

# Enumeration of tableaux and plane partitions

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## 1 Lecture 1

### 1.1 Integer partitions

Partitions  $\lambda = (\lambda_1, \lambda_2, \lambda_3, \dots)$ ,  $\lambda_1 \geq \lambda_2 \geq \dots \geq 0$ ,  $|\lambda| = \sum_i \lambda_i$ , we write it as  $\lambda \vdash n$ . By convention we let  $\lambda_0 = \infty$  and there exists  $k$  such that  $\lambda_i = 0$  for  $i > k$ , the number of distinct parts  $\#\{\lambda_j > \lambda_{j+1}\}$ .

**Example 1.1.** *Partitions of 5 are 5, 41, 32, 311, 221, 2111, 11111.*

Let  $p(n)$  be the number of partitions of  $n$ .

**Proposition 1.2.**  $\sum_{n \geq 0} p(n)q^n = \prod_{i \geq 1} \frac{1}{1-q^i}$ .


*Proof.* Note that  $\frac{1}{1-q^i} = q + q^i + q^{2i} + q^{3i} + \dots$ . □

Let  $p_S(n)$  be the number of partitions of  $n$  where the parts belong to a multiset  $S$ , then

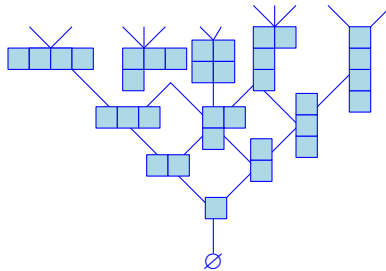
$$\sum_{n \geq 0} p_S(n)q^n = \prod_{i \in S} \frac{1}{1-q^i}.$$

### 1.2 Young diagrams

A Young diagram is an arrangement of boxes left justified such that there are  $\lambda_i$  squares/cell in the  $i$ th row.

**Example 1.3.** *For the partition  $\lambda = (4, 3, 1, 1)$  the Young diagram is* .

The partitions form a poset called *Young's lattice* consisting of partitions ordered by containment of their Young diagrams:  $\lambda \triangleleft \mu$  if the diagram of  $\lambda$  is contained in the diagram of  $\mu$  and  $|\lambda| = |\mu| - 1$ .



Our goal is to enumerate walks in Young's lattice that start at  $\emptyset$  arrive at  $\lambda$  and use up ( $U$ ) or down steps ( $D$ ).

Fix a word  $w \in \{U, D\}^*$   $w = A_n A_{n-1} \cdots A_2 A_1$  where  $A_i$  is  $U$  or  $D$ .

**Example 1.4.**  $\emptyset, (1), (2), (1), (1, 1), (1, 1, 1), (2, 1, 1), (1, 1, 1) = \lambda$  where the steps are  $U, U, D, U, U, U, D$  and so  $w = DUUDUU$ .

**Example 1.5** (Walks of type  $U^n$ ).

$$\emptyset, \quad \boxed{1}, \quad \boxed{1 \ 2}, \quad \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & \\ \hline \end{array}, \quad \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 4 \\ \hline \end{array}, \quad \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 4 \\ \hline 5 & \\ \hline \end{array}, \quad \begin{array}{|c|c|} \hline 1 & 3 \\ \hline 2 & 4 \\ \hline 5 & \\ \hline \end{array}.$$

**Definition 1.6** (Standard Young tableaux). Let  $\lambda \vdash n$ , a Standard Young tableau (SYT) of shape  $\lambda$  is a filling of the cells of the Young diagram of  $\lambda$  with all the elements  $\{1, 2, \dots, n\}$  that is increases in rows and columns. The number of SYT of shape  $\lambda$  is denoted by  $f^\lambda$ .  $\square$

The number  $f^\lambda$  has a beautiful product formula proved in the 50s.

