

HUMAN PERCEPTION OF EXPONENTIALLY INCREASING DATA
DISPLAYED ON A LOG SCALE EVALUATED THROUGH EXPERIMENTAL
GRAPHICS TASKS

by

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A DISSERTATION

Presented to the Faculty of
The Graduate College at the University of Nebraska
In Partial Fulfilment of Requirements
For the Degree of Doctor of Philosophy

Major: Statistics

Under the Supervision of Professors Susan VanderPlas and Reka Howard

Lincoln, Nebraska

August, 2022

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University of Nebraska, 2022

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Log scales are often used to display data over several orders of magnitude within one graph. Three graphical experimental tasks were conducted to evaluate the impact displaying data on the log scale has on human perception of exponentially increasing trends compared to displaying data on the linear scale. The first experiment evaluates whether our ability to perceptually notice differences in exponentially increasing trends is impacted by the choice of scale. Participants were shown a set of plots and asked to identify which plot appeared to differ most from the other plots. Results indicated that when there was a large difference in exponential curves, the choice of scale had no impact and participants accurately differentiated between the two curves on both the linear and log scale. However, displaying exponentially increasing data on a log scale improved the accuracy of differentiating between exponentially increasing curves with slight differences. An exception occurred when identifying an exponential curve from curves closely resembling a linear trend, indicating it is easy to identify a curve in a group of lines but much harder to identify a line in a group of curves. The other experimental tasks focus on determining whether there are cognitive disadvantages to log scales: do log scales make it harder to make use of graphical information? To test an individual's ability to make predictions for exponentially increasing data,

participants were asked to draw a line using their computer mouse through an exponentially increasing trend shown on both the linear and log scale. Results showed that when exponential growth rate is large, underestimation of exponential growth occurs when making predictions on a linear scale and there is an improvement in accuracy of predictions made on the log scale. The last experimental task is designed to test an individuals' ability to translate a graph of exponentially increasing data into real value quantities and make comparisons of estimates. The results of the three experimental tasks provide guidelines for readers to actively choose which of many possible graphics to draw in order to ensure their charts are effective at communicating the intended result.

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Chapter 1

Literature Review

1.1 Introduction to Graphics

Advanced technology and computing power have promoted data visualization as a central tool in modern data science. Unwin (2020) defines data visualization as the art of drawing graphical charts in order to display data. Graphics are useful for data cleaning, exploring data structure, and have been an essential component in communicating information for the last 200 years (Lewandowsky & Spence, 1989). During the 18th and 19th centuries, governments began using graphics in order to better understand their population and economic interests (Harms, 1991; Playfair, 1801; Walker et al., 2013). In Fig. 1.1, Playfair (1801) visually represents the power and economic status of each European Nation in the early 19th century. Circle size and numeric annotation within the circle indicate the number of square miles in each country with the number of people per square mile indicated above the circle. The vertical bar to the left side represents the number of inhabitants (millions), and the line to the right side represents the revenue (million pounds). Color in the original figure, not shown, identifies countries as maritime powers or powerful by land only. The Statistical atlas of the United States (Walker et al., 2013) used charts and graphics to display data compiled from the 1870 US census. Fig. 1.2

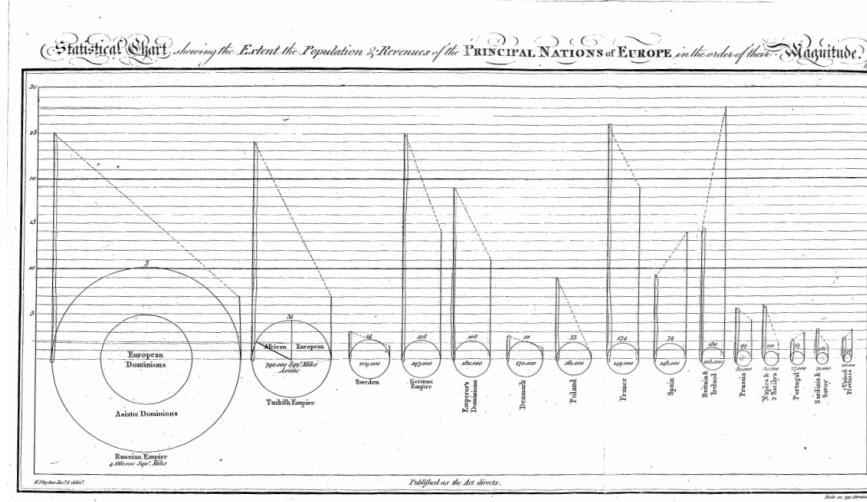


Figure 1.1: William Playfair's balance of trade

displays the population of each state where square size represents the proportion of the states population separated into three regions representing the origin and race of the population. The rectangle shown to the right represents the proportion of residents born in the state who have become residents of other states. In the 20th century, companies began utilizing graphics to understand their mechanics and support business decisions and news sources began displaying graphics of weather forecasts as a means to communicate critical information and aid in decision-making (Chandar, Collier, & Miranti, 2012; Yates, 1985). Chandar et al. (2012) illustrates how AT&T used graphics to demonstrate management's ability to optimize utilization of assets by making comparisons between their annual net telephone revenues and their returns on total assets (Fig. 1.3). Today, we encounter data visualizations everywhere; researchers include graphics to communicate their results in scientific publications and mass media present graphics in order to convey news stories to the public through newspapers, TV, and the Web (Aisch et al., 2016; Gouretski & Koltermann, 2007; Silver, 2020).

Although statistical graphics have become widely used and valued in science,

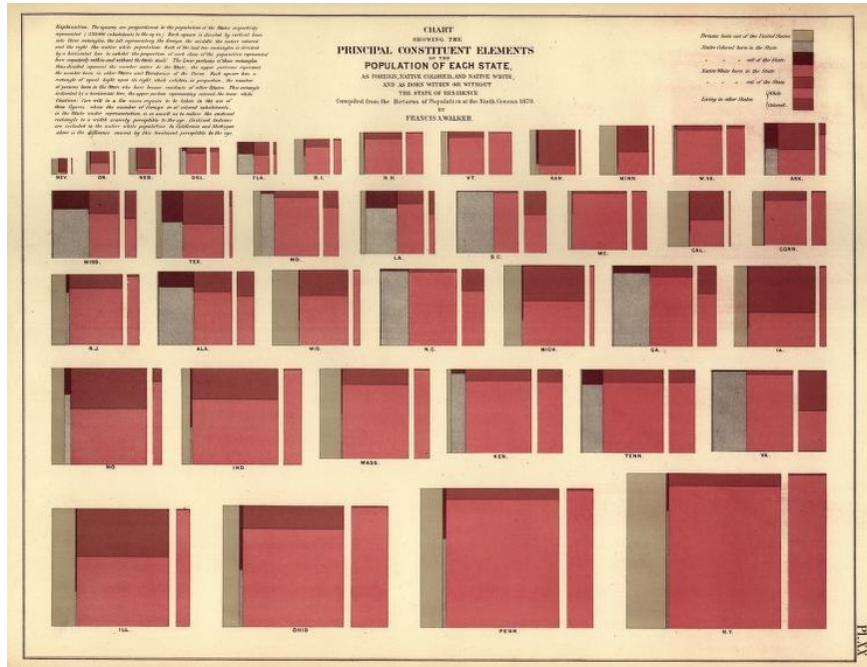


Figure 1.2: Statistical Atlas 1870 state population

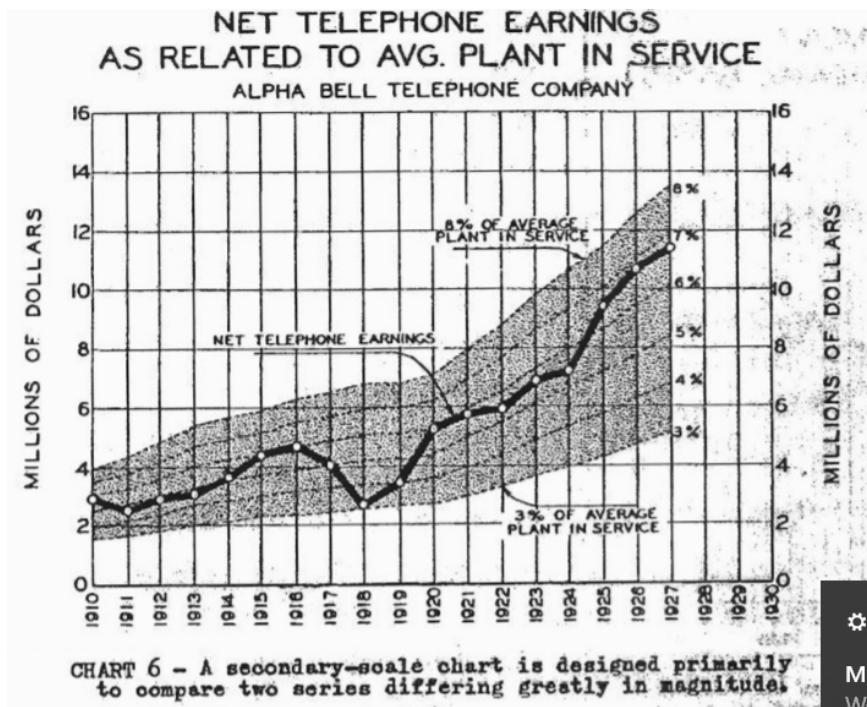


Figure 1.3: AT&T utilization of assets

business, and in many other aspects of life, as creators of graphics, we are too accepting of them as default without asking critical questions about the graphics we create or view (Unwin, 2020). Vanderplas, Cook, & Hofmann (2020) poses the general question we must ask ourselves, “how effective is this graph at communicating useful information?” An effective graphic accurately shows the data through the appropriate chart selection, axes and scales, and aesthetic design choices in order to successfully communicate the intended result.

Higher quality of technology has influenced the creation, replication, and complexity of graphics as there are an infinitely many number of graphical displays and design choices that can be implemented at faster speeds with more flexibility. The creator of a graphic makes decisions about the variables displayed, the type of graphic, the size of the graphic and the aspect ratio, the colors and symbols used, the scales and limits, and the ordering of categorical variables. In response to the increasing number of design choices, consistent themes and higher standards are being placed on graphics. Selecting from an extensive list of styles and choices of graphics in order to effectively communicate insights into the data is a challenging task. A consistent concern is the lack of theory of graphics available to build on; better theory should result in better graphics. Creators of graphics need an established set of concepts and terminology to build their graphics from so they can actively choose which of many possible graphics to draw in order to ensure their charts are effective at communicating the intended result.

Many efforts have been made to provide guidelines for graphical designs including Wilkinson’s Grammar of Graphics (Wilkinson, 2012). The grammar of graphics serves as the fundamental framework for data visualization with the notion that graphics are built from the ground up by specifying exactly how to create a

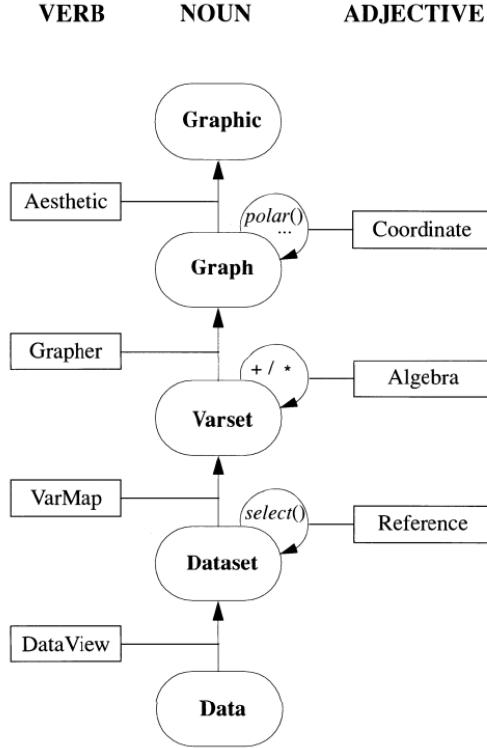


Figure 1.4: Graphic flowchart

particular graph from a given data set. Visual representations are constructed through the use of “tidy data” which is characterized as a data set in which each variable is in its own column, each observation is in its own row, and each value is in its own cell (Hadley Wickham & Grolemund, 2016). Graphics are viewed as a mapping from variables in a data set (or statistics computed from the data) to visual attributes such as the axes, colors, shapes, or facets on the canvas in which the chart is displayed. Fig. 1.4 illustrates the process of creating a graphic from a data set through the use of variable mapping, data transformations, coordinate systems, and aesthetic features (Vanderplas et al., 2020) Software, such as Hadley Wickham’s ggplot2 (Hadley Wickham, 2011), aims to implement the framework of creating charts and graphics as the grammar of graphics recommends.

Despite past attempts to improve the use of graphics in science, graphics

displayed in academic research are still falling short of the standards. Gordon & Finch (2015) evaluated 97 graphs for overall quality, based on five principles of graphical excellence including: (1) show the data clearly (2) use simplicity in design (3) use good alignment on a common scale for quantities to be compared (4) keep the visual encoding transparent (5) use graphical forms consistent with principles 1 and 4. The authors randomly sampled 97 graphs published in A* (top 5%) journals with work in statistics and applied science disciplines. There were 50 graphs sampled from the most recently available issues of A* journals in applied sciences such as environmental sciences, agricultural and veterinary sciences, medical and health sciences, education, economics, and psychology. The additional 47 graphs were randomly sampled from A* statistics journals. Each graph was scored based on 60 features related to the five principles, such as proper axes labels. Both authors assigned an overall quality rating (poor, adequate, good, or exemplary) to each of the graphs sampled; discussion between authors settled any discrepancies in ratings. The authors rated 39% of the 97 graphs sampled as poor, indicating there is still an astonishing lack in the quality of graphics. More startling is the fact that the source of the graphic from an applied science or a graphic from statistics had no effect on the quality of the graphic. In efforts to achieve a higher standard of the graphics being presented, future work must be done to implement the academic research being conducted in graphics into practice.

1.2 Perception and Psychophysics

1.2.1 Perceptual Process

In order to develop guiding principles for generating graphics effective in communication, we must first understand the basic mechanics of the human

perceptual system and the biases we are vulnerable to (Goldstein & Brockmole, 2017). The perceptual process is a sequence of steps used to describe how a stimulus in the environment leads to our perception of the stimulus and action in response to the stimulus (Fig. 1.5). This process is separated into sensation (Carlson, 2010) - involving simple processes that occur right at the beginning of a sensory system - and perception (Myers & DeWall, 2021) - involving higher-order mechanisms and identified with more complex processes.

The perceptual process begins when there is a stimulus in the environment and light is reflected and focused back into the viewer's eyes. Within the eye, the light reflected is transformed and focused by the eye's optical system and an image is formed on the receptors of the viewer's retina. It is important to note that everything a person perceives is based not on direct contact with stimuli but on representations of stimuli that are formed on the receptors and the resulting activity in the person's nervous system. Once light is reflected and focused, our visual receptors respond to the light and transform the light energy into electrical energy through a process called transduction. Signals from the receptors are then transmitted through the retina, to the brain, and then within the brain where perception (what do you see?) and recognition (what is it called?) occur. After recognition, viewers take some sort of motor action; for example, the viewer might move closer to the object. The perceptual process is not direct and instead takes on more of a cyclic nature where a person may go through many iterations of stimuli, perception, recognition, and action before the final image is identified and understood (M. A. Peterson, 1994).

When perception occurs, we first experience the **preattentive stage** in which we observe color, shape, size, and other basic information about the stimuli being

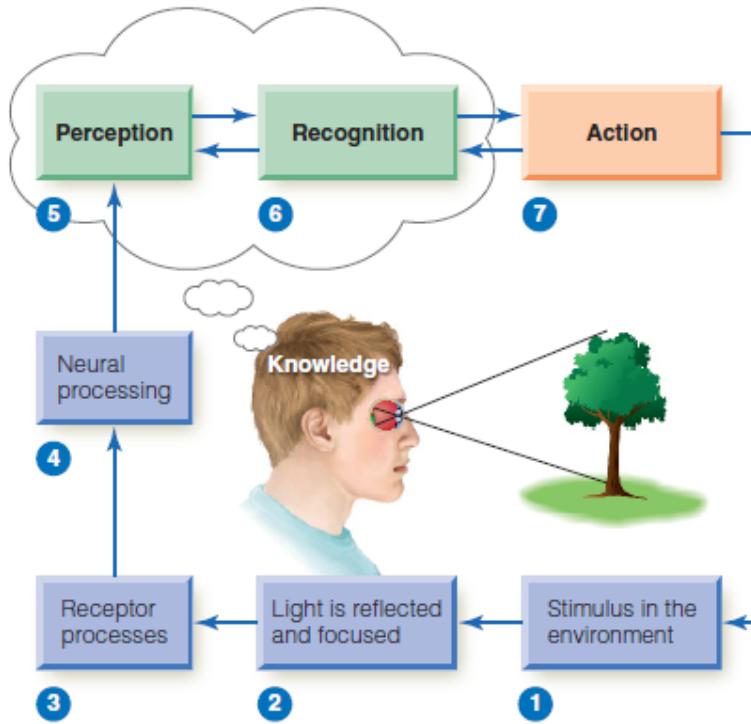


Figure 1.5: Perceptual process

perceived. Preattentive perception effects are automatically processed within the first 500 milliseconds of viewing and do not depend on sustained cognitive attention (Vanderplas et al., 2020). Following the preattentive stage, **direct attention** is required for additional processing to allow us to draw connections between components that assist in our interpretation of the stimuli. When viewing a chart or graph, most insights we gain are due to the cognitive processes that occur after attention is focused on specific aspects of the graph.

The relationship between physiology and perception can provide us information about how graphics may be understood and interpreted. Through experimentation, the physiological response (automatic reaction) is related to the behavioral response (perception, recognition, and action). For example, Furmanski & Engel (2000) tested behavioral responses with functional magnetic resonance imaging (fMRI)

techniques to show that the the human visual system is more sensitive to horizontal and vertical stimuli than to stimuli at other orientations. According to a cognitive analysis, graph interpretation involves (a) relatively simple pattern perception and association processes in which viewers can associate graphic patterns to quantitative referents and (b) more complex and error-prone inferential processes in which viewers must mentally transform data (Shah, Mayer, & Hegarty, 1999). Shah & Carpenter (1995) established the process in which viewers interact with charts by first perceptually observing the visual features and later translating to cognitive processing of the information depicted by those features. A viewer must first encode the visual array by identifying meaningful visual features (for example, a straight light slanting downward). Next, the viewer must classify the quantitative measures and relationships in which those visual features illustrate (for example, a decreasing linear relationship between x and y). The last step involves translating the quantitative measures and relationships to the variables defined in the data set (for example, a population decreasing over years). Psychophysics, the branch of psychology that deals with the relationships between physical stimuli (for example, light) and mental phenomena, aims to provide explanations of the relationship between physiology and perception and point out human perceptual biases. By examining both behavior and physiology together, we are able to understand the mechanisms responsible for perception.

1.2.2 Logarithmic Perception

Ernst Weber, an early psychophysics researcher, discovered a phenomenon known as Weber's law, by determining the relationship between the difference threshold (smallest detectable difference between two sensory stimuli; known as the "Just Noticeable Difference") and the magnitude of a stimulus (Fechner, 1860). This holds

true for a variety of stimuli such as weight, light, and sound as well as for a range of magnitudes; larger numbers require a proportional larger difference in order to remain equally discriminable (Dehaene, Izard, Spelke, & Pica, 2008). Known as **Weber's law**, it was established that we do not notice absolute changes in stimuli, but instead that we notice the relative change (Sun, Wang, Goyal, & Varshney, 2012). Numerically, Weber's Law is defined as

$$\frac{\Delta S}{S} = K \quad (1.1)$$

where ΔS represents the difference threshold, S represents the initial stimulus intensity, and K is called Weber's contrast which remains constant as the magnitude of S changes. Gustav Fechner, a founder of psychophysics, provided further extension to Weber's law by discovering the relationship between the perceived intensity is logarithmic to the stimulus intensity when observed above a minimal threshold of perception (Sun et al., 2012). Formally known as the Weber-Fechner law, it is derived from Weber's law as

$$P = K \ln \frac{S}{S_0} \quad (1.2)$$

where P represents the perceived stimulus, K represents Weber's contrast, S represents the initial stimulus intensity, and S_0 represents the minimal threshold of perception.

