

# Analyzing Human Brain Patterns

by using deep approaches

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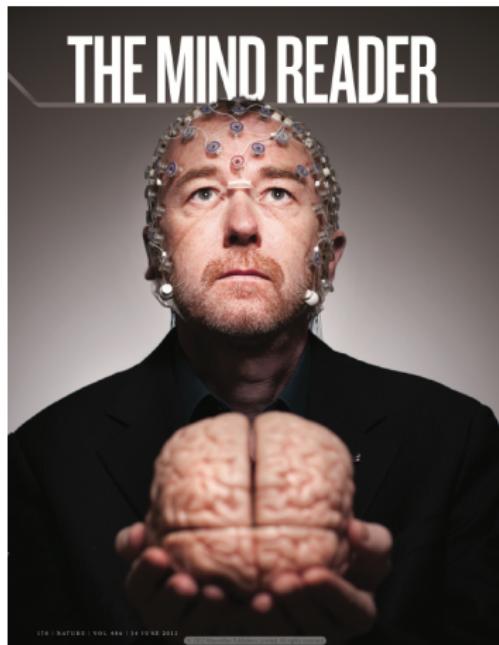
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Machine Learning, Optimization and Control (MLOC) 2018

## Outline

- 1 Analyzing Brain Patterns
  - 2 Hyperalignment
  - 3 Deep Hyperalignment
  - 4 Deep Hyperalignment: Optimization
  - 5 Experiments
  - 6 Conclusion