**Theorem 1.7.**

$$f^\lambda = \frac{n!}{\prod_{u \in \lambda} h(u)},$$

where  $h(u)$  is the length of the hook of  $u$ : the number of cells under in the same column and to the right in the same row of  $u$  (including  $u$ ).

**Example 1.8.** For the shape  $(2, 2, 1)$ , the hook-lengths are given by  $\begin{array}{|c|c|} \hline 4 & 2 \\ \hline 3 & 1 \\ \hline 1 & \\ \hline \end{array}$ .

$$f^{(2,2,1)} = \frac{5!}{4 \cdot 3 \cdot 2 \cdot 1 \cdot 1} = 5.$$

There are several proofs of this formula including a beautiful probabilistic proof by Greene-Nijenhuis-Wilf.

**Lemma 1.9.**  $w = D^{S_k} U^{r_k} \dots D^{S_1} U^{r_1}$ . and  $\lambda \vdash n$ . There exists at least one walk from  $\emptyset$  to  $\lambda$  of type  $W$  if

- $\sum_{i=1}^k r_i - \sum_{i=1}^k s_i = n$ ,
- for all  $j$   $\sum_{i=1}^j (r_i - s_i) \geq 0$

We call such a word is  $\lambda$ -valid.

**Remark 1.10.** The number of valid words of length  $2n$  starting at  $\emptyset$  to  $\emptyset$  is given by the  $n$ th Catalan number  $\frac{1}{n+1} \binom{2n}{n}$ .  $\square$

### 1.3 Linear transformations on integer partitions

We will use physics notation:  $\langle \lambda |$  ("bra") and  $|\lambda\rangle$  ("ket") so that

$$\langle \lambda | \mu \rangle = \begin{cases} 1 & \text{if } \lambda = \mu, \\ 0 & \text{otherwise.} \end{cases}$$

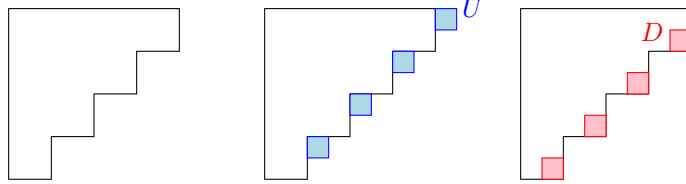
$$\begin{aligned}
U|\lambda\rangle &= \sum_{\mu \triangleright \lambda} |\mu\rangle, \\
\langle\lambda|U &= \sum_{\mu \triangleright \lambda} \langle\mu|, \\
D|\lambda\rangle &= \sum_{\mu \triangleleft \lambda} |\mu\rangle, \\
\langle\lambda|D &= \sum_{\mu \triangleleft \lambda} \langle\mu|,
\end{aligned}$$

**Example 1.11.**

$$\begin{aligned}
U|(3,2)\rangle &= |(3,2,1)\rangle + |(3,3)\rangle + |(4,2)\rangle, \\
\langle(3,2)|D &= \langle(3,2,1)| + \langle(3,3)| + \langle(4,2)|.
\end{aligned}$$

**Proposition 1.12.** *If  $\lambda$  has  $r$  distinct parts then  $U|\lambda\rangle$  has  $r+1$  terms and  $D|\lambda\rangle$  has  $r-1$  parts.*

*Proof.* The number of distinct parts of  $\lambda$  is the number of corners of  $\lambda$ . The operator  $U$  will add a corner to  $\lambda$  and the operator  $D$  will delete a corner to  $\lambda$ .



□

The key lemma to study our goal is the following identity.

**Lemma 1.13.**

$$DU = UD + I,$$

where  $I$  is the identity operator.

*Proof.*

$$DU|\lambda\rangle = \sum_{\mu} |\mu\rangle + (r+1)|\lambda\rangle$$

where the first sum is over  $\mu$  such that there exist  $j, k$  such that  $\lambda_j = \mu_j - 1$  and  $\lambda_k = \mu_k + 1$ .

$$UD|\lambda\rangle = \sum_{\mu} |\mu\rangle + r|\lambda\rangle.$$

where the first sum is over  $\mu$  such that there exist  $j, k$  such that  $\lambda_j = \mu_j - 1$  and  $\lambda_k = \mu_k + 1$ .

