

(1)

## Local Search & Optimization :

### Genetic Algorithm (GA) :

- metaheuristic optimization algorithm inspired by the process of natural selection & evolution (biological evolution of species)
- widely used to find near-optimal solutions to hard problems that are too complex to deterministic methods (like linear equation systems, NP-hard problems etc)

Chromosome → a possible solution in a population.  
Gene → represents a variable or param as part of chromosome  
Population → a set of candidate solution (chromosomes)  
Fitness Function → A measure of how "good" a chromosome is  
Selection → Picking the best-fit chromosome for reproduction  
Crossover → Combining 2 parent chromosomes to create offspring  
Mutation → Randomly changing genes to maintain diversity  
Generation → one full cycle of evolution  
(selection → crossover → mutation → replacement)

### Genetic Algo Steps :

1. Encoding (Representation)  
★ a possible soln (chromosome) is represented in format  
eg.: Binary, Integer, Real value etc
2. Initial Population Generation  
★ Generate initial set of solutions (chromosomes).
3. Fitness Function Evaluation  
★ Calculate fitness score for each chromosome. to know how good it is.
4. Selection (Parent Selection) :  
★ Roulette Wheel Selection : Prob. proportional to fitness  
★ Tournament Selection : Randomly select a group. (select the best)  
★ Rank Selection : Based on sorted fitness.

## 5. Crossover (Recombination)

- ★ Create offspring by combining parent's genes.
- ★ Types
  - Single point Crossover - Swap parts after a point
  - Two point Crossover - Swap parts between 2 points
  - Uniform Crossover - swap genes at random positions

## 6. Mutation

- ★ Randomly change genes with low prob. (to avoid loss of minima)

① flip bit Mutation    ② Gaussian Mutation    ③ Exchange/swap mutation

## 7. - Replacement (forming New Generation)

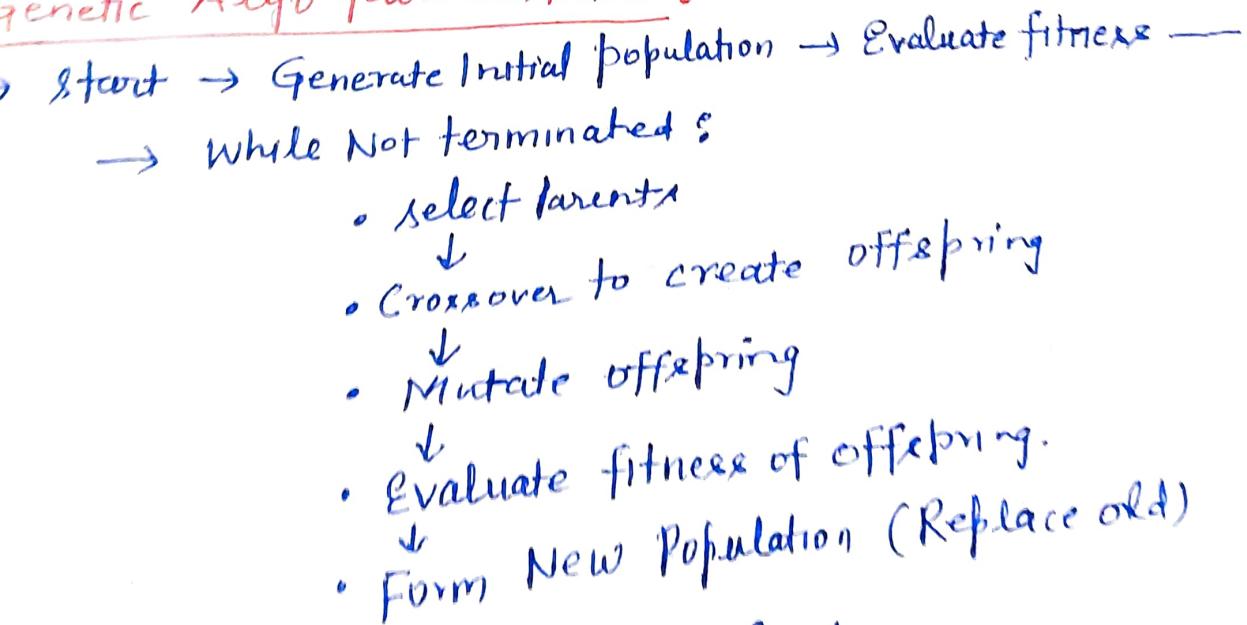
- ★ Replace old population with
  - only offspring
  - or best of old and new (elitism)

## 8. Termination Condition

Stop if

- A solution of acceptable fitness is found
- or maximum generations reached
- or performance has not improved a while

## Genetic Algo Flow diagram :-



Output Best Solution find.

