# CASA-CNP Model Testbed

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User's Manual and Technical Documentation

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## 2   Overview of the CASA-CNP testbed

The CASA-CNP testbed (currently named *casaclm_mimics_corpse*) has been developed to test different soil organic matter (SOM) cycling models with a common set of environmental conditions and litter input. At the heart of the testbed is the Carnagie-Aimes-Stanford Approach terrestrial biosphere model (CASA-CNP) (created by (Potter, Randerson et al. 1993), with modifications by (Randerson, Thompson et al. 1996, Randerson, Thompson et al. 1997); and with N and P biogeochemistry as implemented by (Wang, Law et al. 2010). We use the carbon-only calculations of CASA-CNP to calculate net primary productivity (NPP), carbon allocation to different tissues (roots, wood, and leaves), as well as the timing of plant mortality and litterfall. Currently, one of three different SOM cycling models can be selected to compute organic matter transformations, soil carbon stocks, and heterotrophic respiration (Figure 1). These SOM models include one first-order model, the SOM cycling model in CASA-CNP (Wang et al. 2010), and two microbial explicit models, the MIcrobial-MIneral Carbon Stabilization (MIMICS) model (Wieder, Grandy et al. 2014, Wieder, Grandy et al. 2015) and the Carbon, Organisms, Rhizosphere and Protection in the Soil Environment (CORPSE) model (Sulman, Phillips et al. 2014). If the CASA-CNP SOM model is selected, the testbed can be run with carbon only, with carbon and nitrogen only, or with carbon and nitrogen and phosphorus. Currently, the testbed is run in carbon-only mode when either MIMICS or CORPSE is selected since these models do not yet include N-cycling.

Data inputs were generated by the Community Land Model (CLM version 4.5) using a satellite phenology scheme forced with the Cru-NCEP climate reanalysis (Koven, Riley et al. 2013, Oleson, Lawrence et al. 2013). This standard configuration of CLM generated globally gridded daily output of gross primary

productivity (GPP), air temperature, along with soil temperature, liquid soil moisture, and frozen soil moisture in 10 CLM soil layers (top 343 cm of soil) for the historical period (1901-2010). Static soil property inputs to the testbed including soil texture (sand, silt, clay fractions) and volumetric soil water content at wilting point, field capacity, and saturation inputs to the testbed were depth-weighted means in the top 50 cm of soil from the CLM surface data set (Oleson, Lawrence et al. 2013). The testbed assigned a single plant functional type (PFT) to each 2° x 2° grid cell, computed as the mode from the 1-km International Geosphere–Biosphere Program Data and Information System (IGBP DISCover) data set with 18 vegetation types, including grassy tundra (Loveland, Reed et al. 2000) (National Center for Atmospheric Research Staff (Eds). Last modified 10 Feb 2017. "The Climate Data Guide: CERES: IGBP Land Classification." Retrieved from https://climatedataguide.ucar.edu/climate-data/ceres-igbp-land-classification) (Figure 2). CASA-CNP defines biome-specific parameters corresponding to each PFT (Table 10 to Table 25).

The daily time-dependent forcings required by the testbed include mean air temperature, atmospheric nitrogen deposition, Gross Primary Production (GPP), and soil temperature and volumetric soil water content for five soil layers in the top 150 cm of soil. CASA-CNP computes the depth-weighted mean soil temperature and depth-weighted volumetric soil water content in the rooting zone using to the PFT-specific root depth and root distribution to weight the contribution from each soil layer.

The testbed computes average soil temperature, liquid soil moisture, and frozen soil moisture as depth-weighted means in the rooting zone (50 to 150 cm) according to the PFT-specific root depth and root distribution within the six CASA-CNP soil layers (section "Fraction of root biomass in each CASA-CNP soil layer"). The three models in the testbed use only these mean soil moisture and mean soil temperature for their calculations rather than the vertically-resolved values. Only liquid soil moisture was considered when computing soil moisture limits on growth in the CASA-CNP vegetation model and soil moisture decomposition effects for both CASA-CNP and CORPSE soil organic models. Additionally, CORPSE required frozen soil moisture to calculate air-filled pore space. MIMICS does not consider soil moisture effects on decomposition.
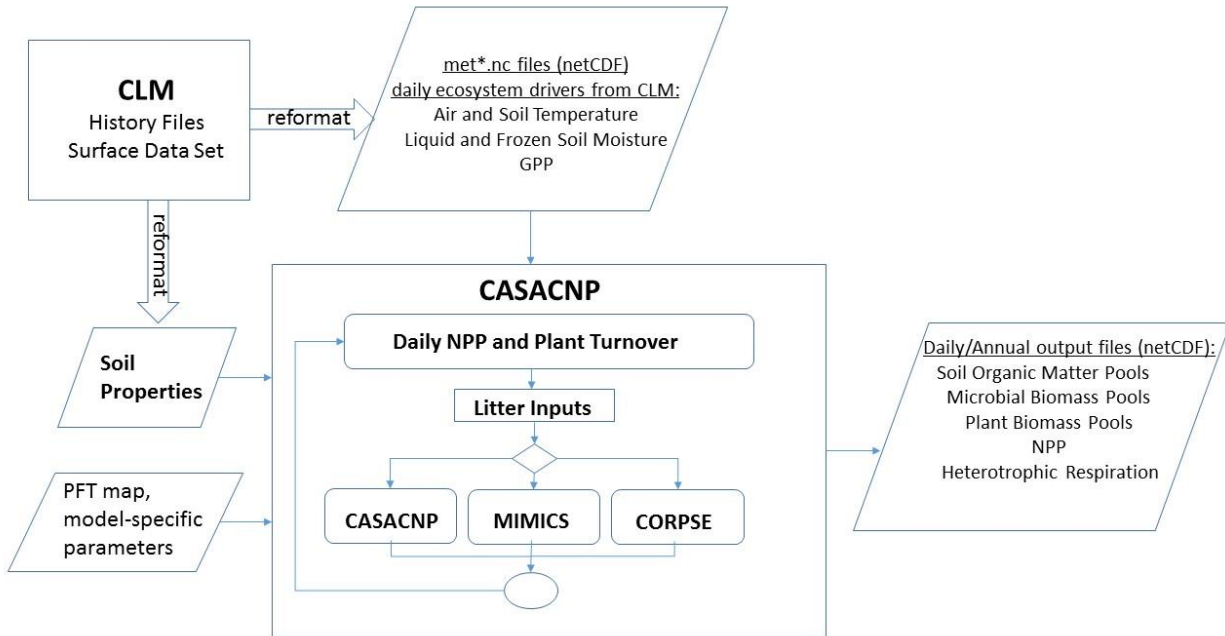
*Figure 1. Schematic of the CASA-CNP testbed.*

Currently the testbed is set up to run the land cells on the 2° x 2° global CLM grid. The grid resolution of the testbed is flexible and can be modified by updating the resolution of the input files; it can even be configured to run for a single point or field site. Although CLM defines multiple PFTs in each 2° x 2° grid cell, CASA-CNP defines only a single PFT per each grid cell. The testbed does not simulate cells that are designated as urban, wetlands, water, or ice. The daily GPP forcings are the total daily GPP that CLM reported for the grid cell even though CLM's results are the aggregate of multiple PFTs.

Post processing of CLM history files was required to format input data that could be read into the biogeochemical testbed (Section 2.1. Creating input files for the CASA testbed with netcdfTools). Other grid cell-specific properties, including Plant Functional Types (PFTs) and timing of phenology, were derived independent of the CLM model as described below.

**CERES Map Land Classification**

file: IGBPa_1198.map

| | |
|---|---|
| 1 Evergreen Needleleaf | 4 Deciduous Broadleaf |
| 2 Evergreen Broadleaf | 5 Mixed Forest |
| 3 Deciduous Needleleaf | 6 Closed Shrublands |

| | |
|---|---|
| 7 Open Shrublands | 10 Grasslands |
| 8 Woody Savannas | 11 Permanent Wetlands |
| 9 Savannas | 12 Croplands |

| | |
|---|---|
| 13 Urban and Built-up | 16 Bare Soil and Rocks |
| 14 Cropland Mosaics | 17 Water Bodies |
| 15 Snow and Ice | 18 Tundra |

*Figure 2. CERES land classification from IGBPa_1198.map.nc. Surface types 1 - 17 correspond to those defined by IGBP (International Geosphere Biosphere Programme). The 18th surface type (tundra) was defined for CERES. The IGBP surface type for snow and ice number 15, is for permanent snow and ice. (Climate Data Guide; D. Shea). https://climatedataguide.ucar.edu/climate-data/ceres-igbp-land-classification.*

Given daily meteorological inputs and daily gross primary production (GPP) as forcings, the primary modifications to CASA-CNP to develop this testbed were:

- MIMICS and CORPSE were added as alternative SOM models to the CASA-CNP first-order model
- The testbed specifies soil properties by grid cell instead of assigning a soil type to each grid cell. Originally CASA-CNP had 9 soil *types* where each type hard-coded values for soil texture and water holding capacities.
- Gridded daily input for meteorological and other time-dependent data is through netCDF (met.nc) files instead of text files.
- N deposition is a time-dependent daily input instead of a single average annual input.
- The testbed has capability to run transient simulations. Transient simulations read a series of annual forcing files and maintain the state of the model between each year; no stop/restart is required.  In this way, the model can be driven with a long continuous climate record instead of recycling a meteorological record with a finite number of years. Transient runs require one met.nc file for each calendar year of the transient simulation.  Non-transient runs require a single met.nc file that is read over and over; this file can contain up to five complete years of daily inputs.

- The testbed saves daily or mean annual output each year to netCDf files. Formerly output was saved at the end of the simulation only to .csv files.
- As with CASA-CNP, the testbed outputs the state of vegetation and soil pools at the end of the simulation to .csv files. These "restart" files can be used to initialize subsequent runs.

Run-time options for the testbed are specified in a text file (fcasacnp_clm_testbed.lst). These run-time options include the frequency of output (annual or daily), the names of the model-specific parameter files, the names of meteorological forcing files, the SOM cycling model to run (i.e. CASA-CNP, MIMICS, or CORPSE), the type of simulation (spinup or transient), the name of the restart files to initialize the models, and the names of the output files.

CASA-CNP calculates NPP from GPP by accounting for autotrophic respiration losses and nutrient limitation (Wang, Law et al. 2010). Nutrient limitation is not relevant when CASA-CNP is run in carbon-only mode. CASA-CNP computes the exact same NPP and litter inputs regardless of SOM model selected; in the carbon-only mode there is no feedback of the SOM model to the plant model.

The testbed provides the ability to perturb soil temperatures and/or air temperatures as a step increment during a simulation. Soil temperature perturbations affect soil organic matter turnover rates and heterotrophic respiration (all SOM models), root maintenance and growth respiration (and therefore NPP and litterfall rates), and N mineralization and N leaching when CASA-CNP simulates N cycling.  Air temperature perturbations affect wood maintenance and growth respiration (and therefore NPP and litterfall rates), labile C (carbohydrate) storage (and therefore NPP and litterfall rates), and cold effects on leaf litter fall.

The simulations for each SOM model are usually carried out in three steps.
1. Initialize CASA-CNP vegetation pools with a "pre-spin" simulation. We ran the testbed with 1901 climate for 100 years. This pre-spin creates more stable vegetation pools and litter inputs for subsequent simulations; the microbial pools of MIMICS and CORPSE are sensitive to changes in litter inputs. The state of the vegetation pools (but not SOM pools) from this pre-spin simulation is used to initialize spinup runs for all SOM models.
2. We executed spinup simulations by driving each SOM model with 1901-1920 forcings over and over until organic matter pools reached equilibrium. An SOM model was considered to be in equilibrium when all three of the following criteria were met between 20-year cycles: global litter plus soil carbon stocks changed less than 0.01Pg, total litter plus soil carbon in less than 2% of grid cells changed more than 1 g C m$^{-2}$, and total litter plus soil carbon in less than 2% of grid cells changed more than 0.1%. Spinup times varied between models: a) CASA-CNP required 10,000 years of an accelerated spinup followed by 10,00 years of normal spinup in order to reach equilibrium. The passive pool, the pool with the slowest turnover rate, required the longest spinup time. For the accelerated spinup, the decomposition rates were increased 10 times.  Pools were multiplied by 10 before starting the normal spinup phase; b) MIMICS organic matter pools required 12,000 years to reach equilibrium.   The physically protected pool required the longest spinup time; c) CORPSE organic matter pools required 50,000 years to reach equilibrium.  The recalcitrant litter pool required the longest spinup time.
3. Run transient simulations using CRU/NCEP weather from 1901 – 2010. The transient simulations were initialized from restart files created by the spinup runs.

## 2.1 Creating input files for the CASA testbed with netcdfTools

The *netcdfTools* program can be used to create several input files for the testbed by reformatting CLM history files and surface data. The following are three files that *netcdfTools* creates. More information about the format of these files can be found in the next section.

### 2.1.1 Meteorological inputs (met*.nc files)

*The testbed requires* meteorological input files in netCDF format (the *met*.nc files*). These files are extracted from CLM history files. Non-transient runs require either a single (multi-year) *met.nc* file or a subset of consecutive single-year met.nc files that are read over and over. Transient runs require one met.nc file for each calendar year of the transient simulation. Met.nc files that contain data for the entire grid are limited to a maximum of 5 years since this amount of data is about 2GB, the maximum size of a netCDF file. Met.nc files for point simulations can have hundreds of years.

### 2.1.2 Grid cell locations and PFT coverage (gridinfo_igbpz.csv)

For each grid cell in the CLM grid, this file contains one row with a grid cell id, latitude, longitude, PFT, land area, and some other information. Note that the Ndep (Nitrogen deposition) column in this file is now ignored; since August 22, 2016 daily Ndep is stored in the met.nc file.

### 2.1.3 Grid cell soil properties (gridinfo_soil.csv)

For each grid cell in the CLM grid, this file contains one row with the cell's soil properties. Soil properties are derived from the CLM surface data set and include sand, clay, and silt, fractions and volumetric soil water content at wilting point (wwilt), field capacity (wfiel), and saturation (wsat).

### 2.1.4 Compiling and running the netcdfTools executable

If the *netcdfTools* executable does not exist or needs to be recompiled for any reason, change to the CASACLM/NetCDFTools/SOURCE directory, remove any object files (to be safe), and type the following "make" command:

```
cd CASACLM/NetCDFTools/SOURCE
rm *.o *.mod
make -f Makefile.txt netcdfTools
```

If the code fails to compile, the makefile, Makefile.txt, may need to be updated with the specifics (compiler and pathnames) for your system. Next, copy the *netcdfTools* executable to the location where it will be run. The Linux *chmod* command will make it executable in case the file permissions get changed when it is copied. For example

```
cp netcdfTools ..
cd ..
chmod 755 netcdfTools
```

The *netcdfTools* program reads a text file named files.ini and a .csv file named clmGrid_IGBP.csv. It creates one met_yyyy_yyyy.nc file (yyyy and yyyy are the starting and ending years of data stored in the file) and two .csv files (gridinfo_igbpz.csv and gridinfo_soil.csv) per simulation.

Example of files.ini:

h1          ! file type (h0=monthly, h1=daily)
19010101   ! start (yyyymmdd)
19051231   ! end (yyyymmdd)
/project/CASACLM/clm_forcing/CRU_hist/clm4_5_12_r191_CLM45spHIST_CRU.clm2.h1.1901-01-01-00000.nc
/project/surfdata_1.9x2.5_simyr1850_c130421.nc
/project/fndep_clm_hist_simyr1849-2006_1.9x2.5_c100428.nc
./CO2_1768-2010.txt

### 2.1.4.1   Explanation of files.ini content

Line 1: Use h1 (daily), h0 no longer works.
Line 2: First day for met_yyyy_yyyy.nc file (best to start Jan. 1$^{st}$.)
Line 3: Last day for met_yyyy_yyyy.nc file (best to end Dec. 31$^{st}$, don't exceed 5 years for 2° x 2° grid)
Line 4: Name of CLM history file for the first year (netCDF format)
Line 5: Name of CLM surface data set (netCDF format)
Line 6: Name of CLM N deposition file (netCDF format)
Line 7: Name of text file with annual global mean $CO_2$ concentrations.

### 2.1.4.2   Executing netcdfTools

Once the initialization file (*files.ini*) has been set up, type the following two commands at the command line prompt.  The module load command needs only to be run once for each Linux session.

```
module load tool/netcdf/4.3.2/gcc
./netcdfTools
```

An error similar to the following will occur if the module load command is not executed before running *netcdfTools*:

> *./netcdfTools: error while loading shared libraries: libnetcdff.so.6: cannot open shared object file: No such file or directory*

The netcdf module version may change with time. To determine the NetCDF version available, type "module avail" at the command line to see available modules.

To create more than one *met.nc*, one could use a script to create met.nc files in batch. The perl script run-netcsftools.pl is an example of how to do this. To run this script, update the file paths in the script to match your directory structure then type the following at the command line:

```
perl run-netcdftools.pl
```

### 2.1.4.3   Format of CLM history files and surface data set required by netcdfTools

The netcdfTools program expects the CLM netCDF files to be in a specific format – it is looking for specific variable names, dimension names, and dimension orders (Table 1, Table 2).  If the format of the input files is altered, then one will likely need to update the source code and recompile the program to accommodate the format change.

*Table 1. Example of netCDF header from CLM history file*

```
netcdf clm4_5_12_r191_CLM45spHIST_CRU.clm2.h1.1850-01-01-00000 {
dimensions:
        lon = 144 ;
        lat = 96 ;
        gridcell = 5663 ;
        landunit = 21260 ;
        column = 69848 ;
        pft = 160456 ;
        levgrnd = 15 ;
        levurb = 5 ;
        levlak = 10 ;
        numrad = 2 ;
        levsno = 5 ;
        ltype = 9 ;
        nlevcan = 1 ;
        nvegwcs = 4 ;
        natpft = 17 ;
        string_length = 8 ;
        scale_type_string_length = 32 ;
        levdcmp = 1 ;
        hist_interval = 2 ;
        time = UNLIMITED ; // (365 currently)
variables:
        float levgrnd(levgrnd) ;
                levgrnd:long_name = "coordinate soil levels" ;
                levgrnd:units = "m" ;
        float levlak(levlak) ;
                levlak:long_name = "coordinate lake levels" ;
                levlak:units = "m" ;
        float levdcmp(levdcmp) ;
                levdcmp:long_name = "coordinate soil levels" ;
                levdcmp:units = "m" ;
        float time(time) ;
                time:long_name = "time" ;
                time:units = "days since 1850-01-01 00:00:00" ;
                time:calendar = "noleap" ;
                time:bounds = "time_bounds" ;
        int mcdate(time) ;
                mcdate:long_name = "current date (YYYYMMDD)" ;
```

```
int mcsec(time) ;
        mcsec:long_name = "current seconds of current date" ;
        mcsec:units = "s" ;
int mdcur(time) ;
        mdcur:long_name = "current day (from base day)" ;
int mscur(time) ;
        mscur:long_name = "current seconds of current day" ;
int nstep(time) ;
        nstep:long_name = "time step" ;
double time_bounds(time, hist_interval) ;
        time_bounds:long_name = "history time interval endpoints" ;
char date_written(time, string_length) ;
char time_written(time, string_length) ;
float lon(lon) ;
        lon:long_name = "coordinate longitude" ;
        lon:units = "degrees_east" ;
        lon:_FillValue = 1.e+36f ;
        lon:missing_value = 1.e+36f ;
float lat(lat) ;
        lat:long_name = "coordinate latitude" ;
        lat:units = "degrees_north" ;
        lat:_FillValue = 1.e+36f ;
        lat:missing_value = 1.e+36f ;
float area(lat, lon) ;
        area:long_name = "grid cell areas" ;
        area:units = "km^2" ;
        area:_FillValue = 1.e+36f ;
        area:missing_value = 1.e+36f ;
float landfrac(lat, lon) ;
        landfrac:long_name = "land fraction" ;
        landfrac:_FillValue = 1.e+36f ;
        landfrac:missing_value = 1.e+36f ;
int landmask(lat, lon) ;
        landmask:long_name = "land/ocean mask (0.=ocean and 1.=land)" ;
        landmask:_FillValue = -9999 ;
        landmask:missing_value = -9999 ;
int pftmask(lat, lon) ;
        pftmask:long_name = "pft real/fake mask (0.=fake and 1.=real)" ;
        pftmask:_FillValue = -9999 ;
        pftmask:missing_value = -9999 ;
int nbedrock(lat, lon) ;
        nbedrock:long_name = "index of shallowest bedrock layer" ;
        nbedrock:_FillValue = -9999 ;
        nbedrock:missing_value = -9999 ;
float FLDS(time, lat, lon) ;
        FLDS:long_name = "atmospheric longwave radiation" ;
        FLDS:units = "W/m^2" ;
        FLDS:cell_methods = "time: mean" ;
```

```
                FLDS:_FillValue = 1.e+36f ;
                FLDS:missing_value = 1.e+36f ;
        float FPSN(time, lat, lon) ;
                FPSN:long_name = "photosynthesis" ;
                FPSN:units = "umol/m2s" ;
                FPSN:cell_methods = "time: mean" ;
                FPSN:_FillValue = 1.e+36f ;
                FPSN:missing_value = 1.e+36f ;
        float FSDS(time, lat, lon) ;
                FSDS:long_name = "atmospheric incident solar radiation" ;
                FSDS:units = "W/m^2" ;
                FSDS:cell_methods = "time: mean" ;
                FSDS:_FillValue = 1.e+36f ;
                FSDS:missing_value = 1.e+36f ;
        float FSDSND(time, lat, lon) ;
                FSDSND:long_name = "direct nir incident solar radiation" ;
                FSDSND:units = "W/m^2" ;
                FSDSND:cell_methods = "time: mean" ;
                FSDSND:_FillValue = 1.e+36f ;
                FSDSND:missing_value = 1.e+36f ;
        float FSDSNI(time, lat, lon) ;
                FSDSNI:long_name = "diffuse nir incident solar radiation" ;
                FSDSNI:units = "W/m^2" ;
                FSDSNI:cell_methods = "time: mean" ;
                FSDSNI:_FillValue = 1.e+36f ;
                FSDSNI:missing_value = 1.e+36f ;
        float FSDSVD(time, lat, lon) ;
                FSDSVD:long_name = "direct vis incident solar radiation" ;
                FSDSVD:units = "W/m^2" ;
                FSDSVD:cell_methods = "time: mean" ;
                FSDSVD:_FillValue = 1.e+36f ;
                FSDSVD:missing_value = 1.e+36f ;
        float FSDSVI(time, lat, lon) ;
                FSDSVI:long_name = "diffuse vis incident solar radiation" ;
                FSDSVI:units = "W/m^2" ;
                FSDSVI:cell_methods = "time: mean" ;
                FSDSVI:_FillValue = 1.e+36f ;
                FSDSVI:missing_value = 1.e+36f ;
        float H2OSOI(time, levgrnd, lat, lon) ;
                H2OSOI:long_name = "volumetric soil water (vegetated landunits only)" ;
                H2OSOI:units = "mm3/mm3" ;
                H2OSOI:cell_methods = "time: mean" ;
                H2OSOI:_FillValue = 1.e+36f ;
                H2OSOI:missing_value = 1.e+36f ;
        float PBOT(time, lat, lon) ;
                PBOT:long_name = "atmospheric pressure" ;
                PBOT:units = "Pa" ;
                PBOT:cell_methods = "time: mean" ;
```

```
        PBOT:_FillValue = 1.e+36f ;
        PBOT:missing_value = 1.e+36f ;
float QBOT(time, lat, lon) ;
        QBOT:long_name = "atmospheric specific humidity" ;
        QBOT:units = "kg/kg" ;
        QBOT:cell_methods = "time: mean" ;
        QBOT:_FillValue = 1.e+36f ;
        QBOT:missing_value = 1.e+36f ;
float RAIN(time, lat, lon) ;
        RAIN:long_name = "atmospheric rain" ;
        RAIN:units = "mm/s" ;
        RAIN:cell_methods = "time: mean" ;
        RAIN:_FillValue = 1.e+36f ;
        RAIN:missing_value = 1.e+36f ;
float SMP(time, levgrnd, lat, lon) ;
        SMP:long_name = "soil matric potential (vegetated landunits only)" ;
        SMP:units = "mm" ;
        SMP:cell_methods = "time: mean" ;
        SMP:_FillValue = 1.e+36f ;
        SMP:missing_value = 1.e+36f ;
float SNOW(time, lat, lon) ;
        SNOW:long_name = "atmospheric snow" ;
        SNOW:units = "mm/s" ;
        SNOW:cell_methods = "time: mean" ;
        SNOW:_FillValue = 1.e+36f ;
        SNOW:missing_value = 1.e+36f ;
float SOILICE(time, levgrnd, lat, lon) ;
        SOILICE:long_name = "soil ice (vegetated landunits only)" ;
        SOILICE:units = "kg/m2" ;
        SOILICE:cell_methods = "time: mean" ;
        SOILICE:_FillValue = 1.e+36f ;
        SOILICE:missing_value = 1.e+36f ;
float SOILLIQ(time, levgrnd, lat, lon) ;
        SOILLIQ:long_name = "soil liquid water (vegetated landunits only)" ;
        SOILLIQ:units = "kg/m2" ;
        SOILLIQ:cell_methods = "time: mean" ;
        SOILLIQ:_FillValue = 1.e+36f ;
        SOILLIQ:missing_value = 1.e+36f ;
float SOILPSI(time, levgrnd, lat, lon) ;
        SOILPSI:long_name = "soil water potential in each soil layer" ;
        SOILPSI:units = "MPa" ;
        SOILPSI:cell_methods = "time: mean" ;
        SOILPSI:_FillValue = 1.e+36f ;
        SOILPSI:missing_value = 1.e+36f ;
float TBOT(time, lat, lon) ;
        TBOT:long_name = "atmospheric air temperature" ;
        TBOT:units = "K" ;
        TBOT:cell_methods = "time: mean" ;
```

```
                TBOT:_FillValue = 1.e+36f ;
                TBOT:missing_value = 1.e+36f ;
        float THBOT(time, lat, lon) ;
                THBOT:long_name = "atmospheric air potential temperature" ;
                THBOT:units = "K" ;
                THBOT:cell_methods = "time: mean" ;
                THBOT:_FillValue = 1.e+36f ;
                THBOT:missing_value = 1.e+36f ;
        float TLAI(time, lat, lon) ;
                TLAI:long_name = "total projected leaf area index" ;
                TLAI:units = "none" ;
                TLAI:cell_methods = "time: mean" ;
                TLAI:_FillValue = 1.e+36f ;
                TLAI:missing_value = 1.e+36f ;
        float TSOI(time, levgrnd, lat, lon) ;
                TSOI:long_name = "soil temperature (vegetated landunits only)" ;
                TSOI:units = "K" ;
                TSOI:cell_methods = "time: mean" ;
                TSOI:_FillValue = 1.e+36f ;
                TSOI:missing_value = 1.e+36f ;
        float WIND(time, lat, lon) ;
                WIND:long_name = "atmospheric wind velocity magnitude" ;
                WIND:units = "m/s" ;
                WIND:cell_methods = "time: mean" ;
                WIND:_FillValue = 1.e+36f ;
                WIND:missing_value = 1.e+36f ;

// global attributes:
                :title = "CLM History file information" ;
                :comment = "NOTE: None of the variables are weighted by land fraction!" ;
                :Conventions = "CF-1.0" ;
                :history = "created on 02/17/17 05:30:04" ;
                :source = "Community Land Model CLM4.0" ;
                :hostname = "yellowstone" ;
                :username = "wwieder" ;
                :version = "unknown" ;
                :revision_id = "$Id: histFileMod.F90 42903 2012-12-21 15:32:10Z muszala $" ;
                :case_title = "UNSET" ;
                :case_id = "clm4_5_12_r191_CLM45spHIST_CRU" ;
                :Surface_dataset = "surfdata_1.9x2.5_16pfts_simyr1850_c160127.nc" ;
                :Initial_conditions_dataset = "clmi.I1850CRUCLM45BGC.0241-01-
01.1.9x2.5_g1v6_simyr1850_c160127.nc" ;
                :PFT_physiological_constants_dataset = "clm_params.c160713.nc" ;
                :ltype_vegetated_or_bare_soil = 1 ;
                :ltype_crop = 2 ;
                :ltype_landice = 3 ;
                :ltype_landice_multiple_elevation_classes = 4 ;
                :ltype_deep_lake = 5 ;
```

```
                            :ltype_wetland = 6 ;
                            :ltype_urban_tbd = 7 ;
                            :ltype_urban_hd = 8 ;
                            :ltype_urban_md = 9 ;
                            :ctype_vegetated_or_bare_soil = 1 ;
                            :ctype_crop = 2 ;
                            :ctype_crop_noncompete = "2*100+m, m=cft_lb,cft_ub" ;
                            :ctype_landice = 3 ;
                            :ctype_landice_multiple_elevation_classes = "4*100+m, m=1,glcnec" ;
                            :ctype_deep_lake = 5 ;
                            :ctype_wetland = 6 ;
                            :ctype_urban_roof = 71 ;
                            :ctype_urban_sunwall = 72 ;
                            :ctype_urban_shadewall = 73 ;
                            :ctype_urban_impervious_road = 74 ;
                            :ctype_urban_pervious_road = 75 ;
}
```

*Table 2. NetCDF file header for CLM surface data.*

```
netcdf surfdata_1.9x2.5_simyr1850_c130421_ADDwat4 {
dimensions:
        numrad = 2 ;
        numurbl = 3 ;
        lsmlat = 96 ;
        lsmlon = 144 ;
        nlevurb = 5 ;
        nglcecp1 = 11 ;
        time = UNLIMITED ; // (12 currently)
        lsmpft = 17 ;
        nlevsoi = 10 ;
        nglcec = 10 ;
        levgrnd = 10 ;
        lat = 96 ;
        lon = 144 ;
variables:
        double ALB_IMPROAD_DIF(numrad, numurbl, lsmlat, lsmlon) ;
                ALB_IMPROAD_DIF:long_name = "diffuse albedo of impervious road" ;
                ALB_IMPROAD_DIF:units = "unitless" ;
        double ALB_IMPROAD_DIR(numrad, numurbl, lsmlat, lsmlon) ;
                ALB_IMPROAD_DIR:long_name = "direct albedo of impervious road" ;
                ALB_IMPROAD_DIR:units = "unitless" ;
        double ALB_PERROAD_DIF(numrad, numurbl, lsmlat, lsmlon) ;
                ALB_PERROAD_DIF:long_name = "diffuse albedo of pervious road" ;
                ALB_PERROAD_DIF:units = "unitless" ;
        double ALB_PERROAD_DIR(numrad, numurbl, lsmlat, lsmlon) ;
                ALB_PERROAD_DIR:long_name = "direct albedo of pervious road" ;
```

```
                ALB_PERROAD_DIR:units = "unitless" ;
double ALB_ROOF_DIF(numrad, numurbl, lsmlat, lsmlon) ;
                ALB_ROOF_DIF:long_name = "diffuse albedo of roof" ;
                ALB_ROOF_DIF:units = "unitless" ;
double ALB_ROOF_DIR(numrad, numurbl, lsmlat, lsmlon) ;
                ALB_ROOF_DIR:long_name = "direct albedo of roof" ;
                ALB_ROOF_DIR:units = "unitless" ;
double ALB_WALL_DIF(numrad, numurbl, lsmlat, lsmlon) ;
                ALB_WALL_DIF:long_name = "diffuse albedo of wall" ;
                ALB_WALL_DIF:units = "unitless" ;
double ALB_WALL_DIR(numrad, numurbl, lsmlat, lsmlon) ;
                ALB_WALL_DIR:long_name = "direct albedo of wall" ;
                ALB_WALL_DIR:units = "unitless" ;
double AREA(lsmlat, lsmlon) ;
                AREA:long_name = "area" ;
                AREA:units = "km^2" ;
double CANYON_HWR(numurbl, lsmlat, lsmlon) ;
                CANYON_HWR:long_name = "canyon height to width ratio" ;
                CANYON_HWR:units = "unitless" ;
double CV_IMPROAD(nlevurb, numurbl, lsmlat, lsmlon) ;
                CV_IMPROAD:long_name = "volumetric heat capacity of impervious road" ;
                CV_IMPROAD:units = "J/m^3*K" ;
double CV_ROOF(nlevurb, numurbl, lsmlat, lsmlon) ;
                CV_ROOF:long_name = "volumetric heat capacity of roof" ;
                CV_ROOF:units = "J/m^3*K" ;
double CV_WALL(nlevurb, numurbl, lsmlat, lsmlon) ;
                CV_WALL:long_name = "volumetric heat capacity of wall" ;
                CV_WALL:units = "J/m^3*K" ;
double Ds(lsmlat, lsmlon) ;
                Ds:long_name = "VIC Ds parameter for the ARNO curve" ;
                Ds:units = "unitless" ;
double Dsmax(lsmlat, lsmlon) ;
                Dsmax:long_name = "VIC Dsmax parameter for the ARNO curve" ;
                Dsmax:units = "mm/day" ;
double EF1_BTR(lsmlat, lsmlon) ;
                EF1_BTR:long_name = "EF btr (isoprene)" ;
                EF1_BTR:units = "unitless" ;
double EF1_CRP(lsmlat, lsmlon) ;
                EF1_CRP:long_name = "EF crp (isoprene)" ;
                EF1_CRP:units = "unitless" ;
double EF1_FDT(lsmlat, lsmlon) ;
                EF1_FDT:long_name = "EF fdt (isoprene)" ;
                EF1_FDT:units = "unitless" ;
double EF1_FET(lsmlat, lsmlon) ;
                EF1_FET:long_name = "EF fet (isoprene)" ;
                EF1_FET:units = "unitless" ;
double EF1_GRS(lsmlat, lsmlon) ;
                EF1_GRS:long_name = "EF grs (isoprene)" ;
```

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```
            EF1_GRS:units = "unitless" ;
    double EF1_SHR(lsmlat, lsmlon) ;
            EF1_SHR:long_name = "EF shr (isoprene)" ;
            EF1_SHR:units = "unitless" ;
    double EM_IMPROAD(numurbl, lsmlat, lsmlon) ;
            EM_IMPROAD:long_name = "emissivity of impervious road" ;
            EM_IMPROAD:units = "unitless" ;
    double EM_PERROAD(numurbl, lsmlat, lsmlon) ;
            EM_PERROAD:long_name = "emissivity of pervious road" ;
            EM_PERROAD:units = "unitless" ;
    double EM_ROOF(numurbl, lsmlat, lsmlon) ;
            EM_ROOF:long_name = "emissivity of roof" ;
            EM_ROOF:units = "unitless" ;
    double EM_WALL(numurbl, lsmlat, lsmlon) ;
            EM_WALL:long_name = "emissivity of wall" ;
            EM_WALL:units = "unitless" ;
    double F0(lsmlat, lsmlon) ;
            F0:long_name = "maximum gridcell fractional inundated area" ;
            F0:units = "unitless" ;
    double FMAX(lsmlat, lsmlon) ;
            FMAX:long_name = "maximum fractional saturated area" ;
            FMAX:units = "unitless" ;
    double GLC_MEC(nglcecp1) ;
            GLC_MEC:long_name = "Glacier elevation class" ;
            GLC_MEC:units = "m" ;
    double HT_ROOF(numurbl, lsmlat, lsmlon) ;
            HT_ROOF:long_name = "height of roof" ;
            HT_ROOF:units = "meters" ;
    double LAKEDEPTH(lsmlat, lsmlon) ;
            LAKEDEPTH:long_name = "lake depth" ;
            LAKEDEPTH:units = "m" ;
    double LANDFRAC_PFT(lsmlat, lsmlon) ;
            LANDFRAC_PFT:long_name = "land fraction from pft dataset" ;
            LANDFRAC_PFT:units = "unitless" ;
    double LATIXY(lsmlat, lsmlon) ;
            LATIXY:long_name = "latitude" ;
            LATIXY:units = "degrees north" ;
    double LONGXY(lsmlat, lsmlon) ;
            LONGXY:long_name = "longitude" ;
            LONGXY:units = "degrees east" ;
    double MONTHLY_HEIGHT_BOT(time, lsmpft, lsmlat, lsmlon) ;
            MONTHLY_HEIGHT_BOT:long_name = "monthly height bottom" ;
            MONTHLY_HEIGHT_BOT:units = "meters" ;
    double MONTHLY_HEIGHT_TOP(time, lsmpft, lsmlat, lsmlon) ;
            MONTHLY_HEIGHT_TOP:long_name = "monthly height top" ;
            MONTHLY_HEIGHT_TOP:units = "meters" ;
    double MONTHLY_LAI(time, lsmpft, lsmlat, lsmlon) ;
            MONTHLY_LAI:long_name = "monthly leaf area index" ;
```

```
              MONTHLY_LAI:units = "unitless" ;
      double MONTHLY_SAI(time, lsmpft, lsmlat, lsmlon) ;
              MONTHLY_SAI:long_name = "monthly stem area index" ;
              MONTHLY_SAI:units = "unitless" ;
      int NLEV_IMPROAD(numurbl, lsmlat, lsmlon) ;
              NLEV_IMPROAD:long_name = "number of impervious road layers" ;
              NLEV_IMPROAD:units = "unitless" ;
      double ORGANIC(nlevsoi, lsmlat, lsmlon) ;
              ORGANIC:long_name = "organic matter density at soil levels" ;
              ORGANIC:units = "kg/m3 (assumed carbon content 0.58 gC per gOM)" ;
      double P3(lsmlat, lsmlon) ;
              P3:long_name = "coefficient for qflx_surf_lag for finundated" ;
              P3:units = "s/mm" ;
      double PCT_CLAY(nlevsoi, lsmlat, lsmlon) ;
              PCT_CLAY:long_name = "percent clay" ;
              PCT_CLAY:units = "unitless" ;
      double PCT_GLACIER(lsmlat, lsmlon) ;
              PCT_GLACIER:long_name = "percent glacier" ;
              PCT_GLACIER:units = "unitless" ;
      double PCT_GLC_GIC(lsmlat, lsmlon) ;
              PCT_GLC_GIC:long_name = "percent ice caps/glaciers" ;
              PCT_GLC_GIC:units = "unitless" ;
      double PCT_GLC_ICESHEET(lsmlat, lsmlon) ;
              PCT_GLC_ICESHEET:long_name = "percent ice sheet" ;
              PCT_GLC_ICESHEET:units = "unitless" ;
      double PCT_GLC_MEC(nglcec, lsmlat, lsmlon) ;
              PCT_GLC_MEC:long_name = "percent glacier for each glacier elevation class" ;
              PCT_GLC_MEC:units = "unitless" ;
      double PCT_GLC_MEC_GIC(nglcec, lsmlat, lsmlon) ;
              PCT_GLC_MEC_GIC:long_name = "percent smaller glaciers and ice caps for each
glacier elevation class" ;
              PCT_GLC_MEC_GIC:units = "unitless" ;
      double PCT_GLC_MEC_ICESHEET(nglcec, lsmlat, lsmlon) ;
              PCT_GLC_MEC_ICESHEET:long_name = "percent ice sheet for each glacier elevation
class" ;
              PCT_GLC_MEC_ICESHEET:units = "unitless" ;
      double PCT_LAKE(lsmlat, lsmlon) ;
              PCT_LAKE:long_name = "percent lake" ;
              PCT_LAKE:units = "unitless" ;
      double PCT_PFT(lsmpft, lsmlat, lsmlon) ;
              PCT_PFT:long_name = "percent plant functional type of gridcell" ;
              PCT_PFT:units = "unitless" ;
      double PCT_SAND(nlevsoi, lsmlat, lsmlon) ;
              PCT_SAND:long_name = "percent sand" ;
              PCT_SAND:units = "unitless" ;
      double PCT_URBAN(numurbl, lsmlat, lsmlon) ;
              PCT_URBAN:long_name = "percent urban for each density type" ;
              PCT_URBAN:units = "unitless" ;
```

```
        double PCT_WETLAND(lsmlat, lsmlon) ;
                PCT_WETLAND:long_name = "percent wetland" ;
                PCT_WETLAND:units = "unitless" ;
        int PFTDATA_MASK(lsmlat, lsmlon) ;
                PFTDATA_MASK:long_name = "land mask from pft dataset, indicative of real/fake
points" ;
                PFTDATA_MASK:units = "unitless" ;
        double SLOPE(lsmlat, lsmlon) ;
                SLOPE:long_name = "mean topographic slope" ;
                SLOPE:units = "degrees" ;
        int SOIL_COLOR(lsmlat, lsmlon) ;
                SOIL_COLOR:long_name = "soil color" ;
                SOIL_COLOR:units = "unitless" ;
        double STD_ELEV(lsmlat, lsmlon) ;
                STD_ELEV:long_name = "standard deviation of elevation" ;
                STD_ELEV:units = "m" ;
        double THICK_ROOF(numurbl, lsmlat, lsmlon) ;
                THICK_ROOF:long_name = "thickness of roof" ;
                THICK_ROOF:units = "meters" ;
        double THICK_WALL(numurbl, lsmlat, lsmlon) ;
                THICK_WALL:long_name = "thickness of wall" ;
                THICK_WALL:units = "meters" ;
        double TK_IMPROAD(nlevurb, numurbl, lsmlat, lsmlon) ;
                TK_IMPROAD:long_name = "thermal conductivity of impervious road" ;
                TK_IMPROAD:units = "W/m*K" ;
        double TK_ROOF(nlevurb, numurbl, lsmlat, lsmlon) ;
                TK_ROOF:long_name = "thermal conductivity of roof" ;
                TK_ROOF:units = "W/m*K" ;
        double TK_WALL(nlevurb, numurbl, lsmlat, lsmlon) ;
                TK_WALL:long_name = "thermal conductivity of wall" ;
                TK_WALL:units = "W/m*K" ;
        double TOPO(lsmlat, lsmlon) ;
                TOPO:long_name = "mean elevation on land" ;
                TOPO:units = "m" ;
        double TOPO_GLC_MEC(nglcec, lsmlat, lsmlon) ;
                TOPO_GLC_MEC:long_name = "mean elevation on glacier elevation classes" ;
                TOPO_GLC_MEC:units = "m" ;
        double T_BUILDING_MAX(numurbl, lsmlat, lsmlon) ;
                T_BUILDING_MAX:long_name = "maximum interior building temperature" ;
                T_BUILDING_MAX:units = "K" ;
        double T_BUILDING_MIN(numurbl, lsmlat, lsmlon) ;
                T_BUILDING_MIN:long_name = "minimum interior building temperature" ;
                T_BUILDING_MIN:units = "K" ;
        int URBAN_REGION_ID(lsmlat, lsmlon) ;
                URBAN_REGION_ID:long_name = "urban region ID" ;
                URBAN_REGION_ID:units = "unitless" ;
        double WATFC(levgrnd, lat, lon) ;
                WATFC:_FillValue = 9.99999961690316e+35 ;
```

```
                WATFC:cell_methods = "time: mean" ;
                WATFC:long_name = "water field capacity" ;
                WATFC:missing_value = 1.e+36f ;
                WATFC:units = "m^3/m^3" ;
        double WATSAT(levgrnd, lat, lon) ;
                WATSAT:_FillValue = 9.99999961690316e+35 ;
                WATSAT:cell_methods = "time: mean" ;
                WATSAT:long_name = "water saturated" ;
                WATSAT:missing_value = 1.e+36f ;
                WATSAT:units = "m^3/m^3" ;
        double WIND_HGT_CANYON(numurbl, lsmlat, lsmlon) ;
                WIND_HGT_CANYON:long_name = "height of wind in canyon" ;
                WIND_HGT_CANYON:units = "meters" ;
        double WTLUNIT_ROOF(numurbl, lsmlat, lsmlon) ;
                WTLUNIT_ROOF:long_name = "fraction of roof" ;
                WTLUNIT_ROOF:units = "unitless" ;
        double WTROAD_PERV(numurbl, lsmlat, lsmlon) ;
                WTROAD_PERV:long_name = "fraction of pervious road" ;
                WTROAD_PERV:units = "unitless" ;
        double Ws(lsmlat, lsmlon) ;
                Ws:long_name = "VIC Ws parameter for the ARNO Curve" ;
                Ws:units = "unitless" ;
        double ZWT0(lsmlat, lsmlon) ;
                ZWT0:long_name = "decay factor for finundated" ;
                ZWT0:units = "m" ;
        int abm(lsmlat, lsmlon) ;
                abm:long_name = "agricultural fire peak month" ;
                abm:units = "unitless" ;
        double binfl(lsmlat, lsmlon) ;
                binfl:long_name = "VIC b parameter for the Variable Infiltration Capacity Curve" ;
                binfl:units = "unitless" ;
        double gdp(lsmlat, lsmlon) ;
                gdp:long_name = "gdp" ;
                gdp:units = "unitless" ;
        int mxsoil_color ;
                mxsoil_color:long_name = "maximum numbers of soil colors" ;
                mxsoil_color:units = "unitless" ;
        double peatf(lsmlat, lsmlon) ;
                peatf:long_name = "peatland fraction" ;
                peatf:units = "unitless" ;
        int time(time) ;
                time:long_name = "Calendar month" ;
                time:units = "month" ;

// global attributes:
                :Conventions = "CF-1.0" ;
                :History_Log = "created on: 04-21-13 22:41:42" ;
                :Logname = "erik" ;
```

```
                :Host = "yslogin3" ;
                :Source = "Community Land Model: CLM4" ;
                :Version = "$HeadURL: https://svn-ccsm-
models.cgd.ucar.edu/clm2/trunk_tags/clm4_0_74/models/lnd/clm/tools/clm4_5/mksurfdata_map/sr
c/mkfileMod.F90 $" ;
                :Revision_Id = "$Id: mkfileMod.F90 46006 2013-04-15 16:04:42Z sacks $" ;
                :Compiler_Optimized = "TRUE" ;
                :no_inlandwet = "TRUE" ;
                :nglcec = 10 ;
                :Input_grid_dataset = "map_0.5x0.5_landuse_to_1.9x2.5_aave_da_110307.nc" ;
                :Input_gridtype = "global" ;
                :VOC_EF_raw_data_file_name = "mksrf_vocef_0.5x0.5_simyr2000.c110531.nc" ;
                :Inland_lake_raw_data_file_name =
"mksrf_LakePnDepth_3x3min_simyr2004_c111116.nc" ;
                :Inland_wetland_raw_data_file_name = "mksrf_lanwat.050425.nc" ;
                :Glacier_raw_data_file_name = "mksrf_glacier_3x3min_simyr2000.c120926.nc" ;
                :Urban_Topography_raw_data_file_name = "mksrf_topo.10min.c080912.nc" ;
                :Land_Topography_raw_data_file_name = "topodata_10min_USGS_071205.nc" ;
                :Urban_raw_data_file_name = "mksrf_urban_0.05x0.05_simyr2000.c120621.nc" ;
                :Lai_raw_data_file_name = "mksrf_lai_global_c090506.nc" ;
                :agfirepkmon_raw_data_file_name =
"mksrf_abm_0.5x0.5_AVHRR_simyr2000.c130201.nc" ;
                :gdp_raw_data_file_name = "mksrf_gdp_0.5x0.5_AVHRR_simyr2000.c130228.nc" ;
                :peatland_raw_data_file_name =
"mksrf_peatf_0.5x0.5_AVHRR_simyr2000.c130228.nc" ;
                :topography_stats_raw_data_file_name = "mksrf_topostats_1km-merge-
10min_HYDRO1K-merge-nomask_simyr2000.c130402.nc" ;
                :vic_raw_data_file_name = "mksrf_vic_0.9x1.25_GRDC_simyr2000.c130307.nc" ;
                :ch4_params_raw_data_file_name =
"mksrf_ch4inversion_360x720_cruncep_simyr2000.c130322.nc" ;
                :map_pft_file_name = "map_0.5x0.5_landuse_to_1.9x2.5_aave_da_110307.nc" ;
                :map_lakwat_file =
"map_3x3min_MODIS_to_1.9x2.5_nomask_aave_da_c111111.nc" ;
                :map_wetlnd_file = "map_0.5x0.5_lanwat_to_1.9x2.5_aave_da_110307.nc" ;
                :map_glacier_file = "map_3x3min_GLOBE-
Gardner_to_1.9x2.5_nomask_aave_da_c120923.nc" ;
                :map_soil_texture_file = "map_5minx5min_soitex_to_1.9x2.5_aave_da_110307.nc" ;
                :map_soil_color_file = "map_0.5x0.5_landuse_to_1.9x2.5_aave_da_110307.nc" ;
                :map_soil_organic_file = "map_5x5min_ISRIC-
WISE_to_1.9x2.5_nomask_aave_da_c111115.nc" ;
                :map_urban_file =
"map_3x3min_LandScan2004_to_1.9x2.5_nomask_aave_da_c120522.nc" ;
                :map_fmax_file = "map_3x3min_USGS_to_1.9x2.5_nomask_aave_da_c120926.nc" ;
                :map_VOC_EF_file = "map_0.5x0.5_lanwat_to_1.9x2.5_aave_da_110307.nc" ;
                :map_harvest_file = "map_0.5x0.5_landuse_to_1.9x2.5_aave_da_110307.nc" ;
                :map_lai_sai_file = "map_0.5x0.5_landuse_to_1.9x2.5_aave_da_110307.nc" ;
                :map_urban_topography_file =
"map_10minx10min_topo_to_1.9x2.5_aave_da_110307.nc" ;
```

