

# Real Number Definitions, Equivalence Classes, and Embeddings (No Countable Choice)

This document lists the first twenty-three constructive definitions of real numbers, partitions them into equivalence classes, and records the canonical embeddings. Note that while many equivalences hold in standard constructive mathematics (assuming Countable Choice,  $\text{AC}_\omega$ ), in plain Cubical Agda without  $\text{AC}_\omega$ , the hierarchy is more fractured (e.g., Cauchy reals are not provably Dedekind reals). General surveys and formalization resources include [95, 109, 5].

## 1. Definitions (1–33)

1.  $\mathbb{R}_D$ : Dedekind reals (located cuts of  $\mathbb{Q}$ ) [34, 21, 22, 28, 65, 108, 122, 75, 101, 84, 50, 35].
2.  $\mathbb{R}_C$ : Cauchy reals (modulated Cauchy sequences of rationals, quotiented) [21, 22, 63, 76, 73, 71, 79].
3.  $\mathbb{R}_E$ : Eudoxus reals (almost-homomorphisms  $\mathbb{Z} \rightarrow \mathbb{Z}$ ) [9, 98, 102, 93, 45, 56, 74].
4.  $\mathbb{R}_{FC}$  /  $\mathbb{R}_I$ : fast Cauchy reals / interval reals (Cauchy sequences with explicit moduli or nested rational intervals) [31, 3, 114, 119].
5.  $\mathbb{R}_{CF}$ : continued fraction reals (streams of partial quotients) [30, 118, 112].
6.  $\mathbb{R}_b$ : coinductive base- $b$  reals (digit streams, e.g., binary/decimal) [115].
7.  $\mathbb{R}_{SD}$ : signed-digit reals (streams over  $\{-1, 0, 1\}$ ) [8, 42, 115, 17, 77, 54, 59, 24, 66, 27, 99, 20, 19, 41, 120].
8.  $\mathbb{R}_{ID}$ : interval domain reals (maximal elements of the interval domain) [113, 87, 53, 13, 15, 38, 37, 36, 106, 39, 14].
9.  $\mathbb{R}_L$ : lower reals (rounded lower sets of  $\mathbb{Q}$ ) [83, 23, 33].
10.  $\mathbb{R}_U$ : upper reals (rounded upper sets of  $\mathbb{Q}$ ) [83, 23, 33].
11.  $\mathbb{R}_M$ : MacNeille reals (double-negation closed cuts) [68, 82, 46, 100].
12.  $\mathbb{R}_H$ : HIT/HoTT-book reals (higher inductive type with universal property) [107, 81, 26, 25, 12, 92].
13.  $\mathbb{R}_{ES}$ : Escardó–Simpson reals (least Cauchy-complete subobject of  $\mathbb{R}_D$  containing  $\mathbb{Q}$ ) [40, 25].
14.  $\mathbb{R}_{formal}$ : formal/locale reals (points of the locale of reals) [67, 51, 52, 91, 49, 84].
15.  $\mathbb{R}_{init}$ : initial sequentially modulated Cauchy-complete Archimedean ordered field [40, 25, 78].
16.  $\mathbb{R}_{term}$ : terminal Archimedean ordered field [40, 67, 78]. Items 34 and 35 are distinct definitions with different construction proofs, but they result in the same object if the category is well-behaved.