## Box of GA

- can handle non-linear, multi-modal problems
- do not require gradient or problem structure
- finds good soln for complex, large search spaces

## Cons of GA

- ★ computational expensive for large population / generations.
- ★ may converge to local optima without proper diversity (Mutation helps)
- ★ require careful param tuning (mutation rate, crossover rate, population size)

exp of GA : Machine learning (feature selection), Game Playing, Scheduling problems, Equation

### Solving

Quesiton : Maximize the function  $f(x) = x^2$  where  $x \in [0, 3]$   
show Genetic Algo steps up to first iteration.

Ldn:

### Step 1 Encoding (Chromosome Representation)

det each solution (chromosome) represent a value of  $x$  encoded  
as a 5 bit binary string (since  $2^5 = 32$ )

$$\text{ex. } x = 0 \rightarrow 00000$$

$$x = 31 \rightarrow 11111$$

### Step 2 - Initial population generation

det's randomly generate 4 chromosomes.

chromosome

$C_1$

$C_2$

$C_3$

$C_4$

Binary

01101

10000

00011

11010

Decimal ( $x$ )

13

20

3

26

### Step 3 Fitness function Calculation

	x	$f(x) = x^2$ (fitness)
C <sub>1</sub>	13	169
C <sub>2</sub>	20	400
C <sub>3</sub>	3	9
C <sub>4</sub>	26	676

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### Step 4 - Roulette wheel Selection:

$$\text{Total fitness} = 169 + 400 + 9 + 676 = 1254$$

#### Selection Probability

- C<sub>1</sub>  $169/1254 \approx 0.1340$
- C<sub>2</sub>  $400/1254 \approx 0.3191$
- C<sub>3</sub>  $9/1254 \approx 0.0072$
- C<sub>4</sub>  $676/1254 \approx 0.5390$

#### Perform Selection (Roulette wheel spins)

- spin 1 : Pick C<sub>4</sub> (highest Prob)
- spin 2 : Pick C<sub>2</sub>

Selected Parents C<sub>4</sub> & C<sub>2</sub>.

### Step 5 Crossover

Parent 1 (C<sub>4</sub>) : 11010 ( $x=26$ )

Parent 2 (C<sub>2</sub>) : 10100 ( $x=20$ )

Assume crossover point after 2nd bit (Index 1)

- Child 1 : 11100 ( $x=20$ )
- Child 2 : 10010 ( $x=18$ )

### Step 6 Mutation:

Mutation probability = 10% per bit.

Assume child 2 gets mutated at 4th bit

• Before = 10010 ( $x=18$ )

• After Mutation : 10000 ( $x=16$ )

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child 1 remains unchanged

Step 7 Evaluate offspring fitness

$$\text{child 1: } 11100 \ (x=28) \ f(28) = 28^2 = 784$$

$$\text{child 2: } 10000 \ (x=16) \ f(16) = 16^2 = 256$$

Step 8 Replacement (Elitism)

Select 4 chromosomes from old + new.

chromosome	x	fitness
child 1	28	784
c <sub>1</sub>	26	676
c <sub>2</sub>	20	400
child 2	16	256

## Summary of first iteration (Maximization objective)

- Initial population generated
- fitness evaluated (maximize  $x^2$ )
- Roulette wheel selection done
- crossover + mutation performed
- New best solution  $x=28$  (784) found
- Next generation formed with better overall fitness.

