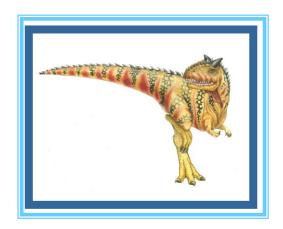
Chapter 9: Virtual-Memory Management





Chapter 9: Virtual-Memory Management

- Background
- Demand Paging
- Copy-on-Write
- Page Replacement
- Allocation of Frames
- Thrashing
- Memory-Mapped Files
- Allocating Kernel Memory
- Other Considerations
- Operating-System Examples





Objectives

- To describe the benefits of a virtual memory system
- To explain the concepts of demand paging, page-replacement algorithms, and allocation of page frames
- To discuss the principle of the working-set model





Background

- Virtual memory separation of user logical memory from physical memory.
 - Only part of the program needs to be in memory for execution
 - Logical address space can therefore be much larger than physical address space
 - Allows address spaces to be shared by several processes
 - Allows for more efficient process creation
- Virtual memory can be implemented via:
 - Demand paging
 - Demand segmentation



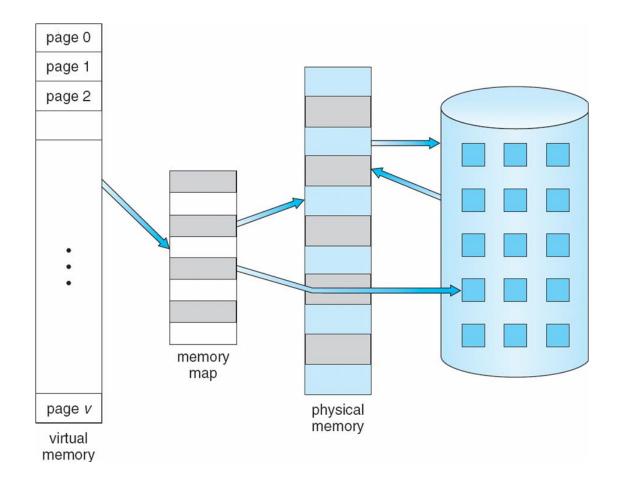


Virtual Memory That is Larger Than Physical Memory

```
linux1:~
(linux1:~) ysw% cat /proc/self/maps
00400000-0040b000 r-xp 00000000 09:03 100663537
                                                                          /bin/cat
0060a000-0060b000 r--p 0000a000 09:03 100663537
                                                                          /bin/cat
                                                                          /bin/cat
0060b000-0060c000 rw-p 0000b000 09:03 100663537
011b4000-011d5000 rw-p 00000000 00:00 0
                                                                          [heap]
7f015911f000-7f015f486000 r--p 00000000 09:03 627058
                                                                          /usr/lib64/locale/locale-archive
7f015f486000-7f015f61c000 r-xp 00000000 09:03 37223144
                                                                          /lib64/libc-2.15.so
7f015f61c000-/t015t81b000 -p 00196000 09:03 37223144
                                                                          /lib64/libc-2.15.so
7f015f81b000-7f015f81f000 r-\p_00195000_09:03 37223144
                                                                          /lib64/libc-2.15.so
7f015f81f000-7f015f821000 rw-p <del>001</del>99000 09:03 37223144
                                                                          /lib64/libc-2.15.so
7f015f821000-7f015f825000 rw-p 00000000 00:00 0
                                                                          /lib64/ld-2.15.so
7f015f825000-7f015f846000 r-xp 00000000 09:03 37223145
7f015fa29000-7f015fa2c000 rw-p 00000000 00:00 0
7f015fa44000-7f015fa45000 rw-p 00000000 00:00 0
7f015fa45000-7f015fa46000 r--p 00020000 09:03 37223145
                                                                          /lib64/ld-2.15.so
7f015fa46000-7f015fa47000 rw-p 00021000 09:03 37223145
                                                                          /lib64/ld-2.15.so
7f015fa47000-7f015fa48000 rw-p 00000000 00:00 0
7fffd9108000-7fffd9129000 rw-p 00000000 00:00 0
                                                                          [stack]
7fffd91ff000-7fffd9200000 r-xn 00000000 00:00 0
                                                                          [vdso]
fffffffff600000 ffffffffff601000 ^-xp 00000000 00:00 0
                                                                          [vsyscall]
(linux1:~) ysw%
                                       16777216 TB
```



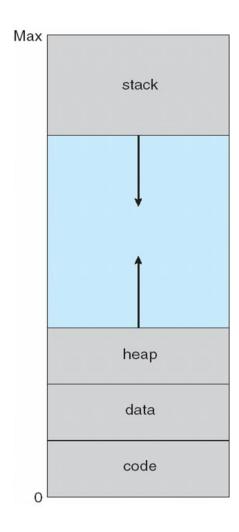
Virtual Memory That is Larger Than Physical Memory





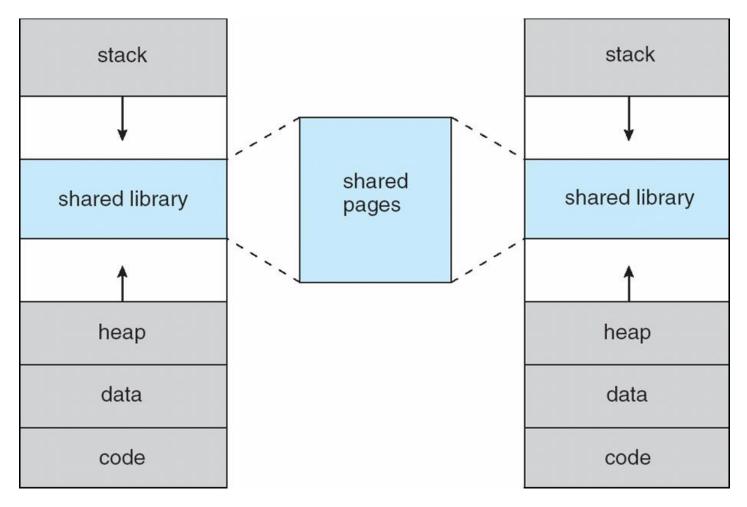


Virtual-address Space





Shared Library Using Virtual Memory





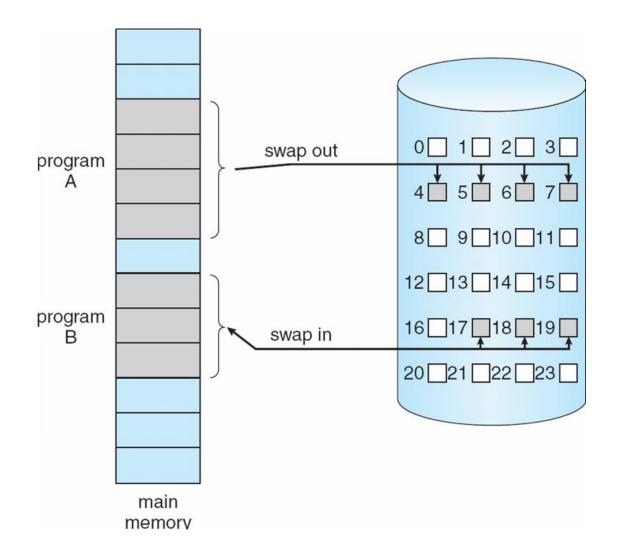


Demand Paging

- Bring a page into memory only when it is needed
 - Less I/O needed
 - Less memory needed
 - Faster response
 - More users
- Page is needed ⇒ reference to it
 - invalid reference ⇒ abort
 - not-in-memory ⇒ bring to memory
- Lazy swapper never swaps a page into memory unless page will be needed
 - Swapper that deals with pages is a pager