1.3 Graphical Experiments

One way in which we determine the relationship between behavior and physiology is through the use of graphical tests (Cleveland & McGill, 1984;

Lewandowsky & Spence, 1989; Spence, 1990; VanderPlas & Hofmann, 2015). These tests may take many forms: identifying differences in graphs, accurately reading information off a chart, using data to make correct real-world decisions, or predicting the next few observations. All of these types of tests require different levels of use and manipulation of the information presented in the chart.

The initial push to develop classification and recommendation systems for charts was grounded on heuristics rather than on experimentation (Kruskal, 1975; Macdonald-Ross, 1977). Requests were made for the validation of the perception and utility of statistical charts through graphical experiments. Initial experiments struggled with methodological issues (Croxton & Stein, 1932; Croxton & Stryker, 1927; Eells, 1926) with most early experimentation stemming from psychophysics research on the perception of size and shape (Teghtsoonian, 1965). In attempts to understand the human perception and judgment of component parts, Eells (1926) instructed students to think of each circle diagram (Fig. 1.6) as representing 100% and write their best estimate of the percentage of the whole in each sector. Participants were told not to hurry, but to work steadily in order to determine efficiency of judgment. Students were then asked to analyze their mental processes used to make their estimates and indicate the method that best matches: by areas of sectors, by central angles, by arcs on the circumference, by subtending chords. This process was repeated three days later by presenting students the same data represented in bar diagrams (Fig. 1.6). Results of the study led the authors to argue for the use of circle diagrams to show component parts based on both participant accuracy and speed. In response, Croxton & Stryker (1927) evaluated the accuracy of judgment of two types of charts (bars and circles) in efforts to reach a consistent conclusion. During class, students were individually presented pairs of diagrams

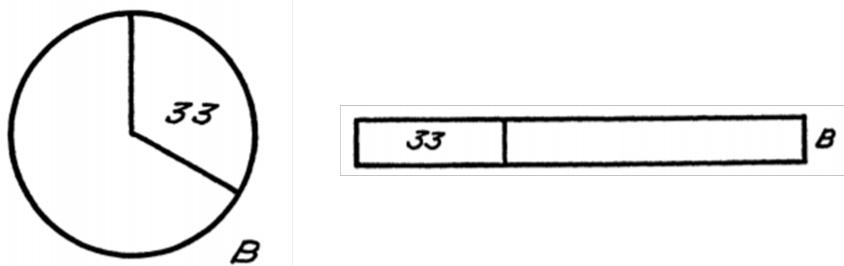


Figure 1.6: Eells (1926) component parts diagrams

(without scales) on cards and asked to estimate the percentages displayed in the diagram. It was found that the bar was preferable to the circle when shown percentages that deviate from quarters, but that the circle is strongly preferred when shown percentages separating the diagrams into 25% or 50%.

While a typical psychophysics experiment focuses on whether an effect is detectable and whether the magnitude of the effect can be accurately estimated, these early experiments instead depended on speed and accuracy for plot evaluation (Lewandowsky & Spence, 1989; Spence, 1990; Teghtsoonian, 1965). In attempts to understand the visual psychophysics of simple graphical elements, Spence (1990), presented stimuli (tables, lines - horizontal and vertical, bars, boxes, cylinders, pie charts, and disk charts) to participants on a monitor screen in a computer lab. Participants were asked to use their cursor to position the marker to indicate the proportion to the apparent sizes of the elements (Fig. 1.7). Results found that the table elements (numbers), pie elements, and bar elements led to the most accurate proportion estimates; boxes and disk elements resulted in the least accurate estimates. Measuring the speed at which participants made their judgments, it was found that two- and three- dimensional stimuli (for example, pie charts and box charts) assisted in faster judgment than zero- or one- dimensional stimuli (for example, tables and lines).

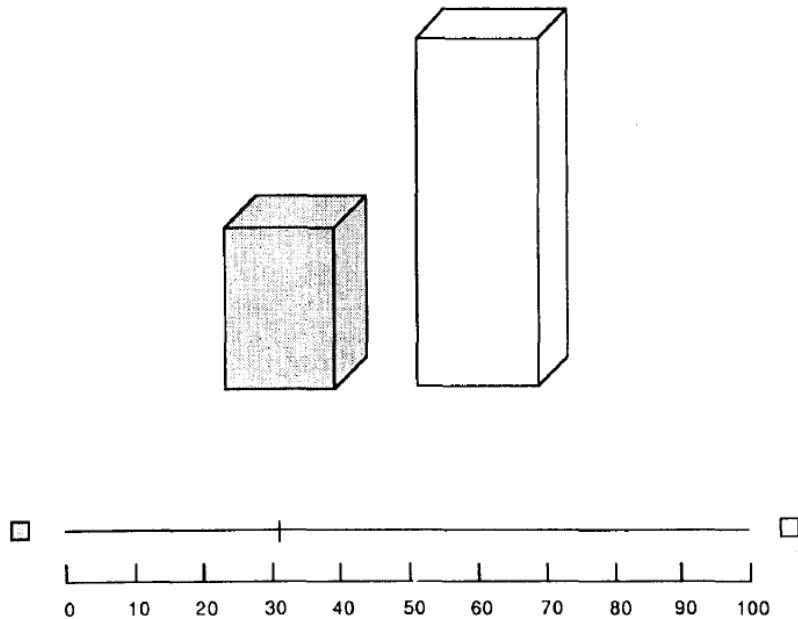


Figure 1.7: Spence (1990) task display

Cognitive psychologists and statisticians made progress by conducting experiments to identify perceptual errors associated with different styles of graphics and charts (Cleveland & McGill, 1984, 1985; Shah et al., 1999). Cleveland & McGill (1984) provides a basis for perceptual judgment, still utilized today, by examining six basic aesthetic design choices: position along a common scale, position along nonaligned scales, length, angle, slope, and area. Shah et al. (1999) established the notion that redesigning graphs can result in the improvement of the viewer's interpretation of the data. For example, the use of gestalt principles (Goldstein & Brockmole, 2017) such as proximity, similarity, and good continuation can help minimize the inferential processes and maximize the pattern association processes required to interpret relevant information.

These later experiments followed similar methodology as early studies by asking participants to read information directly from the charts and provide a quantitative

estimate or answer a predefined question; as with the early studies, accuracy and response time were evaluated (Amer, 2005; Broersma & Molenaar, 1985; Dunn, 1988; L. V. Peterson & Schramm, 1954; Tan, 1994). Spence (1990) presents four example questions for comparing the sizes of individual graphical elements: (1) How much greater was the rainfall in September than May? (2) Is the price of oil in constant dollars increasing or decreasing from year to year? (3) Do more people subscribe to Time than Newsweek? and (4) Did the ABC Corporation pay the largest dividends last year, or did XYZ? Amer (2005) demonstrates that visual illusion may bias decision making and graph comprehension, even if the graphs are constructed according to best practice. Participants were presented a cost volume profit graph (Fig. 1.8) with two crossing lines (revenue and cost) and asked to estimate three values: (1) the amount of total revenues on the ordinate corresponding to the endpoint of the total-revenue line plotted on the graph (2) the amount of total costs on the ordinate corresponding to the endpoint of the total-cost line plotted on the graph and (3) the amount of costs/revenues on the ordinate at the break even point—the point where the two lines cross. Results indicate that decision makers may consistently underestimate or overestimate the values displayed on line graphs due to what is called the “Poggendorff illusion.” In Dunn (1988), participants were shown two maps, an unclassed choropleth map and a framed rectangle chart, indicating the murder rate of each US state (Fig. 1.9). The goal of the study was to assess the relative accuracy with which quantitative information is extracted from both types of charts. Participants were strictly informed that the experiment was designed to test the ability of individuals to “read” or “decode” statistical maps and asked to write down their estimate of the murder rate as accurately as possible beside the 24 named states. Results indicate that subjects found it easier to extract quantitative information from the framed

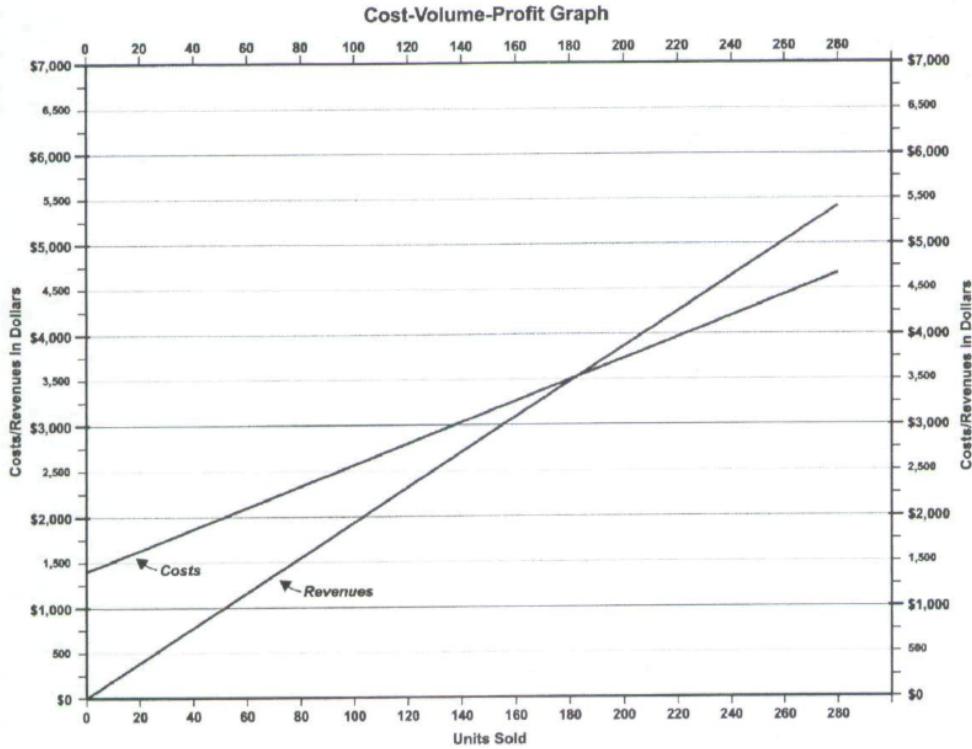
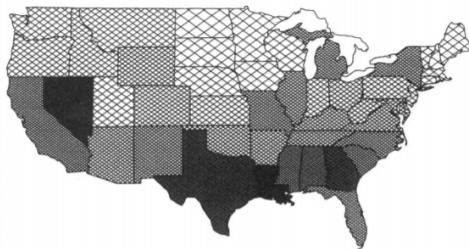


Figure 1.8: Amer (2005) cost volume profit graph

Unclassed Choropleth Map, Murder Rates, 1978



The intensity of the shading is proportional to the murder rate;
five representative shadings:



Framed Rectangle Chart, Murder Rates, 1978



The height of the rectangle is proportional to the murder rate;
five representative examples:



Figure 1.9: Dunn (1988) maps

rectangle chart than from the unclassed choropleth map and that the individual variability in the choropleth map was related to the area of the state.

During the 21st century, there have been advancements in the methodology used to investigate the effectiveness of statistical charts (Majumder, Hofmann, & Cook,

2013). Buja et al. (2009a) introduced the lineup protocol in which data plots are depicted and interpreted as statistics. Supported by the grammar of graphics, a data plot can be characterized as a statistic, defined as, “a functional mapping of a variable or set of variables” (Vanderplas et al., 2020). This allows the data plot to be tested similar to other statistics, by comparing the actual data plot to a set of plots with the absence of any data structure we can test the likelihood of any perceived structure being significant. The construction of data plots as statistics allow for easy experimentation, granting researchers the ability to compare the effectiveness of and understand the perception of different types of charts. While the lineup protocol differs from methodology used in earlier studies, the focus is still on initial perception and graph comprehension with a relatively small amount of work conducted to understand the effect of design choices on higher cognitive processes such as learning or analysis (Green & Fisher, 2009). Lineups serve as a powerful tool for testing *perceived* differences by eliminating ambiguous questions. However, the lineup protocol is constrained by the inability to test higher order cognitive skills such as accurately reading information off of a graph or drawing conclusions from the graph, limiting their ability to be used for testing real-world applications.

1.4 Logarithmic Scales and Mapping

We have recently experienced the impact graphics and charts have on a large scale through the SARSNCOV-2 pandemic (COVID-19). At the beginning of 2020, we saw an influx of dashboards being developed to display case counts, transmission rates, and outbreak regions (Rost, 2020); mass media routinely showed charts to share information with the public about the progression of the pandemic (Romano, Sotis, Dominion, & Guidi, 2020). Fagen-Ulmschneider (2020) began the 91-DIVOC

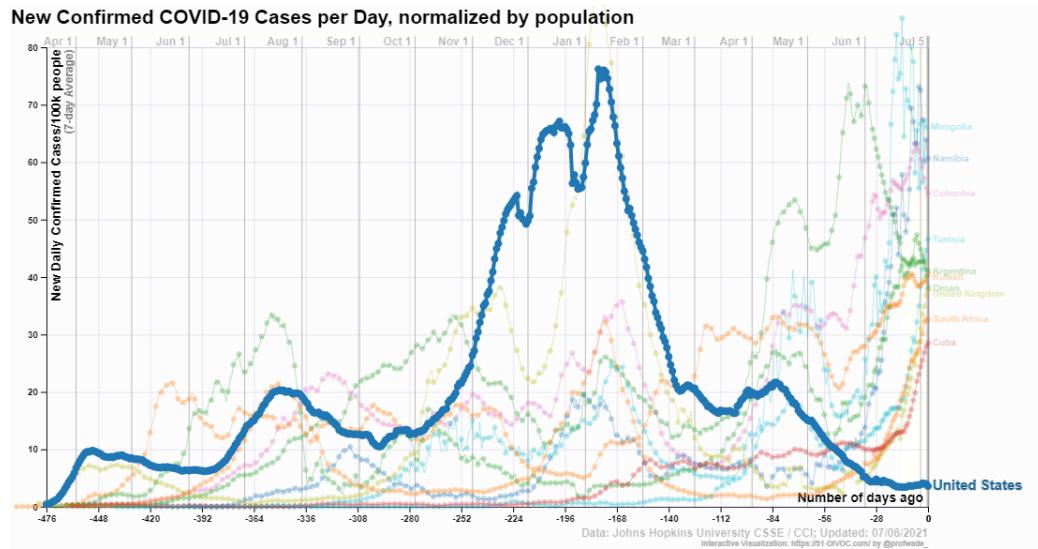


Figure 1.10: 91-DOVIC New Daily Case Counts as of July 2021

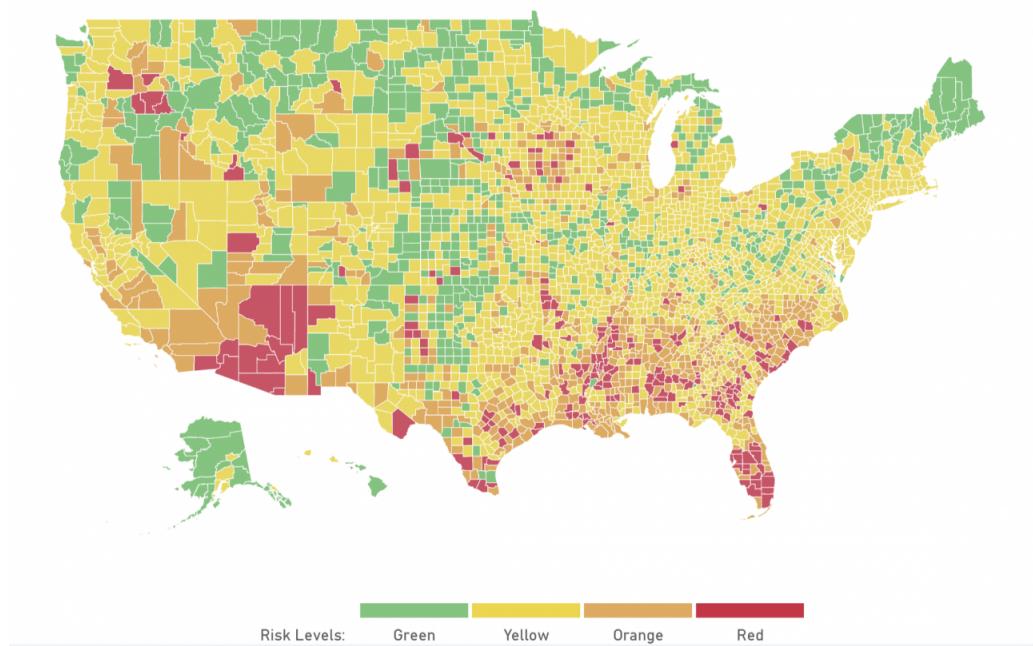


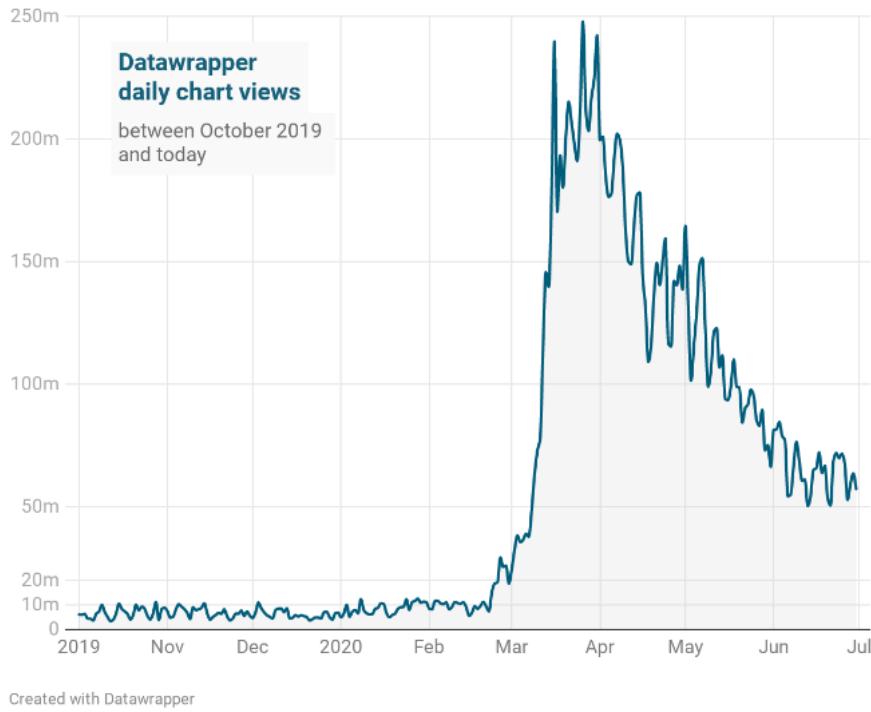
Figure 1.11: COVID-19 Risk Level Map as of July 2020

project to explore the global growth of COVID-19 through interactive graphics updated daily. The interactive graphics allowed viewers to explore the current status of COVID-19 by selecting their desired regions, axes, axis scale, and measure of interest (for example, case count, death count, and vaccine count); Fig. 1.10 (Fagen-Ulmschneider, 2020) shows the new confirmed COVID-19 cases per day, normalized by population, as of July 2021. Other graphics displayed COVID-19 data as maps (Fig. 1.11) with color indicating the severity and risk in each US county (“Risk levels,” 2021). People began seeking out graphical displays of COVID-19 data as a direct result of these pieces of work (Rost, 2020); providing increased and ongoing exposure to these graphics over time. Fig. 1.12 illustrates the increased views Datawrapper, a user-friendly web tool used to create basic interactive charts, had during the COVID-19 pandemic (Rost, 2020). Many of these graphics helped guide decision makers to implement policies such as shut-downs or mandated mask wearing, as well as facilitated communication with the public to increase compliance (Bavel et al., 2020). As graphics began to play an important role in the communication of the pandemic, creators of graphics were faced with design choices in order to ensure their charts were effective at accurately communicating the current status of the pandemic.