Q2

Solve below linear equation step by step (GA)

$$2a + 7b - 5c + d = 0$$

$a, b, c, d \in [-20, 20]$

Selection prob  
 $C_1 = 0.043$   
 $C_2 = 0.143$   
 $C_3 = 0.143$   
 $C_4 = 0.25$

Soln

### Step① Encoding (Chromosome Representation)

each chromosome represents a candidate soln.  
 $[a, b, c, d] \quad a, b, c, d \in [-20, 20]$

### Step② Initial Population Generation

Let's generate 4 chromosomes (randomly in the allowed range)

chromosome	Representation	$[a, b, c, d]$
$C_1$	$[4, -3, 2, 1]$	
$C_2$	$[-2, 0, -1, 5]$	
$C_3$	$[0, 2, 1, -3]$	
$C_4$	$[5, -1, 0, 0]$	

### Step③ Fitness Function Calculation

We aim to minimize the absolute error:

$$\text{Fitness} = \frac{1}{1 + |2a + 7b - 5c + d|}$$

+1 in denominator avoids division by zero)

chromosome	Eg value ( $2a + 7b - 5c + d$ )	Absolute	Fitness
$C_1 [4, -3, 2, 1]$	$2(4) + 7(-3) - 5(2) + 1 = -22$	22	$\frac{1}{23} = 0.043$
$C_2 [-2, 0, -1, 5]$	$2(-2) + 7(0) - 5(-1) + 5 = 6$	6	$\frac{1}{7} = 0.143$
$C_3 [0, 2, 1, -3]$	$2(0) + 7(2) - 5(1) + (-3) = 6$	6	$\frac{1}{7} \approx 0.143$
$C_4 [5, -1, 0, 0]$	$2(5) + 7(-1) - 5(0) + (0) = 3$	3	$\frac{1}{4} \approx 0.25$

### Step④ Roulette wheel Selection

$$\begin{aligned} \text{Total fitness} &= 0.043 + 0.143 + 0.143 + 0.25 \\ &\approx 0.579 \end{aligned}$$

selection probability for each

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$$C_1 = 0.043 / 0.579 \approx 0.074$$

$$C_2 = 0.143 / 0.579 \approx 0.247$$

$$C_3 = 0.143 / 0.579 \approx 0.247$$

$$C_4 = 0.250 / 0.579 \approx 0.432$$

Performing Selection:

- Spin 1: Picks C4 (highest) chance
- Spin 2: Picks C2 (2nd highest prob)

### Step ⑤ Crossover (Single point crossover)

Parents

C4	[ <u>5</u> , -1, <u>0</u> , 0]
C2	[-2, <u>0</u> , -1, 5]

Random crossover point: after gene 2 (index 1)

Child 1: [5, -1, -1, 5]

Child 2: [-2, 0, 0, 0]

### Step ⑥ Mutation

Mutation Prob: 10% per gene  
assume 1 gene mutated

- Child 2's gene 3 mutated: 0 → 1

New Child 2: [-2, 0, 1, 0]

### Step ⑦ fitness calculation of offspring

$$\text{Child 1 } [5, -1, -1, 5] = 2(5) + 7(-1) - 5(-1) + 5 = 13$$

$$\text{fitness} = 1 / 1 + 13 = 0.077$$

$$\text{Child 2 } [-2, 0, 1, 0] = 2(-2) + 7(0) - 5(1) + (0) = -9$$

$$\text{fitness} = 1 / 1 + 9 = \frac{1}{10} = 0.1$$

search  $\alpha$ ) +  $h(A)$

## Step ⑧ Replacement (elitism)

- keep best of old + new population (elitism)

Best C4 (0.25), C2 (0.143), Child2 (0.1), Child1 (0.0)

### New population

- C4: [5, -1, 0, 0]
- C2: [-2, 0, -1, 5]
- Child2: [-2, 0, 1, 0]
- Child1: [5, -1, -1, 5]

## Summary of first GA iteration (with Roulette wheel selection)

- Initial population created
- Fitness evaluated
- Roulette wheel selection performed
- Crossover (single point) applied
- Mutation applied (one gene)
- New generation formed via elitism.

