```
- MODULE OneUpdateMeta
EXTENDS
                Integers, FiniteSets
CONSTANTS
                 CORES,
                MAX - WRITES,
                 WRITE_TO_UPDATE I.e., number of write on which we will trigger the Update
                 variable prefixes -> g:global, d: directory, c: cache/core | VECTORS indexed by cache/core_id
VARIABLES
                 GLOBAL variables
                Msgs,
                gBcstMsg,
                gBcstMsgRcvers,
                 Dir variables
                dOwner,
                dSharers,
                                No sharers/owner: .readers = \{\} / .owner = 0
                dRegPending,
                dState,
                 Cache/core variables
                cState,
                cRcvAcks,
                 data is a monotonically increasing int to check correctness invariants
                cData.
                mData Memory data
vars \triangleq \langle dOwner, dSharers, dRegPending, dState, \rangle
           cState, cRcvAcks, cData,
           mData, Msgs, gBcstMsg, gBcstMsgRcvers
 Helper Definitions
EMPTY_OWNER
 Assumptions
ASSUME Cardinality(CORES) > 0 assume at least 1 cache
ASSUME EMPTY\_OWNER \notin CORES id used for EMPTY\_ONWER should not be used to identify a CORE
 Useful Unchanged shortcuts
                         \stackrel{\triangle}{=} UNCHANGED \langle gBcstMsg, gBcstMsgRcvers \rangle
unchanged\_q
                         \stackrel{\triangle}{=} UNCHANGED \langle mData \rangle
unchanged\_m
                         \stackrel{\triangle}{=} UNCHANGED \langle cState, cRcvAcks, cData \rangle
unchanged\_c
                         \stackrel{\triangle}{=} UNCHANGED \langle dOwner, dSharers, dReqPending, dState \rangle
unchanged\_d
                         \stackrel{\triangle}{=} unchanged\_d \land unchanged\_m
unchanged\_dm
                         \stackrel{\triangle}{=} unchanged\_c \land unchanged\_m
unchanged\_cm
                         \stackrel{\triangle}{=} unchanged\_c \land unchanged\_d
unchanged\_cd
                         \triangleq unchanged\_c \land unchanged\_d \land unchanged\_m
unchanged\_mcd
```

```
unchanged\_gm
                           unchanged\_g \land unchanged\_m
                            unchanged\_c \land unchanged\_gm
unchanged\_gmc
                        \stackrel{\triangle}{=} unchanged\_d \land unchanged\_gm
unchanged_qmd
unchanged\_Msgs
                        \stackrel{\Delta}{=} unchanged \langle Msgs \rangle
unchanged\_mMsqs
                           unchanged\_Msqs \land unchanged\_m
unchanged\_cMsqs
                            unchanged\_Msqs \land unchanged\_c
unchanged\_dMsqs
                            unchanged\_Msqs \land unchanged\_d
                        \stackrel{\Delta}{=}
unchanged\_dmMsqs
                            unchanged\_Msqs \land unchanged\_dm
                            unchanged\_Msqs \land unchanged\_cm
unchanged_cmMsqs
unchanged\_cdMsgs
                           unchanged\_Msgs \land unchanged\_cd
unchanged\_mcdMsgs
                            unchanged\_Msgs \land unchanged\_mcd
unchanged\_gMsgs
                             unchanged\_g \land unchanged\_Msgs
unchanged\_gmMsgs
                             unchanged\_g \land unchanged\_mMsgs
                            unchanged\_g \land unchanged\_cMsgs
unchanged\_gcMsgs
unchanged\_qdMsqs
                             unchanged\_q \land unchanged\_dMsqs
unchanged\_qdmMsqs
                             unchanged\_q \land unchanged\_dmMsqs
unchanged\_gcmMsgs
                             unchanged\_g \land unchanged\_cmMsgs
unchanged_qcdMsqs
                            unchanged\_g \land unchanged\_cdMsgs
unchanged\_gmcdMsgs \stackrel{\triangle}{=} unchanged\_g \land unchanged\_mcdMsgs
 Type definitions
                 \stackrel{\Delta}{=} 0 \dots 1
Type\_binary
                 \stackrel{\triangle}{=} 0 \dots MAX\_WRITES
Type\_Data
                 \stackrel{\triangle}{=} { "M", "0", "E", "S", "I"} all nodes start from I
Type\_State
Msgs send by requester
Type\_rMsq \triangleq
   [type: { "GetS", "GetM" }, sender: CORES]
Type\_uMsq \triangleq
   [type : { "Upd" },
                                 data: Type\_Data,
                                 sender : CORES.
                                 receiver : CORES
Msgs send by directory
Type_iMsq \stackrel{\Delta}{=}
   [type: {"DInv"}],
                                sender : CORES,
                                                          initial sender (i.e., requester)
                                receiver : CORES
Type\_dMsg \triangleq Type\_iMsg \cup
   [type: { "Fwd-GetM", "Fwd-GetS" },
                                  sender : CORES,
                                                          initial sender (i.e., requester)
                                   receiver : CORES
```

```
Type\_sMsg \triangleq
    [type: {\text{"SAck, UAck"}},