When we take the difference  $(DU - UD)|\lambda\rangle$  we obtain  $|\lambda\rangle$ . □

**Theorem 1.14.** *Let  $\lambda$  be a partition,  $w$  be a  $\lambda$ -valid word. The number of walks from  $\emptyset$  to  $\lambda$  of type  $w$  is  $f^\lambda \cdot \prod_{i \in S_w} (a_i - b_i)$ , where  $a_i = \#\{j < i \mid A_j = U\}$ ,  $b_i = \#\{j < i \mid A_j = D\}$  and  $S_w = \{i \mid A_i = D\}$ .*

Note that the second term in the product is independent of  $\lambda$ .

**Example 1.15.**  $W = U^3 D^2 U^2 D U T 3$ ,  $S_w = \{4, 7, 8\}$  (positions from right to left),  $a_4 = 3, a_7 = 5, a_8 = 5, b_4 = 0, b_7 = 1, b_8 = 2$ . Then the number of walks from  $\emptyset$  to any  $\lambda \vdash 5$  is  $f^\lambda \cdot (3 - 0)(5 - 1)(5 - 2)$ .

*Proof.* We want to compute  $\langle \lambda | W | \emptyset \rangle$ . When we see a  $DU$  by Lemma 1.13 we replace it by  $UD$  or  $I$  repeatedly and we obtain

$$\langle \lambda | W | \emptyset \rangle = \sum_{i-j=n} r_{i,j}(w) \langle \lambda | U^i D^j | \emptyset \rangle = \sum_{i-j=n} r_{n,0}(\emptyset) \langle \lambda | U^n | \emptyset \rangle,$$

donde  $\langle \lambda | U^n | \emptyset \rangle = f^\lambda$ . One can show that the coefficients  $r_{i,j}(w)$  satisfy

$$\begin{aligned} r_{i,j}(w) &= 0; \text{ if } i < 0 \text{ or } j < 0 \text{ or } i - j \neq n \\ r_{0,0}(\emptyset) &= 1 \\ r_{i,j}(Uw) &= r_{i-1,j}(w); \\ r_{i,j}(Dw) &= r_{i,j-1}(w) + (i+1)r_{i+1,j}(w). \end{aligned}$$

This determines the  $r_{i,j}(w)$  to be  $\prod_{i \in S_w} (a_i - b_i)$ . □

## 2 Lecture 2

Last time we looked at Young's lattice.

$$f^{(2,2,1)} = \frac{5!}{4 \cdot 3 \cdot 2 \cdot 1 \cdot 1} = 5.$$

If we look at walks with just  $U$  steps from  $\emptyset$  to  $\lambda$  we obtain a Standard Young tableaux (SYT). We can compute the number of these SYT is given by the hook-length formula ??.

$$f^{(2,2,1)} =$$

We sketch how to prove such a formula.

### 2.1 Algorithm to compute random SYT

**Claim 2.1.**

$$f^\lambda = \sum_{\alpha \text{ corner}} f^{\lambda/\alpha},$$

where  $\lambda/\alpha$  is the diagram of  $\lambda$  where you delete  $\alpha$ .

*Proof.* In a SYT  $T$  of shape  $\lambda \vdash n$ , the entry  $n$  will be in a corner. □

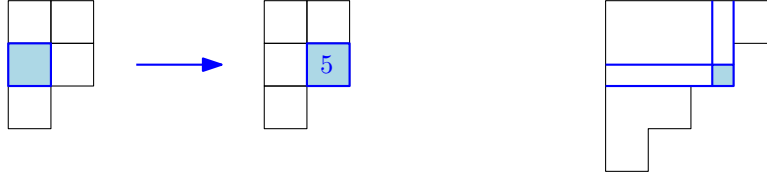
**Example 2.2.**

$$f^{(2,2,1)} = f^{(2,2)} + f^{(2,1,1)} = .$$

The algorithm is the following.