When faced with data which spans several orders of magnitude, we must decide whether to show the data on its original scale (compressing the smaller magnitudes into relatively little area) or to transform the scale and alter the contextual appearance of the data. One common solution is to use a log scale transformation to display data over several orders of magnitude within one graph. The usefulness of the log scale in science is illustrated in Fig. 1.13 (Munroe, 2005) showing the challenge of displaying the fuel energy density of Uranium along side other sources

More views than ever

Making it easier to create beautiful, meaningful visualizations has been Datawrapper's mission from day one. The COVID-19 health crisis reminded us all how important such visualizations can be:



Created with Datawrapper

Figure 1.12: Datawrapper daily chart views during COVID-19

of fuel due to differences in magnitude of density. Exponential curves are a common source of data in which smaller magnitudes are compressed into a smaller area; Fig. 1.14 presents an exponential curve displayed on both the linear and log scale illustrating the use of the log scale when displaying data which spans several magnitudes. Logarithms convert multiplicative relationships (for example, 1 & 10 displayed 10 units apart and 10 & 100 displayed 90 units apart) to additive relationships (for example, 1 & 10 and 10 & 100 both equally spaced along the axis), showing proportional relationships and linearizing power functions (Menge et al., 2018). They also have practical purposes, easing the computation of small

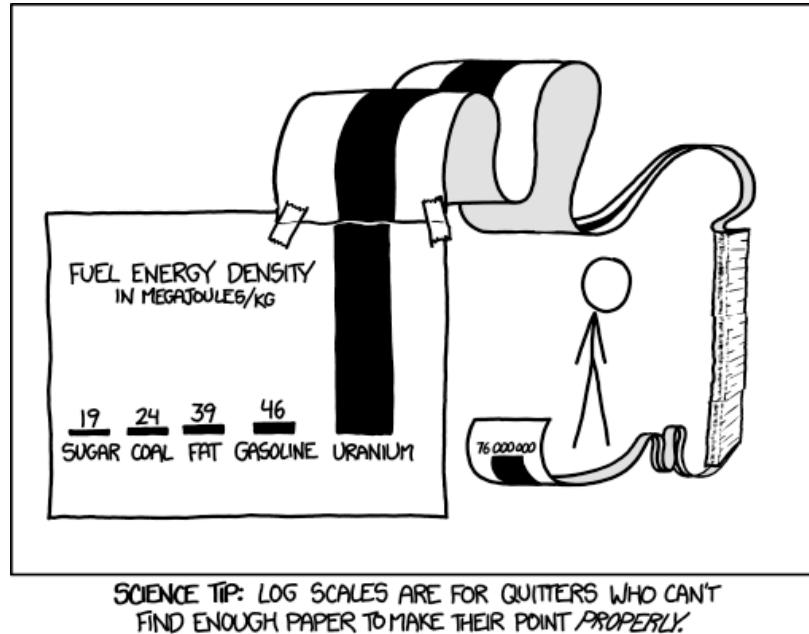


Figure 1.13: Usefulness of the log scale in science

numbers such as likelihoods and transforming data to fit statistical assumptions.

When presenting log scaled data, it is possible to use either un-transformed scale labels (for example, values of 1, 10 and 100 are equally spaced along the axis) or log transformed scale labels (for example, 0, 1, and 2, showing the corresponding powers of 10).

We have recently experienced the benefits and pitfalls of using log scales as COVID-19 dashboards displayed case count data on both the log and linear scale (Burn-Murdoch et al., 2020; Fagen-Ulmschneider, 2020). In spring 2020, during the early stages of the COVID-19 pandemic, there were large magnitude discrepancies in case counts at a given time point between different geographic regions (for example states and provinces as well as countries and continents). During this time, we saw the usefulness of log scale transformations showing case count curves for areas with few cases and areas with many cases within one chart. The usefulness of log scales in comparing deaths attributed to COVID-19 between countries as of

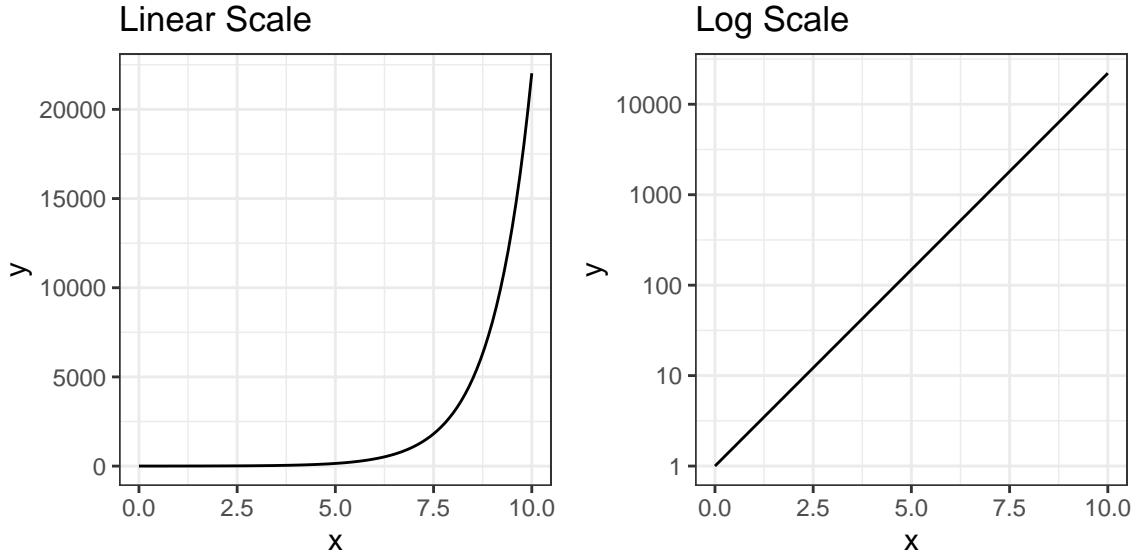


Figure 1.14: Linear scale verses log scale

March 2020 is illustrated in Fig. 1.15; the diagonal reference lines provide a visual aid useful for interpretation (Burn-Murdoch et al., 2020). As the pandemic evolved, and the case counts were no longer spreading exponentially, graphs with linear scales seemed more effective at spotting early increases in case counts that signaled more localized outbreaks. In Fig. 1.16 and Fig. 1.17, the daily case counts as of June 30, 2020 are displayed on both the linear and log scales respectively (Burn-Murdoch et al., 2020). The effect of the linear scale (Fig. 1.16) appears to evoke a stronger reaction from the public than the log scale (Fig. 1.17) as daily case counts are clearly rising rapidly during the summer wave. This is only one recent example of a situation in which both log and linear scales are useful for showing different aspects of the same data. There are long histories of using log scales to display results in ecology, psychophysics, engineering, and physics (Heckler, Mikula,

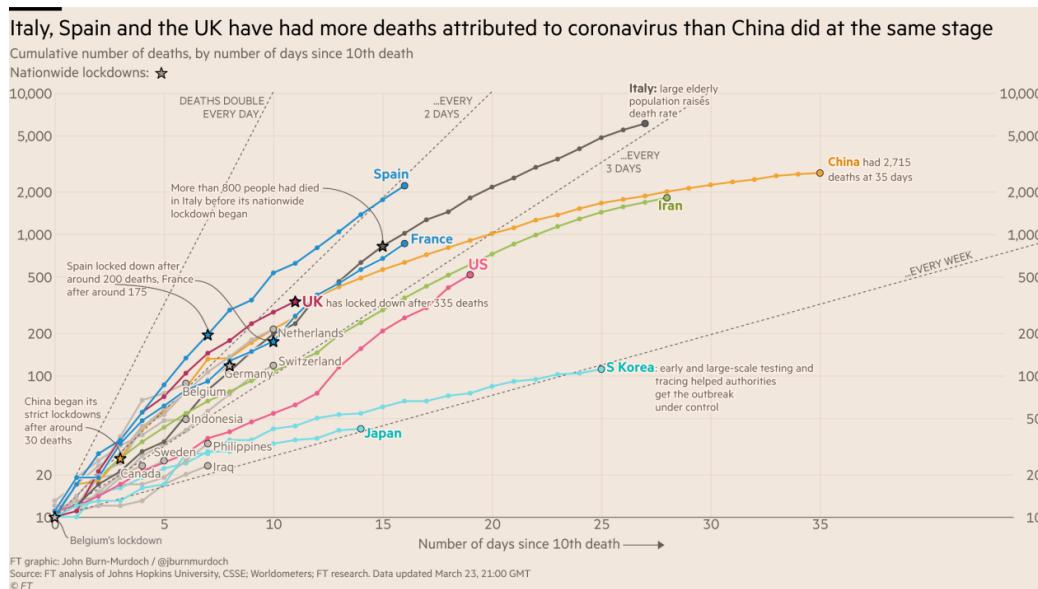


Figure 1.15: Covid 19 Deaths (log scale) as of March 23, 2020

& Rosenblatt, 2013; Menge et al., 2018) In Waddell (2005), comparisons were made between the linear and logarithmic scales for the relationship between dosage and carcinogenicity in rodents. Results favored the use of logarithmic scales for doses in order to put the relative doses into perspective whereas using a linear scale to administer doses to animals with the same chemicals to which humans are exposed does not provide useful, comparative information. Given the widespread use of logarithmic scales, it is important to understand the implications of their use in order to provide guidelines for best use.

When we first learn to count, we begin counting by ones (for example, 1, 2, 3, etc.), then by tens (for example, 10, 20, 30, etc.), and advancing to hundreds (for example, 100, 200, 300, etc.), following the base10 order of magnitude system (for example, 1, 10, 100, etc.). Research suggests our perception and mapping of numbers to a number line is logarithmic at first, but transitions to a linear scale later in development, with formal mathematics education (Dehaene et al., 2008; Siegler & Braithwaite, 2017, 2017; Varshney & Sun, 2013). For example, a

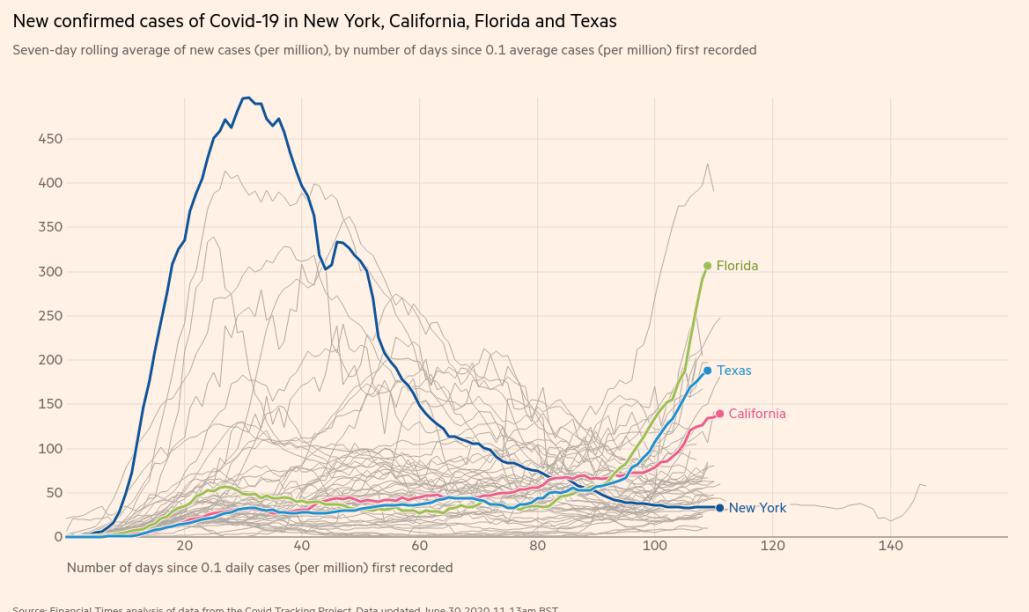


Figure 1.16: Covid 19 Case Counts (linear scale) as of June 30, 2020

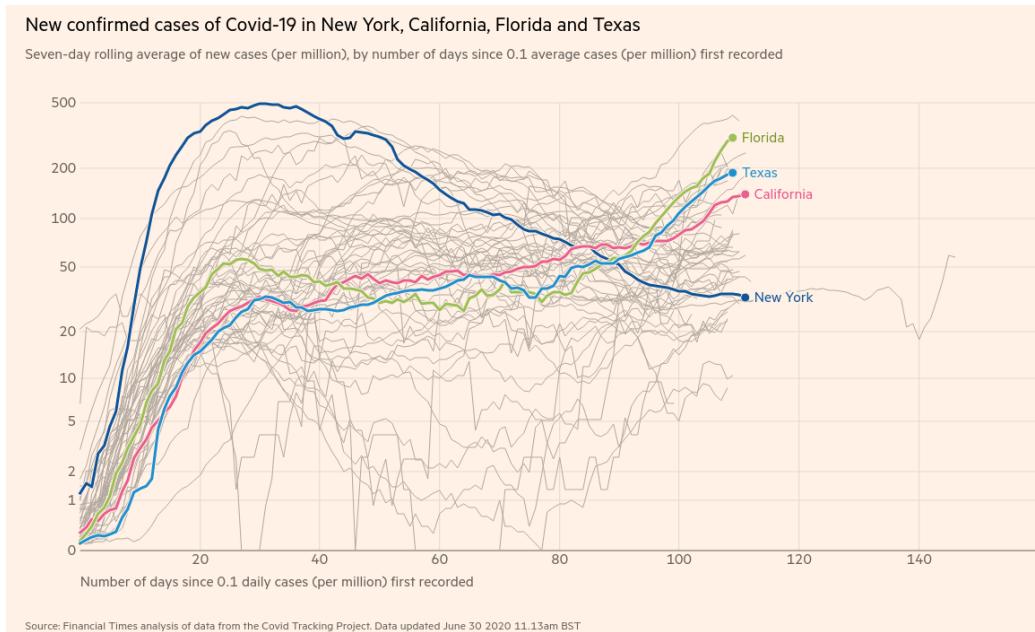


Figure 1.17: Covid 19 Case Counts (log scale) as of June 30, 2020

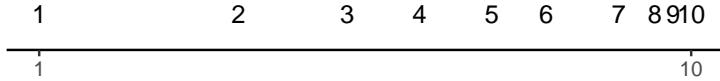


Figure 1.18: Kindergarten example of mapping numbers 1-10 along a number line

kindergartner asked to place numbers one through ten along a number line would place three close to the middle, following the logarithmic perspective (Varshney & Sun, 2013); Fig. 1.18 demonstrates how a kindergartner might map numbers along a number line. Dehaene et al. (2008) found that with basic training, members of remote cultures with a basic vocabulary and minimal education understood the concept that numbers can be mapped into a spacial space; for example, numbers can be mapped to a number line or numbers can be mapped onto a clock. There was a gradual transition from logarithmic to linear scale as the mapping of whole number magnitude representations transitioned from a compressed (approximately logarithmic) distribution to an approximately linear one. These results indicate the universal and cultural-dependent characteristics of the sense of number.

Assuming there is a direct relationship between perceptual and cognitive processes, it is reasonable to assume numerical representations should also be displayed on a nonlinear, compressed number scale. Therefore, if we perceive logarithmically by default, it is a natural (and presumably low effort) way to display information and should be easy to read and understand/use. The idea is compression enlarges the coding space, thus increasing the dynamic range of perception and firing neurons within our visual system (Nieder & Miller, 2003). Similar to the training and education required to transition from logarithmic mapping to linear mapping, there is also necessary training required in the assessment of graphical displays associated with logarithmic scales. Haemer &

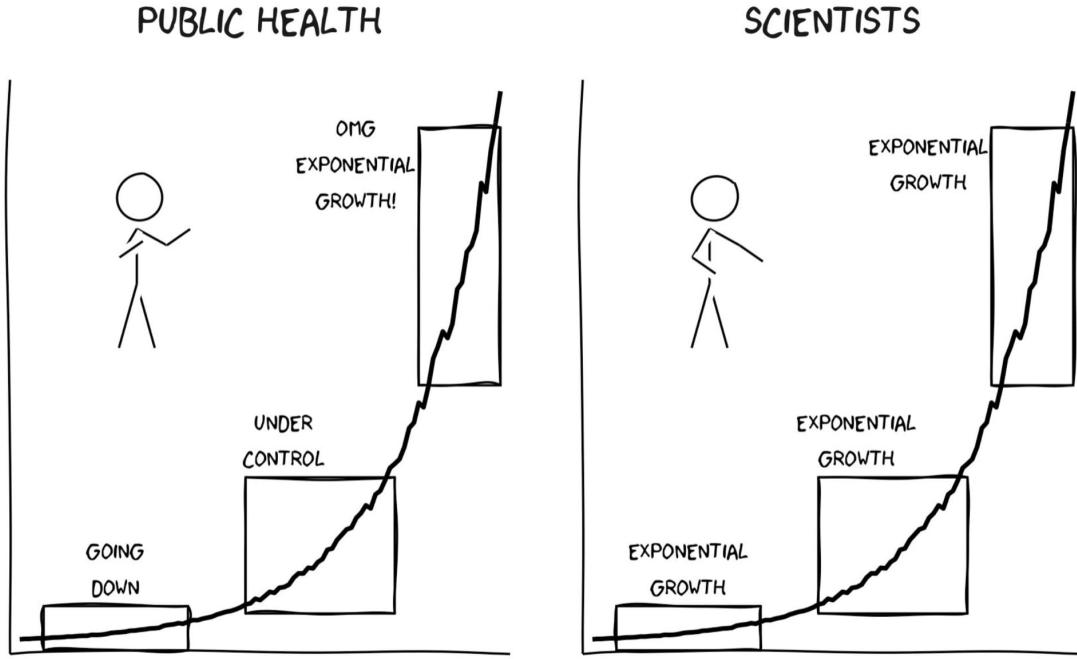


Figure 1.19: Log scale comic

Kelley (1949) identify semi-logarithmic charts for temporal series as requiring a certain degree of technical training.

1.5 Underestimation of Exponential Growth

People with diverse backgrounds can interpret the same display of data in vastly different ways; Fig. 1.19 (von Bergmann, 2021) illustrates how individuals in public health interpret exponential growth distinctly different from scientists during early, middle, and late stages of growth. Exponential growth is often misjudged in early stages, appearing to have a small growth rate. As exponential growth continues, the middle stage appears to be growing, but not at an astounding rate, appearing more quadratic. It is not until late stages of exponential growth when it is quite apparent that there is exponential growth occurring. This misinterpretation can lead to

decisions made under inaccurate understanding causing future consequences.

Early studies explored the estimation and prediction of exponential growth and found that growth is underestimated when presented both numerically and graphically (Wagenaar & Sagaria, 1975). Results indicated that numerical estimation is more accurate than graphical estimation for exponential curves. Experimental studies were conducted in order to determine strategies to improve the accuracy of estimation of exponential growth (Jones, 1977; Mackinnon & Wearing, 1991; Wagenaar & Sagaria, 1975). There was no improvement in estimation found when participants had contextual knowledge or experience with exponential growth, but instruction on exponential growth reduced the underestimation; participants adjusted their initial starting value but not their perception of the growth rate (Jones, 1977; Wagenaar & Sagaria, 1975). Mackinnon & Wearing (1991) found that estimation was improved by providing immediate feedback to participants about the accuracy of their current predictions.

