

ORIGINAL RESEARCH

Intratracheal instillation of cerium oxide nanoparticles induces hepatic toxicity in male Sprague-Dawley rats

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Background: Cerium oxide (CeO₂) nanoparticles have been posited to have both beneficial and toxic effects on biological systems. Herein, we examine if a single intratracheal instillation of CeO₂ nanoparticles is associated with systemic toxicity in male Sprague-Dawley rats.

Methods and results: Compared with control animals, CeO_2 nanoparticle exposure was associated with increased liver ceria levels, elevations in serum alanine transaminase levels, reduced albumin levels, a diminished sodium-potassium ratio, and decreased serum triglyceride levels (P < 0.05). Consistent with these data, rats exposed to CeO_2 nanoparticles also exhibited reductions in liver weight (P < 0.05) and dose-dependent hydropic degeneration, hepatocyte enlargement, sinusoidal dilatation, and accumulation of granular material. No histopathological alterations were observed in the kidney, spleen, and heart. Analysis of serum biomarkers suggested an elevation of acute phase reactants and markers of hepatocyte injury in the rats exposed to CeO_2 nanoparticles.

Conclusion: Taken together, these data suggest that intratracheal instillation of ${\rm CeO}_2$ nanoparticles can result in liver damage.

Keywords: cerium oxide nanoparticles, systemic toxicity, hepatic toxicity, hydropic degeneration

Introduction

Cerium is a rare earth lanthanide metal and a strong oxidizing agent. Cerium exists both in the trivalent state (Ce³⁺, cerous) and very stable tetravalent state (Ce⁴⁺, ceric) as cerium oxide (CeO₂). CeO₂ is widely used as a polishing agent for glass mirrors, television tubes, and ophthalmic lenses. In addition, CeO₂ can also act as a catalyst because it can both accept and donate oxygen. This latter property has led to the widespread use of CeO₂ in the automobile industry, where it has been used to increase fuel efficiency and reduce particulate emissions. It appears that CeO₂ nanoparticles may also be capable of acting as antioxidants, which has led some to postulate that these particles may be useful for the treatment of cardiovascular disease, neurodegenerative disease, and radiation-induced tissue damage. Nonetheless, other in vitro work has shown that CeO₂ nanoparticles can also cause oxidative stress.

The Organization for Economic Co-operation and Development Working Party on Manufactured Nanomaterials has demarcated CeO₂ nanoparticles along with 14 other nanoparticles as a high-priority for evaluation. Given current industrial applications, it is thought that the most common route of CeO₂ exposure is likely to be through inhalation and/or ingestion. Although previous studies have shown that intratracheal instillation of CeO₂ nanoparticles can cause a toxicological response

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in the lung, whether these particles also exhibit systemic toxicity is currently unclear. ^{2,13} Therefore, the purpose of the current study was to determine if the intratracheal instillation of CeO₂ nanoparticles is associated with alterations in the indices of systemic toxicity and pathological change. On the basis of previous work examining the translocation of carbon nanotubes from the lung, ¹⁴ we hypothesized that intratracheal instillation of CeO₂ nanoparticles could lead to nanoparticle deposition in other organs through the circulation. Consistent with this hypothesis, our data suggest that the intratracheal instillation of CeO₂ nanoparticles is associated with increased liver ceria levels, reductions in liver weight, and evidence of liver damage.

Materials and methods

Particle characterization

CeO₂ nanoparticles, 10 wt% in water (average diameter approximately 20 nm), were obtained from Sigma-Aldrich (St Louis, MO) as previously outlined. Normal saline was used as vehicle to suspend the nanoparticles prior to instillation. CeO₂ samples diluted in saline were used for animal exposures. Since the CeO₂ nanoparticles form agglomerates in suspension, the size distribution of the agglomerates of CeO₂ was analyzed using field emission scanning electron microscopy and transmission electron microscopy (TEM).

