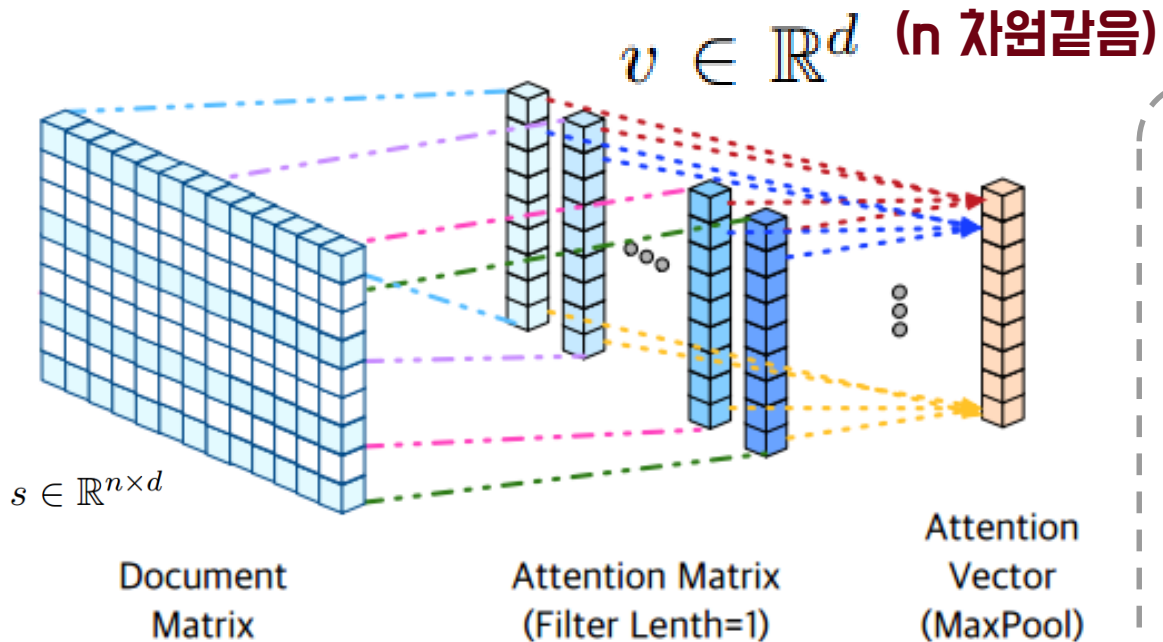
#### 현 구조의 단점

Negation이 있는 경우 local view만으로는  
정확한 의미를 판별할 수 없음

### EAV로 해결해보자



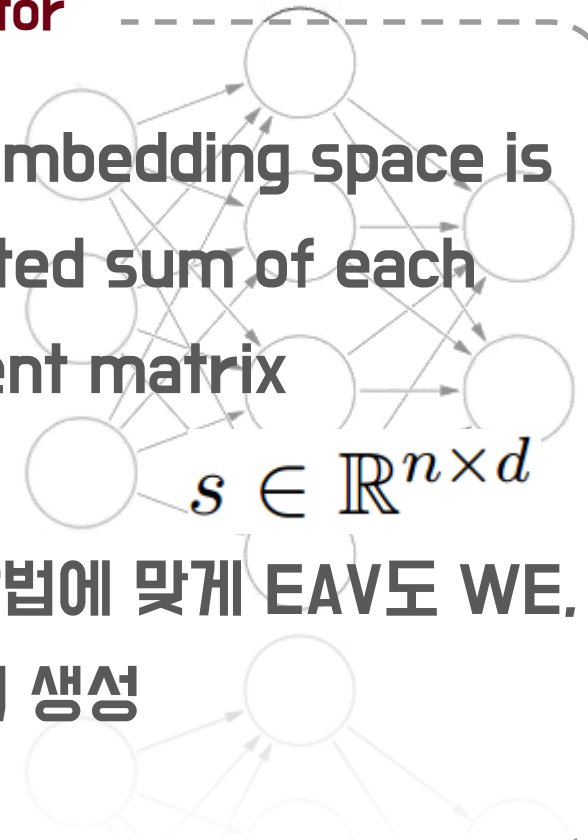
# Approach



(a) Give a document matrix, the attention matrix is first created by performing multiple convolutions. The attention vector is then created by performing max pooling on each row of the attention matrix.