Our inability to accurately predict exponential growth might also be addressed by log transforming the data, however, this transformation introduces new complexities; most readers are not mathematically sophisticated enough to intuitively understand logarithmic math and translate that back into real-world effects. In Menge et al. (2018), ecologists were surveyed to determine how often ecologists encounter log scaled data and how well ecologists understand log scaled data when they see it in the literature. Participants were presented three relationships displayed on linear-linear scales, log-log scales with untransformed values, or log-log scales with log transformed values (Fig. 1.20). The authors propose three types of misconceptions participants encountered when presented data on log-log scales: ‘hand-hold fallacy’, ‘Zeno’s zero fallacy’, and ‘watch out for

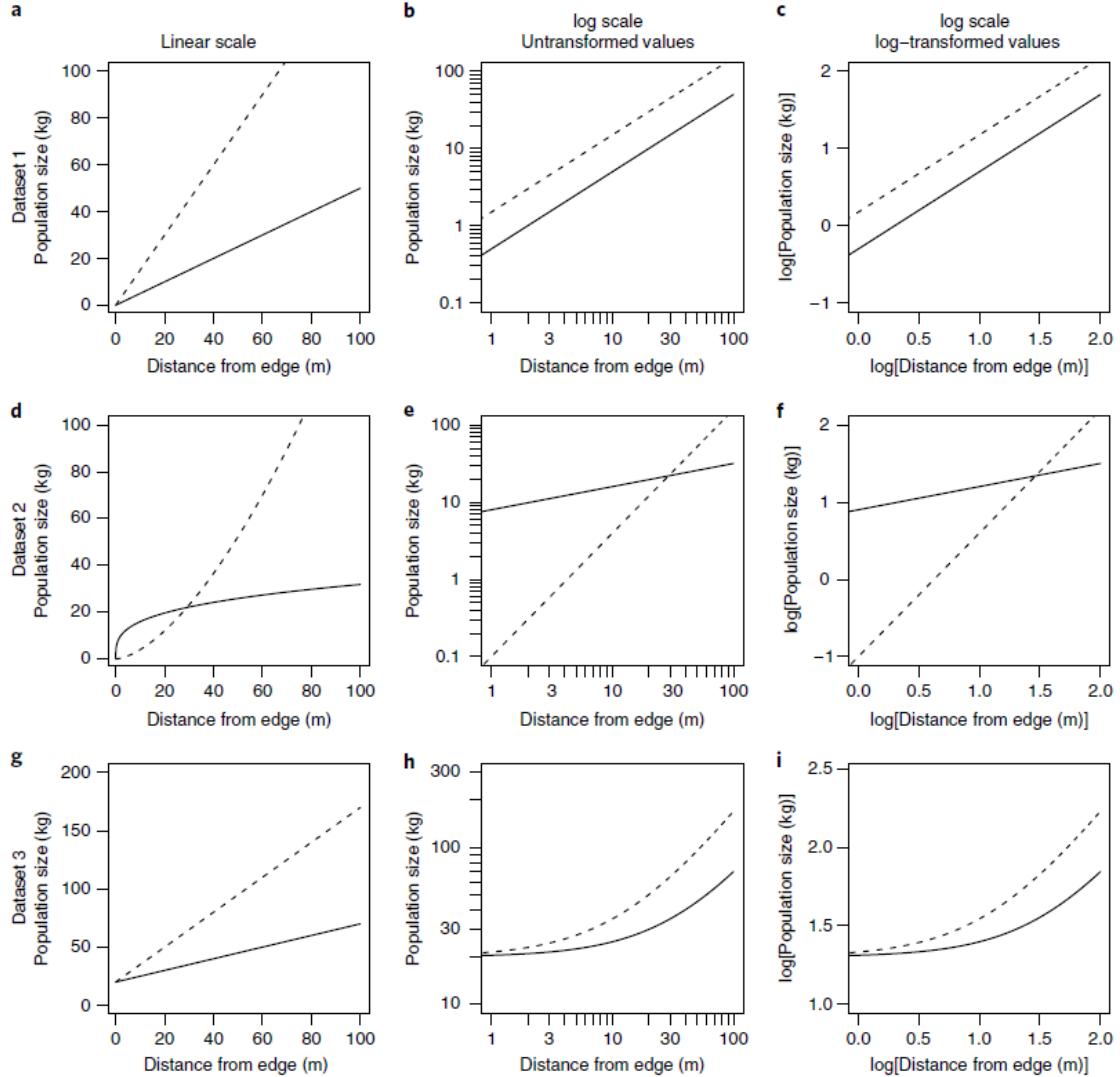


Figure 1.20: Graphs viewed in Menge (2018) survey

curves fallacies'. These misconceptions are a result of linear extrapolation assuming that a line in log-log space represents a line instead of the power law (which is an exponential relationship) in linear-linear space.

The ‘hand-hold fallacy’ stems from the misconception that steeper slopes in log-log relationships are steeper slopes in linear-linear space, illustrated in Fig. 1.20 d-f. In fact, it is not only the slope that matters, but also the intercept and the location on the horizontal axis since a line in log-log space represents a power law in

linear-linear space (linear extrapolation). Emerging from ‘Zeno’s zero fallacy’ is the misconception that positively sloped lines in log-log space can imply a non-zero value of y when x is zero, illustrated in Fig. 1.20 a-c and d-f. This is never true as positively sloped lines in log-log space actually imply that $y = 0$ when $x = 0$. This misconception again is a result of linear extrapolation assuming that a line in log-log space represents a line instead of the power law in linear-linear space. The last misconception, ‘watch out for curves fallacies’ encompasses three faults: (1) lines in log-log space are lines in linear-linear space, illustrated in Fig. 1.20 d-f, (2) lines in log-log space curve upward in linear-linear space, illustrated in Fig. 1.20 d-f, and (3) curves in log-log space have the same curvature in linear-linear space, illustrated in Fig. 1.20 g-i. Linear extrapolation is again responsible for the first and third faults while the second fault is a result of error in thinking that log-log lines represent power laws, and all exponential relationships curve upward; this is only true when the log-log slope is greater than one. Menge et al. (2018) found that in each of these scenarios, participants were confident in their incorrect responses, indicating incorrect knowledge rather than a lack of knowledge.

1.6 Research Objectives

In my research, I conduct three graphical experimental tasks to evaluate the impact displaying data on the log scale has on human perception of exponentially increasing trends compared to displaying data on the linear scale. The first experiment evaluates whether our ability to perceptually notice differences in exponentially increasing trends is impacted by the choice of scale. I conducted a visual inference experiment in which participants were shown a series of lineups and asked to identify the plot that differed most from the surrounding plots. The other

experimental tasks focus on determining whether there are cognitive disadvantages to log scales: do log scales make it harder to make use of graphical information? To test an individual's ability to make predictions for exponentially increasing data, participants were asked to draw a line using their computer mouse through an exponentially increasing trend shown on both the linear and log scale. In addition to differentiation and prediction of exponentially increasing data, an experimental task was conducted to test an individuals' ability to translate a graph of exponentially increasing data into real value quantities and extend their estimations by making comparisons. The results of the three experimental tasks provide guidelines for readers to actively choose which of many possible graphics to draw, according to some set of design choices, to ensure their charts are effective.

Chapter 2

Perception through lineups

2.1 Introduction

To lay a foundation for future exploration of the use of log scales, I begin with the most fundamental ability to identify differences in charts; this does not require that participants understand exponential growth, identify log scales, or have any mathematical training. Instead, I am simply testing the change in perceptual sensitivity resulting from visualization choices. The study in this chapter is conducted through visual inference and the use of statistical lineups (Buja et al., 2009a) to differentiate between exponentially increasing curves with differing levels of curvature, using linear and log scales.

2.2 Visual Inference

Previously, I explained how a data plot can be evaluated and treated as a visual statistic, a numerical function which summarizes the data. To evaluate a graph, the statistic (data plot) must be run through a visual evaluation - a person. We can conclude the visual statistics are significantly different if two different methods of presenting data result in qualitatively different results when evaluated visually.

Recent graphical experiments have utilized statistical lineups to quantify the perception of graphical design choices (Hofmann, Follett, Majumder, & Cook, 2012; Loy, Follett, & Hofmann, 2016; Loy, Hofmann, & Cook, 2017; VanderPlas & Hofmann, 2017). Statistical lineups provide an elegant way of combining perception and statistical hypothesis testing using graphical experiments (Majumder et al., 2013; Vanderplas et al., 2020; H. Wickham, Cook, Hofmann, & Buja, 2010). ‘Lineups’ are named after the ‘police lineup’ of criminal investigations where witnesses are asked to identify the criminal from a set of individuals. Similarly, a statistical lineup is a plot consisting of smaller panels; the viewer is asked to identify the panel containing the real data from among a set of decoy null plots. Null plots display data under the assumption there is no relationship and can be generated by permutation or simulation. A statistical lineup typically consists of 20 panels - one target panel and 19 null panels. If the viewer can identify the target panel randomly embedded within the set of null panels, this suggests that the real data is visually distinct from data generated under the null model. Fig. 2.1 provides examples of statistical lineups. The lineup plot on the left displays increasing exponential data displayed on a linear scale with panel 13 as the target; the lineup plot on the right displays increasing exponential data on the log base ten scale with panel 4 as the target.

While explicit graphical tests direct the participant to a specific feature of a plot to answer a specific question, implicit graphical tests require the user to identify both the purpose and function of the plot in order to evaluate the plots shown (Vanderplas et al., 2020). Implicit graphical tests, such as lineups, have the advantage of simultaneously visually testing for multiple visual features including outliers, clusters, linear and nonlinear relationships. Responses from multiple

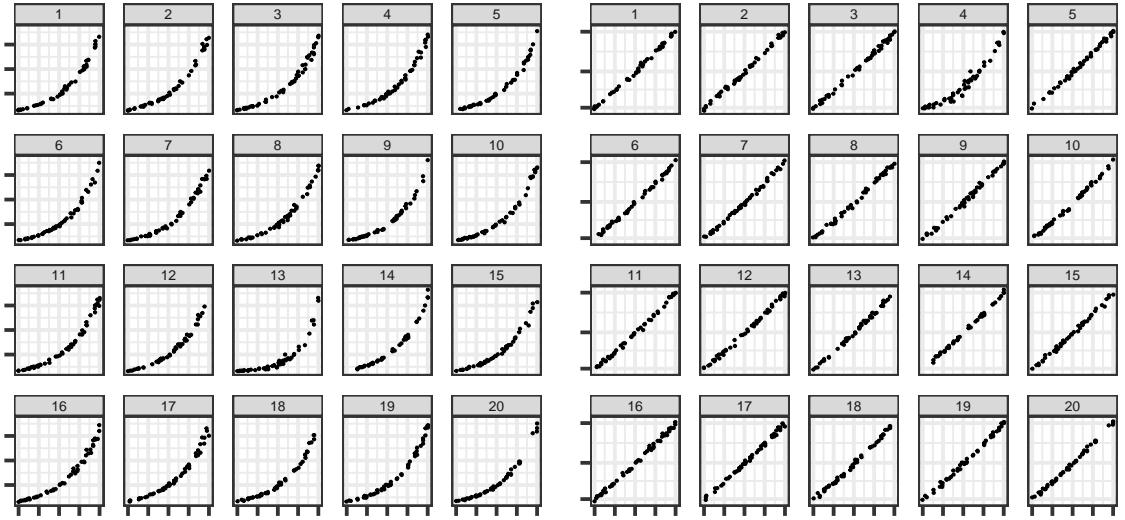


Figure 2.1: Example Lineups

viewers are collected through crowd sourcing websites such as Prolific, Amazon Mechanical Turk, and Reddit.

2.3 Data Generation

In this study, both the target and null data sets were generated by simulating data from an exponential model; the models differ in the parameters selected for the null and target panels. In order to guarantee the simulated data spans the same domain and range of values, I implemented a domain constraint of $x \in [0, 20]$ and a range constraint of $y \in [10, 100]$ with $N = 50$ points randomly assigned throughout the domain and mapped to the y -axis using the exponential model with the selected parameters. These constraints provide some assurance that participants who select the target plot are doing so because of their visual perception differentiating between curvature or growth rate rather than different starting or ending values.

Data were simulated based on a three-parameter exponential model with

multiplicative errors:

$$y_i = \alpha \cdot e^{\beta \cdot x_i + \epsilon_i} + \theta \quad (2.1)$$

with $\epsilon_i \sim N(0, \sigma^2)$.

The parameters α and θ are adjusted based on β and σ^2 to guarantee the range and domain constraints are met. The model generated $N = 50$ points $(x_i, y_i), i = 1, \dots, N$ where x and y have an increasing exponential relationship. The heuristic data generation procedure is described in Algorithm 1 and Algorithm 2.

Algorithm 1 Lineup Parameter Estimation

- **Input Parameters:** domain $x \in [0, 20]$, range $y \in [10, 100]$, midpoint x_{mid} .
 - **Output Parameters:** estimated model parameters $\hat{\alpha}, \hat{\beta}, \hat{\theta}$.
- 1: Determine the $y = -x$ line scaled to fit the assigned domain and range.
 - 2: Map the values $x_{mid} - 0.1$ and $x_{mid} + 0.1$ to the $y = -x$ line for two additional points.
 - 3: From the set points (x_k, y_k) for $k = 1, 2, 3, 4$, obtain the coefficients from the linear regression model $\ln(y_k) = b_0 + b_1 x_k$ to obtain starting values - $\alpha_0 = e^{b_0}, \beta_0 = b_1, \theta_0 = 0.5 \cdot \min(y)$
 - 4: Using the ‘nls’ function from the base stats package in Rstudio and the starting parameter values - $\alpha_0, \beta_0, \theta_0$ - fit the nonlinear model, $y_k = \alpha \cdot e^{\beta \cdot x_k} + \theta$ to obtain estimated parameter values - $\hat{\alpha}, \hat{\beta}, \hat{\theta}$.
-

2.4 Parameter Selection

I followed a ‘Goldilocks’ inspired procedure to choose three levels of curvature (low curvature, medium curvature, and high curvature). For each curvature level, I simulated 1000 data sets of (x_{ij}, y_{ij}) points for $i = 1, \dots, 50$ x -values and $j = 1 \dots 10$ corresponding y -values per x -value. Each generated x_i point from Algorithm 2 was replicated ten times. On each of the individual data sets, I conducted a linear regression model and computed the lack of fit statistic (LOF) which measures the

Algorithm 2 Lineup Exponential Data Simulation

- **Input Parameters:** sample size $N = 50$, estimated parameters $\hat{\alpha}$, $\hat{\beta}$, and $\hat{\theta}$, from Algorithm 1, and σ standard deviation from the exponential curve.
 - **Output Parameters:** N points, in the form of vectors \mathbf{x} and \mathbf{y} .
- 1: Generate $\tilde{x}_j, j = 1, \dots, \frac{3}{4}N$ as a sequence of evenly spaced points in $[0, 20]$. This ensures the full domain of x is used, fulfilling the constraints of spanning the same domain and range for each parameter combination.
 - 2: Obtain $\tilde{x}_i, i = 1, \dots, N$ by sampling $N = 50$ values from the set of \tilde{x}_j values. This guarantees some variability and potential clustering in the exponential growth curve disrupting the perception due to continuity of points.
 - 3: Obtain the final x_i values by jittering \tilde{x}_i .
 - 4: Calculate $\tilde{\alpha} = \frac{\hat{\alpha}}{e^{\hat{\beta}^2/2}}$. This ensures that the range of simulated values for different standard deviation parameters has an equal expected value for a given rate of change due to the non-constant variance across the domain.
 - 5: Generate $y_i = \tilde{\alpha} \cdot e^{\hat{\beta}x_i + e_i} + \hat{\theta}$ where $e_i \sim N(0, \sigma^2)$.
-

Table 2.1: Lineup data simulation final parameters

	x_{mid}	$\hat{\alpha}$	$\tilde{\alpha}$	$\hat{\beta}$	$\hat{\theta}$	$\hat{\sigma}$
High Curvature	14.5	0.91	0.88	0.23	9.10	0.25
Medium Curvature	13.0	6.86	6.82	0.13	3.14	0.12
Low Curvature	11.5	37.26	37.22	0.06	-27.26	0.05

deviation of the data from the linear regression model. The density curves of the LOF statistics for each level of curvature are plotted (Fig. 2.2) to provide a metric for differentiating between the curvature levels and thus detecting the target plot. While the LOF statistic provides a numerical value for discriminating between the difficulty levels, it cannot be directly related to the perceptual discriminability; it serves primarily as an approximation to ensure that we are testing parameters at several distinct curvature levels. Final parameters used for data simulation are shown in Table 2.1.

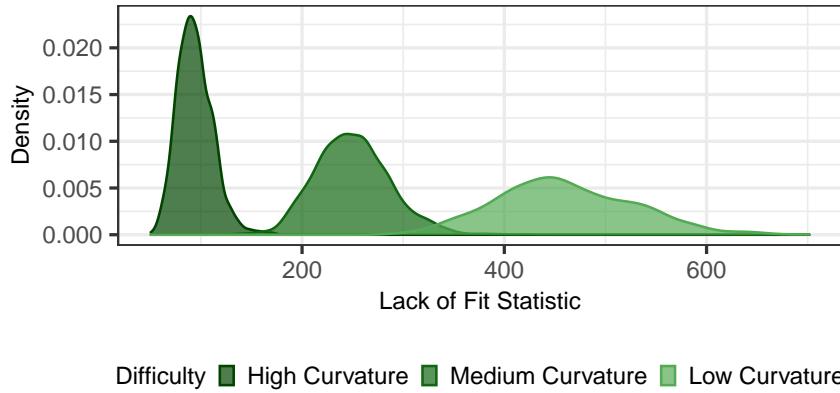


Figure 2.2: Lack of fit statistic density curves

2.5 Lineup Setup

Lineup plots were generated by mapping one simulated data set corresponding to curvature level A to a scatter plot to be identified as the target panel while multiple simulated data sets corresponding to curvature level B were individually mapped to scatter plots for the null panels. The `nullabor` package in R (Buja et al., 2009b) was used to randomly assign the target plot to one of the panels surrounded by panels containing null plots. For example, a target plot with simulated data following an increasing exponential curve with high curvature is randomly embedded within null plots with simulated data following an increasing exponential trend with low curvature. By the implemented constraints, the target panel and null panels will span a similar domain and range. There are a total of six lineup curvature combinations; Fig. 2.3 illustrates the six lineup curvature combinations (top: linear scale; bottom: log scale) where the green line indicates the curvature level designated to the target plot while the black line indicates the curvature level assigned to the null plots. Two sets of each lineup curvature combination were simulated (total of 12 test data sets) and plotted on both the linear scale and the log scale (total of 24 test lineup plots). In addition, there are three curvature

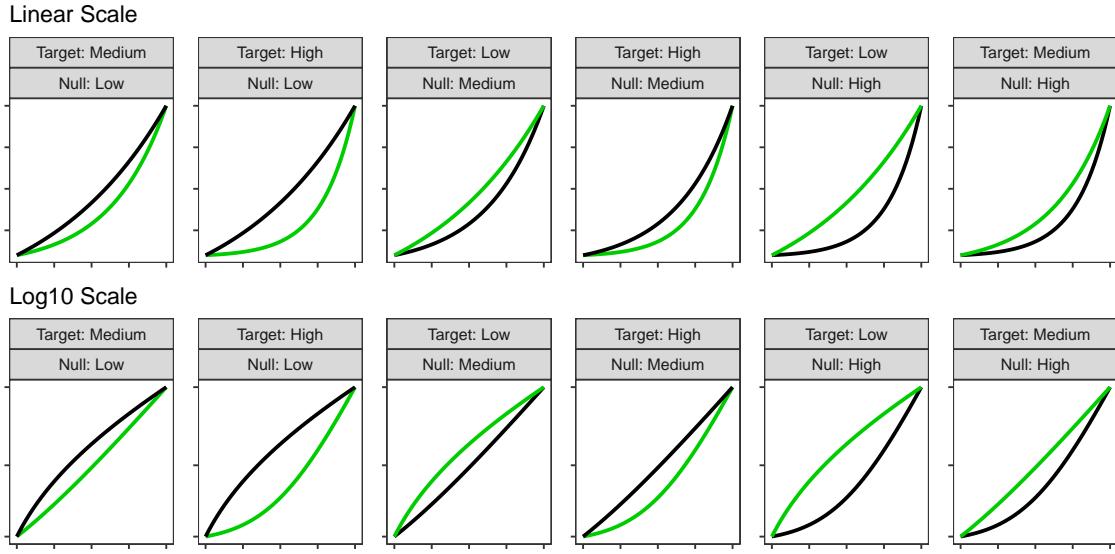


Figure 2.3: Lineup curvature combinations

combinations which generate homogeneous “Rorschach” lineups, where all panels are from the same distribution. Each participant evaluated one of these lineups, but for simplicity, these evaluations are not described in this chapter.

2.6 Study Design

Each participant was shown a total of thirteen lineup plots (twelve test lineup plots and one Rorschach lineup plot). Participants were randomly assigned one of the two replicate data sets for each of the six unique lineup curvature combinations. For each assigned test data set, the participant was shown the lineup plot corresponding to both the linear scale and the log scale. For the additional Rorschach lineup plot, participants were randomly assigned one data set shown on

either the linear or the log scale. The order of the thirteen lineup plots shown was randomized for each participant.

Participants above the age of majority in their region were recruited from Prolific, a survey site that connects researchers to study participants. Participants were compensated for their time and participated in all three related graphical studies consecutively. The lineup study in this chapter was completed first in the series of graphical studies. Previous literature suggests that prior mathematical knowledge or experience with exponential data is not associated with the outcome of graphical experiments (VanderPlas & Hofmann, 2015). Participants completed the series of graphical tests using a R Shiny application found [here](#).

Participants were shown a series of lineup plots and asked to identify the plot that was most different from the others. On each plot, participants were asked to justify their choice and provide their level of confidence in their choice. The goal of this graphical task is to test an individuals ability to perceptually differentiate exponentially increasing trends with differing levels of curvature on both the linear and log scale.