The CeO₂ suspension was analyzed using field emission scanning electron microscopy as follows: the CeO₂ particle suspensions were diluted with distilled water (about 10-fold) and were dried on carbon planchet and sputter-coated. After sputter-coating, the specimens were examined with a Hitachi Model S-4800 field emission scanning electron microscope (Schaumburg, IL) between 5 kV and 20 kV. In addition, the particles were diluted in double distilled filtered water and a drop was placed on a formvar-coated copper grid to dry before viewing the samples with a JEOL 1220 TEM (Tokyo, Japan).

Animal handling and instillation of CeO, nanoparticles

Specific pathogen-free male Sprague-Dawley (Hla: SD-CVF) rats (6 weeks old) were purchased from Hilltop Laboratories (Scottdale, PA). Rats were kept in cages individually and ventilated with HEPA filtered air in an animal facility accredited by the Association for Assessment and Accreditation of Laboratory Animal Care International. After acclimatization for one week, the rats were randomly divided into four groups (n=7 per group) to receive vehicle control (saline, 0.9% NaCl), or instillation of 1.0, 3.5, or 7.0 mg/kg CeO₂ nanoparticles. Rats were anesthetized with sodium methohexital (35 mg/kg,

intraperitoneally) and placed on an inclined restraint board before instillation with 0.3 mL of saline suspension or CeO₂ nanoparticles. The animals were euthanized 28 days post-exposure by drug overdose according to the Guide for the Care and Use of Laboratory Animals and as approved by the National Institute for Occupational Safety and Health Animal Care and Use Committee. All animals were humanely treated and were monitored for any potential suffering.

Determination of cerium content in the liver

Liver cerium content was estimated by induction coupled plasma-mass spectrometry (ICP-MS) at Elemental Analysis Inc (Lexington, KY) according to the standard protocol.¹⁴ Briefly, liver samples (n = 4 for each group) were prepared using Environmental Protection Agency method 3050B for the analysis of total cerium by ICP-MS. A 2.5 g sample was weighed to the nearest 0.0001 g and digested with concentrated nitric acid, 30% hydrogen peroxide, and concentrated hydrochloric acid. A method blank, laboratory control sample, a laboratory duplicate, and a predigestion matrix spike were prepared for each sample. After digestion, the extracts and the quality control samples were diluted to a final volume of 50 mL before analysis using an Agilent 7500cx ICP-MS. The instrument was calibrated for Ce-140 with 0, 0.1, 1.0, 10.0, and 100 µg/L standards prepared from a certified reference standard traceable to National Institute of Standards and Technology reference materials. A second source calibration verification standard traceable to National Institute of Standards and Technology reference materials was analyzed to verify the calibration standards. A continuing calibration verification standard and a continuing calibration blank were analyzed at the beginning of the run, after every ten samples, and at the conclusion of the run.

Serum biochemical and lipid profile analysis

Blood was collected by cardiac puncture into a serum collection tube (BD Vacutainer®) before centrifugation at 800× g for 15 minutes. Serum was collected and used for biochemical assays using an Abaxis VetScan® analyzer (Abaxis, Union City, CA). Serum biochemical parameters, ie, alanine aminotransferase, alkaline phosphatase, bilirubin, blood urea nitrogen, albumin, calcium (Ca²+), creatinine, amylase, globulin, potassium (K+), sodium (Na+), phosphorus, total bilirubin, and total protein were evaluated with a Comprehensive Diagnostic Profile Disk. The lipid profile, ie, total cholesterol, triglycerides, and high-density lipoprotein was

measured using lipid profile-Glu cassettes (Cholestech LDX) and a Cholestech LDX® analyzer. The remaining serum was stored at -80°C.

Multiplexed serum protein immunoassays

Pooled serum samples from all seven animals in each experimental group were shipped on dry ice to Rules-Based Medicine (Austin, TX) for Rodent MAP® version 2.0 antigen analysis using a Luminex 100 instrument, as detailed elsewhere. The antigen panel consisted of 59 proteins, which included proteins involved in inflammation, cytokines, growth factors, and tissue factors. Each analyte was quantified using 4 and 5 parameter, weighted and nonweighted curve fitting algorithms using proprietary data analysis software developed at Rules-Based Medicine.