Transfer of a Paged Memory to Contiguous Disk Space





Valid-Invalid Bit

- With each page table entry a valid–invalid bit is associated (v ⇒ in-memory, i ⇒ not-in-memory)
- Initially valid—invalid bit is set to i on all entries
- Example of a page table snapshot:

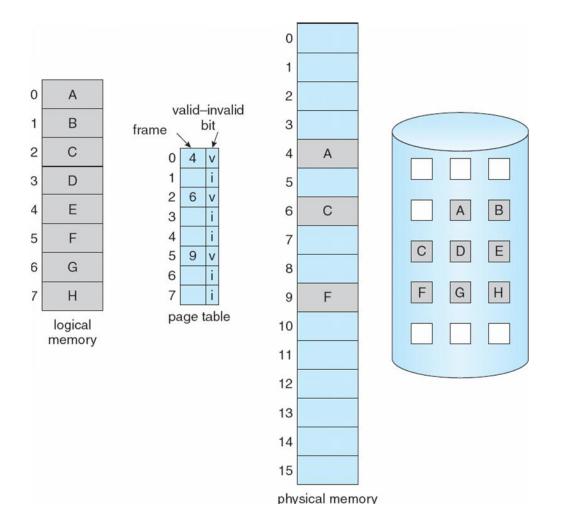
Frame #	valid	l-invalid bit
	V	
	V	
	V	
	V	
	i	
	i	
	i	
nage table	`	

page table

■ During address translation, if valid–invalid bit in page table entry is i ⇒ page fault



Page Table When Some Pages Are Not in Main Memory







Page Fault

If there is a reference to a page, first reference to that page will trap to operating system:

page fault

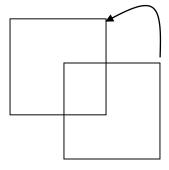
- 1. Operating system looks at another table to decide:
 - Invalid reference ⇒ abort
 - Just not in memory
- 2. Get empty frame
- 3. Swap page into frame
- 4. Reset tables
- 5. Set validation bit = v
- 6. Restart the instruction that caused the page fault





Page Fault (Cont.)

- Restart instruction
 - block move

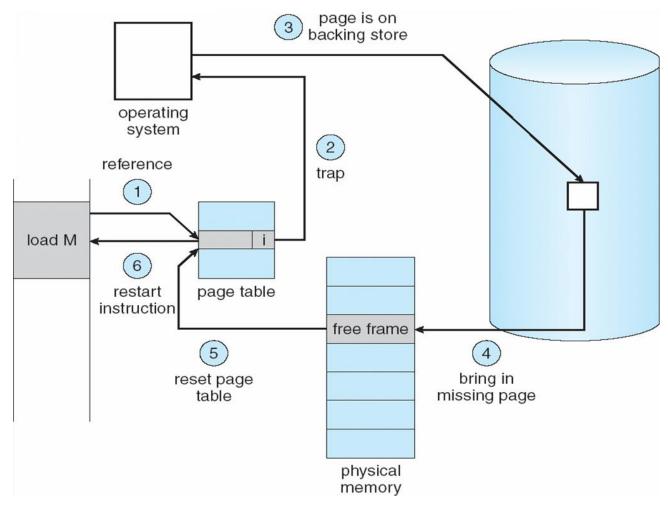


auto increment/decrement location





Steps in Handling a Page Fault



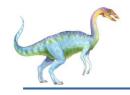


Performance of Demand Paging

- Page Fault Rate $0 \le p \le 1.0$
 - if p = 0 no page faults
 - if p = 1, every reference is a fault
- Effective Access Time (EAT)

```
EAT = (1 - p) x memory access
+ p (page fault overhead
+ swap page out
+ swap page in
+ restart overhead
```





Demand Paging Example

- Memory access time = 200 nanoseconds
- Average page-fault service time = 8 milliseconds

■ EAT =
$$(1 - p) \times 200 + p$$
 (8 milliseconds)
= $(1 - p \times 200 + p \times 8,000,000$
= $200 + p \times 7,999,800$

■ If one access out of 1,000 causes a page fault, then EAT = 8.2 microseconds.

This is a slowdown by a factor of 40!!





Process Creation

- Virtual memory allows other benefits during process creation:
 - Copy-on-Write
 - Memory-Mapped Files (later)





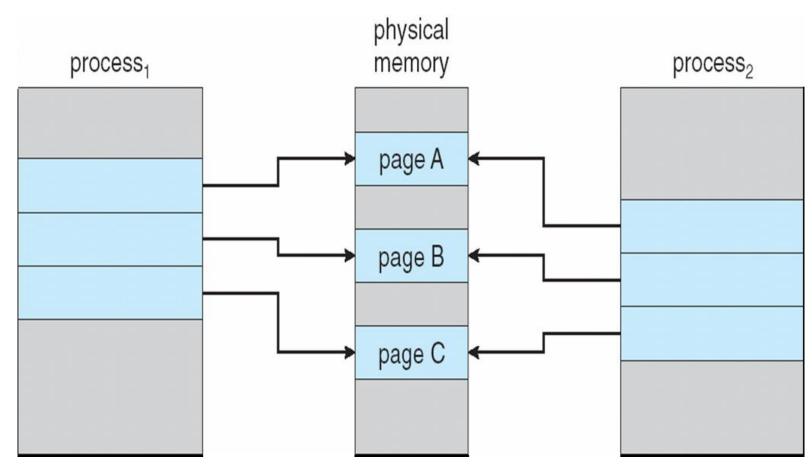
Copy-on-Write

- Copy-on-Write (COW) allows both parent and child processes to initially share the same pages in memory
 - If either process modifies a shared page, only then is the page copied
- COW allows more efficient process creation as only modified pages are copied
- Free pages are allocated from a pool of zeroed-out pages



