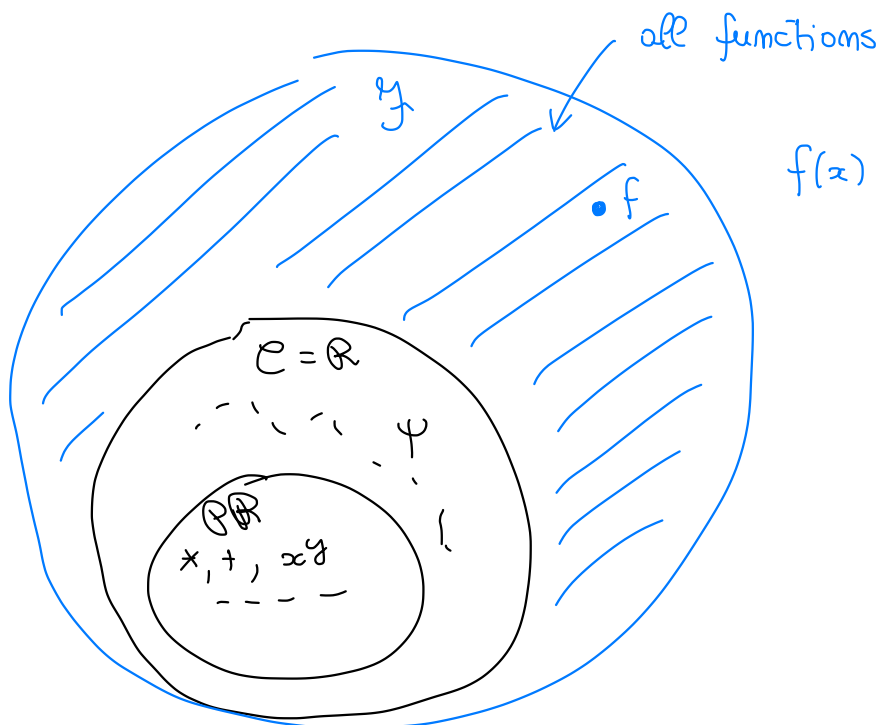


Computability (23/11/2021)

* Recursive and Recursively enumerable sets



$$f(x) = \begin{cases} 1 & \text{if } x \in W_x \\ & (\varphi_x(x) \downarrow) \\ 0 & \text{otherwise} \end{cases}$$

given $X \subseteq \mathbb{N}$ " $x \in X$?"
 \uparrow
 programs

$$X = \{x \in \mathbb{N} \mid \varphi_x = \text{fact}\}$$

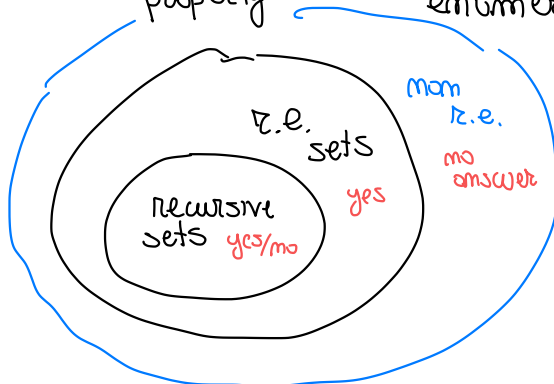
$$X' = \{x \in \mathbb{N} \mid P_x \text{ linear complexity}\}$$

$$X'' = \{x \in \mathbb{N} \mid P_x \text{ does not use } R_j\}$$

$$X''' = \{x \in \mathbb{N} \mid P_x \text{ executes at least once each instruct.}\}$$

answer yes/no : decidable / recursive set property

answer yes / \uparrow : semidecidable property / recursively enumerable set



* Recursive sets

A set $A \subseteq \mathbb{N}$ is recursive if the characteristic function

$$\chi_A : \mathbb{N} \rightarrow \mathbb{N}$$

$$\chi_A(x) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A \end{cases} \quad \text{is computable}$$

(\Leftrightarrow " $x \in A$ " is decidable)

* \mathbb{N} is recursive

$$\chi_{\mathbb{N}}(x) = 1 \quad \forall x \in \mathbb{N} \quad \text{computable}$$

\emptyset is recursive

$$\chi_{\emptyset}(x) = 0 \quad \forall x \in \mathbb{N} \quad "$$

\mathbb{P} " "

$$\chi_{\mathbb{P}}(x) = \overline{\text{sg}}(\text{em}(z, x))$$

\mathbb{P}_2 " "