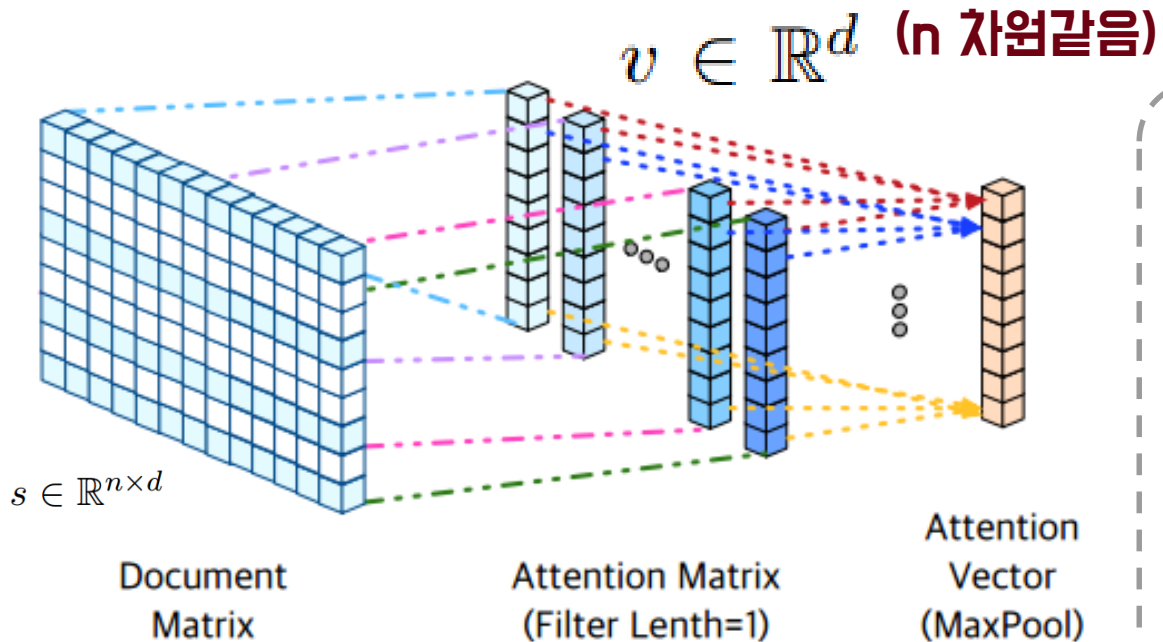
### Embedding Attention Vector

the EAV in the word embedding space is calculated as a weighted sum of each column in the document matrix