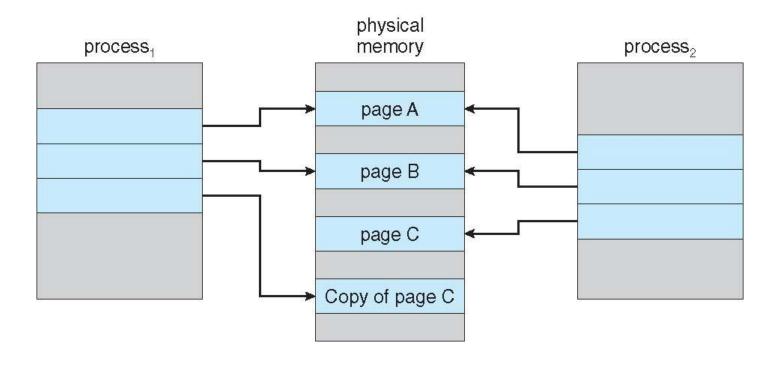


Before Process 1 Modifies Page C





After Process 1 Modifies Page C







What happens if there is no free frame?

- Page replacement find some page in memory, but not really in use, swap it out
 - algorithm
 - performance want an algorithm which will result in minimum number of page faults
- Same page may be brought into memory several times





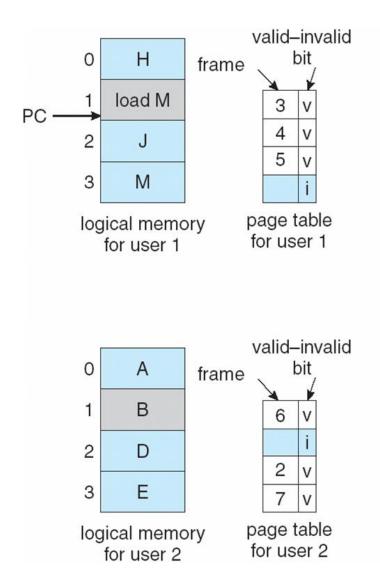
Page Replacement

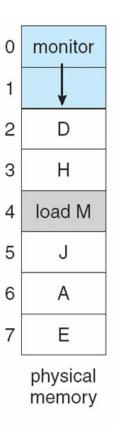
- Prevent over-allocation of memory by modifying page-fault service routine to include page replacement
- Use modify (dirty) bit to reduce overhead of page transfers only modified pages are written to disk
- Page replacement completes separation between logical memory and physical memory – large virtual memory can be provided on a smaller physical memory

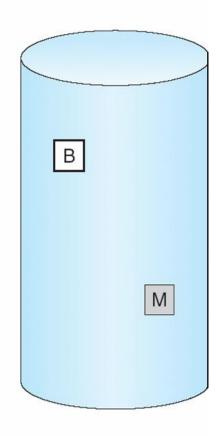




Need For Page Replacement











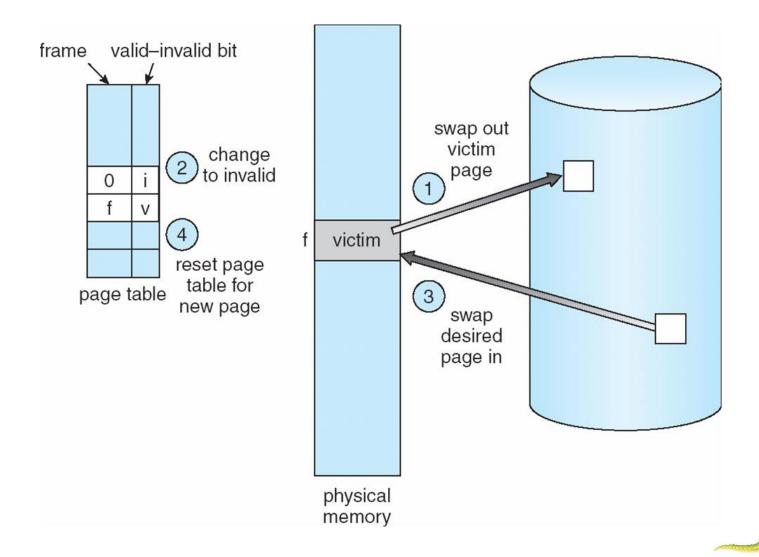
Basic Page Replacement

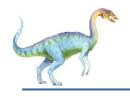
- 1. Find the location of the desired page on disk
- Find a free frame:
 - If there is a free frame, use it
 - If there is no free frame, use a page replacement algorithm to select a **victim** frame
- 3. Bring the desired page into the (newly) free frame; update the page and frame tables
- 4. Restart the process





Page Replacement



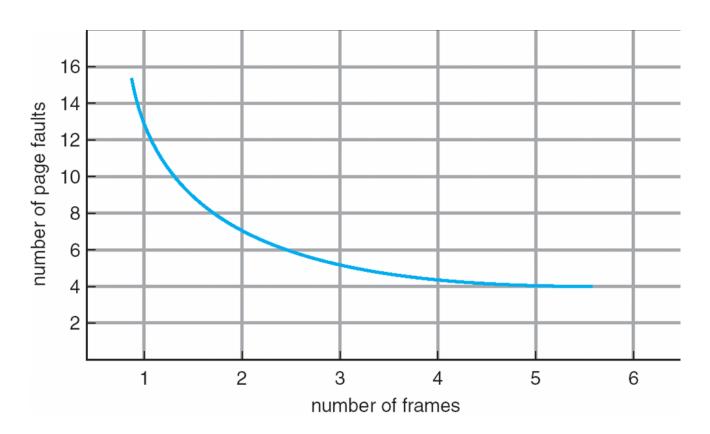


Page Replacement Algorithms

- Want lowest page-fault rate
- Evaluate algorithm by running it on a particular string of memory references (reference string) and computing the number of page faults on that string
- In all our examples, the reference string is



Graph of Page Faults Versus The Number of Frames



- □ One desirable property: When you add memory, # of page faults goes down
 - Does this always happen?
 - · Seems like it should, right?