\vdots

* A finite \Rightarrow A recursive

$$A = \{a_1, \dots, a_m\}$$

$$\chi_A(x) = \overline{\text{sg}}\left(\prod_{i=1}^m |x - a_i|\right)$$

\vdots

* $K = \{x \mid \varphi_x(x) \downarrow\}$

$$= \{x \mid x \in W_x\}$$

NOT RECURSIVE

$$f_K(x) = \begin{cases} 1 & \text{if } \varphi_x(x) \downarrow \\ 0 & \text{otherwise} \end{cases} \quad \text{is not computable}$$

* OBSERVATION: if $A, B \subseteq \mathbb{N}$ recursive then

(i) $\bar{A} = \mathbb{N} \setminus A$

(ii) $A \cap B$ are recursive

(iii) $A \cup B$

proof

(i) A is recursive $\Rightarrow \chi_A$ computable

$$\begin{aligned}\chi_{\bar{A}}(x) &= \begin{cases} 1 & \text{if } x \in \bar{A} \\ 0 & \text{otherwise} \end{cases} = \begin{cases} 1 & x \notin A \\ 0 & x \in A \end{cases} \\ &= \overline{\chi_A}(x) \end{aligned}$$

(ii)

(iii) exercise

* REDUCTION

problems A and B

A reduces to B if every instance of A
can be transformed easily
into an instance of B

|||

A is easier than B

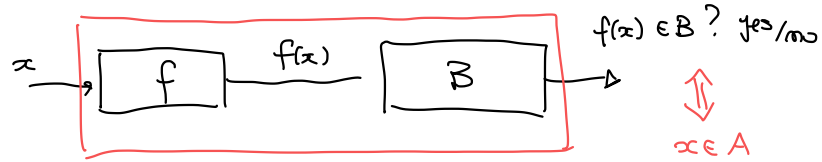
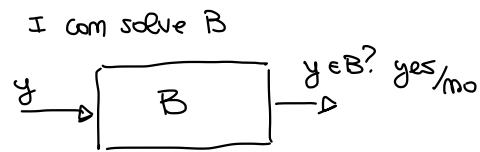
Def : Given $A, B \subseteq \mathbb{N}$

the problem $x \in A$ reduces to the problem $x \in B$

A reduces to B , written $A \leq_m B$

if there exists a total computable function $f: \mathbb{N} \rightarrow \mathbb{N}$

s.t. $\forall x \in \mathbb{N} \quad x \in A \quad \text{iff} \quad f(x) \in B$



OBSERVATION : Given $A, B \subseteq \mathbb{N}$ $A \leq_m B$

(i) if B is recursive $\Rightarrow A$ is recursive

(ii) if A is not recursive $\Rightarrow B$ not recursive \leftarrow

proof

(i) Let B recursive

$$\Downarrow \chi_B(x) = \begin{cases} 1 & x \in B \\ 0 & \text{otherwise} \end{cases} \quad \text{computable}$$

\Downarrow

$$\chi_A(x) = \chi_B(f(x))$$

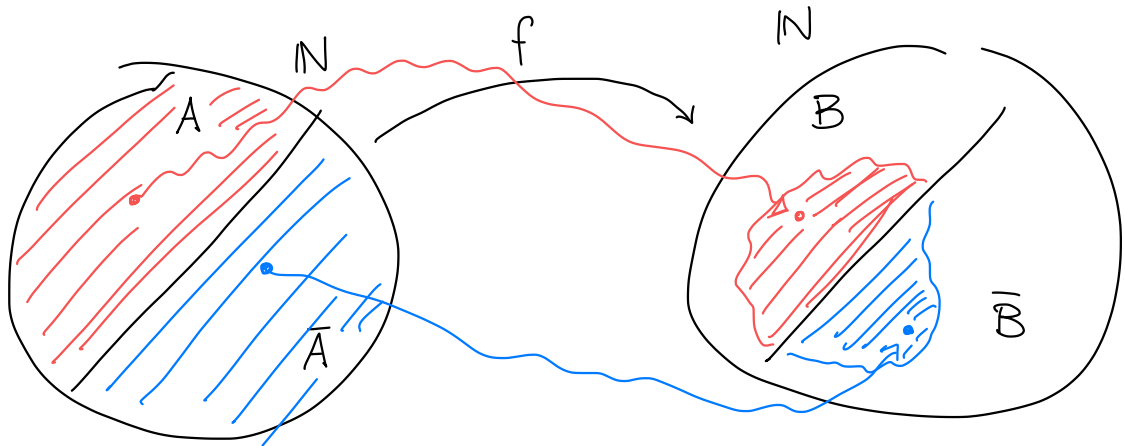
where $f: \mathbb{N} \rightarrow \mathbb{N}$ is the reduction function [total computable]

$$x \in A \text{ iff } f(x) \in B$$

$\Rightarrow \chi_A$ is computable (by composition)

