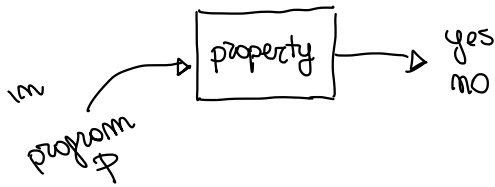


Computability (29/11/2021)

Rice's theorem



every program property
which concerns the behaviour (I/O)
of programs
is not decidable

"P is stopping on every input"

"program P on input 1 provides 2 as output"

"program P computes the function f"

⋮

undecidable

"the length of P is ≤ 10 "

decidable

What is a behavioural property of a program P ?

$$A \subseteq \mathbb{N}$$

↑ set of programs

$$A_1 = \{m \mid P_m \text{ always stops}\}$$

$$A_2 = \{m \mid \text{the function computed by } P_m \text{ is } 1\}$$

$A \subseteq \mathbb{N}$ (program property) is behavioural property if for every program $m \in \mathbb{N}$ the fact that $m \in A$ or not only depends on P_m

Def (saturated/extensional set) : $A \subseteq \mathbb{N}$ is saturated (extensional)

if $\forall m, m' \in \mathbb{N}$ if $m \in A$ and $\varphi_m = \varphi_{m'}$ then $m' \in A$.

\Leftrightarrow

A saturated if $A = \{ m \mid \varphi_m \text{ satisfies } \dots \}$

\Leftrightarrow

A saturated if $A = \{ m \mid \varphi_m \in \mathcal{A} \}$

where $\mathcal{A} \subseteq \mathcal{C}$

Examples :

* $T = \{ m \mid \varphi_m \text{ always terminates (on every input)} \}$ SATURATED
 $= \{ m \mid \varphi_m \text{ is total} \}$
 $= \{ m \mid \varphi_m \in \mathcal{T} \}$ where $\mathcal{T} = \{ f \mid f \text{ is total} \}$

* $ONE = \{ m \mid \varphi_m \text{ computes } 1 \}$
 $= \{ m \mid \varphi_m = 1 \}$
 $= \{ m \mid \varphi_m \in \{ 1 \} \}$ SATURATED

* $LEN_{10} = \{ m \mid \varphi_m \text{ length of } \varphi_m \leq 10 \}$ NOT SATURATED

$m \in LEN_{10}$

and $\varphi_m = \varphi_{m'}$

$m' \notin LEN_{10}$

$m = \gamma (z(1))$

$\varphi_m = \lambda x. 0$

$m \in LEN_{10}$

$m = \gamma \left(\begin{pmatrix} z(1) \\ \vdots \\ z(1) \end{pmatrix} \right) \begin{matrix} \uparrow \\ > 10 \\ \downarrow \end{matrix}$

$\varphi_m = \lambda x. 0$

$m \notin LEN_{10}$

$$* \quad K = \{x \mid \varphi_x(x) \downarrow\}$$

$$= \{x \mid \varphi_x \in \mathcal{R}\}$$

$$\mathcal{R} = \{f \mid f(?) \downarrow\}$$

apparently K is not saturated

formally I should find $m, n \in \mathbb{N}$

$$m \in K \quad \varphi_m(m) \downarrow$$

$$\text{and } \varphi_m = \varphi_n$$

$$n \notin K \quad \varphi_n(n) \uparrow$$

if we could find a program m such that

$$\varphi_m(x) = \begin{cases} 1 & x = m \\ \uparrow & \text{otherwise} \end{cases}$$

we can conclude

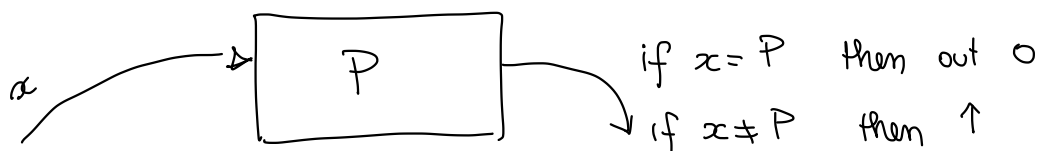
$$\textcircled{1} \quad m \in K \quad [\varphi_m(m) = 1 \downarrow]$$