```
                :map_land_topography_file =
"map_10minx10min_topo_to_1.9x2.5_aave_da_110307.nc" ;
                :map_agfirepkmon_file = "map_0.5x0.5_lanwat_to_1.9x2.5_aave_da_110307.nc" ;
                :map_gdp_file = "map_0.5x0.5_lanwat_to_1.9x2.5_aave_da_110307.nc" ;
                :map_peatland_file = "map_0.5x0.5_lanwat_to_1.9x2.5_aave_da_110307.nc" ;
                :map_topography_stats_file = "map_1km-merge-10min_HYDRO1K-merge-
nomask_to_1.9x2.5_nomask_aave_da_c130405.nc" ;
                :map_vic_file = "map_0.9x1.25_GRDC_to_1.9x2.5_nomask_aave_da_c130308.nc" ;
                :map_ch4_params_file =
"map_360x720_cruncep_to_1.9x2.5_nomask_aave_da_c130326.nc" ;
                :Soil_texture_raw_data_file_name = "mksrf_soitex.10level.c010119.nc" ;
                :Soil_color_raw_data_file_name = "mksrf_soilcol_global_c090324.nc" ;
                :Fmax_raw_data_file_name = "mksrf_fmax_3x3min_USGS_c120911.nc" ;
                :Organic_matter_raw_data_file_name = "mksrf_organic_10level_5x5min_ISRIC-WISE-
NCSCD_nlev7_c120830.nc" ;
                :Vegetation_type_raw_data_filename = "mksrf_landuse_rc1850_c090630.nc" ;
                :title = "CLM History file information" ;
                :comment = "NOTE: None of the variables are weighted by land fraction!" ;
                :history = "Fri Mar 17 16:14:08 2017: ncks -d levgrnd,0,9
surfdata_1.9x2.5_simyr1850_c130421_ADDwat3.nc
surfdata_1.9x2.5_simyr1850_c130421_ADDwat4.nc\n",
                        "Fri Mar 17 13:12:58 2017: ncap2 -s WATSAT=double(WATSAT)
surfdata_1.9x2.5_simyr1850_c130421_ADDwat2.nc
surfdata_1.9x2.5_simyr1850_c130421_ADDwat3.nc\n",
                        "Fri Mar 17 13:12:39 2017: ncap2 -s WATFC=double(WATFC)
surfdata_1.9x2.5_simyr1850_c130421_ADDwat.nc
surfdata_1.9x2.5_simyr1850_c130421_ADDwat2.nc\n",
                        "Fri Mar 17 12:58:01 2017: ncrename -v watfc,WATFC
surfdata_1.9x2.5_simyr1850_c130421_ADDwat.nc\n",
                        "Fri Mar 17 12:57:48 2017: ncrename -v watsat,WATSAT
surfdata_1.9x2.5_simyr1850_c130421_ADDwat.nc\n",
                        "Fri Mar 17 11:58:03 2017: ncks -v watsat,watfc clm4_5_12_r191_wat2.nc
surfdata_1.9x2.5_simyr1850_c130421_ADDwat.nc\n",
                        "Fri Mar 17 11:56:06 2017: ncwa -C -v watsat,watfc -a time
clm4_5_12_r191_wat.nc clm4_5_12_r191_wat2.nc\n",
                        "Fri Mar 17 11:51:37 2017: ncks -v watsat,watfc
clm4_5_12_r191_CLM45spHIST_CRU.clm2.h0.2010-12.nc clm4_5_12_r191_wat.nc\n",
                        "created on 02/17/17 21:44:43" ;
                :source = "Community Land Model CLM4.0" ;
                :hostname = "yellowstone" ;
                :username = "wwieder" ;
                :version = "unknown" ;
                :revision_id = "$Id: histFileMod.F90 42903 2012-12-21 15:32:10Z muszala $" ;
                :case_title = "UNSET" ;
                :case_id = "clm4_5_12_r191_CLM45spHIST_CRU" ;
                :Surface_dataset = "surfdata_1.9x2.5_16pfts_simyr1850_c160127.nc" ;
                :Initial_conditions_dataset = "clmi.I1850CRUCLM45BGC.0241-01-
01.1.9x2.5_g1v6_simyr1850_c160127.nc" ;
```

```
            :PFT_physiological_constants_dataset = "clm_params.c160713.nc" ;
            :ltype_vegetated_or_bare_soil = 1 ;
            :ltype_crop = 2 ;
            :ltype_landice = 3 ;
            :ltype_landice_multiple_elevation_classes = 4 ;
            :ltype_deep_lake = 5 ;
            :ltype_wetland = 6 ;
            :ltype_urban_tbd = 7 ;
            :ltype_urban_hd = 8 ;
            :ltype_urban_md = 9 ;
            :ctype_vegetated_or_bare_soil = 1 ;
            :ctype_crop = 2 ;
            :ctype_crop_noncompete = "2*100+m, m=cft_lb,cft_ub" ;
            :ctype_landice = 3 ;
            :ctype_landice_multiple_elevation_classes = "4*100+m, m=1,glcnec" ;
            :ctype_deep_lake = 5 ;
            :ctype_wetland = 6 ;
            :ctype_urban_roof = 71 ;
            :ctype_urban_sunwall = 72 ;
            :ctype_urban_shadewall = 73 ;
            :ctype_urban_impervious_road = 74 ;
            :ctype_urban_pervious_road = 75 ;
            :Time_constant_3Dvars_filename =
"./clm4_5_12_r191_CLM45spHIST_CRU.clm2.h0.1956-01.nc" ;
            :Time_constant_3Dvars = "ZSOI:DZSOI:WATSAT:SUCSAT:BSW:HKSAT:ZLAKE:DZLAKE" ;
            :NCO = "\"4.5.2\"" ;
            :nco_openmp_thread_number = 1 ;
}
```

## 2.2   CASA-CNP Input Files
*The following input files are required by the testbed.*

- *met_yyyy_yyyy.nc file* – daily meteorological inputs in netCDF format.
- *gridinfo_igbpz.csv* – for each grid cell in the CLM grid, this file contains a grid cell id, latitude, longitude, PFT, land area, and some other information.
- *gridinfo_soil.csv* – soil properties for each grid cell derived from the CLM surface data set.

*Netcdftools* is a program, separate from the testbed, that can be used to reformat CLM history files and surface data to create the following input files for the testbed.  See section 2.1 for more information.

### 2.2.1   Daily Meteorological Forcings: met*.nc files
The **met*.nc** files contain daily meteorological inputs, LAI, and GPP. These files are named met_yyyy_yyyy_.nc where the first and last calendar years of data in the file are ALWAYS incorporated in the name.  Transient simulations require a series of met*.nc files with only one year of data (in this case, first calendar year = last calendar year), whereas spinup runs use files with one or more years of data (5 years of data reaches the file size limit).  Formerly CASA-CNP read this information from a single

text file (met.txt), but I decided to store it in NetCDF files to allow for more efficient use of disk space for large amounts of data. Data for the entire time series is read all at once and assigned to CASA-CNP variables each day.

- *xlai(npt,day)* – daily LAI (m$^2$ m$^{-2}$) read from met.nc file.  Not used in latest version of CASA-CNP. LAI is calculated from the leaf carbon pool.
- *xcnpp(npt,day)* – daily NPP (g C m$^{-2}$ d$^{-1}$) is no longer used by latest version of CASA-CNP. The value of *casaflux%Cnpp(npt)* is computed in **subroutine casa_rplant** called in **subroutine biogeochem***.*
- *xcgpp(npt,day)* – daily GPP (g C m$^{-2}$ d$^{-1}$) read from met.nc file. Assigned to *casaflux%Cgpp(npt)* each day.
- *xtairk(npt,day)* – mean daily air temperature (K) read from met.nc file. Assigned to *casamet%tairk(npt)* each day.
- *xtsoil(npt,lyr,day)*  – mean soil temperature by layer for each day (K) read from met.nc file.  This is CLM soil temperature mapped to the 6 CASA-CNP soil layers.  Assigned to *casamet%xtsoil(npt,mlyr)* each day. The weighted average soil temperature in these 6 soil layers (*casamet%tsoilavg(npt)*, K) is computed from *casamet%tsoil(\*,\*)* and the fraction of roots in each soil layer (SUBROUTINE avgsoil).
- *xmoist(npt,lyr,day)*  – mean soil volumetric liquid soil moisture content by layer for each day (0.0 – 1.0) read from met.nc file.  Assigned to casamet%moist(npt,mlyr) each day. This is CLM soil moisture mapped to the 6 CASA-CNP soil layers.  The weighted average volumetric soil water content in these 6 soil layers (*casamet%moistavg(npt)*, 0.0 – 1.0) is computed from casamet%moist(npt,mlyr) and the fraction of roots in each soil layer (SUBROUTINE avgsoil).
- xfrznmoist(*npt,lyr,day*) *)*  – mean soil volumetric frozen soil moisture content by layer for each day (0.0 – 1.0) read from met.nc file.  Assigned to casamet%frznmoist(npt,mlyr) each day. This is CLM frozen soil moisture mapped to the 6 CASA-CNP soil layers.  The weighted average volumetric soil water content in these 6 soil layers (*casamet%frznmoistavg(npt)*, 0.0 – 1.0) is computed from casamet%frznmoist(npt,mlyr) and the fraction of roots in each soil layer (SUBROUTINE avgsoil).
- xndepDay(time, lat, lon) – daily N deposition (g N m$^{-2}$ d$^{-1}$) read from met.nc file. Assigned to casaflux%Nmindep(npt) each day.

CASA computes nutrient-limited NPP from GPP; therefore, NPP in the met.nc file is just a place holder and equals GPP/2. Older versions of CASA-CNP required NPP instead of GPP as an input.

The format of the met.nc files can be determined using ncdump (Table 3).  The met.nc files, regardless of the calendar years contained in them, assume every year has 365 days.

**ncdump -h met_1901_1901.nc**

*Table 3. Example netcdf header for a forcing  file named met_1901_1901.nc*

```
netcdf met_1901_1901 {
dimensions:
    lon = 144 ;
    lat = 96 ;
    time = 365 ;
```

```
        nsoilyrs = 6 ;
        myear = 1 ;
variables:
    float lon(lon) ;
            lon:long_name = "coordinate longitude" ;
            lon:units = "degrees_east" ;
            lon:_FillValue = 1.e+36f ;
            lon:missing_value = 1.e+36f ;
    float lat(lat) ;
            lat:long_name = "coordinate latitude" ;
            lat:units = "degrees_north" ;
            lat:_FillValue = 1.e+36f ;
            lat:missing_value = 1.e+36f ;
    int year(myear) ;
            year:long_name = "calendar years" ;
    float landfrac(lat, lon) ;
            landfrac:long_name = "land fraction from pft dataset" ;
            landfrac:units = "unitless" ;
            landfrac:_FillValue = 1.e+36f ;
            landfrac:missing_value = 1.e+36f ;
    int cellMissing(lat, lon) ;
            cellMissing:long_name = "Missing Data Mask" ;
            cellMissing:units = "0=no missing data, 1=missing data" ;
    int cellid(lat, lon) ;
            cellid:long_name = "Grid Cell ID" ;
            cellid:units = "1..nlat*nlon" ;
    float xtairk(time, lat, lon) ;
            xtairk:long_name = "average daily air temperature" ;
            xtairk:units = "K" ;
            xtairk:_FillValue = 1.e+36f ;
            xtairk:missing_value = 1.e+36f ;
    float ndep(time, lat, lon) ;
            ndep:long_name = "daily N deposition derived from annual N deposition" ;
            ndep:units = "gN/m2/day" ;
            ndep:_FillValue = 1.e+36f ;
            ndep:missing_value = 1.e+36f ;
    float xlai(time, lat, lon) ;
            xlai:long_name = "Leaf Area Index" ;
            xlai:units = "m2/m2" ;
            xlai:_FillValue = 1.e+36f ;
            xlai:missing_value = 1.e+36f ;
    float xcnpp(time, lat, lon) ;
            xcnpp:long_name = "net primary production" ;
            xcnpp:units = "gC m-2 day-1" ;
            xcnpp:_FillValue = 1.e+36f ;
            xcnpp:missing_value = 1.e+36f ;
    float xcgpp(time, lat, lon) ;
            xcgpp:long_name = "gross primary production" ;
```

```
                xcgpp:units = "gC m-2 day-1" ;
                xcgpp:_FillValue = 1.e+36f ;
                xcgpp:missing_value = 1.e+36f ;
        float xtsoil(time, nsoilyrs, lat, lon) ;
                xtsoil:long_name = "average daily soil temperature by layer" ;
                xtsoil:units = "K" ;
                xtsoil:_FillValue = 1.e+36f ;
                xtsoil:missing_value = 1.e+36f ;
        float xmoist(time, nsoilyrs, lat, lon) ;
                xmoist:long_name = "volumetric soil liquid water content by layer" ;
                xmoist:units = "m3/m3" ;
                xmoist:_FillValue = 1.e+36f ;
                xmoist:missing_value = 1.e+36f ;
        float xfrznmoist(time, nsoilyrs, lat, lon) ;
                xfrznmoist:long_name = "volumetric soil frozen water content by layer" ;
                xfrznmoist:units = "m3/m3" ;
                xfrznmoist:_FillValue = 1.e+36f ;
                xfrznmoist:missing_value = 1.e+36f ;

   // global attributes:
                :title = "CLM History file information for CASA-CNP model" ;
                :comment = "NOTE: None of the variables are weighted by land fraction!" ;
                :history = "created on 03/13/2017 15:28:21" ;
                :source = "CLM Model" ;
```

## 2.2.2   Grid Cell Soil and Vegetation Properties

***gridinfo_soil.csv*** – this is a new file that was created in order to read soils data derived from CLM. There is one line for each grid cell simulated (the first 3 columns are identical to the first 3 columns in ***gridinfo_igbpz.csv***):

- *ijcam* – index of the grid cell
- *lat* – latitude of the grid cell centroid (decimal degrees)
- *lon* –  longitude of the grid cell centroid (decimal degrees)
- *soil%sand(npt)* – sand content from CLM surface data
- *soil%clay(npt)* – clay content from CLM surface data
- *soil%silt(npt)* – silt content from CLM surface data
- *soil%swilt(npt)* – volumetric soil water content at wilting point.  Calculated from sand, clay, and silt by **subroutine hydraulicProperties** in ***netcdfTools***.
- *soil%sfc(npt)* – volumetric soil water content at field capacity.  Calculated from sand, clay, and silt by **subroutine hydraulicProperties** in ***netcdfTools***.
- *soil%ssat(npt)* – volumetric soil water content at saturation.  Calculated from sand, clay, and silt by **subroutine hydraulicProperties** in ***netcdfTools***.

Formerly values *soil%sand(*), soil%clay(*), soil%silt(*), soil%swilt(*), soil%sfc(*), soil%ssat(*)* were assigned from hard-coded values based on soil type (1-7), where soil type was specified in **gridinfo_igbpz.csv**.

**gridinfo_igbpz.csv** – this was a file that came with the original CASA-CNP code.  There is one line for each grid cell simulated. The first 3 columns are identical to the first 3 columns in gridinfo_soil.csv.

- We added columns *ilat* and *ilon*, the indices for the latitude and longitude on the grid (base 1), as the rightmost columns of this file.  It is necessary to have *casamet%ilat(*)* and *casamet%ilon(*)* so that CASA-CNP output can be remapped to a 2D grid in NetCDF output file.
- Column *ist* (soil type) is no longer used. Soil properties come from grid_soil.csv now and are not assigned according to soil type.
- Columns *doyP1, doyP2, doyP3, doyP4,* and *Phase(1)* are not used. They are replaced with data from modis_phenology.txt even before the testbed was linked to CLM inputs.
- Column *annNfix,* annual N fixation*,* is ignored (set to zero).
- Column *annNdep* (g N m$^{-2}$ yr$^{-1}$) is set from CLM values. <u>This value is no longer used because N deposition is read daily from the met.nc file</u>. (If there is a column named *Ndep(2001)* at the very right side of the file it is for reference only and is not read by CASA-CNP).

Complete list of **gridinfo_igbpz.csv** columns:
- *ijcam* – index of the grid cell
- *lat* – latitude of the grid cell centroid (decimal degrees)
- *lon* –  longitude of the grid cell centroid (decimal degrees)
- *ivt_igbp* **–** *IGBP vegetation type (1-18)*
- *ist* – soil type (not used with CLM).  Soil properties come from **gridinfo_soil.csv** now instead of being assigned according to soil type.
- *iso* – soil order (1-12) used only when P is simulated (icycle = 3)
- *landarea* – land area in the grid cell (km$^2$)
- *Ndep* – annual atmospheric N deposition (g N m$^{-2}$ yr$^{-1}$) (<u>not used, see above</u>)
- *Nfix* – annual N fixation (g N m$^{-2}$ yr$^{-1}$) (<u>not used, see above</u>)
- *Pwea* – annual P inputs from weathering (g P m$^{-2}$ yr$^{-1}$) used only when P is simulated (icycle = 3)
- *Pdust* – annual P inputs from weathering (g P m$^{-2}$ yr$^{-1}$) used only when P is simulated (icycle = 3)
- *doyP1* – not used (see above)
- *doyP2* – not used (see above)
- *doyP3* – not used (see above)
- *doyP4* – not used (see above)
- *Phase(1*) – not used (see above)
- *ivcasa* – never used with CLM.  Only *ivt_igbp* is used.
- ilat – latitude index for cell (base 1)
- ilon – longitude index for cell (base 1)

**laimonth_mod.csv** – monthly LAI by gridcell.  No longer used by CASA-CNP.

### 2.2.3   Model-specific parameter files

The CASA-CNP testbed always requires a parameter with biome-specific parameters (*pftlookup_igbp.csv*, Section 9.1).  Two other files are also required, *modis_phenology_wtundra.txt* and *co2delta_control.txt* (see below).

If the MIMICS model is chosen as the SOM, then the MIMICS parameter file is also required (Section 9.2).  If the CORPSE model is chosen as the SOM model, then the CORPSE parameter file is also required (Section 9.3).

### 2.3   Running the CASA testbed and setting run-time options

This "list" file, *fcasacnp_clm_testbed.lst,* contains run-time options and indicates the names of files to read and output during a testbed simulation. This is an expansion of the original fcasacnp.lst file that came with the original CASA-CNP code (June 2014). Documentation is included in the file itself.

### 2.3.1   Specifying parameter file names

The following files may have different names than shown below.  Actual names are specified in *fcasacnp_clm_testbed.lst*.
- Files required regardless of SOM model chosen
  - *pftlookup_igbp.csv* – contains PFT-specific parameters for CASA-CNP.
  - *modis_phenology_wtundra.txt* –  tabulated MODIS-derived leaf phenology data for each PFT type. The file is organized in rows by ½ degree latitudes from +79.75, +79.25, …, -55.25). For each PFT across the columns, this file specifies the day of year that GREENUP and FALL occur. The PHENODAY1 columns specify the phenology stage at the beginning of the simulation (0=min LAI, after FALL but before GREENUP, mostly northern latitudes; 2=max LAI, after GREENUP but before FALL, mostly southern latitudes). Not all PFTs have phenology so only 11 of 18 of them are listed in this file.
  - *co2delta_control.txt* – contains options for run-time perturbations. The following is an example of this file with all perturbation options turned off:

  | CO2 ppm, dtSoil, dtAir, year to start, NPP_mult |
  | --- |
  | 360.0  0.0  0.0  10000  1.0 |

- Additional files required when running MIMICS
  - *pftlookup_mimics\*.csv*  - contains MIMICS-specific parameters. The actual name of this file may vary and is specified in the .lst file.
- Additional files required when running CORPSE
  - *corpse_params.nml* – contains CORPSE specific parameters in a FORTRAN name-list format. The actual name of this file may vary and is specified in the .lst file.

### 2.3.2   Example run-time options in fcasacnp_clm_testbed.lst file

Table 4 shows an example of how runtime-options are specified in the *fcasacnp_clm_testbed.lst file*. Numeric options and input/output file names are specified on the first part of the line while comments at the end of the line are preceded with "!!". Documentation is also located in the bottom half of the file after line 28. Here, the file indicates a carbon-only (icycle=1) simulation with the CORPSE model (isomModel=3). The simulation will be run with metrological inputs for 1901-1920, repeated 100 (mloop=100) times.  This is how one may set up a spin-up run.

*Table 4. Example of* fcasacnp_clm_testbed.lst *file with run-time options.*

```
4299        !! mp, number of points to simulate (formerly 3864)
18          !! mvt, number of vegetation types, =13 for CASA, =18 for IGBP+tundra
100         !! mloop, number of times to cycle through met.nc file
0           !! mdaily, =1 output daily and annual fluxes, =0 output annual fluxes only
3           !! initcasa, =0 spin; =1 restart file; =2 transient (mloop=1); 3= repeated transient (mloop>1)
1901 1920  !! Transient years, contents of this line are ignored if initcasa < 2
3               !! isomModel, SOM model: 1=CASA-CNP, 2=MIMICS, 3=CORPSE
1               !! icycle, =1 for C only, =2 for C+N; =3 for C+N+P
./gridinfo_igbpz.csv                      !! 9) Input file with grid info
./pftlookup_igbp_updated4.csv             !! 10) Input file with CASA PFT parameters
./modis_phenology_wtundra.txt             !! 11) Input file with CASA phenonlogy
./gridinfo_soil_clm2.csv                  !! 12) Input file with soil properties for each grid cell
../INPUT_MET_GRID /met_1901_1901.nc       !! 13) Input File for met data
./cnppool_end_prespin_1901_x100.csv       !! 14) CASA initial conditions (initcasa > 0 only)
./cnppool_end_1901_1920_spin_x500.csv     !! 15) CASA end-of-simulation output file (pools)
./cnpflux_end_1901_1920_spin_x500.csv     !! 16) CASA end-of-simulation output file (fluxes)
../OUTPUT_GRID/CORPSE_SPIN/casaclm_pool_yyyy.nc    !! 17) CASA annual/daily netcdf output file
./pftlookup_mimics.csv                    !! 18) MIMICS input parameter file
./mimicspool_init.csv                     !! 19) MIMICS initial conditions (initcasa > 0 only)
./mimicspool_end_1901_1920_spin_x500.csv  !! 20) MIMICS end-of-simulation output file (pools)
../OUTPUT_GRID/MIMICS_SPIN/mimics_pool_yyyy.nc    !! 21) MIMICS annual/daily netcdf output file
./corpsepool_init.csv                     !! 22) CORPSE initial conditions (initcasa > 0 only)
./corpsepool_end_1901_1920_spin_x500.csv  !! 23) CORPSE end-of-simulation output file (pools)
./corpse_params_new.nml                   !! 24) CORPSE input parameter file
../OUTPUT_GRID/CORPSE_SPIN/corpse_pool_yyyy.nc    !! 25) CORPSE annual/daily netcdf output file
./co2delta_control.txt                    !! 26) Input file for perturbations (Tair, Tsoil, NPP)
-1              !! 27) Save daily output for this point. Set to -1 if no daily point file is to be generated.
/project/bgc01/melannie/PointSimulations/      !! 28) Location to write point files (if applicable)


!----------------------------------------------------------------------------------------------------
!NOTES: text below line 28 is not read by casaclm_mimics_corpse
!Any text following a ' ' or '!' on Lines 9-28 is considered a comment and is not part of the filename
Line  9: Input File. Location of gridinfo file that assigns PFT and area to
     each grid cell. Includes annual N fixation. N deposition amount in this file is ignored
     (N deposition is read from met.nc files now).
     Internally the file is named filename_cnppoint.
Line 10: Input File. Contains CASA-CNP parameters for each PFT.
     Internally the file is named filename_cnpbiome.
Line 11: Input File. Describes phenological stages for each grid cell.
     Internally the file is named filename_phen.
Line 12: Input File. Contains soil properties for each grid cell.
     Internally the file is named filename_soilprop.
Line 13: Input File. The name of the met file that drives CASA-CNP.
     For transient runs (initcasa=2 or 3), the year in the filename is
     replaced by the transient year so N deposition can change over time.
```

Internally the file is named filename_cnpmet.

Line 14: Input (startup) file.  Contains initial values for the CASA-CNP pools
   when initcasa > 0.
   Internally the file is named filename_cnpipool.

Line 15: Output file.  End-of-simulation pool values for CASA-CNP.
   Can be used to initialize subsequent runs.
   Internally the file is named filename_cnpepool.

Line 16: Output file. Average annual CASA-CNP fluxes during the last "myear"
   years of the simulation, where myear is the number of years in the
   met.nc file. Can be used to initialize subsequent runs.
   Internally the file is named filename_cnpflux.

Line 17: Output file. NetCDF file that contains the average daily CASA-CNP pools
   during the last "myear" years plus average annual CASA-CNP fluxes during
   the last "myear" years of the simulation, where myear is the number of
   years in the met.nc file. Each year of the transient run will have an
   output file with 1901 replaced by the transient year, 1901 ... 2100 in
   this case. Note: for transient simulations, myear = 1.
   Internally the file is named filename_ncOut.

Line 18: Input File. Contains MIMICS parameters, some are PFT-specific.
   Internally the file named filename_mimicsbiome.

Line 19: Input (startup) file.  Initial values for MIMICS pools when initcasa > 0.
   Internally the file is named filename_mimicsipool.

Line 20: Output file. End-of-simulation pool values for MIMICS.
   Can be used to initialize subsequent runs.
   Internally the file is named filename_mimicsepool.

Line 21: Output file. NetCDF file that contains the average daily MIMICS pools
   during the last "myear" years plus average annual MIMICS fluxes during
   the last "myear" years of the simulation, where myear is the number of
   years in the met.nc file. Each year of the transient run will have an
   output file with 1901 replaced by the transient year, 1901 ... 2100 in
   this case. Note: for transient simulations, myear = 1.
   Internally the file is named filename_ncOut_mimics.

Line 22: Input File. Initial CORPSE pool values. Contains initial values for the CORPSE pools
   when initcasa > 0. When initcasa=0 use initial_C values (LABILE,RECALCITRANT,PROTECTED)
   in corpse_param.nml (filename_corpsenamelist) file.
   Internally the file is named filename_corpseipool.

Line 23: Output file.  End-of-simulation pool values for CASA-CNP.
   Internally the file is named filename_corpseepool.

Line 24: Input file. Namelist parameters for CORPSE.
   Internally the file is named filename_corpsenamelist.

Line 25: Output file. NetCDF file that contains end-of-simulation CORPSE pools and fluxes.
   Internally named filename_ncOut_corpse.

Line 26: Input file. Controls changes in air/soil temperature and NPP during the simulation (formerly
co2delta.tx)

Line 27: Save daily output for this point. Set to -1 if no daily point file is to be generated.
   TEST_daily_casa_pt.csv and (TEST_daily_mimics_pt.csv or TEST_daily_corpse_pt.csv)

Line 28: Directory for point file output.

*2.3.2.1    Specifying simulation type: spinup, transient, transient repeat and using restart files*

When *initcasa*=0, the model computes the initial values of the state variables and cycles the data from a single met.nc file *mloop* times. If the *initcasa* parameter is set to 1, 2, or 3, the model will require a restart file from a previous simulation to initialize the model (or a file that you have set up with initial conditions).  Restart files contain the state of the model at the very end (Dec. 31$^{st}$) of a previous simulation.  Restart files do not perfectly reset the model so it is wise not to interrupt runs and restart them frequently.  When *initcasa*=1, the testbed will repeat meteorogical input from a single met.nc file *mloop* times.  When *initcasa*=2, the model will read a series of met.nc file from year1 to year2 (line 6); always set *mloop*=1 when *initcasa*=2. option.  When *initcasa*=3, the met.nc files from year1 to year2 (line 6) will be cycled *mloop* times.

*2.3.2.2    Annual vs. Daily Output files*

If the *mdaily* parameter is set to 0, the model will create netdcf output for each simulation year. Each variable contains one value per grid cell. For pools, annual values are the MEAN of all daily; for fluxes they are the annual sum. If the *mdaily* parameter is set to 1, the model will create netdcf output for each simulation year. Each variable contains 365 daily values per grid cell. For pools, daily values are the state of the model at the end of the day, and fluxes are the total daily flux.

### 2.3.3   Compiling the *casaclm_mimics_corpse* executable

If the *casaclm_mimics_corpse* executable does not exist or needs to be recompiled for any reason, change to the CASACLM/SOURCE_CODE directory, remove any object files (to be safe), and type the following "make" command:

```
cd CASACLM/SOURCE_CODE
rm *.o *.mod
make -f Makefile.txt casaclm_mimics_corpse
```

If the code fails to compile, the makefile, Makefile.txt, may need to be updated with the specifics (compiler and pathnames) for your system. Next, copy the *casaclm_mimics_corpse* executable to the location where it will be run. The Linux *chmod* command will make it executable in case the file permissions get changed when it is copied. For example

```
cp casaclm_mimics_corpse ../GRID/EXAMPLE_GRID
cd casaclm_mimics_corpse ../GRID/EXAMPLE_GRID
chmod 755 netcdfTools
```

### 2.3.4   Executing the program

Once the list file (*fcasacnp_clm_testbed.lst*) has been set up, type the following two commands at the command line prompt.  The module load command needs only to be run once for each Linux session. It is best to run the model in the background