# The Mind Reader (in theory)



Smith, Nature, 2013



# Optogenetics

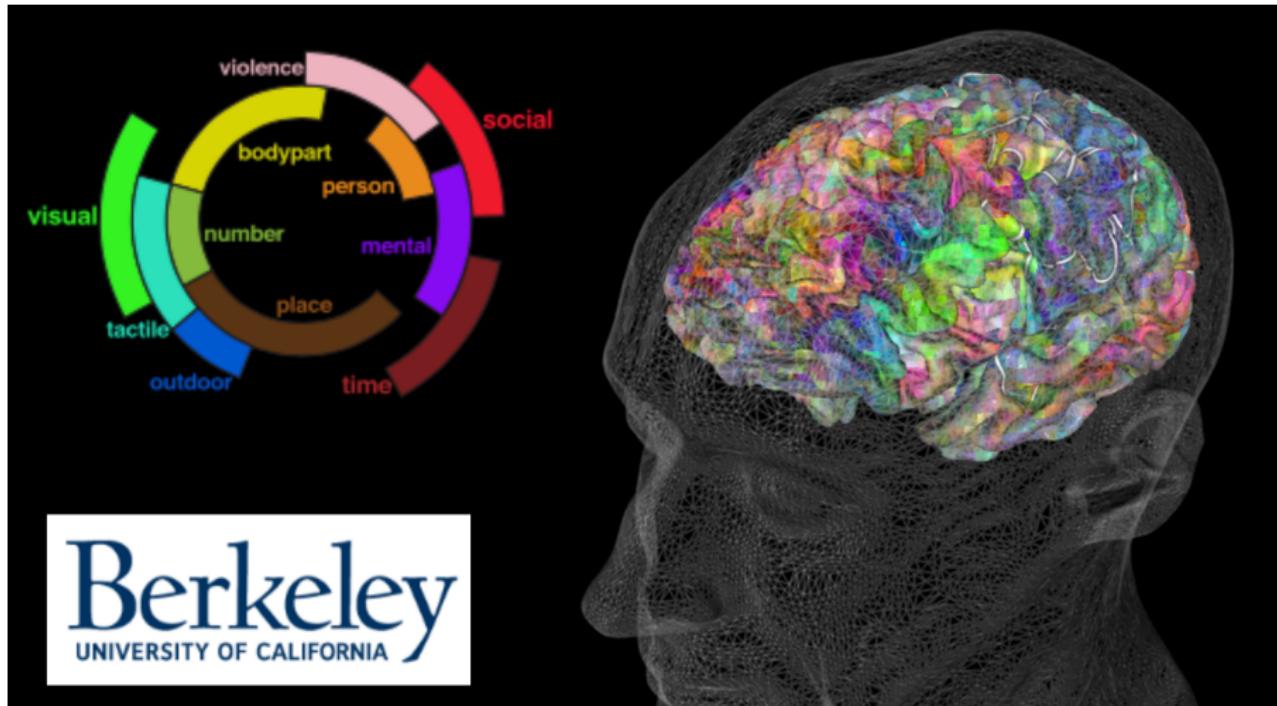


# Recovery Movies from Human Brain



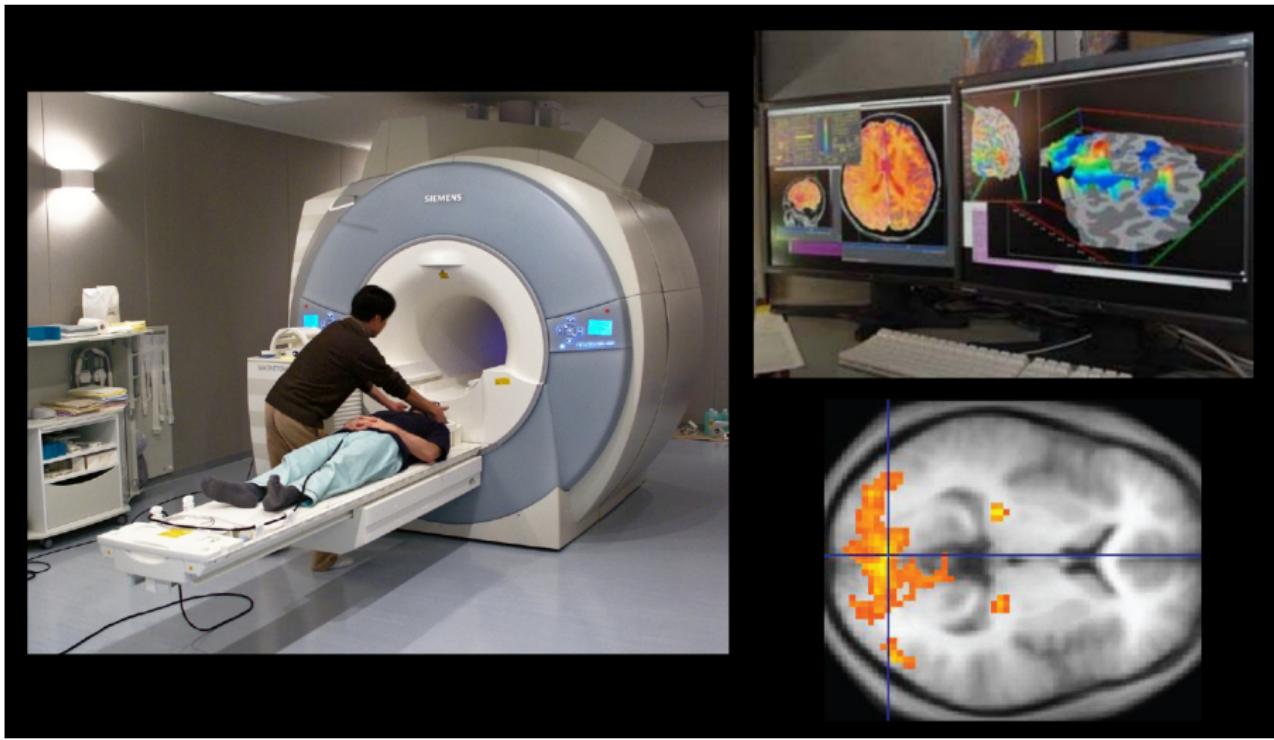
Nishimoto, Current Biology, 2011

# Semantic Maps



Huth, Nature, 2016

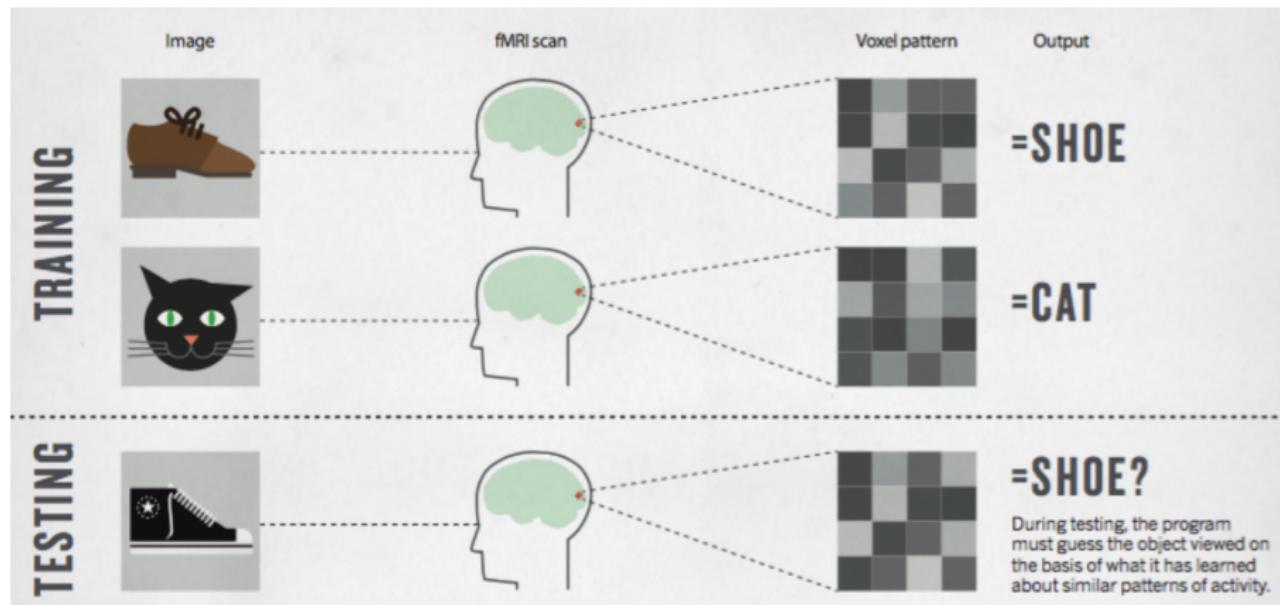
# functional Imaging: functional MRI (fMRI)



# fMRI vs. Other Modalities

- Prior to the discovery that **within-area patterns** of response in fMRI carried information that **afforded decoding of stimulus distinctions**.
- It was generally believed that the **spatial resolution of fMRI** allowed investigators to ask only which task or stimulus activated a region globally.
- Instead of asking what a regions function is, in terms of a single brain state associated with global activity, fMRI investigators can now ask **what information is represented in a region**, in terms of brain states associated with distinct patterns of activity, and how that information is encoded and organized.
- A wide range of **open source** fMRI datasets.