1. Pick a square  $u$  in the diagram of  $\lambda$  with probability  $1/n$ .

2. If  $u$  is not a corner, pick another cell in the hook of  $u$  with probability  $\frac{1}{h(u)-1}$ .
3. Do this until you reach a corner. In the corner put  $n$ .



**Proposition 2.3.** *The probability that the algorithm stops in a corner  $\alpha = (x, y)$  is*

$$\frac{1}{n} \prod_{i=1}^x \left(1 + \frac{1}{h(i, y) - 1}\right) \prod_{j=1}^y \left(1 + \frac{1}{h(x, j) - 1}\right).$$

With this proposition we can then prove the hooklength formula.

$$\frac{f^{\lambda/\alpha}}{f^\lambda} = \prod \frac{h(i, j)}{h(i, j) - 1} \prod \frac{h(i, j)}{h(i, j) - 1}$$

and

$$\sum_{\alpha \text{ corner}} \frac{f^{\lambda/\alpha}}{f^\lambda} = 1.$$

## 2.2 Walks

Because

$$\langle \lambda | \mu \rangle = \begin{cases} 1 & \text{if } \lambda = \mu, \\ 0 & \text{otherwise.} \end{cases}$$

then if  $w$  is a valid  $\lambda$  word then  $\langle \lambda | w | \emptyset \rangle$  is the number of walks from  $\emptyset$  to  $\lambda$ .

**Example 2.4.**

$$DUUDU = UUDD + 4UUD + 2U, \langle (1) | DUUDUD | \emptyset \rangle = 2 \langle (1) | U | \emptyset \rangle = 2.$$

$$D | \emptyset \rangle = 0.$$

$$W = \sum_{i-j=n} r_{i,j}(w) U^i D^j,$$

where  $r_{i,j}(w)$  is a nonnegative integer. Since

$$UW = \sum_{i-j=n} r_{i,j}(w) U^{i+1} D^j,$$

then

$$r_{i,j}(UW) = r_{i-1,j}(W).$$

Since

$$DW = \sum_{i-j=n} r_{i,j}(w) DU^i D^j,$$

From Exercise ??  $DU^i = U^i D + iU^{i-1}$  then

$$r_{i,j}(DW) = r_{i,j-1}(w) + (i+1)r_{i+1,j}(w).$$

When  $j = 0$  the first term disappears. This is why we get a product formula for

**Example 2.5.**

$$\begin{aligned} r_{2,0}(DUUDU) &= 2r_{2,0}(UUDU), \\ &= 2 \end{aligned}$$

**Example 2.6.** Let  $w = D^n U^n = A_{2n} A_{2n-1} \cdots A_1$ ,  $\langle \emptyset | D^n U^n | \emptyset \rangle$ ,  
 $S_w = \{n+1, n+2, \dots, 2n\}$ ,  $a_i = n$  for  $i \in S_w$  and  $b_i = i - n - 1$   
 By Theorem 1.14,

$$\langle \emptyset | W | \emptyset \rangle = \prod_{i \in S_w} (a_i - b_i) = n!.$$

Thus there is a bijection from these walks to permutations.

**Remark 2.7.**  $\langle \lambda | (D + U)^n | \emptyset \rangle$  is the number of walks of length  $n$  that start at  $\emptyset$  and end at  $\lambda$ . These objects are called *oscillating tableaux*. And for  $\lambda = \emptyset$ ,

$$\langle \emptyset | (D + U)^n | \emptyset \rangle = (2n - 1)!!.$$

□

**Remark 2.8.** The above product formula work because we start with  $\emptyset$ . For example  $\langle \lambda | w | \mu \rangle$  for  $w = u^n$ , we get skew standard Young tableaux and there are no product formula for these. □