Lexicon Integration 방법에 맞게 EAV도 WE, LE 각각에 대해서 두 개씩 생성

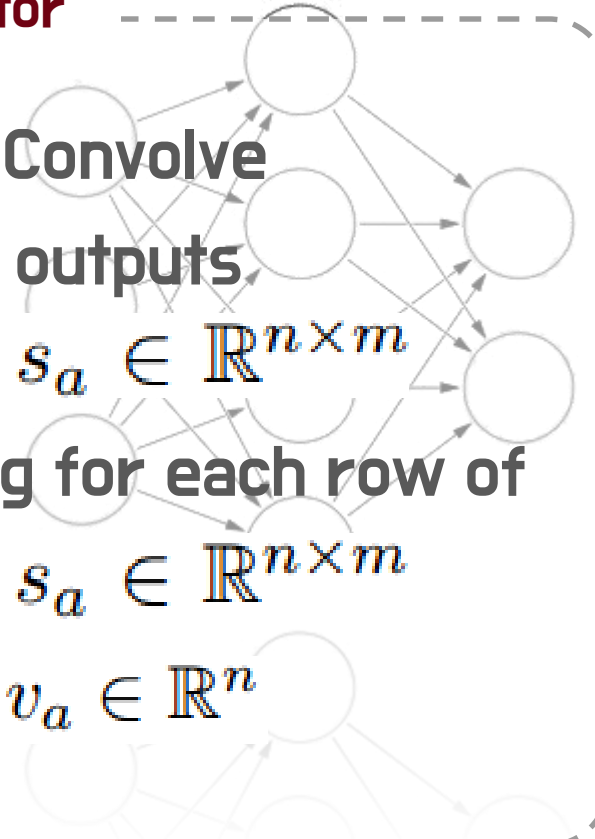
# Approach



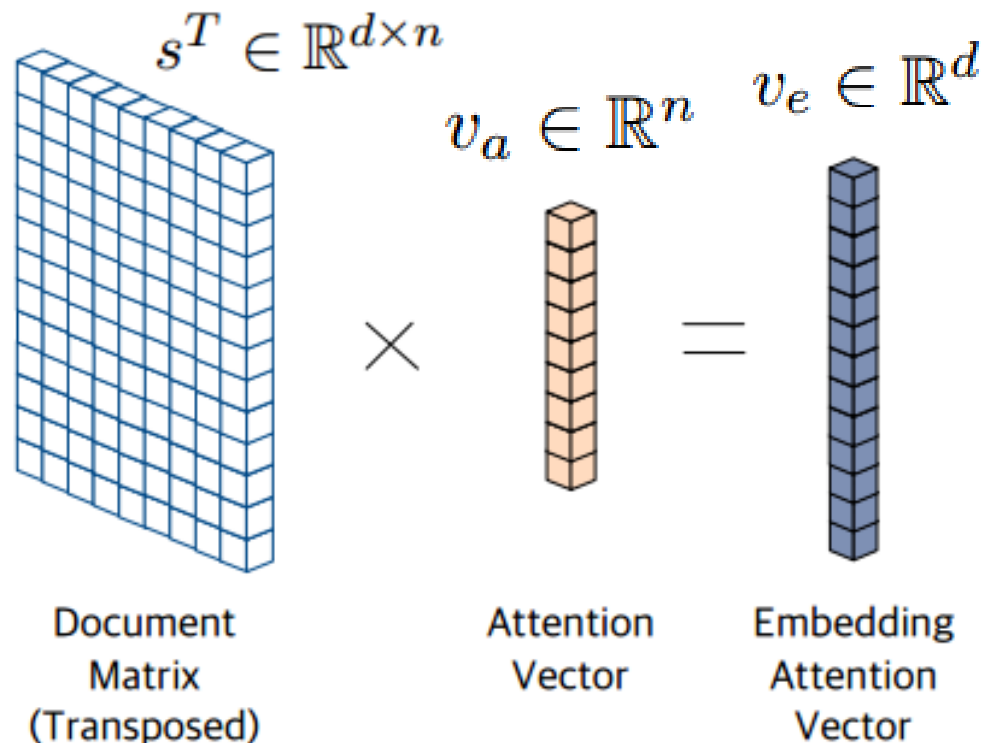
(a) Give a document matrix, the attention matrix is first created by performing multiple convolutions. The attention vector is then created by performing max pooling on each row of the attention matrix.

### Embedding Attention Vector

1. Filter length = 1 로 Convolve
2. Aggregate all conv outputs  
→ Attention matrix  $s_a \in \mathbb{R}^{n \times m}$
3. Execute max pooling for each row of attention matrix  
→ Attention vector  $v_a \in \mathbb{R}^n$



# Approach



(b) The embedding attention vector is created by multiplying the transposed document matrix to the attention vector.