## Colony Optimization :

- ACO is a meta heuristic algo inspired by the behavior of real ants searching for food.
- Ants deposit pheromones on the paths they travel.
- Shorter path accumulate more pheromone because they are travelled more frequently. Other ants tend to follow the path with stronger pheromones concentration.

Steps :

### ① Initialization :

- Set initial pheromone levels on the edges
- Define params :
  - $\alpha$  (alpha) : importance coefficient of pheromone intensity
  - $\beta$  (beta) : importance coefficient of visibility ( $1/\text{distance}$ )
  - $\rho$  (rho) : evaporation rate  $0 < \rho < 1$
  - $\Theta$  : constant for pheromone update.
- $T_{ij}^0$  : each ant builds a complete path

### ② Construct Ant Solutions :

using probability:

$$NTP_{ij} = \frac{(\tau_{ij})^\alpha \cdot (n_{ij})^\beta}{\sum_{h \neq i} (\tau_{ih})^\alpha \cdot (n_{ih})^\beta}$$

NTP = Next Node transition probability

where  $\tau_{ij}$  = pheromone on edge  $(i, j)$

$n_{ij}$  = visibility =  $1/\text{distance}$

$\sum$  = sum over all possible moves

### ③ Pheromone update :

After all ant finishes

$$\tau_{ij} = (1 - \rho) \cdot \tau_{ij} + \Delta \tau_{ij}$$

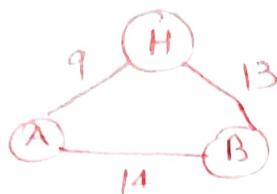
when  $\Delta \tau_{ij} = \sum_{k=1}^m \frac{\Theta}{L_k}$  if edge  $(i, j)$  used by ant  $k$

$L_k$  = tour length by ant  $k$ .

### ④ Repeat till convergence or max iterations

Prob

Abhay starts from home & visits two cities and has to reach back.  
The cost of each route between cities & between his home & cities.  
Determine the shortest path through Ant Colony optimization.



Pheromone matrix is given below

	H	A	B
H	0	0.15	0.26
A	0.15	0	0.40
B	0.26	0.40	0

Given parameters:

- Evaporation ( $\rho$ ) = 0.1
- $\Theta = 90$
- $\alpha = 0.3$  (Pheromone Importance coeff)
- $\beta = 0.4$  (distance importance)

Sln

Step 1 Visibility calculation ( $\eta = 1/\text{distance}$ )

$$\eta_{HA} = \frac{1}{9} = 0.111 \quad \eta_{AB} = \frac{1}{14} = 0.0714 \quad \eta_{HB} = \frac{1}{13} = 0.0769$$

Step 2 Probability calculation from Home (H):

$$P_{HA} = \frac{(\eta_{HA})^\alpha \cdot (\eta_{HB})^\beta}{[(\eta_{HA})^\alpha \cdot (\eta_{HB})^\beta] + [(\eta_{AB})^\alpha \cdot (\eta_{HB})^\beta]}$$

$$P_{HA} = \frac{(0.15)^{0.3} \times (0.1111)^{0.4}}{(0.15)^{0.3} \times (0.1111)^{0.4} + (0.26)^{0.3} \times (0.769)^{0.4}} = 0.497$$

$$P_{HB} = \frac{(0.26)^{0.3} \times (0.769)^{0.4}}{(0.15)^{0.3} \times (0.1111)^{0.4} + (0.26)^{0.3} \times (0.769)^{0.4}} = 0.503$$

$\Rightarrow$  Ant can go to A or B with almost equal probability

Step 3

Possible routes & lengths:

To visit A & B and return to home, there are 2 possible ways

$$(1) \quad H \rightarrow A \rightarrow B \rightarrow H \quad \text{length} = 9 + 14 + 13 = 36$$

$$(2) \quad H \rightarrow B \rightarrow A \rightarrow H \quad \text{length} = 13 + 14 + 9 = 36$$