Tissue collection and histopathological examination

Liver, kidney, spleen, and heart were collected at the time of death. Each tissue was weighed and then fixed in FineFIXTM (Milestone medicals, Shelton, CT) preservative for later histopathological examination. Tissues from liver, spleen, kidney, and heart were embedded in paraffin wax, sectioned at 5 μm, mounted on glass slide and stained with hematoxylin-eosin using standard histopathological techniques. Sections were examined by light microscopy in a blinded fashion by a board certified pathologist.

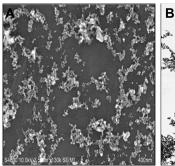
Data analysis

Results are presented as the mean \pm standard error of the mean. Data were analyzed using the SigmaPlot 11.0 statistical program. One-way analysis of variance was performed for overall comparisons, while the Student–Newman–Keuls post hoc test was used to determine differences between groups. Values of P < 0.05 were considered to be statistically significant.

Results

Nanoparticle characterization

Similar to previous work using the same batch of CeO_2 nanoparticles,¹³ analysis of nanoparticle size by TEM and scanning electron microscopy confirmed the presence of single and agglomerated CeO_2 nanoparticles in the suspensions (Figure 1A and B). Field emission scanning electron microscopy showed that the CeO_2 nano particles were generally dispersed into submicron groups with an average size of 9.26 ± 0.58 nm. The diameter of the primary CeO_2 particles was determined to be 10.14 ± 0.76 nm by TEM.



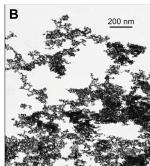


Figure I Characterization of the cerium oxide nanoparticles by (A) field emission scanning electron microscopy and (B) transmission electron microscopy (scale bar = 200 nm) of a dilute cerium oxide suspension.

CeO₂ instillation decreases liver wet weight

 ${\rm CeO_2}$ instillation at the 1, 3.5, or 7 mg/kg dosages had no significant effect on rat body, heart, kidney, or spleen weight (Table 1). Compared with control animals, only the highest ${\rm CeO_2}$ dosage (7 mg/kg) decreased liver weight (saline control 14.55 \pm 0.27 versus ${\rm CeO_2}$ 7.0 mg/kg 12.50 \pm 0.54; P < 0.05, Table 1).

CeO₂ instillation increases liver ceria content

The ceria content of animals instilled with 7.0 mg/kg CeO_2 nanoparticles was higher than that observed in the other groups (saline control nondetectable versus 1.0 mg/kg CeO_2 : 0.05 ± 0.01 ppm versus 3.5 mg/kg CeO_2 : 0.11 ± 0.02 ppm versus CeO_2 7.0 mg/kg: 0.50 ± 0.18 ppm; P < 0.05; Figure 2).

Effect of CeO₂ instillation on serum biochemical profile

Table 2 shows the alterations of the serum biochemical parameters following ${\rm CeO_2}$ nanoparticle exposure. Compared with control animals, ${\rm CeO_2}$ instillation at 1, 3.5, or 7 mg/kg diminished the sodium to potassium ratio (P < 0.05), while the ${\rm CeO_2}$ dosage of 7 mg/kg increased serum alanine aminotransferase levels and reduced albumin levels (P < 0.05). The serum lipid profile analysis (Table 2B) indicated a reduction in the triglyceride levels with 7 mg/kg ${\rm CeO_2}$ nanoparticle exposure.