2.7 Results

Participant recruitment and study deployment was conducted via Prolific, a crowd sourcing website, on Wednesday, March 23, 2022 during which 325 individuals completed 4492 unique test lineup evaluations. Only participants who completed the lineup study were included in the final data set which included a total of 311 participants and 3958 lineup evaluations. Each plot was evaluated between 141 and 203 times (Mean: 164.92, SD: 14.9). Participants correctly identified the target panel in 47% of the 1981 lineup evaluations made on the linear

scale and 65.3% of the 1977 lineup evaluations made on the log scale.

Target plot identification was analyzed using the `glmer` function in the `lme4` R package (Bates, Mächler, Bolker, & Walker, 2015). Estimates and odds ratio comparisons between the log and linear scales were calculated using the `emmeans` R package (Lenth, 2021). Each lineup plot evaluated was assigned a binary value based on the participant response (correct = 1, not correct = 0). Define Y_{ijkl} to be the event that participant $l = 1, \dots, N_{\text{participant}}$ correctly identifies the target plot for data set $k = 1, 2$ with curvature combination $j = 1, 2, 3, 4, 5, 6$ plotted on scale $i = 1, 2$. The binary response was analyzed using a generalized linear mixed model following a binomial distribution with a logit link function with a row-column blocking design accounting for the variation due to participant and data set respectively as

$$\text{logit } P(Y_{ijk}) = \eta + \delta_i + \gamma_j + \delta\gamma_{ij} + s_l + d_k \quad (2.2)$$

where

- η is the baseline average probability of selecting the target plot
- δ_i is the effect of scale $i = 1, 2$
- γ_j is the effect of curvature combination $j = 1, 2, 3, 4, 5, 6$
- $\delta\gamma_{ij}$ is the two-way interaction between the i^{th} scale and j^{th} curvature combination
- $s_l \sim N(0, \sigma_{\text{participant}}^2)$ is the random effect for participant characteristics
- $d_k \sim N(0, \sigma_{\text{data}}^2)$ is the random effect for data specific characteristics.

We assume that random effects for data set and participant are independent.

Results indicate a strong interaction between the curvature combination and scale ($\chi^2_5 = 294.443$; $p < 0.0001$). Variance due to participant and data set were estimated to be $\hat{\sigma}_{\text{participant}}^2 = 1.19$ (s.e. 1.09) and $\hat{\sigma}_{\text{data}}^2 = 0.433$ (s.e. 0.66) respectively.

On both the log and linear scales, the highest accuracy occurred in lineup plots where the target model and null model had a large curvature difference and the target plot had more curvature than the null plots (high curvature target plot embedded in low curvature null plots). There is a decrease in accuracy on the linear scale when comparing a target plot with less curvature to null plots with more curvature (medium curvature target plot embedded in high curvature null plots; low curvature target plot embedded in medium curvature null plots; low curvature target plot embedded in high curvature null plots). Best, Smith, & Stubbs (2007) found that accuracy of identifying the correct curve type was higher when nonlinear trends were presented indicating that it is hard to say something is linear (something has less curvature), but easy to say that it is not linear; our results concur with this observation. Overall, there are no significant differences in accuracy between curvature combinations when data is presented on a log scale indicating participants were consistent in their success of identifying the target panel on the log scale. **WHERE DID YOU GET THIS CLAIM??** Fig. 2.4 displays the estimated (log) odds ratio of successfully identifying the target panel on the log scale compared to the linear scale. The thumbnail figures to the right of the plot illustrate the curvature combination on both the linear (left thumbnail) and log base ten (right thumbnail) scales associated with the y -axis label. The choice of scale has no impact if curvature differences are large and the target plot had more curvature than the null plots (high curvature target plot embedded in low curvature null plots). However, presenting data on the log scale makes us more sensitive to slight

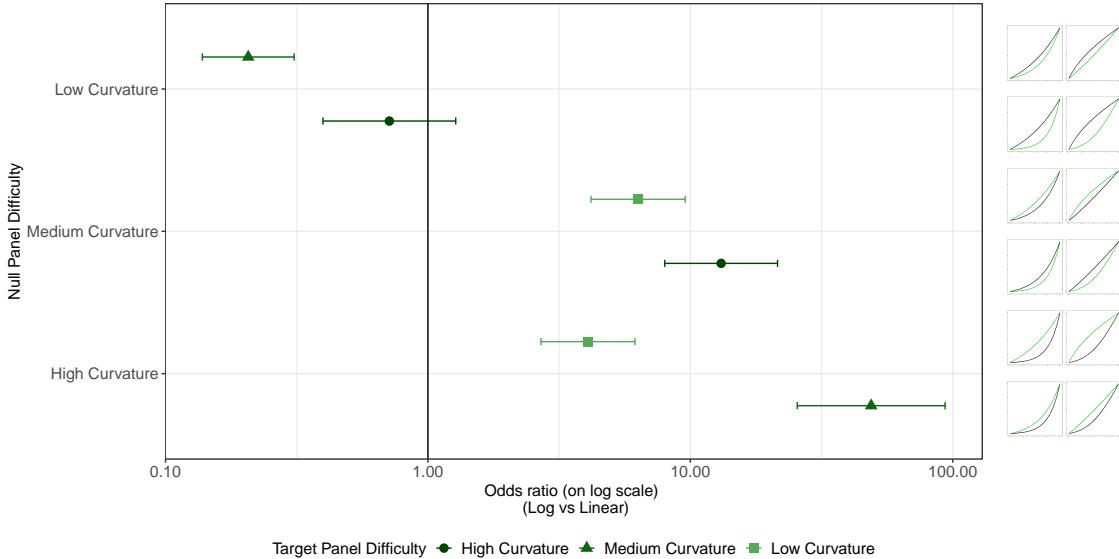


Figure 2.4: Lineups log(odds) results

changes in curvature (low or high curvature target plot embedded in medium curvature null plots; medium curvature target plot embedded in high curvature null plots) and large differences in curvature when the target plot has less curvature than the null plots (low curvature target plot embedded in high curvature null plots). An exception occurs when identifying a plot with curvature embedded in null plots close to a linear trend (medium curvature target panel embedded in low curvature null panels). The results indicate that participants were more accurate at detecting the target panel on the linear scale than the log scale. When examining this curvature combination, the same perceptual effect occurs as what we previously saw, but in a different context of scales. On the linear scale, participants are perceptually identifying a curved trend from close to a linear trend whereas after the logarithmic transformation, participants are perceptually identifying a trend close to linear from a curved trend. This again supports the claim that it is easy to identify a curve in a bunch of lines but much harder to identify a line in a bunch of curves (Best et al., 2007).

2.8 Discussion and Conclusion

The overall goal of this chapter is to provide basic research to support the principles used to guide design decisions in scientific visualizations of exponential data. In this study, I explored the use of linear and log scales to determine whether our ability to notice differences in exponentially increasing trends is impacted by the choice of scale. The results indicated that when there was a large difference in curvature between the target plot and null plots and the target plot had more curvature than the null plots, the choice of scale had no impact and participants accurately differentiated between the two curves on both the linear and log scale. However, displaying exponentially increasing data on a log scale improved the accuracy of differentiating between models with slight curvature differences or large curvature differences when the target plot had less curvature than the null plots. An exception occurred when identifying a plot with curvature embedded in surrounding plots closely relating to a linear trend, indicating that it is easy to identify a curve in a group of lines but much harder to identify a line in a group of curves. The use of visual inference to identify these guidelines suggests that there are *perceptual* advantages to log scales when differences are subtle. What remains to be seen is whether there are cognitive disadvantages to log scales: do log scales make it harder to make use of graphical information?

Chapter 3

Prediction with you draw it

3.1 Introduction

In [Chapter 2](#), a base foundation for future exploration of the use of log scales was established by evaluating participants ability to identify differences in charts through the use of lineups. This did not require that participants were able to understand exponential growth, identify log scales, or have any mathematical training; instead, it simply tested the change in perceptual sensitivity resulting from visualization choices. In order to determine whether there are cognitive disadvantages to log scales, we utilize interactive graphics to test an individual's ability to make predictions for exponentially increasing data. In this study, participants are asked to draw a line using their computer mouse through the exponentially increasing trend shown on both the log and linear scales.

3.1.1 Past Methodology

Initial studies in the 20th century explored the use of fitting lines by eye through a set of points (D. J. Finney, 1951; Mosteller, Siegel, Trapido, & Youtz, 1981). Common methods of fitting trends by eye involve maneuvering a string, black thread, or ruler until the fit is suitable, then drawing the line through the set of

points. In D. J. Finney (1951), it was of interest to determine the effect of stopping iterative maximum likelihood calculations after one iteration. Many techniques in statistical analysis are performed with the aid of iterative calculations such as Newton's method or Fisher's scoring. Guesses are made at the best estimates of certain parameters and these guesses are then used as the basis of a computation which yields a new set of approximation to the parameter estimates; this same procedure is then performed on the new parameter estimates and the computing cycle is repeated until convergence, as determined by the statistician, is reached. The author was interested in whether one iteration of calculations was sufficient in the estimation of parameters connected with dose-response relationships. One measure of interest is the relative potency between a test preparation of doses and standard preparation of doses; relative potency is calculated as the ratio of two equally effective doses between the two preparation methods. Fig. 3.1 shows a pair of parallel probit responses in a biological assay. The x-axis is the $\log_{1.5}$ dose level for four dose levels (for example, doses 4, 6, 9, and 13 correspond to equally spaced values on a logarithmic scale, labeled 0, 1, 2, and 3) and the y-axis is the corresponding probit response as calculated in David John Finney & Stevens (1948); circles correspond to the test preparation method while the crosses correspond to the standard preparation method. For these sort of assays, the dose-response relationship follows a linear regression of the probit response on the logarithm of the dose levels; the two preparation methods can be constrained to be parallel (Jerne & Wood, 1949), limiting the relative potency to one consistent value. In this study, twenty-one scientists were recruited via postal mail and asked to "rule two lines" in order to judge by eye the positions for a pair of parallel probit regression lines in a biological assay (Fig. 3.1). The author then computed one iterative calculation of the relative potency based on starting values as indicated by

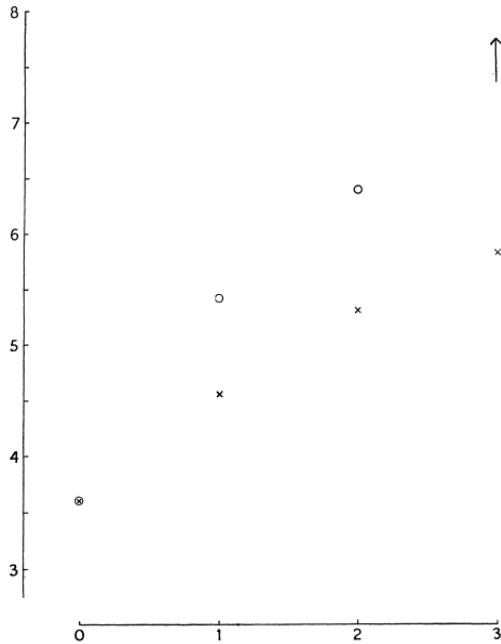


Figure 3.1: Subjective Judgement in Statistical Analysis (1951) Parallel Probits

the pair of lines provided by each participant and compared these relative potency estimates to that which was estimated by the full probit technique (reaching convergence through multiple iterations). Results indicated that one cycle of iterations for calculating the relative potency was sufficient based on the starting values provided by eye from the participants.

Thirty years later, Mosteller et al. (1981), sought to understand the properties of least squares and other computed lines by establishing one systematic method of fitting lines by eye. The authors recruited 153 graduate students and post doctoral researchers in Introductory Biostatistics. Participants were asked to fit lines by eye to four sets of points (Fig. 3.2) using an 8.5 x 11 inch transparency with a straight line etched completely across the middle. A latin square design (Giesbrecht & Gumpertz, 2004) with packets of the set of points stapled together in four different sequences was used to determine if there is an effect of order of presentation. It was

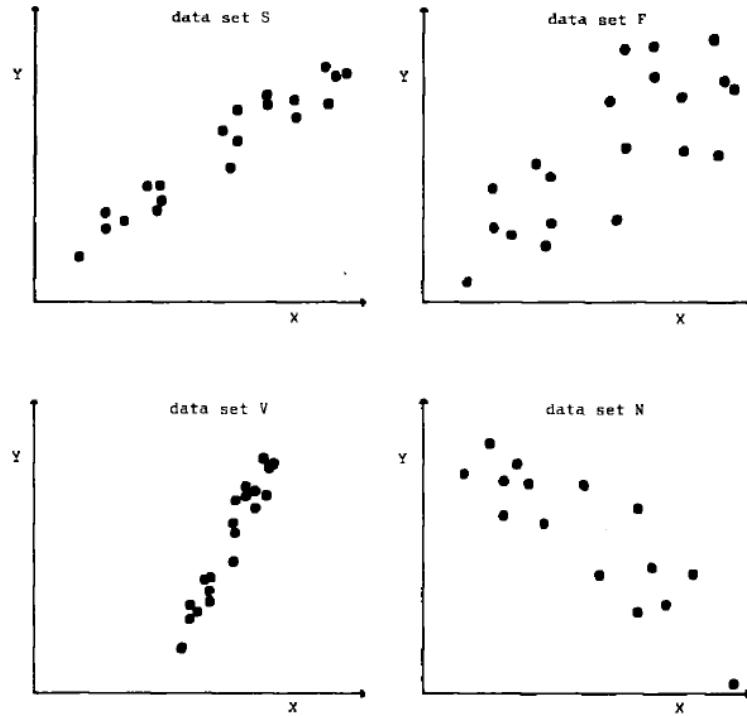


Figure 1. The Data Sets of S, F, V, and N

Figure 3.2: Eye Fitting Straight Lines (1981) Data Sets

found that order of presentation had no effect and that participants tended to fit the slope of the first principal component (error minimized orthogonally, both horizontal and vertical, to the regression line) over the slope of the least squares regression line (error minimized vertically to the regression line).

In 2015, the New York Times introduced an interactive feature, called You Draw It (Aisch, Cox, & Quealy, 2015; Buchanan, Park, & Pearce, 2017; Katz, 2017). Readers are asked to input their own assumptions about various metrics and compare how these assumptions relate to reality. The New York Times team utilizes Data Driven Documents (D3) that allows readers to predict these metrics through the use of drawing a line on their computer screen with their computer mouse.

Fig. 3.3 (Katz, 2017) is one such example in which readers are asked to draw the

Since 1990, the number of Americans who have died every year from **car accidents**...

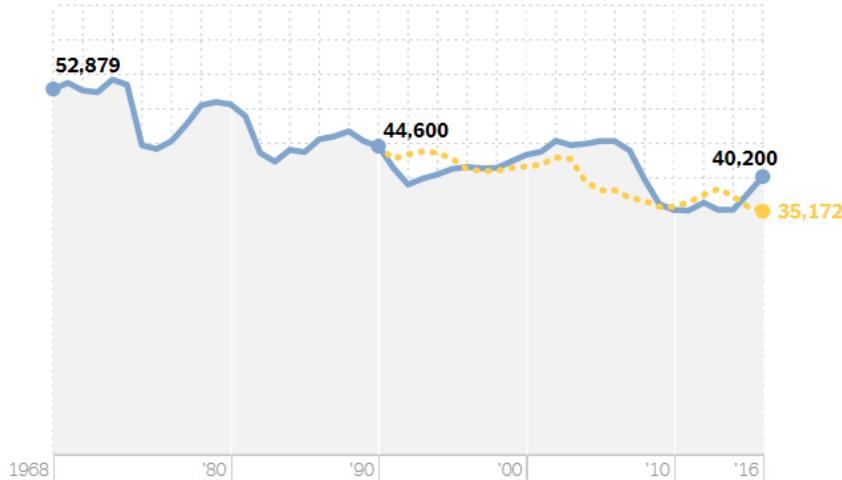


Figure 3.3: New York Times You Draw It Feature

line for the missing years providing what they estimate to be the number of Americans who have died every year from car accidents, since 1990. After the reader has completed drawing the line, the actual observed values are revealed and the reader may check their estimated knowledge against the actual reported data.

3.1.2 Data Driven Documents

Major news and research organizations such as the New York Times, FiveThirtyEight, Washington Post, and the Pew Research Center create and customize graphics with Data Driven Documents (D3). In June 2020, the New York Times released a front page displaying figures that represent each of the 100,000 lives lost from the COVID-19 pandemic until this point in time (Barry et al., 2020); this visualization was meant to bring about a visceral reaction and resonate with readers. During 2021 March Madness, FiveThirtyEight created a roster-shuffling machine which allowed readers to build their own NBA contender through

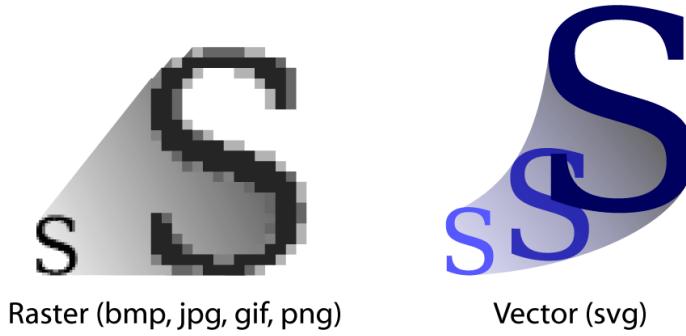


Figure 3.4: SVG vs Raster

interactivity (Ryanabest, 2021). Data Driven Documents (D3) is an open-source JavaScript based graphing framework created by Mike Bostock during his time working on graphics at the New York Times. For readers familiar with R, it is notable to consider D3 in JavaScript equivalent to the ggplot2 package in R (Hadley Wickham, 2016). Similar to geometric objects and style choices in ggplot2, the grammar of D3 also includes elements such as circles, paths, and rectangles with choices of attributes and styles such as color and size. Data Driven Documents depend on Extensible Markup Language (XML) to generate graphics and images by binding objects and layers to the plotting area as Scalable Vector Graphics (SVG) in order to preserve the shapes rather than the pixels (Fig. 3.4) (Tol, 2021). Advantages of using D3 include animation and allowing for movement and user interaction such as hovering, clicking, and brushing.

A challenge of working with D3 is the environment necessary to display the graphics and images. The r2d3 package in R provides an efficient integration of D3 visuals and R by displaying them in familiar HTML output formats such as RMarkdown or Shiny applications (Luraschi & Allaire, 2018). The creator of the graphic applies D3.js source code to visualize data which has previously been processed within an R setting.

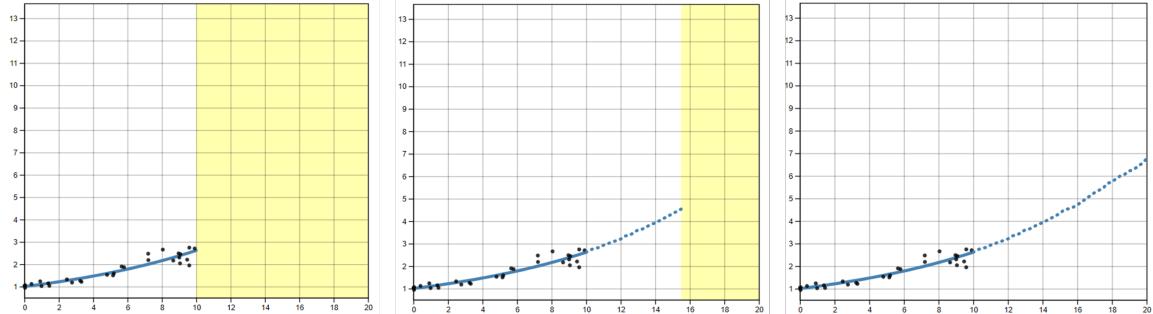


Figure 3.5: You Draw It Example

The example R code illustrates the structure of the r2d3 function which includes specification of a data frame in R (converted to a JSON file), the D3.js source code file, and the D3 version that accompanies the source code. A default SVG container for layering elements is then generated by the r2d3 function which renders the plot using the source code. [Appendix A](#) outlines the development of the you draw interactive plots used in this study through the use of r2d3 and R shiny applications. Fig. 3.5 provides an example of a you draw it interactive plot as seen by participants during the study. The first frame shows what the participant sees along with the prompt, “Use your mouse to fill in the trend in the yellow box region”. Next, the yellow box region moves along as the participant draws their trend-line until the yellow region disappears, indicating the participant has filled in the entire domain.

```
r2d3(data = data,
      script = "d3-source-code.js",
      d3_version= "5")
```

3.2 Study Design

This chapter contains two sub-studies; the first aims to establish you draw it as a tool for measuring predictions of trends fitted by eye and a method for testing

graphics, the second then applies you draw it to test an individual's ability to make predictions for exponentially increasing data. In order to validate you draw it as a method for testing graphics, the first sub-study, referred to as Eye Fitting Straight Lines in the Modern Era, replicated the experiment and results found in Mosteller et al. (1981). The second sub-study, referred to as Prediction of Exponential Trends, uses the established you draw it method to test an individual's ability to make predictions for exponentially increasing data on both the log and linear scales. All subject's participated in both sub-studies at the same time in conjunction with one another.