```
module load tool/netcdf/4.3.2/gcc
./casaclm_mimics_corpse &
```

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An error similar to the following will occur if the module load command is not executed before running *casaclm_mimics_corpse*:

> ./ casaclm_mimics_corpse: error while loading shared libraries: libnetcdff.so.6: cannot open shared object file: No such file or directory

The netcdf module version may change with time. To determine the NetCDF version available, type "module avail" at the command line to see available modules.

## 2.4    Frequently Asked Questions (FAQ)

### 2.4.1    How are PFTs, GPP, and meteorological inputs in the met.nc files aggregated from CLM output?  How are soil properties aggregated?

The testbed is set up to run at the same resolution as defined by CLM history files and surface data (i.e. 2° x 2° gridcells). CLM defines multiple PFTs for each 2° x 2° gridcell, whereas CASA-CNP defines a single (IGBP) PFT classification per each grid cell. Since CASA-CNP was already parameterized for IGBP vegetation classifications, and because there was not a good mapping of CLM PFTs to IGBP PFTs, we determined the dominant IGBP classification for each CLM grid cell (Figure 2).

CASA-CNP requires GPP as an input, and it calculates NPP by accounting for autotrophic respiration losses and nutrient limitation. Nutrient limitation not relevant when CASA-CNP is run in carbon-only mode. The GPP values written to the met*.nc files are total GPP that CLM reported for the grid cell even though CLM's results are the aggregate of multiple PFT.  The NPP written to the met*.nc as a place holder was calculated as GPP/2, but CASA-CNP does not use this NPP estimate.

The met.nc files contain soil water content and soil temperature for 6 layers in the top 150 cm and are depth-weighted averages from CLM output.  The soil texture data in *gridinfo_soil.csv*, including sand, silt, and clay, are also depth-weighted averages in the top 50 cm of soil. Other soil properties in the *gridinfo_soil.csv,* including soil water contents at wilting point, field capacity, and saturation (wwilt, wfiel, and wsat, respectively), were determine from soil texture by the hydraulicProperties subroutine in *netcdfTools* (see file CASACLM/NetCDFTools/SOURCE /clm_common.F90).

### 2.4.2    What needs to be done to change the PFTs on the existing grid?
- *netcdfTools*:
  - ○ Optional: Update the IGBP column in *clmGrid_IGBP.csv* are rerun *netcdfTools* to generate a new *gridnfo_igbpz.csv* file. The name of this input and the output file are hardcoded in netcdfTools source code.
- *casaclm_mimics_corpse*:
  - ○ If you didn't run *netcdfTools* to generate a new *gridinfo_igbpz.csv* as described above, update the vegetation type code in the *ivt_igbp* column of "gridnfo_igbpz.csv" (the actual file name may be different).
  - ○ Update the "pftlookup_igbp.csv" file so the parameters for each vegtype correspond with the new PFTS.
  - ○ Similarly, if running MIMICS the "pftlookup_mimics.csv" file also need to be updated. Currently only soil depth is PFT-specific, but this may change.
  - ○ Update the second line in the *fCASA-CNP_testbed.lst* file to specify the number of PFTs.

### 2.4.3 What needs to be done to change the soil properties on the existing grid?

Update the sand, silt, clay columns "gridinfo_soil.csv" file. For consistency, one should also update the volumetric soil water contents at wilting point, field capacity, and saturation (wwilt, wfiel, and wsat) based on pedometric functions of soil texture.

### 2.4.4 What needs to be done to change the grid resolution and/or lat/lon of grid cells?

The grid resolution is determined by the CLM history files that were used to create the CASA testbed input file.  One must also set up an input file with the new PFT classification at the new resolution and rerun netcdf tools.  See clmGrid_IGBP.csv for example.  There is one header line in this file, plus one row for each cell defined in the global grid (currently 13,824 grid cells = 144 x 96). This file has 7 columns:

lsmlon – longitude index (1..144)
lsmlat – latitude index (1..96)
LANDFRAC_P – binary field, 1 = cell with land, 0 = cell with no land.
LONGXY – longitude of grid cell centroid (decimal degrees)
LATIXY – latitude of grid cell centroid (decimal degrees)
IGBP – PFT classification
WRTCELL – binary field. 1 = simulate as stand-alone cell.

The *netcdfTools* program has never been tested for an alternative grid.  So if the grid changes and/or the format of the CLM or other model's history files change, then one will likely need to make updates to the *netcdfTools* code.

### 2.4.5 What processes are affected when soil temperature is perturbed in the CASA-CNP testbed?

- Temperature effect on soil organic matter turnover rates and heterotrophic respiration (all SOM models)
- N mineralization and N leaching (CASA-CNP C+N only)
- Root maintenance and growth respiration (and therefore NPP and litterfall rates)

### 2.4.6 What processes are affected when air temperature is perturbed in the CASA-CNP testbed?

- Wood maintenance and growth respiration (and therefore NPP and litterfall rates)
- Labile C (carbohydrate) storage (and therefore NPP and litterfall rates)
- Cold effects on leaf litter fall

### 2.4.7 Are there any input/output file size restraints?

The maximum size of a netCDF file is 2GB.  The met.nc files for the 2° x 2° CLM grid (4299 cells that had complete data) with 7 years of daily data are just under this 2GB limit. NetCDF tools will likely fail if one tries to create a gridded file with more than 7 years.  The number of years in a met.nc file will be fewer for finer-scaled global grids. However, met.nc files for single points can probably contain thousands of years.

### 2.4.8   What are the output file options for casaclm_mimics_corpse?

The output timestep is either daily or annual.  The testbed outputs at least one netcdf file per year. Daily netcdf output is saved in annual files with 365 days of results.  Annual netcdf output files contain mean annual pool values and total annual fluxes. If the SOM model is CASA-CNP, then the testbed outputs netcdf file per year.  If the SOM model is MIMICS or CORPSE, then the testbed will output 2 netcdf files per year, one that contains CASA-CNP pools and fluxes, and the other for the pools and fluxes for the selected SOM model.  Note, when MIMICS or CORPSE is the SOM model, then the CASA-CNP output file will still contain variables for its own SOM model, although the associated fluxes will be zero and the pools will likely contain non-zero values from the initialization process, so in this case one must be careful not to use these SOM variables from the CASA-CNP model. The CASA-CNP netcdf files always contain vegetation pools and fluxes, regardless of the SOM model specified.

Similarly, when the testbed is run with the CASA-CNP SOM model, it will generate one .csv file end-of-simulation pool values.  When the testbed is run with either MIMICS or CORPSE as the SOM model, the testbed will output two .csv files end-of-simulation pool values; again, in this case, one should ignore the SOM variables in the CASA-CNP end-of-simulation file.

### 2.4.9   What is the best way to do two or more simultaneous runs?

You should never run two simulations simultaneously in the same directory, there will be likely be file conflicts. For example, you should copy the EXAMPLE_GRID folder and start the second simulation there. Also make sure you aren't sending netcdf file output to the same directory where file results from one simulation could overwrite those from another.

# 3   Model Descriptions

## 3.1   CASA-CNP Vegetation Model

The CASA-CNP terrestrial biosphere model calculated net primary production (NPP) and subsequent plant litter inputs to the soil.  When run in carbon-only mode, NPP is not nutrient limited. Daily NPP was daily GPP minus the sum of plant maintenance and growth respiration.

Maintenance respiration for wood and fine roots was a function of their N content. These respiration rates were zero for air/soil temperatures ≤ 250 K and increased exponentially with temperature using a fixed biome-specific $Q_{10}$ (g C g $N^{-1}$ $d^{-1}$) (Sitch, Smith et al. 2003). In carbon-only mode, CASA-CNP determines plant pool N content from fixed biome-specific C:N ratios. Additional maintenance respiration flux may occur when CASA-CNP is run with N or N+P and is a function of the size of the labile carbon storage pool; it increases exponentially with temperature using a fixed biome-specific $Q_{10}$ (g C $d^{-1}$) for the labile pool. Maintenance respiration for leaves was set to zero.  Growth respiration was a fraction (1.0 – growth efficiency) of the quantity GPP minus the sum of maintenance respiration fluxes. For C only or C+N only, growth efficiency (Ygrow)= 0.65 in C+N+P mode it is less (Figure 19).

The fraction of NPP allocated to leaf, wood or fine roots depended on leaf phenology (Wang, Law et al. 2010). Global leaf phenology for all biomes was derived from the estimates of remote sensing observations (Zhang, Friedl et al. 2006). Leaf growth was divided into four phases. Phase 1 was from leaf budburst to the start of steady leaf growth and was 14 days long, phase 2 was from the start of steady leaf growth to the beginning of leaf senescence, phase 3 was the 14-day period of leaf senescence, and

phase 4 was from the end of leaf senescence to the start of leaf bud burst. For evergreen biomes, leaf phenology remained at phase 2 throughout the year. During maximal leaf growth (phase 1), 80% of C was allocated to leaves, the remainder of C was allocated to wood and fine roots. During the steady growth period (phase 2), C allocation to leaves, wood, and fine roots was determined by the fixed biome-specific fractions, but leaf allocation was adjusted (increased to 80% or reduced to 0%) if LAI was below or above the theoretical biome-specific minimum and maximum LAI. During leaf growth phase 3 (senescence) and 4 (minimum LAI), no carbon was allocated to leaves and all C is allocated to wood and fine roots.

Turnover of live leaves, wood, and fine roots occurred daily at biome-specific age-related death rates, expect that age-related death rates for leaves during Phase 1 were zero.  The leaf turnover rate increased with cold and drought stress, and was modeled following the approach of Arora and Boer (2005). Drought- and cold-induced leaf death could occur during any phenology phase.

CASA-CNP defines a single International IGBP per each grid cell, and has a set of biome-specific parameters that control plant growth and turnover, SOM turnover, plant and SOM stoichiometry, and other processes.

Non-woody plant litter is partitioned into Structural and Metabolic litter material as a function of the lignin:N ratio of the plant litter. Woody plant litter accumulates in the coarse woody debris (CWD) pool. CWD decomposes as a function of temperature and soil moisture, with $CO_2$ respiration loss. Metabolic litter, Structural litter, and decomposing CWD provide C inputs to all soil carbon models in the testbed.

### 3.1.1   Plant Functional Types

The 18 plant functional types (PFTs) currently used by the testbed are described in Table 5 below. Ice, water, and urban types are not simulated by the testbed.

*Table 5. IGBP_CERES plant functional types (PFTs) used by the CASA-CNP testbed, from* pftlookup_casa.csv.

| PFT | (ice,water,urban:0)/ grass:1/shrub:2/woody:3 | |
|:---:|:---:|:---|
| 1 | 3 | Evergreen Needleleaf Forest |
| 2 | 3 | Evergreen Broadleaf Forest |
| 3 | 3 | Deciduous Needleleaf Forest |
| 4 | 3 | Deciduous Broadleaf Forest |
| 5 | 3 | Mixed forest |
| 6 | 2 | Close shrublands |
| 7 | 2 | Open shrublands |
| 8 | 3 | woody savannas |
| 9 | 3 | savannas |
| 10 | 1 | grasslands |
| 11 | 0 | permanent wetlands |
| 12 | 1 | croplands |

| 13 | 0 | urban and built-up |
|----|---|---------------------|
| 14 | 1 | cropland mosaic (as 12) |
| 15 | 0 | permanent snow and ice |
| 16 | 1 | barren or sparsely vegetated |
| 17 | 0 | ice, water |
| 18 | 1 | tundra |

Source: National Center for Atmospheric Research Staff (Eds). Last modified 10 Feb 2017. "The Climate Data Guide: CERES: IGBP Land Classification." Retrieved from https://climatedataguide.ucar.edu/climate-data/ceres-igbp-land-classification. The web pages explain the use of the International Geosphere/Biosphere Programme (IGBP) scene types in the CERES/SARB surface map. There are 18 surface types used by the SARB group to identify surface properties of a given region. Scene types were delineated by IGBP. This map is determined using a 1km IGBP scene types supplied by USGS. An 18th scene type (Tundra) is added to distinguish the rocky/barren scene of northern climes vs. that of other deserts.

## 3.2   CASA-CNP Soil Carbon Model

CASA-CNP soil carbon model has two litter pools (Metabolic and Structural) and three soil organic matter pools (Fast (a.k.a. Microbial), Slow, and Passive) (Figure 3). Live microbial biomass in not explicitly simulated by CASA-CNP therefore we refer to CASA-CNP's microbial pool as the Fast pool to avoid confusion with the live microbial pools of the microbial-explicit SOM models. Non-woody plant litter is partitioned into Structural and Metabolic litter pools as a function of the lignin:N ratio of the plant litter. Woody plant litter accumulates in the coarse woody debris (CWD) pool. The decomposition rates of all pools are controlled by first-order kinetics and modified by soil temperature and moisture controls. Plant litter pools decompose into Fast and Slow pools as a function leaf/wood lignin, with $CO_2$ respiration loss. The CWD pool decomposes to the Fast and Slow SOM pools, with $CO_2$ respiration loss, as a function of the wood lignin. Transfers of C from the Fast and Slow pools form the Passive Pool are a function of soil texture. The passive pool decomposes, with $CO_2$ respiration loss, without transfers of C to other pools.
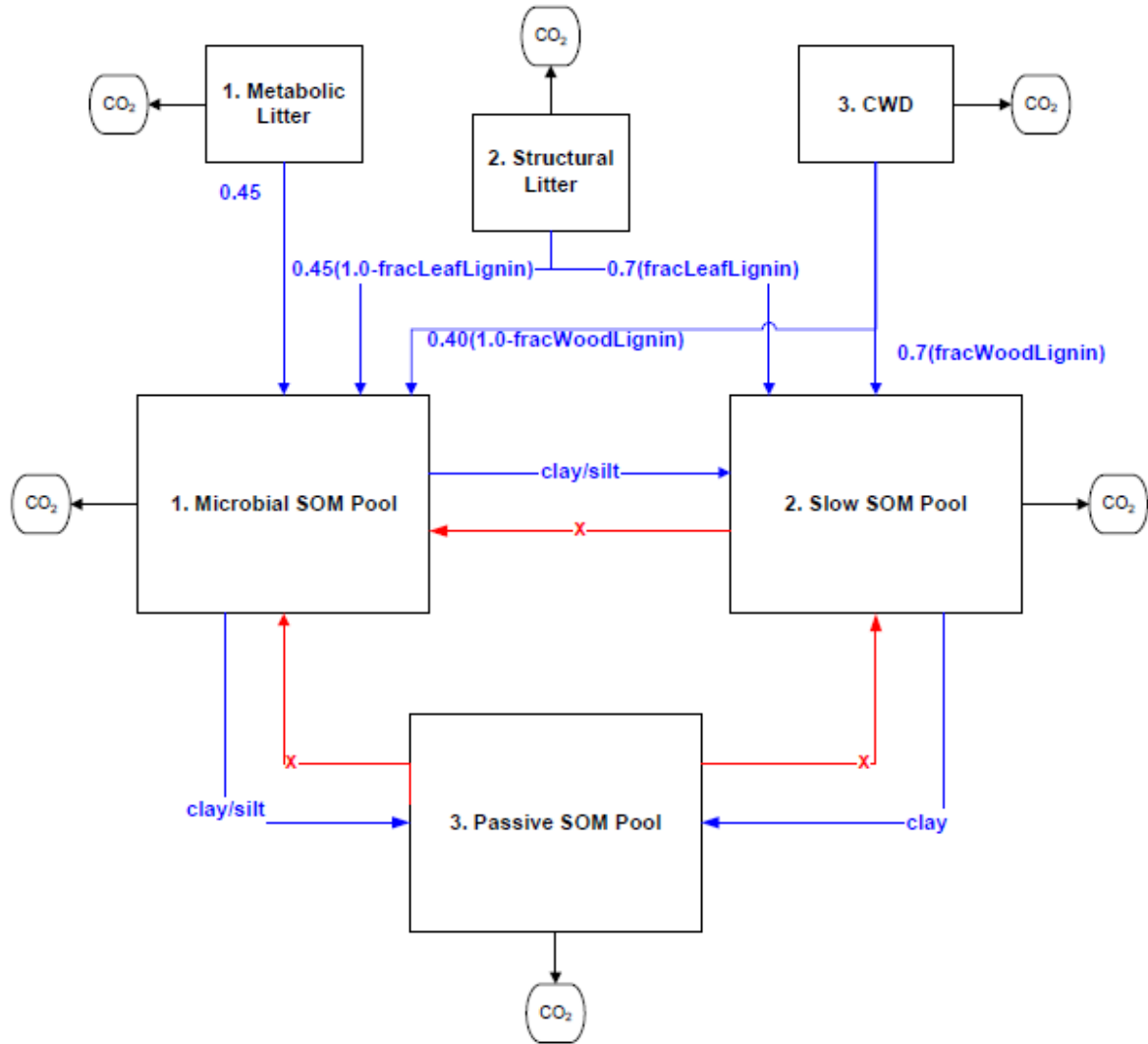
Figure 3. Litter and Soil Organic Mater Pools in the CASA-CNP model. All flows out of any litter or soil pool are controlled by f(T) and f(W) as well as the lignin/texture controls shown with the blue arrows. The blue text refers to the controls on the fraction of the total flow that is transferred from one pool to another; 1.0 minus these fractions is the portion of the total flow that goes to heterotrophic respiration. The red arrows indicate that there is no flow from the Passive SOM pool to the Fast (Microbial) or Slow SOM pools. See equations below.

### 3.2.1   Partitioning of plant litter inputs in CASA-CNP

*The Lignin:N ratio (g lignin / g N) of plant biomass is used to partition leaf and fine root litter into structural and metabolic components. When running CASA-CNP in carbon-only mode, the initial C:N ratio parameters along with lignin fractions are used to compute lignin:N ratios (*

Table 6). For plant part *i* =leaf, wood, and froot, the lignin:N ratios are the product of the lignin fraction and the C:N ratio:

$$Lignin:N_{i,pft} = ligninFraction_{i,pft} \times C:N\ ratio_{i,pft}$$

Table 6. Initial C:N ratios and lignin content of plant parts by PFT.

| vegtype (PFT) | C:N leaf gC/gN | C:N wood gC/gN | C:N froot gC/gN | lignin leaf g lignin /gC | lignin CWD g lignin /gC | lignin froot g lignin /gC |
|---|---|---|---|---|---|---|
| 1 | 50 | 250 | 78 | 0.25 | 0.4 | 0.25 |
| 2 | 25 | 150 | 68 | 0.2 | 0.4 | 0.2 |
| 3 | 60 | 250 | 41 | 0.2 | 0.4 | 0.2 |
| 4 | 50 | 175 | 41 | 0.2 | 0.4 | 0.2 |
| 5 | 50 | 175 | 41 | 0.22 | 0.4 | 0.22 |
| 6 | 45 | 150 | 41 | 0.2 | 0.4 | 0.2 |
| 7 | 40 | 150 | 41 | 0.2 | 0.4 | 0.2 |
| 8 | 25 | 150 | 41 | 0.15 | 0.4 | 0.15 |
| 9 | 25 | 150 | 41 | 0.15 | 0.4 | 0.15 |
| 10 | 50 | 150 | 41 | 0.1 | 0.4 | 0.1 |
| 11 | 30 | 150 | 41 | 0.15 | 0.4 | 0.15 |
| 12 | 50 | 125 | 41 | 0.2 | 0.4 | 0.2 |
| 13 | 40 | 150 | 41 | 0.15 | 0.4 | 0.15 |
| 14 | 30 | 125 | 41 | 0.1 | 0.4 | 0.1 |
| 15 | 40 | 150 | 41 | 0.1 | 0.4 | 0.1 |
| 16 | 40 | 150 | 41 | 0.15 | 0.4 | 0.15 |
| 17 | 40 | 135 | 41 | 0.25 | 0.4 | 0.25 |
| 18 | 47.6 | 150 | 41 | 0.166 | 0.4 | 0.166 |

The fraction of leaf and fine root litter allocation to metabolic litter (fracC, 0.0 – 0.85) increases with the ratio of lignin:N of the plant residue. The remaining fraction is allocated to structural litter (Figure 4). This partitioning is used by CASA-CNP and CORPSE SOM models. See also "Partitioning of plant litter inputs in MIMICS".

$$fracC_{leaf \to metb} = \max\left(0.001,\ 0.85 - 0.018 \cdot ratioLignintoN\left(leaf\right)\right)$$

$$fracC_{froot \to metb} = \max\left(0.001,\ 0.85 - 0.018 \cdot ratioLignintoN\left(froot\right)\right)$$

$$fracC_{leaf \to struc} = 1.0 - fracC_{leaf \to metb}$$

$$fracC_{froot \to struc} = 1.0 - fracC_{froot \to metb}$$

$$fracC_{wood \to cwd} = 1.0$$
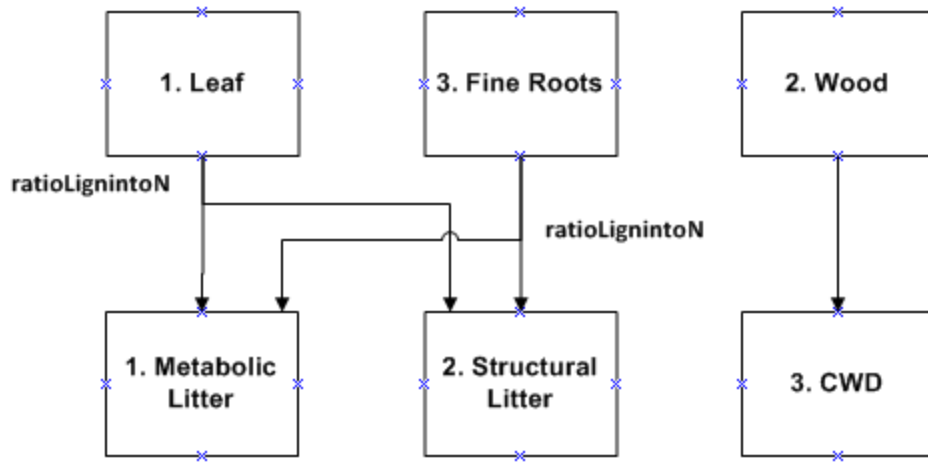
*Figure 4. CASA-CNP plant litter transfers to the metabolic and structural litter pools*

The lignin:N ratios are computed from plant C and N pools and biome-specific parameters. When CASA-CNP is run in carbon-only model, plant N pools are determined from fixed biome-specific N:C ratios.

$$ratioLignintoN\left(leaf\right) = \frac{fracLigninplant\left(PFT, leaf\right)}{ratioNCplant\left(PFT, np\right)}$$

$$ratioLignintoN\left(froot\right) = \frac{fracLigninplant\left(PFT, froot\right)}{ratioNCplant\left(PFT, froot\right)}$$

Where:

    *fracLigninplant(PFT,\*)* – biome-specific lignin:N ratio (g lignin / g N)

    *ratioNCplant(PFT,\*)* – biome-specific fraction of N:C ratios

**Metabolic litter → Fast SOM**

$$C_{mic,metbc} = \left(0.45\right)\left(xkoptlitter\left(PFT\right) \cdot xktemp \cdot xkwater\right)$$
$$\cdot \frac{1}{365 \cdot metbc_{age}\left(PFT\right)} \cdot METBC$$

$$CO_2Resp_{mic,metbc} = (1.0 - 0.45)\left(xkoptlitter\left(PFT\right) \cdot xktemp \cdot xkwater\right)$$

$$\cdot \frac{1}{365 \cdot metbc_{age}\left(PFT\right)} \cdot METBC$$

**Structural litter → Fast SOM**

$$C_{mic,struc} = 0.45\left(1.0 - fracLigninplant\left(PFT, leaf\right)\right)$$

$$\cdot \left(xkoptlitter\left(PFT\right) \cdot xktemp \cdot xkwater\right) \cdot \frac{1}{365 \cdot struc_{age}\left(PFT\right)} \cdot STRUC$$

$$CO_2Resp_{mic,struc} = \left[1.0 - 0.45\left(1.0 - fracLigninplant\left(PFT, leaf\right)\right)\right]$$

$$\cdot \left(xkoptlitter\left(PFT\right) \cdot xktemp \cdot xkwater\right) \cdot \frac{1}{365 \cdot struc_{age}\left(PFT\right)} \cdot STRUC$$

**Structural litter → Slow SOM**

$$C_{slow,struc} = 0.7\, fracLigninplant\left(PFT, leaf\right)$$

$$\cdot \left(xkoptlitter\left(PFT\right) \cdot xktemp \cdot xkwater\right)$$

$$\cdot \frac{1}{365 \cdot struc_{age}\left(PFT\right)} \cdot STRUC$$

$$CO_2Resp_{slow,struc} = \left[1.0 - 0.7\, fracLigninplant\left(PFT, leaf\right)\right]$$

$$\cdot \left(xkoptlitter\left(PFT\right) \cdot xktemp \cdot xkwater\right)$$

$$\cdot \frac{1}{365 \cdot struc_{age}\left(PFT\right)} \cdot STRUC$$

**Coarse Woody Debris → Fast SOM**

$$C_{mic,cwd} = 0.40 \left( 1.0 - fracLigninplant \left( PFT, wood \right) \right)$$
$$\cdot \left( xkoptlitter \left( PFT \right) \cdot xktemp \cdot xkwater \right)$$
$$\cdot \frac{1}{365 \cdot CWD_{age} \left( PFT \right)} \cdot CWD$$

$$CO_2 Resp_{mic,cwd} = \left[ 1.0 - 0.40 \left( 1.0 - fracLigninplant \left( PFT, wood \right) \right) \right]$$
$$\cdot \left( xkoptlitter \left( PFT \right) \cdot xktemp \cdot xkwater \right)$$
$$\cdot \frac{1}{365 \cdot CWD_{age} \left( PFT \right)} \cdot CWD$$

**Coarse Woody Debris → Slow SOM**

$$C_{slow,cwd} = 0.7 \, fracLigninplant \left( PFT, wood \right)$$
$$\cdot \left( xkoptlitter \left( PFT \right) \cdot xktemp \cdot xkwater \right)$$
$$\cdot \frac{1}{365 \cdot CWD_{age} \left( PFT \right)} \cdot CWD$$

$$CO_2 Resp_{slow,cwd} = \left[ 1.0 - 0.7 \, fracLigninplant \left( PFT, wood \right) \right]$$
$$\cdot \left( xkoptlitter \left( PFT \right) \cdot xktemp \cdot xkwater \right)$$
$$\cdot \frac{1}{365 \cdot CWD_{age} \left( PFT \right)} * CWD$$

**Fast SOM → Slow SOM**

$$C_{slow,mic} = \left( 0.85 - 0.68 \left( clay + silt \right) \right) \cdot \left( 0.997 - 0.032 clay \right)$$
$$\cdot \left( xkoptsoil \left( PFT \right) \cdot xktemp \cdot xkwater \right) \cdot \frac{1}{365 \cdot MIC_{age} \left( PFT \right)} \cdot MIC$$

$$CO_2Resp_{slow,mic} = \left[1.0 - \left(0.85 - 0.68(clay + silt)\right) \cdot (0.997 - 0.032clay)\right]$$
$$\cdot \left(xkoptsoil(PFT) \cdot xktemp \cdot xkwater\right) \cdot \frac{1}{365 \cdot MIC_{age}(PFT)} \cdot MIC$$

**Fast SOM → Passive SOM**

$$C_{pass,mic} = \left(0.85 - 0.68(clay + silt)\right) \cdot (0.003 + 0.032clay)$$
$$\cdot \left(xkoptsoil(PFT) \cdot xktemp \cdot xkwater\right) \cdot \frac{1}{365 \cdot MIC_{age}(PFT)} \cdot MIC$$

$$CO_2Resp_{pass,mic} = \left[1.0 - \left(0.85 - 0.68(clay + silt)\right) \cdot (0.003 + 0.032clay)\right]$$
$$\cdot \left(xkoptsoil(PFT) \cdot xktemp \cdot xkwater\right) \cdot \frac{1}{365 \cdot MIC_{age}(PFT)} \cdot MIC$$

**Slow SOM → Passive SOM**

$$C_{pass,slow} = 0.45(0.003 + 0.009clay)$$
$$* \left(xkoptsoil(PFT) \cdot xktemp \cdot xkwater\right)$$
$$\cdot \frac{1}{365 \cdot SLOW_{age}(PFT)} * SLOW$$

$$CO_2Resp_{pass,slow} = \left[1.0 - 0.45(0.003 + 0.009clay)\right]$$
$$\cdot \left(xkoptsoil(PFT) \cdot xktemp \cdot xkwater\right)$$
$$\cdot \frac{1}{365 \cdot SLOW_{age}(PFT)} \cdot SLOW$$

**Passive Pool Decomposition**

$$CO_2Resp_{pass} = \left( xkoptsoil(PFT) \cdot xktemp \cdot xkwater \right)$$
$$\cdot \frac{1}{365 \cdot PASS_{age}(PFT)} \cdot PASS$$

### 3.2.2 CASA-CNP Temperature and Moisture Controls on Decomposition of Litter and SOM

The decomposition of litter or SOM pools is a first order equation. The maximum decomposition rate is multiplied by temperature and moisture functions.

$$xklitter = xkoptlitter(PFT) \cdot xktemp \cdot xkwater$$

$$xksoil = xkoptsoil(PFT) \cdot xktemp \cdot xkwater$$

Where *xkoptlitter(PFT)* and *xkoptsoil(PFT)* are the biome-specific maximum decomposition rates (1/day) for litter and soil, respectively; *xktemp* is the soil temperature effect on decomposition (0.0-2.0), and *xkwater* is the effect of soil moisture (0.0-1.0). In CASA-CNP croplands PFTs have no moisture limitation on soil organic matter decomposition (xkwater=1.0) and daily turnover rates for the fast, slow, and passive pools are multiplied by 1.25, 1.5, and 1.5 respectively.

#### 3.2.2.1 CASA-CNP Temperature Controls on Decomposition

The $Q_{10}$ of the soil temperature function is a biome-specific input, set to 1.72 for all biomes. The value *tsavg* is the average soil temperature in the rooting zone (50 – 150 cm) (K) (Figure 5).

$$xktemp = Q_{10,soil}(PFT)^{0.1\left(tsavg - TKzeroC - 35.0\right)}$$
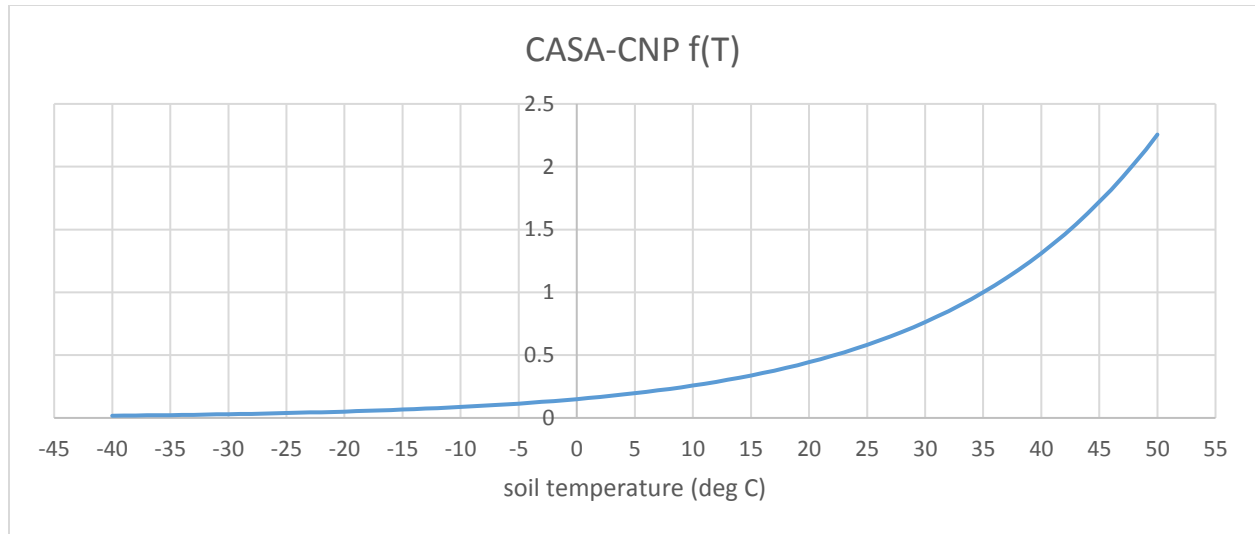
Figure 5. CASA-CNP soil temperature effect on Soil Organic Matter decomposition.

### 3.2.2.2 CASA-CNP Soil Moisture Controls on Decomposition

wfpscoefa = 0.55    ! Kelly et al. (2000) JGR, Figure 2b), optimal wfps
wfpscoefb = 1.70    ! Kelly et al. (2000) JGR, Figure 2b)
wfpscoefc = -0.007  ! Kelly et al. (2000) JGR, Figure 2b)
wfpscoefd = 3.22    ! Kelly et al. (2000) JGR, Figure 2b)
wfpscoefe = 6.6481 ! =wfpscoefd*(wfpscoefb-wfpscoefa)/(wfpscoefa-wfpscoefc)

*fwps* is soil moisture expressed as the fraction of water filled pore space (0.0 – 1.0) (Figure 6).

$$ fwps = \frac{moistavg}{ssat} $$

$$ xkwater = \begin{cases} \left( \dfrac{fwps - wfpscoefb}{wfpscoefa - wfpscoefb} \right)^{wfpscoefe} \cdot \left( \dfrac{fwps - wfpscoefc}{wfpscoefa - wfpscoefc} \right)^{wfpscoefd} & , \text{ non-cropland} \\ 1.0, \quad \text{cropland} \end{cases} $$
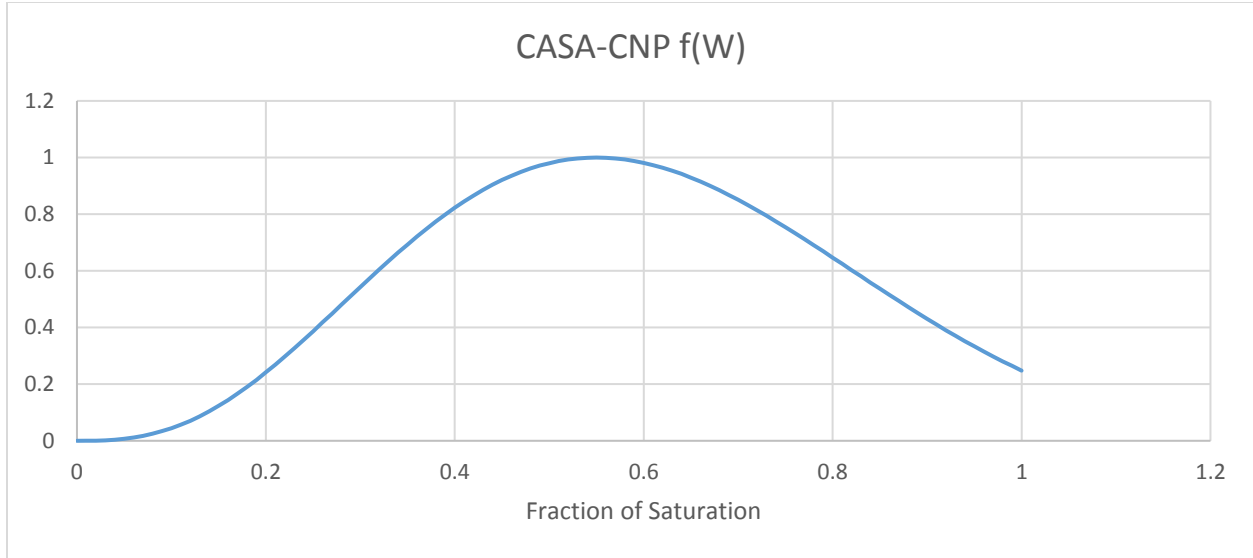
*Figure 6. CASA-CNP soil moisture effect on SOM decomposition.*

### 3.2.3 Carbon Stabilization and Destabilization in CASA-CNP

Protected C resides in the Passive SOM pool.  The flow of C from the Fast and Slow pools to the Passive pool is a function of silt and clay (Figure 7).

$$C_{mic->pass} = \left(0.85 - 0.68\left(clay + silt\right)\right) \cdot \left(0.003 + 0.032clay\right)$$
$$\cdot \left(xkoptsoil\left(PFT\right) \cdot xktemp \cdot xkwater\right) \cdot \frac{1}{365 \cdot MIC_{age}\left(PFT\right)} \cdot MIC$$

$$C_{slow->pass} = 0.45\left(0.003 + 0.009clay\right)$$
$$\cdot \left(xkoptsoil\left(PFT\right) \cdot xktemp \cdot xkwater\right) \cdot \frac{1}{365 \cdot SLOW_{age}\left(PFT\right)} * SLOW$$

All carbon from the decomposition of the Passive SOM pool is lost as $CO_2$. The intrinsic rate of decomposition of the passive pool is a biome-specific constant.
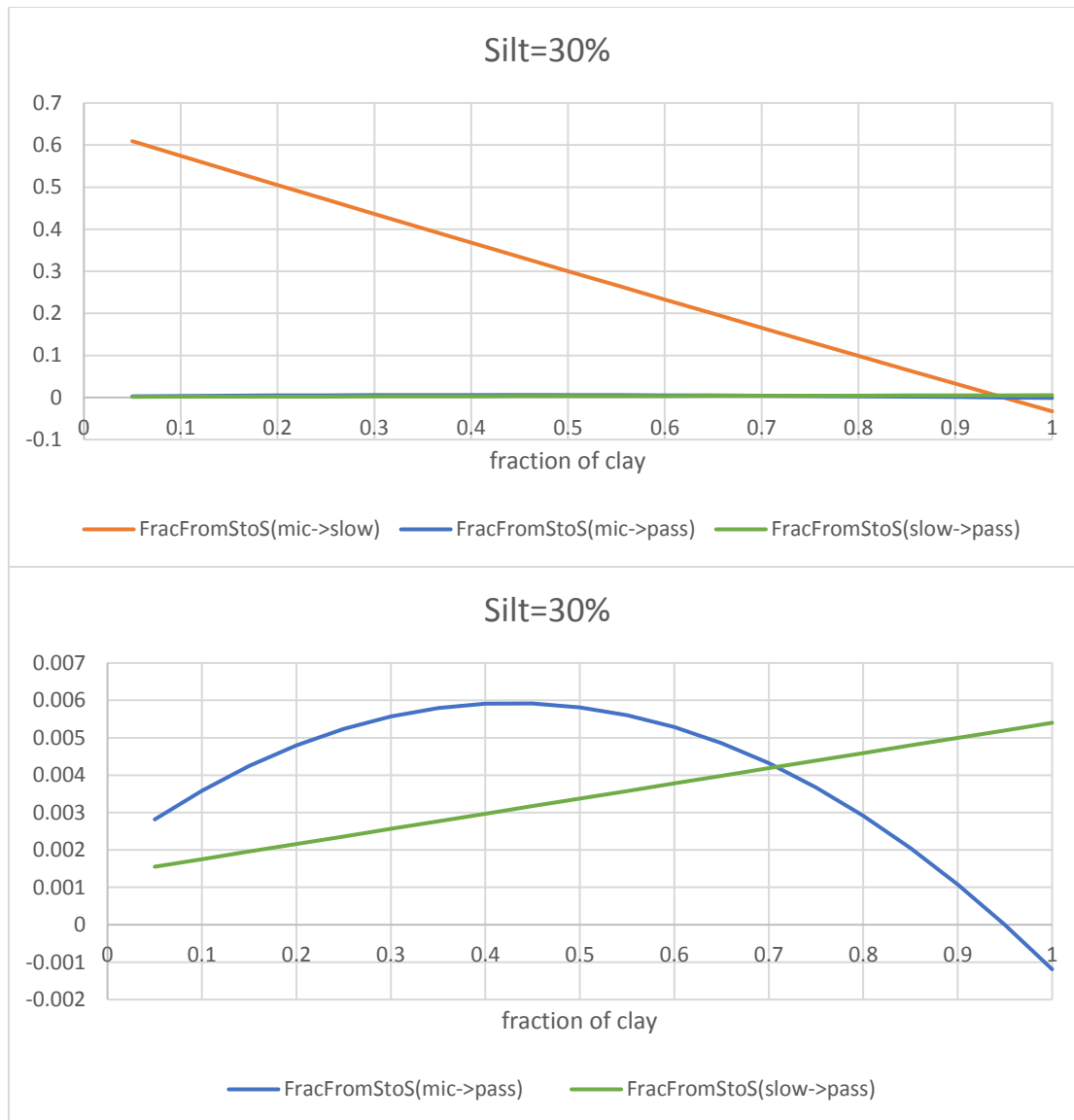
*Figure 7. The effect of soil clay content (% weight) on the fraction of flows between soil organic matter pools. The silt fraction was held at a constant 30%. The lower figure is a zoomed in version of the top figure.*

## 3.3   CASA-CNP Soil Layer Structure

CASA-CNP defines six soil layers with increasing thicknesses with depth (Table 7). These layer thicknesses are defined internally and cannot be reset outside of the code.

*Table 7. **CASA-CNP Soil Layer Structure***

| Layer Number | 1 | 2 | 3 | 4 | 5 | 6 |
|---|---|---|---|---|---|---|

| Layer Thickness (m) | 0.022 | 0.058 | 0.154 | 0.409 | 1.085 | 2.872 |
|---|---|---|---|---|---|---|
| depthsoila (m) | 0.000 | 0.022 | 0.080 | 0.234 | 0.643 | 1.728 |
| depthsoilb (m) | 0.022 | 0.080 | 0.234 | 0.643 | 1.728 | 4.600 |

### 3.3.1 Mapping of CLM soil layers to CASA-CNP soil layers

In order to compute soil moisture and soil temperature for each of the six CASA-CNP soil layers, the six CASA-CNP soil layers were mapped to ten CLM soil layers as shown in the tables below, based on the maximum depth of each soil layer. Soil moisture and soil temperature of CASA-CNP were the weighted average of the corresponding values in the CLM layers:

CASA-CNP layer 1 to CLM layer 1
CASA-CNP layer 2 to CLM layers 2 and 3
CASA-CNP layer 3 to CLM layers 4 and 5
CASA-CNP layer 4 to CLM layers 6 and 7
CASA-CNP layer 5 to CLM layers 8 and 9
CASA-CNP layer 6 to CLM layers 10

| CLM layer # | z (center of layer, m) | $\Delta z$ (m) | $\Delta z$ (cm) | upper depth (cm) | lower depth (cm) |
|---|---|---|---|---|---|
| 1 | 0.0071 | 0.0175 | 1.75 | 0 | 1.75 |
| 2 | 0.0279 | 0.0276 | 2.76 | 1.75 | 4.51 |
| 3 | 0.0623 | 0.0455 | 4.55 | 4.51 | 9.06 |
| 4 | 0.1189 | 0.075 | 7.5 | 9.06 | 16.56 |
| 5 | 0.2122 | 0.1236 | 12.36 | 16.56 | 28.92 |
| 6 | 0.3661 | 0.2038 | 20.38 | 28.92 | 49.3 |
| 7 | 0.6198 | 0.336 | 33.6 | 49.3 | 82.9 |
| 8 | 1.038 | 0.5539 | 55.39 | 82.9 | 138.29 |
| 9 | 1.7276 | 0.9133 | 91.33 | 138.29 | 229.62 |
| 10 | 2.8646 | 1.137 | 113.7 | 229.62 | 343.32 |

| CASA-CNP layer # | $\Delta z$ (m) | $\Delta z$ (cm) | upper depth (cm) | lower depth (cm) | z (center of layer, cm) |
|---|---|---|---|---|---|
| 1 | 0.022 | 2.2 | 0 | 2.2 | 1.1 |
| 2 | 0.058 | 5.8 | 2.2 | 8 | 5.1 |
| 3 | 0.154 | 15.4 | 8 | 23.4 | 15.7 |
| 4 | 0.409 | 40.9 | 23.4 | 64.3 | 43.85 |
| 5 | 1.085 | 108.5 | 64.3 | 172.8 | 118.55 |
| 6 | 2.872 | 287.2 | 172.8 | 460 | 316.4 |

### 3.3.2 Fraction of root biomass in each CASA-CNP soil layer

The fraction of root biomass in each of six soil layers (ns=1..6) is determined from three biome-specific parameters and the following equations (Table 8, Table 9).

$$totroot(pft) = 1.0 - exp(-casabiome\%kroot(pft) * casabiome\%rootdepth(pft))$$

$$fracroot(pft,ns) = \frac{\left(\begin{array}{l} exp(-casabiome\%kroot(pft) * min(casabiome\%rootdepth(pft), depthsoila(ns))) \\ -exp(-casabiome\%kroot(pft) * min(casabiome\%rootdepth(pft), depthsoilb(ns))) \end{array}\right)}{totroot(pft)}$$

*Table 8. CASA-CNP parameters used to calculate fraction of root in each soil layer*

| vegtype (PFT) | Kroot (1/m) | rootdepth (m) | Krootlen (m/g C) |
|---|---|---|---|
| 1 | 5.5 | 1.5 | 14.87805 |
| 2 | 3.9 | 1.5 | 14.38596 |
| 3 | 5.5 | 1.5 | 14.02597 |
| 4 | 3.9 | 1.5 | 18.94737 |
| 5 | 2 | 1.5 | 16.71642 |
| 6 | 2 | 0.5 | 0 |
| 7 | 2 | 0.5 | 32.30769 |
| 8 | 2 | 1.5 | 120.8 |
| 9 | 2 | 1.5 | 120.8 |
| 10 | 5.5 | 0.5 | 84 |
| 11 | 5.5 | 0.5 | 0 |
| 12 | 5.5 | 0.5 | 120.5 |
| 13 | 2 | 0.5 | 0 |
| 14 | 5.5 | 0.5 | 0 |
| 15 | 5.5 | 0.5 | 0 |
| 16 | 2 | 0.5 | 30.76923 |
| 17 | 5.5 | 1.5 | 0 |
| 18 | 5.5 | 0.5 | 84 |

*Table 9. **Fraction of roots in each soil layer by PFT***

| Vegtype (PFT) | fracroot(1) | fracroot(2) | fracroot(3) | fracroot(4) | fracroot(5) | fracroot(6) |
|---|---|---|---|---|---|---|
| **1** | 0.11 | 0.24 | 0.37 | 0.25 | 0.03 | 0.0 |
| **2** | 0.08 | 0.19 | 0.33 | 0.32 | 0.08 | 0.0 |

| Vegtype (PFT) | fracroot(1) | fracroot(2) | fracroot(3) | fracroot(4) | fracroot(5) | fracroot(6) |
|---|---|---|---|---|---|---|
| 3 | 0.11 | 0.24 | 0.37 | 0.25 | 0.03 | 0.0 |
| 4 | 0.08 | 0.19 | 0.33 | 0.32 | 0.08 | 0.0 |
| 5 | 0.05 | 0.11 | 0.24 | 0.37 | 0.24 | 0.0 |
| 6 | 0.07 | 0.17 | 0.36 | 0.41 | 0.0 | 0.0 |
| 7 | 0.07 | 0.17 | 0.36 | 0.41 | 0.0 | 0.0 |
| 8 | 0.05 | 0.11 | 0.24 | 0.37 | 0.24 | 0.0 |
| 9 | 0.05 | 0.11 | 0.24 | 0.37 | 0.24 | 0.0 |
| 10 | 0.12 | 0.26 | 0.39 | 0.23 | 0.0 | 0.0 |
| 11 | 0.12 | 0.26 | 0.39 | 0.23 | 0.0 | 0.0 |
| 12 | 0.12 | 0.26 | 0.39 | 0.23 | 0.0 | 0.0 |
| 13 | 0.07 | 0.17 | 0.36 | 0.41 | 0.0 | 0.0 |
| 14 | 0.12 | 0.26 | 0.39 | 0.23 | 0.0 | 0.0 |
| 15 | 0.12 | 0.26 | 0.39 | 0.23 | 0.0 | 0.0 |
| 16 | 0.07 | 0.17 | 0.36 | 0.41 | 0.0 | 0.0 |
| 17 | 0.11 | 0.24 | 0.37 | 0.25 | 0.03 | 0.0 |
| 18 | 0.12 | 0.26 | 0.39 | 0.23 | 0.0 | 0.0 |

## 3.4 MIMICS

MIMICS has two litter pools (Metabolic and Structural), two live microbial biomass pools (r-selected and K-selected), and three soil organic matter pools (Available, Chemically Protected, and Physically Protected) (Figure 8). Non-woody plant litter is partitioned into Structural and Metabolic litter pools as a function of the lignin:N ratio of the plant litter, using a slightly different function that the CASA-CNP model's. Decomposing CWD carbon is transferred to the structural litter pool as a function of soil moisture and temperature, with $CO_2$ respiration loss. The decomposition rates of Metabolic and Structural litter and Available SOM pools are controlled by reverse Michaelis-Menton kinetics (substrate limited) and modified by soil temperature controls. The Structural and Metabolic litter pools are consumed by r-selected and K-selected live microbial pools, with $CO_2$ respiration loss. Microbial turnover, which is proportional to annual NPP, transfers C to Physically Protected, Chemically Protected, and Available SOM pools, without $CO_2$ respiration loss. Desorption of the Physically Protected pool, a function of soil clay content, and oxidation of the Chemically Protected pool, transfer C to the Available pool, without $CO_2$ loss. Carbon in the Available pool is consumed by the two microbial pools, with $CO_2$ respiration loss.

Decomposition of carbon pool ($C_i$) by microbe pool ($MIC_{r/k}$)

$$D_i = Vmax_{r/K}(T) \cdot C_i \frac{MIC_{r/K}}{Km_{r/K}(T) + MIC_{r/K}}$$

where Vmax(T) is the temperature-sensitive Vmax(T)– temperature sensitive maximum reaction velocitiy, Km(T) is the temperature-sensitive half-saturation constant.
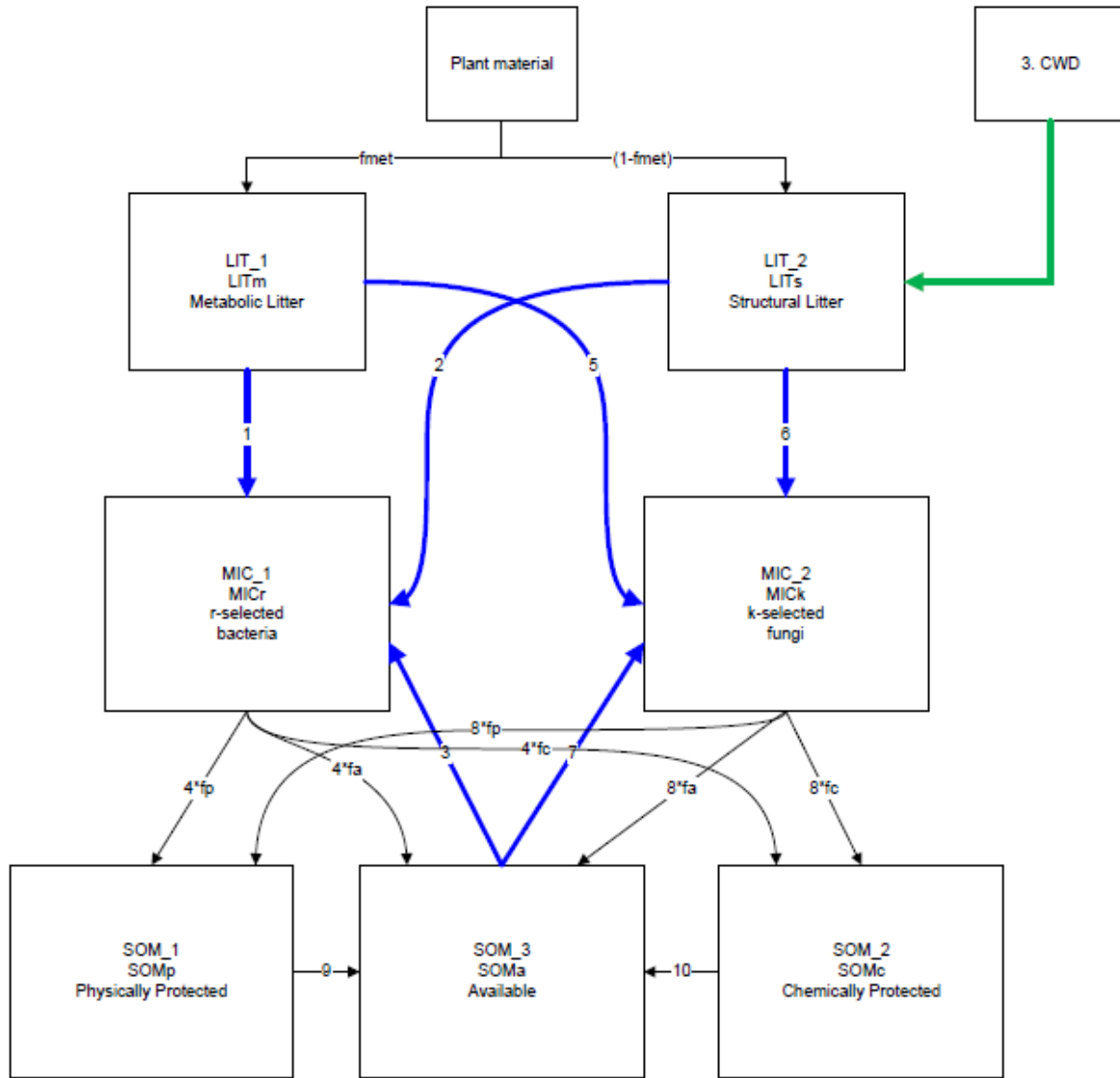
Figure 8. Litter and Soil Organic Mater pools in the MIMICS model. Heterotrophic respiration losses occur with consumption of litter and available pools by the microbes, and with the decomposition of the CWD pool.

### 3.4.1   Partitioning plant litter in MIMICS

The fraction of plant litter partitioned to metabolic litter ($f_{met}$) is a function the weighted average lignin:N ratio of all litter inputs (nP=leaf, froot).

$$f_{met}(nP) = fmetP(1) * \left( fmetP(2) - fmetP(3) * \frac{ligninNratio(npt, nP) * litterInput(npt, nP)}{\sum_{nP} litterInput(npt, nP)} \right)$$

where:

> *fmetP(1)* = 0.75   (or as defined in pftlookup_mimics.csv)
> *fmetP(2)* = 0.85   (or as defined in pftlookup_mimics.csv)
> *fmetP(3)* = 0.013 (or as defined in pftlookup_mimics.csv)

Hourly changes in MIMICS Litter and SOM pools and heterotrophic respiration losses are summarized as follows:

$$dLITm/dt = litInput[metb] \cdot (1.0 - Fi[metb]) - LITmin[1] - LITmin[3]$$

$$dMICr/dt = MGE[1] \cdot (LITmin[1] + SOMmin[1]) + MGE[2] \cdot (LITmin[2]) - \sum_{i=1}^{3} MICtrn[i]$$

$$dSOMp/dt = litInput[metb] \cdot Fi[metb] + MICtrn[1] + MICtrn[4] - DEsorb$$

$$dLITs/dt = litInput[struc] \cdot (1.0 - Fi[struc]) - LITmin[2] - LITmin[4]$$

$$dMICk/dt = MGE[3] \cdot (LITmin[3] + SOMmin[2]) + MGE[4] * (LITmin[4]) - \sum_{i=4}^{6} MICtrn[i]$$

$$dSOMc/dt = litInput[struc] \cdot Fi[struc] + MICtrn[2] + MICtrn[5] - OXIDAT$$

$$dSOMa/dt = MICtrn[3] + MICtrn[6] + DEsorb + OXIDAT - SOMmin[1] - SOMmin[2]$$

$$hResp = (1.0 - MGE[1]) \cdot (LITmin[1] + SOMmin[1]) + (1.0 - MGE[2]) \cdot (LITmin[2])$$
$$+ (1.0 - MGE[3]) \cdot (LITmin[3] + SOMmin[2]) + (1.0 - MGE[4]) \cdot (LITmin[4])$$

where:

> LITmin[1..4] = litter decomposition
> MICtrn[1..3] = microbial turnover
> SOMmin[1..2] = SOM decomposition
>
> *litInput[metb]* – litter inputs to metabolic litter (mg C cm$^{-3}$ hr$^{-1}$)
> *litInout[struc]* – litter inputs to structural litter (mg C cm$^{-3}$ hr$^{-1}$)
>
> *Fi[metb]* – fraction of metabolic litter <u>inputs</u> transferred to SOMp (0.05), the remainder goes to the metabolic ltter pool.
> *Fi[struc]* – fraction of structural litter <u>inputs</u> transferred to SOMc (0.05), the remainder goes to the structural litter pool.
> *MGE[1]* – microbial growth efficiency for C fluxes from LITm or SOMa into *MICr* (0.55, mg mg$^{-1}$)
> *MGE[2]* – microbial growth efficiency for C fluxes from LITs into *MICr* (0.25, mg mg$^{-1}$)
> *MGE[3]* – microbial growth efficiency for C fluxes from LITm or SOMa into *MICk* (0.75, mg mg$^{-1}$)
> *MGE[4]* – microbial growth efficiency for C fluxes from LITs into *MICk* (0.35, mg mg$^{-1}$)

$hResp$ – total heterotrophic respiration (mg C cm$^{-3}$ hr$^{-1}$).  Multiple by depth (cm) to get respiration per unit area.

The individual flows from pool to pool are summarized below. Variables $F_1$ .. $F_{10}$ below refer to equations in Wieder, Grandy et al. (2015).

### 3.4.1.1 Flows to and from MICr

**MICr decomposition of metabolic litter (f1. LITm-->MICr)**

$$F_1 = LITmin[1] = \frac{MICr \cdot Vmax[r1] \cdot LITm}{Km[r1] + MICr}$$

**MICr decomposition of structural litter (f2. LITs-->MICr)**

$$F_2 = LITmin[2] = \frac{MICr \cdot Vmax[r2] \cdot LITs}{Km[r2] + MICr}$$

**MICr turnover to physically protected SOM (f41. MICr-->SOMp)**

$$F_{4.1} = MICtrn[1] = MICr \cdot \tau_r \cdot fPHYS[1]$$

where:

   $fPHYS[1]$ – fraction of $\tau_r$ partitioned into $SOMp$ as a function of $fclay$ (0.0 – 1.0)

**MICr turnover to chemically protected SOM (f42. MICr-->SOMc)**

$$F_{4.2} = MICtrn[2] = MICr \cdot \tau_r \cdot fCHEM[1]$$

where:

   $fCHEM[1]$ – fraction of $\tau_r$ partitioned into $SOMc$ as a function of $fmet$ (0.0 – 1.0)

**MICr turnover to available SOM (f43. MICr-->SOMa)**

$$F_{4.3} = MICtrn[3] = MICr \cdot \tau_r \cdot fAVAL[1]$$

where:

   $fAVAL[1]$ —— fraction of $\tau_r$ partitioned into $SOMa$ (0.0 – 1.0)

**Decomposition of SOMa by MICr (f3. SOMa-->MICr)**

$$F_3 = SOMmin[1] = \frac{MICr \cdot Vmax[r3] \cdot SOMa}{Km[r3] + MICr}$$

### 3.4.1.2    Flows to and from MICk

**MICk decomposition of metabolic litter (f5. LITm -->MICk)**

$$F_5 = LITmin[3] = \frac{MICk \cdot Vmax[k1] \cdot LITm}{Km[k1] + MICk}$$

**MICk decomposition of structural litter (f6. LITs-->MICk)**

$$F_6 = LITmin[4] = \frac{MICk \cdot Vmax[k2] \cdot LITs}{Km[k2] + MICk}$$

**MICk turnover to physically protected SOM (f81. MICk-->SOMp)**

$$F_{8.1} = MICtrn[4] = MICk \cdot \tau_k \cdot fPHYS[2]$$

where:

  fPHYS[2] – fraction of $\tau_k$ partitioned into SOMp as a function of fclay (0.0 – 1.0)

**MICk turnover to chemically protected SOM (f82. MICk-->SOMc)**

$$F_{8.2} = MICtrn[5] = MICk \cdot \tau_k \cdot fCHEM[2]$$

where:

  fCHEM[2] – fraction of $\tau_k$ partitioned into SOMc as a function of fmet (0.0 – 1.0)

**MICk turnover to available SOM (f83. MICk->SOMa)**

$$F_{8.3} = MICtrn[6] = MICk \cdot \tau_k \cdot fAVAL[2]$$

where:

  fAVAL[2] — fraction of $\tau_k$ partitioned into SOMa (0.0 – 1.0)

**Decomposition of SOMa by MICk (f7. SOMa-->MICk)**

$$F_7 = SOMmin[2] = \frac{MICk \cdot Vmax[k3] \cdot SOMa}{Km[k3] + MICk}$$

### 3.4.1.3    Desorption of physically protected SOM to available SOM

$$F_9 = DEsorb = SOMp \cdot 1.5 * 10^{-5} \cdot \exp(-1.5\, fclay)$$

### 3.4.1.4    Oxidation of SOMc to SOMa

$$F_{10} = OXIDAT = \left( \frac{MICr \cdot Vmax[r2] \cdot SOMc}{KO[1] \cdot KM[r2] + MICr} \right) + \left( \frac{MICk \cdot Vmax[k2] \cdot SOMc}{KO[2] \cdot KM[k2] + MICk} \right)$$

where:

        *KO[1]* – Further modifies Km[r2] for oxidation of SOMc (6.0)
        *KO[2]* – Further modifies Km[k2] for oxidation of SOMc (6.0)

### 3.4.2   MIMICS Temperature Controls on Decomposition of Litter and SOM

In MIMICS, maximum reaction velocities (Vmax) and the Michaelis-Menton half saturation values (Km) are temperature sensitive. MIMICS has no soil moisture effect on decomposition.

Decomposition is substrate limited (Reverse Michaelis-Menten Kinetics):

$$Decomposition\ of\ litter\ pool\ by\ microbial\ pool = \frac{MIC_{r/k} \cdot Vmax_{[rk]}(T) \cdot LIT_{met/struc}}{Km_{[rk]}(T) + MIC_{r/k}}$$

$$Decomposition\ of\ SOM_a\ pool\ by\ microbial\ pool = \frac{MIC_{r/k} \cdot Vmax_{[rk]}(T) \cdot SOM_a}{Km_{[rk]}(T) + MIC_{r/k}}$$

Where [rk]=

        r1: LITm to MICr flows
        r2: LITs to MICr flows
        r3: SOMa to MICr flows
        k1: LITm to MICk flows
        k2: LITs to MICk flows

#### 3.4.2.1   *Vmax(T)– temperature sensitive maximum reaction velocities*

The MIMICS microbe-specific maximum reaction velocities (*Vmax_{[rk]}(T)*, mg C (mg MIC)[-1] h[-1] ) (Figure 9), are computed as

$$Vmax_{[rk]}(T) = \exp\left(Vslope_{[rk]} \cdot T_{soil} + Vint_{[rk]}\right) \cdot av_{[rk]} \cdot Vmod_{[rk]}$$

*where:*

        *$T_{soil}$* – soil temperature (°C)
        *Vslope[r1]..Vslope[k3]* – 0.063 or as defined in pftlookup_mimics.csv
        *Vint[r1]..Vint[k3]* – 5.47 or as defined in pftlookup_mimics.csv
        *av[r1]..av[k3]* – tuning coefficient (1.25 * 10[-8]) or as defined in pftlookup_mimics.csv
        *Vmod[r1]..Vmod[k3]* – [10, 2, 10, 3, 3, 2] or as defined in pftlookup_mimics.csv

### 3.4.2.1.1   *Km(T) – temperature sensitive half saturation constants*

The MIMIMCS microbe-specific half saturation constants (*Km[rk](T)*, mg C cm$^{-3}$) (Figure 10) are computed as

$$Km_{[rk]}(T) = \exp\left(Kslope_{[rk]} * T_{soil} + Kint_{[rk]}\right) * ak_{[rk]} / Kmod_{[rk]}$$

$$Km_{[r3]}(T) = \exp\left(Kslope_{[r3]} * T_{soil} + Kint_{[r3]}\right) * ak_{[r3]} / \left(Kmod_{[r3]} * Pscalar\right)$$

$$Km_{[k3]}(T) = \exp\left(Kslope_{[k3]} * T_{soil} + Kint_{[k3]}\right) * ak_{[k3]} / \left(Kmod_{[k3]} * Pscalar\right)$$

where:

$T_{soil}$ – soil temperature (°C)

Kslope[r1]..Kslope[k3] – 0.02 or as defined in pftlookup_mimics.csv

Kint[r1]..Kint[k3] – 3.19 or as defined in pftlookup_mimics.csv

ak[r1]..av[k3] – tuning coefficients (0.015625) or as defined in pftlookup_mimics.csv

Kmod[r1]..Kmod[k3] – [8, 2, 4, 2, 4, 6] or as defined in pftlookup_mimics.csv.

Kmod[r3] and Kmod[k3] are multiplied by physical protection scalar (Pscalar, see below).
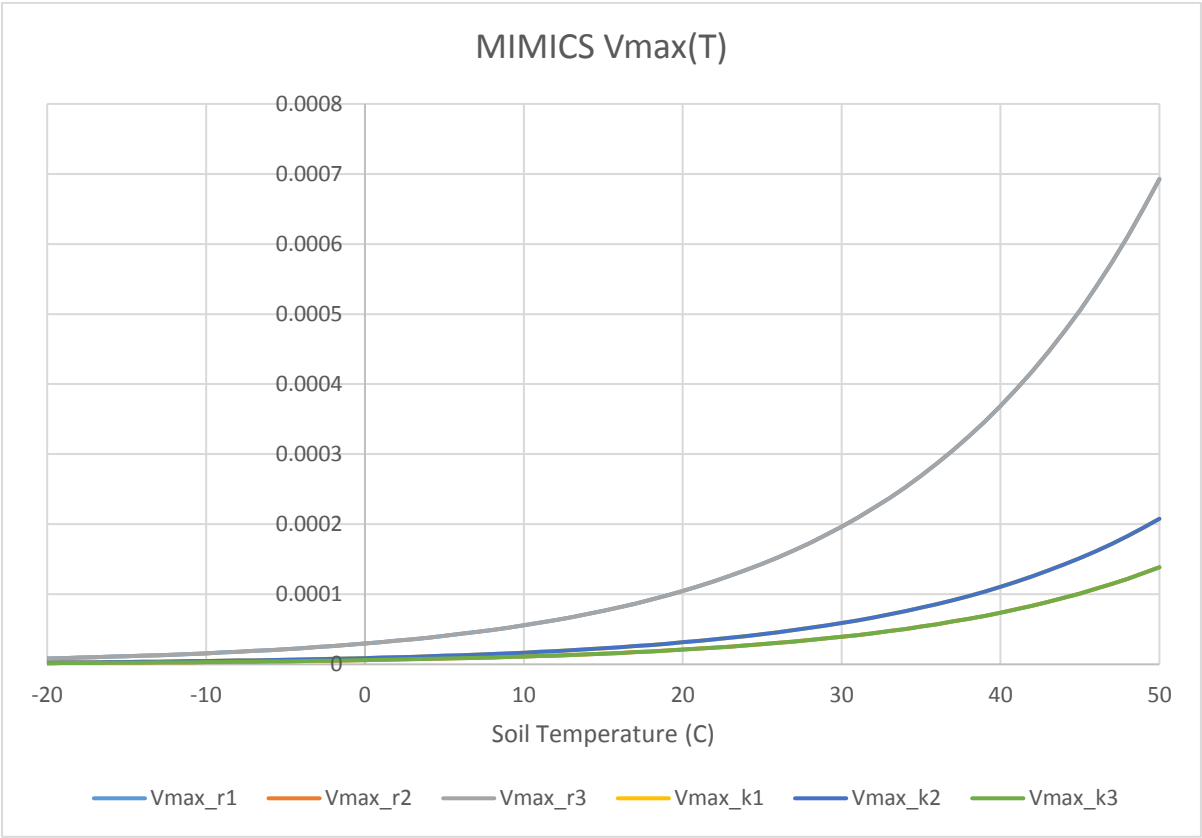
Figure 9. Temperature sensitive maximum reaction velocities, Vmax(T), for the MIMICS model..
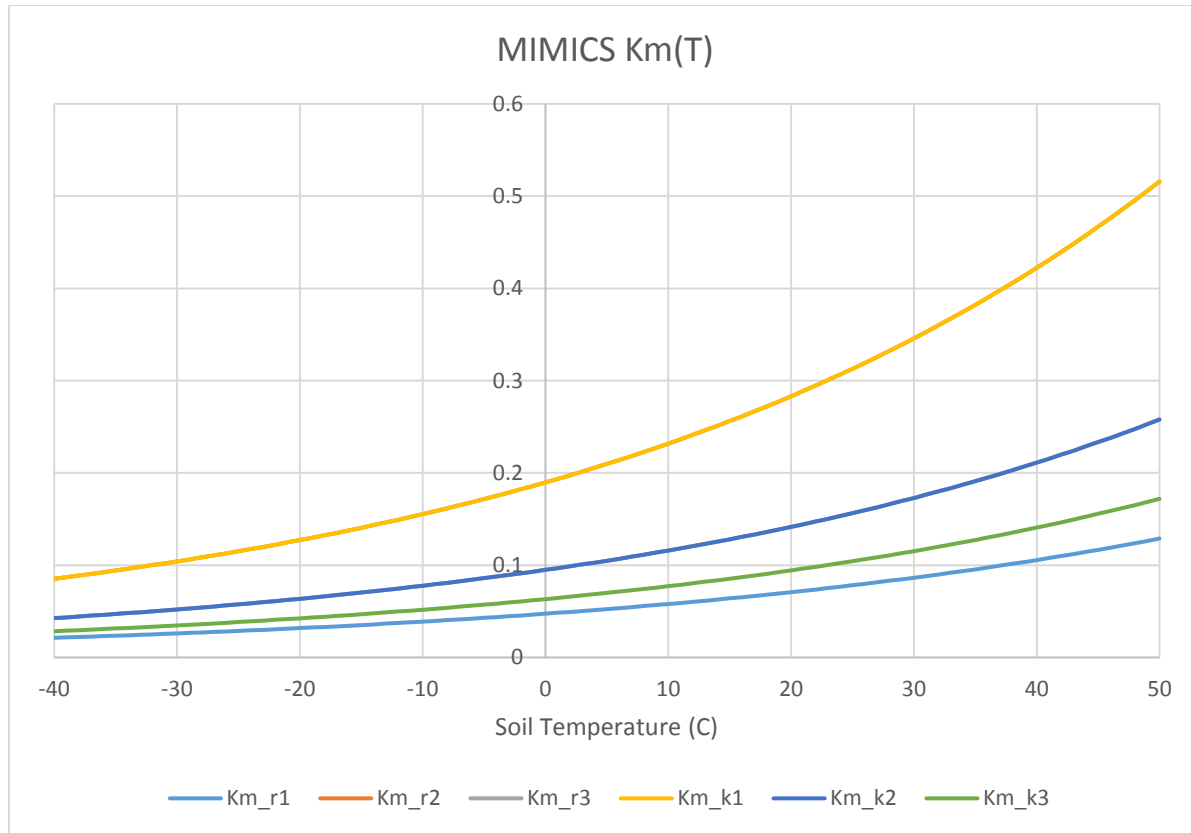
Figure 10. Temperature sensitive half saturation constants, Km(T), for the MIMICS model.

### 3.4.3  Microbial Turnover in MIMICS

Microbial biomass turnover rates increase with annual net primary production (ANPP).

$\tau_r$ = *mimicsbiome%tauR(npt,nP)* – microbial biomass turnover rate for *MICr* (hr$^{-1}$)

$\tau_k$ = *mimicsbiome%tauK(npt,nP)* – microbial biomass turnover rate for *MICk* (hr$^{-1}$)

$\tau_{mod}$ = *mimicsbiome%tauMod(npt)* – modifies microbial turnover rate, 0.8 < $\tau_{mod}$ < 1.2

$$\tau_r = tau\_r(1)*\exp\left(tau\_r(2)*f_{met}\right)*\tau_{mod}$$

$$\tau_k = tau\_k(1)*\exp\left(tau\_k(2)*f_{met}\right)*\tau_{mod}$$

where:

*tau_r(1)* = 5.2 * 10$^{-4}$  (or as defined in pftlookup_mimics.csv)
*tau_r(2)* = 0.3          (or as defined in pftlookup_mimics.csv)
*tau_k(1)* = 2.4 * 10$^{-4}$  (or as defined in pftlookup_mimics.csv)
*tau_k(2)* = 0.1          (or as defined in pftlookup_mimics.csv)

$\tau_{mod}$ values changes annually with NPP.

$$\tau_{mod} = \sqrt{NPP/100}$$

where:

NPP – net primary production from the previous growing season (gC m$^{-2}$ yr$^{-1}$)

### 3.4.4 Carbon Stabilization and Destabilization in MIMICS:

Carbon stabilization in the MIMICS model in controlled by clay content and a microbe-specific fPHYS factor (Figure 11):

$\tau_r$ = microbial biomass turnover rate for *MICr* (hr$^{-1}$)
$\tau_k$ = microbial biomass turnover rate for *MICk* (hr$^{-1}$)

*fPHYS(1)* – fraction of $\tau_r$ partitioned into *SOMp* (0.0 – 1.0)
*fPHYS(2)* – fraction of $\tau_k$ partitioned into *SOMp* (0.0 – 1.0)

$$fPHYS[1] = fPHYSr(1) \cdot \exp\left(fPHYSr(2) \cdot fclay\right)$$
$$fPHYS[2] = fPHYSk(1) \cdot \exp\left(fPHYSk(2) \cdot fclay\right)$$

where:

fPHYSr(1) = 0.15  (or as defined in pftlookup_mimics.csv)
fPHYSr(2) = 1.3   (or as defined in pftlookup_mimics.csv)
fPHYSk(1) = 0.1   (or as defined in pftlookup_mimics.csv)
fPHYSk(2) = 0.8   (or as defined in pftlookup_mimics.csv)
fclay = fraction of clay in soil (0.0 – 1.0)
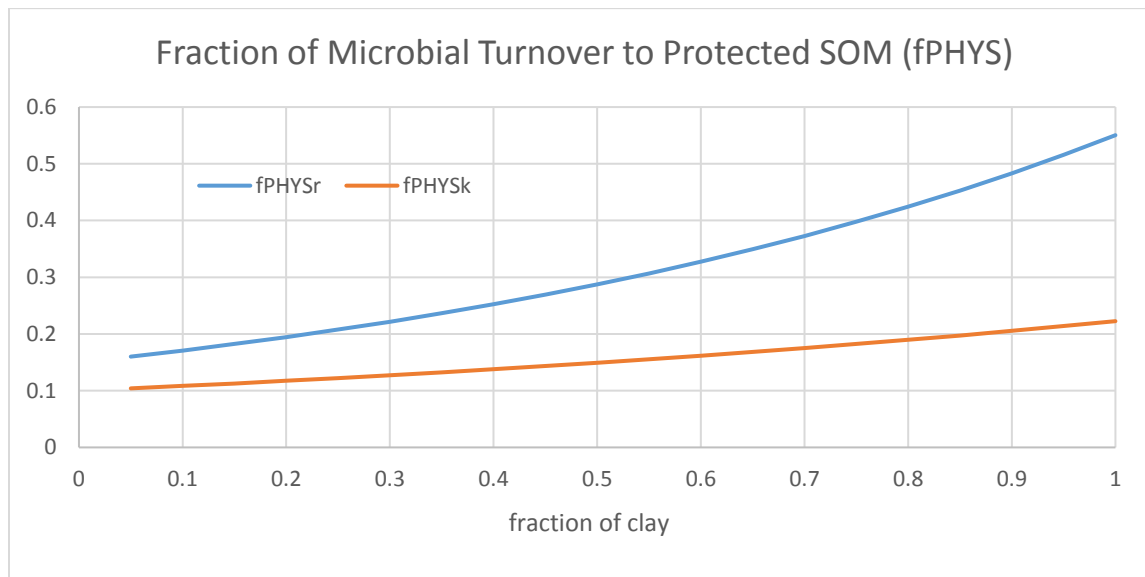


Figure 11. Fraction of Microbial Turnover to the protected Soil Organic Matter pool in the MIMICS model (fPHYS).

*fCHEM(1)* – fraction of $\tau_r$ partitioned into *SOMc* (0.0 – 1.0)

*fCHEM(2)* – fraction of $\tau_k$ partitioned into *SOMc* (0.0 – 1.0)

$$fCHEM[1] = fCHEMr(1)*\exp\left(fCHEMr(2)*fmet\right)*fCHEMr(3)$$
$$fCHEM[2] = fCHEMk(1)*\exp\left(fCHEMk(2)*fmet\right)*fCHEMk(3)$$

where:

  *fCHEMr(1)* = 0.1 (or as defined in pftlookup_mimics.csv)
  *fCHEMr(2)* = -3.0 (or as defined in pftlookup_mimics.csv)
  *fCHEMr(3)* = 4.0 (or as defined in pftlookup_mimics.csv)
  *fCHEMk(1)* = 0.3 (or as defined in pftlookup_mimics.csv)
  *fCHEMk(2)* = -3.0 (or as defined in pftlookup_mimics.csv)
  *fCHEMk(3)* = 4.0 (or as defined in pftlookup_mimics.csv)
  *fmet* = fraction of plant residue transferred to metabolic litter (0.0 – 1.0)

*fAVAL(1)* – fraction of $\tau_r$ partitioned into *SOMa* (0.0 – 1.0)

*fAVAL(2)* – fraction of $\tau_k$ partitioned into *SOMa* (0.0 – 1.0)

$$fAVAL[1] = 1.0 - (fPHYS[1] + fCHEM[1])$$
$$fAVAL[2] = 1.0 - (fPHYS[2] + fCHEM[2])$$

As *fmet* increases, *fCHEM[]* decreases and *fAVAL[]* increases.

**Desorption of physically protected SOM to available SOM (hr⁻¹)**

$$DEsorb = SOMp \cdot 1.5 \times 10^{-5} \cdot \exp\left(-1.5\,fclay\right)$$

**Oxidation of SOMc to SOMa**

$$OXIDAT = \left(\frac{MICr \cdot Vmax[r2] \cdot SOMc}{KO[1] \cdot KM[r2] + MICr}\right) + \left(\frac{MICk \cdot Vmax[k2] \cdot SOMc}{KO[2] \cdot KM[k2] + MICk}\right)$$

where:

 *KO[1]* – Further modifies Km[r2] for oxidation of SOMc (6.0)
 *KO[2]* – Further modifies Km[k2] for oxidation of SOMc (6.0)

**Microbial consumption of SOMa**

Microbial consumption of the available SOM pool is reduced with the soil clay fraction (Figure 12):

> $Kmod[r3]$ – modifies $K_{m[r3]}$ for fluxes from SOMa to MICr (*Pscalar)
> $Kmod[k3]$ – modifies $K_{m[k3]}$ for fluxes from SOMa to MICk (*Pscalar)
> $Pscalar(npt)$ – physical protection scalar used in $Kmod[r3]$ and $Kmod[k3]$.

$$P_{scalar} = physScalar[1] \cdot \exp\left( physScalar[2] \cdot \sqrt{fclay} \right)$$

where:

> $fclay$ = $soil\%clay(npt)$ – fraction of clay in soil (0.0 – 1.0)
> $physScalar[1] = 3.0$      (or as defined in pftlookup_mimics.csv)
> $physScalar[2] = -2.0$     (or as defined in pftlookup_mimics.csv)
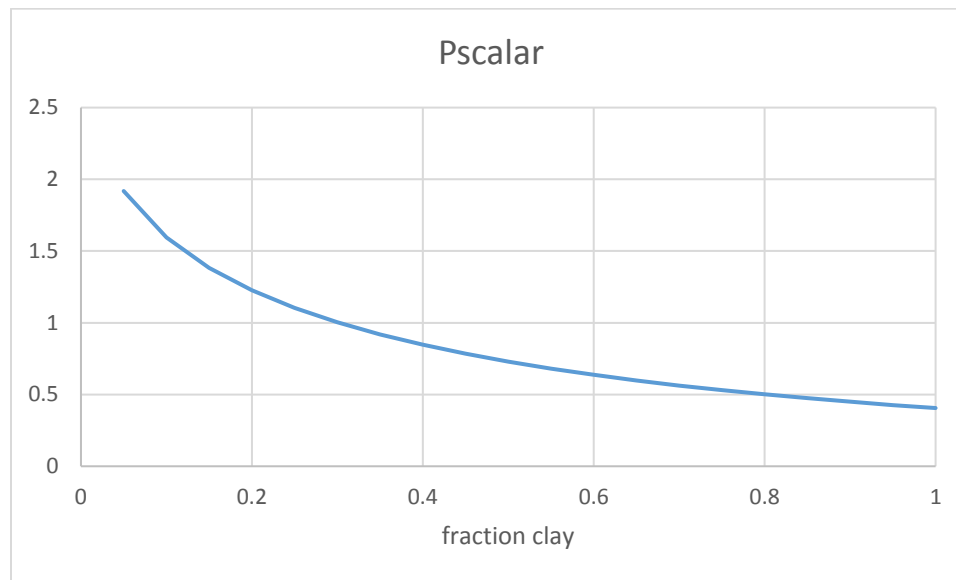


*Figure 12. MIMICS physical protection scalar (Pscalar). The scalar modifies fluxes from available SOM to microbial pools.*

## 3.5   CORPSE

CORPSE has separate surface litter layer pools and soil layer pools, each with three carbon species (labile, recalcitrant, and dead microbes) and a live microbial biomass pool (Figure 13). The surface litter pools are unprotected while the soil carbon pools have unprotected and protected counterparts. Leaf litter is partitioned into Structural and Metabolic material as a function of the lignin:N ratio of the leaf litter.  Metabolic leaf litter is transferred to the Labile surface litter pool and structural leaf litter is transferred to the Recalcitrant surface litter pool. Similarly, root litter is partitioned into Structural and Metabolic material as a function of its lignin:N ratio.  Metabolic root litter is transferred to the Labile soil pool and Structural root litter is transferred to the Recalcitrant soil pool. Root exudates also contribute to the Labile soil pool. Carbon from the decomposing CWD pool is transferred to the Recalcitrant litter pool, with $CO_2$ respiration loss.  The decomposition of unprotected labile, recalcitrant, and dead microbe litter and SOM pools are controlled by microbial biomass and modified by soil temperature and moisture controls.  The model assumes that the microbial biomass limitation on decomposition is related to the microbial biomass as a fraction of total carbon. When microbial biomass is much larger than 1% of total

carbon microbes decompose the substrate at their maximum rate, similar to a first-order model. If microbial biomass is very low, then decomposition rate at any temperature will also be very low. Microbial turnover, which is proportional to a fixed turnover rate, transfers C to the unprotected dead microbes pool, with $CO_2$ respiration loss. Microbial death transfers C to the unprotected dead microbes pool, also with $CO_2$ respiration loss. Carbon transfers from the unprotected soil pools to their protected counterparts is a function of clay and soil porosity (Qmax) and the base protection rate, without $CO_2$ respiration losses. Loss of protected carbon is proportional to a fixed turnover rate. No carbon is transferred from the surface litter layer to the soil layer.
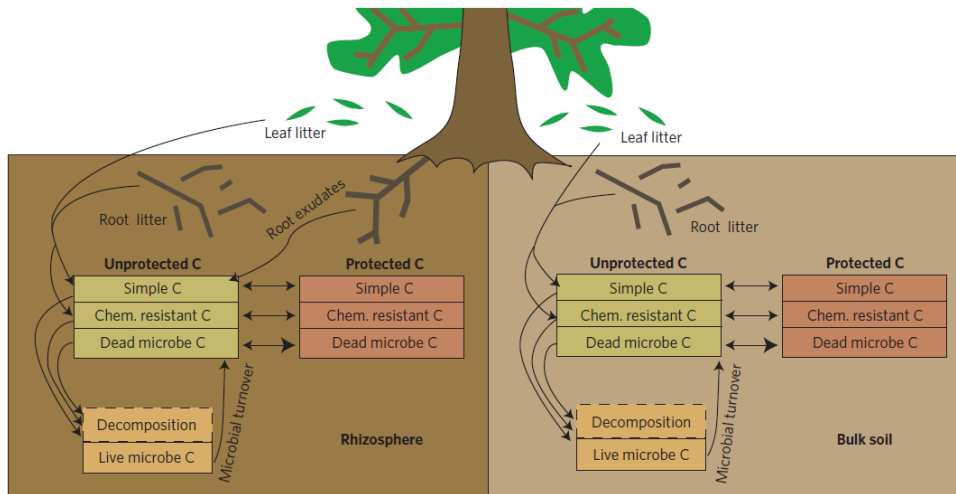


**Figure 1 | Diagram of model structure.** Soil carbon is divided into three chemical classes, which can be protected or unprotected. Decomposition is mediated by microbial biomass, which takes up a portion of decomposed carbon and loses carbon to $CO_2$ and the dead microbial C pool over time. Soil is separated into the rhizosphere, which receives root exudate inputs, and bulk soil, which does not.

*Figure 13. CORPSE model litter and soil organic matter pools (from Sulman et al. 2014).*

Decomposition (heterotrophic respiration flux) ($D_i$) of unprotected carbon pool ($C_i$) by microbe pool ($M$)

$$D_i = V_{max,i}(T) \cdot \left(\frac{\theta}{\theta_{sat}}\right)^3 \cdot \left(1 - \frac{\theta}{\theta_{sat}}\right)^{gas\_diffusion} \cdot C_i \cdot \frac{M}{kC \cdot \sum_{j=1}^{3} C_j + M}$$

where $V_{max,i}(T)$ *is the* temperature-dependent maximum enzymatic conversion rate, $\theta$ is volumetric soil water content, $\theta_{sat}$ is the volumetric soil moisture at saturation, *gas_diffusion* = 2.5, and kC is 1%. The rate of change in the unprotected carbon pool is defined as

$$\frac{dC_i}{dt} = I_i - D_i - \frac{dP_i}{dt}$$

where I$_i$ is the carbon input rate to the pool and $P_i$ is the protected carbon pool for each species.

### 3.5.1  CORPSE Temperature and Moisture Controls on Decomposition of Litter and SOM

*3.5.1.1  CORPSE Temperature Controls on Decomposition of Litter and SOM*

The decomposition rate in CORPSE is determined by a temperature-dependent maximum enzymatic conversion rate $V_{max,i}(T)$ (yr$^{-1}$) (Figure 14), where T is the soil temperature (K), $T_{ref}$ is the reference soil temperature (293.7 K), $V_{max,ref,i}$ is the reference *Vmax* for the species (4500e0, 25e0, 600e0, yr$^{-1}$), Rugas is the ideal gas constant (8.314472 J-K$^{-1}$ mol$^{-1}$), $Ea_i$ is the activation energy for the species (37e3, 54e3, 50e3, kJ mol$^{-1}$)

$$\alpha_i = \frac{V_{max,ref,i}}{exp\left(\dfrac{-Ea_i}{Rugas \cdot T_{ref}}\right)}$$

$$V_{max,i}(T) = \alpha_i \cdot exp\left(\frac{-Ea_i}{Rugas \cdot T}\right)$$
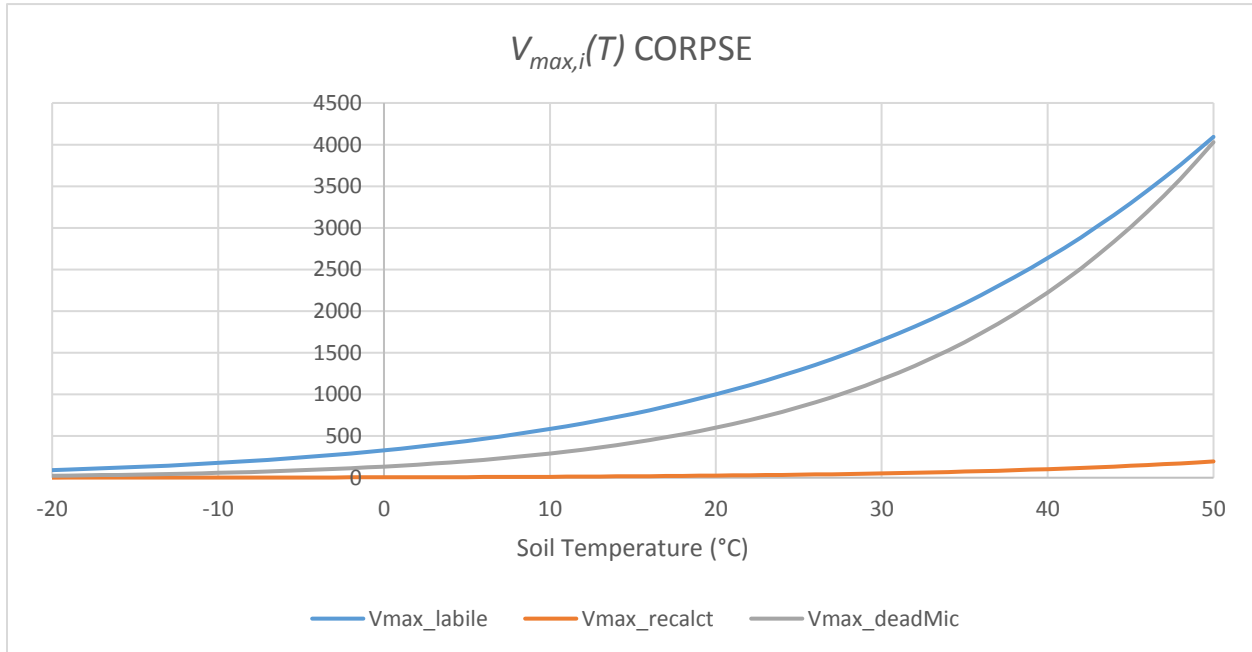


Figure 14. The temperature-dependent maximum enzymatic conversion rate V$_{max,i}$(T) (yr$^{-1}$) in the CORPSE model.

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### 3.5.1.2 CORPSE Soil Moisture Controls on Decomposition of Litter and SOM

The soil moisture effect on decomposition of soil organic matter in the CORPSE model (Figure 15) is defined as:

$$f(W) = \left(\frac{\theta}{\theta_{sat}}\right)^3 \left(1.0 - \frac{\theta}{\theta_{sat}}\right)^{2.5}$$

, where Ɵ Is volumetric soil water content and Ɵ$_{sat}$ is the volumetric soil moisture at saturation.
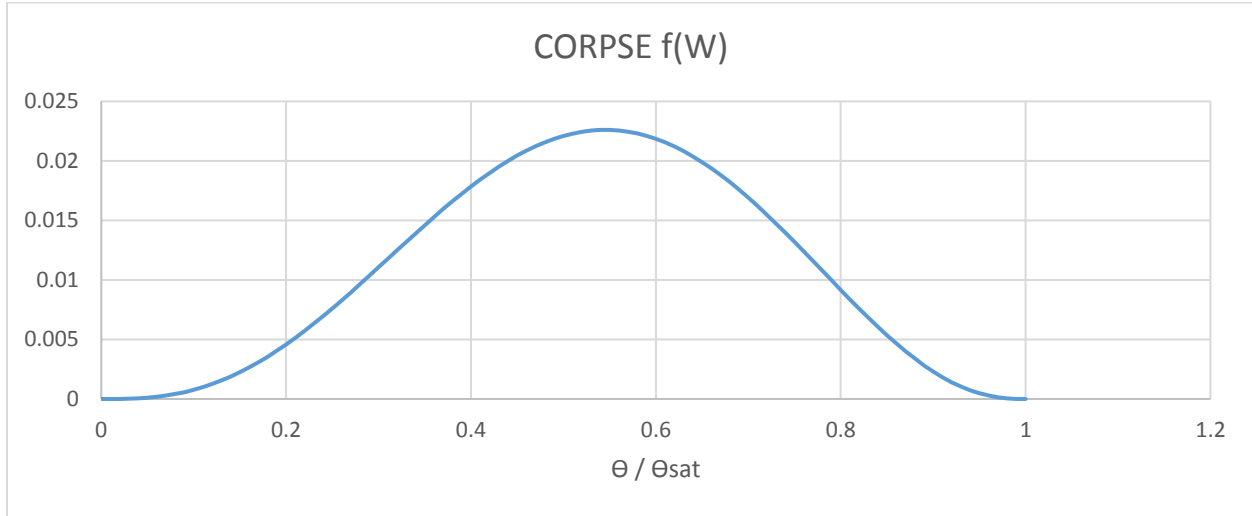


Figure 15. The soil moisture effect on soil organic matter decomposition in the CORPSE model.

### 3.5.2 Microbial growth and turnover in CORPSE

The change in microbial biomass (M) is a balance between uptake of decomposed substrate carbon ($Di$) and the loss of biomass through the combination of cell death and maintenance respiration,

$$\frac{dM}{dt} = \sum_i \varepsilon_i D_i - \frac{M}{\tau_{mic}}$$

where $\varepsilon_i$ is the microbial uptake efficiency for each and $\tau_{mic}$ is the average turnover rate (0.25 yr$^{-1}$) for the microbial community and represents a combination of microbial death and maintenance respiration.

### 3.5.3 Carbon Stabilization and Destabilization in CORPSE:

Carbon transfers from the unprotected soil pools ($C_i$) to their protected counterparts ($P_i$) is a function of clay and soil porosity (Qmax) (Figure 16). Loss of protected carbon is proportional to a fixed turnover rate (1/Tp).

$$\frac{dP_i}{dt} = C_i \cdot Qmax \cdot \gamma - \frac{P_i}{\tau_p}$$

Tp=residence time of the protected pool (45 years)
ɣ (protection rate) = 1.5

$$Qmax = max\left(0.0,\ 10^{**}\left(0.4833 \cdot log10\left(clay\right)+2.3282\right)\left(1.0-porosity\right) \cdot 2650 \cdot 1e-6\right)$$
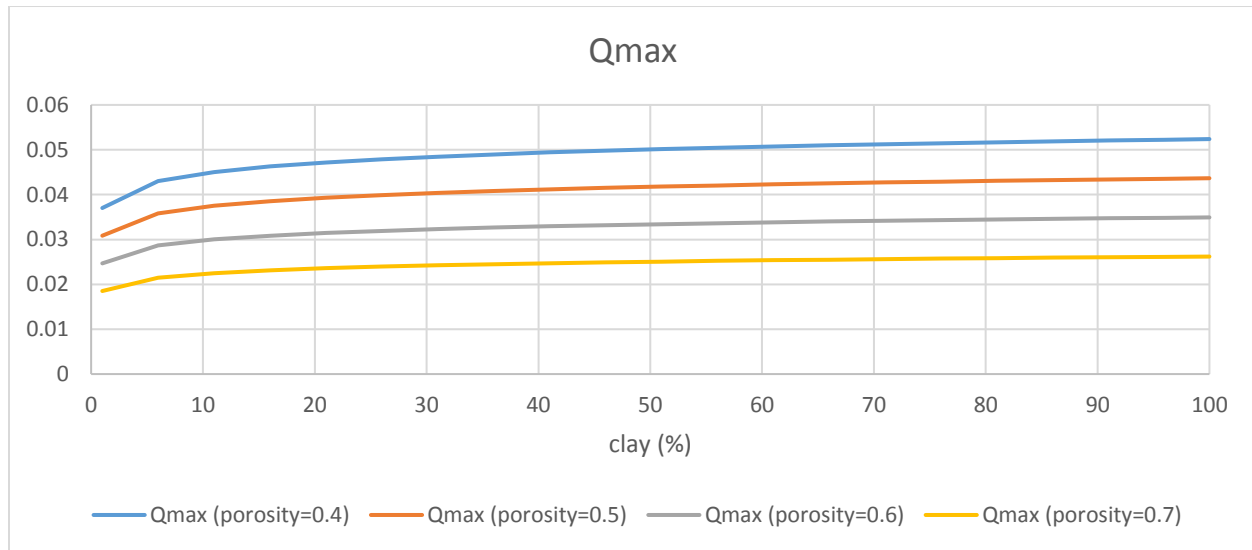


Figure 16. The effect of soil clay fraction on transfers of C to the protected pools in the CORPSE model.

# 4 Detailed Summary of CASA-CNP Subroutines and Biogeochemcial Equations

## 4.1 Daily biogeochemistry: SUBROUTINE biogeochem

Subroutine biogeochem, called once each day, controls daily biogeochemical calculations. The numbers in front of subroutines indicate their calling position in **subroutine** biogeochem**.** These subroutines are found in file *casa_cnp.f90* (Figure 17).

1. **phenology** – Determine current phase for each gridcell: 0=minimalLAI, 1=greenup, 2=steady LAI, 3=senescence
2. **avgsoil** – Calculate average soil moisture, average soil temperature across soil layers
3. **casa_rplant** – Calculate maintenance respiration of woody tissue and fine roots, plant growth respiration, and nutrient unlimited NPP.
4. **casa_allocation** – Calculate fraction of new C allocated to each plant part.
5. **casa_xrateplant** – Calculate cold and drought effects on death rate of leaves: *xkleafcold*, *xkleafdry*
6. **casa_coeffplant** – Calculate plant litter fall rates (1/day) and the transfer coefficients between plant and litter pools
7. **casa_xnp** – Calculate nutrient limitation on NPP.
8. **casa_xratesoil** – Calculate for effects of temperature and water on litter and soil decomposition: *xklitter*, *xksoil*
9. **casa_coeffsoil** – Calculate the litter and SOM decomposition rates (1/day), respiration rates (fraction of flow), and the transfer coefficients between litter and soil pools, and between soil pools.
10. **casa_xkN** – Calls Nrequire to compute max and min N uptake and translocation by plant part. Computes the reduction in plant N and P uptake when N is limiting.
11. **casa_nuptake** – Calls Nrequire to compute max and min N uptake and translocation by plant part. (1) compute N uptake by plants;  (2) allocation of uptaken N to plant pools.
12. **casa_puptake** – Calls Prequire to compute max and min P uptake and translocation by plant part.  (1) compute P uptake by plants; (2) allocation of uptaken P to plants
13. **casa_delplant** – Calculate ΔC, ΔN, and ΔP for plant parts and litter pools
14. **casa_delsoil** – Calculate heterotrophic respiration fluxes from litter and soil decomposition. Calculate gross and net mineralization.
15. **casa_cnpcycle** – Update all pool sizes.  Reset negative pools to zero by calling **poolzero**.

Functions called are in
casa_cnp.f90

**Subroutine biogeochem**
called once each day
(casa_inout.f90)

**1. Call phenology**
Determine current phase for each
gridcell: 1=greenup, 2=steady LAI,
3=senescence, 4=minimalLAI

**2. Call avgsoil**
Calculate avg soil moisture, avg soil
temperature across soil layers

**3. Call casa_rplant**
Maintenance and growth respiration
of woody tissue and fine roots

**4. Call allocation**
LALLOC=0 (parameter in
casa_variable) means fixed
allocation to plants, see
pftlookup_igbp.csv

**5. Call casa_xrateplant**
Account for cold and drought stress
on death rate of leaf:
xleafcold,xleafdry

**6. Call casa_coeffplant**
Calculate the plant litter fall rate,
litter fall and SOM decomposition
rate (1/day) and the transfer
coefficients between different pools

**7. Call casa_xnp**
Compute Nutrient limitation on NPP.
See eq. (1) - (7) in Wang et al. 2010.

**8. Call casa_xratesoil(xklitter,xksoil)**
Account for effects of T and W on litter
and soil decomposition: xklitter, xksoil

**9. Call casa_coeffsoil(xklitter,xksoil)**
calculate the plant litter fall rate, litter
fall and SOM decomposition rate (1/
day) and the transfer coefficients
between different pools

**if (icycle>1)**
**10. Call casa_xkN(xKNlimiting)**
Compute the reduction in plant N and P
uptake when N is limiting.

**If (icycle>1)**
**11. Call casa_nuptake**
Calls **nrequire** to compute max and
min N uptake and translocation by
plant part.
(1) compute N uptake by plants ;
(2) allocation of uptaken N to plants

**If (icycle >2)**
**12. Call casa_puptake**
Calls **prequire** to compute max and
min P uptake and translocation by
plant part.
(1) compute  P uptake by plants;
(2) allocation of uptaken P to plants

**13. Call casa_delplant**
Calculate ΔC ΔN ΔP for plant parts and
litter pools

**14. Call casa_delsoil**
Calculate heterotrophic respiration
fluxes from litter and soil
decomposition.

**15. Call casa_cnpcycle**
Update all pool sizes
Reset negative pools to zero by calling
**poolzero.**

**If (icycle==1)**
**16. Call casa_ndummy**
 casapool%Nplant = Cplant * N/C

**17 Call casa_cnpbal(mp)**
Calculate total C, N, and P

Return to casacnp_driver

**Casa_delplant**
! For plant C this includes gains from NPP
and losses from senescence
! For plant N,P this includes gains from
retranslocation and uptake, and losses
from senescence
! For litter C this includes gains from plant
senescence
! For litter N, P this includes N and P
losses from plants (prior to uptake
calculation
! casapool%Nsoilmin and
casapool%Nsoilmin are reduced by the
amount of N and P uptake

*Figure 17. Order of calculations in subroutine biogeochem in the CASA-CNP model. Subroutine biogeochem is called once each day and controls daily biogeochemical calculations in CASA-CNP.*

## 4.2   CASA-CNP Dimensions, Constants, and Pointers

mp = number of grid cells simulated

mplant = number of plant pools (3)

msoil = number of soil pools (3)

mlitter = number of litter pools (3)

ms = number of mineral soil layers (6)


! mineral soil layer thickness (m) defined in SUBROUTINE readbiome

      data dzsoil/.022, .058, .154, .409, 1.085, 2.872/


Plant Pools

  integer, parameter :: leaf = 1

  integer, parameter:: wood = 2

  integer, parameter:: froot = 3


Litter Pools

  integer, parameter:: metb = 1

  integer, parameter:: str = 2

  integer, parameter:: cwd = 3


Soil Organic Matter (SOM) pools

  integer, parameter:: mic = 1

  integer, parameter:: slow = 2

  integer, parameter:: pass = 3


Equation documentation shorthand : index (1-18) for biome-specific values

  pft = *veg%iveg(npt)*


The convention in this documentation is that *npt* iterates through all points (grid cells), *nP* iterates through all plant pools, *nL* iterates through all litter pools, *nS* and *nSS* iterate through all soil organic

matter pools, and nLyr iterates through all soil mineral layers.  The indices used in the actual code vary from this convention.

DO *npt*=1, *mp*     (iterate through all grid cells)

DO *nP*=1, *mplant*  (iterate through all 3 plant pools)

DO *nL*=1, *mlitter*   (iterate through all 3 litter pools)

DO *nS*=1, *msoil*     (iterate through all 3 soil organic matter pools)

DO *nSS*=1, *msoil*   (iterate through all 3 soil organic matter pools)

DO *nLyr*=1, *ms*     (iterate through all 6 mineral soil layers)

*pft* is the PFT for grid cell *npt*.

*casabiome%* is a pointer to PFT specific parameters

*casamet%* is a pointer to meteorological drivers and soil conditions from the CLM model (read from met*.nc files).

*casaflux%* is a pointer to C and N fluxes

*casapool%* is a pointer to plant, litter, and soil C and N pools.  For example:

> *casapool%cplant(npt,nP)* – C content of plant pool *nP* (g C m$^{-2}$)
>
> *casapool%nplant(npt,nP)* – N content of plant pool *nP* (g N m$^{-2}$)
>
> *casapool%clittert(npt,nL)* – C content of litter pool *nL* (g C m$^{-2}$)
>
> *casapool%nlitter(npt,nL)* – N content of litter pool *nL* (g N m$^{-2}$)
>
> *casapool%csoil (npt,nS)* – C content of soil organic matter pool *nS* (g C m$^{-2}$)
>
> *casapool%nsoil(npt,nS)* – N content of soil organic matter pool *nS* (g N m$^{-2}$)

If running CASA-CNP as a spinup, C pools are initialized from biome-specific parameters.  N pools are initialized with biome-specific N:C ratios.  If extending CASA-CNP from a previous simulation, C and N pools are initialized from and output file from the previous simulation.

## 4.3   Soil and Litter Decomposition and Heterotrophic Respiration Variables

*casaflux%Crsoil(npt) =  casaflux%fluxCtoCO2(npt)* (Heterotrophic Respiration)

*fromLtoS(npt,nL,nS):*    <u>fraction</u> of decomposed litter pool *nL* that is transferred to soil pool *nS*

*fromStoS(npt,nSS,nS):*    <u>fraction</u> of decomposed soil C pool *nS* that is transferred to soil pool *nSS*


*fromLtoCO2(npt,nL):*    <u>fraction</u> of decomposed litter pool *nL* emitted as $CO_2$

*fromStoCO2(npt,nS):*    <u>fraction</u> of decomposed soil C pool *nS* emitted as $CO_2$

*casaflux%klitter(npt,nL)*:  decomposition rate of litter pool *nL* (1/day)

*casaflux%ksoil(npt,nS):*    decomposition rate of soil pool *nS* (1/day)


## 4.4    CASA-CNP Documentation by Subroutine

### 4.4.1    Phenology: (1) SUBROUTINE phenology

This subroutine determines the current phase for each gridcell: 0=minimalLAI, 1=greenup, 2=steady LAI, 3=senescence


CASA-CNP implements a fixed phenology each year, in latitude bands by PFT type, based on MODIS data that came with the CASA-CNP code (data in ***modis_phenology.txt***).

Phase 0 – minimal LAI

Phase 1 – green up, maximum leaf growth (14 days)

Phase 2 – steady LAI

Phase 3 – senescence (14 days)

- During leaf growth phase 0 (minimum LAI) or 3 (senescence), no carbon is allocated to leaves and all C is allocated to wood and fine roots.
- During maximal leaf growth phase, 80% of C is allocated to leaves, the remainder of C is allocated to wood and fine roots.
- During the steady growth period, C allocation to leaves, wood, and fine roots is determined by the fixed biome-specific fractions, but leaf allocation can be adjusted (increased to 80% or reduced to 0%) if LAI is below or above the theoretical biome-specific minimum and maximum LAI.


### 4.4.2    Average Soil Conditions: (2) SUBROUTINE avgsoil

This subroutine computes average soil temperature and soil moisture conditions in the soil.  The values *casamet%tsoilavg(npt)* and *casamet%moistavg(npt)* are used to compute soil and temperature effects on soil organic matter decomposition rates in **subroutine casa_xratesoil**. The value *casamet%tsoilavg(npt)* is also used to calculate fine root maintenance respiration in **subroutine casa_rplant**.

$$casamet\%tsoilavg\left(npt\right)=\sum_{nLyr}veg\%froot\left(npt,nLyr\right)*casamet\%tsoil\left(npt,nLyr\right)$$

$$casamet\%moistavg\left(npt\right)=\sum_{nLyr}veg\%froot\left(npt,nLyr\right)*\min\left(soil\%sfc\left(npt\right),casamet\%moist\left(npt,nLyr\right)\right)$$