CeO₂ nanoparticle exposure is associated with evidence of liver pathology

The primary alterations considered for liver tissue damage were hydropic degeneration of the hepatocytes, dilation of the sinusoids, portal inflammation, and fibrosis of the liver compared to tissues obtained from control animals. CeO, nanoparticle exposure showed widespread hydropic

Table I Alterations in absolute organ wet weight 28 days after intratracheal instillation of cerium oxide nanoparticles

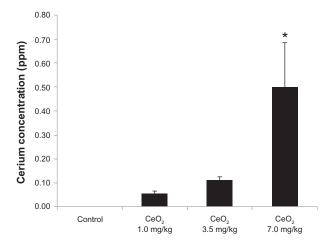
Organ weight (g)	Saline control (n = 7)	CeO ₂ 1.0 mg/kg (n = 7)	CeO_{2} 3.5 mg/kg (n = 7)	CeO ₂ 7.0 mg/kg (n = 7)
Heart (g)	1.52 ± 0.15	1.35 ± 0.05	1.27 ± 0.07	1.23 ± 0.05
Liver (g)	14.55 ± 0.27	14.30 ± 1.04	14.78 ± 0.57	$12.50 \pm 0.54*$
Kidney (g)	$2.67 \pm 0.3\mathrm{I}$	2.55 ± 0.21	2.54 ± 0.33	$2.43\pm0.3\mathrm{I}$
Spleen (g)	$\textbf{0.58} \pm \textbf{0.06}$	$\textbf{0.65} \pm \textbf{0.10}$	0.56 ± 0.08	$\textbf{0.64} \pm \textbf{0.04}$

Note: *Significantly different from vehicle control (P < 0.05).

degeneration of hepatocytes around the central vein region with sparing of the immediate periportal region (Figure 3). These changes were panlobular in nature. Along with hydropic degeneration, we also observed enlargement of the hepatocytes, enlargement of the nucleus in the hepatocyte, binucleation of some hepatocytes, dilatation of the sinusoids, and occasional focal inflammation areas in a few of the exposed animals (Figure 4). As the dose of the nanoparticles was increased, the number of hepatocytes that show hydropic degeneration was also elevated suggesting that changes in hepatocyte structure were dose-dependent.

CeO₂ nanoparticle exposure does not appear to affect spleen, kidney, and heart

Alterations considered for the kidney pathologies were necrosis of the proximal tubular epithelium, tubular accumulation of proteinaceous material, and inflammatory reaction in the interstitial areas of the cortex and medulla. Spleen and heart tissues were examined for any histological alterations in structure along with the infiltration of inflammatory cells. We did not observe any alterations in the histological appearance or the infiltration of inflammatory cells in the kidney, spleen, and heart with CeO₂ nanoparticle exposure (Figures 5–7).



 $\textbf{Figure 2} \ \, \textbf{Concentration of cerium in liver after intratracheal instillation of cerium oxide nanoparticles}.$

Note: *Significantly different from the vehicle control (P < 0.05).

Effect of CeO₂ instillation on serum protein expression

A panel of 59 protein biomarkers comprising cytokines, inflammatory markers, growth factors, and tissue factors were quantified in the serum samples collected in this study using the RBM RodentMAP® V2.0 multiplex immune assay service. Compared with levels observed in the control animals, the levels of 16 different analytes appeared to exhibit a trend towards being increased or decreased by at least 15% or more following the instillation procedure with the CeO, nanoparticles (Figure 8A, B, and C). At the 7.0 mg/kg CeO, dosage, ten of the analytes (fibroblast growth factor-basic, haptoglobin, immunoglobulin A, interleukin-11, matrix metalloproteinase-9, myoglobin, serum amyloid protein, serum glutamic oxaloacetic, transaminase thrombopoietin, and von Willebrand factor) exhibited a trend towards increased expression whereas two (tumor necrosis factor-related activation protein (CD-40 L) and interleukin-7) appeared to exhibit a trend towards decreased expression (Figure 8C).

