

# Strategies to alleviate original antigenic sin responses to influenza viruses

Jin Hyang Kim<sup>a,1</sup>, William G. Davis<sup>b</sup>, Suryaprakash Sambhara<sup>b</sup>, and Joshy Jacob<sup>a,2</sup>

<sup>a</sup>Department of Microbiology and Immunology, Emory Vaccine Center, Yerkes National Primate Center, Emory University, Atlanta, GA 30329; and

<sup>b</sup>Immunology and Pathogenesis Branch, Influenza Division, National Centers for Immunization and Respiratory Diseases, Centers for Disease Control and Prevention, Atlanta, GA 30329

Edited\* by Leonard A. Herzenberg, Stanford University, Stanford, CA, and approved July 10, 2012 (received for review November 4, 2009)

**Original antigenic sin is a phenomenon wherein sequential exposure to closely related influenza virus variants reduces antibody (Ab) response to novel antigenic determinants in the second strain and, consequently, impairs the development of immune memory. This could pose a risk to the development of immune memory in persons previously infected with or vaccinated against influenza. Here, we explored strategies to overcome original antigenic sin responses in mice sequentially exposed to two closely related hemagglutinin 1 neuraminidase 1 (H1N1) influenza strains A/PR/8/34 and A/FM/1/47. We found that dendritic cell-activating adjuvants [*Bordetella pertussis* toxin (PT) or CpG ODN or a squalene-based oil-in-water nanoemulsion (NE)], upon administration during the second viral exposure, completely protected mice from a lethal challenge and enhanced neutralizing-Ab titers against the second virus. Interestingly, PT and NE adjuvants when administered during the first immunization even prevented original antigenic sin in subsequent immunization without any adjuvants. As an alternative to using adjuvants, we also found that repeated immunization with the second viral strain relieved the effects of original antigenic sin. Taken together, our studies provide at least three ways of overcoming original antigenic sin.**

cross-reactivity | antigen presentation | memory T-cell activation

**O**riginal antigenic sin, first described in 1953 by Thomas Francis (1), is the phenomenon in which sequential exposure to viral variants induces preferential Ab response to a virus strain encountered in the past. As a result, the response to the current strain is diminished. Over the past five decades, original antigenic sin has been observed in humans, as well as other mammals such as mice, ferrets, and rabbits (2–5). This phenomenon could pose a cause for concern in the context of human immune responses to influenza vaccination programs. Hence, there is a need to develop strategies to overcome original antigenic sin.

Interestingly, original antigenic sin is reminiscent of an immunological phenomenon called carrier-mediated hapten suppression, first described by Herzenberg and colleagues in 1980 (6). They showed that in mice previously exposed to the carrier protein, keyhole limpet hemocyanin (KLH), a second immunization with hapten (dinitrophenyl)-conjugated KLH leads to selective suppression of Ab response to the hapten (6). This selective suppression is not unique to murine models of hapten-carrier systems. Human volunteers previously vaccinated with tetanus and later receiving a vaccine consisting of malaria sporozoite peptide conjugated to tetanus showed suppression of the antimalaria response (7). The precise mechanism of this epitopic suppression remained enigmatic until studies in 1998, Moser and colleagues provided significant insight into the cellular basis of carrier-mediated hapten suppression (8). They showed that the hapten-specific Ab response improved upon injection with dendritic cells (DCs) pulsed with hapten-carrier plus IL-12 during secondary immunization. They concluded that activating DCs and forcing a T-helper (Th)1 response could overcome carrier-mediated hapten-specific suppression.

Mature activated DCs serve as a link between the innate and adaptive arms of the immune system, and this process can be facilitated by several bacterial components (9, 10). Of these bacterial products, killed *Bordetella pertussis* is a potent adjuvant

(11), and its components including *B. pertussis* toxin (PT) and *B. pertussis* endotoxin (LPS) have been shown to block or reverse carrier-mediated hapten suppression (12, 13). Specifically, PT stimulates DCs to up-regulate MHC class II and costimulatory molecules [cluster of differentiation (CD)80, CD86, CD40, and dendritic and epithelial cell (DEC)205] to produce IL-12 and TNF- $\alpha$  and to up-regulate phosphorylation of ERK (14). This overall DC activation by PT elicits a Th1 response by promoting T cells to produce IFN- $\gamma$ . Bacterial DNA containing unmethylated CpG-dinucleotide is also a strong adjuvant for Th1 response and cytotoxic T-cell responses (15, 16). Similar to PT, the effect of CpG in promoting Th1-like immune responses (17, 18) is a result of DC maturation leading to up-regulation of MHC class II, B7, and CD40 molecules on the DC surface and production of IL-12, IL-6, and TNF- $\alpha$  (19). Therefore, the mechanism of action for both adjuvants is attributed to potent activation of DCs.