# The Human Brain Decoding: Problem Definition

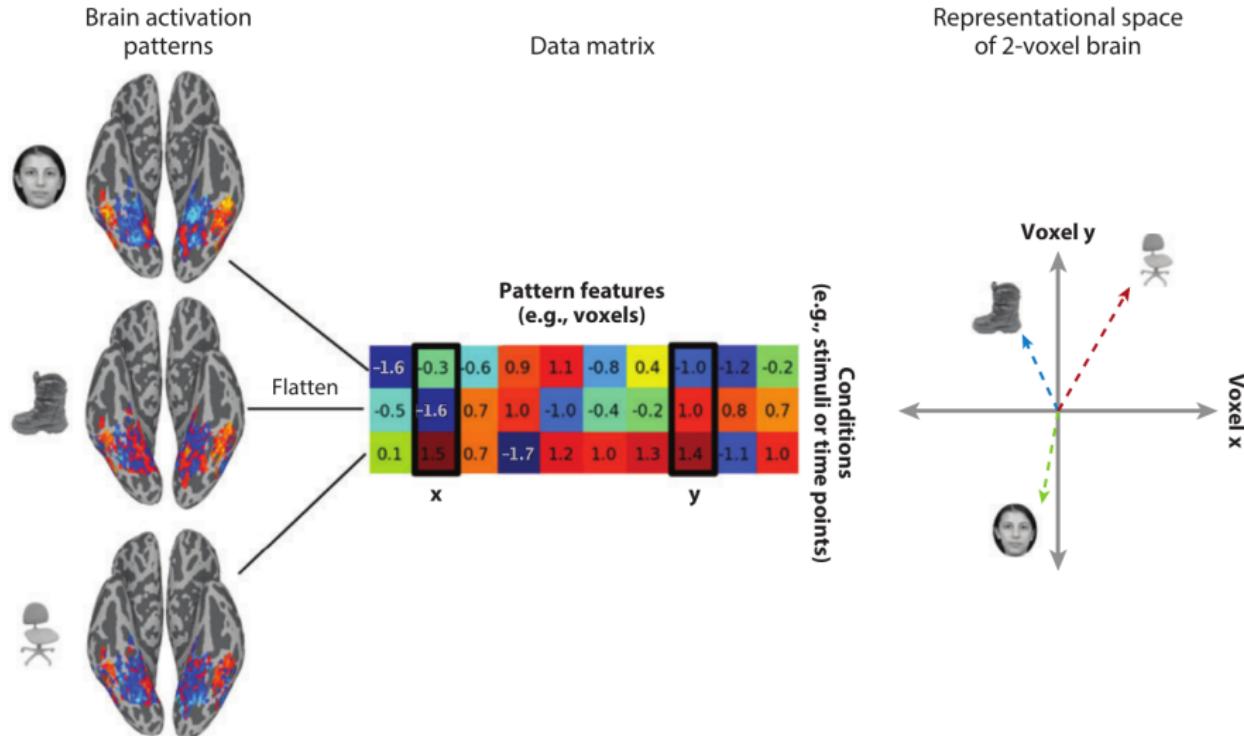


Smith, Nature, 2013

# Outline

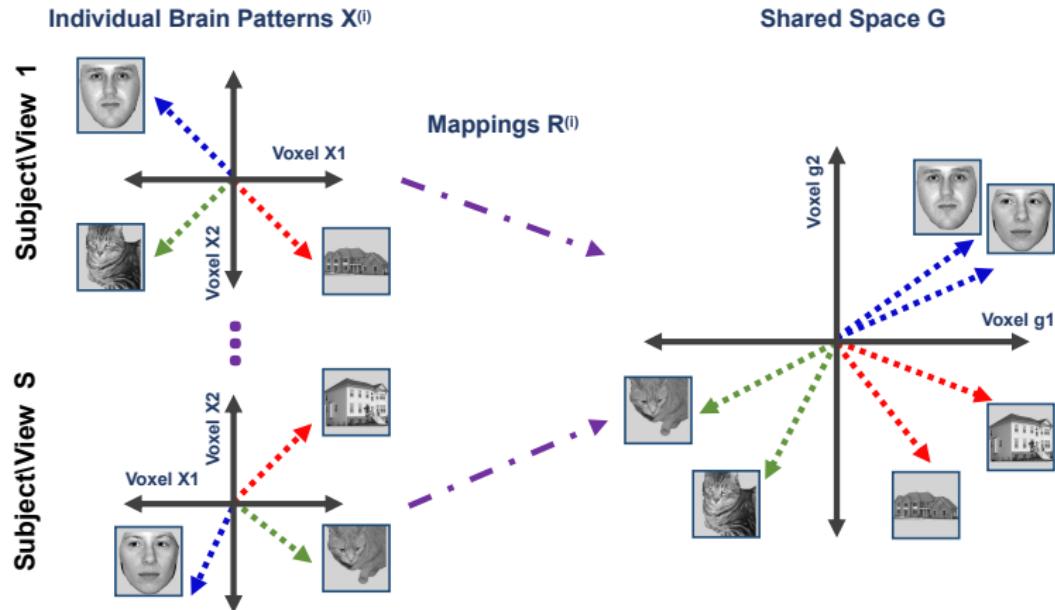
- 1 Analyzing Brain Patterns
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# Representational Space: Example



Haxby, Annual Review Neuroscience, 2014

# Hyperalignment



- The main assumption in Hyperalignment is that the neural actives in different brains are noisy 'rotations' of a common space **Haxby, Neuron, 2011**.
- It can be formulated as extracting shared space from multi-view (multi-subject) data.

# Classical Hyperalignment

Classical Hyperalignment can be formulated by Generalized Canonical Correlation Analysis (CCA): Haxby, Neuron, 2011

$$\min_{\mathbf{R}^{(i)}, \mathbf{G}} \sum_{i=1}^S \left\| \mathbf{X}^{(i)} \mathbf{R}^{(i)} - \mathbf{G} \right\|_F^2$$

$$\text{subject to } \left( \mathbf{X}^{(\ell)} \mathbf{R}^{(\ell)} \right)^\top \mathbf{X}^{(\ell)} \mathbf{R}^{(\ell)} = \mathbf{I}$$

where the common space can be denoted by:

$$\mathbf{G} \in \mathbb{R}^{T \times V} = \frac{1}{S} \sum_{j=1}^S \mathbf{X}^{(j)} \mathbf{R}^{(j)},$$

- $\mathbf{X}^{(\ell)} \in \mathbb{R}^{T \times V}$  denotes the neural activities, and  $\mathbf{R}^{(\ell)} \in \mathbb{R}^{V \times V}$  is the mappings.

# Regularized Hyperalignment

- RHA's Objective Function can be denoted as follows:

$$\min_{\mathbf{R}^{(i)}, \mathbf{G}} \sum_{i=1}^S \left\| \mathbf{X}^{(i)} \mathbf{R}^{(i)} - \mathbf{G} \right\|_F^2$$

$$\text{subject to } (\mathbf{R}^{(\ell)})^\top \left( (\mathbf{X}^{(\ell)})^\top \mathbf{X}^{(\ell)} + \epsilon \mathbf{I} \right) \mathbf{R}^{(\ell)} = \mathbf{I}$$

- The common space:  $\mathbf{G} = \frac{1}{S} \sum_{j=1}^S \mathbf{X}^{(j)} \mathbf{R}^{(j)}$
- Here, the regularization term  $\epsilon$  can improve the stability of alignment by providing a better inverse of the covariance matrix for  $\mathbf{X}^{(i)}$ .

Xu, IEEE SSP, 2012

# Kernelized Hyperalignment

- KHA's Objective Function can be denoted as follows:

$$\min_{\mathbf{R}^{(i)}, \mathbf{G}} \sum_{i=1}^S \left\| \Phi(\mathbf{X}^{(i)}) \mathbf{R}^{(i)} - \mathbf{G} \right\|_F^2$$

$$\text{subject to } \left( \Phi(\mathbf{X}^{(\ell)}) \mathbf{R}^{(\ell)} \right)^\top \Phi(\mathbf{X}^{(\ell)}) \mathbf{R}^{(\ell)} = \mathbf{I}$$

- The common space:  $\mathbf{G} = \frac{1}{S} \sum_{j=1}^S \Phi(\mathbf{X}^{(j)}) \mathbf{R}^{(j)}$
- Here,  $\Phi(\cdot)$  is a standard kernel function that can handle nonlinear datasets.
- However, classical kernel functions are limited by a restricted fixed representational space.