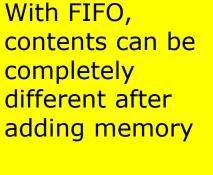
First-In-First-Out (FIFO) Algorithm

- Reference string: 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5
- 3 frames (3 pages can be in memory at a time per process)

4 frames

1	1	5	4	
2	2	1	5	10 page faults
3	3	2		
4	4	3		

■ Belady's Anomaly: more frames ⇒ more page faults

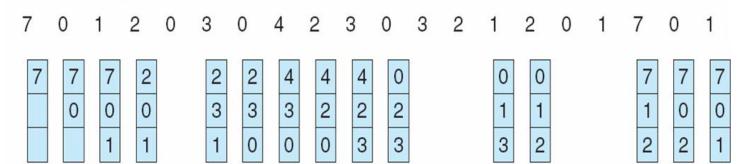






FIFO Page Replacement



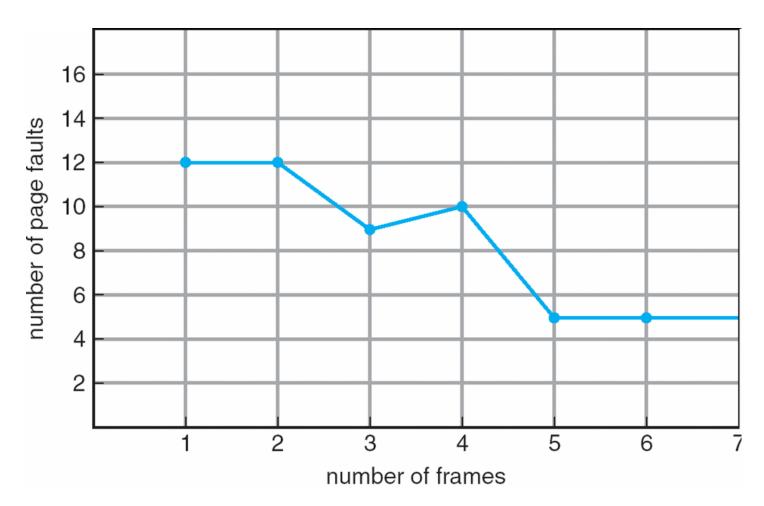


page frames





FIFO Illustrating Belady's Anomaly







Optimal Algorithm

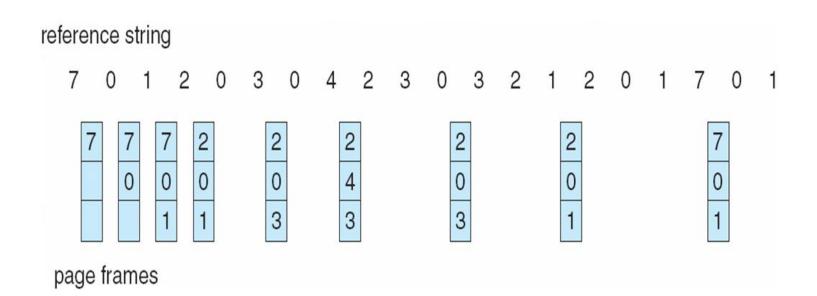
- Replace page that will not be used for longest period of time
- 4 frames example

1	4	
2		6 page faults
3		
4	5	

- How do you know this?
- Used for measuring how well your algorithm performs



Optimal Page Replacement



With OPTIMAL, contents of memory with X pages are a subset of contents with X+1 pages after adding memory



Least Recently Used (LRU) Algorithm

- Assumption: A page that has not been referenced for the longest time would wait for the longest time to be accessed again
 - Use of known history to predict unknown future
 - Does the intuition really work?
- Reference string: 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5

1	1	1	1	5
2	2	2	2	2
3	5	5	4	4
4	4	3	3	3

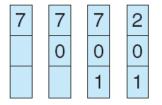
- Counter implementation
 - Every page entry has a counter; every time page is referenced through this entry, copy the clock into the counter
 - When a page needs to be changed, look at the counters to determine which are to change

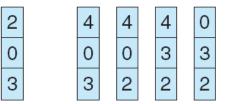


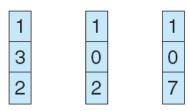
LRU Page Replacement











page frames

With LRU, contents of memory with X pages are a subset of contents with X+1 pages after adding memory





LRU Algorithm (Cont.)

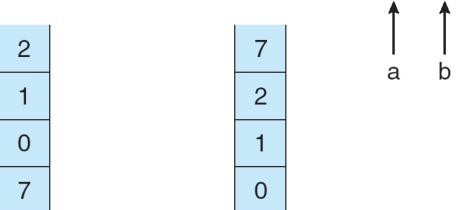
- Stack implementation keep a stack of page numbers in a double link form:
 - Page referenced:
 - move it to the top
 - requires 6 pointers to be changed
 - No search for replacement



Use Of A Stack to Record The Most Recent Page References

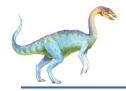
reference string





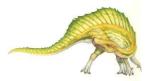
4



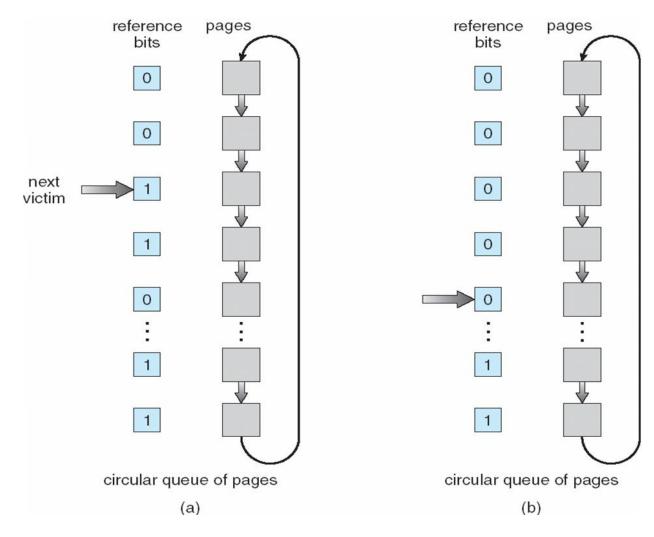


LRU Approximation Algorithms

- Both timestamp and stack implementations are too expensive to implement in practice
- Reference bit
 - With each page associate a bit, initially = 0
 - When page is referenced bit set to 1
 - Replace the one which is 0 (if one exists)
 - We do not know the order, however
- Second chance
 - Need reference bit
 - Clock replacement
 - If page to be replaced (in clock order) has reference bit = 1 then:
 - set reference bit 0
 - leave page in memory
 - replace next page (in clock order), subject to same rules



Second-Chance (clock) Page-Replacement Algorithm



Second-Chance (clock) Page-Replacement Algorithm

- What if all reference bits are set?
 - The original victim will be selected after looping around
 - The clock algorithm degenerates into FIFO
- The clock algorithm replaces an old page, not the oldest page
- What if hand moves slowly?
 - Not many page faults
 - Or, victim page can be found quickly
- What if hand moves quickly?
 - Lots of page faults
 - Or, lots of reference bits set
- One way to view clock algorithm
 - Crude partitioning of pages into two groups: young and old
 - Why not partition into more than 2 groups?