For human application of adjuvants, proven record of safety and efficacy is critical. Despite potent DC activation and the ability to relieve hapten suppression, PT or CpG is not suitable for the clinical application because of safety concerns. For this reason, squalene-based oil-in-water nanoemulsion (NE) represents more suitable adjuvants for human application. So far, two NE adjuvants, MF59 and AS03, have been approved for human use in Europe but not in the United States. Although the exact mechanisms of the action remain unclear, studies show that NE similarly enhances innate immunity by recruiting DCs to the site of injection and by promoting cytokine production (20, 21). Several clinical studies have shown that NE enhances immunogenicity of inactivated influenza vaccines while being well tolerated by recipients (22–25). Because NE also induces cross-reactivity against heterosubtypic strains of influenza virus (23, 24), it is of clinical importance to test whether this adjuvant can overcome/reduce original antigenic sin.

The purpose of this study was to develop strategies to overcome original antigenic sin responses to influenza viruses. Noting the similarities between original antigenic sin and carrier-mediated hapten suppression, we hypothesized that administration of DC-activating adjuvants could relieve original antigenic sin. Using the mouse model that we have established recently (26), here, we show that coadministration of adjuvants PT or CpG or a squalene-based NE during sequential exposure to two closely related hemagglutinin 1 neuraminidase 1 (H1N1) influenza strains, A/Puerto Rico/8/34 (PR8) and A/Fort Monmouth/1/47 (FM1), overcame original antigenic sin. This was evidenced by either undetectable or reduced lung viral titers following a lethal

Author contributions: J.H.K. and J.J. designed research; J.H.K. performed research; W.G.D. and S.S. contributed new reagents/analytic tools; J.H.K., S.S., and J.J. analyzed data; and J.H.K., S.S., and J.J. wrote the paper.

The authors declare no conflict of interest.

\*This Direct Submission article had a prearranged editor.

<sup>1</sup>Present address: Immunology and Pathogenesis Branch, Influenza Division, National Centers for Immunization and Respiratory Diseases, Centers for Disease Control and Prevention, Atlanta, GA 30329.

<sup>2</sup>To whom correspondence should be addressed. E-mail: joshy.jacob@emory.edu.

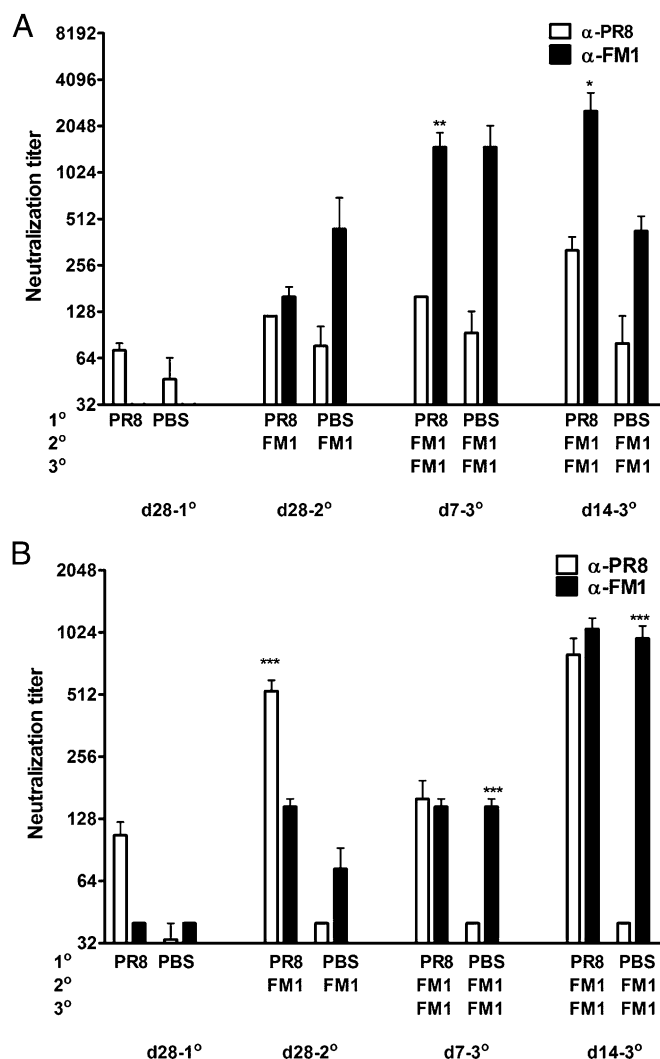
This article contains supporting information online at [www.pnas.org/lookup/suppl/doi:10.1073/pnas.0912458109/-DCSupplemental](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.0912458109/-DCSupplemental).