17.  $\mathbb{R}_{\text{DedComp}}$ : Dedekind-complete ordered field (axiomatic characterization) [67, 52, 11].
18.  $\mathbb{R}_{\text{CauComp}}$ : Cauchy-complete ordered field (axiomatic characterization of the Cauchy completion) [22, 109].
19.  $\mathbb{R}_{\text{Tarski}}$ : Archimedean Tarski group reals (characterization via Tarski's axioms) [104, 35].
20.  $[0, 1]_{\text{coalg}}$ : unit interval as a terminal coalgebra [40, 4, 80, 89].
21.  $\mathbb{R}_{\text{coalg}}^+$ : positive reals as a terminal coalgebra [40, 4, 80].
22. Sheaf-theoretic reals: the internal real numbers object in a topos [67, 52, 101, 49, 84].
23. Real numbers object (RNO) in a topos [67, 52, 101, 49, 84]. Items 41 and 42 are essentially the same mathematical object described from two different points of view (internal language vs. category theory).
24.  $\mathbb{R}_{\text{SDG}}$ : Smooth Reals (synthetic differential geometry). In Synthetic Differential Geometry, the reals are defined to include “nilpotent” infinitesimals (elements  $d$  where  $d^2 = 0$  but  $d \neq 0$ ). These are distinct from standard Dedekind/Cauchy reals because they violate the field axiom  $x \neq 0 \implies x$  is invertible (nilpotents are not invertible). They are a distinct mathematical object internal to a smooth topos, not isomorphic to the usual Cauchy/Dedekind reals [58, 60, 72, 85].
25.  ${}^*\mathbb{R}$ : Hyperreals (non-standard analysis). These include infinite and infinitesimal numbers. While usually constructed classically (using ultrafilters), there are constructive approaches (e.g., Palmgren’s constructive non-standard analysis) that result in a structure distinct from  $\mathbb{R}_D$  or  $\mathbb{R}_C$ . They are strict extensions of the ordinary reals [96, 55, 86].
26. Predicative Reals: In systems stricter than Agda (like those prohibiting impredicativity), Dedekind cuts must be restricted (e.g., to “generalized” or “weak” cuts) to avoid circular definitions. The document hints at this with lower/upper reals (items 9, 10), but specific predicative formalizations often stand alone [44, 29, 88].
27. **No**: Surreal Numbers (Conway’s construction). While the Surreals contain the Reals, the “Real subset” of the Surreals is a valid constructive definition of the reals. Inside **No** there is a canonical embedded copy of  $\mathbb{R}$ ; this embedding can be used as yet another definition of the real line [32, 48, 69, 57, 121].
28. Geometric Reals: Defined synthetically in Euclidean Geometry (e.g., Tarski’s axioms for geometry, or Hilbert’s axioms). Defined as “points on a line” rather than arithmetically. Constructively, relating “points on a line” to “Dedekind cuts” is a non-trivial project (requires the Cantor-Dedekind axiom) [104, 105, 16, 90, 116].
29. Computable Reals (Turing): Specifically defined as “Turing machines that output digits”. This is distinct from  $\mathbb{R}_C$  because  $\mathbb{R}_C$  allows *any* function, whereas Computable Reals restrict the functions to computable ones. In strongly normalizing type theories, every *definable* function is computable (meta-theoretically), so formalising computable reals inside such a system is natural. But this does not by itself make  $\mathbb{R}_C$  “the same” as the usual Cauchy reals object; you still have to choose a semantic setting (e.g. an effective topos) where every function in the space is interpreted computably [110, 1, 114, 47].
30. Decimal / Base-10 Cauchy Reals: Reals as equivalence classes of decimal expansions; classically standard, but constructively they are just another representation type akin to digit-based reals [103, 10, 117].

31. Apartness / Located Reals (Bishop Style): Reals as located, rounded lower cuts (or Cauchy sequences with an apartness relation). Bishop’s “Constructive Analysis” uses a specific flavor of Cauchy reals (regular sequences with a fixed modulus of convergence, usually  $1/n$ ). While often isomorphic to standard Cauchy reals, in a strict intensional type theory, the specific choice of modulus makes the type definition distinct [21, 22, 29, 94].
32. Filter-based Completions: Reals as equivalence classes of Cauchy filters (or regular Cauchy filters) on  $\mathbb{Q}$ ; conceptually close to Dedekind/Cauchy but more topological [43].
33. Locale-of-Reals Variants: Several flavours exist internally: lower reals, upper reals, rounded reals, etc. Some topos texts distinguish a few different “real objects” as default [111, 46, 51, 84].

## 2. Equivalence Classes (Provable without Countable Choice)

Each class below consists of definitions that are often equivalent in constructive mathematics with  $\text{AC}_\omega$ . In plain Cubical Agda, equivalences between classes (e.g., A and B) may fail.

### A. Dedekind-Type Completions

$\mathbb{R}_D$ ,  $\mathbb{R}_{\text{formal}}$ , Sheaf-theoretic reals, and the real numbers object in a topos all present the Dedekind completion of  $\mathbb{Q}$  via localized/topos-theoretic perspectives [67, 51, 52, 84, 49].