Where *froot(npt,nLyr)* is the fraction of root biomass in soil layer *nLyr*, *casamet%tsoil(npt,nLyr)* is the soil temperature (K) for layer *nLyr* (from CLM), *soil%fc(npt)* is the volumetric field capacity, and *casamet%moist(npt,nLyr)* is the volumetric soil moisture content of soil layer *nLyr* (from CLM).

The value *casamet%btran(npt)* is the relative water content of the soil used to calculate plant death due to dryness in **subroutine casa_xrateplant.**

$$casamet\%btran\left(npt\right)=\sum_{nLyr}\frac{veg\%froot\left(npt,nLyr\right)*\left(\min\left(soil\%sfc\left(npt\right),casamet\%moist\left(npt,nLyr\right)\right)-soil\%sw\right.}{soil\%sfc\left(npt\right)-soil\%swilt\left(npt\right)}$$

Where *froot(npt,nLyr)* is the fraction of root biomass in soil layer *nLyr*, *soil%fwilt(npt)* is the volumetric wilting point, *soil%fc(npt)* is the volumetric field capacity, and *casamet%moist(npt,nLyr)* is the volumetric soil moisture content of soil layer *nLyr* (from CLM).

**End SUBROUTINE avgsoil**

---

### 4.4.3 NPP and Autotrophic Respiration: (3) SUBROUTINE casa_rplant

This subroutine calculates the maintenance respiration of woody tissue and fine roots, the growth efficiency of the plant, and the total plant growth respiration. It also calculates C losses from the labile pool.

**Plant maintenance respiration**: *casaflux%crmplant(npt,wood) + casaflux%crmplant(npt,froot)* (maintenance respiration is not calculated for leaves - the code for this calculation was commented out).

**Plant growth respiration**: *casaflux%crgplant(npt)*

Maintenance respiration for wood and fine roots increases exponentially with air or soil temperature using a fixed $Q_{10}$ that is specific to the PFT (Sitch, Smith et al. 2003).

**Maintenance respiration for wood (gC m$^{-2}$ d$^{-1}$) (**Figure 18**):**

$$casaflux\%crmplant\left(npt,wood\right)=\begin{cases}0, & tairk(npt)\le 250\\casabiome\%rmplant\left(pft,wood\right)\\*casapool\%nplant\left(npt,wood\right)\\*\exp\left(308.56*\left(\frac{1.0}{56.02}-\frac{1.0}{casamet\%tairk\left(npt\right)+46.02-tkzeroc}\right)\right)\end{cases}$$

where *casabiome%rmplant(npt,wood)* is the daily maintenance respiration rate for wood (PFT-specific parameter) (g C g $N^{-1}$ $d^{-1}$) on a 10 °C base, *casapool%nplant(npt,wood)* is the N content of wood (g N $m^{-2}$), *tairk(npt)* is the average air temperature (K), and *tkzeroc* is 273.15 K.

**Maintenance respiration for fine roots (gC $m^{-2}$ $d^{-1}$) (**Figure 18**):**

$$casaflux\%crmplant\left(npt,froot\right) = \begin{cases} 0, & tsoilavg(npt) \leq 250 \\ casabiome\%rmplant\left(pft,froot\right) \\ *casapool\%nplant\left(npt,froot\right) \\ *\exp\left(308.56*\left(\dfrac{1.0}{56.02} - \dfrac{1.0}{casamet\%tsoilavg\left(npt\right)+46.02-tkzeroc}\right)\right) \end{cases}$$

where *casabiome%rmplant(npt,froot)* is the daily maintenance respiration rate for fine roots (PFT-specific parameter) (g C g $N^{-1}$ $d^{-1}$) on a 10 °C base, *casapool%nplant(npt,froot)* is the N content of fine roots (g N $m^{-2}$), *tsoilavg(npt)* is the average soil temperature (K), and *tkzeroc* is 273.15 K.
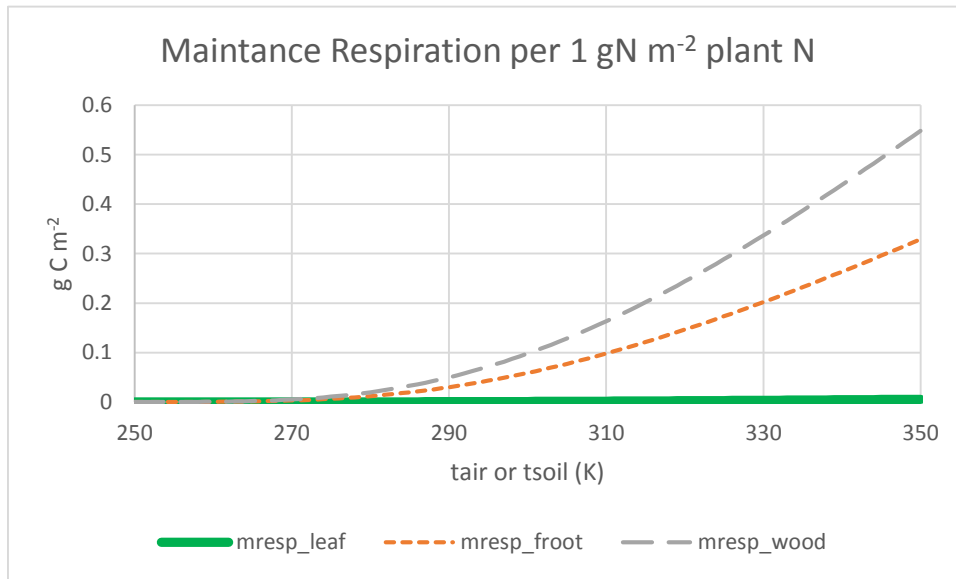


*Figure 18. Maintenance respiration assuming* rmplant(pft,leaf) = 0.1/365, rmplant(pft,froot) = 6.0/365, rmplant(pft,wood) = 10.0/365.

**Respiration losses from the labile C pool, *casaflux%clabloss(npt)* (g C $m^{-2}$ $d^{-1}$)**…not sure what this pool is exactly, plant carbohydrate storage?

$$casaflux\%clabloss(npt) = \begin{cases} 0, & tairk(npt) \leq 250 \\ casabiome\%kclabrate\big(veg\%iveg\,(npt)\big) \\ *\max\big(0.0, casapool\%Clabile\,(npt)\big) \\ *\exp\left(308.56*\left(\dfrac{1.0}{56.02} - \dfrac{1.0}{casamet\%tairk\,(npt)+46.02-tkzeroc}\right)\right) \end{cases}$$

where *casabiome%kclabrate(n)* is the biome-specific respiration rate for the labile C pool (1/day), *casapool%Clabile(n)* is theC content of labile pool (g C m$^{-2}$), *tairk(npt)* is the average air temperature (K), and *tkzeroc* is 273.15 K.

**Growth Efficiency**

Growth efficiency correlated to leaf N:P ratio. Q.Zhang @ 22/02/2011

Plant growth efficiency (*Ygrow*, 0.0 – 1.0) increases with the P/N ratio of leaves, *ratioPNplant(npt,leaf)*. For C only or C+N only, *Ygrow* = 0.65 (Figure 19).

$$ratioPNplant(npt,leaf) = \frac{casapool\%Pplant(npt,leaf)}{casapool\%Nplant(npt,leaf)}$$

$$Ygrow(npt) = 0.65 + 0.2*\frac{ratioPNplant(npt,leaf)}{ratioPNplant(npt,leaf)+\dfrac{1.0}{15.0}}$$
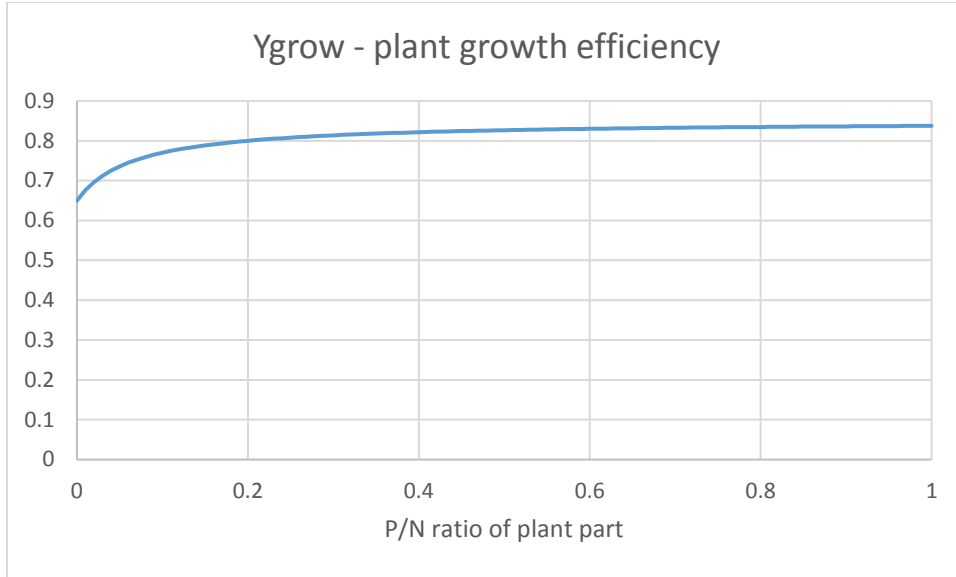
*Figure 19. The plant growth efficiency (Ygrow). For C only or C+N only, Ygrow = 0.65.*

**Total growth respiration for the plant, *casaflux%crgplant(npt)* (g C m⁻² d⁻¹)**

$$casaflux\%crgplant(npt) = (1.0 - Ygrow(npt))$$
$$*MAX\left(0.0, casaflux\%Cgpp(npt) - \sum_{nP} casaflux\%crmplant(npt, nP)\right)$$

**Total autotrophic respiration (maintenance + growth), *casaflux%crgplant(npt)* (g C m⁻² d⁻¹)**

$$casaflux\%Crp(npt) = \sum_{nP} casaflux\%crmplant(npt, nP) + casaflux\%crgplant(npt)$$

**Nutrient-unlimited Net Primary Production (GPP minus autotrophic respiration), *casaflux%Cnpp(npt)* (g C m⁻² d⁻¹)**

$$casaflux\%Cnpp(npt) = casaflux\%Cgpp(npt)$$
$$- \sum_{nP} casaflux\%crmplant(npt, nP)$$
$$- casaflux\%crgplant(npt)$$

Note: *casaflux%Cnpp(npt)* will be reduced in **subroutine casa_xnp** if there is a nutrient limitation.

**End SUBROUTINE casa_rplant**

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### 4.4.4   Carbon Allocation: (4) SUBROUTINE casa_allocation

This subroutine computes the fraction of net photosynthate allocated to leaf, wood and froot.

Inputs:

*moistavg(mp)* – average soil moisture (volumetric fraction) in the rooting zone, calculated in **subroutine avgsoil.**

*tsoilavg(mp)* – average temperature in the rooting zone (K), calculated in **subroutine avgsoil.**

*btran(mp)* – relative water content in the rooting zone (0.0 – 1.0), calculated in **subroutine avgsoil.**

Outputs:

*fracCalloc(npt,nP*) – fraction of NPP allocated to each plant pool (*nP*) (0.0 – 1.0).

**Fixed allocation fractions based on biome-specific parameters**

   *casaflux%fracCalloc(npt,nP) = casabiome%fracnpptop(pft,nP)*

WHERE (*phen%phase(npt)*==0), Minimum LAI

   *casaflux%fracCalloc(npt,leaf)  = 0.0*

$$casaflux\%\ fracCalloc\left(npt,\ froot\right) = \frac{casaflux\%\ fracCalloc\left(npt,\ froot\right)}{casaflux\%\ fracCalloc\left(npt,\ froot\right) + casaflux\%\ fracCalloc\left(npt, wood\right)}$$

   *casaflux%fracCalloc(npt,wood)  = 1.0 - casaflux%fracCalloc(npt,froot)*

END WHERE

WHERE (phen%phase==1), Greenup

   *casaflux%fracCalloc(npt,leaf)  = 0.8*

   For woodland or forest

      *casaflux%fracCalloc(npt,froot) = 0.5*(1.0 - casaflux%fracCalloc(npt,leaf))*

      *casaflux%fracCalloc(npt,wood)  = 0.5*(1.0 - casaflux%fracCalloc(npt,leaf))*

   For grassland, cropland:

      *casaflux%fracCalloc(npt,froot) = 1.0 - casaflux%fracCalloc(npt,leaf)*

      *casaflux%fracCalloc(npt,wood) =  0.0*

END WHERE

WHERE (phen%phase==3), Maximum LAI

*casaflux%fracCalloc(npt,wood) = casabiome%fracnpptop(pft,wood)*

*casaflux%fracCalloc(npt,froot) = 1.0 - casaflux%fracCalloc(npt,wood)*

*casaflux%fracCalloc(npt,leaf)  = 0.0*

ENDWHERE


If prognostic LAI reached *glaimax*, no C is allocated to leaf. Q.Zhang 17/03/2011

WHERE(*casamet%glai(npt) ≥ casabiome%glaimax(pft))*

*casaflux%fracCalloc(npt,leaf)  = 0.0*

$$casaflux\% \, fracCalloc\left(npt, froot\right) = \frac{casaflux\% \, fracCalloc\left(npt, froot\right)}{casaflux\% \, fracCalloc\left(npt, froot\right) + casaflux\% \, fracCalloc\left(npt, wood\right)}$$

*casaflux%fracCalloc(npt,wood)  = 1.0 - casaflux%fracCalloc(npt,froot)*

ENDWHERE


**If prognostic LAI < *glaimin*, increase allocation to leaves**

WHERE(*casamet%glai(npt)<casabiome%glaimin(pft)*)

*casaflux%fracCalloc(npt,leaf)  = 0.8*

For woodland or forest

*casaflux%fracCalloc(npt,froot) = 0.5\*(1.0-casaflux%fracCalloc(npt,leaf))*

*casaflux%fracCalloc(npt,wood)  = 0.5\*(1.0-casaflux%fracCalloc(npt,leaf))*

For grassland or cropland

*casaflux%fracCalloc(npt,froot) = 1.0 - casaflux%fracCalloc(npt,leaf)*

ENDWHERE


**Normalization the allocation fraction to ensure they sum up to 1.0**

*totfracCalloc(npt) = sum(casaflux%fracCalloc(npt,nP))*

*casaflux%fracCalloc(npt,leaf) = casaflux%fracCalloc(npt,leaf) / totfracCalloc(npt)*

*casaflux%fracCalloc(npt,wood) = casaflux%fracCalloc(npt,wood) / totfracCalloc(npt)*

*casaflux%fracCalloc(npt,froot) = casaflux%fracCalloc(npt,froot) / totfracCalloc(npt)*

**END SUBROUTINE casa_allocation**

---

### 4.4.5   Plant Turnover Rates: (5) SUBROUTINE casa_xrateplant

This subroutine calculates *xkleafcold* and *xkleafdry*, the effect of cold and drought stress on death rate of leaves (0.0 – 1.0). These values are 0.0 when there is no death due to cold or drought, and 1.0 when there is maximum death.

Inputs:

> *iveg(npt)  :*   biome type (PFT)

> *phase(npt):*   leaf growth stage

> *tairk(npt)  :*   air temperature in K

Outputs:

> *xkleafcold(npt):* cold stress induced leaf senescence rate (1/day)

> *xkleafdry(npt):*   drought-induced leaf senescence rate (1/day)

> *xkleaf(npt):*      set to 0.0 during maximal leaf growth phase to turn off leaf death due to aging, 1.0 otherwise.

Following the formulation of Arora (2005) on the effect of cold or drought stress on leaf litter fall calculate cold stress (eqn (18), Arora 2005, GCB 11:39-59):

**Leaf Death Rates Due to Cold (**Figure 20**,** Figure 21**)**.

$$xcoldleaf\left(npt\right)=\begin{cases} 1.0, & casamet\%tairk\left(npt\right)\geq phen\%TKshed\left(pft\right) \\ 0.0, & casamet\%tairk\left(npt\right)\leq phen\%TKshed\left(pft\right)-5.0 \\ \dfrac{casamet\%tairk\left(npt\right)-phen\%TKshed\left(pft\right)-5.0}{5.0}, otherwise \end{cases}$$

$$xcoldleaf\left(npt\right)=\min\left(1.0,\ \max\left(0.0,\ xcoldleaf\left(npt\right)\right)\right)$$

$$xkleafcold(npt)=casabiome\%\,xkleafcoldmax(pft)$$
$$*(1.0-xcoldleaf(npt))^{casabiome\%\,xkleafcoldexp(pft)}$$

> where *phen%TKshed* is the air temperature (K) at our below where plants shed their leaves. The value of *xkleafcold(npt)* is added to *casaflux%kplant(npt,leaf)* in **subroutine casa_coeffplant** to compute total turnover rate.
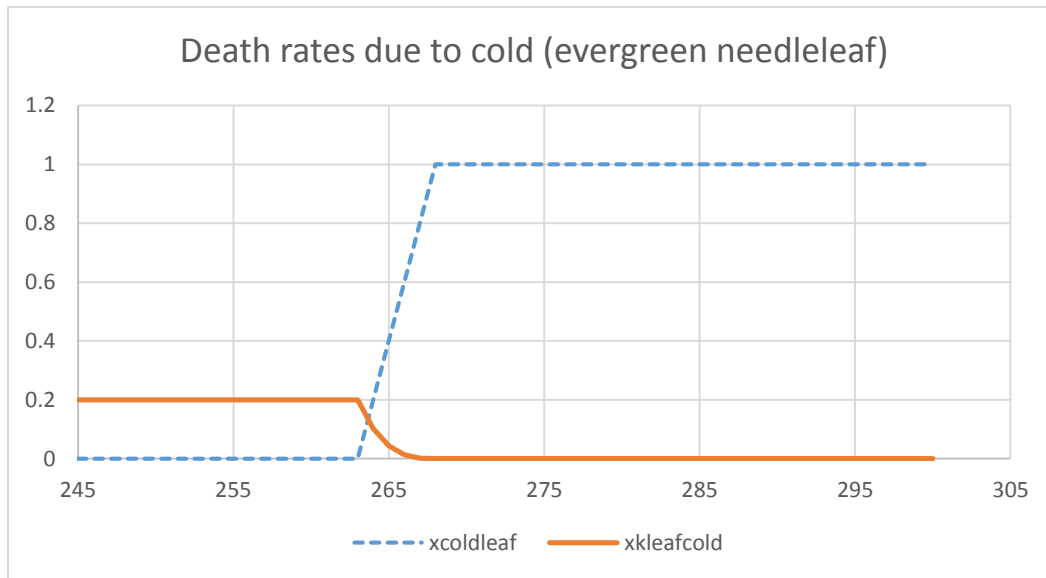
*Figure 20. Effect of cold on evergreen leaf tree death rates. In this example for evergreen needle leaves,* xkleafcoldmax = 0.20, xkleafcoldexp = 3.0, TKshed = 268. *When* xkleafcold = 0.0 (orange line) there is no death due to cold. Note that the death rate for evergreen needle leaves is lower than the death rate for deciduous leaves at low temperatures (Figure 21).*
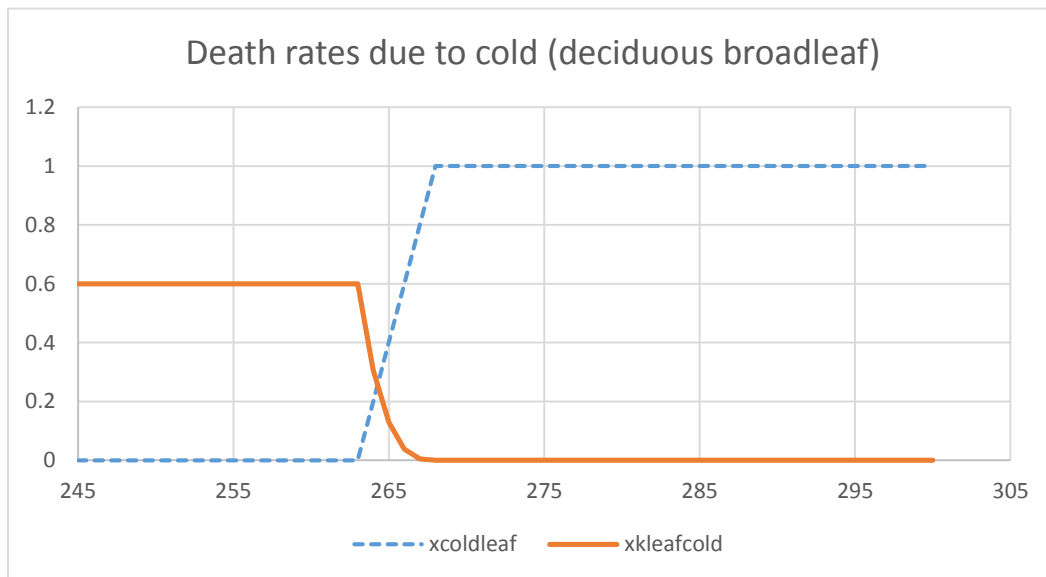


*Figure 21. Effect of cold on deciduous elaf death rates.In this example for deciduous leaves,* xkleafcoldmax = 0.60, xkleafcoldexp = 3.0, TKshed = 268.  *When* xkleafcold = 0.0 (orange line) there is no death due to cold. Note that the death rate for deciduous leaves is greater than the death rate for evergreen needle leaves at low temperatures (Figure 20).*

**Leaf Death Rates Due to dryness (**Figure 22**,** Figure 23**).**

$$xkleafdry(npt) = casabiome\% xkleafdrymax(pft)$$
$$*(1.0 - casamet\%btran(npt))^{casabiome\% xkleafdryexp(pft)}$$

where *casamet%btran(npt)* is the relative water content of the soil (1.0 ≥ field capacity), calculated in **subroutine avgsoil.** The value of *xkleafdry(npt)* is added to *casaflux%kplant(npt,leaf)* in **subroutine casa_coeffplant** to compute total turnover rate.
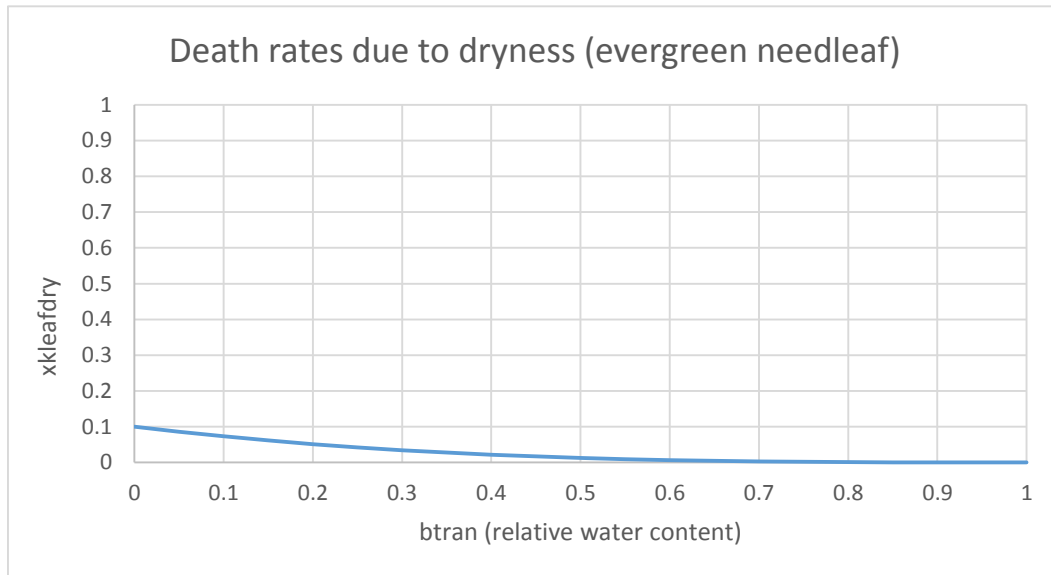


*Figure 22. **Effect of soil dryness on evergreen leaf death rates.** In this example for evergreen needle leaves,* xkleafdrymax = 0.1, xkleafdryexp = 3.0. *When* xkleafdry = 0.0 *there is no death due to drought.*
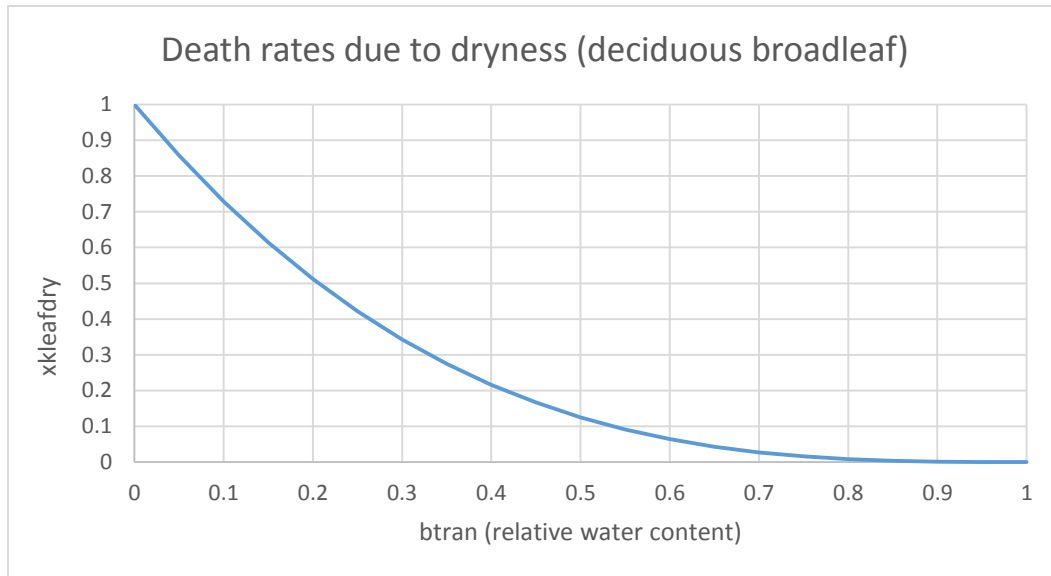
*Figure 23. **Effect of soil dryness on** deciduous **leaf death rates.** In this example for deciduous leaves,* xkleafdrymax = 1.0, *xkleafdryexp = 3.0. When* xkleafdry = 0.0 *there is no death due to drought.*

The binary value *xkleaf(npt)* is 1 except during maximal leaf growth phase (greenup).  This value is used to calculate the total turnover rate of leaves, *casaflux%kplant(npt,leaf)* (see **subroutine casa_coeffplant**).

$$xkleaf\left(npt\right)=\begin{cases}0.0, & phen\%\,phase\left(npt\right)=1 \\ 1.0, & otherwise\end{cases}$$

**END SUBROUTINE casa_xrateplant**

---

### 4.4.6   Plant Litterfall rate: (6) SUBROUTINE casa_coeffplant

This subroutine calculates the plant litter fall rate (1/day) and the transfer coefficients between plant and litter pools.

Inputs:

*xkleafcold(npt):*  cold stress induced leaf senescence rate (1/day)

*xkleafdry(npt):*  drought-induced leaf senescence rate (1/day)

*xkleaf(npt):*    set to 0.0 during maximal leaf growth phase to turn off leaf death due to aging, 1.0 otherwise.

*casabiome%fracNPtoL(pft,nP):* fraction of N or P in plant part *nP* that is transferred to litter (0.0 – 1.0). The PFT lookup files shows this is about 0.5 for leaves, 0.95 for wood, and 0.90 for fine roots.

Outputs:

*casaflux%kplant(npt,nP):* senescence rate of plant pool *nP* (1/day)

*casabiome%fromPtoL(npt,nL,nP):* fraction of senesced plant biomass from plant pool *nP* transferred to litter pool *nL*

**Partitioning of plant residue to litter pools**

The Lignin:N ratio (g lignin / g N) of plant biomass is used to partition litter into structural and metabolic pools.

$$ratioLignintoN\left(npt,leaf\right)=\frac{casapool\%\,Cplant\left(npt,leaf\right)*casabiome\%\,fracLigninplant\left(pft,leaf\right)}{casapool\%\,Nplant\left(npt,leaf\right)*casabiome\%\,ftransNPtoL\left(pft,leaf\right)}$$

$$ratioLignintoN\left(npt,froot\right)=\frac{casapool\%\,Cplant\left(npt,froot\right)*casabiome\%\,fracLigninplant\left(pft,froot\right)}{casapool\%\,Nplant\left(npt,froot\right)*casabiome\%\,ftransNPtoL\left(pft,froot\right)}$$

Where:

*casabiome%fracLigninplant(\*,nP)* – biome-specific lignin:N ratio (g lignin / g N)

*casabiome%fransNPtoL(\*,nP)* – biome-specific fraction of plant N transferred to litter (fraction).

The fraction of leaf and fine root litter allocation to metabolic litter (0.0 – 0.85) increases with the ratio of lignin:N of the plant residue (similar to DayCent). The remaining fraction is allocated to structural litter (Figure 24).

$$casaflux\%\,fromPtoL\left(npt,metb,leaf\right)=\max\left(0.001,\ 0.85-0.018*ratioLignintoN\left(npt,leaf\right)\right)$$
$$casaflux\%\,fromPtoL\left(npt,metb,froot\right)=\max\left(0.001,\ 0.85-0.018*ratioLignintoN\left(npt,froot\right)\right)$$
$$casaflux\%\,fromPtoL\left(npt,str,leaf\right)=1.0-casaflux\%\,fromPtoL\left(npt,metb,leaf\right)$$
$$casaflux\%\,fromPtoL\left(npt,str,froot\right)=1.0-casaflux\%\,fromPtoL\left(npt,metb,froot\right)$$
$$casaflux\%\,fromPtoL\left(npt,cwd,wood\right)=1.0$$
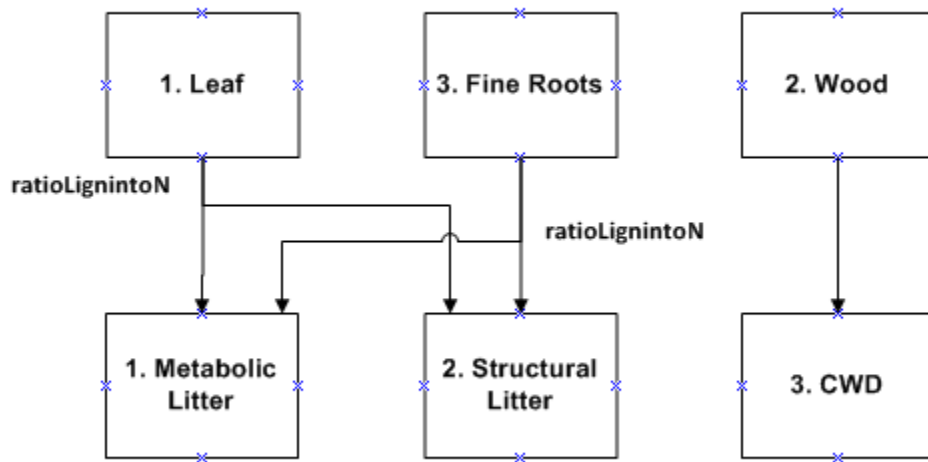
*Figure 24. Carbon fluxes from plant pools to litter pools in the CASA-CNP model.*

**Plant senescence rates (*casaflux%kplant(npt,*)*)**

$$casaflux\%kplant\left(npt, leaf\right) = casabiome\%plantrate\left(pft, leaf\right) * xkleaf\left(:\right)$$
$$+ xkleafcold\left(npt\right) + xkleafdry\left(npt\right)$$
$$casaflux\%kplant\left(npt, wood\right) = casabiome\%plantrate\left(pft, wood\right)$$
$$casaflux\%kplant\left(npt, froot\right) = casabiome\%plantrate\left(pft, froot\right)$$

where *casabiome%plantrate(pft,nP)* is the biome-specific age-related death rate of the plant parts (1/day), *xkleafcold(npt)* and *xkleafdry(npt)* are the leaf death rates due to cold and drought (0.0 – 1.0, calculated in **subroutine casa_xrateplant**), and *xkleaf(npt)* which equals 0 during greenup and 1 otherwise, is calculated in **subroutine casa_xrateplant**

**When glai<glaimin, leaf biomass will not decrease anymore (Q.Zhang 10/03/2011).**

  If (*casamet%glai(npt) ≤ casabiome%glaimin(pft)*) *casaflux%kplant(npt,leaf) = 0.0*

**END SUBROUTINE casa_coeffplant**

### 4.4.7   Nutrient Limitation on Production: (7) SUBROUTINE casa_xnp

This subroutine calculates the effect of soil nutrient limitation on NPP. Note: CASA-CNP is no longer using N concentration restriction on production as is described in Wang, Law et al. (2010).

If simulating C-only (*icycle*=1), set nutrient-limiting variables to 1.0:

$$xnlimit\ = 1.0$$
$$xplimit\ = 1.0$$
$$xnplimit = 1.0$$
$$xNuptake = 1.0$$
$$xPuptake = 1.0$$

In addition, all but the final two equations listed below are skipped, and there is no soil nutrient limitation on NPP:  *casaflux%fracClabile(npt)* = 0.0 and *casaflux%Cnpp(npt)* is not updated by this subroutine. See calculations at the end of the subroutine.

This subroutine calls **subroutine Nrequire** to compute the minimum and maximum plant N requirements, *Nreqmin(npt,\*)* and *Nreqmax(npt,\*)* (g N m$^{-2}$ d$^{-1}$), based on <u>nutrient-unlimited NPP</u> and minimum and maximum N:C ratios of plant pools, *ncplantmin(npt,\*)*  and *ncplantmax(npt,\*).* Minimum and maximum N:C ratios are a function of biome-specific limits, *casabiome%ratioNCplantmin(pft,\*)* and *casabiome%ratioNCplantmin(pft,\*),* and the amount of soil mineral N available, *casapool%Nsoilmin(npt)* (g N m$^{-2}$).

If *casapool%Nsoilmin(npt)* < 2.0 then *ncplantmax(npt,\*)* is less than *ratioNCplantmax(\*,\*)* according to the following relationship (Figure 25**):**

$$ncplantmax\left(npt, leaf\right) = casabiome\%ratioNCplantmin\left(pft, leaf\right)$$
$$+\left(\begin{array}{l} casabiome\%ratioNCplantmax\left(pft, leaf\right) \\ -casabiome\%ratioNCplantmin\left(pft, leaf\right)\end{array}\right)$$
$$*\left(2.0^{0.5*casapool\%Nsoilmin(npt)} - 1.0\right)$$

$$ncplantmax\left(npt, wood\right) = casabiome\%ratioNCplantmin\left(pft, wood\right)$$
$$+\left(\begin{array}{l} casabiome\%ratioNCplantmax\left(pft, wood\right) \\ -casabiome\%ratioNCplantmin\left(pft, wood\right)\end{array}\right)$$
$$*\left(2.0^{0.5*casapool\%Nsoilmin(npt)} - 1.0\right)$$

$$ncplantmax\left(npt, froot\right) = casabiome\%ratioNCplantmin\left(pft, froot\right)$$
$$+\left(\begin{array}{l} casabiome\%ratioNCplantmax\left(pft, froot\right) \\ -casabiome\%ratioNCplantmin\left(pft, froot\right)\end{array}\right)$$
$$*\left(2.0^{0.5*casapool\%Nsoilmin(npt)} - 1.0\right)$$

Else (*casapool%Nsoilmin(npt) ≥ 2.0*)

   *ncplantmax(npt,leaf) = casabiome%ratioNCplantmax(pft,leaf)*
   *ncplantmax(npt,wood) = casabiome%ratioNCplantmax(pft,wood)*
   *ncplantmax(npt,froot) = casabiome%ratioNCplantmax(pft,froot)*
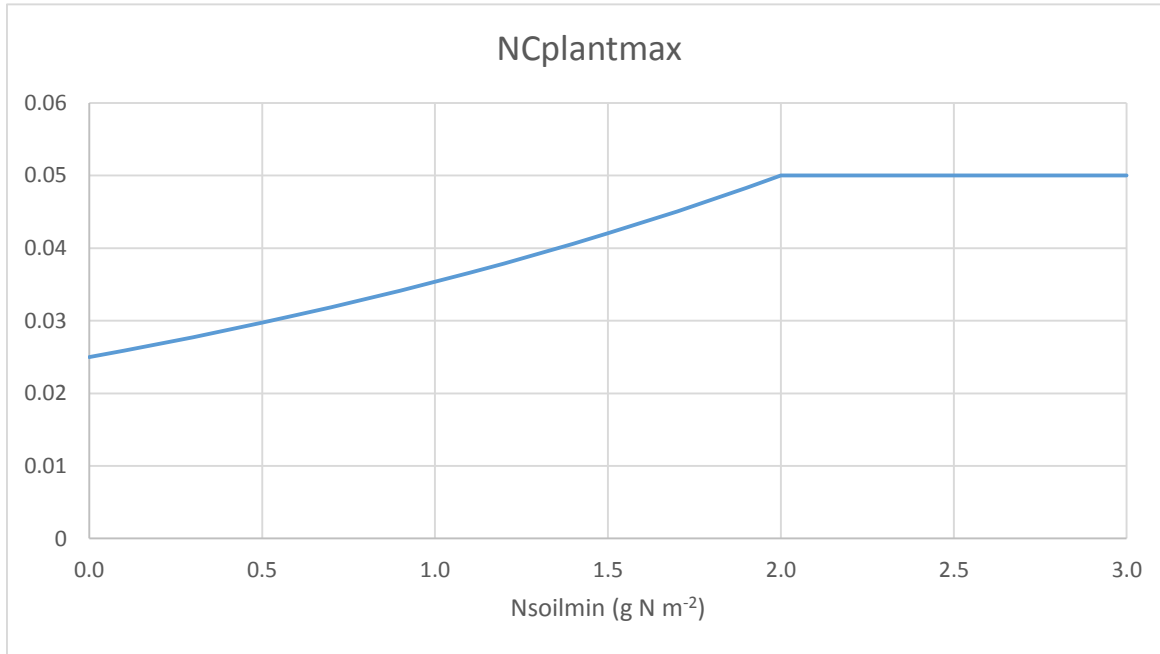


*Figure 25. The N:C ratio of plant parts is bounded by biome-specific parameters* ratioNCplantmin *and* ratioNCplantmax, *and is a function of* Nsoilmin, *the amount of mineral soil N available (g N m⁻²). Here* ratioNCplantmin = 0.25 *and* ratioNCplantmax = 0.5.

The maximum N demand by plants (*Nreqmax(npt,\*)*, g N m$^{-2}$ d$^{-1}$) is a product of the nutrient-unlimited NPP, the fraction of carbon allocation to each plant part (calculated in **subroutine casa_allocation**), and the maximum N:C ratio calculated above.

   *Nreqmax(npt,leaf) = xnCnpp(npt)\* casaflux%fracCalloc(npt,leaf) \*ncplantmax(npt,leaf)*
   *Nreqmax(npt,wood) = xnCnpp(npt)\* casaflux%fracCalloc(npt,wood) \*ncplantmax(npt,wood)*
   *Nreqmax(npt,froot) = xnCnpp(npt)\* casaflux%fracCalloc(npt,froot)\*ncplantmax(npt,froot)*

The minimum N demand by plants (*Nreqmin(npt,\*)*, g N m$^{-2}$ d$^{-1}$) is a product of the nutrient-unlimited NPP (*xnCnpp,* g C m$^{-2}$ d$^{-1}$), the fraction of carbon allocation to each plant part (calculated in **subroutine casa_allocation**), and the minimum N:C ratio calculated above. Note, when **subroutine Nrequire** is later called by **subroutine nuptake**, *xnCnpp* has been nutrient-limited.

   *Nreqmin(npt,leaf) = xnCnpp(npt)\* casaflux%fracCalloc(npt,leaf)\* casabiome%ratioNCplantmin(pft,leaf)*
   *Nreqmin(npt,wood) = xnCnpp(npt)\* casaflux%fracCalloc(npt,wood)\* casabiome%ratioNCplantmin(pft,wood)*

*Nreqmin(npt,froot) =  xnCnpp(npt)\* casaflux%fracCalloc(npt,froot)\**
*casabiome%ratioNCplantmin(pft,froot)*

Retranslocation of N from dying plant material to live plant parts (*NtransPtoP(npt,\*)*) is the biome-specific fraction of N that is not transferred to the litter pools.

*NtransPtoP(npt,leaf) = casaflux%kplant(npt,leaf)\*casapool%Nplant(npt,leaf)*
*\* (1.0-casabiome%ftransNPtoL(pft,leaf))*

*NtransPtoP(npt,wood) = casaflux%kplant(npt,wood)\*casapool%Nplant(npt,wood)*
*\* (1.0-casabiome%ftransNPtoL(pft,wood))*

*NtransPtoP(npt,froot) = casaflux%kplant(npt,froot)\*casapool%Nplant(npt,froot)*
*\* (1.0-casabiome%ftransNPtoL(pft,froot))*

Where:
*casabiome%ftransNPtoL(npt,nP)* – biome-specific fraction of N transferred from plant poll *nP* to litter pools
*casaflux%kplant(npt,nP)* – senescence rate of plant pool *nP* (1/day), calculated in **subroutine coeffplant**.

The maximum N requirement by each plant part is reduced by the amount of N translocation, *NtransPtoP(npt,\*)* after plant death (calculated above).

*Nreqmax(npt,leaf)  =* max*(0.0,Nreqmax(npt,leaf) - NtransPtoP(npt,leaf))*
*Nreqmax(npt,wood)  =* max*(0.0,Nreqmax(npt,wood) - NtransPtoP(npt,wood))*
*Nreqmax(npt,froot) =* max*(0.0,Nreqmax(npt,froot) - NtransPtoP(npt,froot))*
*Nreqmin(npt,leaf)  =* max*(0.0,Nreqmin(npt,leaf) - NtransPtoP(npt,leaf))*
*Nreqmin(npt,wood)  =* max*(0.0,Nreqmin(npt,wood) - NtransPtoP(npt,wood))*
*Nreqmin(npt,froot) =* max*(0.0,Nreqmin(npt,froot) - NtransPtoP(npt,froot))*

If the N:C ratios of live plant material is > the biome-specific maximum N:C ratio, don't allow plant N uptake.

if(casapool%nplant(npt,nP)/(casapool%cplant(npt,nP)+1.0e-10)>casabiome%ratioNCplantmax(pft,nP)) then
*Nreqmax(npt,nP) = 0.0*
*Nreqmin(npt,nP) =0.0*
endif


**Nutrient limitation on NPP**

Sum total minimum and maximum N requirements by plants :

$$totNreqmax(npt) = Nreqmax(npt, leaf) + Nreqmax(npt, wood) + Nreqmax(npt, froot)$$

$$totNreqmin(npt) = Nreqmin(npt, leaf) + Nreqmin(npt, wood) + Nreqmin(npt, froot)$$

$$xNuptake(npt) = MAX\left(0.0, MIN\left(1.0, \frac{casapool\%Nsoilmin(npt)}{totNreqmin(npt)*deltpool}\right)\right)$$

Where *deltpool* is the time step (1 for daily), and *Nsoilmin(npt)* in available soil mineral N (g N m$^{-2}$).

The value *xNPuptake(npt)* (0.0 – 1.0) is the N/P nutrient limitation on plant production, the minimum of the N limitation (*xNuptake(npt)*) and the P limitation (*xPuptake(npt)*) fractions. The value of *xPuptake(npt)* = 1.0 when P is not simulated.

xNPuptake(npt) = min(xNuptake(npt), xPuptake(npt))

If there is a nutrient limitation on production (*xNPuptake(npt)* < 1.0), calculate the fraction of GPP that is diverted to the labile pool instead of NPP, *fracClabile(npt)* (0.0 – 1.0). This fraction is used again in **subroutine casa_delplant**.

$$casaflux\%fracClabile(npt) = (1.0 - xNPuptake(npt)) * \frac{casaflux\%Cnpp(npt)}{casaflux\%Cgpp(npt)}$$

$$casaflux\%Cnpp(npt) = casaflux\%Cnpp(npt) - casaflux\%fracClabile(npt) * casaflux\%Cgpp(npt)$$
$$= xNPuptake(npt) * Cnpp(npt)$$

As mentioned at the top of the subroutine, if *icycle*=1, there is no soil nutrient limitation on NPP: xNPuptake = 1.0, *casaflux%fracClabile(npt)* = 0.0, and *casaflux%Cnpp(npt)* is not updated by this subroutine.

**END SUBROUTINE casa_xnp**

### 4.4.8 Soil Temperature and Moisture effects on SOM decomposition: (8) SUBROUTINE casa_xratesoil

This subroutines calculates the effects of soil temperature and soil moisture on litter and soil decomposition rates: *xklitter*, *xksoil*

Inputs:

*iveg(npt) :* biome type (PFT)

*tsoilavg(npt):* average soil temperature in the 6 soil layers (K)

*moistavg(npt):* average volumetric soil moisture in the 6 soil layers (0.0 – 1.0)

Outputs:

*xklitter(npt):* modifier of litter decomposition rate, similar to a climate decomposition index (dimensionless)

*xksoil(npt):* modifier of soil decomposition rate, similar to climate decomposition index (dimensionless)

Constants:

REAL(r_2), parameter :: wfpscoefa=0.55    ! Kelly et al. (2000) JGR, Figure 2b), optimal wfps

REAL(r_2), parameter :: wfpscoefb=1.70    ! Kelly et al. (2000) JGR, Figure 2b)

REAL(r_2), parameter :: wfpscoefc=-0.007 ! Kelly et al. (2000) JGR, Figure 2b)

REAL(r_2), parameter :: wfpscoefd=3.22    ! Kelly et al. (2000) JGR, Figure 2b)

REAL(r_2), parameter :: wfpscoefe=6.6481 ! =wfpscoefd*(wfpscoefb-wfpscoefa)/(wfpscoefa-wfpscoefc)

**Kirschbaum function parameters**

REAL(r_2), parameter :: xkalpha=-3.764    ! Kirschbaum (1995, SBB)

REAL(r_2), parameter :: xkbeta=0.204

REAL(r_2), parameter :: xktoptc=36.9

**Soil temperature and moisture modifiers on decomposition rates**

$$fwps(npt) = \frac{casamet\%moistavg(npt)}{soil\%ssat(npt)}$$

$$tsavg(npt) = casamet\%tsoilavg(npt)$$

$$xktemp(npt) = casabiome\%q10soil(veg\%iveg(npt))^{0.1*(tsavg(npt)-TKzeroC-35.0)}$$

$$xkwater(npt) = \begin{cases} \left(\dfrac{fwps(npt)-wfpscoefb}{wfpscoefa-wfpscoefb}\right)^{wfpscoefe} * \left(\dfrac{fwps(npt)-wfpscoefc}{wfpscoefa-wfpscoefc}\right)^{wfpscoefd} & \text{, non-cropland} \\ 1.0, \quad \text{cropland or cropland2} \end{cases}$$

$$xklitter(npt) = casabiome\%xkoptlitter(pft) * xktemp(npt) * xkwater(npt)$$

$$xksoil(npt) = casabiome\%xkoptsoil(pft) * xktemp(npt) * xkwater(npt)$$

where *casabiome%xkoptlitter* and *casabiome%xkoptsoil* are the biome-specific maximum decomposition rates (1/day); *fwps(npt)* is soil moisture expressed as the fraction of water filled pore space (0.0 – 1.0); *tsavg(npt)* is the average soil temperature in the 6 soil layers (K).

**END SUBROUTINE casa_xratesoil**

### 4.4.9   Litter and SOM decomposition rates: (9) SUBROUTINE casa_coeffsoil

This subroutine calculatse the litter and SOM decomposition rates (1/day), respiration rates (fraction of flow), and the transfer coefficients between litter and soil pools, and between soil pools.

Inputs:

*xkitter* and *xksoil* are calculated in **subroutine casa_xratesoil (above)**

*xklitter(npt):* modifier of litter decomposition rate, similar to a climate decomposition index (dimensionless)

*xksoil(npt):* modifier of soil decomposition rate, similar to climate decomposition index (dimensionless)

Outputs:

*klitter(npt,mL):* decomposition rate of litter pool *mL* (1/day)

*ksoil(npt,mS):* decomposition rate of soil pool *mS* (1/day)

*fromLtoS(npt,nL,nS):* <u>fraction</u> of decomposed litter pool *nL* to soil pool *nS*

*fromStoS(npt,nSS,nS):* <u>fraction</u> of decomposed soil pool *nS* to another soil pool *nSS*

*fromLtoCO2(npt,nL):* <u>fraction</u> of decomposed litter C pool *nL* emitted as $CO_2$

*fromStoCO2(npt,nL)*: <u>fraction</u> of decomposed soil C pool *nS* emitted as $CO_2$

**Litter Decomposition Rates**

$$casaflux\%klitter(npt,metb) = xklitter(npt)*casabiome\%litterrate(pft,metb)$$

$$casaflux\%klitter(npt,str) = xklitter(npt)*casabiome\%litterrate(pft,str)$$
$$*\exp(-3.0*casabiome\% fracLigninplant(pft,leaf))$$
$$casaflux\%klitter(npt,cwd) = xklitter(npt)*casabiome\%litterrate(pft,cwd)$$

$$casaflux\%ksoil(npt,mic) = xksoil(npt)*casabiome\%soilrate(veg\%iveg(npt),mic)$$
$$*(1.0-0.75*(soil\% silt(npt)+soil\%clay(npt)))$$
$$casaflux\%ksoil(npt,slow) = xksoil(npt)*casabiome\%soilrate(veg\%iveg(npt),slow)$$
$$casaflux\%ksoil(npt, pass) = xksoil(npt)*casabiome\%soilrate(veg\%iveg(npt), pass)$$

For cultivated land types, increase the rate of soil decomposition

WHERE (pft==cropland)

$$casaflux\%ksoil(npt,mic) = casaflux\%ksoil(npt,mic)*1.25$$
$$casaflux\%ksoil(npt,slow) = casaflux\%ksoil(npt,slow)*1.5$$
$$casaflux\%ksoil(npt, pass) = casaflux\%ksoil(npt, pass)*1.5$$

**<u>Litter and Soil Organic Matter Decomposition (</u>**Figure 26**<u>)</u>**

**Metabolic litter → Fast SOM**

$$casaflux\% fromLtoS(npt,mic,metb) = 0.45$$

**Structural litter → Fast SOM**

$$casaflux\% fromLtoS(npt,mic,str) = 0.45*(1.0-casabiome\% fracLigninplant(pft,leaf))$$

**Structural litter → Slow SOM**

$$casaflux\%\,fromLtoS\left(npt,slow,str\right)=0.7*casabiome\%\,fracLigninplant\left(pft,leaf\right)$$

**Coarse Woody Debris → Fast SOM**

$$casaflux\%\,fromLtoS\left(npt,mic,cwd\right)=0.40*\left(1.0-casabiome\%\,fracLigninplant\left(pft,wood\right)\right)$$

**Coarse Woody Debris → Slow SOM**

$$casaflux\%\,fromLtoS\left(npt,slow,cwd\right)=0.7*casabiome\%\,fracLigninplant\left(pft,wood\right)$$

**Fast SOM → Slow SOM**

$$casaflux\%\,fromStoS\left(npt,slow,mic\right)=\left(0.85-0.68*\left(soil\%\,clay\left(npt\right)+soil\%\,silt\left(npt\right)\right)\right)$$
$$*\left(0.997-0.032*soil\%\,clay\left(npt\right)\right)$$

**Fast SOM → Passive SOM**

$$casaflux\%\,fromStoS\left(npt,pass,mic\right)=\left(0.85-0.68*\left(soil\%\,clay\left(npt\right)+soil\%\,silt\left(npt\right)\right)\right)$$
$$*\left(0.003+0.032*soil\%\,clay\left(:\right)\right)$$

**Slow SOM → Passive SOM**

$$casaflux\%\,fromStoS\left(npt,pass,slow\right)=0.45*\left(0.003+0.009*soil\%\,clay\left(npt\right)\right)$$

**Fractions of Litter C pools released as $CO_2$**

$$casaflux\%\,fromLtoCO2\left(npt,nL\right)=\sum_{nL}\sum_{nS}casaflux\%\,fromLtoS\left(npt,nS,nL\right)$$

$$casaflux\%\,fromLtoCO2\left(npt,nL\right)=\sum_{nL}1.0-casaflux\%\,fromLtoCO2\left(npt,nL\right)$$

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**Fractions of Soil C pools released as CO₂**

$$casaflux\% \, fromStoCO2(npt, nS) = \sum_{nS} \sum_{nSS} casaflux\% \, fromStoS(npt, nSS, nS)$$

$$casaflux\% \, fromStoCO2(npt, nS) = -casaflux\% \, fromStoCO2(npt, nS)$$
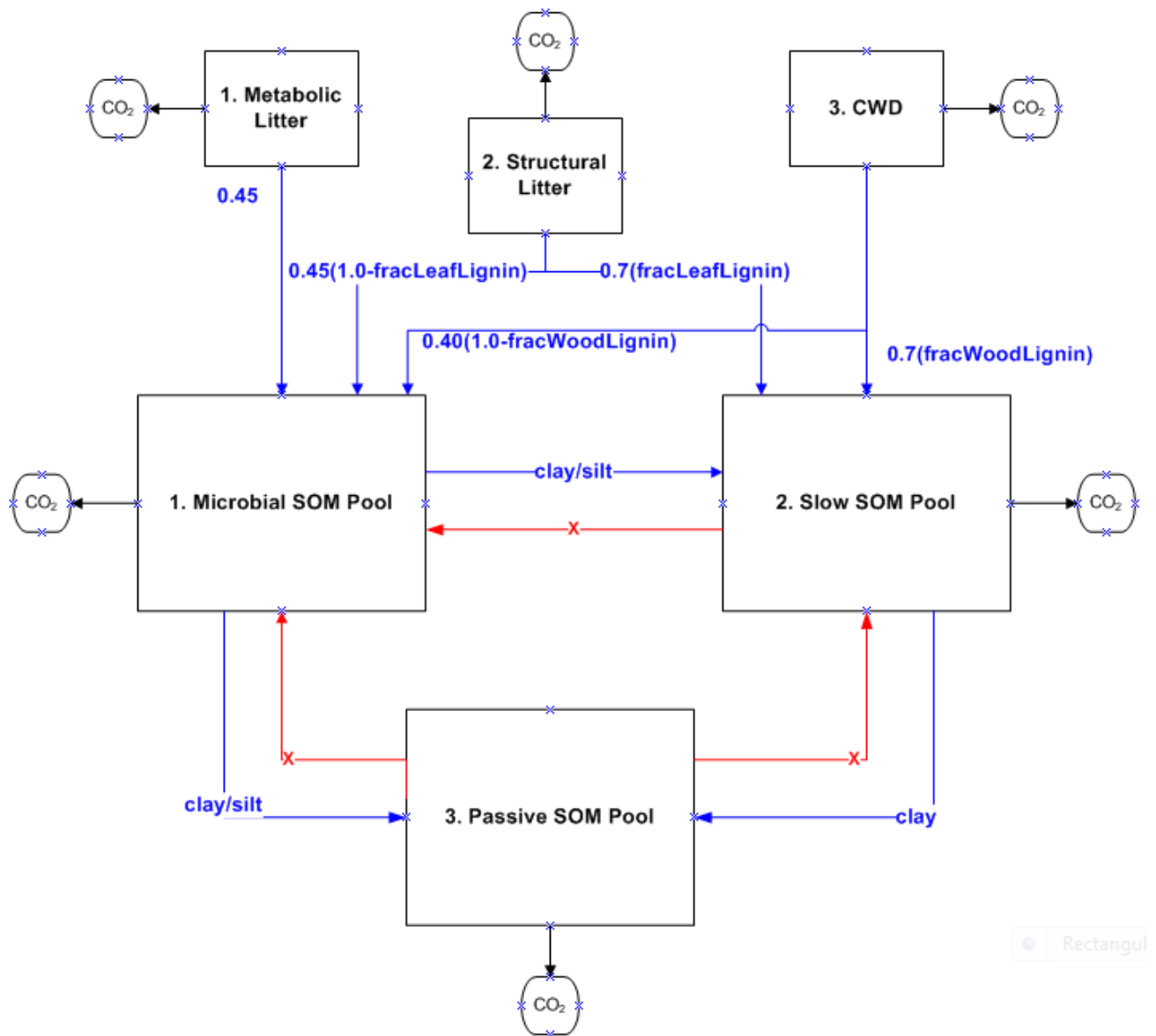


Figure 26. Carbon and CO₂ fluxes as litter and soil organic matter pools decompose in the CASA-CNP model.

**END SUBROUTINE casa_coeffsoil**

---

### 4.4.10 Mineralization, Immobilization, N limits on decomposition: (10) SUBROUTINE casa_xkN

This subroutine computes the mineralization, immobilization, and the reduction in litter and SOM decomposition when decomposition rate is N-limiting.

**Initialization**

*xkNlimiting(npt)* – multiplier on plant uptake and decomposition rates (0.0 – 1.0). It is < 1.0 when N is limiting to decomposition. Also defined as the reduction of N uptake by plants (0.0 – 1.0) due to competition from microbes (1.0 = no reduction). This factor will be used in **subroutine casa_nuptake** to reduce N uptake by plants.

**Mineral N fluxes (Local Variables)**

*xFluxNlittermin(npt)* – gross mineralization from decomposition of litter pools (positive) (g N m$^{-2}$ d$^{-1}$)

*xFluxNsoilmin(npt)* – gross mineralization from decomposition of soil pools (positive) (g N m$^{-2}$ d$^{-1}$)

*xFluxNsoilimm(npt)* – immobilization from litter and soil C flows to destination soil pools (negative) (g N m$^{-2}$ d$^{-1}$)

*xFluxNsoilminnet(npt)* – net mineralization (gross mineralization – immobilization), negative for net microbial uptake and positive for net release of mineral N.

**<span style="color:green">Calculate N:C ratio of newly formed SOM as function of soil mineral N pool (*casapool%Nsoilmin(npt)*, g N m$^{-2}$)</span>**

This calculation is like the variable C:N ratios in DayCent (Figure 27).

If *casapool%Nsoilmin(npt) < 2.0*

Limited soil mineral N forces the N:C ratio of new SOM to be below the maximum:

$$
\begin{aligned}
casapool\% & ratioNCsoilnew(npt, nS) \\
&= casapool\% ratioNCsoilmin(npt, nS) \\
&+ \left( casapool\% ratioNCsoilmax(npt, nS) - casapool\% ratioNCsoilmin(npt, nS) \right) \\
&* \frac{casapool\% Nsoilmin(npt)}{2.0}
\end{aligned}
$$

If *casapool%Nsoilmin(npt) ≥ 2.0*

Mineral N unlimited, N:C ratio of new SOM equals the maximum:

$$casapool\%ratioNCsoilnew(npt,nS)=casapool\%ratioNCsoilmax(npt,nS)$$

where *casapool%ratioNCsoilmin(\*,\*)* and *casapool%ratioNCsoilmax(\*,\*)* are biome-specific parameters specifying the minimum and maximum N:C ratios of soil organic matter pools.
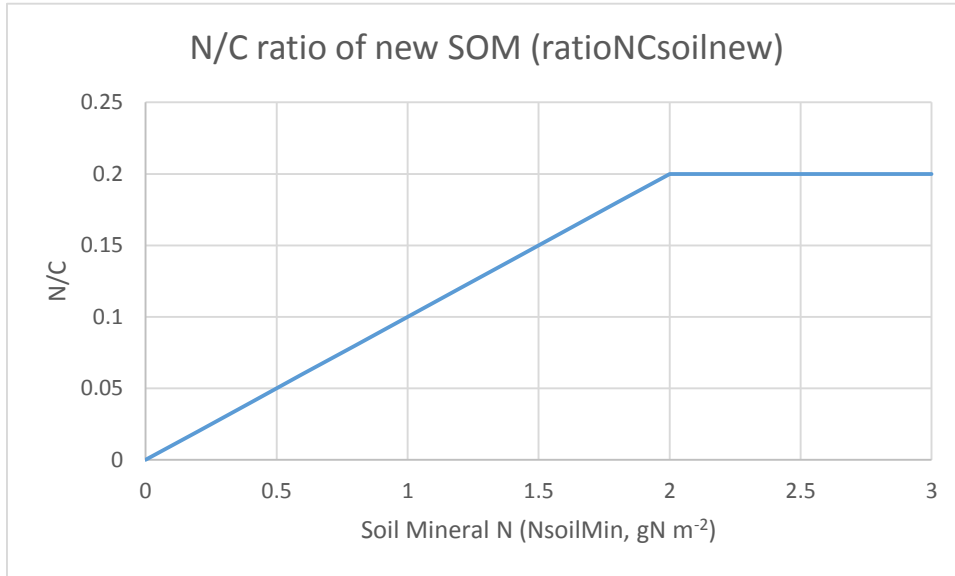


*Figure 27. The N:C ratio required by the receiving SOM pool is a function of soil mineral N content. In this example, ratioNCsoilMin = 0.0, ratioNCsoilMax = 0.2*

## Mineralization of litter and soil pools

$$xFluxNlittermin(npt)=\sum_{nL}casaflux\%klitter(npt,nL)*casapool\%Nlitter(npt,nL)$$

$$xFluxNsoilmin(npt)=\sum_{nS}casaflux\%ksoil(npt,nS)*casapool\%Nsoil(npt,nS)$$

## N immobilization from Litter to Soil

Immobilization from litter pool *nL* to soil pool *nSS* (*xFluxnsoilimm(npt)*, gN m$^{-2}$ d$^{-1}$) is negative for microbial uptake.

$$xFluxNsoilimm(npt) = \sum_{nL}\sum_{nS} \begin{pmatrix} -\,casaflux\%\,fromLtoS(npt,nSS,nL) \\ *casaflux\%\,klitter(npt,nL) \\ *casapool\%\,Clitter(npt,nL) \\ *casapool\%\,ratioNCsoilnew(npt,nSS) \end{pmatrix}$$

Where:

casaflux%fromLtoS(npt,nSS,nL) –fraction of decomposed litter pool *nL* that flows to soil pool *nSS* (0.0 – 1.0)

casaflux%klitter(npt,nL) - turnover rate of litter pool *nL* (1/day)

casapool%Clitter(npt,nL) – carbon in litter pool *nL* (g C m$^{-2}$)

casapool%ratioNCsoilnew(npt,nSS) – the required N:C ratio of the receiving soil pool.

total C flow from litter pool *nL* to soil pool *nSS* assuming no N limitation:

casaflux%fromLtoS(npt,nSS,nL)*casaflux%klitter(npt,nL)*casapool%Clitter(npt,nL)

total N flow from litter pool *nL* to soil pool *nSS* assuming no N limitation:

casaflux%fromLtoS(npt,nSS,nL)*casaflux%klitter(npt,nL)*casapool%Clitter(npt,nL)*
casapool%ratioNCsoilnew(npt,nSS)

**N immobilization from Soil to Soil**

Immobilization from soil pool *nS* to soil pool *nSS* (added to *xFluxnsoilimm(npt)*, gN m$^{-2}$ d$^{-1}$) is negative for microbial uptake.

$$xFluxNsoilimm(npt) +\!= \sum_{nS}\sum_{nSS \neq nS} \begin{pmatrix} -\,casaflux\%\,fromStoS(npt,nSS,nS) \\ *casaflux\%\,ksoil(npt,nS) \\ *casapool\%\,Csoil(npt,nS) \\ *casapool\%\,ratioNCsoilnew(npt,nSS) \end{pmatrix}$$

Where:

casaflux%fromStoS(npt,nSS,nS) – fraction of decomposed SOM pool *nS* that flows to SOM pool *nSS* (0.0 – 1.0)

casaflux%ksoil(npt,nS) – turnover rate of SOM pool *nS* (1/day)

casapool%Csoil(npt,nS) – C in soil pool *nS* (g C m$^{-2}$)

*casapool%ratioNCsoilnew(npt,nSS)* –required N:C ratio of the receiving soil pool.

total C flow from SOM pool *nS* to SOM pool *nSS*. assuming no N limitation:

*casaflux%fromStoS(npt,nSS,nS)\*casaflux%ksoil(npt,nS)\*casapool%Csoil(npt,nS)*

total N flowing from SOM pool *nS* into SOM pool *nSS* assuming no N limitation:

*casaflux%fromStoS(npt,nSS,nS)\*casaflux%ksoil(npt,k)\*casapool%Csoil(npt,nS)\* casapool%ratioNCsoilnew(npt,nSS)*

**Check if there is sufficient mineral N. Compute the limit on plant N,P uptake if there is not sufficient mineral N.**

*xFluxNsoilminnet* (Net mineralization) = N mineralization from source litter pool flow + N mineralization from source soil pool flow + N immobilization by destination soil pool (negative).

*xFluxNsoilminnet(npt) = xFluxNlittermin(npt) + xFluxNsoilmin(npt) + xFluxNsoilimm(npt)*

If net mineralization is positive or if there is at least 2.0 g N m$^{-2}$ after accounting for the N demand by microbes, xkNlimiting = 1.0.

*xkNlimiting(npt)* – reduction of N uptake by plants (0.0 – 1.0) due to competition from microbes (1.0 = no reduction).

if ((*xFluxNsoilminnet(npt)\*deltpool + (casapool%Nsoilmin(npt) – 2.0*)) > 0.0 OR *xFluxNsoilminnet(npt)* > 0.0)

   *xkNlimiting(npt)* =1.0

Otherwise, 0.0 ≤ *xkNlimiting(npt)* ≤ 1.0 and is equal to the ratio of available mineral N – 0.5 to the microbial demand for N

$$xkNlimiting\left(npt\right) = \max\left(0.0, -\frac{casapool\%Nsoilmin\left(npt\right) - 0.5}{deltpool * xFluxNsoilminnet\left(npt\right)}\right)$$
$$= \min\left(1.0, \; xkNlimiting\left(npt\right)\right)$$

<mark>If the amount of metabolic + structural litter is > the maximum fine litter + CWD then xkNlimiting = 1.0?</mark>

if (sum(casapool%clitter,2) > casabiome%maxfinelitter(pft) + casabiome%maxcwd(pft))

   *xkNlimiting(npt)* = 1.0

**END SUBROUTINE casa_xkN**

Note: after this subroutine returns to **SUBROUTINE biogeochem** (casa_inout.f90), *casaflux%klitter(npt, nL)* is multiplied by *xkNlimiting(npt)*, but *casaflux%ksoil(npt, nS)* is not!  Why? Then **subroutine casa_nuptake** is called.


   DO nL=1,mlitter

     casaflux%klitter(npt,nL) = casaflux%klitter(npt,nL)* xkNlimiting(npt)

   ENDDO

   call **casa_nuptake**(veg, xkNlimiting, casabiome, casapool, casaflux, casamet)

---

### 4.4.11  Plant N uptake: (11) SUBROUTINE casa_nuptake

This subroutine computes the total N uptake by plants (*casaflux%Nminuptake(npt),* g N m$^{-2}$ d$^{-1}$) and the allocation of uptaken N to the 3 plant pools (*casaflux%fracNalloc(npt,nP)*, 0.0 – 1.0).

This subroutine calls **subroutine Nrequire** to compute the minimum and maximum plant N requirements, *Nreqmin(npt,\*)* and *Nreqmax(npt,\*)* (g N m$^{-2}$ d$^{-1}$), based on nutrient-limited NPP and minimum and maximum N:C ratios of plant pools, *ncplantmin(npt,\*)*  and *ncplantmax(npt,\*).* Minimum and maximum N:C ratios are a function of biome-specific limits, *casabiome%ratioNCplantmin(pft,\*)* and *casabiome%ratioNCplantmin(pft,\*),* and the amount of soil mineral N available, *casapool%Nsoilmin(npt)* (g N m$^{-2}$).  Equations from **subroutine Nrequire** are found in documentation for **subroutine casa_xnp**.


*xkNlimiting(npt)* – reduction of N uptake by plants (0.0 – 1.0) due to competition from microbes (1.0 = no reduction).  Calculated in **subroutine casa_xkN**.

Sum total minimum and maximum N requirments by plants (g N m$^{-2}$ d$^{-1}$):


$$totNreqmax(npt) = Nreqmax(npt, leaf) + Nreqmax(npt, wood) + Nreqmax(npt, froot)$$
$$totNreqmin(npt) = Nreqmin(npt, leaf) + Nreqmin(npt, wood) + Nreqmin(npt, froot)$$

Note: These are local variables and I don't think these sums are used anywhere.


**N uptake from each plant pool *nP*, xnuptake(npt,nP) (g N m$^{-2}$ d$^{-1}$).**

$$xnuptake(npt,nP) = Nreqmin(npt,nP)$$
$$+xkNlimiting(npt)*(Nreqmax(npt,nP) - Nreqmin(npt,nP))$$
$$*\frac{casapool\%Nsoilmin(npt)}{casapool\%Nsoilmin(npt)+casabiome\%kminN(pft)}$$

Where *xkNlimiting* (0.0 – 1.0) is set in **subroutine casa_xkN**, *casabiome%kminN(pft)* (g N m$^{-2}$) is a biome-specific parameter, the amount of soil mineral N to reach ½ the maximum N uptake (Figure 28).
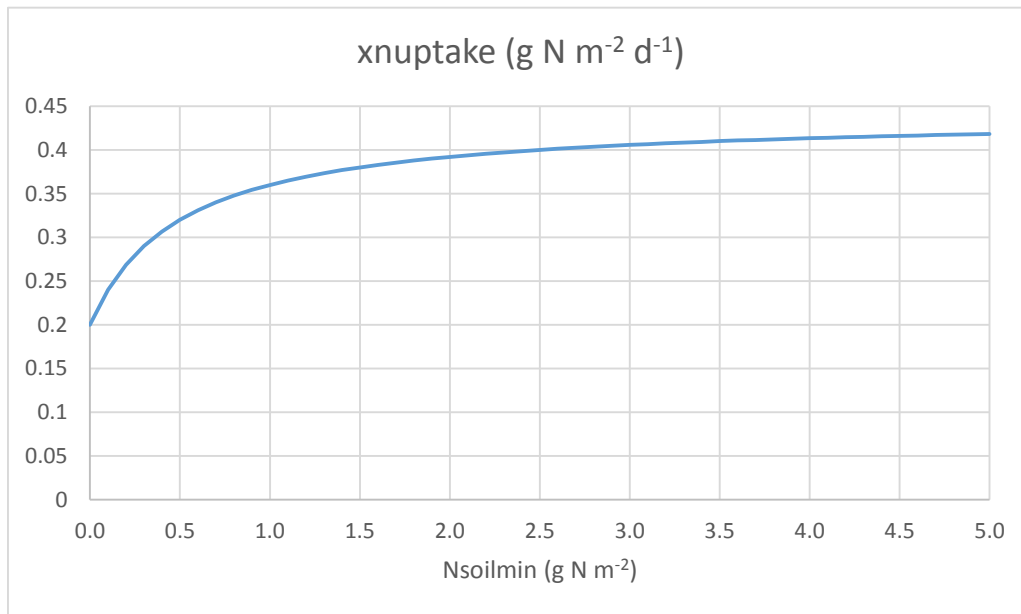


*Figure 28. Actual N uptake by a plant pool (g N m$^{-2}$ d$^{-1}$). In this example,* kminN = 0.5, xkNlimiting = 0.8, Nrequiremin = 0.2, Nrequiremax = 0.5.

**Total plant N uptake and N allocation fractions.**

These values are used in **subroutine casa_delplant.**

casaflux%Nminuptake(npt) = xnuptake(npt,leaf) + xnuptake(npt,wood) + xnuptake(npt,froot)

casaflux%fracNalloc(npt,leaf) = xnuptake(npt,leaf) / casaflux%Nminuptake(npt)

casaflux%fracNalloc(npt,wood) = xnuptake(npt,wood) / casaflux%Nminuptake(npt)

casaflux%fracNalloc(npt,froot) = xnuptake(npt,froot) / casaflux%Nminuptake(npt)

*casaflux%Nupland(npt) = casaflux%Nminuptake(npt)*

**END SUBROUTINE casa_nuptake**

### 4.4.12 Plant Net C, N, and P fluxes: (13) SUBROUTINE casa_delplant

This subroutine calculates the change in plant C, N and P pools. The amount of N uptake by plants is computed in **subroutine casa_nuptake.** The dC and dN values calculated here are used by **subroutine CASA-CNPcycle** to update the pools.

$$
casapool\%dcplantdt(npt,nP) = casaflux\%Cnpp(npt)*casaflux\%fracCalloc(npt,nP)
$$
$$
-casaflux\%kplant(npt,nP)*casapool\%cplant(npt,nP)
$$

**Calculate fraction C to labile pool <mark>as a fraction of GPP</mark>, not NPP.**

$$
casapool\%dClabiledt(npt) = casaflux\%Cgpp(npt)*casaflux\%fracClabile(npt)
$$
$$
-casaflux\%clabloss(npt)
$$

Where *casaflux%fracClabile(npt)* was calculated in **subroutine casa_xnp,** and *casaflux%clabloss(npt)* was calculated in **subroutine casa_rplant**.

**Carbon transfers from plant to litter.**

These appear to be output variables only and are not used in subsequent calculations.

$$
cleaf2met(npt) = casaflux\%fromPtoL(npt,metb,leaf)*casaflux\%kplant(npt,leaf)
$$
$$
*casapool\%cplant(npt,leaf)
$$
$$
cleaf2str(npt) = casaflux\%fromPtoL(npt,str,leaf)*casaflux\%kplant(npt,leaf)
$$
$$
*casapool\%cplant(npt,leaf)
$$
$$
croot2met(npt) = casaflux\%fromPtoL(npt,metb,froot)*casaflux\%kplant(npt,froot)
$$
$$
*casapool\%cplant(npt,froot)
$$
$$
croot2str(npt) = casaflux\%fromPtoL(npt,str,froot)*casaflux\%kplant(npt,froot)
$$
$$
*casapool\%cplant(npt,froot)
$$
$$
cwood2cwd(npt) = casaflux\%fromPtoL(npt,cwd,wood)*casaflux\%kplant(npt,wood)
$$
$$
*casapool\%cplant(npt,wood)
$$

**Change in plant N pools (icycle > 1 only)**

$$casapool\%\,dNplantdt\,(npt,leaf\,) = \begin{cases} -casaflux\%\,kplant\,(npt,leaf\,)*casapool\%\,Nplant\,(npt,leaf\,), \\ \qquad\qquad\qquad if\ casaflux\%\,fracNalloc\,(npt,leaf\,)=0.0 \\ \\ -casaflux\%\,kplant\,(npt,leaf\,)*casapool\%\,Nplant\,(npt,leaf\,) \\ *casabiome\%\,ftransNPtoL\,(iveg\,(npt\,),leaf\,), \\ \qquad\qquad\qquad otherwise \end{cases}$$

$$casapool\%\,dNplantdt\,(npt,wood\,) = -casaflux\%\,kplant\,(npt,wood\,)*casapool\%\,Nplant\,(npt,wood\,)$$
$$*casabiome\%\,ftransNPtoL\,(iveg\,(npt\,),wood\,)$$

$$casapool\%\,dNplantdt\,(npt,froot\,) = -casaflux\%\,kplant\,(npt,froot\,)*casapool\%\,Nplant\,(npt,froot\,)$$
$$*casabiome\%\,ftransNPtoL\,(iveg\,(npt\,),froot\,)$$

Where *casabiome%ftransNPtoL(npt,nP)* are biome-specific transfer rates from plant to litter

### Nitrogen transfers from plant to litter.

These appear to be output variables only and are not used in subsequent calculations.

$$nleaf2str\,(npt\,) = casaflux\%\,fromPtoL\,(npt,str,leaf\,)*casaflux\%\,kplant\,(npt,leaf\,)$$
$$*casapool\%\,cplant\,(npt,leaf\,)*ratioNCstrfix$$
$$nroot2str\,(npt\,) = casaflux\%\,fromPtoL\,(npt,str,froot\,)*casaflux\%\,kplant\,(npt,froot\,)$$
$$*casapool\%\,cplant\,(npt,froot\,)*ratioNCstrfix$$
$$nleaf2met\,(npt\,) = -casapool\%\,dNplantdt\,(npt,leaf\,)-nleaf2str\,(npt\,)$$
$$nroot2met\,(npt\,) = -casapool\%\,dNplantdt\,(npt,froot\,)-nroot2str\,(npt\,)$$
$$nwood2cwd\,(npt\,) = -casapool\%\,dNplantdt\,(npt,wood\,)$$

Where:

> *ratioNCstrfix* – fixed N:C ratio of structural material (1/150)

> *casaflux%kplant(npt,nP)* – senescence rate of plant pool *nP* (1/day), calculated in **subroutine coeffplant**.
> *casaflux%fromPtoL(npt,nL,nP)* – fraction of senesced plant biomass from plant pool *nP* transferred to litter pool *nL*, calculated in **subroutine casa_coeffplant**.

Total C flux to litter is sum of all transfers of dead plant material to litter.

$$casaflux\% FluxCtolitter(npt, nL) = \sum_{nL} \sum_{nP} \begin{pmatrix} casaflux\% fromPtoL(npt, nL, nP) \\ *casaflux\% kplant(npt, nP) \\ *casapool\% cplant(npt, nP) \end{pmatrix}$$

**N fluxes to Litter Pools (icycle > 1 only)**

Total N flux to structural and metabolic litter and coarse woody debris is sum of all transfers of dead plant material to these litter pools.

**N flux to structural litter pool**

$$casaflux\% FluxNtolitter(npt, str) = casaflux\% fromPtoL(npt, str, leaf)$$
$$*casaflux\% kplant(npt, leaf)$$
$$*casapool\% cplant(npt, leaf)$$
$$*ratioNCstrfix$$
$$+ casaflux\% fromPtoL(npt, str, froot)$$
$$*casaflux\% kplant(npt, froot)$$
$$*casapool\% cplant(npt, froot)$$
$$*ratioNCstrfix$$

Where:

       *ratioNCstrfix* – fixed N:C ratio of structural material (1/150).

       *casaflux%kplant(npt,nP)* – senescence rate of plant pool *nP* (1/day), calculated in **subroutine coeffplant**.
       *casaflux%fromPtoL(npt,nL,nP)* – fraction of senesced plant biomass from plant pool *nP*
              transferred to litter pool *nL*, calculated in **subroutine casa_coeffplant**.

**N flux to metabolic litter pool**

$$casaflux\% FluxNtolitter(npt, metb) = -casapool\% dNplantdt(npt, leaf)$$
$$-casapool\% dNplantdt(npt, froot)$$
$$-casaflux\% FluxNtolitter(npt, str)$$

**N flux to coarse wood debris pool**

$$casaflux\% FluxNtolitter(npt, cwd) = -casapool\% dNplantdt(npt, wood)$$

$$casapool\%dNplantdt\left(npt,:\right)=\sum_{nP}casaflux\%Nminuptake\left(npt\right)*casaflux\%fracNalloc\left(npt,nP\right)$$

where *casaflux%Nminuptake(npt)* is total mineral N uptake calculated in **subroutine casa_nuptake**, and *casaflux%fracNalloc(npt,nP)* is the fraction of N allocation to each plant pool, calculated in **subroutine casa_nuptake.**

**END SUBROUTINE casa_delplant**

---

### 4.4.13  Update Litter and Soil Pools: (14)  SUBROUTINE casa_delsoil

This subroutine calculates changes in litter and soil pools. The dC and dN values calculated here are used by **subroutine CASA-CNPcycle** to update the pools.

**Carbon**

$CO_2$ fluxes from litter decomposition.

$$casaflux\%fluxCtoCO2\left(npt\right)=\sum_{nL}\left(\begin{array}{l}casaflux\%fromLtoCO2\left(npt,nL\right)\\ *casaflux\%klitter\left(npt,nL\right)*casapool\%clitter\left(npt,nL\right)\end{array}\right)$$

C fluxes when a litter pool (*nL*) decomposes into an SOM pool (*nS*)

$$casaflux\%fluxCtosoil\left(npt,nS\right)=\sum_{nL}\left(\begin{array}{l}casaflux\%fromLtoS\left(npt,nS,nL\right)\\ *casaflux\%klitter\left(npt,nL\right)*casapool\%clitter\left(npt,nL\right)\end{array}\right)$$

$CO_2$ fluxes when one SOM pool (*nSS*) decomposes into another SOM pool (*nS*)

$$casaflux\%fluxCtoCO2\left(npt\right)+=\sum_{nS}\left(\begin{array}{l}casaflux\%fromStoCO2\left(npt,nS\right)\\ *casaflux\%ksoil\left(npt,nS\right)*casapool\%csoil\left(npt,nS\right)\end{array}\right)$$

C fluxes when one SOM pool (*nSS*) decomposes into another SOM pool (*nS*)

$$casaflux\% \, fluxCtosoil\left(npt,nS\right) + = \sum_{nSS \neq nS} \left( \begin{array}{l} casaflux\% \, fromStoS\left(npt,nS,nSS\right) \\ *casaflux\% \, ksoil\left(npt,nSS\right)*casapool\% \, csoil\left(npt,nSS\right) \end{array} \right)$$

Where the following where computed in **subroutine casa_coefsoil**:

*casaflux%klitter(npt,nL)*:  decomposition rate of litter pool *nL* (1/day)

*casaflux%ksoil(npt,nS):*   decomposition rate of soil pool *nS* (1/day)

*casaflux%fromLtoCO2(npt,nL):* <u>fraction</u> of decomposed litter C pool *nL* emitted as $CO_2$

*casaflux%fromLtoS(npt,mL,nS):* <u>fraction</u> of decomposed litter pool *nL* to soil pool *nS*

*casaflux%fromStoCO2(npt,nL):*  <u>fraction</u> of decomposed soil C pool *nS* emitted as $CO_2$

*casaflux%fromStoS(npt,nSS,nS):* <u>fraction</u> of decomposed soil pool *nS* to another soil pool *nSS*

## <span style="color:green">Nitrogen (icycle > 1 only)</span>

Many of these calculations are similar to those in **subroutine casa_xkN**.  However, after that function was called, *casaflux%klitter(npt,nL)* was multiplied by *xkNlimiting(npt)* (0.0 – 1.0) to reduce the litter decomposition rate if N was limiting. <mark>The value of *casaflux%ksoil(npt,nL)* was not changed.</mark>

### <span style="color:green">Mineral N fluxes</span>

*casaflux%Nlittermin(npt)* –  gross mineralization from decomposition of litter pools (positive) (g N m$^{-2}$ d$^{-1}$)

*casaflux%Nsmin(npt)* –  gross mineralization from decomposition of soil pools (positive) (g N m$^{-2}$ d$^{-1}$)

*casaflux%Nsimm(npt)* –  immobilization from litter and soil C flows to destination soil pools (negative) (g N m$^{-2}$ d$^{-1}$)

*casaflux%Nsnet(npt)* – net mineralization (gross mineralization – immobilization), negative for net microbial uptake and positive for net release of mineral N.

### <span style="color:green">Gross mineralization from decomposition of litter</span>

$$casaflux\% \, Nlittermin\left(npt\right) = \sum_{nL} casaflux\% \, klitter\left(npt,nL\right)*casapool\% \, Nlitter\left(npt,nL\right)$$

### <span style="color:green">Gross mineralization from decomposition of soil organic matter</span>

$$casaflux\% \, Nsmin\left(npt\right) = \sum_{nS} casaflux\% \, ksoil\left(npt,nS\right) *casapool\% \, Nsoil\left(npt,nS\right)$$

### <span style="color:green">Immobilisation from litter to soil (from mLto nS)</span>

$$casaflux\% \, Nsimm\left(npt\right) = \sum_{nS} \sum_{nL} \begin{pmatrix} -casaflux\% \, fromLtoS\left(npt,nS,nL\right) \\ *casaflux\% \, klitter\left(npt,nL\right) \\ *casapool\% \, Clitter\left(npt,nL\right) \\ *casapool\% \, ratioNCsoilnew\left(npt,nS\right) \end{pmatrix}$$

**Immobilisation from soil to soil (from nSS to nS)**

$$casaflux\% \, Nsimm\left(npt\right) \; + = \sum_{nS} \sum_{nSS \neq nS} \begin{pmatrix} -casaflux\% \, fromStoS\left(npt,nS,nSS\right) \\ *casaflux\% \, ksoil\left(npt,nSS\right) \\ *casapool\% \, Csoil\left(npt,nSS\right) \\ *casapool\% \, ratioNCsoilnew\left(npt,nS\right) \end{pmatrix}$$

**Net mineralization (gross litter mineralization + gross soil mineralization – immobilization)**

$$casaflux\% \, Nsnet\left(npt\right) = casaflux\% \, Nlittermin\left(npt\right) + casaflux\% \, Nsmin\left(npt\right) + casaflux\% \, Nsimm\left(npt\right)$$

**Mineral N losses from volatilization(?) and leaching**

IF(casapool%Nsoilmin(npt) > 2.0 AND casamet%tsoilavg(npt) > 273.12) THEN

$$casaflux\% \, Nminloss\left(npt\right) = casaflux\% \, fNminloss\left(npt\right) * MAX\left(0.0, casaflux\% \, Nsnet\left(npt\right)\right)$$
$$casaflux\% \, Nminleach\left(npt\right) = casaflux\% \, fNminleach\left(npt\right) * MAX\left(0.0, casapool\% \, Nsoilmin\left(npt\right)\right)$$

ELSE

$$casaflux\% \, Nminloss\left(npt\right) = casaflux\% \, fNminloss\left(npt\right)$$
$$*MAX\left(0.0, casaflux\% \, Nsnet\left(npt\right)\right)$$
$$*MAX\left(0.0, \frac{casapool\% \, Nsoilmin\left(npt\right)}{2.0}\right)$$

$$casaflux\% \, Nminleach\left(npt\right) = casaflux\% \, fNminleach\left(npt\right)$$
$$*MAX\left(0.0, casapool\% \, Nsoilmin\left(npt\right)\right)$$
$$*MAX\left(0.0, \frac{casapool\% \, Nsoilmin\left(npt\right)}{2.0}\right)$$

I have a question about 2 calculations above. Units are (fraction) * (g N m$^{-2}$ d$^{-1}$) * (g N m$^{-2}$)

Where:

*casaflux%fNminloss(npt)* – biome-specific rate of mineral N loss (fraction) (set to 0.05 for all biomes)

*casaflux%fNminleach(npt)* – biome-specific N leaching rate (1/day) (set to 0.05*10/365 for all biomes)

## Total N fluxes to soil organic pools

$$casaflux\%\,FluxNtosoil(npt,nS) = \sum_{nL}\sum_{nS}\left(\begin{array}{l} casaflux\%\,fromLtoS(npt,nS,nL) \\ *casaflux\%\,klitter(npt,nL) \\ *casapool\%\,Clitter(npt,nL) \\ *casapool\%\,ratioNCsoilnew(npt,nS) \end{array}\right)$$

$$casaflux\%\,FluxNtosoil(npt,nS)\ += \sum_{nS}\sum_{nSS\neq nS}\left(\begin{array}{l} casaflux\%\,fromStoS(npt,nS,nSS) \\ *casaflux\%\,ksoil(npt,nSS) \\ *casapool\%\,Csoil(npt,nSS) \\ *casapool\%\,ratioNCsoilnew(npt,nS) \end{array}\right)$$

## Change in litter C pools

$$casapool\%\,dClitterdt(npt,nL) = casaflux\%\,fluxCtolitter(npt,nL)$$
$$-casaflux\%\,klitter(npt,nL)*casapool\%\,clitter(npt,nL)$$

## Change in soil C pools

$$casapool\%\,dCsoildt(npt,nS) = casaflux\%\,fluxCtosoil(npt,nS)$$
$$-casaflux\%\,ksoil(npt,nS)*casapool\%\,csoil(npt,nS)$$

## Heterotrophic Respiration

$$casaflux\%\,Crsoil(npt) = casaflux\%\,fluxCtoCO2(npt)$$

**Nitrogen (icycle > 1 only)**

**Changes to litter and soil organic matter N pools**

$$casapool\%dNlitterdt\left(npt,nL\right)=casaflux\%FluxNtolitter\left(npt,nL\right)$$
$$-casaflux\%klitter\left(npt,nL\right)*casapool\%Nlitter\left(npt,nL\right)$$

$$casapool\%dNsoildt\left(npt,nS\right)=casaflux\%FluxNtosoil\left(npt,nS\right)$$
$$-casaflux\%ksoil\left(npt,nS\right)*casapool\%Nsoil\left(npt,nS\right)$$

Where:

*casaflux%FluxNtolitter(npt)* – Total N flux to litter, calculated in **subroutine casa_delplant** which is called right before this subroutine (g N m$^{-2}$ d$^{-1}$)

*casaflux%FluxNtosoil(npt)* – Total N flux to soil, calculated above (g N m$^{-2}$ d$^{-1}$)

**Changes to mineral N pool**

$$casapool\%dNsoilmindt\left(npt\right)=casaflux\%Nsnet\left(npt\right)$$
$$+casaflux\%Nmindep\left(npt\right)$$
$$+casaflux\%Nminfix\left(npt\right)$$
$$-casaflux\%Nminloss\left(npt\right)$$
$$-casaflux\%Nminleach\left(npt\right)$$
$$-casaflux\%Nupland\left(npt\right)$$

Where:

*casaflux%Nsnet(npt)* – net mineralization, calculated above (g N m$^{-2}$ d$^{-1}$)

*casaflux%Nmindep(npt)* – atmospheric N deposition rate from CLM (g N m$^{-2}$ d$^{-1}$)

*casaflux%Nminfix(npt)* – biome-specific N fixation rate (g N m$^{-2}$ d$^{-1}$)

*casaflux%fNminloss(npt)* – mineral N losses from volatilization, calculated above (g N m$^{-2}$ d$^{-1}$).

*casaflux%fNminleach(npt)* – mineral N losses from leaching, calculated above (g N m$^{-2}$ d$^{-1}$).

*casaflux%Nupland(npt)* – Total mineral N uptake by plants, calculated in **subroutine casa_nuptake** (g N m$^{-2}$ d$^{-1}$).

**END SUBROUTINE casa_delsoil**

---

### 4.4.14  Final Daily Update to all Pools: (15) SUBROUTINE casa_cnpcycle

This subroutine updates all pool sizes. The value of deltpool = 1 (for daily). The dC and dN values are calculated in **subroutine casa_delplant** and **subroutine casa_delsoil**.

**Update Plant Carbon Pools**

*casapool%cplant(npt, nP) = casapool%cplant(npt, nP) + casapool%dCplantdt(npt, nP) * deltpool*

*casapool%clabile(npt) = casapool%clabile(npt) + casapool%dClabiledt(npt) * deltpool*

*casamet%glai(npt) = MAX(casabiome%glaimin(pft), casabiome%sla(pft) * casapool%cplant(npt,leaf))*

*casamet%glai(npt) = MIN(casabiome%glaimax(pft), casamet%glai(npt))*

**Update Litter and Soil Carbon Pools**

*casapool%clitter(npt, nL) = casapool%clitter(npt, nL) + casapool%dClitterdt(npt, nL) * deltpool*

*casapool%csoil(npt, nS) = casapool%csoil(npt, nS) + casapool%dCsoildt(npt, nS) * deltpool*

**Update Plant Nitrogen Pools (icycle > 1 only)**

IF(*casapool%cplant(npt,leaf)* > 0.0) THEN

   *casapool%Nplant(npt,:) = casapool%Nplant(npt,:) + casapool%dNplantdt(npt,:)*deltpool*

**Update Litter and Soil Nitrogen Pools (icycle > 1 only)**

*casapool%Nlitter(npt, nL) = casapool%Nlitter(npt, nL) + casapool%dNlitterdt(npt, nL) * deltpool*

*casapool%Nsoil(npt, nS) = casapool%Nsoil(npt, nS) + casapool%dNsoildt(npt, nS) * deltpool*

*casapool%Nsoilmin(npt) = casapool%Nsoilmin(npt) + casapool%dNsoilmindt(npt) * deltpool*

After the above calculations, any negative C or N pools are set to zero without adjusting the N and C balances. CASA-CNP will also produce a warning message when a negative pool is set to zero.

**END SUBROUTINE casa_cnpcycle**

---

# 5  Guidelines for adding additional SOM models to the testbed

- Convert all code to FORTRAN 90
- If the model is a point model, then create a structure that will contain all model variables for each point. This was done for the CORPSE model to avoid modifying the original code (see type gridcell in corpse_variable.f90)
- Create model-specific versions of casa_coeffplant, casa_xratesoil, casa_delplant, casa_delsoil, casa_cnpcycle s needed. See "Overview of subroutine biogeochem" below.
- Create model_inout.f90 to
  - read model-specific parameter files
  - read model restart files to initialize model variables
  - compute average annual pools and fluxes before output (once at the end-of-year)
  - output model-specific daily and annual variables to netCDF files

## 5.1   Overview of subroutine biogeochem with calls to MIMICS and CORPSE models

There are several CASA-CNP subroutines that have been modified to work with the MIMICS and CORPSE models. The numbers in front of subroutines indicate their calling position in **subroutine biogeochem** (casa_inout.f90) which is called once a day. The MIMICS subroutines will be found in mimics_cycle.f90, CORPSE subroutines are found in corpse_cycle.f90, and all CASA-CNP subroutines are found in casa_cnp.f90.

1. **phenology** – Determine current phase for each gridcell: 0=minimalLAI, 1=greenup, 2=steady LAI, 3=senescence
2. **avgsoil** – Calculate average soil moisture, average soil temperature across soil layers
3. **casa_rplant** – Calculate maintenance respiration of woody tissue and fine roots, plant growth respiration, and nutrient unlimited NPP.
4. **casa_allocation** – Compute fraction of new C allocated to each plant part.
5. **casa_xrateplant** – Account for cold and drought stress on death rate of leaf: *xleafcold*, *xleafdry*
6. **if running CASA-CNP SOM model (isomModel == 1)**
   a. **casa_coeffplant** – Calculate plant litter fall rates (1/day) and the transfer coefficients between plant and litter pools.
   b. **casa_xnp** – Compute nutrient limitation on NPP.
   c. **casa_xratesoil** – Account for effects of temperature and water on litter and soil decomposition: *xklitter*, *xksoil*
   d. **casa_coeffsoil** – Calculate the litter and SOM decomposition rates (1/day), respiration rates (fraction of flow), and the transfer coefficients between litter and soil pools, and between soil pools.
   e. **These subroutines aren't used if icycle=1 (C only).**
      i. **casa_xkN** – Calls Nrequire to compute max and min N uptake and translocation by plant part. Computes the reduction in plant N and P uptake when N is limiting.
      ii. **casa_nuptake** – Calls Nrequire to compute max and min N uptake and translocation by plant part. (1) compute N uptake by plants; (2) allocation of uptaken N to plant pools.
      iii. **casa_puptake** – Calls Prequire to compute max and min P uptake and translocation by plant part. (1) compute  P uptake by plants; (2) allocation of uptaken P to plants
   f. **casa_delplant** – Calculate ΔC, ΔN, and ΔP for plant parts and litter pools

g. **casa_delsoil** – Calculate heterotrophic respiration fluxes from litter and soil decomposition.  Calculate gross and net mineralization.
h. **casa_cnpcycle** – Update all pool sizes.  Reset negative pools to zero by calling **poolzero**.

7. **if running MIMICS (isomModel == 2)**
   a. call mimics subroutines **to** update all litter, microbial, and SOM pools for the day with an hourly timestep.  Calculate heterotrophic respiration also. Pertinent code was copied from casa_coeffplant, casa_xratesoil, casa_delplant, casa_delsoil, casa_cnpcycle.
      i. **call mimics_coeffplant** – MIMICS uses some of its own parameters to partition plant litter into structural and metabolic litter. The computations for casaflux%fromPtoL(:,metb,leaf) and casaflux%fromPtoL(:,metb,froot) have therefore been modified.
      ii. **call mimics_xratesoil** – adds the casaflux%fromLtoCO2(npt,cwd) caluation (fraction of CWD decomposition that goes to heterotrophic respiration) that is found in casa_coeffsoil
      iii. **call mimics_delplant** – Computes C-only values. Computes MIMICS output variables for litter inputs. Computes mimicsbiome%fmet(npt). Adds MIMICS fAVAL, fCHEM, and fPHYS calculations because fCHEM (and therefore fPHYS and fAVAL) depend on themimicsbiome%fmet(npt) calculation.
      iv. **call mimics_soil_reverseMM** – the MIMICS SOC model. The hourly timestep is inside this subroutine.
      v. **call mimics_ccycle** – similar to casa_cnpcycle but removes N,P pool updates.
      vi. **call mimics_caccum** – accumulate annual fluxes and convert units from mgC/cm3 to gC/m2.

8. **if running CORPSE (isomModel == 3)**
   a. call CORPSE subroutines **to** update all litter, microbial, and SOM pools for the day with a daily timestep.
      i. **call casa_coeffplant** (uses same subroutine as CASA-CNP)
      ii. **call mimics_xratesoil** (uses same subroutine as mimics)
      iii. **call corpse_delplant** – Computes C-only values. Computes CASA-CNP output variables for litter inputs.
      iv. **call corpse_soil** – the CORPSE SOC model
             **calls corpse_caccum -** – accumulate annual fluxes
      v. **call mimics_ccycle** (uses same subroutine as mimics)

9. IF (icycle<3) call **casa_pdummy(casapool)**   ! Update Pplant based on N:P ratio
10. IF (icycle<2) call **casa_ndummy(casapool)**   ! Update Nplant based on N:C ratio
11. **call casa_cnpbal** (*casa_input.f90*)
12. **call casa_cnpflux** (*casa_input.f90*) – Accumulates daily CASA-CNP fluxes.  The annual average is calculated subroutine casa_fluxout*.
13. **call casa_cnppool** (*casa_input.f90*) – Accumulates daily CASA-CNP pool values to be averaged at the end of the year. The annual average is calculated subroutine casa_poolout*.

*MIMICS and CORPSE: **mimics_caccum** and **corpse_caccum** are model-specific counterparts to casa_cnpflux and casa_cnppool. At the end of each simulation year, casa_fluxout, casa_poolout, mimics_poolfluxout and corpse_poolfluxout are called from subroutine CASA-CNPdriver.

# 6   Conversions

## 6.1 g C m$^{-2}$ to mg C cm$^{-3}$

$$\frac{\text{g C}}{\text{m}^2} * \frac{10^3 \ \text{mg C}}{\text{g C}} * \frac{\text{m}^2}{10^4 \ \text{cm}^2} * \frac{1}{\text{depth (cm)}} = \frac{\text{mg C}}{\text{cm}^3}$$

## 6.2 mg C cm$^{-3}$ to gC m$^{-2}$

$$\frac{\text{mg C}}{\text{cm}^3} * \frac{10^4 \ \text{cm}^2}{\text{m}^2} * \frac{\text{g C}}{10^3 \ \text{mg C}} * \text{depth (cm)} = \frac{\text{g C}}{\text{m}^2}$$

# 7 Output NetCDF files

The following sections document output produced by "ncdump -h *model*_pool_flux.nc", where *model* = CASA-CNP, mimics, or corpse.  Some of the global attributes are specific to the run-time options selected.

## 7.1 casaclm_pool_flux.nc