Lorbert, NIPS, 2012

# Outline

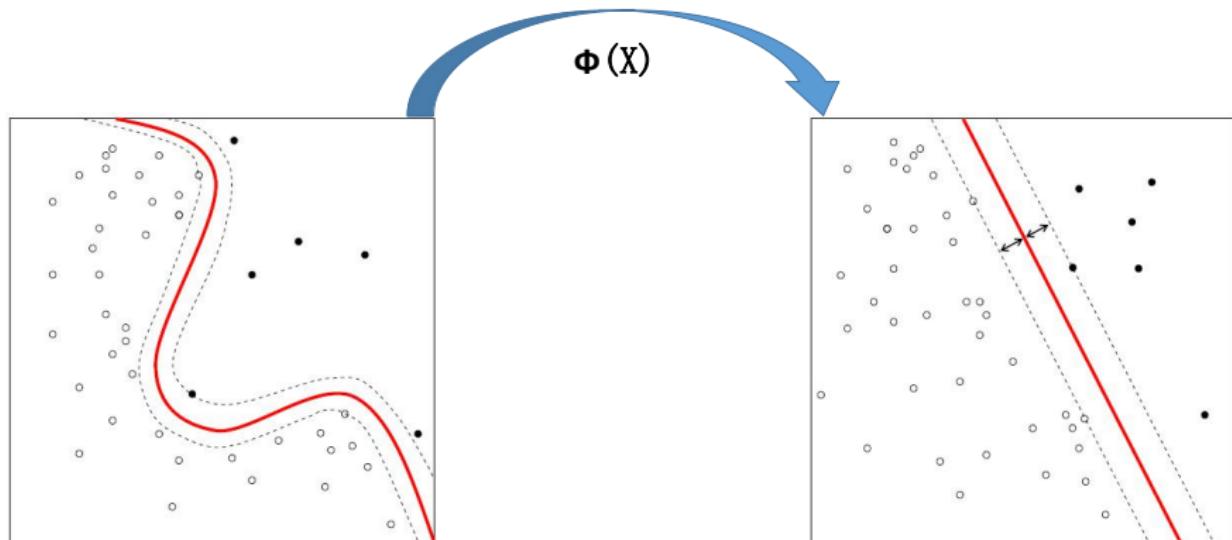
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# Challenges

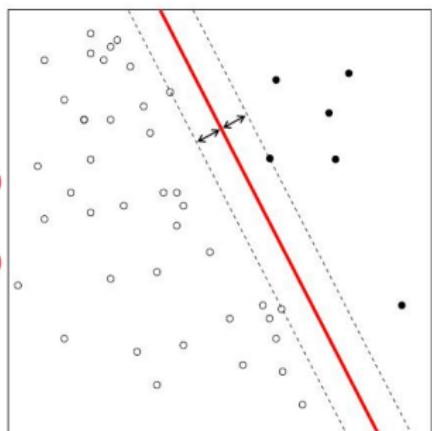
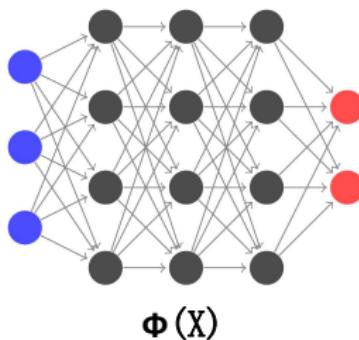
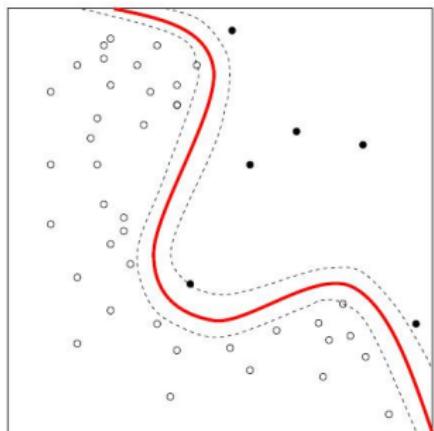
There are some long standing challenges for calculating accurate functional alignments:

- High Dimensionality
- Sparsity
- Nonlinear Features
- Large Number of Subjects

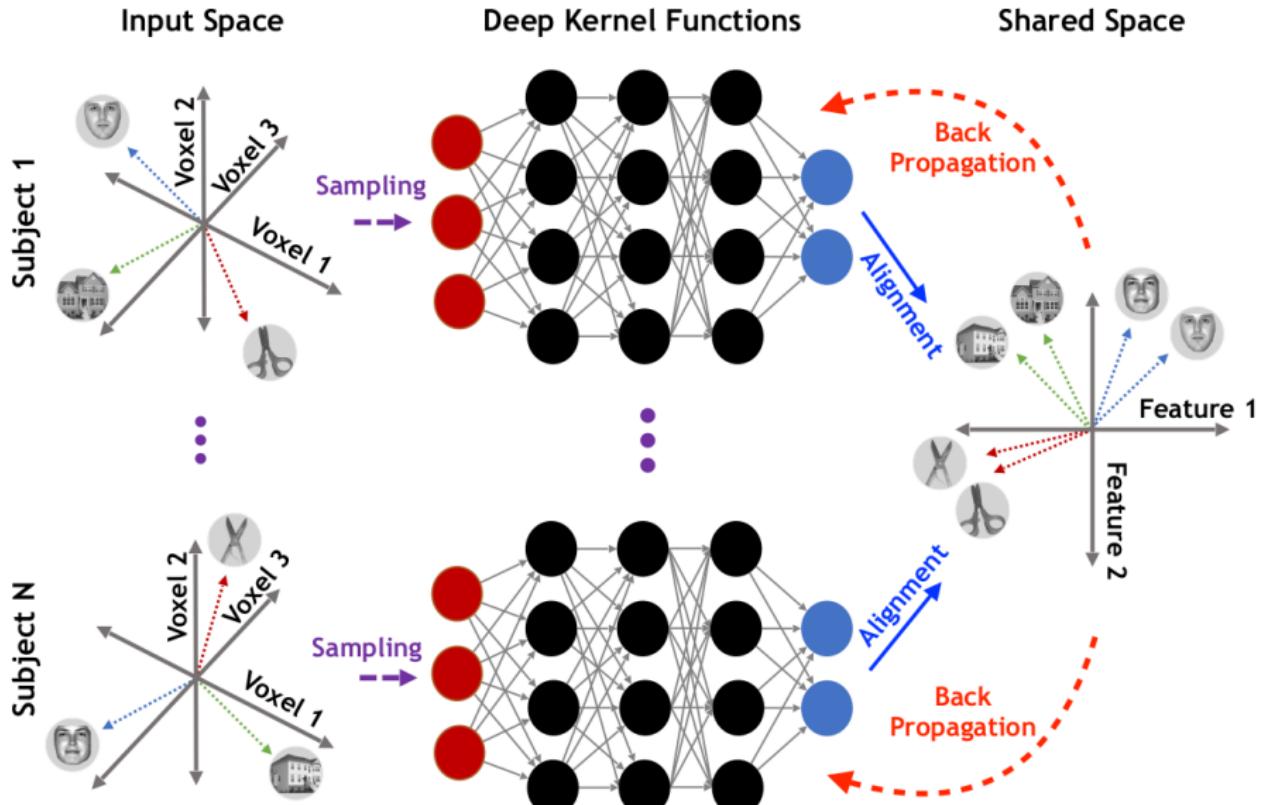
# Kernel Function



# Deep Kernel Function



# Deep Hyperalignment (DHA)



# Deep Hyperalignment: Objective Function

- DHA's Objective Function can be denoted as follows:

$$\min_{\mathbf{G}, \mathbf{R}^{(i)}, \theta^{(i)}} \sum_{i=1}^S \left\| \mathbf{G} - f_i(\mathbf{X}^{(i)}; \theta^{(i)}) \mathbf{R}^{(i)} \right\|_F^2$$

subject to  $\left( \mathbf{R}^{(\ell)} \right)^\top \left( \left( f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) \right)^\top f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) + \epsilon \mathbf{I} \right) \mathbf{R}^{(\ell)} = \mathbf{I}$

- The common space:  $\mathbf{G} = \frac{1}{S} \sum_{j=1}^S f_j(\mathbf{X}^{(j)}; \theta^{(j)}) \mathbf{R}^{(j)}$
- Here,  $f_\ell$  is the deep neural network such as:

$$f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) = \text{mat}\left(\mathbf{h}_C^{(\ell)}, T, V_{new}\right),$$

$$\mathbf{h}_m^{(\ell)} = g\left(\mathbf{W}_m^{(\ell)} \mathbf{h}_{m-1}^{(\ell)} + \mathbf{b}_m^{(\ell)}\right)$$

where  $\mathbf{h}_1^{(\ell)} = \text{vec}(\mathbf{X}^{(\ell)})$  and  $m = 2:C$ .

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# Deep Hyperalignment: Objective Function

- Firstly, we employ the rank- $m$  SVD as follows:

$$f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) \stackrel{SVD}{=} \Omega^{(\ell)} \Sigma^{(\ell)} (\Psi^{(\ell)})^\top, \quad \ell = 1:S$$

- Then, projection matrix can be calculated as follows:

$$\begin{aligned}\mathbf{P}^{(\ell)} &= f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) \left( \left( f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) \right)^\top f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) + \epsilon \mathbf{I} \right)^{-1} \left( f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) \right)^\top \\ &= \Omega^{(\ell)} (\Sigma^{(\ell)})^\top \left( \Sigma^{(\ell)} (\Sigma^{(\ell)})^\top + \epsilon \mathbf{I} \right)^{-1} \Sigma^{(\ell)} (\Omega^{(\ell)})^\top = \Omega^{(\ell)} \mathbf{D}^{(\ell)} \left( \Omega^{(\ell)} \mathbf{D}^{(\ell)} \right)^\top\end{aligned}$$

- Here, we have a diagonal product  $\mathbf{D}^{(\ell)} (\mathbf{D}^{(\ell)})^\top = (\Sigma^{(\ell)})^\top \left( \Sigma^{(\ell)} (\Sigma^{(\ell)})^\top + \epsilon \mathbf{I} \right)^{-1} \Sigma^{(\ell)}$ . Thus, calculating the inverse of matrix is easy!

**Yousefnezhad, NIPS, 2017**

# Deep Hyperalignment: Optimization (Step 1)

## Theorem

By considering fixed mapping functions  $\mathbf{R}^{(i)}$  and fixed network parameters  $\theta^{(i)}$ , DHA's Objective Function can be reformulated as follows:

$$\min_{\mathbf{G}, \mathbf{R}^{(i)}, \theta^{(i)}} \sum_{i=1}^S \left\| \mathbf{G} - f_i(\mathbf{X}^{(i)}; \theta^{(i)}) \mathbf{R}^{(i)} \right\| \equiv \max_{\mathbf{G}} \left( \text{tr}(\mathbf{G}^\top \mathbf{A} \mathbf{G}) \right)$$

where the sum of projection matrices can be calculated as follows:

$$\mathbf{A} = \sum_{i=1}^S \mathbf{P}^{(i)} = \tilde{\mathbf{A}} \tilde{\mathbf{A}}^\top, \quad \text{where} \quad \tilde{\mathbf{A}} \in \mathbb{R}^{T \times mS} = [\Omega^{(1)} \mathbf{D}^{(1)} \dots \Omega^{(S)} \mathbf{D}^{(S)}]$$

## Theorem

By using **Incremental SVD**, the shared space  $\mathbf{G}$  can be calculated as follows, where  $\Lambda = \{\lambda_1 \dots \lambda_T\}$  is the eigenvalues of  $\mathbf{A}$ :

$$\mathbf{A}\mathbf{G} = \mathbf{G}\Lambda \implies \tilde{\mathbf{A}} = \mathbf{G}\tilde{\Sigma}\tilde{\Psi}^\top$$

# Deep Hyperalignment: Optimization (Step 2)

## Theorem

*By considering fixed share space  $\mathbf{G}$  and fixed network parameters  $\theta^{(i)}$ , DHA's mapping functions can be calculated as follows:*

$$\mathbf{R}^{(\ell)} = \left( \left( f_{\ell}(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) \right)^{\top} f_{\ell}(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) + \epsilon \mathbf{I} \right)^{-1} \left( f_{\ell}(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) \right)^{\top} \mathbf{G}$$