                                     sender : CORES,
                                     receiver : CORES
    [type: \{ \text{``SData''},
              "SAckData" \},
                                     data: Type\_Data,
                                     sender : CORES,
                                     receiver : CORES
Type\_bcastMsg \triangleq Type\_uMsg \cup Type\_iMsg
Type\_msg \stackrel{\triangle}{=} Type\_sMsg
      \cup Type_rMsg
      \cup Type_uMsq
      \cup Type_iMsg
      \cup Type\_dMsg
      \cup Type_sMsg
 Type check and initialization
ATypeOK \triangleq
                    The type correctness invariant
                    GLOBAL variables
                \land Msgs
                                     \subseteq Type\_msg
                \land gBcstMsg
                                      \in Type\_bcastMsg
                \land gBcstMsgRcvers \subseteq CORES
                  Directory/memory variables
                \wedge dOwner
                                      \in CORES
                                     \subseteq CORES
                \land dSharers
                \wedge dReqPending
                                      \in Type\_binary
                                       \in Type\_State
                \land dState
                \land cState
                                       \in \mathit{Type\_State}
                                       \in Type\_Data
                \land \ mData
                  Core/cache variables
                \land \forall n \in CORES : cData[n]
                                                        ∈ Type_Data
                \land \forall n \in CORES : cState[n]
                                                        \in \mathit{Type\_State}
                \land \forall n \in CORES : cRcvAcks[n] \subseteq (CORES \setminus \{n\})
AInit \triangleq
             The initial predicate
                 GLOBAL variables
               \land Msgs
              \land gBcstMsg
                                      = \{\}
               \land gBcstMsgRcvers = \{\}
                 Directory/memory variables
               \wedge mData
                                  =0
                                  = "1"
               \wedge dState
                                  = EMPTY\_OWNER
               \wedge \ dOwner
```

```
\land \ dReqPending = 0
                 Core/cache variables
                                  = [n \in CORES \mapsto 0]
               \wedge cData
               \wedge cRcvAcks
                                  = [n \in CORES \mapsto \{\}]
               \land cState
                                  = [n \in CORES \mapsto "I"]
 TODO may add sanity check that m already exists in the set before delivering it
deliver\_Msg(m) \stackrel{\triangle}{=} Msgs' = Msgs \setminus \{m\}
 TODO may add all messages to one set from which we do not remove msgs for debugging (w/ a global counter)
\_send\_Msg(m) \stackrel{\triangle}{=} Msgs' = Msgs \cup \{m\}
\_send\_Msg\_with\_data(\_type, \_sender, \_receiver, \_data) \stackrel{\triangle}{=}
         \_send\_Msg([type]
                                    \mapsto \_type,
                                    \mapsto \_data,
                         data
                         sender \mapsto \_sender,
                         receiver \mapsto \_receiver)
\_send\_Msg\_simple(\_type, \_requester, \_receiver) \stackrel{\Delta}{=}
         \_send\_Msg([type]
                                     \mapsto \_type,
                         sender \mapsto \_requester,
                         receiver \mapsto \_receiver
\_resp\_Msg(m, new\_m) \stackrel{\triangle}{=} Msgs' = (Msgs \setminus \{m\}) \cup \{new\_m\}
\_resp\_Msq\_simple(m, \_type) \triangleq
         \_resp\_Msg(m, [type])
                                         \mapsto \_type,
                             sender
                                         \mapsto m.receiver,
                             receiver \mapsto m.sender])
\_resp\_Msg\_with\_data(m, \_type) \triangleq
         \_resp\_Msg(m, [type])
                                         \mapsto \_type,
                             data
                                         \mapsto cData[m.receiver],
                             sender \mapsto m.receiver,
                             receiver \mapsto m.sender)
                          \triangleq \_resp\_Msg\_simple(m, "UAck")
resp\_UAck(m)
                          \triangleq \_resp\_Msg\_simple(m, "SAck")
resp\_SAck(m)
                          \stackrel{\triangle}{=} \_resp\_Msg\_with\_data(m, "SData")
resp\_SData(m)
resp\_SDataAck(m) \stackrel{\triangle}{=} resp\_Msg\_with\_data(m, "SDataAck")
ucst\_FwdGetM(\_requester, \_receiver) \stackrel{\Delta}{=}
         _send_Msg_simple("Fwd-GetM", _requester, _receiver)
ucst\_FwdGetS(\_requester, \_receiver) \stackrel{\triangle}{=}
         _send_Msg_simple("Fwd-GetS", _requester, _receiver)
```