$\Rightarrow A$ is recursive

(ii) equivalent to (i)



Example : $K = \{x \mid x \in W_x\}$ not recursive

$$T = \{x \mid \varphi_x \text{ total}\}$$

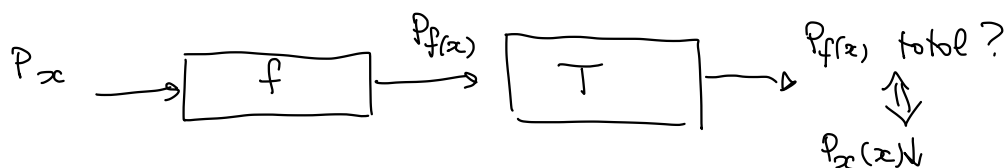
$$\begin{array}{ccc} K & \leq_m & T \\ \uparrow & & \uparrow \end{array}$$

assume that we have



if we can construct for every P_x a program $P_{f(x)}$
 $P_x(x) \downarrow$ iff $P_{f(x)}$ is total

using T we can answer to the question $P_x(x) \downarrow$?



intuitively

def $P_{f(x)}(y)$: $\begin{array}{l} P_x(x) \downarrow \rightarrow P_{f(x)}(y) \text{ is the constant } 1 \\ P_x(x) \uparrow \rightarrow P_{f(x)}(y) \uparrow \text{ for all } y \end{array}$

Formally

$$\begin{aligned} g(x, y) &= \mathbb{I}(\varphi_x(x)) \\ &= \mathbb{I}(\psi_{\bar{0}}(x, x)) \end{aligned}$$

computable

By smm theorem there exist $f: \mathbb{N} \rightarrow \mathbb{N}$ total computable such that

$$\forall x, y \quad \varphi_{f(x)}(y) = g(x, y) = \mathbb{I}(\varphi_x(x))$$

f is the function reducing K to T

i.e. $x \in K \iff f(x) \in T \quad \forall x$

we decompose it

① $x \in K$ then $f(x) \in T$

② $x \notin K$ then $f(x) \notin T$

① if $x \in K$ then $f(x) \in T$

Let $x \in K \rightsquigarrow \varphi_x(x) \downarrow \rightsquigarrow \varphi_{f(x)}(y) = g(x, y) = \mathbb{1}(\varphi_x(x))$
 $\rightsquigarrow \varphi_{f(x)} \text{ is total} \rightsquigarrow f(x) \in T \quad \forall y$

② if $x \notin K$ then $f(x) \notin T$

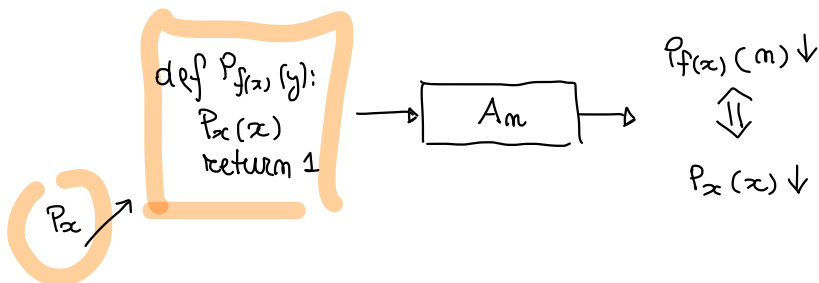
Let $x \notin K \rightsquigarrow \varphi_x(x) \uparrow \rightsquigarrow \varphi_{f(x)}(y) = g(x, y) = \mathbb{1}(\varphi_x(x)) \uparrow$
 $\rightsquigarrow \varphi_{f(x)} \text{ is not total} \rightsquigarrow f(x) \notin T$

$\Rightarrow K \leq_m T$
 \uparrow
 not recursive $\} \Rightarrow T \text{ not recursive}$

Example: (input problem)