### B. Cauchy/HIT-Type Completions

$\mathbb{R}_C$ ,  $\mathbb{R}_{FC}$ ,  $\mathbb{R}_I$ ,  $\mathbb{R}_H$ ,  $\mathbb{R}_{\text{init}}$ ,  $\mathbb{R}_{ES}$ , and (axiomatically)  $\mathbb{R}_{\text{CauComp}}$  represent the Cauchy completion, differing only in presentation (explicit modulus, higher inductive, universal property, or internal closure of  $\mathbb{Q}$  within  $\mathbb{R}_D$ ) [107, 26, 25, 76, 99].

### C. Representation (Digit/Continued Fraction) Pre-Reals

$\mathbb{R}_{CF}$ ,  $\mathbb{R}_b$ ,  $\mathbb{R}_{SD}$ , Decimal/Base-10 reals give concrete digit- or fraction-based streams. These are not literally “the reals” until quotiented; they are “presentations of  $\mathbb{R}$ ”. Raw types are not fields because of non-unique encodings, but their quotients by the appropriate equivalence relation coincide with Class B [114, 115, 70, 18, 17, 77, 54, 59, 24, 66, 27, 99, 20, 19].

### D. Coalgebraic Subspaces

$[0, 1]_{\text{coalg}}$  and  $\mathbb{R}_{\text{coalg}}^+$  describe the unit interval and positive reals as terminal coalgebras. Constructively they model subspaces of  $\mathbb{R}_D$  but do not deliver the entire field without additional principles [40, 4, 80, 97, 41].

### E. Generalized Cuts

$\mathbb{R}_L$ ,  $\mathbb{R}_U$ , and  $\mathbb{R}_M$  relax locatedness/density requirements. They contain  $\mathbb{R}$  as a canonical subobject (or as maximal elements) but are bigger structures and not isomorphic to  $\mathbb{R}$  as an ordered field [111, 68, 23, 46, 100].

### F. Domain-Theoretic

$\mathbb{R}_D$  sits in domain/locale theory. Its equivalence to Dedekind reals is not provable in plain Cubical Agda, so it remains a separate class. Note that in frameworks like Abstract Stone Duality or general Topos Theory, these often collapse into Class A (Dedekind-type) via duality

results, but internally to Agda without extra axioms, the distinction is maintained [2, 38, 113, 87, 13, 36, 106].

## G. Axiomatic/Universal Characterizations

$\mathbb{R}_{\text{term}}$ ,  $\mathbb{R}_{\text{DedComp}}$ , and  $\mathbb{R}_{\text{Tarski}}$  capture Dedekind-like structures via universal properties or axioms. These are abstract characterizations; one still needs to show they are realized by some concrete construction. They coincide with the usual reals only once classical principles (e.g., choice) are assumed [67, 52, 11].

## H. Isolated/Unresolved

$\mathbb{R}_{\text{E}}$  (Eudoxus reals) currently lacks a constructive proof of equivalence with either Dedekind or Cauchy completions. We therefore mark it as isolated [9, 98, 93, 45, 56].

## 2b. Diagrams

### Legend

- Solid arrows: provable embeddings in plain Cubical Agda (no countable choice, no LEM).
- Dashed arrows: relationships that typically require countable choice or classical principles.
- “quotient” labels: representations coincide with Cauchy reals after quotienting the appropriate equivalence.