# Deep Hyperalignment: Optimization (Step 3)

## Theorem

By considering fixed share space  $\mathbf{G}$  and fixed mapping functions  $\mathbf{R}^{(i)}$ , we use back-propagation algorithm for seeking an optimized parameters for the deep network as follows:

$$\frac{\partial \mathbf{Z}}{\partial f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)})} = 2\mathbf{R}^{(\ell)}\mathbf{G}^\top - 2\mathbf{R}^{(\ell)}(\mathbf{R}^{(\ell)})^\top \left(f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)})\right)^\top$$

where  $\mathbf{Z}$  is the sum of the eigenvalues of  $\mathbf{A}$ :

$$\mathbf{Z} = \sum_{\ell=1}^T \lambda_\ell$$

# Deep Hyperalignment: Algorithm

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**Algorithm 1** Deep Hyperalignment (DHA)

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**Input:** Data  $\mathbf{X}^{(i)}$ ,  $i = 1:S$ , Regularized parameter  $\epsilon$ , Number of layers  $C$ , Number of units  $U^{(m)}$  for  $m = 2:C$ , HA template  $\widehat{\mathbf{G}}$  for testing phase (default  $\emptyset$ ), Learning rate  $\eta$  (default  $10^{-4}$  [13]).

**Output:** DHA mappings  $\mathbf{R}^{(\ell)}$  and parameters  $\theta^{(\ell)}$ , HA template  $\mathbf{G}$  just from training phase  
**Method:**

01. Initialize iteration counter:  $m \leftarrow 1$  and  $\theta^{(\ell)} \sim \mathcal{N}(0, 1)$  for  $\ell = 1:S$ .
  02. Construct  $f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)})$  based on (4) and (5) by using  $\theta^{(\ell)}$ ,  $C$ ,  $U^{(m)}$  for  $\ell = 1:S$ .
  03. **IF** ( $\widehat{\mathbf{G}} = \emptyset$ ) **THEN**      % The first step of DHA: fixed  $\theta^{(\ell)}$  and calculating  $\mathbf{G}$  and  $\mathbf{R}^{(\ell)}$  ↓
  04.    Generate  $\widetilde{\mathbf{A}}$  by using (8) and (10).
  05.    Calculate  $\mathbf{G}$  by applying Incremental SVD [15] to  $\widetilde{\mathbf{A}} = \mathbf{G}\widetilde{\boldsymbol{\Sigma}}\widetilde{\boldsymbol{\Psi}}^\top$ .
  06. **ELSE**
  07.     $\mathbf{G} = \widehat{\mathbf{G}}$ .
  08. **END IF**
  09. Calculate mappings  $\mathbf{R}^{(\ell)}$ ,  $\ell = 1:S$  by using (12).
  10. Estimate error of iteration  $\gamma_m = \sum_{i=1}^S \sum_{j=i+1}^S \left\| f_i(\mathbf{X}^{(i)}; \theta^{(i)}) \mathbf{R}^{(i)} - f_j(\mathbf{X}^{(j)}; \theta^{(j)}) \mathbf{R}^{(j)} \right\|_F^2$ .
  11. **IF** (( $m > 3$ ) and ( $\gamma_m \geq \gamma_{m-1} \geq \gamma_{m-2}$ )) **THEN**      % This is the finishing condition.
  12.    **Return** calculated  $\mathbf{G}$ ,  $\mathbf{R}^{(\ell)}$ ,  $\theta^{(\ell)}$  ( $\ell = 1:S$ ) related to ( $m-2$ )-th iteration.
  13. **END IF**      % The second step of DHA: fixed  $\mathbf{G}$  and  $\mathbf{R}^{(\ell)}$  and updating  $\theta^{(\ell)}$  ↓
  14.  $\nabla \theta^{(\ell)} \leftarrow \text{backprop} \left( \frac{\partial \mathbf{Z}}{\partial f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)})}, \theta^{(\ell)} \right)$  by using (13) for  $\ell = 1:S$ .
  15. Update  $\theta^{(\ell)} \leftarrow \theta^{(\ell)} - \eta \nabla \theta^{(\ell)}$  for  $\ell = 1:S$  and then  $m \leftarrow m + 1$
  16. **SAVE** all DHA parameters related to this iteration and **GO TO** Line 02.
-

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# Datasets

Title	ID	S	K	T	V	X	Y	Z	Scanner	TR	TE
Mixed-gambles task	DS005	48	2	240	450	53	63	52	S 3T	2	30
Visual Object Recognition	DS105	71	8	121	1963	79	95	79	G 3T	2.5	30
Word and Object Processing	DS107	98	4	164	932	53	63	52	S 3T	2	28
Auditory and Visual Oddball	DS116	102	2	170	2532	53	63	40	P 3T	2	25
Multi-subject, multi-modal	DS117	171	2	210	524	64	61	33	S 3T	2	30
Forrest Gump	DS113	20	10	451	2400	160	160	36	S 7T	2.3	22
Raiders of the Lost Ark	N/A	10	7	924	980	78	78	54	S 3T	3	30

S is the number of subject; K denotes the number of stimulus categories; T is the number of scans in unites of TRs (Time of Repetition); V denotes the number of voxels in ROI; X, Y, Z are the size of 3D images; Scanners include S=Siemens, G = General Electric, and P = Philips in 3 Tesla or 7 Tesla; TR is Time of Repetition in millisecond; TE denotes Echo Time in second; Please see [openfmri.org](http://openfmri.org) for more information.