### Embedding Attention Vector

4. Transpose the document matrix  $s$

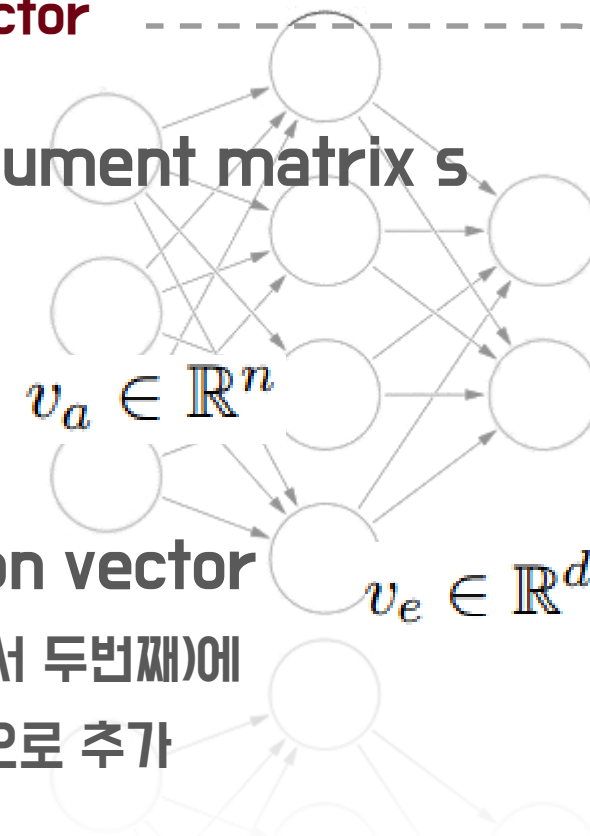
$$s^T \in \mathbb{R}^{d \times n}$$

and multiply it with

$$v_a \in \mathbb{R}^n$$

$=$  Embedding attention vector

-> Penultimate layer(끝에서 두번째)에  
Additional information으로 추가



# Experiments

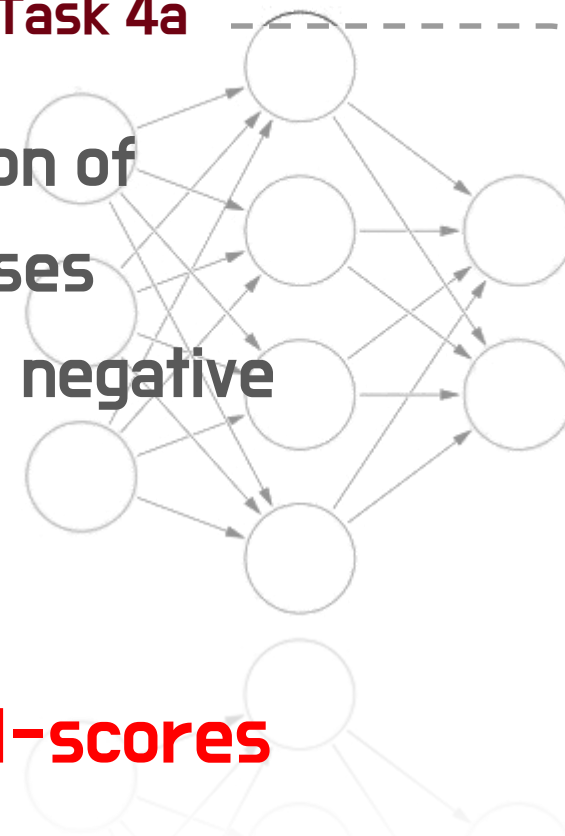
	+	0	-	All
TRN	6,480	6,577	2,328	15,385
DEV	786	548	254	1,588
TST	7,059	10,342	3,231	20,632

Table 1: Statistics of the SemEval'16 Task 4 dataset.  
+/0/-: positive/neutral/negative.

## Dataset - SemEval-2016 Task 4a

Tweets with annotation of  
Three sentiment classes  
: positive, neutral, and negative

Evaluated  
by **Averaging the F1-scores**



# Experiments

	++	+	0	-	-	All
TRN	1288	2322	1624	2218	1092	8,544
DEV	165	279	229	289	139	1,101
TST	399	510	389	633	279	2,210

Table 2: Statistics of the Stanford Sentiment Treebank dataset. ++/+/0/-/-: very positive/positive/neutral/negative/very negative.

## Dataset - Stanford Sentiment Treebank

Movie reviews from Rotten Tomatoes

-> Evaluating the robustness

across different genres

Five classes: very positive, positive, neutral, negative, very negative.





# Experiments

## Embedding Construction

### Word Embeddings

Word2vec (skip-gram, negative sampling) 사용

WE은 Domain에 영향을 받음

그렇기 때문에 pretrained model이 아닌

SemEval'16, SST Datasets으로 training  
(3.67M word types), (2.67M word types)

Pre-tokenize는 NLP4J (Open source) 사용

### Lexicon Embeddings

6 types of sentiment lexicons 사용

- National Research Council Canada (NRC)
  - NRC Hashtag Sentiment Lexicon
  - NRC Sentiment140 Lexicon
  - Sentiment140 Lexicon
  - MaxDiff Twitter Sentiment Lexicon
  - Bing Liu Opinion Lexicon
- (missing words는 neutral score로 0 부여)