A total of six data sets - four Eye Fitting Straight Lines in the Modern Era and two Prediction of Exponential Trends - are generated for each individual at the start of the experiment. The two simulated data sets corresponding to the simulated data models used in the Prediction of Exponential Trends sub-study are then plotted a total of four times each with different aesthetic and scale choices for a total of eight task plots. Participants in the study are first shown two you draw it practice plots followed by twelve you draw it task plots. The order of all twelve task plots was randomly assigned for each individual in a completely randomized design where users saw the four task plots from the Eye Fitting Straight Lines in the Modern Era sub-study interspersed with the eight task plots from the Prediction of Exponential Trends sub-study.

Participants completed the experiment using a RShiny application found [here](#). During May 2021, participants were recruited through Twitter, Reddit, and direct email. A total of 39 individuals completed 256 unique you draw it task plots; all completed you draw it task plots were included in the analysis.

3.3 Eye Fitting Straight Lines in the Modern Era

D. J. Finney (1951) and Mosteller et al. (1981) use methods such as using a ruler, string, or transparency sheet to fit straight lines through a set of points. This section replicates the study found in Mosteller et al. (1981) in order to establish you draw it as a tool and method for testing graphics.

3.3.1 Data Generation

All data processing was conducted in R before being passed to the D3.js source code. A total of $N = 30$ points $(x_i, y_i), i = 1, \dots, N$ were generated for $x_i \in [x_{min}, x_{max}]$ where x and y have a linear relationship. Data were simulated based on linear model with additive errors:

$$y_i = \beta_0 + \beta_1 x_i + e_i \quad (3.1)$$

$$\text{with } e_i \sim N(0, \sigma^2).$$

The parameters β_0 and β_1 are selected to replicate Mosteller et al. (1981) with e_i generated by rejection sampling in order to guarantee the points shown align with that of the fitted line. An ordinary least squares regression is then fit to the simulated points in order to obtain the best fit line and fitted values in 0.25 increments across the domain, $(x_k, \hat{y}_{k,OLS}), k = 1, \dots, 4x_{max} + 1$. The data simulation function then outputs a list of point data and line data both indicating the parameter identification, x-value, and corresponding simulated or fitted y value. The data simulation procedure is described in Algorithm 3.

Simulated model equation parameters were selected to reflect the four data sets (F, N, S, and V) used in Mosteller et al. (1981) (Table 3.1). Parameter choices F,

Algorithm 3 Eye Fitting Straight Lines in the Modern Era Data Simulation

- **Input Parameters:** $y_{\bar{x}}$ for calculating the y-intercept, β_0 ; slope β_1 ; standard deviation from line σ ; sample size of points $N = 30$; domain x_{min} and x_{max} ; fitted value increment $x_{by} = 0.25$.
 - **Output Parameters:** List of point data and line data each indicating the parameter identification, x value, and corresponding simulated or fitted y value.
- 1: Randomly select and jitter $N = 30$ x-values along the domain, $x_{i=1:N} \in [x_{min}, x_{max}]$.
 - 2: Determine the y-intercept, β_0 , at $x = 0$ from the provided slope (β_1) and y-value at the mean of x ($y_{\bar{x}}$) using point-slope equation of a line.
 - 3: Generate "good" errors, $e_{i=1:N}$ based on $N(0, \sigma)$ by setting a constraint requiring the mean of the first $\frac{1}{3}N$ errors $< |2\sigma|$.
 - 4: Simulate point data based on $y_i = \beta_0 + \beta_1 x_i + e_i$
 - 5: Obtain ordinary least squares regression coefficients, $\hat{\beta}_0$ and $\hat{\beta}_1$, for the simulated point data using the lm function in the stats package in base R.
 - 6: Obtain fitted values every 0.25 increment across the domain from the ordinary least squares regression $\hat{y}_{k,OLS} = \hat{\beta}_{0,OLS} + \hat{\beta}_{1,OLS} x_k$.
 - 7: Output data list of point data and line data each indicating the parameter identification, x value, and corresponding simulated or fitted y value.
-

Table 3.1: Eye Fitting Straight Lines in the Modern Era simulation model parameters

Parameter Choice	$y_{\bar{x}}$	β_1	σ
S	3.88	0.66	1.30
F	3.90	0.66	1.98
V	3.89	1.98	1.50
N	4.11	-0.70	2.50

N, and S simulated data across a domain of 0 to 20. Parameter choice F produces a trend with a positive slope and a large variance while N has a negative slope and a large variance. In comparison, S shows a trend with a positive slope with a small variance and V yields a steep positive slope with a small variance over the domain of 4 to 16. Fig. 3.6 illustrates an example of simulated data for all four parameter choices intended to reflect the trends seen in Fig. 3.2. Aesthetic design choices were made consistent across each of the interactive you draw it plots; the aspect ratio, defining the x to y axis ratio was set to one and the y-axis range extended 10%

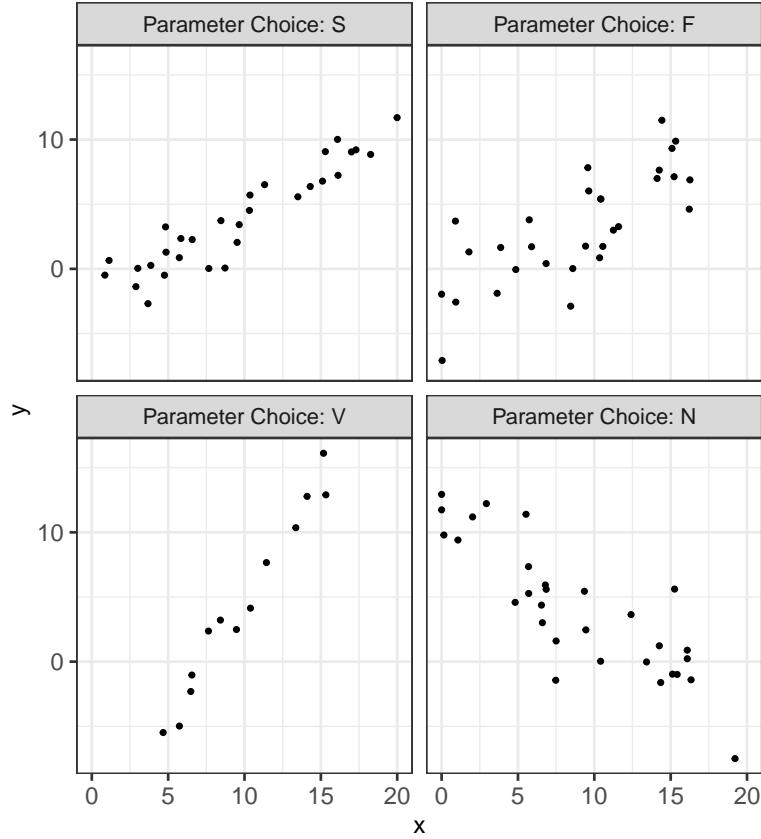


Figure 3.6: Eye Fitting Straight Lines in the Modern Era Simulated Data Example

beyond (above and below) the range of the simulated data points to allow for users to draw outside the simulated data set range.

3.3.2 Results

In addition to the participant drawn points, $(x_k, y_{k,drawn})$, and the ordinary least squares (OLS) regression fitted values, $(x_k, \hat{y}_{k,OLS})$, a regression equation with a slope based on the first principal component (PCA) was used to calculate fitted values, $(x_k, \hat{y}_{k,PCA})$. For each set of simulated data and parameter choice, the PCA regression equation was determined by using the `princomp` function in the `stats` package in base R to obtain the rotation of the coordinate axes from the first

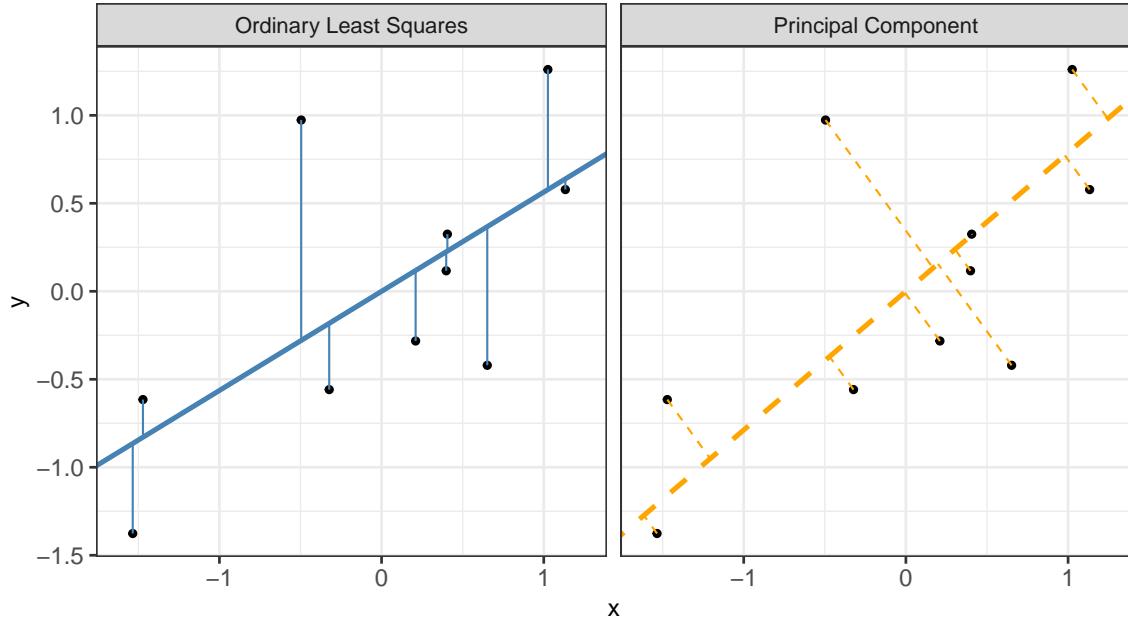


Figure 3.7: OLS vs PCA Regression Lines

principal component (direction which captures the most variance). The estimated slope, $\hat{\beta}_{1,PCA}$, is determined by the ratio of the axis rotation in y and axis rotation in x of the first principal component with the y-intercept, $\hat{\beta}_{0,PCA}$ calculated by the point-slope equation of a line using the mean of the simulated points, (\bar{x}_i, \bar{y}_i) . Fitted values, $\hat{y}_{k,PCA}$ are then obtained every 0.25 increment across the domain from the PCA regression equation, $\hat{y}_{k,PCA} = \hat{\beta}_{0,PCA} + \hat{\beta}_{1,PCA}x_k$. Fig. 3.7 illustrates the difference between an OLS regression equation which minimizes the vertical distance of points from the line and a regression equation with a slope calculated by the first principal component which minimizes the smallest distance of points from the line.

For each participant, the final data set used for analysis contains

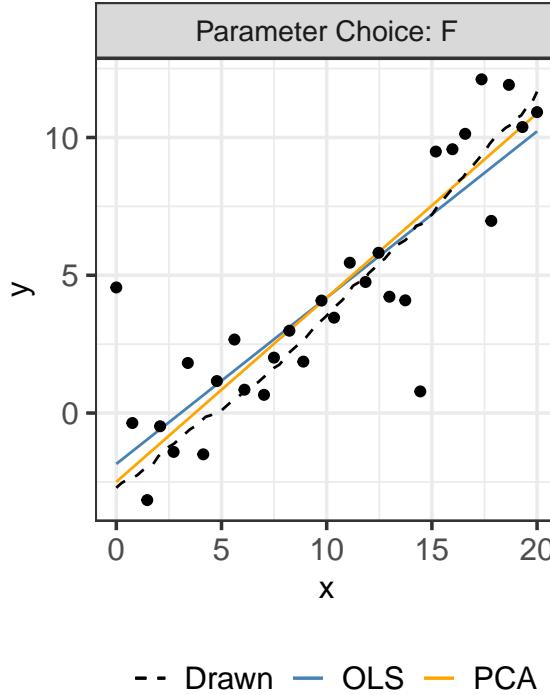


Figure 3.8: Eye Fitting Straight Lines in the Modern Era Example

x_{ijk} , $y_{ijk,drawn}$, $\hat{y}_{ijk,OLS}$, and $\hat{y}_{ijk,PCA}$ for parameter choice $i = 1, 2, 3, 4$, $j = 1, \dots, N_{participant}$, and x_{ijk} value $k = 1, \dots, 4x_{max} + 1$. Using both a linear mixed model and a generalized additive mixed model, comparisons of vertical residuals in relation to the OLS fitted values ($e_{ijk,OLS} = y_{ijk,drawn} - \hat{y}_{ijk,OLS}$) and PCA fitted values ($e_{ijk,PCA} = y_{ijk,drawn} - \hat{y}_{ijk,PCA}$) were made across the domain. Fig. 3.8 displays an example of all three fitted trend lines for parameter choice F.

Using the lmer function in the lme4 package (Bates et al., 2015), a linear mixed model (LMM) is fit separately to the OLS and PCA residuals, constraining the fit to a linear trend. Parameter choice, x , and the interaction between x and parameter choice were treated as fixed effects with a random participant effect accounting for variation due to participant. The LMM equation for each fit (OLS and PCA)

residuals is given by:

$$y_{ijk,drawn} - \hat{y}_{ijk,fit} = e_{ijk,fit} = [\gamma_0 + \alpha_i] + [\gamma_1 x_{ijk} + \gamma_{2i} x_{ijk}] + p_j + \epsilon_{ijk} \quad (3.2)$$

where

- $y_{ijk,drawn}$ is the drawn y-value for the i^{th} parameter choice, j^{th} participant, and k^{th} increment of x-value
- $\hat{y}_{ijk,fit}$ is the fitted y-value for the i^{th} parameter choice, j^{th} participant, and k^{th} increment of x-value corresponding to either the OLS or PCA fit
- $e_{ijk,fit}$ is the residual between the drawn and fitted y-values for the i^{th} parameter choice, j^{th} participant, and k^{th} increment of x-value corresponding to either the OLS or PCA fit
- γ_0 is the overall intercept
- α_i is the effect of the i^{th} parameter choice (F, S, V, N) on the intercept
- γ_1 is the overall slope for x
- γ_{2i} is the effect of the parameter choice on the slope
- x_{ijk} is the x-value for the i^{th} parameter choice, j^{th} participant, and k^{th} increment
- $p_j \sim N(0, \sigma_{participant}^2)$ is the random error due to the j^{th} participant's characteristics
- $\epsilon_{ijk} \sim N(0, \sigma^2)$ is the residual error.

Eliminating the linear trend constraint, the bam function in the mgcv package (S. N. Wood, 2003, 2004, 2011; S. N. Wood, 2017; S. N. Wood, N., Pya, & Saefken, 2016) is used to fit a generalized additive mixed model (GAMM) separately to the OLS and PCA residuals to allow for estimation of smoothing splines. Parameter

choice was treated as a fixed effect with no estimated intercept and a separate smoothing spline for x was estimated for each parameter choice. A random participant effect accounting for variation due to participant and a random spline for each participant accounted for variation in spline for each participant. The GAMM equation for each fit (OLS and PCA) residuals is given by:

$$y_{ijk,drawn} - \hat{y}_{ijk,fit} = e_{ijk,fit} = \alpha_i + s_i(x_{ijk}) + p_j + s_j(x_{ijk}) \quad (3.3)$$

where

- $y_{ijk,drawn}$ is the drawn y-value for the i^{th} parameter choice, j^{th} participant, and k^{th} increment of x-value
- $\hat{y}_{ijk,fit}$ is the fitted y-value for the i^{th} parameter choice, j^{th} participant, and k^{th} increment of x-value corresponding to either the OLS or PCA fit
- $e_{ijk,fit}$ is the residual between the drawn and fitted y-values for the i^{th} parameter choice, j^{th} participant, and k^{th} increment of x-value corresponding to either the OLS or PCA fit
- α_i is the intercept for the parameter choice i
- s_i is the smoothing spline for the i^{th} parameter choice
- x_{ijk} is the x-value for the i^{th} parameter choice, j^{th} participant, and k^{th} increment
- $p_j \sim N(0, \sigma_{participant}^2)$ is the error due to participant variation
- s_j is the random smoothing spline for each participant.

Fig. 3.9 and Fig. 3.10 show the estimated trends of residuals (vertical deviation of participant drawn points from both the OLS and PCA fitted points) as modeled by a LMM and GAMM respectively. Examining the plots, the estimated trends of

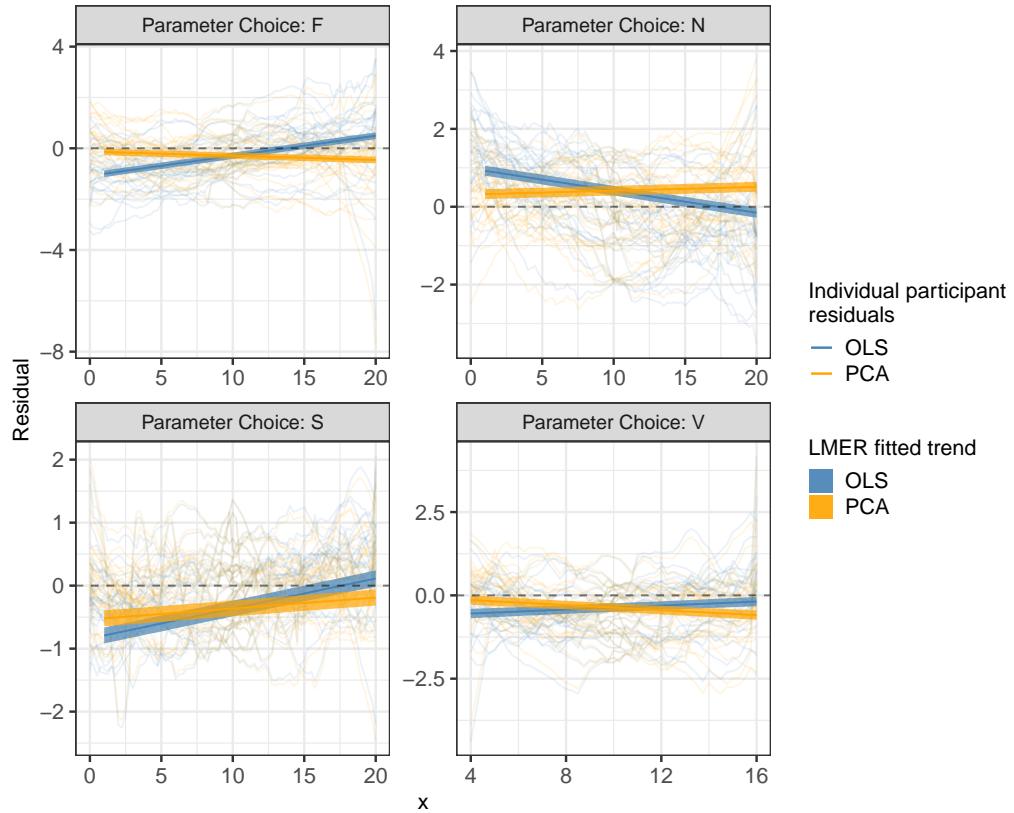


Figure 3.9: Eye Fitting Straight Lines in the Modern Era LMM results

PCA residuals (orange) appear to align closer to the $y = 0$ horizontal (dashed) line than the OLS residuals (blue). In particular, this trend is more prominent in parameter choices with large variances (F and N). These results are consistent to those found in Mosteller et al. (1981) indicating participants fit a trend line closer to the estimated regression line with the slope of the first principal component than the estimated OLS regression line.