### Diagram: Plain Cubical Agda (no CC/LEM)

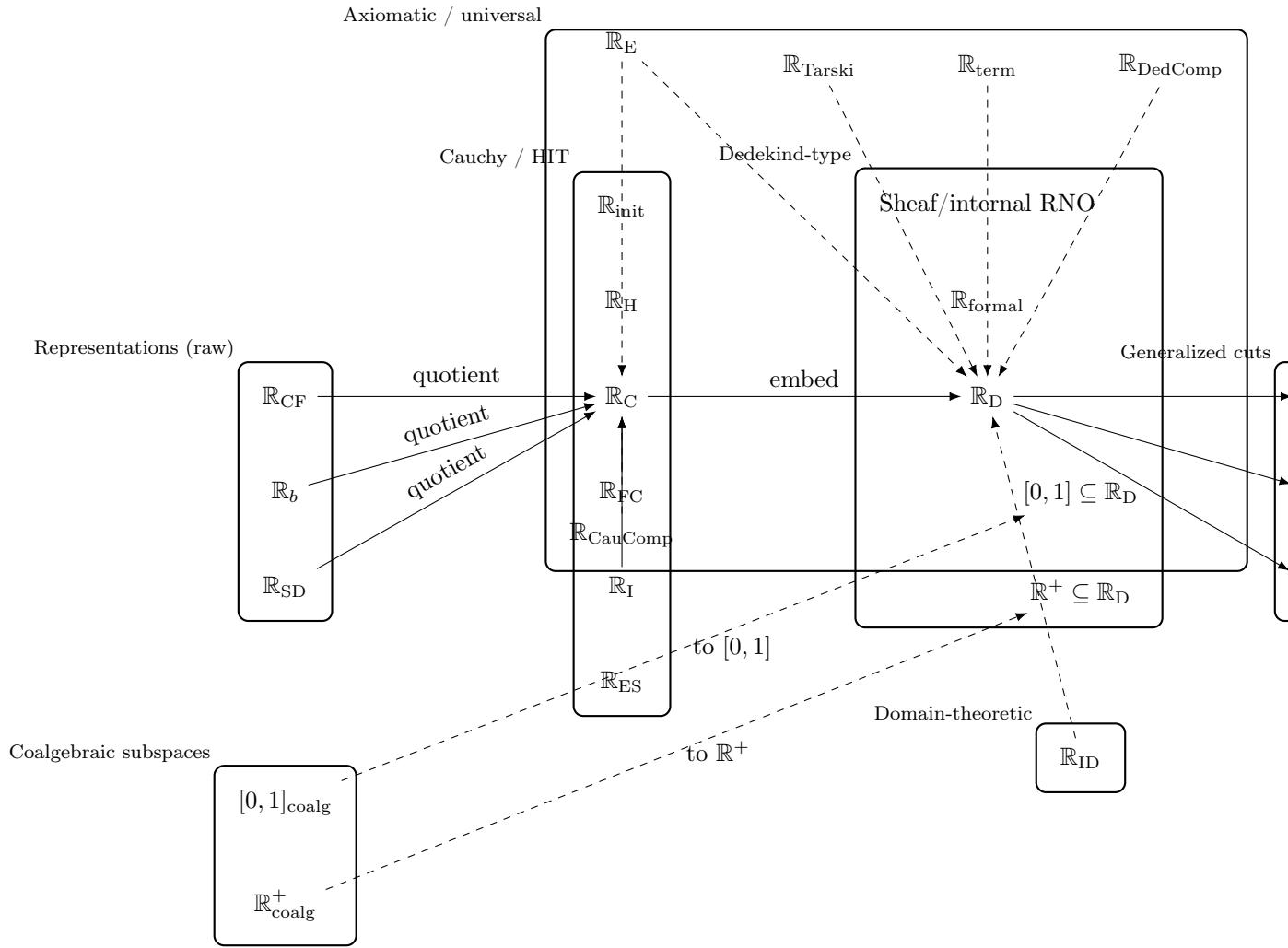
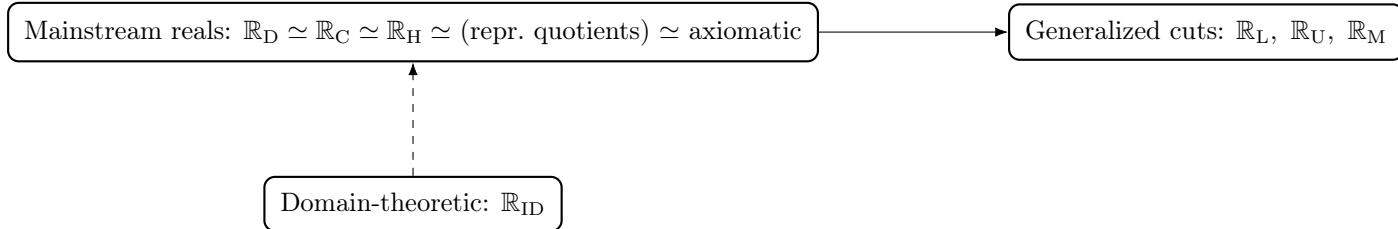


Diagram: With Countable Choice (illustrative collapse)



### ASCII Fallback

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Representations (raw) --quotient--> RC --embed--> RD --embed--> RL, RU, RM
|                                \
|                                \-- (subspaces) [0,1], R+ (via coalgebras; caveats)
+-- R_CF, R_b, R_SD

Cauchy/HIT-type: RC, RFC, RI, [RH, R_init (distinct from RD constructively)], RES