```
netcdf casaclm_pool_flux {
dimensions:
        lon = 144 ;
        lat = 96 ;
        time = UNLIMITED ; // (1 currently)
variables:
        float lon(lon) ;
                lon:long_name = "coordinate longitude" ;
                lon:units = "degrees_east" ;
        float lat(lat) ;
                lat:long_name = "coordinate latitude" ;
                lat:units = "degrees_north" ;
        float time(time) ;
                time:long_name = "coordinate time" ;
                time:units = "1..ntimes" ;
        int IGBP_PFT(lat, lon) ;
                IGBP_PFT:long_name = "IGBP PFT classification" ;
                IGBP_PFT:units = "1-18" ;
                IGBP_PFT:_FillValue = -9999 ;
                IGBP_PFT:missing_value = -9999 ;
        float landarea(lat, lon) ;
                landarea:long_name = "land area, icewater set to 0.0" ;
                landarea:units = "km^2" ;
                landarea:_FillValue = 1.e+36f ;
```

```
        landarea:missing_value = 1.e+36f ;
int cellMissing(lat, lon) ;
        cellMissing:long_name = "Missing Data Mask" ;
        cellMissing:units = "0=no missing data, 1=missing data" ;
int cellid(lat, lon) ;
        cellid:long_name = "Grid Cell ID" ;
        cellid:units = "1..nlat*nlon" ;
float cresp(time, lat, lon) ;
        cresp:long_name = "soil heterotrophic respiration" ;
        cresp:units = "gC m-2 year-1" ;
        cresp:_FillValue = 1.e+36f ;
        cresp:missing_value = 1.e+36f ;
float cnpp(time, lat, lon) ;
        cnpp:long_name = "net primary production" ;
        cnpp:units = "gC m-2 year-1" ;
        cnpp:_FillValue = 1.e+36f ;
        cnpp:missing_value = 1.e+36f ;
float cgpp(time, lat, lon) ;
        cgpp:long_name = "gross primary production" ;
        cgpp:units = "gC m-2 year-1" ;
        cgpp:_FillValue = 1.e+36f ;
        cgpp:missing_value = 1.e+36f ;
float cleaf(time, lat, lon) ;
        cleaf:long_name = "leaf carbon" ;
        cleaf:units = "gC m-2" ;
        cleaf:_FillValue = 1.e+36f ;
        cleaf:missing_value = 1.e+36f ;
float nleaf(time, lat, lon) ;
        nleaf:long_name = "leaf nitrogen" ;
        nleaf:units = "gN m-2" ;
        nleaf:_FillValue = 1.e+36f ;
        nleaf:missing_value = 1.e+36f ;
float cwood(time, lat, lon) ;
        cwood:long_name = "wood carbon" ;
        cwood:units = "gC m-2" ;
        cwood:_FillValue = 1.e+36f ;
        cwood:missing_value = 1.e+36f ;
float nwood(time, lat, lon) ;
        nwood:long_name = "wood nitrogen" ;
        nwood:units = "gN m-2" ;
        nwood:_FillValue = 1.e+36f ;
        nwood:missing_value = 1.e+36f ;
float cfroot(time, lat, lon) ;
        cfroot:long_name = "fine root carbon" ;
        cfroot:units = "gC m-2" ;
        cfroot:_FillValue = 1.e+36f ;
        cfroot:missing_value = 1.e+36f ;
float nfroot(time, lat, lon) ;
```