## 2.3 Bijection

We will give bijections among three objects called the Robinson-Schensted correspondence:

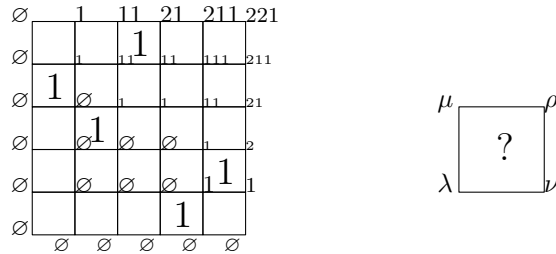
- permutations of  $\{1, 2, \dots, n\}$ ,
- walks of type  $D^n U^n$  that start at  $\emptyset$  and end in  $\emptyset$ ,
- paris of SYT with  $n$  cells of the same shape.

$$\emptyset, \rightarrow \boxed{1} \rightarrow \boxed{1 \ 2} \rightarrow \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & \\ \hline \end{array}, \quad \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 4 \\ \hline \end{array}, \quad \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 4 \\ \hline 5 & \\ \hline \end{array}, \quad \begin{array}{|c|c|} \hline 1 & 3 \\ \hline 2 & 4 \\ \hline 5 & \\ \hline \end{array}.$$

We will use the local rule. We start with a permutation matrix.

**Example 2.9.** For  $\sigma = (4, 3, 5, 1, 2)$

Given  $\lambda, \mu, \nu$  we obtain  $\rho$  where  $\rho_1 = \max(\mu_1, \nu_1) + ?$  y para  $i > 1$   $\rho_i = \max(\mu_i, \nu_i) + \min(\mu_{i-1}, \nu_{i-1}) - \lambda_i$ .



If we look at the partitions in the top horizontal boundary and the right vertical boundary of the matrix we obtain two walks from  $\emptyset$  to some  $\lambda$  with only  $U$  steps. These are the pair of SYT of the same shape. In this example.

1	3	
2	5	
4		

 $\rightarrow$ 

1	2	
3	5	
4		

There is another way to obtain these pair of tableaux using *row insertion*

**Claim 2.10.** If you fix  $\mu$  and  $\nu$  this is a bijection between  $(\lambda, ?)$  and  $\rho$  where

- $\lambda \leq \mu, \lambda \leq \nu$ ,
- $\rho \geq \mu, \rho \geq \nu$  and
- $|\rho| = |\mu| + |\nu| - ? - |\lambda|$ .

Also if  $\lambda$  labels any point  $p$ , the weight of  $|\lambda|$  of  $\lambda$  is the number of 1s in the quarter plane left and below  $p$ .

**Corollary 2.11.** If  $\sigma \mapsto (P, Q)$  then  $\sigma^{-1} \mapsto (Q, P)$ .

*Proof.* With the local rule, the construction for  $\sigma^{-1}$  corresponds to the tranpose of the construction, so  $P$  and  $Q$  are switched.  $\square$

**Corollary 2.12.**

$$n! = \sum_{\lambda \vdash n} (f^\lambda)^2.$$

### 3 Lecture 3

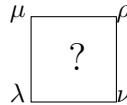
#### 3.1 Increasing subsequences of permutations

We simplify the local rule to be  $\rho_1 = \max(\mu_1, \nu_1) + ?$ .

**Definition 3.1.** Given an increasing subsequence of  $\sigma = (\sigma_1, \dots, \sigma_n)$ , an increasing subsequence is a subsequence satisfying  $\sigma_{i_1} < \sigma_{i_2} < \dots < \sigma_{i_k}$  such that  $i_1 < i_2 < \dots < i_k$ .  $\square$

**Example 3.2.**  $\sigma = (1, 5, 3, 2, 4)$ . Some examples of increasing subsequences are 134, 124, 34.

		1	2	2	2	3
		1		1	2	2
						1
		1	1	1	2	2
		1		1	2	2
		1	1		1	1
	1					



$$\rho_1 = \max(\mu_1, \nu_1) + ?$$

The local rule we defined also works for other matrices of nonnegative integers not just permutation matrices. To study this we will need Schur polynomials.