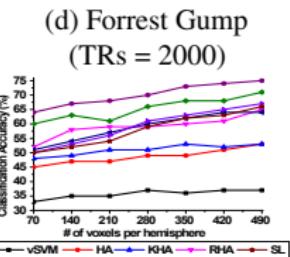
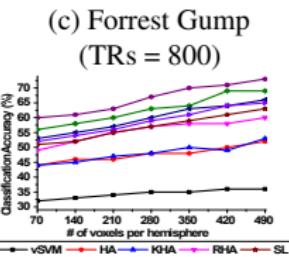
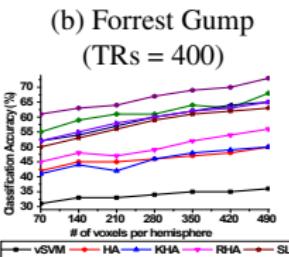
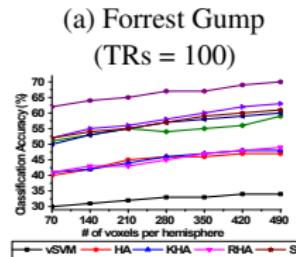
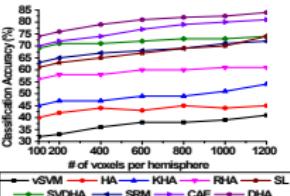
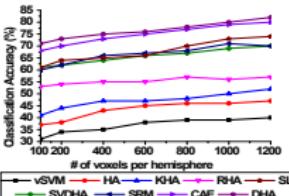
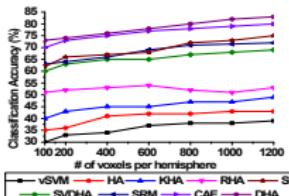
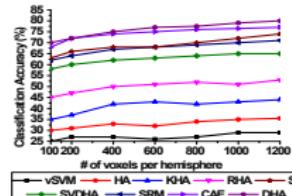
# Simple Task Analysis: Accuracy of HA methods

↓Algorithms, Datasets→	DS005	DS105	DS107	DS116	DS117
$\nu$ -SVM	71.65±0.97	22.89±1.02	38.84±0.82	67.26±1.99	73.32±1.67
Hyperalignment (HA)	81.27±0.59	30.03±0.87	43.01±0.56	74.23±1.40	77.93±0.29
Regularized HA	83.06±0.36	32.62±0.52	46.82±0.37	78.71±0.76	84.22±0.44
Kernel HA	85.29±0.49	37.14±0.91	52.69±0.69	78.03±0.89	83.32±0.41
SVD-HA	90.82±1.23	40.21±0.83	59.54±0.99	81.56±0.54	95.62±0.83
Shared Response Model	91.26±0.34	48.77±0.94	64.11±0.37	83.31±0.73	95.01±0.64
SearchLight	90.21±0.61	49.86±0.4	64.07±0.98	82.32±0.28	94.96±0.24
Convolutional Autoencoder	94.25±0.76	54.52±0.80	72.16±0.43	<b>91.49±0.67</b>	95.92±0.67
Deep HA	<b>97.92±0.82</b>	<b>60.39±0.68</b>	<b>73.05±0.63</b>	90.28±0.71	<b>97.99±0.94</b>

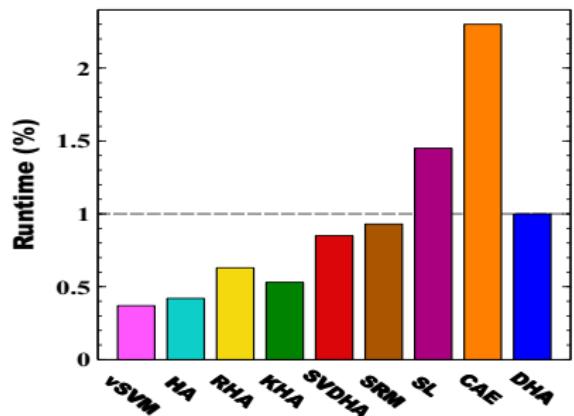
# Simple Task Analysis: AUC of HA methods

↓Algorithms, Datasets→	DS005	DS105	DS107	DS116	DS117
$\nu$ -SVM [17]	68.37±1.01	21.76±0.91	36.84±1.45	62.49±1.34	70.17±0.59
Hyperalignment (HA)	70.32±0.92	28.91±1.03	40.21±0.33	70.67±0.97	76.14±0.49
Regularized HA	82.22±0.42	30.35±0.39	43.63±0.61	76.34±0.45	81.54±0.92
Kernel HA	80.91±0.21	36.23±0.57	50.41±0.92	75.28±0.94	80.92±0.28
SVD-HA	88.54±0.71	37.61±0.62	57.54±0.31	78.66±0.82	92.14±0.42
Shared Response Model	90.23±0.74	44.48±0.75	62.41±0.72	79.20±0.98	93.65±0.93
SearchLight	89.79±0.25	47.32±0.92	61.84±0.32	80.63±0.81	93.26±0.72
Convolutional Autoencoder	91.24±0.61	52.16±0.63	<b>72.33±0.79</b>	87.53±0.72	91.49±0.33
Deep HA	<b>96.91±0.82</b>	<b>59.57±0.32</b>	70.23±0.92	<b>89.93±0.24</b>	<b>96.13±0.32</b>