**Fig. 6.** Booster immunization with the second virus relieves original antigenic sin. BALB/c mice (five to six mice/group) were sequentially immunized with 2  $\mu$ g of DNA vaccine encoding full-length HA from PR8 (PR8-HA) (A) or 1,400 HAU PR8 virus (B), and then with FM1-HA or 1,400 HAU FM1 virus a month later. Mice were booster immunized again with FM1 Ag a month later. Neutralizing-Ab titers were measured using serum samples collected at time points as shown. Open and filled bars represent PR8 and FM1 titers. Error bars represent SEM. Data are representative of two separate experiments. Asterisks indicate significance between anti-PR8 vs. anti-FM1 titers. \* $P < 0.05$ ; \*\* $P < 0.02$ ; \*\*\* $P < 0.001$ .

sin associated with annual influenza vaccination remains, the development of strategies to prevent or minimize original antigenic sin has not been widely studied. In this paper, we show that original antigenic sin responses to influenza viruses can be minimized or prevented. This was accomplished by administering adjuvants during secondary or primary exposure or by booster immunization with the second virus.

Adjuvants enhance immune responses. In mice sequentially exposed to PR8 and FM1, we observed that administering PT or CpG or NE during FM1 exposure improved the protective immunity against FM1 and augmented the FM1 neutralizing-Ab titers (Figs. 1, 2, and 5). Surprisingly, we could achieve the same results by administering PT or NE once during PR8 immunization with no further need for adjuvants in subsequent immunizations (Figs. 3 and 5). Similar observations were documented in carrier-mediated hapten suppression model, wherein injection of killed *B. pertussis* during KLH priming enhanced NP-specific Ab production (12). Similarly, injection of pertussis vaccine or purified *B.*

*pertussis* toxin or endotoxin during priming with tetanus toxoid blocked the epitopic suppression in a synthetic vaccine model (13). These studies indicate that injection of certain adjuvants during first immunization enhances cross-reactivity, leading to increased Ab production against the second related Ag.

Our current findings demonstrate that adjuvants relieve original antigenic sin by inducing cross-reactive memory B cells. Clinical studies using NE adjuvants for influenza vaccines support this idea. Individuals primed with MF59-adjuvanted H5N3 vaccine, compared with unprimed subjects, induce more Abs against distant heterologous strain, H5N1 (23). In addition, infant and young children vaccinated with MF59-adjuvanted seasonal influenza vaccine induce more seroprotective Ab titers against heterologous H1 and H3 Ags compared with unadjuvanted groups (24). The duration of Ab response following MF59-adjuvanted vaccine is also longer than that of unadjuvanted vaccine (24, 25). Therefore, NE-adjuvanted vaccines can be used to potentiate the vaccine efficacy and to broaden the spectrum of Ab responses. This feature is important for immunocompromised population, such as patients with chronic infections, older adults and infants/young children who are at risk for postinfluenza complications (30). Collectively, our findings imply that original antigenic sin could potentially be prevented in the naive human population, especially children, by administering adjuvants with the first influenza vaccine. Alternatively, in the older population with prior influenza virus exposure or vaccinations, original antigenic sin can be minimized by using adjuvants.