Discussion

Investigation of the effects that nanomaterials may have on cellular function is essential for ensuring that the utilization of these materials in industrial or medical applications is safe. Although CeO₂ nanoparticles have demonstrated excellent potential for biomedical use,^{7,8,10} limited knowledge exists concerning their potential systemic toxicity. The primary finding of this investigation was that intratracheal instillation of CeO₂ nanoparticles (Figure 1) results in increased liver ceria levels (Figure 2), and that these changes in liver ceria are associated with evidence of liver pathology (Figures 3 and 4), decreases in liver weight (Table 1), and alterations in blood chemistry (Table 2). Consistent with other reports examining CeO₂,¹⁵ titanium dioxide,¹⁶ silica,¹⁷ and copper¹⁸ nanoparticles, our data suggest it is possible that CeO₂ nanoparticles are capable of translocating from the lung to the liver via the circulation

The histopathological appearance of the liver following CeO, nanoparticle instillation is consistent with

Table 2 Changes in serum biochemical parameters (**A**) and lipid profile (**B**) 28 days after the intratracheal instillation of cerium oxide nanoparticles

Analyte	Saline control (n = 7)	CeO ₂ I.0 mg/kg (n = 7)	$CeO_{2} 3.5 mg/kg$ (n = 7)	CeO ₂ 7.0 mg/kg (n = 7)
Glucose	186.4 ± 25.7	208 ± 43.0	197.6 ± 40.2	231 ± 93.5
ALP	276.1 ± 53.7	263 ± 55.4	242 ± 35.3	222.23 ± 81.9
ALT	58.3 ± 10.7	$\textbf{83.4} \pm \textbf{28.5}$	88.3 ± 31.4	130.5 ± 94.5*
Amylase	974.7 ± 97.4	1055.1 ± 124.2	991.4 ± 116	908.4 ± 277.0
Total protein	6.0 ± 0.1	$\textbf{5.9} \pm \textbf{0.6}$	6.2 ± 0.5	5.4 ± 1.3
Albumin	4.2 ± 0.2	4.1 ± 0.5	4.5 ± 0.4	3.5 ± 1.1*
Globulin	$\textbf{1.8} \pm \textbf{0.2}$	1.8 ± 0.2	2.0 ± 0.2	1.8 ± 0.2
ALB-GLOB ratio	2.3 ± 0.3	2.3 ± 0.3	2.2 ± 0.3	1.9 ± 0.6
BUN	15.4 ± 1.1	15 ± 3.1	15.7 ± 1.9	14.4 ± 4.2
Creatinine	$\textbf{0.3} \pm \textbf{0.1}$	0.27 ± 0.1	0.23 ± 0.1	0.28 ± 0.1
Ca^{2+}	11.4 ± 0.7	10.7 ± 1.3	11.5 ± 1.1	10.4 ± 2.4
Phosphorus	8.6 ± 0.9	7.9 ± 1.2	8.7 ± 1.0	8.2 ± 1.9
Na ⁺	142.3 ± 0.9	138 ± 10.7	138.1 ± 10.7	132.1 ± 16.3
K^+	5.5 ± 0.4	6.0 ± 0.5	6.5 ± 0.6	5.8 ± 0.9
Na+-K+ ratio	25.8 ± 2.0	22.9 ± 1.7*	21.2 ± 1.4*	$22.8 \pm 2.5*$
В				
Total cholesterol	100.7 \pm 1.9	100 ± 0	100 ± 0	103.1 ± 8.3
Triglycerides	143 ± 53	109.6 ± 50.9	190.3 ± 83.7	93.1 ± 22.3*
HDL	21 ± 6.0	19.4 \pm 5.4	20 ± 6.4	19 ± 5.1

Note: *Significantly different from the vehicle control (P < 0.05).

Abbreviations: ALP, alkaline phosphatase; ALT, alanine aminotransferase; ALB-GLOB ratio, albumin to globulin ratio; BUN, blood urea nitrogen; Ca, calcium; Na, sodium; K, potassium; Na-K ratio, sodium to potassium ratio; HDL, high density lipoproteins.

the possibility that ceria can induce several different pathological alterations, including hydropic degeneration of hepatocytes, enlargement of hepatocytes, dilatation of the sinusoids, and nuclear enlargement (Figures 3 and 4). There was no evidence of granuloma, portal inflammation,