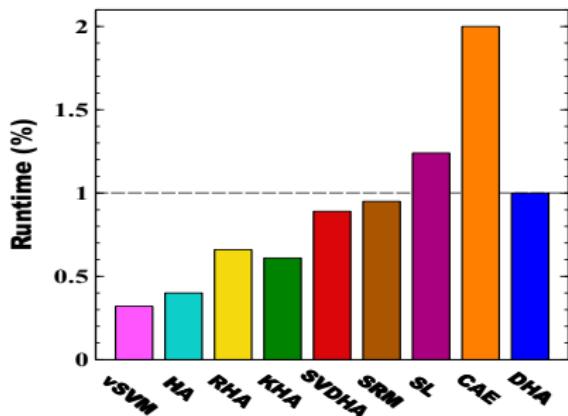
# Complex Task Analysis



# Runtime Analysis

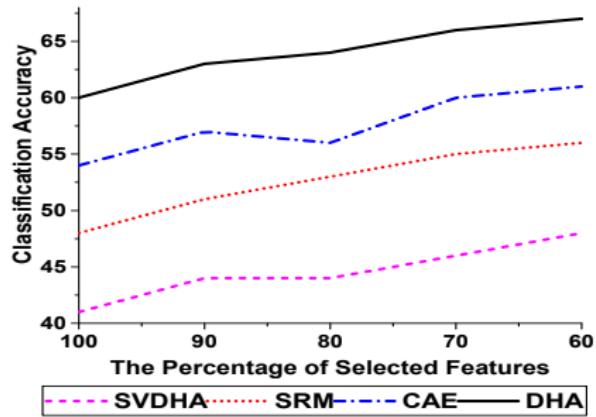


(A) DS105

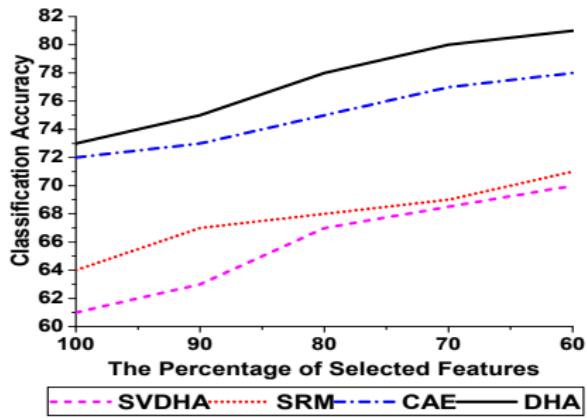


(B) DS107

# Alignment by selecting features

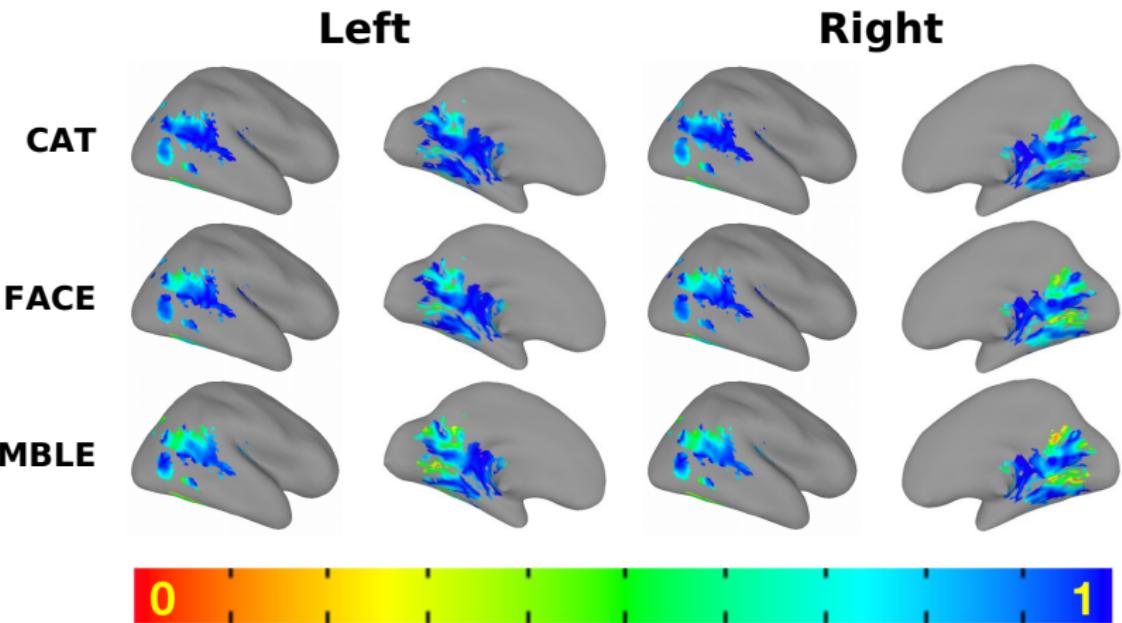


(A) DS105



(B) DS107

# Visualizing Neural Activities on DS105



# Outline

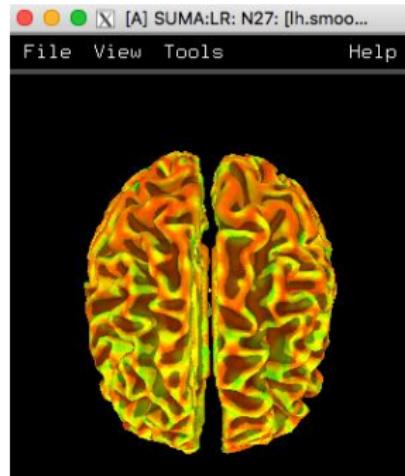
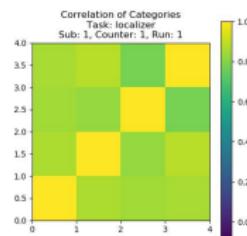
- 1 Analyzing Brain Patterns
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# Conclusion

- Our knowledge from human brain is so **limited**.
- In order to understand the human brain, we need to develop new methods in Neuroscience, Psychology, **Mathematics, and Computer Science**.
- Not only can Artificial Intelligence use as a powerful tool for understanding the human brain but also this understanding can be employed reversely to develop AI tools, e.g. **Deep Learning**.

# easy fMRI Project

Open Source + Free + Python + SK-Learn + MPI + Tensorflow



<https://easyfmri.gitlab.io/>  
<https://easyfmri.github.io/>  
<https://easyfmri.sourceforge.io/>

# easy fMRI : DATA

Matlab + 40 dataset + 200 cognitive tasks + 1000 subjects

The screenshot shows the SourceForge interface for the 'easyfmridata' project. At the top, there's a navigation bar with links for Browse, Blog, Deals, Help, Create, and a user menu. A search bar is also present. A prominent orange banner at the top encourages migrating from GitHub to SourceForge. Below the banner, the project name 'easyfmridata' is displayed along with its GitHub URL. The main content area shows a list of datasets, each with a thumbnail, name, modified date, size, and download statistics. There are also buttons for adding files or folders.

Name	Modified	Size	Downloads / Week
Parent folder			
DS232	2018-04-27		0 (0) 0
DS107	2018-04-27		0 (0) 0
DS105	2018-04-27		0 (0) 0
DS231	2018-02-02		0 (0) 0
DS229	2018-02-02		0 (0) 0
DS205	2018-02-02		0 (0) 0
DS203	2018-02-02		0 (0) 0
DS170	2018-02-02		0 (0) 0

<https://easydata.gitlab.io/>

<https://easyfmridata.github.io/>

<https://easyfmridata.sourceforge.io/>

# Publications

- **Muhammad Yousefnezhad** and Daoqiang Zhang. 'Deep Hyperalignment', NIPS, 2017.
- **Muhammad Yousefnezhad** and Daoqiang Zhang. 'Local Discriminant Hyperalignment for Multi-Subject fMRI Data Alignment', AAAI, 2017.
- **Muhammad Yousefnezhad** and Daoqiang Zhang. 'Multi-Region Neural Representation: A novel model for decoding visual stimuli in human brains', SIAM SDM, 2017.
- **Muhammad Yousefnezhad** and Daoqiang Zhang. 'Decoding visual stimuli in human brain by using Anatomical Pattern Analysis on fMRI images', BICS, China, 2016.
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# Thank You

## Q & A

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