$\textcircled{2}$ there are infinitely many programs computing φ_m

$$\Rightarrow \exists m \neq n \text{ s.t. } \varphi_m = \varphi_n$$

$$\textcircled{3} \quad m \notin K \quad \varphi_m(m) = \varphi_n(m) \uparrow$$

\Downarrow
 K
not
saturated



def $P(x)$;
if $x = \text{"def } P(x) : \dots"$

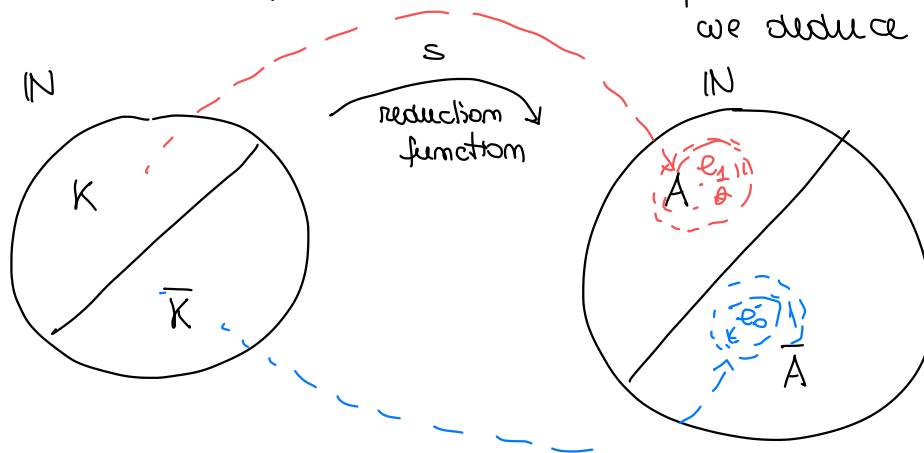
???

Rice's theorem

Let $A \subseteq \mathbb{N}$ if A is saturated $A \neq \emptyset, A \neq \mathbb{N}$
 then A not recursive

proof

we show that $K \leq_m A$ (hence, since K is not recursive we deduce A not recursive)



Let $e_0 \in \mathbb{N}$ be an index for the function always undefined
 $\varphi_{e_0}(x) \uparrow \quad \forall x$

* We assume that $e_0 \notin A$

take $e_1 \in A$ (we can because $A \neq \emptyset$)

$$\begin{aligned}
 \text{define } g(x, y) &= \begin{cases} \varphi_{e_1}(y) & \text{if } x \in K \\ \varphi_{e_0}(y) & \text{if } x \notin K \end{cases} \\
 &= \begin{cases} \varphi_{e_1}(y) & \text{if } x \in K \\ \uparrow & \text{if } x \notin K \end{cases} \quad \varphi_x(x) \downarrow \\
 &= \varphi_{e_1}(y) \cdot \underbrace{\mathbb{I}(\varphi_x(x))}_{\substack{1 \text{ if } \varphi_x(x) \downarrow \\ \uparrow \text{ otherwise}}} \\
 &\downarrow \\
 &= \varphi_{e_1}(y) \cdot \mathbb{I}(\psi_{\sigma}(x, x)) \\
 &\text{computable}
 \end{aligned}$$

By smm theorem there is $s: \mathbb{N} \rightarrow \mathbb{N}$ total computable such that

$$\varphi_{s(x)}(y) = g(x, y) = \begin{cases} \varphi_{e_1}(y) & \text{if } x \in K \\ \varphi_{e_0}(y) & \text{if } x \notin K \end{cases}$$

Now observe that s is a reduction function for $K \leq_m A$

* $x \in K$ \rightsquigarrow $s(x) \in A$

if $x \in K$ then $\varphi_{s(x)}(y) = \varphi_{e_1}(y) \quad \forall y \Rightarrow$

hence $\varphi_{s(x)} = \varphi_{e_1}$ since $e_1 \in A$ and A saturated hence $s(x) \in A$

* $x \notin K$ \rightsquigarrow $s(x) \notin A$

if $x \notin K$ then $\varphi_{s(x)}(y) = \varphi_{e_0}(y) \quad \forall y$

hence $\varphi_{s(x)} = \varphi_{e_0}$ $e_0 \notin A$ A saturated $\Rightarrow s(x) \notin A$