The exact mechanisms of original antigenic sin remain elusive. It is possible that original antigenic sin occurs because of Ag presentation by preexisting memory B cells instead of DCs. In the context of sequential exposure with PR8 and FM1, primary exposure induces proliferation of PR8 epitope-specific B cells and cross-reactive B cells. Upon exposure to FM1, selective activation of cross-reactive memory B cells may occur at the expense of FM1 novel epitope-specific naïve B cells, because of the higher frequency and the lower activation threshold of cross-reactive memory B cells compared with naïve B cells. In addition, binding of memory B cells to HA can be facilitated by sialic acid binding (31). All of these factors can lead to Ag uptake and presentation by memory B cells. This redirection of Ag presentation by B cells instead of DCs may lead to suboptimal activation signals that favor memory over naïve B-cell activation.

The administration of adjuvants may shift Ag presentation from memory B cells to DCs and enhance cellular immune response. Both PT and CpG promote maturation of DCs, induce them to produce cytokines including IL-12 and IFN- $\gamma$ , and enhance Ag presentation (14, 17, 19, 32). The mechanism by which NE enhances immunogenicity is not completely understood, but available data suggest that MF59 triggers a local inflammatory environment by engaging muscle cells at the injection site, which then indirectly activates DCs (20). Regardless of the direct effect on DCs, activation of DCs could shift Ag presentation away from memory B cell to DCs, resulting in more recruitment of FMI-specific naïve B cells into the response. Thus, DC participation may be crucial in overcoming antigenic sin. Experiments to test this hypothesis are currently in progress.

Immediate neutralization of virus is mediated by preexisting neutralizing Abs (33). However, cellular immunity including Ag-specific CD8 T cells is critical in viral clearance (34, 35). In accordance with this, we observed that adjuvants significantly enhanced virus-specific CD8 and CD4 T-cell responses (Fig. 4). Our data demonstrate that mice receiving PT or CpG either during primary or secondary exposure showed enhanced or complete protection from a lethal challenge (Figs. 1 and 3A). This is attributable not only to enhanced Ab titers against FM1 (Figs. 2 and 3B) but also to activation of IFN- $\gamma$ - and IL-4-producing memory CD8 T cells (Fig. 4). Thus, it is conceivable that adjuvants, through the activation of DCs, may enhance cytotoxic effects of memory CD8 T cells to accelerate the clearance of virus, while activating IFN- $\gamma$ -producing CD4 T cells to aid in the neutralizing-Ab responses. Interestingly, PT yielded higher numbers of IL-4-producing CD4 T cells than CpG (Fig. 4B), and this may explain why mice given PT during the first exposure developed better protective responses than the CpG group (Fig. 3A).

Finally, our findings present a third strategy to overcome original antigenic sin. Repeated immunization with the second viral strain induced robust responses to the second virus (Fig. 6). In mice sequentially immunized with either DNA vaccines or whole inactivated viruses, boosting animals with same dose of FM1 at the memory phase significantly enhanced the Ab titers against FM1 (Fig. 6). Thus, reexposure facilitates the development of the memory pool and protective immunity against the variant strain. This may occur through the selective activation of FM1-specific B cells generated during the secondary exposure.

With annual influenza vaccinations, the threat of original antigenic sin looms especially with related influenza viruses. Our current study provides strategies to prevent or minimize original antigenic sin by either using adjuvants or by booster immunizations. Although these approaches offer some clues into the mechanisms of original antigenic sin, the integrated molecular mechanisms that induce original antigenic sin await much more elaborate studies.

## Methods

**Mice, Immunizations, Infection, and Serum Collection.** BALB/c mice were used for the study. Housing, anesthetization, immunization, or infection of mice, serum collection, and treatment were performed as described (26). All animal studies were performed with the approval of the Emory University Institutional Animal Care and Use Committee.

**Cells, Viruses, and HA-Encoding DNA Vaccines.** Madin–Darby canine kidney (MDCK) cells, DNA vaccines, and PR8 and FM1 viruses were described previously (26).

**Adjuvants.** *B. pertussis* toxin and DNA containing unmethylated CpG-dinucleotide were purchased from List Biological and Operon, respectively. NE was made at the Centers for Disease Control and Prevention (CDC) in

Atlanta, GA. This adjuvant is composed of a water phase (PBS, Tween 80) and oil phase (Squalene with or without  $\alpha$ -tocopherol) at a 4:1 ratio. The nanoemulsion was prepared by passing the mixture through M-110 PMicrofluidizer (Microfluidics) under a pressure of 18,000 psi. The size of nanoemulsion particles was determined by dynamic light scattering technique (DynaPro Plate Reader; Wyatt Technology). The average particle size of the emulsion ranges from 100–110 nm. For adjuvanted vaccine, whole inactivated influenza virus was mixed with the NE at a 1:1 ratio before immunization of mice.