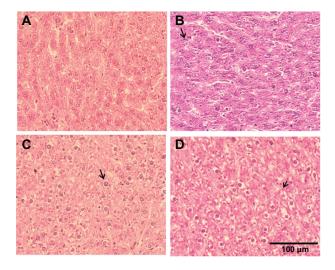


Figure 3 Cerium oxide nanoparticle exposure alters histopathological architecture of the liver. (**A**) Saline control (400×), (**B**) CeO $_2$ at 1.0 mg/kg (400×), (**C**) CeO $_2$ 3.5 mg/kg (400×), and (**D**) CeO $_2$ 7.0 mg/kg (400×). Note evidence of hydropic degeneration (arrow) with CeO $_2$ instillation.

fibrosis, or bile duct abnormalities, except for the presence of some local inflammation of the lobules in some animals.

Because the liver is the major organ for biotransformation of toxins, it may be the first organ to be exposed to nanoparticles that are able to enter into the circulation. It is thought that hydropic degeneration can be caused by hypoxia, 19 ischemia, 20 or the treatment of hepatocytes with endotoxins²¹ or chemicals.²² Consistent with our findings, this response has also been observed following exposure to other toxic materials, including copper nanoparticles²³ and carbon tetrachloride,24 or following the inhalation of anesthetics such as sevofulrane and desflurane.25 How exposure to CeO, nanoparticles may induce hydropic degeneration or if these changes are reversible is currently unclear. Sinusoidal dilatation is the increased gap between the hepatic cords in the hepatic lobule that has also been observed in aluminum-induced hepatic toxicity,26 carbon tetrachloride-induced hepatic toxicity,²⁷ and ischemia,²⁸ as well as with the organophosphate insecticide, methidathion.²⁹ In addition, we also noted the accumulation of granular material inside the hepatocytes which appeared to be dose-dependent and perhaps related to reduction of liver weight (Table 1).

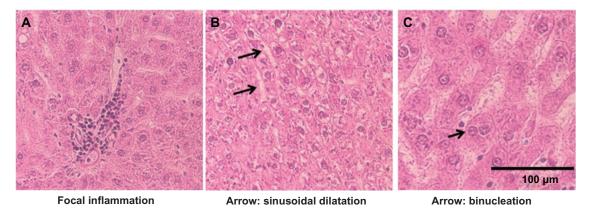


Figure 4 Histopathological alterations with the CeO₂ nanoparticle exposure (7.0 mg/kg) include (A) focal inflammation, (B) sinusoidal dilatation, and (C) binucleation of the hepatocyte (400×).

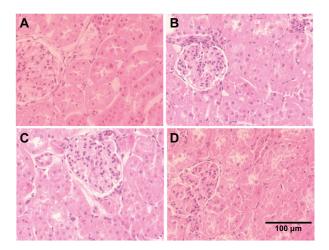


Figure 5 Cerium oxide nanoparticle exposure has no effect on the histological appearance of the kidney. (**A**) Saline control (400×), (**B**) CeO₂ at 1.0 mg/kg (400×), (**C**) CeO₂ 3.5 mg/kg (400×), and (**D**) CeO₂ 7.0 mg/kg (400×).

Our serum biochemical profile data suggest that CeO₂ nanoparticle instillation in the rat may be associated with an elevation of alanine aminotransferase and reduction in albumin (Table 2). It is well established that hepatocyte damage is associated with the release of liver enzymes into the circulation and reduced albumin levels.²⁶ In addition to changes in the level of circulating liver enzymes, CeO₂ nanoparticle instillation also appears to decrease the sodium-potassium ratio and the amount of triglycerides (Table 2).

Similar to other work examining other types of nanoparticles,^{30,31} we observed a trend towards an increasing serum concentration of haptoglobin (16%), serum amyloid P protein (24%), and von Willebrand factor (33%) following exposure to CeO₂ nanoparticles. Consistent with our histopathological findings, and the possibility of hepatic injury, we also found evidence that CeO₂ nanoparticle instillation

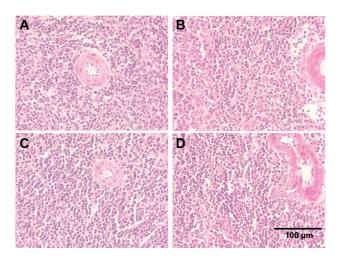


Figure 6 Cerium oxide nanoparticle exposure has no effect on the histological appearance of the spleen. (**A**) Saline control (400×), (**B**) CeO_2 at 1.0 mg/kg (400×), (**C**) CeO_2 3.5 mg/kg (400×), and (**D**) CeO_2 7.0 mg/kg (400×).