```
        nfroot:long_name = "fine root nitrogen" ;
        nfroot:units = "gN m-2" ;
        nfroot:_FillValue = 1.e+36f ;
        nfroot:missing_value = 1.e+36f ;
float clitmetb(time, lat, lon) ;
        clitmetb:long_name = "metabolic litter carbon" ;
        clitmetb:units = "gC m-2" ;
        clitmetb:_FillValue = 1.e+36f ;
        clitmetb:missing_value = 1.e+36f ;
float nlitmetb(time, lat, lon) ;
        nlitmetb:long_name = "metabolic litter nitrogen" ;
        nlitmetb:units = "gN m-2" ;
        nlitmetb:_FillValue = 1.e+36f ;
        nlitmetb:missing_value = 1.e+36f ;
float clitstr(time, lat, lon) ;
        clitstr:long_name = "structural litter carbon" ;
        clitstr:units = "gC m-2" ;
        clitstr:_FillValue = 1.e+36f ;
        clitstr:missing_value = 1.e+36f ;
float nlitstr(time, lat, lon) ;
        nlitstr:long_name = "structural litter nitrogen" ;
        nlitstr:units = "gN m-2" ;
        nlitstr:_FillValue = 1.e+36f ;
        nlitstr:missing_value = 1.e+36f ;
float clitcwd(time, lat, lon) ;
        clitcwd:long_name = "coarse woody debris carbon" ;
        clitcwd:units = "gC m-2" ;
        clitcwd:_FillValue = 1.e+36f ;
        clitcwd:missing_value = 1.e+36f ;
float nlitcwd(time, lat, lon) ;
        nlitcwd:long_name = "coarse woody debris nitrogen" ;
        nlitcwd:units = "gN m-2" ;
        nlitcwd:_FillValue = 1.e+36f ;
        nlitcwd:missing_value = 1.e+36f ;
float csoilmic(time, lat, lon) ;
        csoilmic:long_name = "microbial soil organic matter carbon" ;
        csoilmic:units = "gC m-2" ;
        csoilmic:_FillValue = 1.e+36f ;
        csoilmic:missing_value = 1.e+36f ;
float nsoilmic(time, lat, lon) ;
        nsoilmic:long_name = "microbial soil organic matter nitrogen" ;
        nsoilmic:units = "gN m-2" ;
        nsoilmic:_FillValue = 1.e+36f ;
        nsoilmic:missing_value = 1.e+36f ;
float csoilslow(time, lat, lon) ;
        csoilslow:long_name = "slow soil organic matter carbon" ;
        csoilslow:units = "gC m-2" ;
        csoilslow:_FillValue = 1.e+36f ;
```

```
                csoilslow:missing_value = 1.e+36f ;
        float nsoilslow(time, lat, lon) ;
                nsoilslow:long_name = "slow soil organic matter nitrogen" ;
                nsoilslow:units = "gN m-2" ;
                nsoilslow:_FillValue = 1.e+36f ;
                nsoilslow:missing_value = 1.e+36f ;
        float csoilpass(time, lat, lon) ;
                csoilpass:long_name = "passive soil organic matter carbon" ;
                csoilpass:units = "gC m-2" ;
                csoilpass:_FillValue = 1.e+36f ;
                csoilpass:missing_value = 1.e+36f ;
        float nsoilpass(time, lat, lon) ;
                nsoilpass:long_name = "passive soil organic matter nitrogen" ;
                nsoilpass:units = "gN m-2" ;
                nsoilpass:_FillValue = 1.e+36f ;
                nsoilpass:missing_value = 1.e+36f ;
        float tairC(time, lat, lon) ;
                tairC:long_name = "mean annual air temperature" ;
                tairC:units = "degrees C" ;
                tairC:_FillValue = 1.e+36f ;
                tairC:missing_value = 1.e+36f ;
        float tsoilC(time, lat, lon) ;
                tsoilC:long_name = "mean annual soil temperature in top 50 cm" ;
                tsoilC:units = "degrees C" ;
                tsoilC:_FillValue = 1.e+36f ;
                tsoilC:missing_value = 1.e+36f ;
        float litInptMet(time, lat, lon) ;
                litInptMet:long_name = "Metabolic Litter Inputs" ;
                litInptMet:units = "gC m-2 yr-1" ;
                litInptMet:_FillValue = 1.e+36f ;
                litInptMet:missing_value = 1.e+36f ;
        float litInptStruc(time, lat, lon) ;
                litInptStruc:long_name = "Structural Litter Inputs" ;
                litInptStruc:units = "gC m-2 yr-1" ;
                litInptStruc:_FillValue = 1.e+36f ;
                litInptStruc:missing_value = 1.e+36f ;

// global attributes:
                :title = "CASA-CNP model output" ;
                :comment = "NOTE: None of the variables are weighted by land fraction!" ;
                :history = "created on 03/01/2017 02:36:51" ;
                :source = "CASA-CNP Model" ;
                :parameters = "./pftlookup_igbp_updated4.csv" ;
}
```

## 7.2   mimics_pool_flux.nc