$A_m = \{x \mid \varphi_x(m) \downarrow\} \quad m \in \mathbb{N}$

$K \leq_m A_m$



define

$$g : \mathbb{N}^2 \rightarrow \mathbb{N}$$

$$g(x, y) = \mathbb{I}(\varphi_x(x)) = \mathbb{I}(\varphi_y(x, x))$$

computable

by smm theorem there exists $S : \mathbb{N} \rightarrow \mathbb{N}$

$$\forall x, y \quad \varphi_{S(x)}(y) = g(x, y)$$

S is the reduction function of $K \leq_m A_m$

* if $x \in K$ then $S(x) \in A_m$

$$\text{let } x \in K \Rightarrow \varphi_x(x) \downarrow \Rightarrow \forall y \quad \varphi_{S(x)}(y) = g(x, y) = \mathbb{I}(\varphi_x(x)) = 1$$

$$\Rightarrow \text{in particular } \varphi_{S(x)}(x) \downarrow \Rightarrow S(x) \in A_m$$

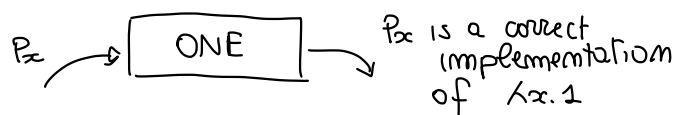
* if $x \notin K$ then $S(x) \notin A_m$

$$\text{let } x \notin K \text{ then } \varphi_x(x) \uparrow \Rightarrow \forall y \quad \varphi_{S(x)}(y) = \mathbb{I}(\varphi_x(x)) \uparrow$$

$$\Rightarrow \varphi_{S(x)}(x) \uparrow \Rightarrow S(x) \notin A_m$$

$$\begin{array}{l} \Downarrow \\ K \leq_m A_m \\ \uparrow \\ \text{not recursive} \end{array} \Bigg\} \Rightarrow A_m \text{ is not recursive}$$

Example : $\text{ONE} = \{x \mid \varphi_x = \mathbb{I}\}$



$$K \leq_m \text{ONE}$$

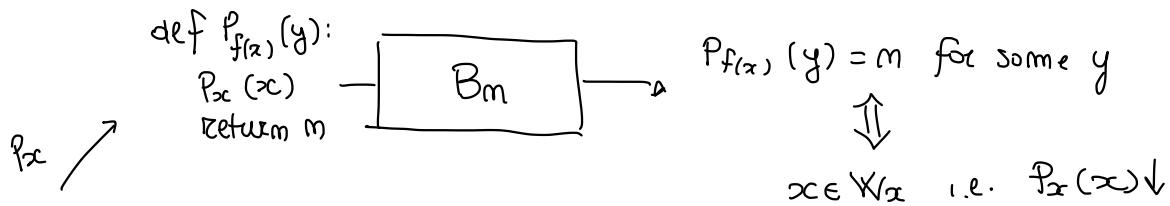
same reduction function as before

\hookrightarrow ONE not recursive

Example (OUTPUT PROBLEM) : $m \in \mathbb{N}$

$$B_m = \{ x \in \mathbb{N} \mid m \in E_x \} \quad \left(\begin{array}{l} \text{programs which} \\ \text{output } m \text{ for} \\ \text{some input} \end{array} \right)$$

We show $K \leq_m B_m$



We define

$$g(x, y) = \mathbb{1}(\varphi_x(x)) \cdot m = \begin{cases} m & \text{if } \varphi_x(x) \downarrow \\ 1 & \text{otherwise} \end{cases}$$
$$= \mathbb{1}(\psi_{12}(x, x)) \cdot m$$

computable

\hookrightarrow by smm theorem we get $s: \mathbb{N} \rightarrow \mathbb{N}$ total computable
such that $\forall x, y \quad \varphi_{s(x)}(y) = g(x, y) = \begin{cases} m & \varphi_x(x) \downarrow \\ 1 & \text{otherwise} \end{cases}$

s reduces $K \leq_m B_m$

$\Rightarrow B_m$ not recursive

* if $x \in K$ then $\varphi_x(x) \downarrow$ hence $\varphi_{s(x)}(y) = m \quad \forall y \in \mathbb{N}$
 $\Rightarrow m \in E_{s(x)} \Rightarrow s(x) \in B_m$

* if $x \notin K$ then $\varphi_x(x) \uparrow$ hence $\varphi_{s(x)}(y) \uparrow \quad \forall y \in \mathbb{N}$
 $\Rightarrow m \notin E_{s(x)} = \emptyset \Rightarrow s(x) \notin B_m$