In addition to fitting trend lines over the residuals between the participant drawn

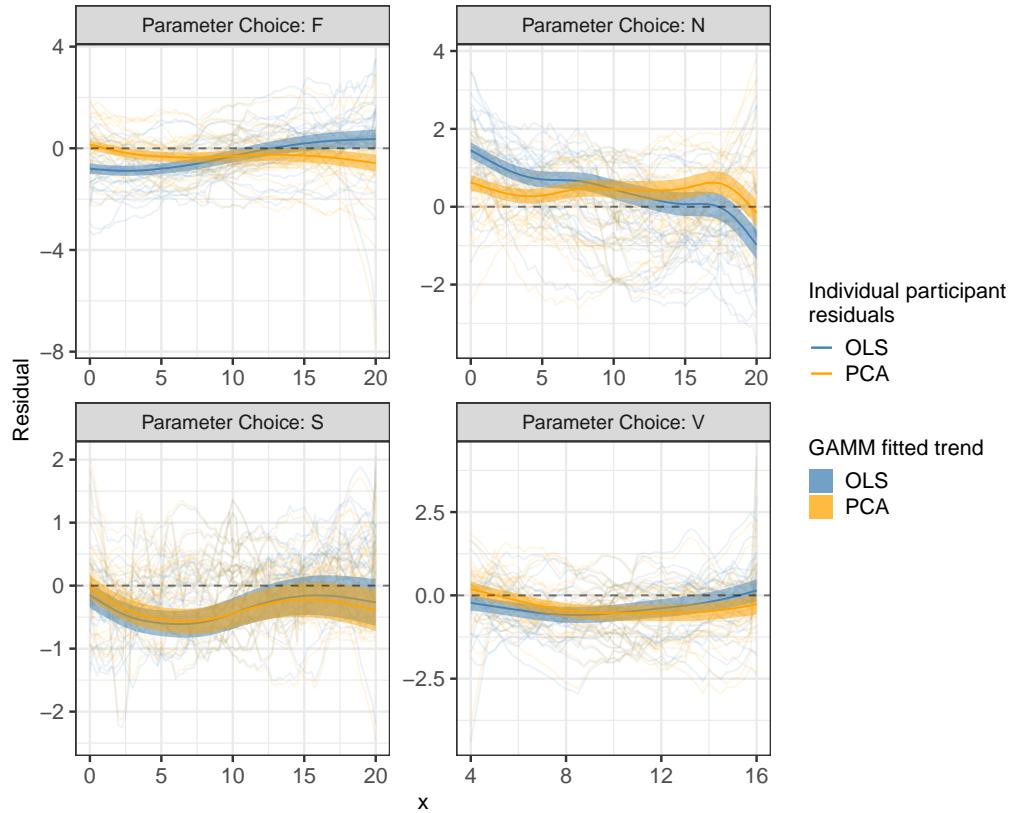


Figure 3.10: Eye Fitting Straight Lines in the Modern Era GAMM results

values and fitted values, an OLS sum of squares and PCA sums of squares measure was calculated for each you draw it plot. Sums of squares between the two fits were compared using the `lmer` function in the `lme4` package in R (Bates et al., 2015) to run a linear mixed model (LMM) with a log transformation. Parameter choice (S, F, V, N), fit (OLS, PCA), and the interaction between parameter choice and fit were treated as fixed effects with a random participant effect. Define SS_{ijk} as the sums of squares for parameter choice $i = 1, 2, 3, 4$, fit $j = 1, 2$, and participant

$k = 1, \dots, N_{\text{participant}}$. The LMM equation is given by:

$$\log(SS_{ijk}) = \alpha_i + \beta_j + \alpha\beta_{ij} + p_j + \epsilon_{ijk} \quad (3.4)$$

- α_i denotes the effect of the i^{th} parameter choice
- β_j denotes the effect of the j^{th} fit
- $\alpha\beta_{ij}$ denotes the interaction between the i^{th} parameter choice and j^{th} fit
- $p_j \sim N(0, \sigma_{\text{participant}}^2)$ is the random error due to the k^{th} participant's characteristics
- $\epsilon_{ijk} \sim N(0, \sigma^2)$ is the residual error.

The estimated odds ratios between the OLS fit and PCA fit for each parameter choice are displayed in Fig. 3.11. While there is no significant effect of fit for any parameter choices, there is indication of the trend found previously in the residual regression models indicating that participants tend to fit the slope of the first principal component over the slope of the ordinary least squares regression line, particularly for trends with large variation (parameter choices N and F).

3.4 Prediction of Exponential Trends

The results from the first sub-study validate you draw it as a tool for testing graphics. This sub-study is designed to test an individual's ability to make predictions for exponentially increasing data on both the log and linear scales, addressing cognitive understanding of log scales. Participants are asked to draw a line using their computer mouse through the exponentially increasing trend shown on both the log and linear scale.

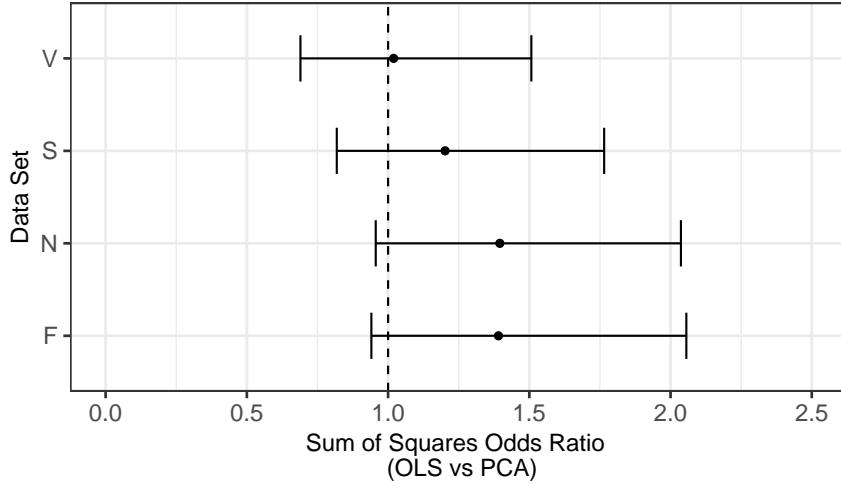


Figure 3.11: Eye Fitting Straight Lines in the Modern Era Sum of Squares Results

3.4.1 Data Generation

All data processing was conducted in R before being passed to the D3.js source code. A total of $N = 30$ points $(x_i, y_i), i = 1, \dots, N$ were generated for $x_i \in [x_{min}, x_{max}]$ where x and y have an exponential relationship. Data were simulated based on a one parameter exponential model with multiplicative errors:

$$y_i = e^{\beta x_i + e_i} \quad (3.5)$$

with $e_i \sim N(0, \sigma^2)$.

The parameter, β , was selected to reflect the rate of exponential growth with e_i generated by rejection sampling in order to guarantee the points shown align with that of the fitted line displayed in the initial plot frame. A nonlinear least squares regression is then fit to the simulated points in order to obtain the best fit line and fitted values in 0.25 increments across the domain, $(x_m, \hat{y}_{m,NLS}), k = 1, \dots, 4x_{max} + 1$. The data simulation function then outputs a list of point data and line data both

indicating the parameter identification, x value, and corresponding simulated or fitted y value. The data simulation procedure is described in Algorithm 4.

Algorithm 4 Prediction of Exponential Trends Data Simulation

- **Input Parameters:** β growth rate; standard deviation from exponential curve σ ; sample size of points $N = 30$; domain x_{min} and x_{max} ; fitted value increment $x_{by} = 0.25$.
 - **Output Parameters:** List of point data and line data each indicating the parameter identification, x value, and corresponding simulated or fitted y value.
- 1: Randomly select and jitter $N = 30$ x-values along the domain, $x_{i=1:N} \in [0, 20]$.
 - 2: Generate "good" errors, $e_{i=1:N}$ based on $N(0, \sigma)$ by setting a constraint requiring the mean of the first $\frac{1}{3}N$ errors $< |2\sigma|$.
 - 3: Simulate point data based on $y_i = e^{\beta x_i + e_i}$.
 - 4: Fit the equation $\log(y_i) = \beta x_i$ to obtain an estimated starting value β_0 .
 - 5: Obtain nonlinear least squares regression coefficient, $\hat{\beta}_{NLS}$, for the simulated point data fitting using the nls function in the base stats R package.
 - 6: Obtain fitted values every 0.25 increment across the domain from the nonlinear least squares regression $\hat{y}_{m,NLS} = e^{\hat{\beta}_{NLS}x_m}$.
 - 7: Output data list of point data and line data each indicating the parameter identification, x value, and corresponding simulated or fitted y value.
-

Model equation parameter, β , was selected to reflect two exponential growth rates (low: $\beta = 0.10, \sigma = 0.09$ and high: $\beta = 0.23, \sigma = 0.25$) as determined by visual inspection with growth rate parameter selection from the lineup study in Chapter 2 used as a starting point. Each growth rate parameter was used to simulate data across a domain of 0 to 20. The two simulated data sets (low and high exponential growth rates) were then shown four times each by truncating the points shown at both 50% and 75% of the domain as well as on both the log and linear scales for a total of eight interactive plots reflecting a factorial treatment design. Appendix B displays visual examples of all eight interactive plots. Aesthetic design choices were made consistent across each of the interactive you draw it plots; the aspect ratio, defining the x to y axis ratio was set to one, the y-axis extended 50% below the lower limit of the simulated data range and 200% beyond the upper limit of the

simulated data range to allow for users to draw outside the data set range, and participants were asked to start drawing at 50% of the domain (for example, at $x = 10$). Reflecting the treatment design for each plot, the y-axis was assigned to be displayed on either the linear scale or log scale.

3.4.2 Results

A LOESS smoother (local regression) was fit to each user line to allow for visual inspection. For each participant $l = 1, \dots, N_{participant}$, the final data set used for analysis contains $x_{ijklm}, y_{ijklm,drawn}, \hat{y}_{ijklm,loess}$, and $\hat{y}_{ijklm,NLS}$ for growth rate $i = 1, 2$, points truncated $j = 1, 2$, scale $k = 1, 2$ and x_{ijklm} value for increment $m = 1, \dots, 81$. Fig. 3.12 displays spaghetti plots for each of the eight treatment combinations. The spaghetti plot with a high growth rate suggests participants underestimated the exponential trend when asked to draw a trend line on the linear scale compared to when asked to draw a trend line on the log scale. In particular, this suggestion is most noticeable when points are truncated at 50% with the underestimation beginning at a later x value when points are truncated at 75%.

Allowing for flexibility, the bam function in the mgcv package (S. N. Wood, 2003, 2004, 2011; S. N. Wood, 2017; S. N. Wood et al., 2016) is used to fit a GAMM to estimate trends of vertical residuals from the participant drawn line in relation to the NLS fitted values ($e_{ijklm,NLS} = y_{ijklm,drawn} - \hat{y}_{ijklm,NLS}$) across the domain. The combination between growth rate, point truncation, and scale was treated as a fixed effect with no estimated intercept and a separate smoothing spline for x was estimated for each treatment combination. A random participant effect accounting for variation due to participant and a random spline for each participant accounted for variation in spline for each participant. The GAMM equation for residuals is

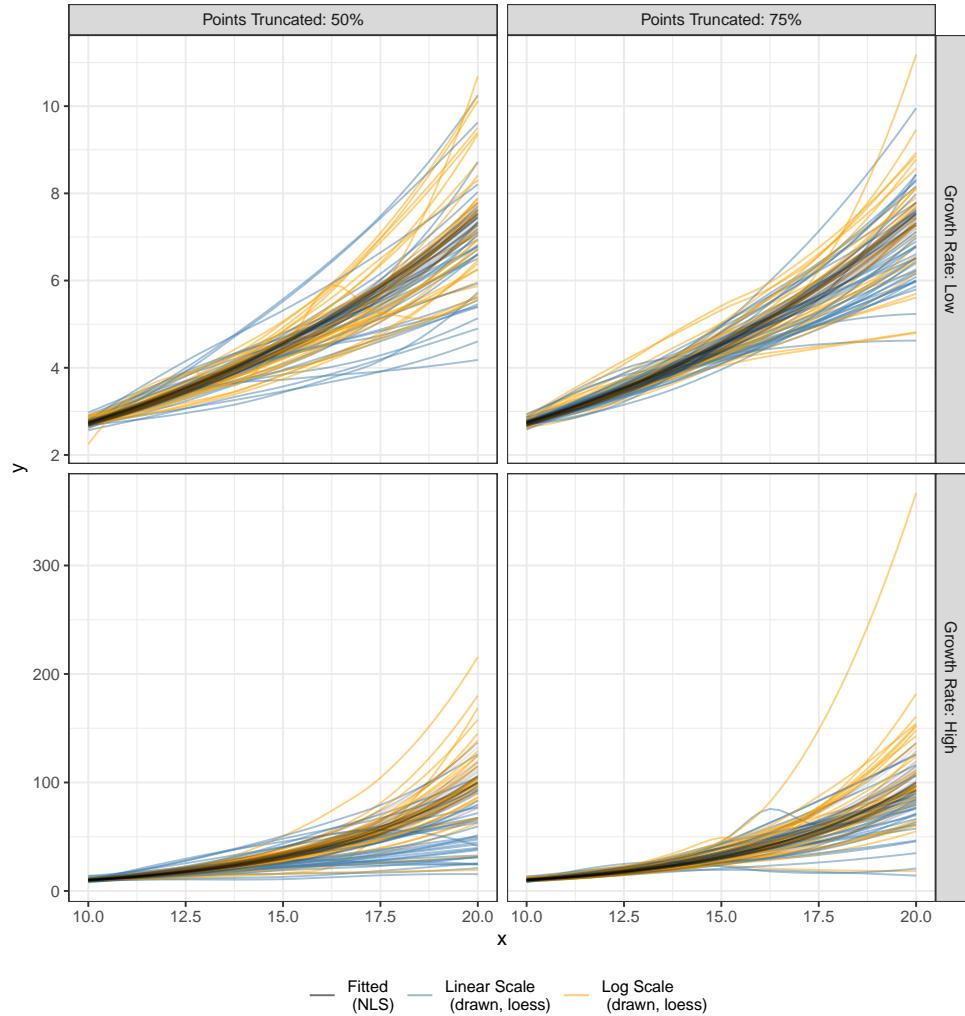


Figure 3.12: Exponential Prediction Spaghetti Plot

given by:

$$y_{ijklm, \text{drawn}} - \hat{y}_{ijklm, \text{NLS}} = e_{ijklm, \text{nls}} = \tau_{ijk} + s_{ijk}(x_{ijklm}) + p_l + s_l(x_{ijklm}) \quad (3.6)$$

where

- $y_{ijklm, \text{drawn}}$ is the drawn y-value for the l^{th} participant, m^{th} increment, and ijk^{th} treatment combination

- $\hat{y}_{ijklm,NLS}$ is the NLS fitted y-value for the l^{th} participant, m^{th} increment, and ijk^{th} treatment combination
- $e_{ijklm,NLS}$ is the residual between the drawn y-value and fitted y-value for the l^{th} participant, m^{th} increment, and ijk^{th} treatment combination
- τ_{ijk} is the intercept for the i^{th} growth rate, j^{th} point truncation, and k^{th} scale treatment combination
- s_{ijk} is the smoothing spline for the ijk^{th} treatment combination
- x_{ijklm} is the x-value for the l^{th} participant, m^{th} increment, and ijk^{th} treatment combination
- $p_l \sim N(0, \sigma_{participant}^2)$ is the error due to the l^{th} participant's characteristics
- s_l is the random smoothing spline for the l^{th} participant.

Fig. 3.13 shows the estimated trends of the residuals (vertical deviation of participant drawn points from NLS fitted points) as modeled by the GAMM. Examining the plots, the estimated trends of residuals for predictions made on the linear scale (blue) appear to deviate from the $y = 0$ horizontal (dashed) line indicating underestimation of exponential growth. In comparisons, the estimated trends of residuals for predictions made on the log scale (orange) follow closely to the $y = 0$ horizontal (dashed) line, implying exponential trends predicted on the log scale are more accurate than those predicted on the linear scale. In particular, this trend is more prominent in high exponential growth rates where underestimation becomes prominent after the aid of points is removed.

3.5 Discussion and Conclusion

The intent of this chapter was to establish you draw it as a tool for testing graphics then use this tool to determine the cognitive implications of displaying

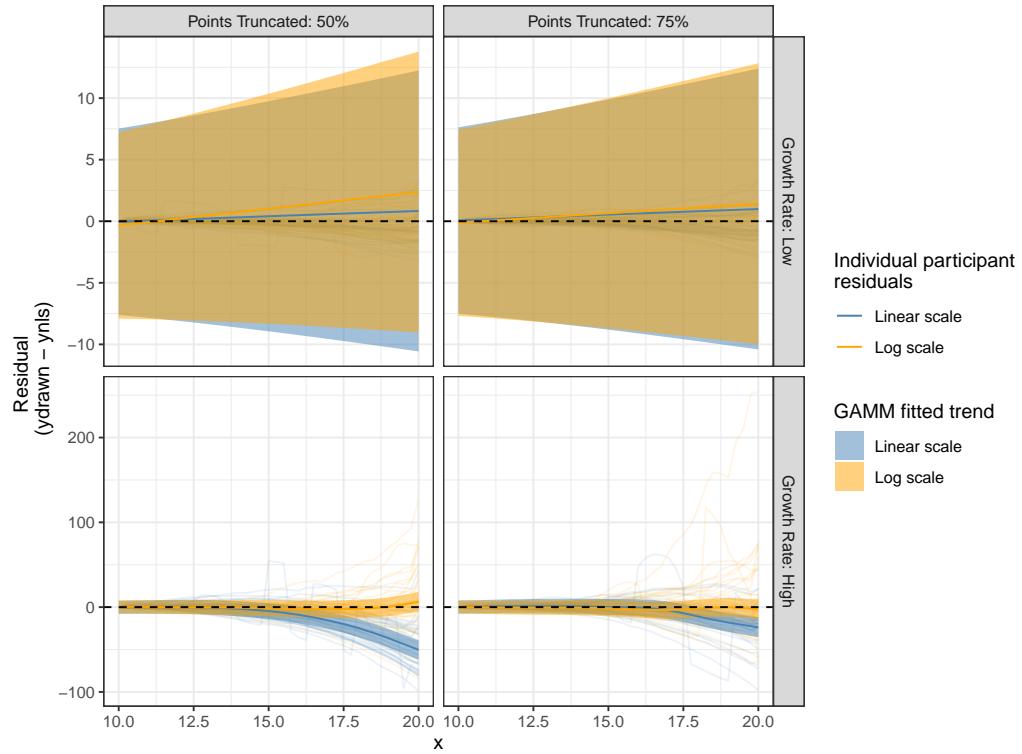


Figure 3.13: Exponential Prediction GAMM Results

data on the log scale. Eye Fitting Straight Lines in the Modern Era replicated the results found in Mosteller et al. (1981). When shown points following a linear trend, participants tended to fit the slope of the first principal component over the slope of the least squares regression line. This trend was most prominent when shown data simulated with larger variances. The reproducibility of these results serve as evidence of the reliability of the you draw it method.

In Prediction of Exponential Trends, the you draw it method was used to test an

individual's ability to make predictions for exponentially increasing data. Results indicate that underestimation of exponential growth occurs when participants were asked to draw trend lines on the linear scale and that there was an improvement in accuracy when trends were drawn on the log scale. This phenomena is strongly supported for high exponential growth rates. Improvement in predictions are made when points along the exponential trend are shown as indicated by the discrepancy in results for treatments with points truncated at 50% compared to 75% of the domain.

The results of this study suggest that there are cognitive advantages to log scales when making predictions of exponential trends. Improvement in predictions were made for trends with high exponential growth rates when participants were asked to draw a trend line on the log scale compared to the linear scale. Further investigation is necessary to determine the implications of using log scales when translating exponential graphs to numerical values.