Axiomatic: R_term, R_DedComp, R_Tarski, R_CauComp ... (classically collapse to mainstream)

Domain-theoretic: R_ID ... (related to RD; not provably equivalent)

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Eudoxus:  $\mathbb{R}_E \dots$  (isolated; classically related to Cauchy/Dedekind)

## 2c. Corrections and Cautions

- Embeddings go  $\mathbb{R}_D \rightarrow \mathbb{R}_L, \mathbb{R}_U$  by taking lower/upper cuts; the reverse is not constructive.
- Place  $\mathbb{R}_{ES}$  with Cauchy-type (the Cauchy closure inside  $\mathbb{R}_D$ ), not with Dedekind-type.
- Keep  $\mathbb{R}_H$  and  $\mathbb{R}_{init}$  together and distinct from  $\mathbb{R}_D$  (they coincide only with extra principles).
- Treat  $\mathbb{R}_{ID}$  as domain-theoretic and distinct in plain Cubical Agda; do not assert equivalence to  $\mathbb{R}_D$  without additional structure.
- Signed-digit/base- $b$ /continued-fraction representations coincide with Cauchy only after quotienting and with suitable moduli.

## 3. Canonical Embeddings (No Countable Choice)

- Cauchy into Dedekind: there is a canonical field embedding  $\mathbb{R}_C \hookrightarrow \mathbb{R}_D$  that sends each Cauchy real to the located cut defined by its values [22, 63, 64, 73].
- Cauchy/HIT equivalences:  $\mathbb{R}_{FC}, \mathbb{R}_I, \mathbb{R}_H, \mathbb{R}_{init}, \mathbb{R}_{ES}$ , and  $\mathbb{R}_{CauComp}$  are inter-definable and embed into  $\mathbb{R}_C$  (hence into  $\mathbb{R}_D$ ) [107, 26, 25, 40].
- Representation quotients: the quotient of  $\mathbb{R}_{CF}, \mathbb{R}_b$ , or  $\mathbb{R}_{SD}$  by the digit-equivalence relation embeds into  $\mathbb{R}_C$ . Without quotienting there is a surjection obstruction due to non-unique encodings (Booij [27] shows locators provide a choice-free signed-digit conversion for Dedekind reals with extra structure) [114, 18, 70, 115, 17, 77, 54, 59, 24, 66, 99, 20, 19].
- Dedekind to generalized cuts: taking lower (resp. upper) shadows gives embeddings  $\mathbb{R}_D \rightarrow \mathbb{R}_L$  and  $\mathbb{R}_D \rightarrow \mathbb{R}_U$ . Composing with double-negation closure embeds into  $\mathbb{R}_M$  [111, 83, 82, 68].
- Coalgebraic subspaces: maps  $[0, 1]_{coalg} \rightarrow [0, 1] \subseteq \mathbb{R}_D$  and  $\mathbb{R}_{coalg}^+ \rightarrow \mathbb{R}^+ \subseteq \mathbb{R}_D$  exist, but surjectivity constructs require additional principles [40, 4, 80].
- Axiomatic to Dedekind/Cauchy: the objects  $\mathbb{R}_{term}, \mathbb{R}_{DedComp}$ , and  $\mathbb{R}_{Tarski}$  admit maps into  $\mathbb{R}_D$  matching their universal properties, yet converses rely on classical axioms and are not derivable constructively [67, 52, 11, 35].
- Domain to Dedekind:  $\mathbb{R}_{ID}$  maps to  $\mathbb{R}_D$  via evaluation at maximal elements, but equivalence is unproven constructively [2, 38, 13, 37].
- Eudoxus: natural maps from  $\mathbb{R}_E$  into either  $\mathbb{R}_C$  or  $\mathbb{R}_D$  require countable choice for surjectivity and therefore remain dashed (non-provable) in this setting [9, 93, 45, 56, 74].

## 4. Higher Coinductive Types (HCITs) for Signed-Digit Reals

Following Altenkirch [7], we explore defining the signed-digit reals as a *Higher Coinductive Type* (HCIT)—the coinductive dual of HITs/QIITs. An HCIT has constructors (operations) and path constructors (equations built into the type), with its universal property being *terminality* rather than initiality.