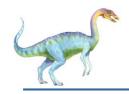


Nth

h Chance version of Clock Algorithm

- Nth chance algorithm: Give page N chances
 - OS keeps counter per page: # sweeps
 - On page fault, OS checks reference bit:
 - ▶ 1⇒clear reference bit and also clear counter (used in last sweep)
 - ▶ 0⇒increment counter; if count=N, replace page
 - Means that clock hand has to sweep by N times without page being used before page is replaced
- How do we pick N?
 - Why pick large N? Better approximation to LRU
 - If N ~ 1K, really good approximation
 - Why pick small N? More efficient
 - Otherwise might have to look a long way to find free page
- What about dirty pages?
 - Takes extra overhead to replace a dirty page, so give dirty pages an extra chance before replacing?
 - Common approach:
 - ▶ Clean pages, use N=1
 - Dirty pages, use N=2





Counting Algorithms

- Keep a counter of the number of references that have been made to each page
- LFU Algorithm: replaces page with smallest count
- MFU Algorithm: based on the argument that the page with the smallest count was probably just brought in and has yet to be used





Allocation of Frames

- Each process needs minimum number of pages
- Example: IBM 370 6 pages to handle SS MOVE instruction:
 - instruction is 6 bytes, might span 2 pages
 - 2 pages to handle from
 - 2 pages to handle to
- Two major allocation schemes
 - fixed allocation
 - priority allocation





Fixed Allocation

- Equal allocation For example, if there are 100 frames and 5 processes, give each process 20 frames.
- Proportional allocation Allocate according to the size of process

$$-s_i = \text{size of process } p_i$$

$$-S = \sum S_i$$

$$-m = total number of frames$$

$$-a_i =$$
allocation for $p_i = \frac{s_i}{S} \times m$

$$m = 64$$

$$s_i = 10$$

$$s_2 = 127$$

$$a_1 = \frac{10}{137} \times 64 \approx 5$$

$$a_2 = \frac{127}{137} \times 64 \approx 59$$





Priority Allocation

- Use a proportional allocation scheme using priorities rather than size
- If process P_i generates a page fault,
 - select for replacement one of its frames
 - select for replacement a frame from a process with lower priority number





Global vs. Local Allocation

- Global replacement process selects a replacement frame from the set of all frames; one process can take a frame from another
- Local replacement each process selects from only its own set of allocated frames





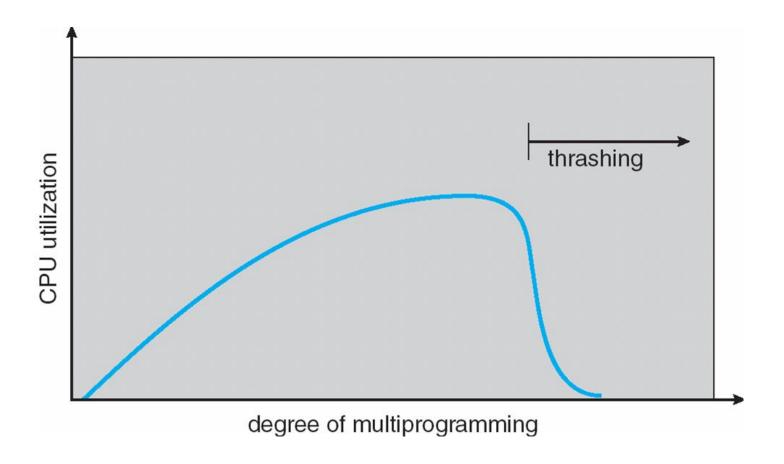
Thrashing

- If a process does not have "enough" pages, the page-fault rate is very high. This leads to:
 - low CPU utilization
 - operating system thinks that it needs to increase the degree of multiprogramming
 - another process added to the system
 - Even worse thrashing
- Thrashing = a system experiences a high degree of paging activity
 - Each process is busy swapping pages in and out





Thrashing (Cont.)







Demand Paging and Thrashing

- Pages should only be brought into memory if the executing process demands them
 - As opposed to anticipatory paging
 - Many page faults will occur until most of a process's working set of pages is located in physical memory
- Why does demand paging work? Locality model
 - Process migrates from one locality to another
 - Localities may overlap





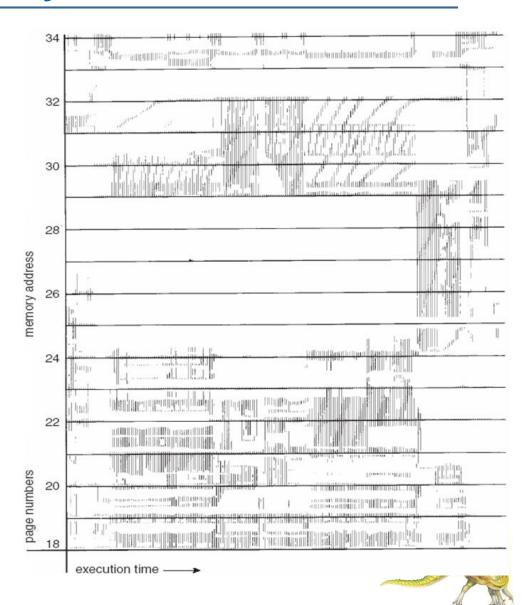
Locality In A Memory-Reference Pattern

why does paging work? locality model

- a locality is a set of pages that are actively used together
- program is composed of several different localities, which may overlap
- process migrates from one locality to another.
- if we allocate enough frames to accommodate the locality, faults will only occur when transitioning

why does thrashing occur?

 Σ locality sizes > total memory size





Demand Paging and Thrashing

- Why does thrashing occur? size of locality > total memory size
 - Could be a process is too large for memory
 - There is nothing the OS can do
 - Could also be the sum of several processes is too large
 - Figure out how much memory each process needs and schedule them accordingly
- Thrashing occurs because the system doesn't know when it has taken on more work than it can handle
- LRU-type mechanism, such as clock, order pages in terms of last access, but don't give absolute numbers indicating pages that mustn't be thrown out





Working-Set Model

- A conceptual model by Peter Denning to prevent thrashing
- Informal definition of working set
 - The collection of pages that a process is working with, and which must thus be resident if the process is to avoid thrashing
- Δ = working-set window = a fixed number of page references Example: 10,000 instruction
- WSS_i (working set of Process P_i) = total number of pages referenced in the most recent Δ (varies in time)
 - if Δ too small will not encompass entire locality
 - if Δ too large will encompass several localities
 - if $\Delta = \infty \Rightarrow$ will encompass entire program