Hence $K \leq_m A$, K not recursive, thus A not recursive

* If instead $e_0 \in A$

$e_0 \notin \bar{A}$,

$\bar{A} \neq \emptyset$ (since $A \neq \mathbb{N}$)

$\bar{A} \neq \mathbb{N}$ (since $A \neq \emptyset$)

\bar{A} saturated (since A is so)

$\left. \begin{array}{l} \bar{A} \text{ is not recursive} \\ \Downarrow \\ A \text{ is not recursive} \end{array} \right\}$

□

* $B_m = \{ x \in \mathbb{N} \mid m \in E_x \}$ is not recursive

we showed that $K \leq_m B_m$

We can conclude the same by observing

① B_m is saturated

$$B_m = \{ x \mid \varphi_x \in B_m \} \quad B_m \subseteq \mathcal{C}$$

$$B_m = \{ f \mid m \in \text{cod}(f) \}$$

② $B_m \neq \emptyset$

e.g. if e_1 is such that $\varphi_{e_1} = \lambda x. x$ then $e_1 \in B_m$
(since $m \in E_{e_1} = \mathbb{N}$)

③ $B_m \neq \mathbb{N}$

e.g. if e_2 such that $\varphi_{e_2} = \lambda x. m$ $m \neq n$ then $e_2 \in B_m$
since $m \notin E_{e_2} = \{m\}$

\Rightarrow By Rice's Theorem B_m is not recursive.

Example

$$I = \{ m \in \mathbb{N} \mid E_m \text{ is infinite} \}$$

saturated? yes

$$= \{ m \in \mathbb{N} \mid \varphi_m \in \mathcal{Y} \}$$

$$\mathcal{Y} = \{ f \mid \text{cod}(f) \text{ is infinite} \}$$

$I \neq \emptyset$ e_1 (defined above) $e_1 \in I$ (since $E_{e_1} = \mathbb{N}$)

$I \neq \mathbb{N}$ e_2 (" ") $e_2 \notin I$ (since $E_{e_2} = \{m\}$)

Hence I is not recursive (by Rice's Theorem)

Example : $A = \{ x \mid \underline{x} \in \underline{W_x} \cap \underline{E_x} \}$

* saturated ? probably not

$$A = \{ x \mid \varphi_x \in A \}$$

$$A = \{ f \mid ? \in \text{dom}(f) \cap \text{cod}(f) \}$$

* we show $K \leq_m A$

reduction function $s: \mathbb{N} \rightarrow \mathbb{N}$ total computable such that

$$x \in K \quad \text{iff} \quad s(x) \in A$$

$$g(x, y) = \begin{cases} y & \text{if } x \in K \\ \uparrow & \text{if } x \notin K \end{cases}$$

$$= y \cdot \underbrace{\mathbb{1}(\varphi_x(x))}_{\substack{\uparrow \text{ if } x \notin K \\ 1 \text{ if } x \in K}} = y \cdot \mathbb{1}(\Psi_{\bar{0}}(x, x))$$

computable

By smm theorem $\exists s: \mathbb{N} \rightarrow \mathbb{N}$ total computable such that

$$g(x, y) = \varphi_{s(x)}(y)$$

s is the reduction function for $K \leq_m A$ ($\Rightarrow A$ not recursive)

$$* x \in K \Rightarrow \varphi_{s(x)}(y) = g(x, y) = y \quad \forall y \Rightarrow \begin{matrix} W_{s(x)} = \mathbb{N} \\ E_{s(x)} = \mathbb{N} \end{matrix}$$

$$\Rightarrow s(x) \in W_{s(x)} \cap E_{s(x)} = \mathbb{N} \Rightarrow s(x) \in A$$

$$* x \notin K \Rightarrow \varphi_{s(x)}(y) = g(x, y) = \uparrow \quad \forall y \Rightarrow W_{s(x)} = E_{s(x)} = \emptyset$$

$$\Rightarrow s(x) \notin W_{s(x)} \cap E_{s(x)} = \emptyset \Rightarrow s(x) \notin A$$