# Experiments

### Word Embeddings

Word2vec (skip-gram)  
WE은 Domain에 영  
그렇기 때문에 pretr  
SemEval'16, SST

Pre-tokenize는 NLP4

### Lexicon Embeddings

ent lexicons 사용  
Council Canada (NRC)  
iment Lexicon  
0 Lexicon  
icon  
entiment Lexicon  
entiment Lexicon  
(missing words는 neutral score로 0 부여)

	Word Emb		Lexicon Emb	
	S16	SST	S16	SST
TRN	70.12	97.66	11.53	9.20
DEV	81.90	98.91	3.29	3.32
TST	68.57	98.58	12.40	4.98

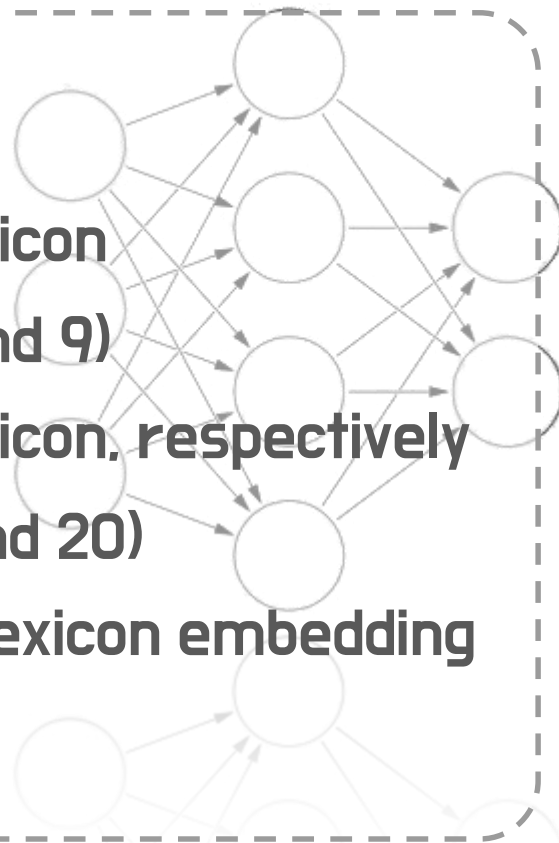
Table 3: The percentage of word types covered by our word and lexicon embeddings for each dataset.