 \land dSharers

```
\_bcst\_msg(\_requester, \_receivers, \_msg) \stackrel{\triangle}{=}
          LET rcver \stackrel{\triangle}{=} CHOOSE x \in \_receivers : TRUEIN
                 \land gBcstMsgRcvers' = \_receivers \setminus \{rcver\}
                 \land gBcstMsg' = \{[\_msg \ EXCEPT \ !.receiver = rcver]\}
bcst\_DInv(\_requester, \_receivers) \stackrel{\Delta}{=}
          \_bcst\_msg(\_requester, \_receivers,
                                            \mapsto "DInv"
                                 [type]
                                  sender \mapsto \_requester,
                                  receiver \mapsto 0
bcst\_Upd(\_requester, \_receivers, \_data) \stackrel{\triangle}{=}
            \_bcst\_msg(\_requester, \_receivers,
                                               \mapsto "Upd",
                                   [type]
                                    data
                                               \mapsto \_data,
                                    sender \mapsto \_requester,
                                    receiver \mapsto 0
rcv\_unicast(m, receiver, type) \stackrel{\Delta}{=}
                 \land m.type = type
                 \land m.receiver = receiver
rcv\_UAck(m, receiver)
                                       \stackrel{\triangle}{=} rcv\_unicast(m, receiver, "UAck")
                                       \stackrel{\triangle}{=} rcv\_unicast(m, receiver, "SAck")
rcv\_SAck(m, receiver)
                                       \stackrel{\triangle}{=} rcv\_unicast(m, receiver, "SData")
rcv\_SData(m, receiver)
rcv\_SDataAck(m, receiver) \triangleq rcv\_unicast(m, receiver, "SDataAck")
rcv\_Upd(m, receiver)
                                        \stackrel{\triangle}{=} rcv\_unicast(m, receiver, "Upd")
                                        \stackrel{\triangle}{=} rev\_unicast(m, receiver, "Dlnv")
rcv\_DInv(m, receiver)
rcv\_FwdGetM(m, receiver) \stackrel{\triangle}{=} rcv\_unicast(m, receiver, "Fwd-GetM")
rcv\_FwdGetS(m, receiver) \triangleq rcv\_unicast(m, receiver, "Fwd-GetS")
 Helper functions
is\_M(n) \stackrel{\triangle}{=} cState[n] = \text{"M"}
is_{-}O(n) \triangleq cState[n] = "O"
is\_E(n) \stackrel{\triangle}{=} cState[n] = "E"
is\_S(n) \stackrel{\triangle}{=} cState[n] = "S"
is_{-}I(n) \stackrel{\triangle}{=} cState[n] = "I"
rcved\_acks\_from\_set(n, set) \stackrel{\triangle}{=} set
                                                                   \subseteq cRcvAcks[n]
                                        \triangleq (dSharers \setminus \{n\}) \subseteq cRcvAcks[n]
rcved\_all\_sharer\_acks(n)
has\_valid\_data(n)
                                        \stackrel{\Delta}{=} \neg is_{-}I(n)