## 4.1 Signature

An HCIT for the signed-digit interval  $\mathbb{I}$  is specified by:

- **Operations:**  $\text{cons} : \text{Digit} \rightarrow \mathbb{I} \rightarrow \mathbb{I}$ ,  $\text{inc}, \text{dec} : \mathbb{I} \rightarrow \mathbb{I}$
- **Inc/dec equations** (carry/borrow propagation):

$$\begin{aligned}\text{inc}(\text{cons}(-1) x) &\equiv \text{cons} 0 (\text{inc } x) \\ \text{inc}(\text{cons} 0 x) &\equiv \text{cons} (+1) (\text{cons} 0 x) \\ \text{inc}(\text{cons} (+1) x) &\equiv \text{cons} (+1) (\text{inc } x)\end{aligned}$$

(symmetric for  $\text{dec}$ )

- **Generation:**  $\forall y. \exists d x. y \equiv \text{cons } d x$
- **Completeness:**  $\text{cons} 0 x \equiv \text{inc } y \Rightarrow \text{cons} (-1) x \equiv \text{cons} 0 y$
- **Separation:**  $\text{cons} (-1) x \equiv \text{cons} 0 y \Rightarrow \text{cons} 0 x \equiv \text{inc } y$
- **Set truncation:**  $\text{isSet}(\mathbb{I})$

The carry/borrow equations  $\text{cons} (+1) (\text{cons} (-1) x) \equiv \text{cons} 0 (\text{inc } x)$  are derivable from completeness + separation.

**Note:** The “no-confusion” axiom  $\text{cons} (-1) x \equiv \text{cons} (+1) y \rightarrow \perp$  from Altenkirch’s first attempt (slide 10) is *false* in the quotient model:  $[-1 :: 1^\omega]$  and  $[+1 :: (-1)^\omega]$  both represent 0.

## 4.2 Type-theoretic rules

**Formation.** Given an HCIT signature  $\Sigma = (\text{Ops}, \text{Eqs})$ :

$$\frac{\Sigma : \text{HCIT-Sig}}{\text{HCIT}(\Sigma) : \text{Type}}$$

**Introduction.** Operations become point constructors; equations become path constructors:

$$\frac{d : \text{Digit} \quad x : \text{HCIT}(\Sigma)}{\text{cons } d x : \text{HCIT}(\Sigma)} \quad \frac{}{\text{carry} : \text{cons} (+1) (\text{cons} (-1) x) \equiv \text{cons} 0 (\text{inc } x)}$$

**Elimination (terminality).** For any  $\Sigma$ -algebra  $A$ , there is a unique morphism into  $\text{HCIT}(\Sigma)$ :

$$\frac{A : \Sigma\text{-Alg}}{\text{corec}_A : A.\text{Carrier} \rightarrow \text{HCIT}(\Sigma)}$$

**Computation ( $\beta$ ).**  $\text{corec}_A(\text{cons}_A d x) \equiv \text{cons } d (\text{corec}_A x)$

**Uniqueness ( $\eta$ ).**  $f : \Sigma\text{-Hom } A \text{ HCIT}(\Sigma) \Rightarrow f = \text{corec}_A$

## 4.3 Encoding in Cubical Agda

Native HCITs are unavailable in Cubical Agda. The quotient-of-codata encoding  $\mathbb{I}_{\text{sd}} = \mathbb{N}^{\mathbb{N}} / \approx_{\text{sd}}$  recovers the equations but the corecursion principle (terminality) requires lifting through the quotient, which needs  $\text{AC}_\omega$  (countable dependent choice). This matches the obstruction for  $\lim_{\mathbb{I}_{\text{sd}}}$  documented in the codebase.

#### 4.4 Comparison with existing approaches

Approach	Type theory	Equalities	Corecursion	$\text{AC}_\omega$ -free
Quotient of codata	Cubical Agda	via quotient	blocked	No
Native HCIT	Cubical + primitive	native	native	Yes
Coconditions	dTT/Narya	via coconditions	via comatching	Yes
Greatest HITs	Clocked Cubical	guarded rec + HITs	guarded rec	Yes

**References:** Altenkirch [7] (HCIT proposal); Lorenzen–Shulman [62] (coconditions); Kristensen–Møgelberg [61] (Greatest HITs); Ahrens–Capriotti–Spadotti [6] (M-types in HoTT); Shulman et al. (Narya, narya.readthedocs.io) (displayed coinductive types).

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