Chapter 4

Numerical Translation and Estimation

4.1 Introduction

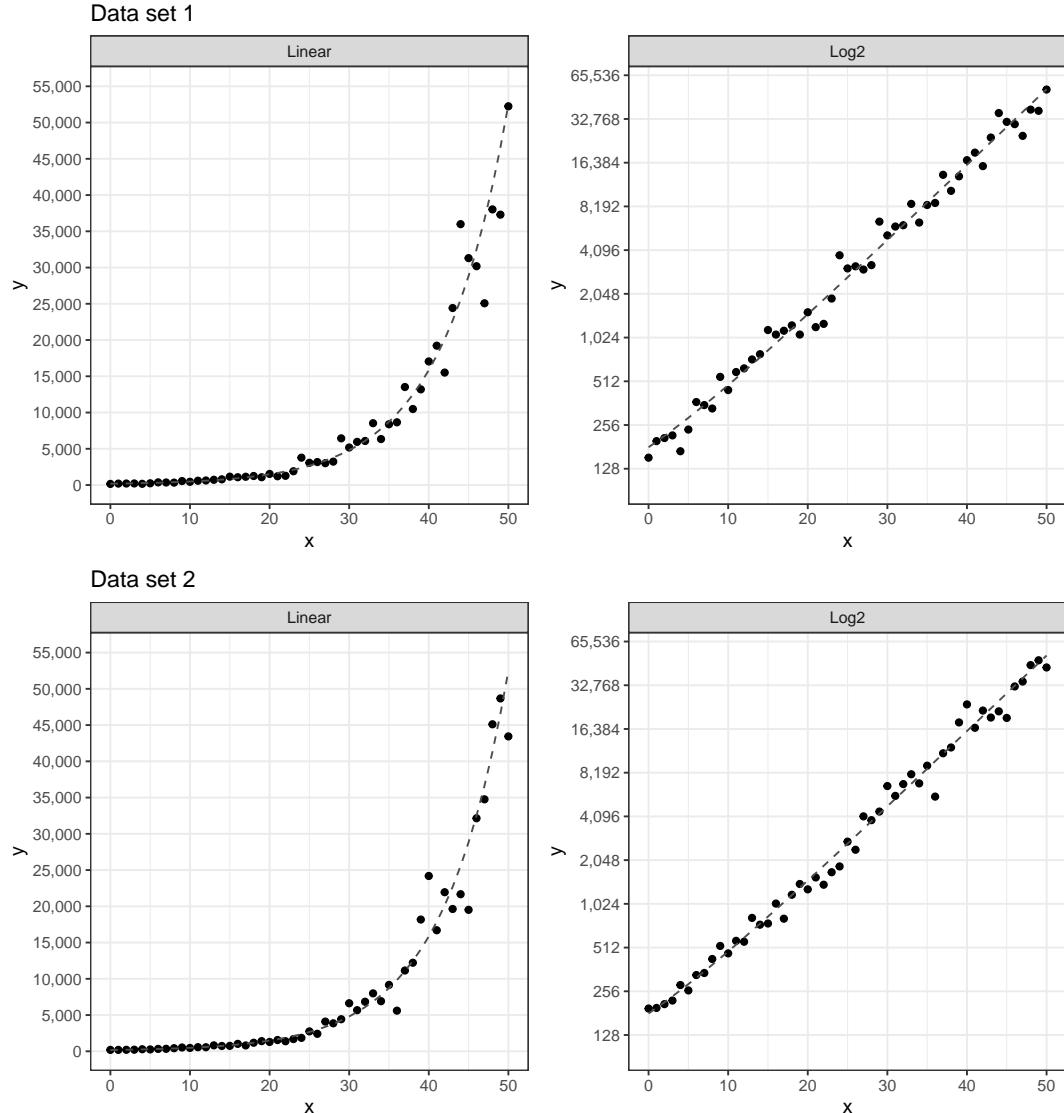
- Such complex inferential processes involve quantitatively transforming the information in the display (e.g., mentally transforming from a linear to logarithmic scale or calculating the difference between two or more data points; Cleveland, 1984, 1985).

Graph Comprehension

- Literal reading the data (Elementary level questions)
- Reading between the data (Intermediate level questions)
- Reading beyond the data (Advanced level questions)

Rounding Estimates & Anchoring

4.2 Data Generation



4.3 Scenarios & Questions

Tribble Scenario:

"Hi, we're Tribbles! We were taken from our native planet, Iota Germinorum IV, and brought abroad Starfleet in stardate 4500. A Starfleet scientist, Edward Larkin,

Table 4.1: Estimation Questions

Question type	Tribble scenario
Open Ended	Between stardates 4530 and 4540, how does the population of Tribbles
Elementary Q1	What is the population of Tribbles in stardate 4510?
Elementary Q2	In what stardate does the population of Tribbles reach 4,000?
Intermediate Q1	From 4520 to 4540, the population increases by _____ Tribbles.
Intermediate Q2	How many times more Tribbles are there in 4540 than in 4520?
Intermediate Q3	How long does it take for the population of Tribbles in stardate 4510 to

genetically engineered us to increase our reproductive rate in an attempt to solve a planetary food shortage. The Tribble population on Starfleet over the next 50 Stardates (equivalent to 1 week universe time) is illustrated in the graph. We need your help answering a few questions regarding the population of Tribbles.”

Ewok Scenario:

“Hi, we’re Ewoks! We are native to the forest moon of Endor. After the Galactic Civil War, some Ewoks traveled offworld to help Rebel veterans as ‘therapy Ewoks’ and began to repopulate. The Ewok population After the Battle of Yavin (ABY) is illustrated in the graph. We need your help answering a few questions regarding the population of Ewoks offworld.”

4.4 Study Design

4.5 Participants

- 302 Participants answered all 12 questions

4.6 Results

4.6.1 Open Ended

- Word clouds go here

4.6.2 Elementary Q1: Estimation of population

4.6.2.1 Direct estimate in date 10

4.6.2.2 First level estimates for dates 10, 20, and 40

4.6.3 Elementary Q2: Estimation of date

4.6.4 Intermediate Q1: Additive increase in population

4.6.5 Intermediate Q2: Multiplicative change in population

4.6.6 Intermeidate Q3: Time until population doubles

4.7 Discussion and Conclusion

- Understanding logarithmic logic is difficult
 - Misunderstanding in QI1 and QI2
- Anchoring, anchoring, anchoring!
 - On the log2 scale, but on base 10 is still strong
- Participants were reading the data points rather than the trends, with a few exceptions
- Log vs Linear Scale
 - linear scale ad greater variability in general (not always)

- Log scale was occasionally more accurate (depends on magnitude and location of point in relation to the grid lines)
- Depended on the values being asked.
- Participants more likely to estimate between grid lines on the linear scale

Chapter 5

Conclusion

Appendix A

You Draw It Setup with Shiny

Interactive plots for the you draw it study were created using the r2d3 package and integrating D3 source code with an R shiny application. Fig. A.1 provides a visual aid of the process of creating the you draw it experimental study in [Chapter 3](#).

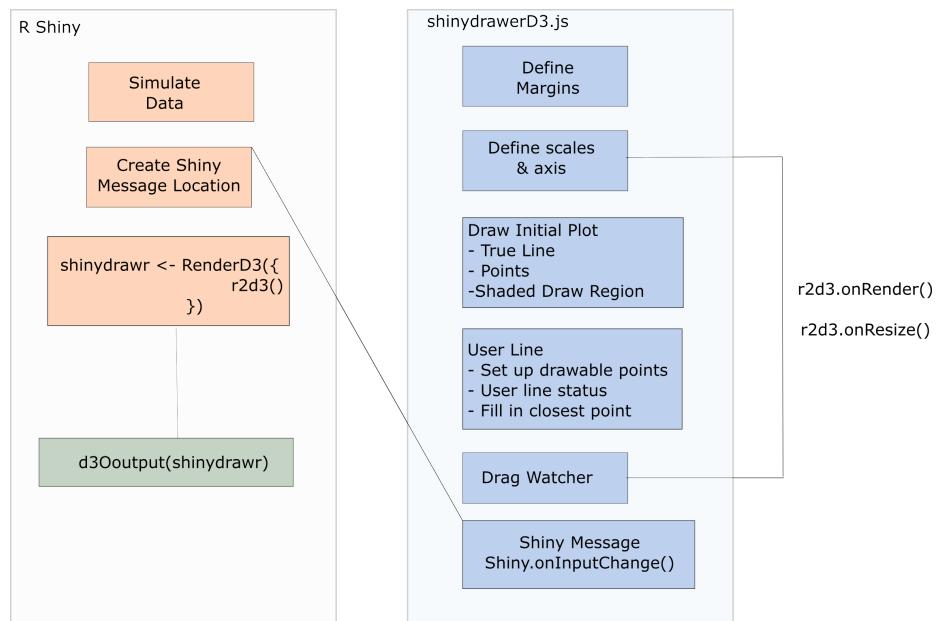


Figure A.1: Interactive plot development

Appendix B

Exponential Prediction Interactive Plots

The figures below illustrate the 8 interactive plots used to test exponential prediction. Two data sets were simulated with low and high exponential growth rates and shown four times each by truncating the points shown at both 50% and 75% of the domain as well as on both the log and linear scales following a 2 x 2 x 2 factorial treatment design.

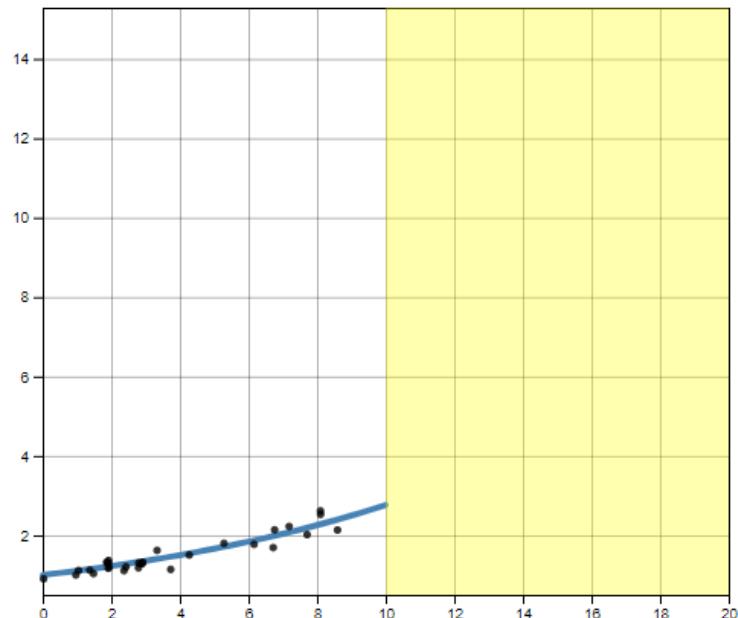


Figure B.1: Exponential Prediction: low growth rate, points truncated at 50%, linear scale

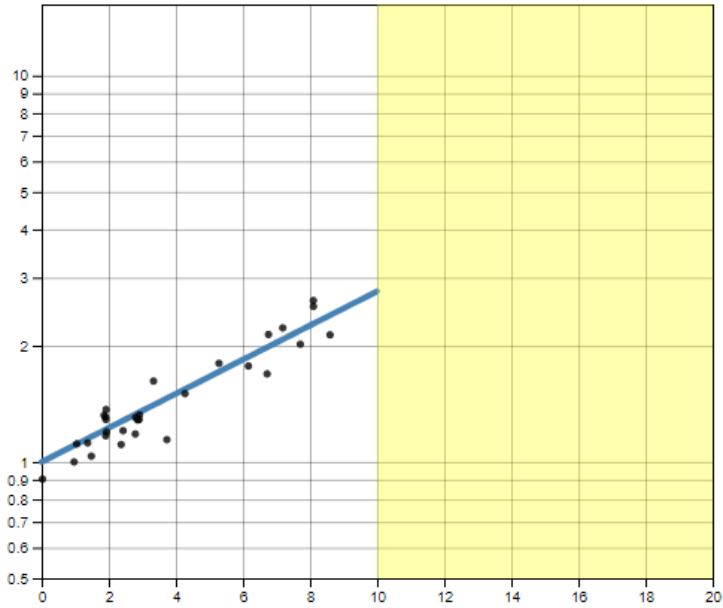


Figure B.2: Exponential Prediction: low growth rate, points truncated at 50%, log scale

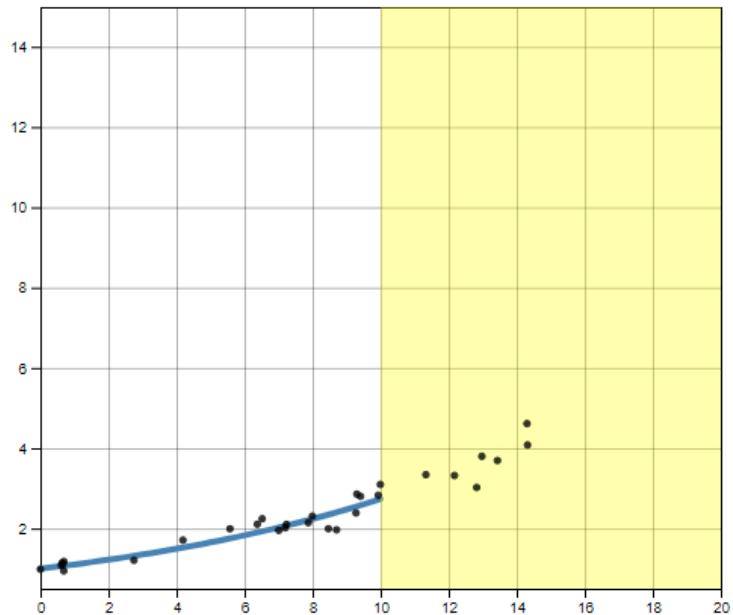


Figure B.3: Exponential Prediction: low growth rate, points truncated at 75%, linear scale

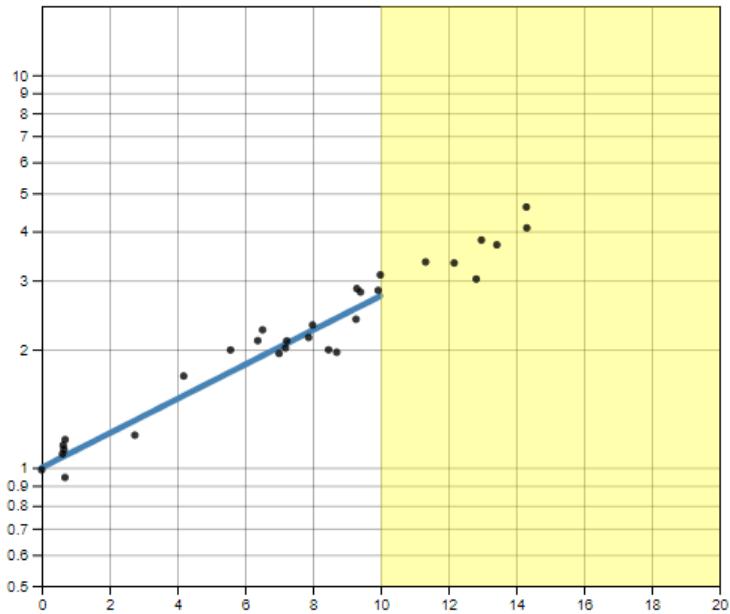


Figure B.4: Exponential Prediction: low growth rate, points truncated at 75%, log scale

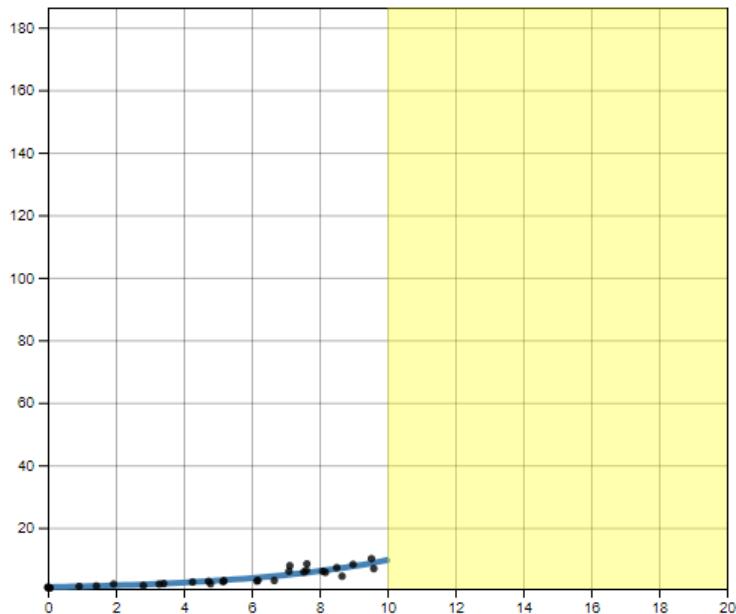


Figure B.5: Exponential Prediction: high growth rate, points truncated at 50%, linear scale

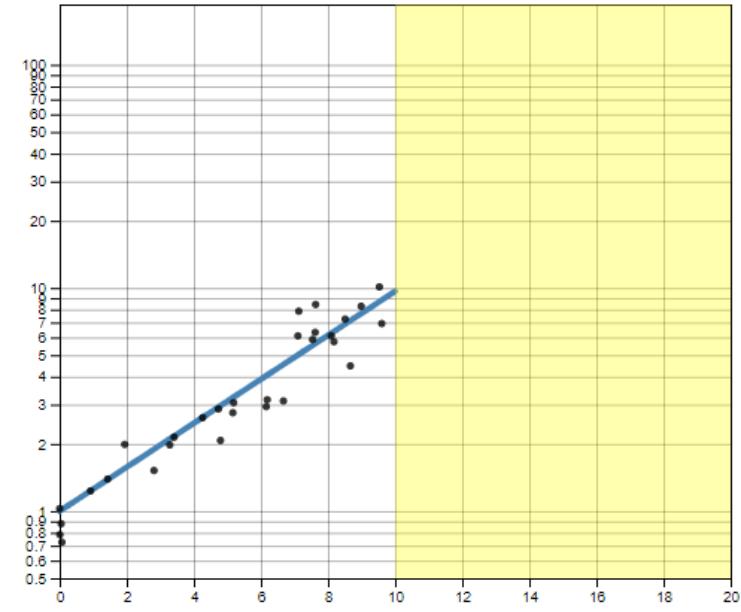


Figure B.6: Exponential Prediction: high growth rate, points truncated at 50%, log scale

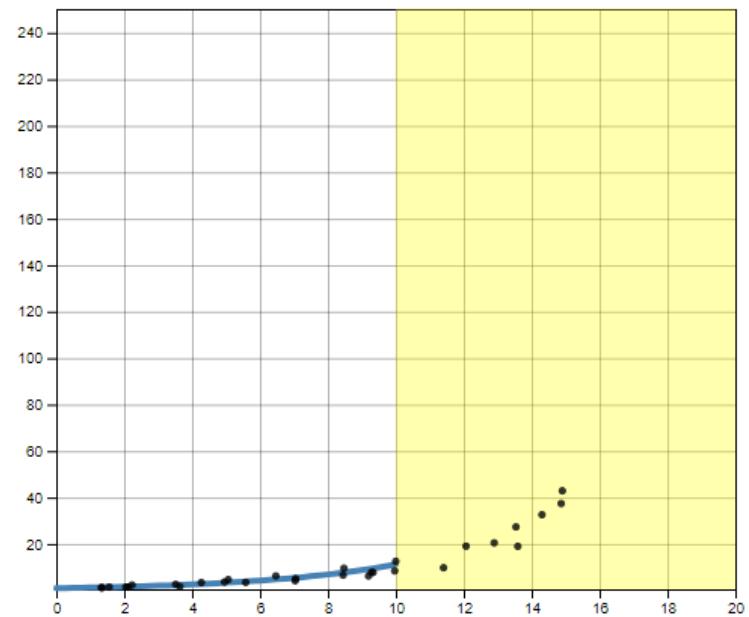


Figure B.7: Exponential Prediction: high growth rate, points truncated at 75%, linear scale

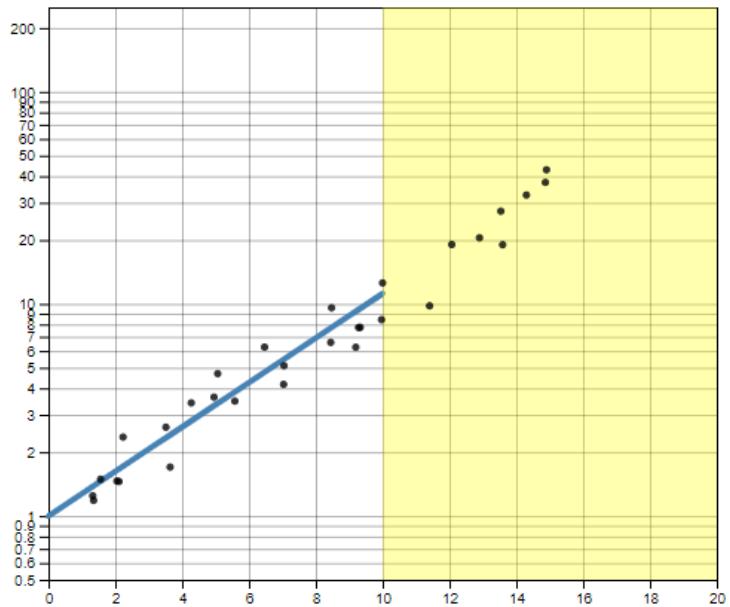


Figure B.8: Exponential Prediction: high growth rate, points truncated at 75%, log scale

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