```
netcdf mimics_pool_flux {
dimensions:
        lon = 144 ;
        lat = 96 ;
        time = UNLIMITED ; // (1 currently)
variables:
        float lon(lon) ;
                lon:long_name = "coordinate longitude" ;
                lon:units = "degrees_east" ;
        float lat(lat) ;
                lat:long_name = "coordinate latitude" ;
                lat:units = "degrees_north" ;
        float time(time) ;
                time:long_name = "coordinate time" ;
                time:units = "1..ntimes" ;
        int IGBP_PFT(lat, lon) ;
                IGBP_PFT:long_name = "IGBP PFT classification" ;
                IGBP_PFT:units = "1-18" ;
                IGBP_PFT:_FillValue = -9999 ;
                IGBP_PFT:missing_value = -9999 ;
        float landarea(lat, lon) ;
                landarea:long_name = "land area, icewater set to 0.0" ;
                landarea:units = "km^2" ;
                landarea:_FillValue = 1.e+36f ;
                landarea:missing_value = 1.e+36f ;
        int cellMissing(lat, lon) ;
                cellMissing:long_name = "Missing Data Mask" ;
                cellMissing:units = "0=no missing data, 1=missing data" ;
        int cellid(lat, lon) ;
                cellid:long_name = "Grid Cell ID" ;
                cellid:units = "1..nlat*nlon" ;
        float cLITm(time, lat, lon) ;
                cLITm:long_name = "metabolic litter carbon" ;
                cLITm:units = "gC m-2" ;
                cLITm:_FillValue = 1.e+36f ;
                cLITm:missing_value = 1.e+36f ;
        float cLITs(time, lat, lon) ;
                cLITs:long_name = "structural litter carbon" ;
                cLITs:units = "gC m-2" ;
                cLITs:_FillValue = 1.e+36f ;
                cLITs:missing_value = 1.e+36f ;
        float cMICr(time, lat, lon) ;
                cMICr:long_name = "r-selected microbial soil organic matter carbon" ;
                cMICr:units = "gC m-2" ;
                cMICr:_FillValue = 1.e+36f ;
                cMICr:missing_value = 1.e+36f ;
        float cMICk(time, lat, lon) ;
                cMICk:long_name = "k-selected microbial soil organic matter carbon" ;
```

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cMICk:units = "gC m-2" ;
cMICk:_FillValue = 1.e+36f ;
cMICk:missing_value = 1.e+36f ;
float cSOMa(time, lat, lon) ;
cSOMa:long_name = "active soil organic matter carbon" ;
cSOMa:units = "gC m-2" ;
cSOMa:_FillValue = 1.e+36f ;
cSOMa:missing_value = 1.e+36f ;
float cSOMc(time, lat, lon) ;
cSOMc:long_name = "chemically protected soil organic matter carbon" ;
cSOMc:units = "gC m-2" ;
cSOMc:_FillValue = 1.e+36f ;
cSOMc:missing_value = 1.e+36f ;
float cSOMp(time, lat, lon) ;
cSOMp:long_name = "physically protected soil organic matter carbon" ;
cSOMp:units = "gC m-2" ;
cSOMp:_FillValue = 1.e+36f ;
cSOMp:missing_value = 1.e+36f ;
float cHresp(time, lat, lon) ;
cHresp:long_name = "soil heterotrophic respiration" ;
cHresp:units = "gC m-2 year-1" ;
cHresp:_FillValue = 1.e+36f ;
cHresp:missing_value = 1.e+36f ;
float cLitInput_metbc(time, lat, lon) ;
cLitInput_metbc:long_name = "metabolic litter inputs" ;
cLitInput_metbc:units = "gC m-2 year-1" ;
cLitInput_metbc:_FillValue = 1.e+36f ;
cLitInput_metbc:missing_value = 1.e+36f ;
float cLitInput_struc(time, lat, lon) ;
cLitInput_struc:long_name = "structural litter inputs" ;
cLitInput_struc:units = "gC m-2 year-1" ;
cLitInput_struc:_FillValue = 1.e+36f ;
cLitInput_struc:missing_value = 1.e+36f ;

// global attributes:
:title = "MIMICS model output" ;
:comment = "NOTE: None of the variables are weighted by land fraction!" ;
:history = "created on 03/01/2017 02:36:51" ;
:source = "MIMICS Model" ;
:parameters = "./pftlookup_LIDET-MIM-REV_lowerKM_v11.09.2016_e.csv" ;
}


## 7.3   corpse_pool_flux.nc

netcdf corpse_pool_flux_6300 {
dimensions:
time = UNLIMITED ; // (1 currently)

```
        depth = 1 ;
        lat = 96 ;
        lon = 144 ;
variables:
        double lon(lon) ;
                lon:long_name = "coordinate longitude" ;
                lon:units = "degrees_east" ;
        double lat(lat) ;
                lat:long_name = "coordinate latitude" ;
                lat:units = "degrees_north" ;
        double time(time) ;
                time:long_name = "simulation time in years" ;
                time:units = "1..ntimes" ;
        int IGBP_PFT(lat, lon) ;
                IGBP_PFT:units = "1-18" ;
                IGBP_PFT:_FillValue = -9999 ;
                IGBP_PFT:missing_value = -9999 ;
        double landarea(lat, lon) ;
                landarea:long_name = "land area, icewater set to 0.0" ;
                landarea:units = "km^2" ;
                landarea:_FillValue = 1.e+36 ;
                landarea:missing_value = 1.e+36 ;
        int cellMissing(lat, lon) ;
                cellMissing:long_name = "Missing Data Mask" ;
                cellMissing:units = "0=no missing data, 1=missing data" ;
        int cellid(lat, lon) ;
                cellid:long_name = "Grid Cell ID" ;
                cellid:units = "1..nlat*nlon" ;
        double SoilProtected_C1(time, lat, lon) ;
                SoilProtected_C1:long_name = "Protected soil labile C" ;
                SoilProtected_C1:units = "g C m-2" ;
                SoilProtected_C1:_FillValue = 1.e+36 ;
                SoilProtected_C1:missing_value = 1.e+36 ;
        double SoilProtected_C2(time, lat, lon) ;
                SoilProtected_C2:long_name = "Protected soil recalcitrant C" ;
                SoilProtected_C2:units = "g C m-2" ;
                SoilProtected_C2:_FillValue = 1.e+36 ;
                SoilProtected_C2:missing_value = 1.e+36 ;
        double SoilProtected_C3(time, lat, lon) ;
                SoilProtected_C3:long_name = "Protected soil dead microbe C" ;
                SoilProtected_C3:units = "g C m-2" ;
                SoilProtected_C3:_FillValue = 1.e+36 ;
                SoilProtected_C3:missing_value = 1.e+36 ;
        double Soil_C1(time, lat, lon) ;
                Soil_C1:long_name = "Unprotected soil labile C" ;
                Soil_C1:units = "g C m-2" ;
                Soil_C1:_FillValue = 1.e+36 ;
                Soil_C1:missing_value = 1.e+36 ;
```

```
double Soil_C2(time, lat, lon) ;
        Soil_C2:long_name = "Unprotected soil recalcitrant C" ;
        Soil_C2:units = "g C m-2" ;
        Soil_C2:_FillValue = 1.e+36 ;
        Soil_C2:missing_value = 1.e+36 ;
double Soil_C3(time, lat, lon) ;
        Soil_C3:long_name = "Unprotected soil dead microbe C" ;
        Soil_C3:units = "g C m-2" ;
        Soil_C3:_FillValue = 1.e+36 ;
        Soil_C3:missing_value = 1.e+36 ;
double LitterLayer_C1(time, lat, lon) ;
        LitterLayer_C1:long_name = "Unprotected litter layer labile C" ;
        LitterLayer_C1:units = "g C m-2" ;
        LitterLayer_C1:_FillValue = 1.e+36 ;
        LitterLayer_C1:missing_value = 1.e+36 ;
double LitterLayer_C2(time, lat, lon) ;
        LitterLayer_C2:long_name = "Unprotected litter layer recalcitrant C" ;
        LitterLayer_C2:units = "g C m-2" ;
        LitterLayer_C2:_FillValue = 1.e+36 ;
        LitterLayer_C2:missing_value = 1.e+36 ;
double LitterLayer_C3(time, lat, lon) ;
        LitterLayer_C3:long_name = "Unprotected litter layer dead microbe C" ;
        LitterLayer_C3:units = "g C m-2" ;
        LitterLayer_C3:_FillValue = 1.e+36 ;
        LitterLayer_C3:missing_value = 1.e+36 ;
double Soil_LiveMicrobeC(time, lat, lon) ;
        Soil_LiveMicrobeC:long_name = "Living soil microbe C" ;
        Soil_LiveMicrobeC:units = "g C m-2" ;
        Soil_LiveMicrobeC:_FillValue = 1.e+36 ;
        Soil_LiveMicrobeC:missing_value = 1.e+36 ;
double Soil_CO2(time, lat, lon) ;
        Soil_CO2:long_name = "Mean annual soil CO2-C produced" ;
        Soil_CO2:units = "g C m-2" ;
        Soil_CO2:_FillValue = 1.e+36 ;
        Soil_CO2:missing_value = 1.e+36 ;
double LitterLayer_CO2(time, lat, lon) ;
        LitterLayer_CO2:long_name = "Mean annual litter layer CO2-C produced" ;
        LitterLayer_CO2:units = "g C m-2" ;
        LitterLayer_CO2:_FillValue = 1.e+36 ;
        LitterLayer_CO2:missing_value = 1.e+36 ;
double LitterLayer_LiveMicrobeC(time, lat, lon) ;
        LitterLayer_LiveMicrobeC:long_name = "Litter layer living microbe C" ;
        LitterLayer_LiveMicrobeC:units = "g C m-2" ;
        LitterLayer_LiveMicrobeC:_FillValue = 1.e+36 ;
        LitterLayer_LiveMicrobeC:missing_value = 1.e+36 ;
double Ts(time, lat, lon) ;
        Ts:long_name = "Soil temperature" ;
        Ts:units = "K" ;
```

```
              Ts:_FillValue = 1.e+36 ;
              Ts:missing_value = 1.e+36 ;
      double Theta(time, lat, lon) ;
              Theta:long_name = "Fraction of Saturation" ;
              Theta:units = "0.0-1.0" ;
              Theta:_FillValue = 1.e+36 ;
              Theta:missing_value = 1.e+36 ;
      int ncohorts(time, lat, lon) ;
              ncohorts:long_name = "Number of cohorts in layer" ;
              ncohorts:units = "1-18" ;
              ncohorts:_FillValue = -9999 ;
              ncohorts:missing_value = -9999 ;
      double Soil_Depth(depth, lat, lon) ;
              Soil_Depth:long_name = "Soil depth" ;
              Soil_Depth:units = "meters" ;
              Soil_Depth:_FillValue = 1.e+36 ;
              Soil_Depth:missing_value = 1.e+36 ;