### 7 models

1. Naïve concatenation(NC)
2. Multichannel(MC)
3. Separate(SC)
4. EAV
5. NC+EAV
6. MC+EAV
7. SE+EAV

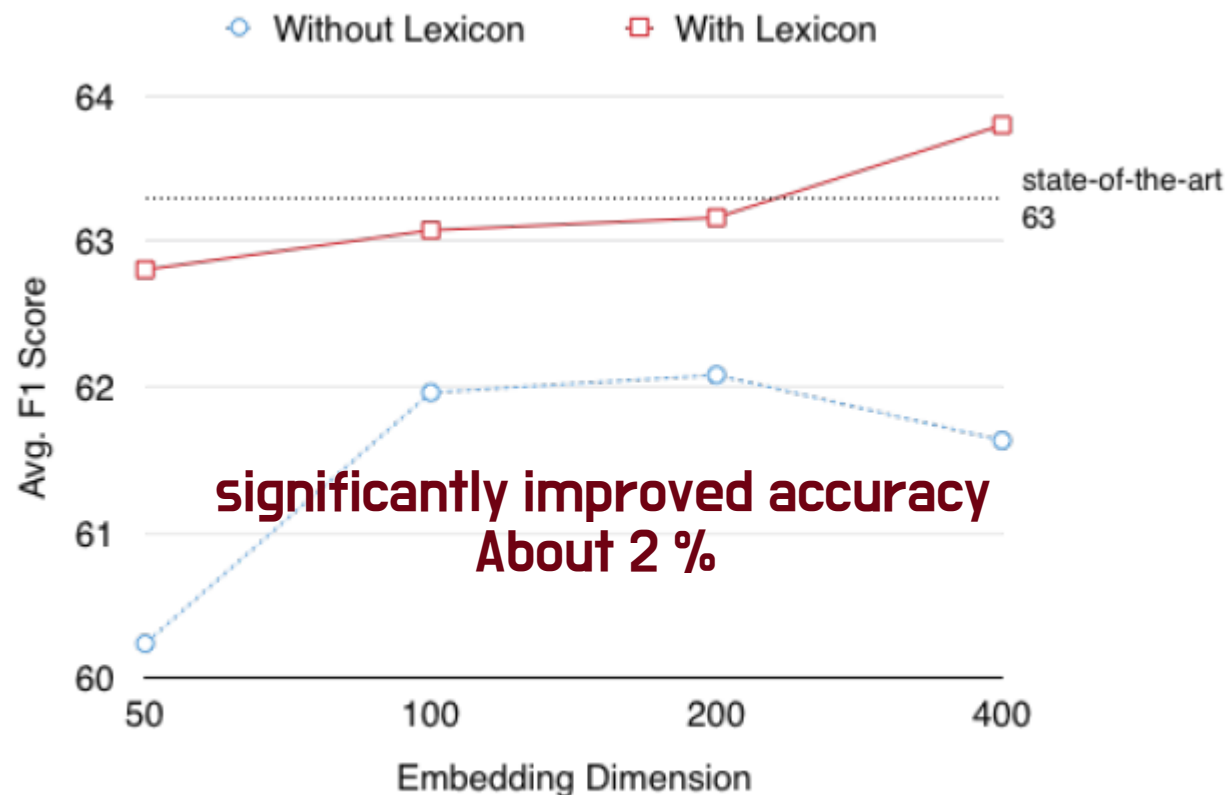
### Configuration

- Filter size = (2, 3, 4, 5)  
for both word and lexicon
- Num of filters = (64 and 9)  
for both word and lexicon, respectively
- Num of filters = (50 and 20)  
for EAV in word and lexicon embedding  
space, respectively