**Influenza Virus Microneutralization, HAI Assay.** Treatment of sera followed by microneutralization or HAI assay were done as described previously (26).

**Plaque Assay.** Viral titers in lung lysates were assessed using plaque assay as described (26).

**T-Cell ELISPOT.** ELISPOT for IFN- $\gamma$ , IL-4 was performed as described (36). For T-cell stimulation, a pool of H-2<sup>d</sup>-restricted class I HA and NA peptides (IYSTVASSL, TYQTRALVRTGMDP) or a pool of eight class II HA peptides (SFERFEIFPKE, HNTNGVTAACSH, CPKYVRSALRM, KLKNSYVNNKKGK, NAYVSVVTSNYNRRF, ASMHECNTKCQT, EIAERPKVRDQAG, VLWGIHPPNSK) were added into cells. Class I ovalbumin (OVA)-specific T cell epitope (OT) peptide (SINFEKL) and class II OT peptide derived from OVA protein were used as negative control. PHA was used as a positive control.

**Statistics.** Student *t* test was used to generate all statistical values stated. For statistical designations, \**P* < 0.05; \*\**P* < 0.02; and \*\*\**P* < 0.001.

**ACKNOWLEDGMENTS.** We thank members of the J.J. laboratory for helpful discussions and Mrs. Leela Thomas for excellent mouse colony management. This research was supported by National Institutes of Health/National Institute of Allergy and Infectious Diseases Contract HHSN266 200700006C. J.J. is a research scholar of the American Cancer Society.