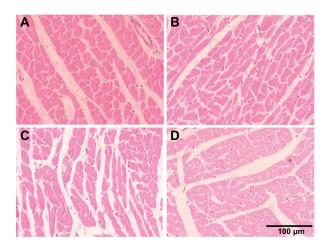
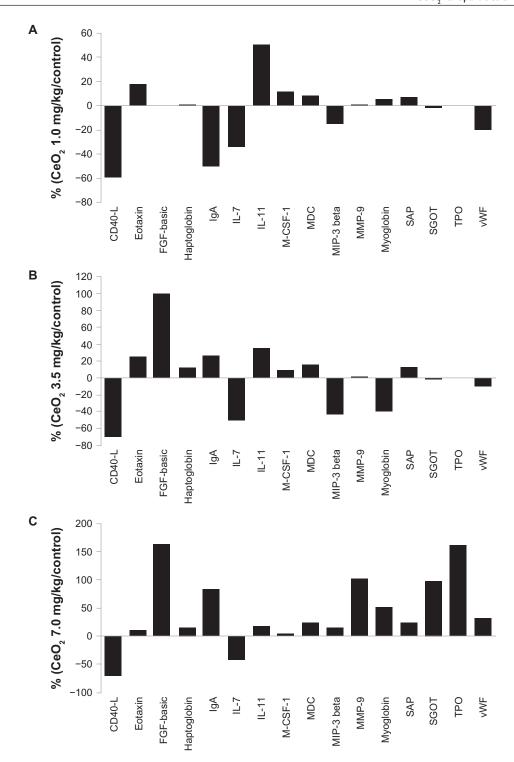


Figure 7 Cerium oxide nanoparticle exposure has no effect on histological appearance of heart. (**A**) saline control (400×), (**B**) CeO_2 at 1.0 mg/kg (400×), (**C**) CeO_2 3.5 mg/kg (400×), and (**D**) CeO_2 7.0 mg/kg (400×).



 $\textbf{Figure 8} \ \ \text{Cerium oxide nanoparticles exposure results in alterations in the expression of serum protein biomarkers.}$

was associated with a trend toward increases in the amount of serum thrombopoietin, fibroblast growth factor, serum glutamic oxaloacetic transaminase, and transaminase thrombopoietin (Figure 4). Elevation in these serum biomarkers is thought to be highly correlated with acute hepatic injury.^{22,32}

Taken together, these data suggest that ceria deposition may be associated with liver damage. Given our findings that CeO₂ nanoparticle instillation, at least at the levels used in the current study, does not induce appreciable damage to the heart, kidney, or spleen. It is possible that the liver,

by acting to clear CeO₂ nanoparticles from the circulation, is functioning to prevent additional secondary or tertiary pathological changes elsewhere.

Conclusion

In summary, our data suggest that intratracheal instillation of CeO₂ nanoparticles may be associated with hepatotoxicity. The toxicity induced by CeO₂ nanoparticles appears to be dose-dependent, because the rats instilled with 7.0 mg/kg body weight of CeO₂ nanoparticles exhibited the greatest evidence of toxicological response. The toxicological response appears to be limited to the liver and may occur through extrapulmonary translocation of the CeO₂ nanoparticles into the systemic circulation. Given these findings, additional research to evaluate the health effects of CeO₂ nanoparticles is likely warranted.

Acknowledgments

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Disclosure

The authors report no conflicts of interest in this work. The findings and conclusions in this report have not been formally disseminated by the NIOSH and should not be construed to represent any agency determination or policy.

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