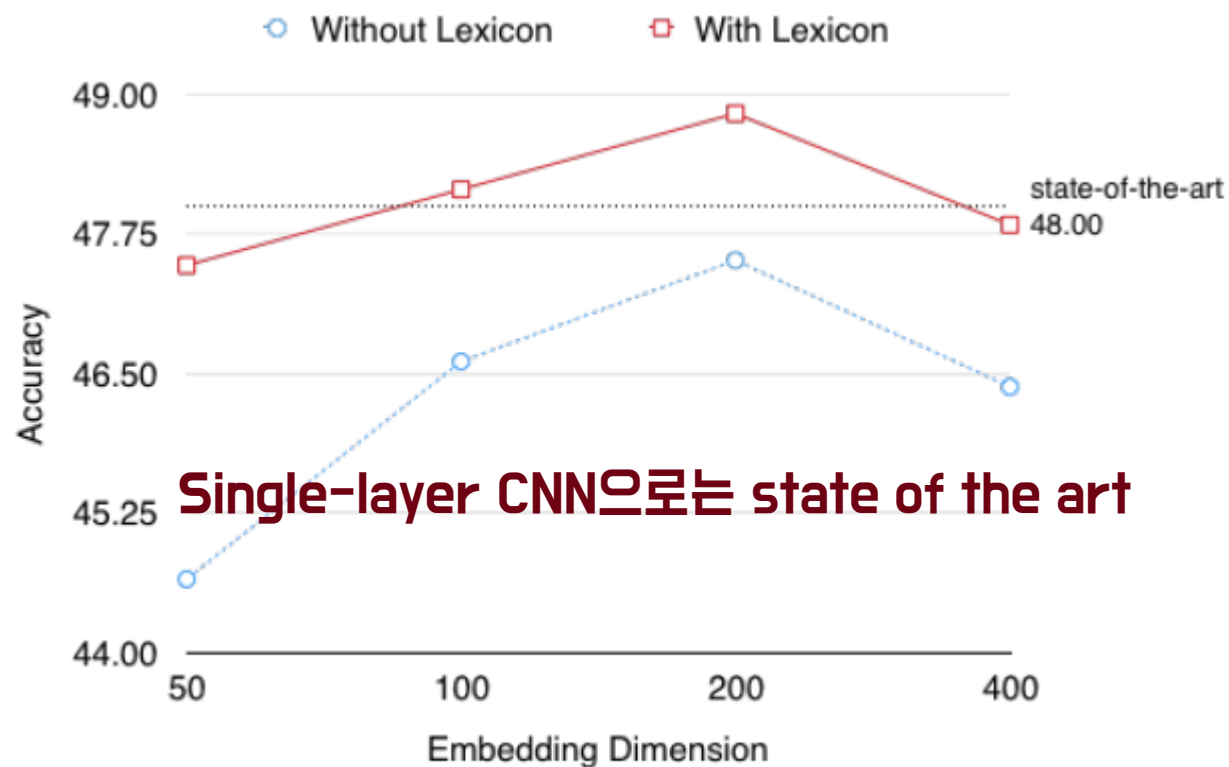


# Evaluation

## F1 measure & Accuracy



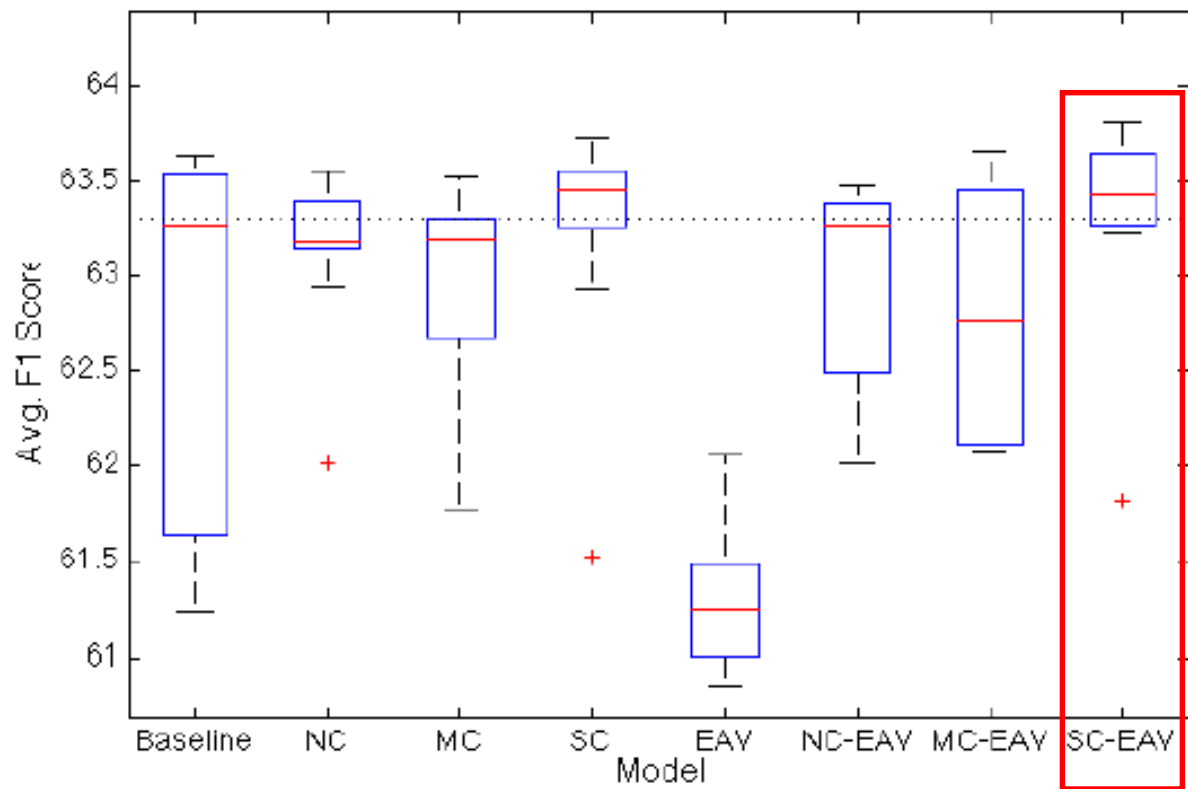
**SC case** (a) SemEval Task



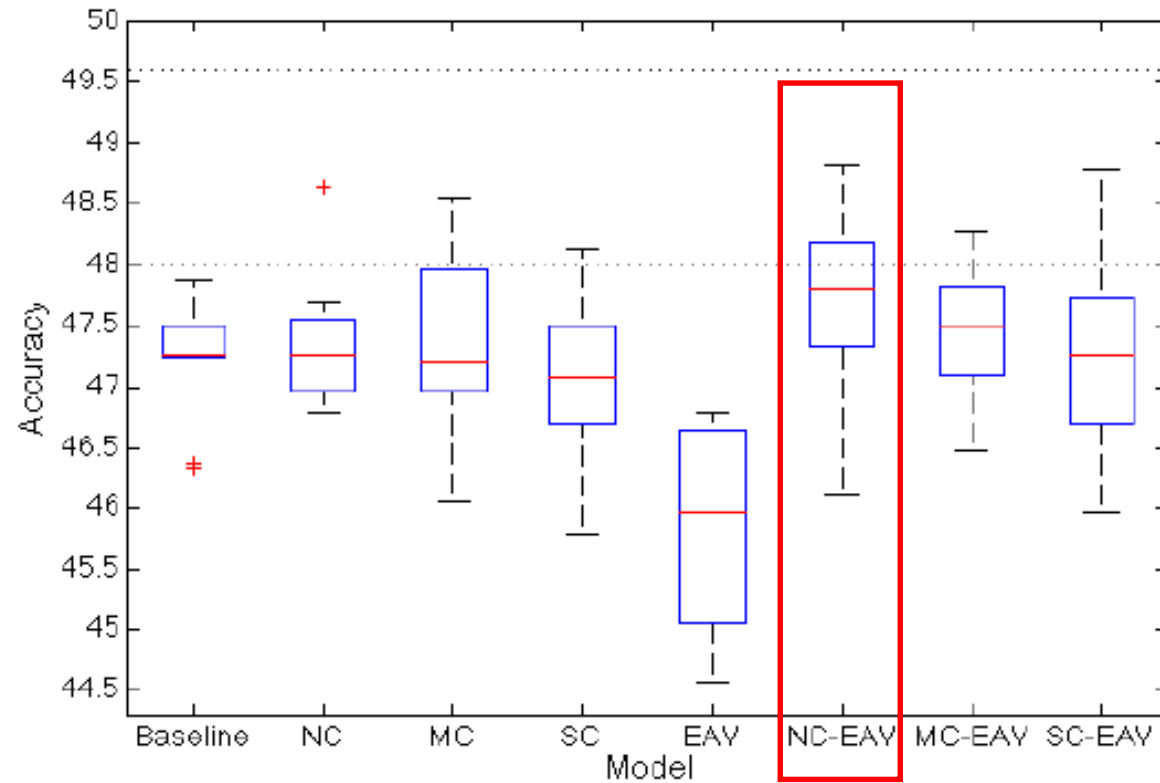
(b) SST Task

Lexicon integration은 WE dim에 robust

# Evaluation



**SemEval'16 Task**



**SST Task**

# Evaluation

Yakub should be hanged to death tomorrow the Mumbai 's culprid else will oppose it by following a black day  
11 December 1971 - An IRA bomb attack on the Shankill Road destroyed the Balmoral Furniture Building and Killed four [LINK]  
If I did n't have training tomorrow id be sick and at the Json Aldean concert #maybenexttime  
George Osborne is @ Faslane tomorrow . get ur arses down there . 57/59 of scotlands MPs oppose trident , yet 100bn is gon na b spent renewing it  
National Ice Cream day on Sunday and now it 's National Hot Dog Day ? Is the calendar trying to kill me ???

Negative / Positive

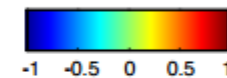


Figure 5: Five selected negative tweets with the attention heatmap. Examples are from the set where the baseline gives wrong answers but SC-EAV predicts correctly. Intensity of each word roughly ranges from -1 to 1. These weights (intensities) are the values of the attention vector of the word embeddings in the SC-EAV model. While negative words get more attention (dark reds), non-sentimental words such as stop words get less attention (greens and light blues).

Attention vector가 death, attack, sick 등 부정적인 단어 잘 잡아냄

# Conclusion & Future work

- Lexicon integration은 accuracy, stability, 관점에서 유용
- Attention mechanism을 통한 Attention heatmap 은 Explanatory feature 제공하며 accuracy 향상에 도움을 줌
- Attention model을 each single word 외에 multiple words에 적용
- More lexicon dataset 사용해서 coverage 개선
- Ensemble of multi layer CNN models



# Thank you 😊