- Davenport FM, Hennessy AV, Francis T, Jr. (1953) Epidemiologic and immunologic significance of age distribution of antibody to antigenic variants of influenza virus. *J Exp Med* 98:641–656.
- Virelizier JL, Allison AC, Schild GC (1974) Antibody responses to antigenic determinants of influenza virus hemagglutinin. II. Original antigenic sin: A bone marrow-derived lymphocyte memory phenomenon modulated by thymus-derived lymphocytes. *J Exp Med* 140:1571–1578.
- Virelizier JL, Postlethwaite R, Schild GC, Allison AC (1974) Antibody responses to antigenic determinants of influenza virus hemagglutinin. I. Thymus dependence of antibody formation and thymus independence of immunological memory. *J Exp Med* 140:1559–1570.
- Webster RG (1966) Original antigenic sin in ferrets: The response to sequential infections with influenza viruses. *J Immunol* 97:177–183.
- Fazekas de St Groth S, Webster RG (1966) Disquisitions on Original Antigenic Sin. II. Proof in lower creatures. *J Exp Med* 124:347–361.
- Herzenberg LA, Tokuhisa T, Herzenberg LA (1980) Carrier-priming leads to hapten-specific suppression. *Nature* 285:664–667.
- Di John D, et al. (1989) Effect of priming with carrier on response to conjugate vaccine. *Lancet* 2:1415–1418.
- Renjifo X, et al. (1998) Carrier-induced, hapten-specific suppression: A problem of antigen presentation? *J Immunol* 161:702–706.
- Roake JA, et al. (1995) Dendritic cell loss from nonlymphoid tissues after systemic administration of lipopolysaccharide, tumor necrosis factor, and interleukin 1. *J Exp Med* 181:2237–2247.
- De Smedt T, et al. (1996) Regulation of dendritic cell numbers and maturation by lipopolysaccharide in vivo. *J Exp Med* 184:1413–1424.
- Finger H, Emmerling P, Schmidt H (1967) Accelerated and prolonged multiplication of antibody-forming spleen cells by Bordetella pertussis in mice immunized with sheep red blood cells. *Experientia* 23:591–592.
- Herzenberg LA, Tokuhisa T (1982) Epitope-specific regulation. I. Carrier-specific induction of suppression for IgG anti-hapten antibody responses. *J Exp Med* 155:1730–1740.
- Vogel FR, et al. (1987) Modulation of carrier-induced epitopic suppression by Bordetella pertussis components and muramyl peptide. *Cell Immunol* 107:40–51.
- Hou W, et al. (2003) Pertussis toxin enhances Th1 responses by stimulation of dendritic cells. *J Immunol* 170:1728–1736.
- Lipford GB, et al. (1997) CpG-containing synthetic oligonucleotides promote B and cytotoxic T cell responses to protein antigen: A new class of vaccine adjuvants. *Eur J Immunol* 27:2340–2344.
- Roman M, et al. (1997) Immunostimulatory DNA sequences function as T helper-1-promoting adjuvants. *Nat Med* 3:849–854.
- Hartmann G, Weiner GJ, Krieg AM (1999) CpG DNA: A potent signal for growth, activation, and maturation of human dendritic cells. *Proc Natl Acad Sci USA* 96:9305–9310.
- Chu RS, Targoni OS, Krieg AM, Lehmann PV, Harding CV (1997) CpG oligodeoxynucleotides act as adjuvants that switch on T helper 1 (Th1) immunity. *J Exp Med* 186:1623–1631.
- Sparwasser T, et al. (1998) Bacterial DNA and immunostimulatory CpG oligonucleotides trigger maturation and activation of murine dendritic cells. *Eur J Immunol* 28:2045–2054.
- Mosca F, et al. (2008) Molecular and cellular signatures of human vaccine adjuvants. *Proc Natl Acad Sci USA* 105:10501–10506.
- Tritto E, Mosca F, De Gregorio E (2009) Mechanism of action of licensed vaccine adjuvants. *Vaccine* 27:3331–3334.
- Podda A (2001) The adjuvanted influenza vaccines with novel adjuvants: Experience with the MF59-adjuvanted vaccine. *Vaccine* 19:2673–2680.
- Galli G, et al. (2009) Fast rise of broadly cross-reactive antibodies after boosting long-lived human memory B cells primed by an MF59 adjuvanted prepandemic vaccine. *Proc Natl Acad Sci USA* 106:7962–7967.
- Vesikari T, et al. (2011) Oil-in-water emulsion adjuvant with influenza vaccine in young children. *N Engl J Med* 365:1406–1416.
- Clark TW, et al. (2009) Trial of 2009 influenza A (H1N1) monovalent MF59-adjuvanted vaccine. *N Engl J Med* 361:2424–2435.
- Kim JH, Skountzou I, Compans R, Jacob J (2009) Original antigenic sin responses to influenza viruses. *J Immunol* 183:3294–3301.
- Jensen KE, Davenport FM, Hennessy AV, Francis T, Jr. (1956) Characterization of influenza antibodies by serum absorption. *J Exp Med* 104:199–209.
- Davenport FM, Hennessy AV (1956) A serologic recapitulation of past experiences with influenza A; antibody response to monovalent vaccine. *J Exp Med* 104:85–97.
- Fazekas de St Groth S, Webster RG (1966) Disquisitions of Original Antigenic Sin. I. Evidence in man. *J Exp Med* 124:331–345.
- Baldo V, Baldovin T, Floreani A, Carraro AM, Trivello R; Family Medicine Group of Pianiga (2007) MF59-adjuvanted influenza vaccine confers superior immunogenicity in adult subjects (18–60 years of age) with chronic diseases who are at risk of post-influenza complications. *Vaccine* 25:3955–3961.
- Doucet VP, et al. (2005) Enumeration and characterization of virus-specific B cells by multicolor flow cytometry. *J Immunol Methods* 303:40–52.
- Van Uden JH, Tran CH, Carson DA, Raz E (2001) Type I interferon is required to mount an adaptive response to immunostimulatory DNA. *Eur J Immunol* 31:3281–3290.
- Gerhard W (2001) The role of the antibody response in influenza virus infection. *Curr Top Microbiol Immunol* 260:171–190.
- Topham DJ, Tripp RA, Doherty PC (1997) CD8<sup>+</sup> T cells clear influenza virus by perforin or Fas-dependent processes. *J Immunol* 159:5197–5200.
- Doherty PC, Turner SJ, Webby RG, Thomas PG (2006) Influenza and the challenge for immunology. *Nat Immunol* 7:449–455.
- Garg S, Oran AE, Hon H, Jacob J (2004) The hybrid cytomegalovirus enhancer/chicken beta-actin promoter along with woodchuck hepatitis virus posttranscriptional regulatory element enhances the protective efficacy of DNA vaccines. *J Immunol* 173:550–558.