## Pheromone Update:

$$\Delta \tau_{ij} = \frac{\theta}{L} = \frac{90}{36} = 2.5$$

## Pheromone Evaporation:

$$\tau_{ij} = (1 - \rho) \tau_{ij} + \Delta \tau_{ij}$$

$$\tau_{ij} = (1 - 0.1) \cdot \tau_{ij} + 2.5$$

for HA edge  $\tau_{HA} = (1 - 0.1) \tau_{HA} + 2.5$   
 $= 0.9 \times 0.15 + 2.5$  {from matrix}

$$\tau_{HB} = (1 - 0.1) \times \tau_{HB} + 2.5  
= 0.9 \times 0.26 + 2.5 = 2.734$$

$$\tau_{AB} = (1 - 0.1) \times \tau_{AB} + 2.5  
= 0.9 \times 0.48 + 2.5 = 2.932$$

## Step 5 : Conclusion

- Both Path have equal length(36) so either can be selected
- After 1 iteration, the pheromones on all paths are increased
- ACO will gradually reinforce the paths most frequently used.

## Search

### Informal Search

We will not look in all the possible direction /ways to reach the goal.

look only into particular direction to reach the goal.

Ex: — Greedy B·F·S. (Best First Search)

— A\* algorithm

— R B F S. (Recursive B·F·S.)

### Uninformed Search (blind)

→ We will search in all possible direction or ways to reach the goal & state explore all possible ways.

Ex: — DFS, BFS

— Uniform Cost Search

— Bidirectional Search

— Iterative Deepening Search.

## A\* Algorithm :-

\* Expand the node which lies in the closest path (estimated cheapest path) to the goal.

\* Evaluation function

$$f(n) = g(n) + h(n)$$

$g(n)$  = cost to reach the node/ path cost

$h(n)$  = the <sup>or heuristic</sup> expected cost to go from node to goal

$f(n)$  = estimated cost of cheapest path through node n.

## Optimality of A\* Algorithm :-

\* A heuristic is admissible or optimistic if  $0 \leq h(n) \leq h^*(n)$   
where  $h^*(n)$  = actual cost to the goal

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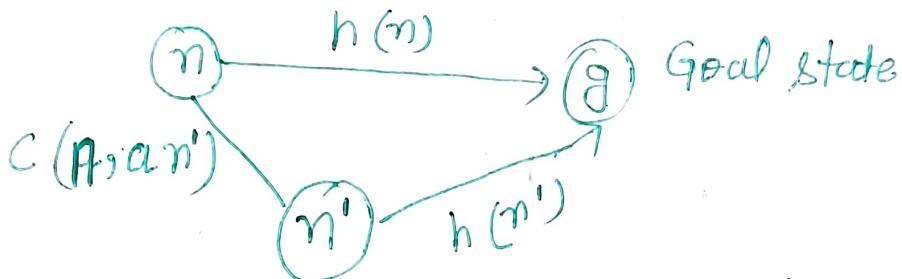
Optimal on condition :  $h(n)$  - must satisfies 2 conditions

\* Admissible Heuristic

\* Consistency → One never overestimates the cost to goal  
ie  $0 \leq h(n) \leq f(x(n))$

→ a heuristic is consistent for every node  $n$  if when we apply action "a" successor of node  $n$  is generated

$$h(n) \leq C(n, a, n') + h(n')$$



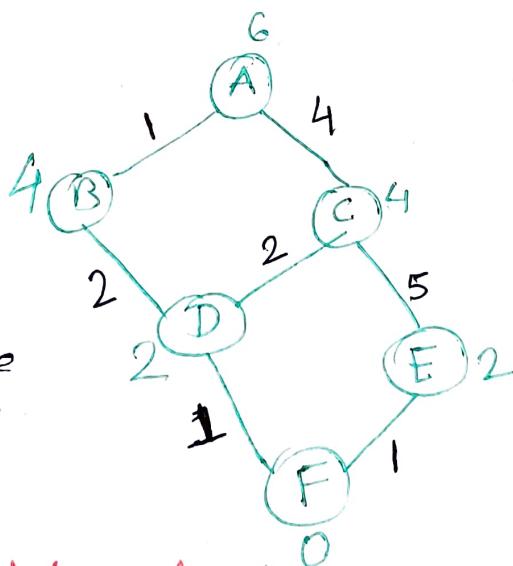
$h(n)$  : estimated cost from node  $n$  to goal

$C(n, a, n')$  : actual cost b/w node  $n$  & its successor  $n'$

$h(n')$  : estimated cost from node  $n'$  to goal.

exp :

Now written on edge is the <sup>actual</sup> path cost from a ~~parent~~ node to neighbor node  
 $= C(n, a, n')$



value written on node is

$h(n)$  = which is heuristic value from that node to goal node.