// global attributes:
              :title = "CORPSE model output" ;
              :comment = "NOTE: None of the variables are weighted by land fraction!" ;
              :history = "created on 03/14/2017 09:07:52" ;
              :source = "CORPSE Microbial Model" ;
              :parameters = "./corpse_params_new.nml" ;
}
```

# 8   Output Restart Files

The following .csv files are output every 100 simulation years and at the end of a testbed simulation. These files contain the values of the pool and can be used to initialize subsequent simulations when initcasa > 0 in *fcasaclm_testbed.lst)*. The CASA-CNP_pool_end.csv file is always generated (isomModel=1, 2, or 3 in *fcasaclm_testbed.lst*). The mimics_pool_end.csv is generated when MIMICS is selected as the SOM model (isomModel=2) and corpse_pool_end.csv is generated when CORPSE is selected as the SOM model (isomModel=3).  These are generic names for the files; the actual names of the files are specified in *fcasaclm_testbed.lst.* The files contain one line for each grid cell actually simulated.  Some of the files also have a column header.

## 8.1   CASA-CNPpool_end.csv

This file currently has no column header in first row.

| Column | Variable | Description | Comments |
|---|---|---|---|
| A | ktau | Number of cycles through met.nc file | |
| B | n | grid cell ID | |
| C | veg%iveg(n) | plant functional type (PFT) | |
| D | soil%isoilm(n) | soil type | Not used |
| E | casamet%isorder(n) | soil order | Not used |
| F | casamet%lat(n) | latitude (decimal degrees) | |
| G | casamet%lon(n) | longitude (decimal degrees) | |
| H | casamet%areacell(n) | grid cell area (km$^2$) | |
| I | casamet%glai(n) | | |
| J | casabiome%sla(veg%iveg(n)) | specific leaf area ($m^2/gC$) | |
| K | casapool%clabile(n) | plant labile C (g C m$^{-2}$) | |
| L | casapool%cplant(n:LEAF) | plant leaf C (g C m$^{-2}$) | |
| M | casapool%cplant(n:WOOD) | plant wood C (g C m$^{-2}$) | |
| N | casapool%cplant(n:FROOT) | plant fine root C (g C m$^{-2}$) | |
| O | casapool%clitter(n:METB) | metabolic litter C (g C m$^{-2}$) | |
| P | casapool%clitter(n:STR) | structural litter C (g C m$^{-2}$) | |
| Q | casapool%clitter(n:CWD) | coarse woody debris C (g C m$^{-2}$) | |
| R | casapool%csoil(n:MIC) | fast (microbial) soil organic C (g C m$^{-2}$) | |

| Column | Variable | Description | Comments |
|--------|----------|-------------|----------|
| S | casapool%csoil(n:SLOW) | slow soil organic C (g C m$^{-2}$) | |
| T | casapool%csoil(n:PASS) | passive soil organic C (g C m$^{-2}$) | |
| U | casapool%nplant(n:LEAF) | plant leaf N (g N m$^{-2}$) | 0 unless icyle≥ 2 |
| V | casapool%nplant(n:WOOD) | plant wood N (g N m$^{-2}$) | 0 unless icyle≥ 2 |
| W | casapool%nplant(n:FROOT) | plant fine root N (g N m$^{-2}$) | 0 unless icyle≥ 2 |
| X | casapool%nlitter(n:METB) | metabolic litter N (g N m$^{-2}$) | 0 unless icyle≥ 2 |
| Y | casapool%nlitter(n:STR) | structural litter N (g N m$^{-2}$) | 0 unless icyle≥ 2 |
| Z | casapool%nlitter(n:CWD) | coarse woody debris N (g N m$^{-2}$) | 0 unless icyle≥ 2 |
| AA | casapool%nsoil(n:MIC) | fast (microbial) soil organic N (g N m$^{-2}$) | 0 unless icyle≥ 2 |
| AB | casapool%nsoil(n:SLOW) | slow soil organic N (g N m$^{-2}$) | 0 unless icyle≥ 2 |
| AC | casapool%nsoil(n:PASS) | passive soil organic N (g N m$^{-2}$) | 0 unless icyle≥ 2 |
| AD | casapool%nsoilmin(n) | soil mineral N (g N m$^{-2}$) | 0 unless icyle≥ 2 |
| AE | casapool%pplant(n:LEAF) | plant leaf P (g P m$^{-2}$) | 0 unless icyle≥ 3 |
| AF | casapool%pplant(n:WOOD) | plant wood P (g P m$^{-2}$) | 0 unless icyle≥ 3 |
| AG | casapool%pplant(n:FROOT) | plant fine root P (g P m$^{-2}$) | 0 unless icyle≥ 3 |
| AH | casapool%plitter(n:METB) | metabolic litter P (g P m$^{-2}$) | 0 unless icyle≥ 3 |
| AI | casapool%plitter(n:STR) | structural litter P (g P m$^{-2}$) | 0 unless icyle≥ 3 |
| AJ | casapool%plitter(n:CWD) | coarse woody debris P (g P m$^{-2}$) | 0 unless icyle≥ 3 |
| AK | casapool%psoil(n:MIC) | fast (microbial) soil organic P (g P m$^{-2}$) | 0 unless icyle≥ 3 |
| AL | casapool%psoil(n:SLOW) | slow soil organic P (g P m$^{-2}$) | 0 unless icyle≥ 3 |
| AM | casapool%psoil(n:PASS) | passive soil organic P (g P m$^{-2}$) | 0 unless icyle≥ 3 |
| AN | casapool%psoillab(n) | soil labile mineral P (g P m$^{-2}$) | 0 unless icyle≥ 3 |
| AO | casapool%psoilsorb(n) | soil sorbed mineral P (g P m$^{-2}$) | 0 unless icyle≥ 3 |
| AP | casapool%psoilocc(n) | soil occluded mineral P (g P m$^{-2}$) | 0 unless icyle≥ 3 |
| AQ | casabal%sumcbal(n) | carbon balance (g C m$^{-2}$) | 0 unless icyle≥ 3 |
| AR | casabal%sumnbal(n) | nitrogen balance (g N m$^{-2}$) | 0 unless icyle≥ 2 |
| AS | casabal%sumpbal(n) | phosphorus balance (g P m$^{-2}$) | 0 unless icyle≥ 3 |

## 8.2   mimicspool_end.csv

| Column | Variable | Description | Comments |
|---|---|---|---|
| A | iYrCnt | Number of cycles through met.nc file | |
| B | npt | grid cell ID | |
| Column | veg%iveg | plant functional type (PFT) | |
| D | casamet%lat | latitude (decimal degrees) | |
| E | casamet%lon | longitude (decimal degrees) | |
| F | casamet%areacell | grid cell area ($km^2$) | |
| G | mimicspool%LITm | Metabolic Litter (mg C $cm^{-3}$) | |
| H | mimicspool%LITs | Structural Litter (mg C $cm^{-3}$) | |
| I | mimicspool%MICr | Live r-selected microbial biomass (mg C $cm^{-3}$) | |
| J | mimicspool%MICk | Live K-selected microbial biomass (mg C $cm^{-3}$) | |
| K | mimicspool%SOMa | Available Soil Organic Matter C (mg C $cm^{-3}$) | |
| L | mimicspool%SOMc | Chemically Protected Soil Organic Matter C (mg C $cm^{-3}$) | |
| M | mimicspool%SOMp | Physically Protected Soil Organic Matter C (mg C $cm^{-3}$) | |

## 8.3   corpsepool_end.csv

| Column | Variable | Description | Comments |
|---|---|---|---|
| A | pt | grid cell count | |
| B | ijgcm | grid cell ID | |
| C | tcount | timestep count? | |
| D | time | time? | |
| E | lat | latitude (decimal degrees) | |
| F | lon | longitude (decimal degrees) | |
| G | veg | plant functional type (PFT) | |
| H | litlyr_unprotect_rhiz(LABILE) | Litter Layer Unprotected Rhizosphere Labile C (kg C $m^{-2}$) | |
| I | litlyr_unprotect_rhiz(RECALCTRNT) | Litter Layer Unprotected Rhizosphere Recalcitrant C (kg C $m^{-2}$) | |

| Column | Variable | Description | Comments |
|--------|----------|-------------|----------|
| J | litlyr_unprotect_rhiz(DEADMICRB) | Litter Layer Unprotected Rhizosphere Dead Microbe C (kg C m$^{-2}$) | |
| K | litlyr_unprotect_bulk(LABILE) | Litter Layer Unprotected Bulk Soil Labile C (kg C m$^{-2}$) | Litter Layer has no bulk component |
| L | litlyr_unprotect_bulk(RECALCTRNT) | Litter Layer Unprotected Bulk Soil Recalcitrant C (kg C m$^{-2}$) | Litter Layer has no bulk component |
| M | litlyr_unprotect_bulk(DEADMICRB) | Litter Layer Unprotected Bulk Soil Dead Microbe C (kg C m$^{-2}$) | Litter Layer has no bulk component |
| N | litlyr_protect_rhiz(LABILE) | Litter Layer Protected Rhizosphere Labile C (kg C m$^{-2}$) | Litter Layer has no protected C |
| O | litlyr_protect_rhiz(RECALCTRNT) | Litter Layer Protected Rhizosphere Recalcitrant C (kg C m$^{-2}$) | Litter Layer has no protected C |
| P | litlyr_protect_rhiz(DEADMICRB) | Litter Layer Protected Rhizosphere Dead Microbe C (kg C m$^{-2}$) | Litter Layer has no protected C |
| Q | litlyr_protect_bulk(LABILE) | Litter Layer Protected Bulk Soil Labile C (kg C m$^{-2}$) | Litter Layer has no protected C |
| R | litlyr_protect_bulk(RECALCTRNT) | Litter Layer Protected Bulk Soil Recalcitrant C (kg C m$^{-2}$) | Litter Layer has no protected C |
| S | litlyr_protect_bulk(DEADMICRB) | Litter Layer Protected Bulk Soil Dead Microbe C (kg C m$^{-2}$) | Litter Layer has no protected C |
| T | litlyr_livingMicrobeC_rhiz | Litter Layer Live Microbe Rhizosphere C (kg C m$^{-2}$) | |
| U | litlyr_livingMicrobeC_bulk | Litter Layer Live Microbe Bulk Soil C (kg C m$^{-2}$) | Litter Layer has no bulk component |
| V | litlyr_CO2_rhiz | Cumulative Litter Layer Rhizosphere Heterotrophic Respiration (kg C m$^{-2}$) | |
| W | litlyr_CO2_bulk | Cumulative Litter Layer Bulk Heterotrophic Respiration (kg C m$^{-2}$) | Litter Layer has no bulk soil C |
| X | protection_rate | Rate that carbon becomes protected (yr$^{-1}$ or yr$^{-1}$ kg-microbial-biomass$^{-1}$ depending on microbe_driven_protection) | |
| Y | Qmax | Clay protection factor for litter layer | Initialized to zero |
| Z | litlyr_DOC(LABILE) | Litter Layer Labile DOC (kg C m$^{-2}$) | Dissolved Organic C not simulated |

| Column | Variable | Description | Comments |
|---|---|---|---|
| AA | litlyr_DOC(RECALCTRNT) | Litter Layer Recalcitrant DOC (kg C m$^{-2}$) | Dissolved Organic C not simulated |
| AB | litlyr_DOC(DEADMICRB) | Litter Layer Dead Microbe DOC (kg C m$^{-2}$) | Dissolved Organic C not simulated |
| AC | soil_1_unprotect_rhiz(LABILE) | Soil Layer 1 Unprotected Rhizosphere Labile C (kg C m$^{-2}$) | |
| AD | soil_1_unprotect_rhiz(RECALCTRNT) | Soil Layer 1 Unprotected Rhizosphere Recalcitrant C (kg C m$^{-2}$) | |
| AE | soil_1_unprotect_rhiz(DEADMICRB) | Soil Layer 1 Unprotected Rhizosphere Dead Microbe C (kg C m$^{-2}$) | |
| AF | soil_1_unprotect_bulk(LABILE) | Soil Layer 1 Unprotected Bulk Soil Labile C (kg C m$^{-2}$) | |
| AG | soil_1_unprotect_bulk(RECALCTRNT) | Soil Layer 1 Unprotected Bulk Soil Recalcitrant C (kg C m$^{-2}$) | |
| AH | soil_1_unprotect_bulk(DEADMICRB) | Soil Layer 1 Unprotected Bulk Soil Dead Microbe C (kg C m$^{-2}$) | |
| AI | soil_1_protect_rhiz(LABILE) | Soil Layer 1 Protected Rhizosphere Labile C (kg C m$^{-2}$) | |
| AJ | soil_1_protect_rhiz(RECALCTRNT) | Soil Layer 1 Protected Rhizosphere Recalcitrant C (kg C m$^{-2}$) | |
| AK | soil_1_protect_rhiz(DEADMICRB) | Soil Layer 1 Protected Rhizosphere Dead Microbe C (kg C m$^{-2}$) | |
| AL | soil_1_protect_bulk(LABILE) | Soil Layer 1 Protected Bulk Soil Labile C (kg C m$^{-2}$) | |
| AM | soil_1_protect_bulk(RECALCTRNT) | Soil Layer 1 Protected Bulk Soil Recalcitrant C (kg C m$^{-2}$) | |
| AN | soil_1_protect_bulk(DEADMICRB) | Soil Layer 1 Protected Bulk Soil Dead Microbe C (kg C m$^{-2}$) | |
| AO | soil_1_livingMicrobeC_rhiz | Soil Layer 1 Live Microbe Rhizosphere C (kg C m$^{-2}$) | |
| AP | soil_1_livingMicrobeC_bulk | Soil Layer 1 Live Microbe Bulk Soil C (kg C m$^{-2}$) | |
| AQ | soil_1_CO2_rhiz | Cumulative Litter Layer Rhizosphere Heterotrophic Respiration (kg C m$^{-2}$) | |
| AR | soil_1_CO2_bulk | Cumulative Litter Layer Bulk Heterotrophic Respiration (kg C m$^{-2}$) | |
| AS | protection_rate | Rate that carbon becomes protected (yr$^{-1}$ or yr$^{-1}$ kg-microbial-biomass$^{-1}$ depending on microbe_driven_protection) | |
| AT | Qmax | Clay protection factor for soil layer 1 | |

| Column | Variable | Description | Comments |
|---|---|---|---|
| AU | soil_1_DOC(LABILE) | Soil Layer 1 Labile DOC (kg C m$^{-2}$) | Dissolved Organic C not simulated |
| AV | soil_1_DOC(RECALCTRNT) | Soil Layer 1 Recalcitrant DOC (kg C m$^{-2}$) | Dissolved Organic C not simulated |
| AW | soil_1_DOC(DEADMICRB) | Soil Layer 1 Dead Microbe DOC (kg C m$^{-2}$) | Dissolved Organic C not simulated |

# 9 Summary of Model Parameters

## 9.1 CASA-CNP parameters in pftlookup_igbp.csv

*Table 10. IGBP plant functional types used by the CASA-CNP testbed (pftlookup_igbp.csv).*

| vegtype (PFT) | (ice,water,urban:0)/ grass:1/shrub:2/woody:3 | |
|---|---|---|
| 1 | 3 | Evergreen Needleleaf Forest |
| 2 | 3 | Evergreen Broadleaf Forest |
| 3 | 3 | Deciduous Needleleaf Forest |
| 4 | 3 | Deciduous Broadleaf Forest |
| 5 | 3 | Mixed forest |
| 6 | 2 | Closed shrublands |
| 7 | 2 | Open shrublands |
| 8 | 3 | woody savannas |
| 9 | 3 | savannas |
| 10 | 1 | grasslands |
| 11 | 0 | permanent wetlands |
| 12 | 1 | croplands |
| 13 | 0 | urban and built-up |
| 14 | 1 | cropland mosaic (same as 12) |

| vegtype (PFT) | (ice,water,urban:0)/ grass:1/shrub:2/woody:3 | |
|---|---|---|
| 15 | 0 | permanent snow and ice |
| 16 | 1 | barren or sparsely vegetated |
| 17 | 0 | ice, water |
| 18 | 1 | tundra | |

*Table 11. Rooting distribution parameters, and ?? by PFT (pftlookup_igbp.csv).*

| vegtype (PFT) | Kroot 1/m | rootdepth m | kuptake (not used) | Krootlen m/g C | KminN (icycle ≥2) gN/m² | Kuplabp (icycle=3) gP/m² | frac_herbivore 1/year |
|---|---|---|---|---|---|---|---|
| 1 | 5.5 | 1.5 | 2 | 14.87805 | 2 | 0.5 | 0.068 |
| 2 | 3.9 | 1.5 | 1.9 | 14.38596 | 2 | 0.5 | 0.406 |
| 3 | 5.5 | 1.5 | 2 | 14.02597 | 2 | 0.5 | 0.068 |
| 4 | 3.9 | 1.5 | 2 | 18.94737 | 2 | 0.5 | 0.134 |
| 5 | 2 | 1.5 | 2 | 16.71642 | 2 | 0.5 | 0.137 |
| 6 | 2 | 0.5 | 1.9 | 0 | 2 | 0.5 | 0 |
| 7 | 2 | 0.5 | 1.8 | 32.30769 | 2 | 0.5 | 0.022 |
| 8 | 2 | 1.5 | 1.9 | 120.8 | 2 | 0.5 | 0.35 |
| 9 | 2 | 1.5 | 1.9 | 120.8 | 2 | 0.5 | 0.35 |
| 10 | 5.5 | 0.5 | 2 | 84 | 2 | 0.5 | 0.109 |
| 11 | 5.5 | 0.5 | 1.6 | 0 | 2 | 0.5 | 0 |
| 12 | 5.5 | 0.5 | 1.6 | 120.5 | 2 | 0.5 | 0.14 |
| 13 | 2 | 0.5 | 1.8 | 0 | 2 | 0.5 | 0 |
| 14 | 5.5 | 0.5 | 1.6 | 0 | 2 | 0.5 | 0 |
| 15 | 5.5 | 0.5 | 1.8 | 0 | 2 | 0.5 | 0 |
| 16 | 2 | 0.5 | 1.8 | 30.76923 | 2 | 0.5 | 0.01 |
| 17 | 5.5 | 1.5 | 1.8 | 0 | 2 | 0.5 | 0 |
| 18 | 5.5 | 0.5 | 2 | 84 | 2 | 0.5 | 0.109 |

*Table 12. Mean residence times (age) for plant, litter, soil organic, and labile plant C pools, and specific leaf area (SLA) by PFT (pftlookup_igbp.csv).*

| vegtype | leaf age | wood age | froot age | met age | str age | cwd age | mic age | slow age | pass age | clabile | SLA |
|---------|----------|----------|-----------|---------|---------|---------|---------|----------|----------|---------|-----|
| (PFT) | year | year | year | year | year | year | year | year | year | year | $m^2/gC$ |
| 1 | 2 | 70 | 18 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.00718 |
| 2 | 1.5 | 60 | 10 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.01532 |
| 3 | 0.8 | 80 | 10 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.0231 |
| 4 | 0.8 | 40 | 10 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.02646 |
| 5 | 1.2 | 50 | 10 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.01682 |
| 6 | 1 | 40 | 5 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.00992 |
| 7 | 1 | 40 | 5 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.00992 |
| 8 | 1.5 | 40 | 5 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.026308 |
| 9 | 1.5 | 40 | 3 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.026157 |
| 10 | 1 | 1 | 3 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.026005 |
| 11 | 1 | 1 | 1 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.02 |
| 12 | 1 | 1 | 0.884227 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.026005 |
| 13 | 1 | 1 | 1 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.02 |
| 14 | 1 | 1 | 1 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.026005 |
| 15 | 1 | 1 | 1 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.02 |
| 16 | 1 | 5 | 4 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.02447 |
| 17 | 1 | 1 | 1 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.02 |
| 18 | 1 | 1 | 3 | 0.04 | 0.23 | 0.824 | 0.137 | 5 | 222.22 | 0.2 | 0.026005 |

*Table 13. Carbon allocation fractions and maintenance respiration (rm) parameters by PFT (pftlookup_igbp.csv).*

| vegtype | Calloc_leaf | Calloc_wood | Calloc_froot | rmleaf | rmwood | rmfroot | rmclabile |
|---------|-------------|-------------|--------------|--------|--------|---------|-----------|
| (PFT) | fraction | fraction | fraction | 1/year | 1/year | 1/year | 1/year |
| 1 | 0.42 | 0.33 | 0.25 | 0.1 | 6 | 6 | 0.5 |

| vegtype (PFT) | Calloc_leaf fraction | Calloc_wood fraction | Calloc_froot fraction | rmleaf 1/year | rmwood 1/year | rmfroot 1/year | rmclabile 1/year |
|---|---|---|---|---|---|---|---|
| 2 | 0.25 | 0.1 | 0.65 | 0.1 | 6 | 6 | 0.5 |
| 3 | 0.4 | 0.3 | 0.3 | 0.1 | 6 | 6 | 0.5 |
| 4 | 0.3 | 0.2 | 0.5 | 0.1 | 6 | 6 | 0.5 |
| 5 | 0.35 | 0.4 | 0.25 | 0.1 | 6 | 6 | 0.5 |
| 6 | 0.52 | 0.15 | 0.33 | 0.1 | 6 | 6 | 0.5 |
| 7 | 0.4 | 0.15 | 0.45 | 0.1 | 6 | 6 | 0.5 |
| 8 | 0.3 | 0.1 | 0.6 | 0.1 | 6 | 6 | 0.5 |
| 9 | 0.2 | 0.1 | 0.7 | 0.1 | 6 | 6 | 0.5 |
| 10 | 0.3 | 0 | 0.7 | 0.1 | 1 | 10 | 0.5 |
| 11 | 0.5 | 0 | 0.5 | 0.1 | 1 | 10 | 0.5 |
| 12 | 0.3 | 0 | 0.7 | 0.1 | 1 | 10 | 0.5 |
| 13 | 0.5 | 0 | 0.5 | 0.1 | 1 | 10 | 0.5 |
| 14 | 0.35 | 0 | 0.65 | 0.1 | 1 | 10 | 0.5 |
| 15 | 0.5 | 0 | 0.5 | 0.1 | 1 | 10 | 0.5 |
| 16 | 0.2 | 0.2 | 0.6 | 0.1 | 1 | 10 | 0.5 |
| 17 | 0.6 | 0.4 | 0 | 0.1 | 1 | 10 | 0.5 |
| 18 | 0.3 | 0 | 0.7 | 0.1 | 1 | 10 | 0.5 |

*Table 14. Initial C:N ratios, N retranslocation parameters, and lignin content of plant parts by PFT. The C:N ratios and lignin fractions are used to compute lignin:N ratios for partitioning plant litter into structural and metabolic fraction (pftlookup_igbp.csv).*

| vegtype (PFT) | C:N leaf gC/gN | C:N wood gC/gN | C:N froot gC/gN | Ntrans_leaf (icycle ≥ 2) fraction | Ntrans_wood (icycle ≥ 2) fraction | Ntrans_frt (icycle ≥ 2) fraction | lignin leaf g lignin /gC | lignin CWD g lignin /gC | lignin froot g lignin /gC |
|---|---|---|---|---|---|---|---|---|---|
| 1 | 50 | 250 | 78 | 0.5 | 0.95 | 0.9 | 0.25 | 0.4 | 0.25 |
| 2 | 25 | 150 | 68 | 0.5 | 0.95 | 0.9 | 0.2 | 0.4 | 0.2 |
| 3 | 60 | 250 | 41 | 0.5 | 0.95 | 0.9 | 0.2 | 0.4 | 0.2 |
| 4 | 50 | 175 | 41 | 0.5 | 0.95 | 0.9 | 0.2 | 0.4 | 0.2 |

| vegtype (PFT) | C:N leaf gC/gN | C:N wood gC/gN | C:N froot gC/gN | Ntrans_leaf (icycle ≥ 2) fraction | Ntrans_wood (icycle ≥ 2) fraction | Ntrans_frt (icycle ≥ 2) fraction | lignin leaf g lignin /gC | lignin CWD g lignin /gC | lignin froot g lignin /gC |
|---|---|---|---|---|---|---|---|---|---|
| 5 | 50 | 175 | 41 | 0.5 | 0.95 | 0.9 | 0.22 | 0.4 | 0.22 |
| 6 | 45 | 150 | 41 | 0.5 | 0.95 | 0.9 | 0.2 | 0.4 | 0.2 |
| 7 | 40 | 150 | 41 | 0.5 | 0.95 | 0.9 | 0.2 | 0.4 | 0.2 |
| 8 | 25 | 150 | 41 | 0.5 | 0.95 | 0.9 | 0.15 | 0.4 | 0.15 |
| 9 | 25 | 150 | 41 | 0.5 | 0.95 | 0.9 | 0.15 | 0.4 | 0.15 |
| 10 | 50 | 150 | 41 | 0.5 | 0.95 | 0.9 | 0.1 | 0.4 | 0.1 |
| 11 | 30 | 150 | 41 | 0.5 | 0.95 | 0.9 | 0.15 | 0.4 | 0.15 |
| 12 | 50 | 125 | 41 | 0.5 | 0.95 | 0.9 | 0.2 | 0.4 | 0.2 |
| 13 | 40 | 150 | 41 | 0.5 | 0.95 | 0.9 | 0.15 | 0.4 | 0.15 |
| 14 | 30 | 125 | 41 | 0.5 | 0.95 | 0.9 | 0.1 | 0.4 | 0.1 |
| 15 | 40 | 150 | 41 | 0.5 | 0.95 | 0.9 | 0.1 | 0.4 | 0.1 |
| 16 | 40 | 150 | 41 | 0.5 | 0.95 | 0.9 | 0.15 | 0.4 | 0.15 |
| 17 | 40 | 135 | 41 | 0.5 | 0.95 | 0.9 | 0.25 | 0.4 | 0.25 |
| 18 | 47.6 | 150 | 41 | 0.5 | 0.95 | 0.9 | 0.166 | 0.4 | 0.166 |

Table 15. Initiial, minimum, and maximum C:N ratios of soil organic pools (icycle ≥ 2) (pftlookup_igbp.csv).

| vegtype (PFT) | C:N mic gC/gN | C:N slow gC/gN | C:N pass gC/gN | C:Nmic_min gC/gN | C:Nslow_min gC/gN | C:Npass_min gC/gN | C:Nmic_max gC/gN | C:Nslow_max gC/gN | C:Npass_max gC/gN |
|---|---|---|---|---|---|---|---|---|---|
| 1 | 8 | 16.1 | 16.1 | 5.4 | 26.91 | 26.91 | 8 | 30 | 30 |
| 2 | 8 | 12.8 | 12.8 | 7.71 | 13.54 | 13.54 | 8 | 30 | 30 |
| 3 | 8 | 24.8 | 24.8 | 7.11 | 26.91 | 26.91 | 8 | 30 | 30 |
| 4 | 8 | 30 | 30 | 6.69 | 16.2 | 16.2 | 8 | 30 | 30 |
| 5 | 8 | 10.1 | 10.1 | 6.045 | 21.555 | 21.555 | 8 | 30 | 30 |
| 6 | 8 | 19.3 | 19.3 | 6.17 | 16.63 | 16.63 | 8 | 20 | 20 |
| 7 | 8 | 19.3 | 19.3 | 6.17 | 16.63 | 16.63 | 8 | 20 | 20 |

| vegtype (PFT) | C:N mic gC/gN | C:N slow gC/gN | C:N pass gC/gN | C:Nmic_min gC/gN | C:Nslow_min gC/gN | C:Npass_min gC/gN | C:Nmic_max gC/gN | C:Nslow_max gC/gN | C:Npass_max gC/gN |
|---|---|---|---|---|---|---|---|---|---|
| 8 | 8 | 15 | 15 | 6.403333 | 14.915 | 14.915 | 8 | 28.33333 | 28.33333 |
| 9 | 8 | 15 | 15 | 6.116667 | 13.63 | 13.63 | 8 | 26.66667 | 26.66667 |
| 10 | 8 | 13.1 | 13.1 | 5.83 | 12.345 | 12.345 | 8 | 25 | 25 |
| 11 | 8 | 13.1 | 13.1 | 6.51 | 14.06 | 14.06 | 8 | 20 | 20 |
| 12 | 8 | 13.2 | 13.2 | 6.34 | 12.385 | 12.385 | 8 | 20 | 20 |
| 13 | 8 | 20 | 20 | 6.51 | 14.06 | 14.06 | 8 | 20 | 20 |
| 14 | 8 | 20 | 20 | 6.34 | 12.385 | 12.385 | 8 | 20 | 20 |
| 15 | 8 | 20 | 20 | 6.51 | 14.06 | 14.06 | 8 | 20 | 20 |
| 16 | 8 | 26.8 | 26.8 | 6.51 | 14.06 | 14.06 | 8 | 20 | 20 |
| 17 | 8 | 20 | 20 | 6.51 | 14.06 | 14.06 | 8 | 20 | 20 |
| 18 | 8 | 13.1 | 13.1 | 5.83 | 12.345 | 12.345 | 8 | 25 | 25 |

*Table 16. Maximum and minimum LAI values by PFT (pftlookup_igbp.csv).*

| vegtype (PFT) | Laimax m²/m² | Laimin m²/m² |
|---|---|---|
| 1 | 7 | 3 |
| 2 | 7 | 3 |
| 3 | 7 | 0.5 |
| 4 | 7 | 0.5 |
| 5 | 7 | 0.5 |
| 6 | 3 | 0.5 |
| 7 | 3 | 0.1 |
| 8 | 4 | 0.5 |
| 9 | 4 | 0.3 |
| 10 | 3 | 0.2 |
| 11 | 5 | 0.1 |

| vegtype (PFT) | Laimax m²/m² | Laimin m²/m² |
|---|---|---|
| 12 | 6 | 0.5 |
| 13 | 6 | 0.5 |
| 14 | 6 | 0.5 |
| 15 | 0 | 0 |
| 16 | 1 | 0.05 |
| 17 | 1 | 0.1 |
| 18 | 3 | 0.2 |

*Table 17. Initial Carbon values of plant, litter, and soil organic pools for spinup simulations (initcasa=0) (pftlookup_igbp.csv).*

| vegtype (PFT) | Leaf C g C/m² | Wood C g C/m² | Froot C g C/m² | met C g C/m² | str C g C/m² | CWD C g C/m² | mic C g C/m² | slow C g C/m² | pass C g C/m² |
|---|---|---|---|---|---|---|---|---|---|
| 1 | 384.6037 | 7865.396 | 250 | 6.577021 | 209.1728 | 606.0255 | 528.664 | 13795.94 | 4425.396 |
| 2 | 273 | 11451 | 2586 | 44.63457 | 433.7626 | 1150.765 | 11.37765 | 311.8092 | 13201.81 |
| 3 | 96.59814 | 5683.402 | 220 | 7.127119 | 277.7733 | 776.7331 | 597.0785 | 16121.12 | 5081.802 |
| 4 | 150.2638 | 10833.74 | 220 | 10.97797 | 312.5492 | 888.5695 | 405.5554 | 11153.25 | 5041.192 |
| 5 | 166.5077 | 9583.492 | 250 | 14.5634 | 377.7089 | 1060.545 | 467.4273 | 11896.79 | 5485.779 |
| 6 | 0 | 0 | 0 | 3.229374 | 39.44449 | 111.5864 | 0 | 0 | 0 |
| 7 | 88 | 372 | 140 | 3.229374 | 39.44449 | 111.5864 | 168.0451 | 4465.478 | 1386.477 |
| 8 | 177.0966 | 1972.903 | 250 | 31.19319 | 206.8936 | 813.0919 | 174.5109 | 4782.379 | 2268.11 |
| 9 | 177.0966 | 1972.903 | 250 | 31.19319 | 206.8936 | 813.0919 | 174.5109 | 4782.379 | 2268.11 |
| 10 | 137.1714 | 0 | 263 | 28.57245 | 50.91091 | 0 | 425.6431 | 5694.437 | 4179.92 |
| 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 12 | 160 | 0 | 240 | 28.57245 | 50.91091 | 0 | 512.4247 | 6855.438 | 5032.137 |
| 13 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14 | 0 | 0 | 0 | 28.57245 | 50.91091 | 0 | 0.041325 | 0.552858 | 0.405817 |

| vegtype (PFT) | Leaf C g C/m² | Wood C g C/m² | Froot C g C/m² | met C g C/m² | str C g C/m² | CWD C g C/m² | mic C g C/m² | slow C g C/m² | pass C g C/m² |
|---|---|---|---|---|---|---|---|---|---|
| 15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16 | 20 | 17 | 63 | 1.457746 | 4.956338 | 28.44085 | 57.77585 | 1325.052 | 517.1719 |
| 17 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 18 | 137.1714 | 0 | 263 | 28.57245 | 50.91091 | 0 | 425.6431 | 5694.437 | 4179.92 |

*Table 18. Parameters controlling plant death rates with freezing temperatures and water stress (pftlookup_igbp.csv).*

| vegtype (PFT) | Tkshed K | xkleafcoldmax 1/year | xkleafcoldexp 1/year | xkleafdrymax 1/year | xkleafdryexp 1/year |
|---|---|---|---|---|---|
| 1 | 268 | 0.2 | 3 | 0.1 | 3 |
| 2 | 260 | 0.1 | 3 | 0.1 | 3 |
| 3 | 263.15 | 0.1 | 3 | 0.1 | 3 |
| 4 | 268.15 | 0.6 | 3 | 1 | 3 |
| 5 | 277.15 | 0.4 | 3 | 0.1 | 3 |
| 6 | 268.15 | 0.1 | 3 | 0.1 | 3 |
| 7 | 277.15 | 1 | 3 | 0.1 | 3 |
| 8 | 275.15 | 3 | 3 | 1.5 | 3 |
| 9 | 278.15 | 5 | 3 | 2.5 | 3 |
| 10 | 275.15 | 0.2 | 3 | 0.1 | 3 |
| 11 | 277.15 | 0.1 | 3 | 0.1 | 3 |
| 12 | 278.15 | 0.3 | 3 | 0.1 | 3 |
| 13 | 277.15 | 0.1 | 3 | 0.1 | 3 |
| 14 | 278.15 | 0.3 | 3 | 0.1 | 3 |
| 15 | 283.15 | 0.1 | 3 | 0.1 | 3 |
| 16 | 277.15 | 0.1 | 3 | 0.1 | 3 |
| 17 | 277.15 | 0.1 | 3 | 0.1 | 3 |
| 18 | 275.15 | 0.2 | 3 | 0.1 | 3 |

*Table 19. Phenology parameters (no longer used) (pftlookup_igbp.csv).*

| vegtype (PFT) | Tkchill K | Tkwarm K | GDD2stdy DDK | nd2onset day | nd2grow day | nd2dorm day | phena ?? | phenb ?? | phenc ?? |
|---|---|---|---|---|---|---|---|---|---|
| 1 | 273.15 | 268.15 | 200 | 30 | 15 | 10 | 667 | -0.0304 | 68 |
| 2 | 260 | 260 | 200 | 30 | 15 | 10 | 667 | -0.0304 | 0 |
| 3 | 273.15 | 268.15 | 200 | 30 | 15 | 10 | 667 | -0.0304 | 0 |
| 4 | 273.15 | 268.15 | 200 | 30 | 15 | 10 | 667 | -0.0304 | 0 |
| 5 | 273.15 | 268.15 | 200 | 30 | 15 | 10 | 854 | -0.0172 | 0 |
| 6 | 273.15 | 268.15 | 100 | 30 | 15 | 10 | 638 | -0.01 | 0 |
| 7 | 273.15 | 268.15 | 100 | 30 | 15 | 10 | 638 | -0.01 | 68 |
| 8 | 273.15 | 268.15 | 100 | 30 | 15 | 10 | 638 | -0.01 | 68 |
| 9 | 273.15 | 268.15 | 100 | 30 | 15 | 10 | 638 | -0.01 | 68 |
| 10 | 273.15 | 268.15 | 100 | 30 | 15 | 10 | 638 | -0.01 | 68 |
| 11 | 273.15 | 268.15 | 100 | 30 | 15 | 10 | 638 | -0.01 | 68 |
| 12 | 273.15 | 268.15 | 100 | 30 | 15 | 10 | 638 | -0.01 | 68 |
| 13 | 273.15 | 268.15 | 100 | 30 | 15 | 10 | 638 | -0.01 | 68 |
| 14 | 273.15 | 268.15 | 100 | 30 | 15 | 10 | 638 | -0.01 | 68 |
| 15 | 273.15 | 268.15 | 100 | 30 | 15 | 10 | 638 | -0.01 | 68 |
| 16 | 273.15 | 268.15 | 100 | 30 | 15 | 10 | 638 | -0.01 | 68 |
| 17 | 273.15 | 268.15 | 100 | 30 | 15 | 10 | 638 | -0.01 | 68 |
| 18 | 273.15 | 268.15 | 100 | 30 | 15 | 10 | 638 | -0.01 | 68 |

*Table 20. Minimum and Maximum N:C ratios of plant pools, N leaching rates, and N fixation rates (icycle ≥ 2) (pftlookup_igbp.csv).*

| vegtype (PFT) | N/Cleafmin g N/g C | N/Cleafmx g N/g C | N/Cwdmin g N/g C | N/Cwdmax g N/g C | N/Cfrtmin g N/g C | N/Cfrtmax g N/g C | xNminloss fraction | xNleach 1/year | nfixrate gN/m²/yr |
|---|---|---|---|---|---|---|---|---|---|
| 1 | 0.02 | 0.024 | 0.004 | 0.0048 | 0.012821 | 0.015385 | 0.05 | 0.05 | 0.08 |
| 2 | 0.025 | 0.048 | 0.006667 | 0.008 | 0.014706 | 0.017647 | 0.05 | 0.05 | 2.6 |
| 3 | 0.016667 | 0.02 | 0.004 | 0.0048 | 0.02439 | 0.029268 | 0.05 | 0.05 | 0.21 |

| vegtype | N/Cleafmin | N/Cleafmx | N/Cwdmin | N/Cwdmax | N/Cfrtmin | N/Cfrtmax | xNminloss | xNleach | nfixrate |
|---|---|---|---|---|---|---|---|---|---|
| (PFT) | g N/g C | g N/g C | g N/g C | g N/g C | g N/g C | g N/g C | fraction | 1/year | gN/m²/yr |
| 4 | 0.02 | 0.024 | 0.005714 | 0.006857 | 0.02439 | 0.029268 | 0.05 | 0.05 | 1.64 |
| 5 | 0.02 | 0.024 | 0.005714 | 0.006857 | 0.02439 | 0.029268 | 0.05 | 0.05 | 1.06 |
| 6 | 0.02 | 0.026667 | 0.006667 | 0.008 | 0.02439 | 0.029268 | 0.05 | 0.05 | 0.37 |
| 7 | 0.02 | 0.03 | 0.006667 | 0.008 | 0.02439 | 0.029268 | 0.05 | 0.05 | 0.37 |
| 8 | 0.04 | 0.048 | 0.006667 | 0.008 | 0.02439 | 0.029268 | 0.05 | 0.05 | 4.06 |
| 9 | 0.04 | 0.048 | 0.006667 | 0.008 | 0.02439 | 0.029268 | 0.05 | 0.05 | 4.06 |
| 10 | 0.02 | 0.024 | 0.006667 | 0.008 | 0.02439 | 0.029268 | 0.05 | 0.05 | 0.95 |
| 11 | 0.02 | 0.04 | 0.006667 | 0.008 | 0.02439 | 0.029268 | 0.05 | 0.05 | 0 |
| 12 | 0.02 | 0.048 | 0.008 | 0.0096 | 0.02439 | 0.029268 | 0.05 | 0.05 | 4 |
| 13 | 0.02 | 0.03 | 0.006667 | 0.008 | 0.02439 | 0.029268 | 0.05 | 0.05 | 0 |
| 14 | 0.02 | 0.04 | 0.008 | 0.0096 | 0.02439 | 0.029268 | 0.05 | 0.05 | 4 |
| 15 | 0.02 | 0.03 | 0.006667 | 0.008 | 0.02439 | 0.029268 | 0.05 | 0.05 | 0 |
| 16 | 0.02 | 0.03 | 0.006667 | 0.008 | 0.02439 | 0.029268 | 0.05 | 0.05 | 0.35 |
| 17 | 0.02 | 0.03 | 0.007407 | 0.008889 | 0.02439 | 0.029268 | 0.05 | 0.05 | 0 |
| 18 | 0.02 | 0.024 | 0.006667 | 0.008 | 0.02439 | 0.029268 | 0.05 | 0.05 | 0.95 |

*Table 21. Initial nitrogen pool values for spinup runs (initcasa=0, icycle ≥ 2) (pftlookup_igbp.csv).*

| vegtype | N leaf | N wood | N frt | N met | N Str | N cwd | N mic | N slow | N Pass | N Nmin |
|---|---|---|---|---|---|---|---|---|---|---|
| (PFT) | gN/m² | gN/m² | gN/m² | gN/m² | gN/m² | gN/m² | gN/m² | gN/m² | gN/m² | gN /m² |
| 1 | 7.541249 | 31.46159 | 6.097561 | 0.064481 | 1.394485 | 2.424102 | 52.8664 | 919.7293 | 295.0264 | 1000 |
| 2 | 9.9 | 102 | 38 | 0.74391 | 2.891751 | 8.524183 | 1.137765 | 20.78728 | 880.1209 | 1000 |
| 3 | 1.609969 | 22.73361 | 5.365854 | 0.059393 | 1.851822 | 3.106932 | 59.70785 | 1074.741 | 338.7868 | 1000 |
| 4 | 3.756594 | 80.24989 | 5.365854 | 0.137225 | 2.083661 | 6.581996 | 40.55554 | 743.5501 | 336.0795 | 1000 |
| 5 | 4.162691 | 47.91746 | 6.097561 | 0.182043 | 2.51806 | 5.302723 | 46.74273 | 793.1196 | 365.7186 | 1000 |
| 6 | 0 | 0 | 0 | 0.040367 | 0.262963 | 0.826566 | 0 | 0 | 0 | 1000 |
| 7 | 2.933333 | 2.755555 | 3.414634 | 0.053823 | 0.262963 | 0.826566 | 16.80451 | 297.6985 | 92.4318 | 1000 |
| 8 | 5.903219 | 14.6141 | 6.097561 | 0.519887 | 1.379291 | 6.022903 | 17.45109 | 318.8253 | 151.2073 | 1000 |

| vegtype | N leaf | N wood | N frt | N met | N Str | N cwd | N mic | N slow | N Pass | N Nmin |
|---|---|---|---|---|---|---|---|---|---|---|
| (PFT) | gN/m² | gN/m² | gN/m² | gN/m² | gN/m² | gN/m² | gN/m² | gN/m² | gN/m² | gN /m² |
| 9 | 5.903219 | 14.6141 | 6.097561 | 0.519887 | 1.379291 | 6.022903 | 17.45109 | 318.8253 | 151.2073 | 1000 |
| 10 | 4.572381 | 0 | 6.414634 | 0.476208 | 0.339406 | 0 | 42.56431 | 379.6291 | 278.6613 | 1000 |
| 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1000 |
| 12 | 5.333333 | 0 | 5.853659 | 0.476208 | 0.339406 | 0 | 51.24247 | 457.0292 | 335.4758 | 1000 |
| 13 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1000 |
| 14 | 0 | 0 | 0 | 0.476208 | 0.339406 | 0 | 0.004132 | 0.036857 | 0.027055 | 1000 |
| 15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1000 |
| 16 | 0.5 | 0.125926 | 1.536585 | 0.018222 | 0.033042 | 0.210673 | 5.777585 | 88.33682 | 34.47813 | 1000 |
| 17 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1000 |
| 18 | 4.572381 | 0 | 6.414634 | 0.476208 | 0.339406 | 0 | 42.56431 | 379.6291 | 278.6613 | 1000 |

*Table 22. N:P ratios (icycle=3) (pftlookup_igbp.csv).*

| vegtype | N/Pleafmin | N/Pleafmx | N/Pwdmin | N/Pwdmax | N/Pfrtmin | N/Pfrtmax | fpptoL(leaf) | fpptoL(wd) | fpptoL(frt) |
|---|---|---|---|---|---|---|---|---|---|
| (PFT) | gN/gP | gN/gP | gN/gP | gN/gP | gN/gP | gN/gP | fraction | fraction | fraction |
| 1 | 10 | 10 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 2 | 20 | 20 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 3 | 8 | 8 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 4 | 10 | 10 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 5 | 10 | 10 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 6 | 8 | 8 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 7 | 8 | 8 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 8 | 20 | 20 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 9 | 20 | 20 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 10 | 15 | 15 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 11 | 15 | 15 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 12 | 10 | 10 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 13 | 10 | 10 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |

| vegtype | N/Pleafmin | N/Pleafmx | N/Pwdmin | N/Pwdmax | N/Pfrtmin | N/Pfrtmax | fpptoL(leaf) | fpptoL(wd) | fpptoL(frt) |
|---|---|---|---|---|---|---|---|---|---|
| (PFT) | gN/gP | gN/gP | gN/gP | gN/gP | gN/gP | gN/gP | fraction | fraction | fraction |
| 14 | 10 | 10 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 15 | 10 | 10 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 16 | 10 | 10 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 17 | 10 | 10 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |
| 18 | 15 | 15 | 15 | 15 | 15 | 15 | 0.5 | 0.95 | 0.9 |

*Table 23. Soil Phosphorous parameters (icycle=3). These apply to all PFTs (pftlookup_igbp.csv).*

| | xkmlabp | xpsorbmax | xfpleach | N:Psoil | N:Psoil | N:Psoil | kplab | xkpsorb | kpocc |
|---|---|---|---|---|---|---|---|---|---|
| soilorder | gP/m2 | gP/m2 | no_dimen | mic | slow | pass | 1/year | 1/year | 1/year |
| 1 | 74.5408 | 439.4606 | 0.0005 | 0.00137 | 0.00002 | 0.00003 | 2.5 | 8 | 8 |
| 2 | 68.1584 | 937.178 | 0.0005 | 0.00137 | 0.00002 | 0.00003 | 2.5 | 8 | 8 |
| 3 | 77.952 | 915.3808 | 0.0005 | 0.00137 | 0.00001 | 0.00003 | 2.5 | 8 | 8 |
| 4 | 64.41918 | 600.8843 | 0.0005 | 0.00137 | 0.00001 | 0.00003 | 2.5 | 8 | 8 |
| 5 | 64.41918 | 446.9081 | 0.0005 | 0.00137 | 0.00002 | 0.00003 | 2.5 | 8 | 8 |
| 6 | 70.5856 | 160.5822 | 0.0005 | 0.00137 | 0.00002 | 0.00003 | 2.5 | 8 | 8 |
| 7 | 64.5888 | 249.5358 | 0.0005 | 0.00137 | 0.00002 | 0.00003 | 2.5 | 8 | 8 |
| 8 | 54.1692 | 461.3098 | 0.0005 | 0.00137 | 0.00002 | 0.00003 | 2.5 | 8 | 8 |
| 9 | 9.7704 | 43.63956 | 0.0005 | 0.00137 | 0.00003 | 0.00003 | 2.5 | 8 | 8 |
| 10 | 28.29 | 175.4926 | 0.0005 | 0.00137 | 0.00002 | 0.00003 | 2.5 | 8 | 8 |
| 11 | 63.963 | 227.5091 | 0.0005 | 0.00137 | 0.00003 | 0.00003 | 2.5 | 8 | 8 |
| 12 | 32.402 | 377.2618 | 0.0005 | 0.00137 | 0.00002 | 0.00003 | 2.5 | 8 | 8 |

*Table 24. Initial Phosphorous Pool values for spinup runs by PFT (initcasa=0, icycle=3) (pftlookup_igbp.csv).*

| vegtype | Pleaf | Pwood | Pfroot | Pmet | Pstr | Pcwd | Pmic | Pslow | Ppass | Plab | Psorb | Pocc |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| (PFT) | gP/m2 | gP/m2 | gP/m2 | gP/m2 | gP/m2 | gP/m2 | gP/m2 | gP/m2 | gP/m2 | gP/m2 | gP/m2 | gP/m2 |
| 1 | 0.191648 | 0.953979 | 0.076659 | 0.004385 | 0.069724 | 0.101004 | 6.872632 | 119.5648 | 38.35343 | 26.737 | 126.73 | 138.571 |

| vegtype (PFT) | Pleaf gP/m2 | Pwood gP/m2 | Pfroot gP/m2 | Pmet gP/m2 | Pstr gP/m2 | Pcwd gP/m2 | Pmic gP/m2 | Pslow gP/m2 | Ppass gP/m2 | Plab gP/m2 | Psorb gP/m2 | Pocc gP/m2 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 2 | 0.415 | 5.88 | 1.95 | 0.029756 | 0.144588 | 0.191794 | 0.14791 | 2.702347 | 114.4157 | 19.947 | 92.263 | 120.374 |
| 3 | 0.115988 | 0.64438 | 0.080548 | 0.004751 | 0.092591 | 0.129456 | 7.762021 | 139.7164 | 44.04228 | 29.107 | 134.639 | 138.22 |
| 4 | 0.135453 | 2.424778 | 0.141097 | 0.007319 | 0.104183 | 0.148095 | 5.27222 | 96.66152 | 43.69033 | 30.509 | 132.012 | 148.083 |
| 5 | 0.113219 | 2.634798 | 0.153318 | 0.009709 | 0.125903 | 0.176757 | 6.076555 | 103.1055 | 47.54342 | 25.954 | 143.468 | 138.823 |
| 6 | 0.022821 | 0 | 0.037083 | 0.002153 | 0.013148 | 0.018598 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7 | 0.022821 | 0 | 0.037083 | 0.002153 | 0.013148 | 0.018598 | 2.184586 | 38.70081 | 12.01613 | 23.206 | 173.47 | 114.496 |
| 8 | 0.35904 | 0.923457 | 0.249333 | 0.020795 | 0.068965 | 0.135515 | 2.268642 | 41.44729 | 19.65695 | 23.001 | 125.634 | 129.469 |
| 9 | 0.35904 | 0.923457 | 0.249333 | 0.020795 | 0.068965 | 0.135515 | 2.268642 | 41.44729 | 19.65695 | 22.114 | 145.082 | 130.37 |
| 10 | 0.15125 | 0 | 0.15125 | 0.019048 | 0.01697 | 0 | 5.533361 | 49.35178 | 36.22598 | 25.538 | 186.207 | 145.163 |
| 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 12 | 0.15125 | 0 | 0.15125 | 0.019048 | 0.01697 | 0 | 6.661522 | 59.4138 | 43.61185 | 27.729 | 155.518 | 158.884 |
| 13 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14 | 0.15125 | 0 | 0.15125 | 0.019048 | 0.01697 | 0 | 0.000537 | 0.004791 | 0.003517 | 0 | 0 | 0 |
| 15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.103 | 1.176 | 0.688 |
| 16 | 0.007 | 0 | 0.00875 | 0.000972 | 0.001652 | 0 | 0.751086 | 11.48379 | 4.482157 | 21.038 | 255.79 | 108.897 |
| 17 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 18 | 0.15125 | 0 | 0.15125 | 0.019048 | 0.01697 | 0 | 5.533361 | 49.35178 | 36.22598 | 25.538 | 186.207 | 145.163 |

*Table 25. Litter and soil decomposition controls by PFT. Some parameters are only used when* **icycle ≥ 2** *(pftlookup_igbp.csv).*

| vegtype (PFT) | xnpmax (icycle ≥ 2) unitless | Q10soil unitless | xkoplitter unitless | xkoptsoil unitless | prodptase (icycle=3) year | cosnpup (icycle=3) gN/gP | maxfineL (icycle ≥ 2) gC/m² | maxcwd (icycle ≥ 2) gC/m² | Nintercept (not used) μmol/m²/s | Nslope (not used) μmol/m²/s/(gN/m²) |
|---|---|---|---|---|---|---|---|---|---|---|
| 1 | 1.51086 | 1.72 | 0.33 | 0.33 | 0.5 | 40 | 1524 | 1795 | 3.16 | 9.07 |
| 2 | 1.27916 | 1.72 | 0.6 | 0.6 | 0.2 | 25 | 384 | 613 | 4.19 | 26.19 |
| 3 | 1.59108 | 1.72 | 0.15 | 0.15 | 0.5 | 40 | 1527 | 1918 | 6.32 | 18.15 |
| 4 | 1.420666 | 1.72 | 0.6 | 0.6 | 0.5 | 40 | 887 | 1164 | 5.73 | 29.81 |
| 5 | 1.422382 | 1.72 | 0.4 | 0.495 | 0.5 | 40 | 1205.5 | 1479.5 | 4.445 | 19.44 |

| vegtype (PFT) | xnpmax (icycle ≥ 2) unitless | Q10soil unitless | xkoplitter unitless | xkoptsoil unitless | prodptase (icycle=3) year | cosnpup (icycle=3) gN/gP | maxfineL (icycle ≥ 2) gC/m² | maxcwd (icycle ≥ 2) gC/m² | Nintercept (not used) μmol/m²/s | Nslope (not used) μmol/m²/s/(gN/m²) |
|---|---|---|---|---|---|---|---|---|---|---|
| 6 | 1.422382 | 1.72 | 0.4 | 0.5 | 0.5 | 40 | 157 | 107 | 14.71 | 23.15 |
| 7 | 1.358076 | 1.72 | 0.4 | 0.103435 | 0.5 | 40 | 157 | 107 | 14.71 | 23.15 |
| 8 | 1.303617 | 1.72 | 0.4 | 0.46494 | 0.5 | 25 | 689 | 884 | 5.96 | 28.36667 |
| 9 | 1.259346 | 1.72 | 0.4 | 0.168633 | 0.5 | 25 | 491 | 604 | 6.19 | 26.92333 |
| 10 | 1.456219 | 1.72 | 0.4 | 0.25 | 0.5 | 40 | 293 | 324 | 6.42 | 25.48 |
| 11 | 1.456219 | 1.72 | 0.4 | 1 | 0.5 | 40 | 100 | 100 | 14.71 | 23.15 |
| 12 | 1.210382 | 1.72 | 0.4 | 10 | 0.5 | 40 | 380 | 455.5 | 9.71 | 41.19 |
| 13 | 1.39965 | 1.72 | 0.4 | 0.5 | 0.5 | 40 | 100 | 100 | 12.80014 | 20.14434 |
| 14 | 1.210382 | 1.72 | 0.4 | 0.5 | 0.5 | 40 | 380 | 455.5 | 9.71 | 41.19 |
| 15 | 1 | 1.72 | 0.4 | 1 | 0.5 | 40 | 100 | 100 | 14.71 | 23.15 |
| 16 | 1 | 1.72 | 2 | 2 | 0.5 | 40 | 83 | 23 | 14.71 | 23.15 |
| 17 | 1 | 1.72 | 0.4 | 1 | 0.5 | 40 | 100 | 100 | 14.71 | 23.15 |
| 18 | 1.456219 | 1.72 | 0.4 | 0.25 | 0.5 | 40 | 293 | 324 | 6.42 | 25.48 |

## 9.2   MIMICS parameters

*Table 26. MIMICS parameters common to all PFTs.*

| Vmax(T)– temperature sensitive maximum reaction velocities (mg C (mg MIC)$^{-1}$ h$^{-1}$) | | | |
|---|---|---|---|
| $Vmax_{[rk]}(T) = \exp\left(Vslope_{[rk]} \cdot T_{soil} + Vint_{[rk]}\right) \cdot av_{[rk]} \cdot Vmod_{[rk]}$ | | | |
| 0.063 | Vslope(r1) | Vmax regression slope (ln(mg Cs (mg MIC)$^{-1}$ h$^{-1}$) C$^{-1}$) | LITm to MICr |
| 0.063 | Vslope(r2) | Vmax regression slope (ln(mg Cs (mg MIC)$^{-1}$ h$^{-1}$) C$^{-1}$) | LITs to MICr |
| 0.063 | Vslope(r3) | Vmax regression slope (ln(mg Cs (mg MIC)$^{-1}$ h$^{-1}$) C$^{-1}$) | SOMa to MICr |
| 0.063 | Vslope(k1) | Vmax regression slope (ln(mg Cs (mg MIC)$^{-1}$ h$^{-1}$) C$^{-1}$) | LITm to MICk |
| 0.063 | Vslope(k2) | Vmax regression slope (ln(mg Cs (mg MIC)$^{-1}$ h$^{-1}$) C$^{-1}$) | LITs to MICk |
| 0.063 | Vslope(k3) | Vmax regression slope (ln(mg Cs (mg MIC)$^{-1}$ h$^{-1}$) C$^{-1}$) | SOMa to MICk |

| 5.47 | Vint(r1) | Vmax regression intercept (ln(mg Cs (mg MIC)$^{-1}$ h$^{-1}$) ) | LITm to MICr |
|---|---|---|---|
| 5.47 | Vint(r2) | Vmax regression intercept (ln(mg Cs (mg MIC)$^{-1}$ h$^{-1}$) ) | LITs to MICr |
| 5.47 | Vint(r3) | Vmax regression intercept (ln(mg Cs (mg MIC)$^{-1}$ h$^{-1}$) ) | SOMa to MICr |
| 5.47 | Vint(k1) | Vmax regression intercept (ln(mg Cs (mg MIC)$^{-1}$ h$^{-1}$) ) | LITm to MICk |
| 5.47 | Vint(k2) | Vmax regression intercept (ln(mg Cs (mg MIC)$^{-1}$ h$^{-1}$) ) | LITs to MICk |
| 5.47 | Vint(k3) | Vmax regression intercept (ln(mg Cs (mg MIC)$^{-1}$ h$^{-1}$) ) | SOMa to MICk |
| 1.25E-08 | av(r1) | Vmax tuning coefficient | LITm to MICr |
| 1.25E-08 | av(r2) | Vmax tuning coefficient | LITs to MICr |
| 1.25E-08 | av(r3) | Vmax tuning coefficient | SOMa to MICr |
| 1.25E-08 | av(k1) | Vmax tuning coefficient | LITm to MICk |
| 1.25E-08 | av(k2) | Vmax tuning coefficient | LITs to MICk |
| 1.25E-08 | av(k3) | Vmax tuning coefficient | SOMa to MICk |

**Km(T) – Temperature sensitive reverse Michaelis-Menton half-saturation coefficient**

$$Km_{[rk]}(T) = \exp\left(Kslope_{[rk]} * T_{soil} + Kint_{[rk]}\right) * ak_{[rk]} / Kmod_{[rk]}$$

$$Km_{[r3]}(T) = \exp\left(Kslope_{[r3]} * T_{soil} + Kint_{[r3]}\right) * ak_{[r3]} / \left(Kmod_{[r3]} * Pscalar\right)$$

$$Km_{[k3]}(T) = \exp\left(Kslope_{[k3]} * T_{soil} + Kint_{[k3]}\right) * ak_{[k3]} / \left(Kmod_{[k3]} * Pscalar\right)$$

| 0.02 | Kslope(r1) | Km regression slope (reverse MM) | LITm to MICr |
|---|---|---|---|
| 0.02 | Kslope(r2) | Km regression slope (reverse MM) | LITs to MICr |
| 0.02 | Kslope(r3) | Km regression slope (reverse MM) | SOMa to MICr |
| 0.02 | Kslope(k1) | Km regression slope (reverse MM) | LITm to MICk |
| 0.02 | Kslope(k2) | Km regression slope (reverse MM) | LITs to MICk |
| 0.02 | Kslope(k3) | Km regression slope (reverse MM) | SOMa to MICk |
| 3.19 | Kint(r1) | Km regression intercept | LITm to MICr |
| 3.19 | Kint(r2) | Km regression intercept | LITs to MICr |
| 3.19 | Kint(r3) | Km regression intercept | SOMa to MICr |
| 3.19 | Kint(k1) | Km regression intercept | LITm to MICk |
| 3.19 | Kint(k2) | Km regression intercept | LITs to MICk |
| 3.19 | Kint(k3) | Km regression intercept | SOMa to MICk |

| | | | |
|---|---|---|---|
| 0.015625 | ak(r1) | Km tuning coefficient | LITm to MICr |
| 0.015625 | ak(r2) | Km tuning coefficient | LITs to MICr |
| 0.015625 | ak(r3) | Km tuning coefficient | SOMa to MICr |
| 0.015625 | ak(k1) | Km tuning coefficient | LITm to MICk |
| 0.015625 | ak(k2) | Km tuning coefficient | LITs to MICk |
| 0.015625 | ak(k3) | Km tuning coefficient | SOMa to MICk |
| **Vmax modifier (see Vmax(T) calculation above)** | | | |
| 10 | Vmod(r1) | modifies Vmax[r1] | LITm to MICr |
| 2 | Vmod(r2) | modifies Vmax[r2] | LITs to MICr |
| 10 | Vmod(r3) | modifies Vmax[r3] | SOMa to MICr |
| 3 | Vmod(k1) | modifies Vmax[k1] | LITm to MICk |
| 3 | Vmod(k2) | modifies Vmax[k2] | LITs to MICk |
| 2 | Vmod(k3) | modifies Vmax[k3] | SOMa to MICk |
| **Km modifier (see Km(T) calculation above)** | | | |
| 8 | Kmod(r1) | modifies Km[r1] | LITm to MICr |
| 2 | Kmod(r2) | modifies Km[r2] | LITs to MICr |
| 4 | Kmod(r3) | modifies Km[r3] | SOMa to MICr |
| 2 | Kmod(k1) | modifies Km[k1] | LITm to MICk |
| 4 | Kmod(k2) | modifies Km[k2] | LITs to MICk |
| 6 | Kmod(k3) | modifies Km[k3] | SOMa to MICk |
| **Oxidation of SOMc** | | | |

$$OXIDAT = \left( \frac{MICr \cdot Vmax[r2] \cdot SOMc}{KO[1] \cdot Km[r2] + MICr} \right) + \left( \frac{MICk \cdot Vmax[k2] \cdot SOMc}{KO[2] \cdot Km[k2] + MICk} \right)$$

| | | | |
|---|---|---|---|
| 6 | KO(1) | modifies Km[r2] for oxidation of SOMc | |
| 6 | KO(2) | modifies Km[k2] for oxidation of SOMc | |
| **Microbial Growth Efficiency** | | | |
| 0.5 | MGE(1) | microbial growth efficiency for C fluxes from LITm or SOMa into MICr (mg mg$^{-1}$) | |
| 0.25 | MGE(2) | microbial growth efficiency for C fluxes from LITs into MICr (mg mg$^{-1}$) | |
| 0.7 | MGE(3) | microbial growth efficiency for C fluxes from LITm or SOMa into MICk (mg mg$^{-1}$) | |

| | | |
|---|---|---|
| 0.35 | MGE(4) | microbial growth efficiency for C fluxes from LITs into MICk (mg mg$^{-1}$) |
| **Microbial turnover of r-selected and K-selected (tauR and tauK)** | | |
| 0.00052 | tau_r(1) | tauR(npt) = tau_r(1) * exp(tau_r(2) * fmet(npt)) * tauMod(npt) |
| 0.3 | tau_r(2) | tauR(npt) = tau_r(1) * exp(tau_r(2) * fmet(npt)) * tauMod(npt) |
| 0.00024 | tau_k(1) | tauK(npt) = tau_k(1) * exp(tau_k(2) * fmet(npt)) * tauMod(npt) |
| 0.1 | tau_k(2) | tauK(npt) = tau_k(1) * exp(tau_k(2) * fmet(npt)) * tauMod(npt) |
| 100 | tauModDenom | tauMod(npt) = SQRT(NPP$_{AN}$(npt)/tauModDenom) |
| 0.6 | tauMod_MIN | tauMod(npt) = MAX(tauMod_MIN, tauMod(npt)) |
| 1.3 | tauMod_MAX | tauMod(npt) = MIN(tauMod_MAX, tauMod(npt)) |
| **Fraction of physically protected carbon (fPHYS)** | | |
| *fPHYSr*– fraction of τ$_r$ partitioned into *SOMp* (0.0 – 1.0) | | |
| *fPHYSk* – fraction of τ$_k$ partitioned into *SOMp* (0.0 – 1.0) | | |
| 0.15 | fPHYS_r(1) | fPHYSr(npt,1) = fPHYS_r(1) * exp(fPHYS_r(2) * clay(npt)) |
| 1.3 | fPHYS_r(2) | fPHYSr(npt,1) = fPHYS_r(1) * exp(fPHYS_r(2) * clay(npt)) |
| 0.1 | fPHYS_K(1) | fPHYSk(npt,2) = fPHYS_K(1) * exp(fPHYS_K(2) * clay(npt)) |
| 0.8 | fPHYS_K(2) | fPHYSk(npt,2) = fPHYS_K(1) * exp(fPHYS_K(2) * clay(npt)) |
| *fCHEMr* – fraction of τ$_r$ partitioned into *SOMc* (0.0 – 1.0) | | |
| *fCHEMk* – fraction of τ$_k$ partitioned into *SOMc* (0.0 – 1.0) | | |
| 0.1 | fCHEM_r(1) | fCHEMr(npt) = fCHEM_r(1) * exp(fCHEM_r(2) * fmet(npt)) * fCHEM_r(3) |
| -3 | fCHEM_r(2) | fCHEMr(npt) = fCHEM_r(1) * exp(fCHEM_r(2) * fmet(npt)) * fCHEM_r(3) |
| 3 | fCHEM_r(3) | fCHEMr(npt) = fCHEM_r(1) * exp(fCHEM_r(2) * fmet(npt)) * fCHEM_r(3) |
| 0.3 | fCHEM_K(1) | fCHEMk(npt) = fCHEM_K(1) * exp(fCHEM_K(2) * fmet(npt)) * fCHEM_K(3) |
| -3 | fCHEM_K(2) | fCHEMk(npt) = fCHEM_K(1) * exp(fCHEM_K(2) * fmet(npt)) * fCHEM_K(3) |
| 3 | fCHEM_K(3) | fCHEMk(npt) = fCHEM_K(1) * exp(fCHEM_K(2) * fmet(npt)) * fCHEM_K(3) |
| **Desorption of physically protected SOM to available SOM (hr$^{-1}$)** | | |
| $DEsorb = SOMp \cdot 1.5 \times 10^{-5} \cdot \exp(-1.5\, fclay)$ | | |
| 1.00E-05 | fSOM_p(1) | desorb(npt) = fSOM_p(1)* exp(fSOM_p(2) * clay(npt)) |
| -3.5 | fSOM_p(2) | desorb(npt) = fSOM_p(1)* exp(fSOM_p(2) * clay(npt)) |
| **Physical protection modifier on consumption of SOMa (Pscalar)** | | |

| See Km$_{r3}$(T) and Km$_{k3}$(T) calculations above. | | |
|---|---|---|
| 3 | phys_scalar(1) | Pscalar = phys_scalar(1) * exp(phys_scalar(2)*SQRT(clay(npt))) |
| -2 | phys_scalar(2) | Pscalar = phys_scalar(1) * exp(phys_scalar(2)*SQRT(clay(npt))) |
| **Direct litter transfer to SOMp and SOMc** | | |
| 0.05 | FI(metb) | fraction of metabolic litter inputs transferred to SOMp, the remaining goes to the metabolic litter pool. |
| 0.05 | FI(struc) | fraction of structural litter inputs transferred to SOMc, the remaining goes to the structural litter pool. |
| **fmet = fraction of plant residue transferred to metabolic litter (0.0 – 1.0) (structural litter fraction = 1 – fmet)** | | |
| 0.75 | fmet_p(1) | fmet(npt) = fmet_p(1) * (fmet_p(2) - fmet_p(3)* ligninNratioAvg(npt)) |
| 0.85 | fmet_p(2) | fmet(npt) = fmet_p(1) * (fmet_p(2) - fmet_p(3)* ligninNratioAvg(npt)) |
| 0.013 | fmet_p(3) | fmet(npt) = fmet_p(1) * (fmet_p(2) - fmet_p(3)* ligninNratioAvg(npt)) |

*Table 27. MIMICS PFT-specific soil depths.*

| vegtype (PFT) | SOM depth (cm) |
|---|---|
| 1 | 100 |
| 2 | 100 |
| 3 | 100 |
| 4 | 100 |
| 5 | 100 |
| 6 | 100 |
| 7 | 100 |
| 8 | 100 |
| 9 | 100 |
| 10 | 100 |
| 11 | 100 |
| 12 | 100 |
| 13 | 100 |
| 14 | 100 |

| | |
|---|---|
| 15 | 100 |
| 16 | 100 |
| 17 | 100 |
| 18 | 100 |

## 9.3   CORPSE parameters from corpse_params_new.nml

Table 28. CORPSE model parameters common to all PFTs (CORPSE has no PFT-specific parameters).

| soil_carbon_nml | Description |
|---|---|
| Vmaxref = 4500e0, 25e0, 600e0 | Vmax at reference temperature ($yr^{-1}$) for labile, recalcitrant, and dead microbe pools |
| Ea = 37e3, 54e3, 50e3 | Activation energy (kJ/mol) for labile, recalcitrant, and dead microbe pools |
| kC = 0.01, 0.01, 0.01 | Michaelis-Menton C parameter (dimensionless microbe fraction of total C) for labile, recalcitrant, and dead microbe pools |
| minMicrobeC = 1e-3 | Minimum microbial biomass (fraction of total C) |
| Tmic = 0.25 | Microbial turnover rate ($yr^{-1}$) |
| eup = 0.6, 0.05, 0.6 | Microbial uptake efficiency (dimensionless fraction) for labile, recalcitrant, and dead microbe pools |
| protection_rate = 1.5 | Rate that carbon becomes protected ($yr^{-1}$ or $yr^{-1}$ kg-microbial-biomass$^{-1}$ depending on microbe_driven_protection) |
| microbe_driven_protection = .FALSE. | Whether to use microbial biomass in protection rate |
| protection_species = 1.0, 0.001, 1.0 | Relative protection rate of each carbon species (between 0 and 1) for labile, recalcitrant, and dead microbe pools |
| tProtected = 45.0 | Turnover time for protected carbon transition back to unprotected pool (years) |
| protected_carbon_decomp_factor = 0.0 | vmaxref for protected carbon is multiplied by this (0.0 if protected C is inaccessible to microbial decomposition) |
| soilMaxCohorts = 2 | Maximum number of cohorts in each soil carbon pool |
| gas_diffusion_exp = 2.5 | Exponent for gas diffusion power law dependence on theta (Meslin, Adler et al. 2010) |
| et = 0.6 | Fraction of microbial turnover not converted to $CO_2$ |
| leaching_solubility = 0.0 | Rate carbon dissolves in soil water at saturated moisture ($yr^{-1}$) |
| DOC_deposition_rate = 1.0e10 | Rate carbon is deposited from DOC ($yr^{-1}$) -- currently set very high so there is no persistent DOC |

| soil_carbon_nml | Description |
|---|---|
| flavor_relative_solubility = 1.0, 0.1, 1.0 | Relative solubility of for labile, recalcitrant, and dead microbe pools (0.0 – 1.0) |
| protected_relative_solubility = 0.0 | Relative solubility of protected carbon (0.0 – 1.0) |
| litterDensity = 22.0 | C density of litter layer (kg/m$^3$) (22.0 roughly from Gaudinsky et al. 2000) |
| **CORPSE_casa_nml** | |
| initial_C = 0.0, 2.0, 0.0 | Initial C values for labile, recalcitrant, and dead microbe pools (initcasa=0 only) (kg C /m$^2$) |
| exudate_npp_frac = 0.02, 0.0, 0.0 | Fraction of annual NPP going to root exudation for labile, recalcitrant, and dead microbe pools |
| rhizosphere_frac = 0.3 | Fraction of soil pool located in the rhizosphere (0.0 – 1.0) |

# 10 References

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Koven, C. D., et al. (2013). "The effect of vertically-resolved soil biogeochemistry and alternate soil C and N models on C dynamics of CLM4." Biogeosciences **10**: 7109-7131.

Loveland, T. R., et al. (2000). "Development of a global land cover characteristics database and IGBP DISCover from 1 km AVHRR data." International Journal of Remote Sensing **21**(6-7): 1303-1330.

> Researchers from the U.S. Geological Survey, University of Nebraska-Lincoln and the European Commission's Joint Research Centre, Ispra, Italy produced a 1 km resolution global land cover characteristics database for use in a wide range of continental- to global-scale environmental studies. This database provides a unique view of the broad patterns of the biogeographical and ecoclimatic diversity of the global land surface, and presents a detailed interpretation of the extent of human development. The project was carried out as an International Geosphere-Biosphere Programme, Data and Information Systems (IGBP-DIS) initiative. The IGBP DISCover global land cover product is an integral component of the global land cover database. DISCover includes 17 general land cover classes defined to meet the needs of IGBP core science projects. A formal accuracy assessment of the DISCover data layer will be completed in 1998.

The 1 km global land cover database was developed through a continent-by-continent unsupervised classification of 1 km monthly Advanced Very High Resolution Radiometer (AVHRR) Normalized Difference Vegetation Index (NDVI) composites covering 1992-1993. Extensive post-classification stratification was necessary to resolve spectral/temporal confusion between disparate land cover types. The complete global database consists of 961 seasonal land cover regions that capture patterns of land cover, seasonality and relative primary productivity. The seasonal land cover regions were aggregated to produce seven separate land cover data sets used for global environmental modelling and assessment. The data sets include IGBP DISCover, U.S. Geological Survey Anderson System, Simple Biosphere Model, Simple Biosphere Model 2, Biosphere Atmosphere Transfer Scheme, Olson Ecosystems and Running Global Remote Sensing Land Cover. The database also includes all digital sources that were used in the classification. The complete database can be sourced from the website: http://edcwww.cr.usgs.gov/landdaac/glcc/glcc.html.

Meslin, P. Y., et al. (2010). "Diffusive transport of gases in wet porous media. Application to radon." Soil Science Society Of America Journal **74**: 1871–1885.

Oleson, K., et al. (2013). Technical description of version 4.5 of the Community Land Model (CLM). NCAR Technical Note NCAR/TN-503+STR**:** 420.

Oleson, K. W., et al. (2013). Technical description of version 4.5 of the Community Land Model (CLM), NCAR/TN-503+STR NCAR Technical Note. Boulder, CO, National Center for Atmospheric Research**:** 420.

Potter, C. S., et al. (1993). "Terrestrial Ecosystem Production - a Process Model-Based on Global Satellite and Surface Data." Global Biogeochemical Cycles **7**(4): 811-841.

> This paper presents a modeling approach aimed at seasonal resolution of global climatic and edaphic controls on patterns of terrestrial ecosystem production and soil microbial respiration. We use satellite imagery (Advanced Very High Resolution Radiometer and International Satellite Cloud Climatology Project solar radiation), along with historical climate (monthly temperature and precipitation) and soil attributes (texture, C and N contents) from global (1-degrees) data sets as model inputs. The Carnegie-Ames-Stanford approach (CASA) Biosphere model runs on a monthly time interval to simulate seasonal patterns in net plant carbon fixation, biomass and nutrient allocation, litterfall, soil nitrogen mineralization, and microbial $CO_2$ production. The model estimate of global terrestrial net primary production is 48 Pg C yr-1 with a maximum light use efficiency of 0.39 g C MJ-1 PAR. Over 70% of terrestrial net production takes place between 30-degrees-N and 30-degrees-S latitude. Steady state pools of standing litter represent global storage of around 174 Pg C (94 and 80 Pg C in nonwoody and woody pools, respectively), whereas the pool of soil C in the top 0.3 m that is turning over on decadal time scales comprises 300 Pg C. Seasonal variations in atmospheric $CO_2$ concentrations from three stations in the Geophysical Monitoring for Climate Change Flask Sampling Network correlate significantly with estimated net ecosystem production values averaged over 50-degrees-80-degrees-N, 10-degrees-30-degrees-N, and 0-degrees-10-degrees-N.

Randerson, J. T., et al. (1997). "The contribution of terrestrial sources and sinks to trends in the seasonal cycle of atmospheric carbon dioxide." Global Biogeochemical Cycles **11**(4): 535-560.

> We characterized decadal changes in the amplitude and shape of the seasonal cycle of atmospheric $CO_2$ with three kinds of analysis. First, we calculated the trends in the seasonal cycle of measured atmospheric $CO_2$ at observation stations in the National Oceanic and Atmospheric Administration Climate Monitoring and Diagnostic Laboratory network. Second, we assessed the impact of terrestrial ecosystems in various localities on the mean seasonal cycle of $CO_2$ at observation stations using the Carnegie-Ames-Stanford Approach terrestrial biosphere model and the Goddard Institute for Space Studies (GISS) atmospheric tracer transport model. Third, we used the GISS tracer model to quantify the contribution of terrestrial sources and sinks to trends in the seasonal cycle of atmospheric $CO_2$ for the period 1961–1990, specifically examining the effects of biomass burning, emissions from fossil fuel combustion, and regional increases in net primary production (NPP). Our analysis supports results from previous studies that indicate a significant positive increase in the amplitude of the seasonal cycle of $CO_2$ at Arctic and subarctic observation stations. For stations north of 55°N the amplitude increased at a mean rate of 0.66% yr−1 from 1981 to 1995. From the analysis of ecosystem impacts on the mean seasonal cycle we find that tundra, boreal forest, and other northern ecosystems are responsible for most of the seasonal variation in $CO_2$ at stations north of 55°N. The effects of tropical biomass burning on trends in the seasonal cycle are minimal at these stations, probably because of strong vertical convection in equatorial regions. From 1981 to 1990, fossil fuel emissions contributed a trend of 0.20% yr−1 to the seasonal cycle amplitude at Mauna Loa and less than 0.10% yr−1 at stations north of 55°N. To match the observed amplitude increases at Arctic and subarctic stations with NPP increases, we find that north of 30°N a 1.7 Pg C yr−1 terrestrial sink would be required. In contrast, over regions south of 30°N, even large NPP

increases and accompanying terrestrial sinks would be insufficient to account for the increase in high-latitude amplitudes.

Randerson, J. T., et al. (1996). "Substrate limitations for heterotrophs: Implications for models that estimate the seasonal cycle of atmospheric CO2." Global Biogeochemical Cycles **10**(4): 585-602.

> We examine the sensitivity of the seasonal cycle of heterotrophic respiration to model estimates of litterfall seasonality, herbivory, plant allocation, tissue chemistry, and land use. As a part of this analysis, we compare heterotrophic respiration models based solely on temperature and soil moisture controls (zero-order models) with models that depend on available substrate as well (first-order models). As indicators of regional and global CO2 exchange, we use maps of monthly global net ecosystem production, growing season net flux (GSNF), and simulated atmospheric CO2 concentrations from an atmospheric tracer transport model. In one first-order model, CASA, variations on the representation of the seasonal flow of organic matter from plants to heterotrophs can increase global GSNF as much as 60% (5.7 Pg C yr−1) above estimates obtained from a zero-order model. Under a new first-order scheme that includes separate seasonal dynamics for leaf litterfall, fine root mortality, coarse woody debris, and herbivory, we observe an increase in GSNF of 8% (0.7 Pg C yr−1) over that predicted by the zero-order model. The increase in seasonality of CO2 exchange in first-order models reflects the dynamics of labile litter fractions; specifically, the rapid decomposition of a pulse of labile leaf and fine root litter that enters the heterotrophic community primarily from the middle to the end of the growing season shifts respiration outside the growing season. From the perspective of a first-order model, we then explore the consequences of land use change and winter temperature anomalies on the amplitude of the seasonal cycle of atmospheric CO2. Agricultural practices that accelerate decomposition may drive a long-term increase in the amplitude, independent of human impacts on plant production. Consideration of first-order litter decomposition dynamics may also help explain year-to-year variation in the amplitude.

Sitch, S., et al. (2003). "Evaluation of ecosystem dynamics, plant geography and terrestrial carbon cycling in the LPJ dynamic global vegetation model." Global Change Biology **9**(2): 161-185.

> The Lund–Potsdam–Jena Dynamic Global Vegetation Model (LPJ) combines process-based, large-scale representations of terrestrial vegetation dynamics and land-atmosphere carbon and water exchanges in a modular framework. Features include feedback through canopy conductance between photosynthesis and transpiration and interactive coupling between these 'fast' processes and other ecosystem processes including resource competition, tissue turnover, population dynamics, soil organic matter and litter dynamics and fire disturbance. Ten plants functional types (PFTs) are differentiated by physiological, morphological, phenological, bioclimatic and fire-response attributes. Resource competition and differential responses to fire between PFTs influence their relative fractional cover from year to year. Photosynthesis, evapotranspiration and soil water dynamics are modelled on a daily time step, while vegetation structure and PFT population densities are updated annually. Simulations have been made over the industrial period both for specific sites where field measurements were available for model evaluation, and globally on a 0.5°° × 0.5°° grid. Modelled vegetation patterns are consistent with observations, including remotely sensed vegetation structure and phenology. Seasonal cycles of net ecosystem exchange and soil moisture compare well with local measurements. Global carbon exchange fields used as input to an atmospheric tracer transport model (TM2) provided

a good fit to observed seasonal cycles of CO2 concentration at all latitudes. Simulated inter-annual variability of the global terrestrial carbon balance is in phase with and comparable in amplitude to observed variability in the growth rate of atmospheric CO2. Global terrestrial carbon and water cycle parameters (pool sizes and fluxes) lie within their accepted ranges. The model is being used to study past, present and future terrestrial ecosystem dynamics, biochemical and biophysical interactions between ecosystems and the atmosphere, and as a component of coupled Earth system models.

Sulman, B. N., et al. (2014). "Microbe-driven turnover offsets mineral-mediated storage of soil carbon under elevated CO$_2$." Nature Climate Change **4**(12): 1099-1102.

Wang, Y. P., et al. (2010). "A global model of carbon, nitrogen and phosphorus cycles for the terrestrial biosphere." Biogeosciences **7**(7): 2261-2282.

Carbon storage by many terrestrial ecosystems can be limited by nutrients, predominantly nitrogen (N) and phosphorus (P), in addition to other environmental constraints, water, light and temperature. However the spatial distribution and the extent of both N and P limitation at the global scale have not been quantified. Here we have developed a global model of carbon (C), nitrogen (N) and phosphorus (P) cycles for the terrestrial biosphere. Model estimates of steady state C and N pool sizes and major fluxes between plant, litter and soil pools, under present climate conditions, agree well with various independent estimates. The total amount of C in the terrestrial biosphere is 2767 Gt C, and the C fractions in plant, litter and soil organic matter are 19%, 4% and 77%. The total amount of N is 135 Gt N, with about 94% stored in the soil, 5% in the plant live biomass, and 1% in litter. We found that the estimates of total soil P and its partitioning into different pools in soil are quite sensitive to biochemical P mineralization. The total amount of P (plant biomass, litter and soil) excluding occluded P in soil is 17 Gt P in the terrestrial biosphere, 33% of which is stored in the soil organic matter if biochemical P mineralization is modelled, or 31 Gt P with 67% in soil organic matter otherwise. This model was used to derive the global distribution and uncertainty of N or P limitation on the productivity of terrestrial ecosystems at steady state under present conditions. Our model estimates that the net primary productivity of most tropical evergreen broadleaf forests and tropical savannahs is reduced by about 20% on average by P limitation, and most of the remaining biomes are N limited; N limitation is strongest in high latitude deciduous needle leaf forests, and reduces its net primary productivity by up to 40% under present conditions.

Wieder, W. R., et al. (2014). "Integrating microbial physiology and physio-chemical principles in soils with the MIcrobial-MIneral Carbon Stabilization (MIMICS) model." Biogeosciences **11**: 3899–3917.

Wieder, W. R., et al. (2015). "Representing life in the Earth system with soil microbial functional traits in the MIMICS model." Geoscientific Model Development **8**(6): 1789-1808.

Projecting biogeochemical responses to global environmental change requires multi-scaled perspectives that consider organismal diversity, ecosystem processes, and global fluxes. However, microbes, the drivers of soil organic matter decomposition and stabilization, remain notably absent from models used to project carbon (C) cycle-climate feedbacks. We used a microbial trait-based soil C model with two physiologically distinct microbial communities, and

evaluate how this model represents soil C storage and response to perturbations. Drawing from the application of functional traits used to model other ecosystems, we incorporate copiotrophic and oligotrophic microbial functional groups in the MIcrobial-MIneral Carbon Stabilization (MIMICS) model; these functional groups are akin to 'gleaner' vs. 'opportunist' plankton in the ocean, or r- vs. K-strategists in plant and animal communities. Here we compare MIMICS to a conventional soil C model, DAYCENT (the daily time-step version of the CENTURY model), in cross-site comparisons of nitrogen (N) enrichment effects on soil C dynamics. MIMICS more accurately simulates C responses to N enrichment; moreover, it raises important hypotheses involving the roles of substrate availability, community-level enzyme induction, and microbial physiological responses in explaining various soil biogeochemical responses to N enrichment. In global-scale analyses, we show that MIMICS projects much slower rates of soil C accumulation than a conventional soil biogeochemistry in response to increasing C inputs with elevated carbon dioxide ($CO_2$) - a finding that would reduce the size of the land C sink estimated by the Earth system. Our findings illustrate that tradeoffs between theory and utility can be overcome to develop soil biogeochemistry models that evaluate and advance our theoretical understanding of microbial dynamics and soil biogeochemical responses to environmental change.

Zhang, X., et al. (2006). "Global vegetation phenology from Moderate Resolution Imaging Spectroradiometer (MODIS): Evaluation of global patterns and comparison with in situ measurements." Journal of Geophysical Research: Biogeosciences **111**(G4): n/a-n/a.

In the last two decades the availability of global remote sensing data sets has provided a new means of studying global patterns and dynamics in vegetation. The vast majority of previous work in this domain has used data from the Advanced Very High Resolution Radiometer, which until recently was the primary source of global land remote sensing data. In recent years, however, a number of new remote sensing data sources have become available that have significantly improved the capability of remote sensing to monitor global ecosystem dynamics. In this paper, we describe recent results using data from NASA's Moderate Resolution Imaging Spectroradiometer to study global vegetation phenology. Using a novel new method based on fitting piecewise logistic models to time series data from MODIS, key transition dates in the annual cycle(s) of vegetation growth can be estimated in an ecologically realistic fashion. Using this method we have produced global maps of seven phenological metrics at 1-km spatial resolution for all ecosystems exhibiting identifiable annual phenologies. These metrics include the date of year for (1) the onset of greenness increase (greenup), (2) the onset of greenness maximum (maturity), (3) the onset of greenness decrease (senescence), and (4) the onset of greenness minimum (dormancy). The three remaining metrics are the growing season minimum, maximum, and summation of the enhanced vegetation index derived from MODIS. Comparison of vegetation phenology retrieved from MODIS with in situ measurements shows that these metrics provide realistic estimates of the four transition dates identified above. More generally, the spatial distribution of phenological metrics estimated from MODIS data is qualitatively realistic, and exhibits strong correspondence with temperature patterns in mid- and high-latitude climates, with rainfall seasonality in seasonally dry climates, and with cropping patterns in agricultural areas.