                                        \stackrel{\triangle}{=} cData' = [cData \ \text{EXCEPT} \ ![n] = cData[n] + 1]
set\_next\_data\_value(n)
has\_not\_reached\_final\_value \stackrel{\Delta}{=} \forall n \in CORES : cData[n] < MAX\_WRITES + 1
```

```
\stackrel{\triangle}{=} \neg is_{-}I(n)
is\_sharer(n)
is\_exclusive(n) \triangleq is\_M(n) \lor is\_E(n)
                    \stackrel{\triangle}{=} is_{-}O(n) \lor is_{-}M(n)
is\_owner(n)
upd\_core\_data(n, \_data) \stackrel{\triangle}{=} cData' = [cData \ \text{EXCEPT} \ ![n] = \_data]
rd\_mem\_data(n) \stackrel{\triangle}{=} upd\_core\_data(n, mData)
upd\_mem\_data(n) \triangleq mData' = cData[n]
Min(S) \stackrel{\triangle}{=} CHOOSE \ x \in S:
                 \forall y \in S \setminus \{x\}:
                    y > x
 Protocol Invariants:
 memory data consistency invariant
MEM\_DATA\_CONSISTENT \stackrel{\triangle}{=}
          \vee \exists n \in CORES : is\_owner(n)
          \lor \forall \, n \in \mathit{CORES} : \mathit{cData}[n] \leq \mathit{mData}
 All valid core/cache data are consistent
CORE\_DATA\_CONSISTENT \triangleq
    \forall o, k \in CORES : \lor \neg is\_I(o)
                              \lor \land cData[o] \ge mData
                                 \land cData[o] \ge cData[k]
 There is always at most one owner
AT\_MOST\_ONE\_OWNER \triangleq
    \forall n, m \in CORES : \lor m = n
                               \vee \neg is\_owner(n)
                               \vee \neg is\_owner(m)
IF\_EXLUSIVE\_REST\_INV \triangleq
     \vee \neg \exists n \in CORES : is\_exclusive(n)
     \forall n \in CORES : \forall is\_I(n)
                               \vee is\_exclusive(n)
CONSISTENT\_OWNER \triangleq
    \forall n \in CORES : \lor dOwner = EMPTY\_OWNER
                          \lor dReqPending = 1
                          \lor cState[dOwner] = dState
 Directory correctly indicates owner and sharers
CONSISTENT\_DIRECTORY\_OWNER \triangleq
    \forall n \in CORES : \lor dOwner = n
                          \vee \neg is\_owner(n)
```

todo check the correctness of the following

$CONSISTENT_DIRECTORY_SHARERS \ \triangleq$

 $\forall \, k \in \mathit{CORES} : \, \forall \, \mathit{is_I}(k) \\ \quad \forall \, k \in \mathit{dSharers}$

The owner and readers are always correctly reflected by any valid sharing vectors <code>INVARIANTS</code> $\stackrel{\Delta}{=}$

- $\land \mathit{MEM_DATA_CONSISTENT}$
- \land CORE_DATA_CONSISTENT
- $\land AT_MOST_ONE_OWNER$
- $\land \mathit{IF_EXLUSIVE_REST_INV}$
- $\land \ CONSISTENT_OWNER$
- $\land \ CONSISTENT_DIRECTORY_OWNER$
- $\land \ CONSISTENT_DIRECTORY_SHARERS$

7