Working-Set Model

- Use the recent needs of a process to predict its future needs
 - At any given time, all pages referenced by a process in the last workingset window are considered to comprise its working set
- A process will never be executed unless its working set is resident in memory
- Pages outside the working set may be swapped out at any time
- $D = \Sigma WSS_i \equiv \text{total demand frames}$
- if $D > m \Rightarrow$ Thrashing
- Policy if D > m, then suspend one of the processes (swap it out if needed)

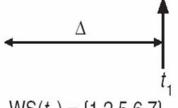




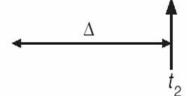
Working-set model

page reference table

...2615777751623412344434344413234443444...



$$WS(t_1) = \{1,2,5,6,7\}$$



$$WS(t_2) = \{3,4\}$$





Keeping Track of the Working Set

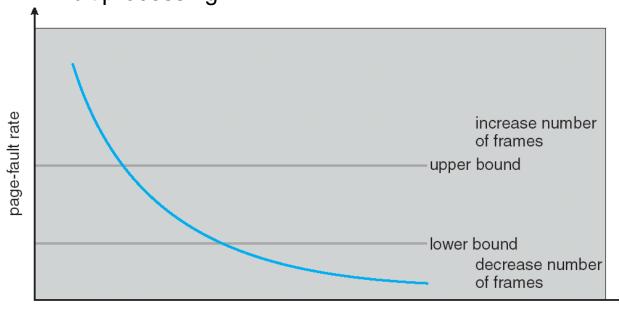
- How to determine when a page was last accessed?
- Approximate with interval timer + a reference bit
- Example: $\Delta = 10,000$
 - Timer interrupts after every 5000 time units
 - Keep in memory 2 bits for each page
 - Whenever a timer interrupts copy and sets the values of all reference bits to 0
 - If one of the bits in memory = $1 \Rightarrow$ page in working set
- Why is this not completely accurate?
- Improvement = 10 bits and interrupt every 1000 time units





Page-Fault Frequency Scheme

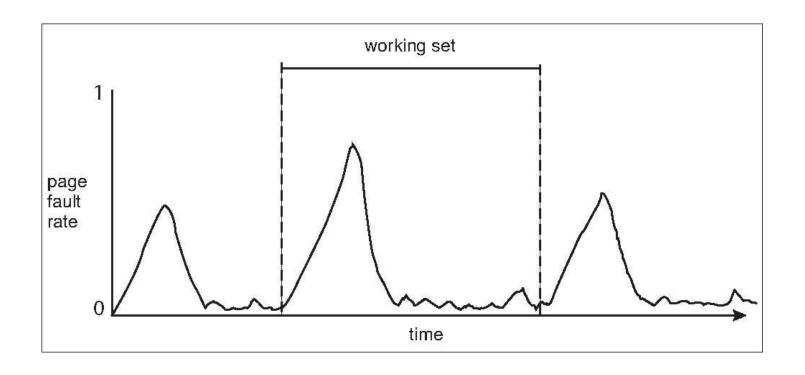
- Establish "acceptable" page-fault rate
 - If actual rate too low, process loses frame
 - Suspend processes to decrease degree of multiprocessing
 - If actual rate too high, process gains frame
 - Restart suspended processes to increase degree of multiprocessing





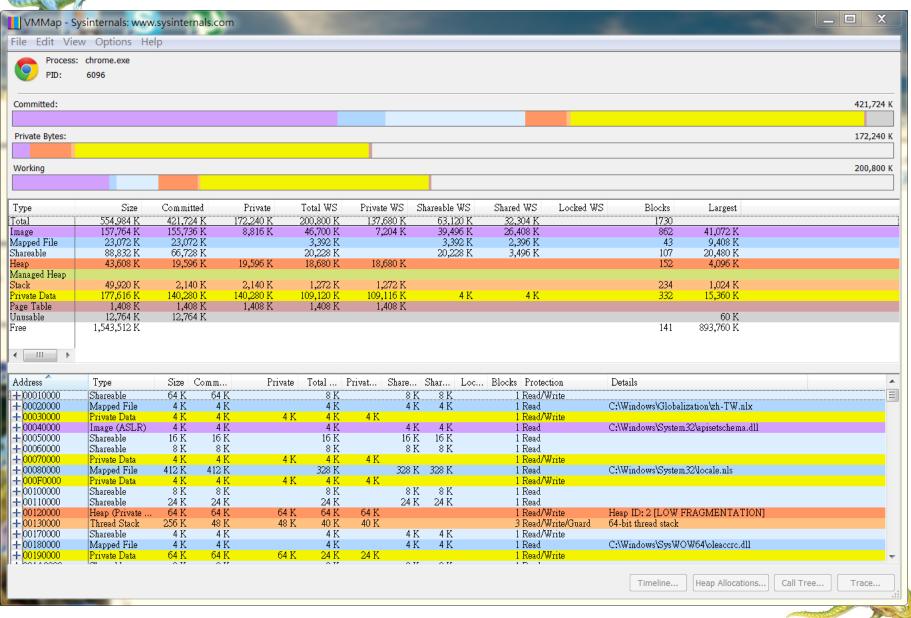


Working Sets and Page Fault Rates











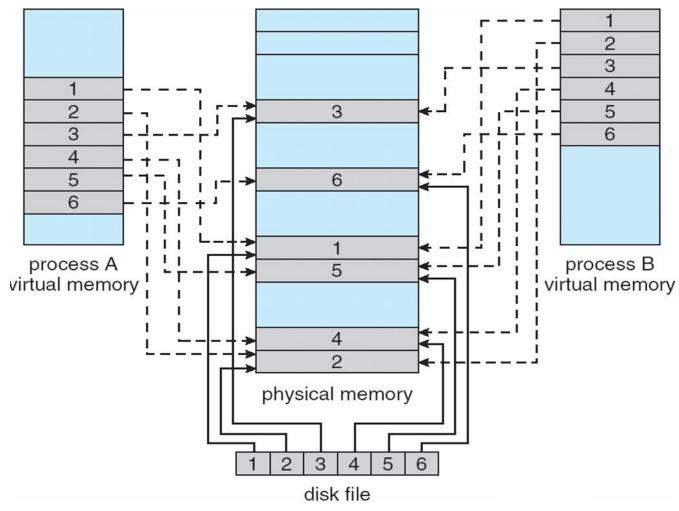
Memory-Mapped Files

- Memory-mapped file I/O allows file I/O to be treated as routine memory access by mapping a disk block to a page in memory
- A file is initially read using demand paging. A page-sized portion of the file is read from the file system into a physical page. Subsequent reads/writes to/from the file are treated as ordinary memory accesses.
- Simplifies file access by treating file I/O through memory rather than read() write() system calls
- Also allows several processes to map the same file allowing the pages in memory to be shared