How to identify goal node

⇒ goal node whose heuristic value is 0

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Note : In exam graph is given

- either they will provide 2 values (1) heuristic value & (2) actual path cost
- or Hint for calculating Actual path cost from the heuristic values
  - Manhattan dist
  - Euclidean dist

Solve :

Step 1

Initialization

open list : [A]

close list : []

Step 2

$$f(B) = g(A, B) + h(B)$$

$$= 1 + 4 = 5$$

$$f(A) = g(A, A) + h(A)$$

$$= 0 + 6 = 6$$

$$\textcircled{C} \quad f(C) = g(A, C) + h(C)$$

$$= 4 + 4 = 8$$

Open List : [B, C]

Close List : [A]

Step 3

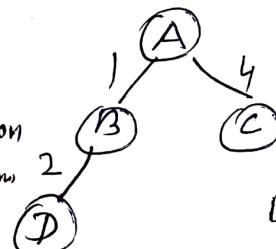
B will be explored

as B's Evaluation function value is minimum

$$f(D) = g(B, D) + h(D)$$

$$= (1+2) + 2$$

$$= 5$$



B's neighbours are  
D & C since  
A is in close list  
ignore it

open list : [C, D]

close list : [A, B]

Step 4

Again D will be explored  
as D's Evaluation function value is minimum

$$f(F) = g(D, F) + h(F)$$

$$= (1+2+1) + 0$$

$$= 4 + 0 = 4$$

open list : [C, F]

close list : [A, B, D]



D's neighbour is B & C  
B is in close list & C is  
in open list ignore  
only node left = F

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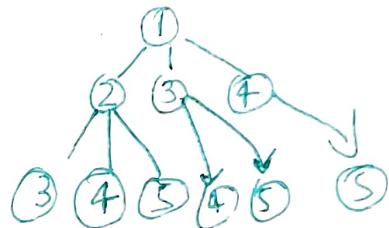
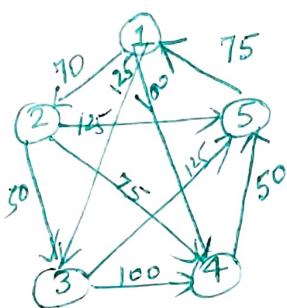
Step ⑤ Next explored Node is F

if F is goal node terminate the algorithm

### Uninformed Search

- uninformed search have **NO additional information** on the goal node other than the one provided in problem definition
- this is called blind Search

### ① Breadth First Search (BFS)



Iteration	Open List	Closed List	Goal Test
1	(1)	-	fail on (1)
2	(1, 2) (1, 3) (1, 4)	(1)	fail on (1, 2)
3	(1, 3) (1, 4) (1, 2, 3) (1, 2, 4) (1, 2, 5)	(1) (1, 2)	fail on (1, 3)
4	(1, 4) (1, 2, 3) (1, 2, 4) (1, 2, 5) (1, 2, 3, 4) (1, 2, 3, 5) (1, 2, 3, 4, 5)	(1) (1, 2) (1, 3) (1, 4)	fail on (1, 4)
5	(1, 3, 4) (1, 3, 5) (1, 4, 5)	(1) (1, 2) (1, 3) (1, 4)	fail on (1, 2, 3)
6	(1, 2, 4) (1, 2, 5) (1, 3, 4) (1, 3, 5) (1, 4, 5)	(1) (1, 2) (1, 3) (1, 4) (1, 2, 3)	fail on (1, 2, 4)
7	(1, 2, 3, 5) (1, 3, 4) (1, 2, 3, 5) (1, 4, 5)	(1) (1, 2) (1, 3) (1, 4) (1, 2, 3) (1, 2, 3, 4)	fail on (1, 2, 5)