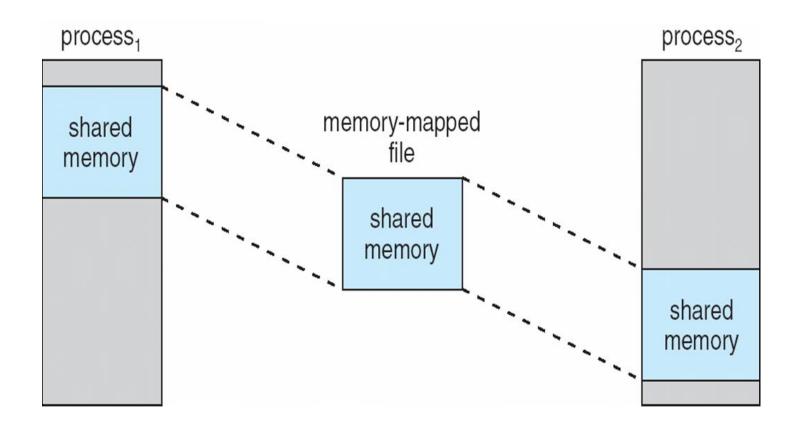




Memory Mapped Files



Memory-Mapped Shared Memory in Windows







Allocating Kernel Memory

- Treated differently from user memory
- Often allocated from a free-memory pool
 - Kernel requests memory for structures of varying sizes
 - Some kernel memory needs to be contiguous
 - Memory buffer will be accessed by a DMA device on a physically addressed bus (like PCI)
 - Base kernel is placed on a contiguous block that can fit into one page
 - Reduce chance of TLB miss
 - Contiguous page frame allocation leaves kernel page tables unchanged, preserving TLB and reducing effective access time
 - kmalloc





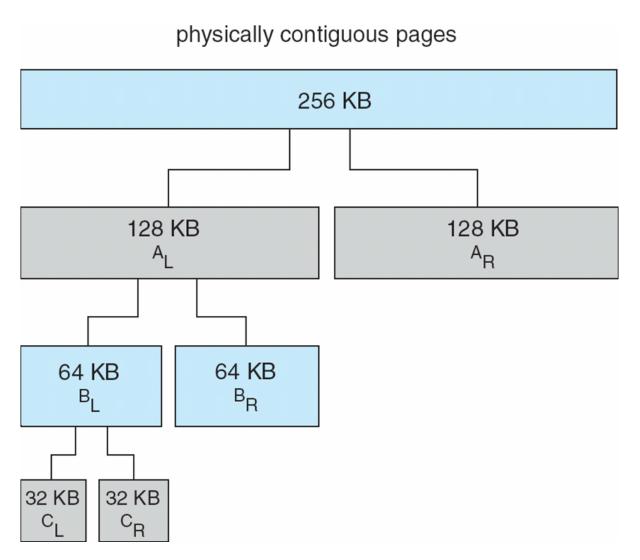
Buddy System

- Allocates memory from fixed-size segment consisting of physicallycontiguous pages
- Memory allocated using power-of-2 allocator
 - Satisfies requests in units sized as power of 2
 - Request rounded up to next highest power of 2
 - When smaller allocation needed than is available, current chunk split into two buddies of next-lower power of 2
 - Continue until appropriate sized chunk available





Buddy System Allocator







Buddy System Allocator

- Buddy system addresses external fragmentation
- How about internal fragmentation?
 - i.e. when allocating a 65 bytes structure, buddy system returns a 128 bytes block
 - ▶ 128-65 = 63 bytes are wasted
- http://utcc.utoronto.ca/~cks/space/blog/linux/KernelMemoryZones

```
- 0
linux1:~
(linux1:∾) ysw% ls /proc/buddyinfo
/proc/buddyinfo
(linux1:∞) ysw% cat /proc/buddyinfo
Node 0, zone
                   DMA
                                           1
                                                                 1
Node 0, zone
                DMA32
                         1100
                                 1384
                                         709
                                                 358
                                                        161
                                                                89
                                                                        56
                                                                               55
                                                                                                     72
Node 0, zone
               Normal
                          401
                                                                 0
                                                                         0
                                                                                                      0
               Normal
Node 1, zone
                         1361
                                 1936
                                         755
                                                487
                                                        493
                                                               413
                                                                       381
                                                                              247
                                                                                      142
                                                                                             166
                                                                                                     758
 linux1:~) vsw%
```





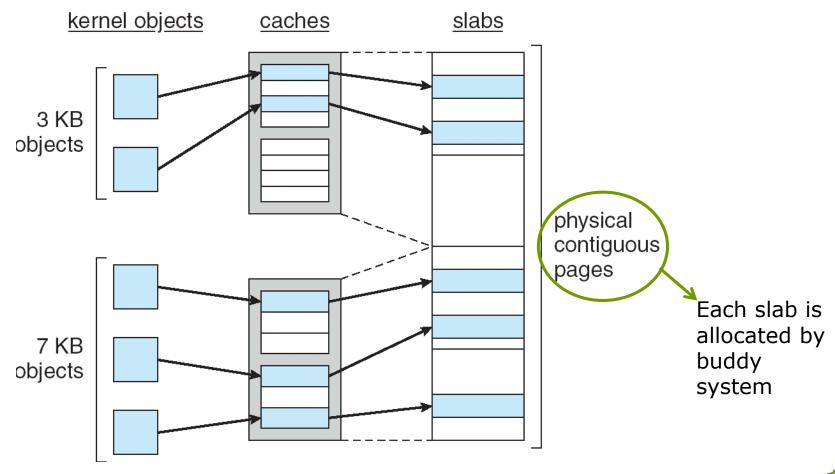
Slab Allocator

- Introduced in Linux 2.2 kernel to deal with internal fragmentation
- Kernel functions often request small objects of the same type repeatedly
 - Process descriptors, file descriptors, etc.
- Slab is one or more physically contiguous pages
- Cache consists of one or more slabs
- Single cache for each unique kernel data structure
 - Each cache filled with objects instantiations of the data structure
- When cache created, filled with objects marked as free
- When structures stored, objects marked as used
- If slab is full of used objects, next object allocated from empty slab
 - If no empty slabs, new slab allocated
- Benefits include no fragmentation, fast memory request satisfaction



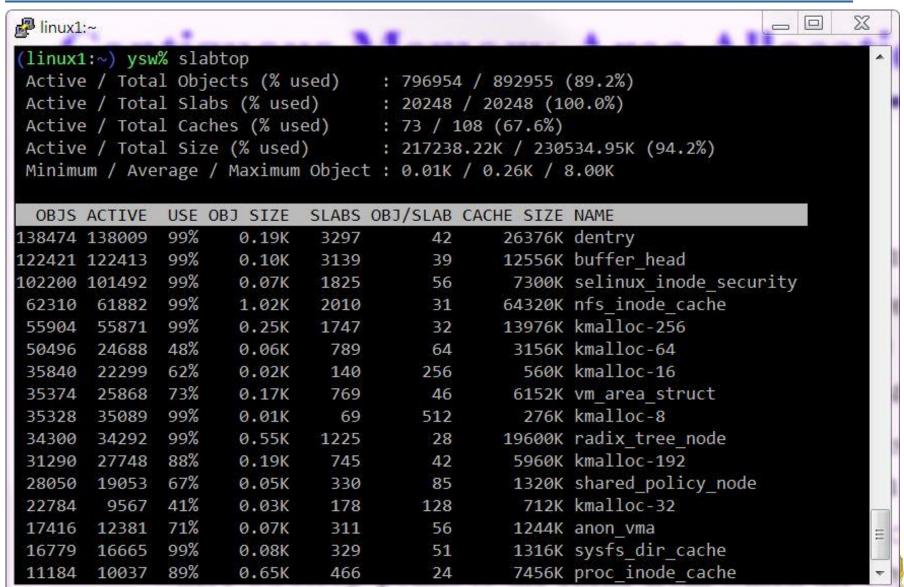


Slab Allocation





Slab Allocator



Moncontiguous Memory Area Allocation

- In the Linux kernel, we try to avoid allocating noncontiguous memory areas
- But there are occasions when we want to create a large buffer in the kernel that can't fit into a contiguous kernel memory area
 - We can use paging for the allocation
 - Linux uses most of the reserved addresses above PAGE_OFFSET to map non-contiguous memory area
 - vmalloc





Other Issues -- Prepaging

Prepaging

- To reduce the large number of page faults that occurs at process startup
- Prepage all or some of the pages a process will need, before they are referenced
- But if prepaged pages are unused, I/O and memory was wasted
- Assume s pages are prepaged and α of the pages is used
 - Is cost of s * α save pages faults > or < than the cost of prepaging
 - $s * (1-\alpha)$ unnecessary pages?
 - α near zero ⇒ prepaging loses





Other Issues – Page Size

- Page size selection must take into consideration:
 - fragmentation
 - table size
 - I/O overhead
 - locality





Other Issues - TLB Reach

- TLB Reach The amount of memory accessible from the TLB
- TLB Reach = (TLB Size) X (Page Size)
- Ideally, the working set of each process is stored in the TLB
 - Otherwise there is a high degree of page faults
- Increase the Page Size
 - This may lead to an increase in fragmentation as not all applications require a large page size
- Provide Multiple Page Sizes
 - This allows applications that require larger page sizes the opportunity to use them without an increase in fragmentation





Other Issues - Program Structure

- Program structure
 - Int[128,128] data;
 - Each row is stored in one page
 - Program 1

for
$$(j = 0; j < 128; j++)$$

for $(i = 0; i < 128; i++)$
data $[i,j] = 0;$

 $128 \times 128 = 16,384$ page faults

Program 2

128 page faults



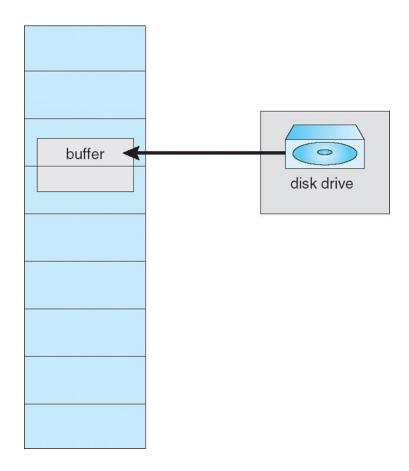


Other Issues – I/O interlock

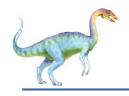
- I/O Interlock Pages must sometimes be locked into memory
- Consider I/O Pages that are used for copying a file from a device must be locked from being selected for eviction by a page replacement algorithm



Reason Why Frames Used For I/O Must Be In Memory







Operating System Examples

- Windows XP
- Solaris

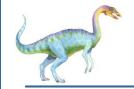




Windows XP

- ■uses demand paging with *clustering*
 - clustering brings in pages surrounding the faulting page
- ■processes are assigned working set minimum and working set maximum
 - working set minimum is the minimum number of pages the process is guaranteed to have in memory (for most apps, 50...345)
 - a process may be assigned as many pages up to its working set maximum
 - when the amount of free memory in the system falls below a threshold, automatic working set trimming is performed to restore the amount of free memory
 - working set trimming removes pages from processes that have pages in excess of their working set minimum
- ■page replacement algorithm varies depending on processor
 - single processor Intel CPU: variation of the clock (timestamp) algorithm
 - multiprocessor or Alpha CPU: variation of FIFO

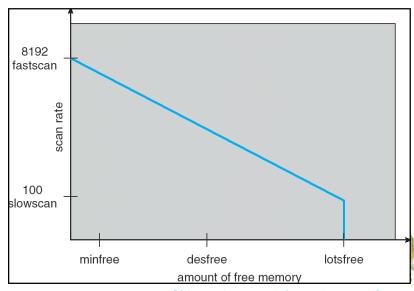




Solaris

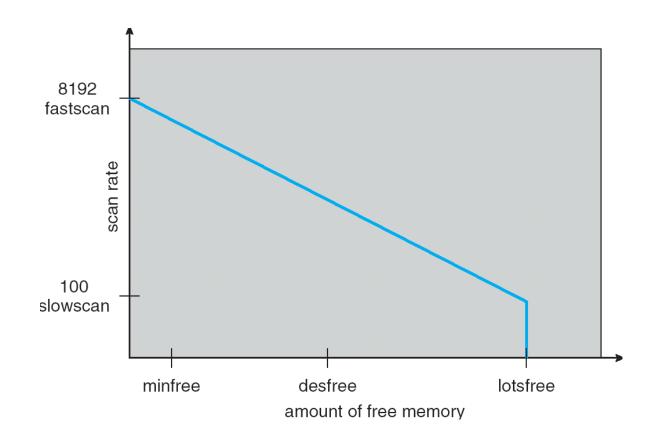
- system maintains a list of free pages
 - paging occurs when the number of free pages drops below a threshold (e.g., 1/64 size of physical memory)
- paging is performed by a pageout process
 - pageout scans pages using a modified clock algorithm
 - pages not referenced since last scan are returned to free list
 - the smaller the free list, the more frequent scanning occurs

lotsfree – threshold parameter to begin pagingdesfree – threshold parameter to increase pagingminfree – threshold parameter to begin swapping





Solaris 2 Page Scanner





End of Chapter 9