### 3.2 Schur polynomials

Let  $\lambda$  be a partition of  $n$ . A semi standard Young tableau of shape  $\lambda$  is a filling of the Ferrers diagram with integers that is increasing in rows and strictly increasing in columns. If  $T$  is a SSYT then  $x^T = x_1^{\#_1(T)} x_2^{\#_2(T)} \dots$ .

**Example 3.3.** For the shape  $\lambda = (6, 5, 3)$ , the semistandard tableau  $\begin{array}{|c|c|c|c|c|c|} \hline 1 & 1 & 1 & 3 & 4 & 4 \\ \hline 2 & 4 & 4 & 5 & 5 & \\ \hline 6 & 9 & 9 & & & \\ \hline \end{array}$  has weight  $x^T = x_1^3 x_2 x_3 x_4^4 x_5^2 x_6 x_9^2$ .

The Schur polynomial is defined as

$$s_\lambda(x_1, \dots, x_m) = \sum_{T \in \text{SSYT}(\lambda)} x^T,$$

where the sum is over SSYT  $T$  of shape  $\lambda$ .

**Example 3.4.**

$$s_\lambda(x_1, x_2, x_3) = x_1^2 x_2 + \dots$$

the SSYT are

$$\begin{array}{|c|c|}, \begin{array}{|c|c|}, \begin{array}{|c|c|}, \begin{array}{|c|c|}, \begin{array}{|c|c|}, \begin{array}{|c|c|}, \begin{array}{|c|c|}, \begin{array}{|c|c|} \\ \hline 2 & 2 \\ \hline 3 & \end{array} \end{array}$$

**Theorem 3.5.** The Schur polynomial is symmetric.

*Proof.* The proof uses the Bender-Knuth involution. □

**Example 3.6.**

$$\begin{array}{|c|c|}, \begin{array}{|c|c|}, \begin{array}{|c|c|}, \begin{array}{|c|c|}, \begin{array}{|c|c|}, \begin{array}{|c|c|}, \begin{array}{|c|c|}, \begin{array}{|c|c|} \\ \hline 2 & 2 \\ \hline 3 & \end{array} \end{array}$$

### 3.3 Gelfand-Tsetlin triangles

We will use Gelfand-Tsetlin triangles when we think of SSYT.

A Gelfand-Tsetlin triangle (GTT) is a center justified array of nonnegative integers such that the  $i$ th row has  $i$  entries, each row is a partition  $a_{ij} \geq a_{i,j+1}$ , the rows interlace  $a_{i,j} \geq a_{i+1,j+1} \leq a_{i,j+1}$ .

$$\begin{array}{ccccccccc} \text{row } m & & a_{11} & a_{12} & a_{13} & \dots & a_{1n} & & \\ & & & a_{22} & a_{22} & \dots & a_{2n} & & \\ & & & & a_{33} & \dots & a_{3n} & & \\ & & & & & \ddots & & & \\ \text{row } 2 & & & & & & & & \\ \text{row } 1 & & & & & & a_{nn} & & \end{array} \quad \begin{array}{ccc} a_{ij} & & a_{i,j+1} \\ & \searrow & \nearrow \\ & a_{i+1,j+1} & \end{array}$$

These triangles are in bijection with SSYT. Given a SSYT  $T$  the map from the  $i$ th row of the corresponding GTT are the shape of the entries less than or equal to  $i$  in the SSYT.

**Example 3.7.** For  $m = 5$ .

$$\begin{array}{cccccc} 6 & 3 & 2 & 2 & 0 & \\ & 4 & 2 & 2 & 0 & \\ & & 4 & 2 & 1 & \\ & & & 3 & 1 & \\ & & & & 3 & \end{array} \leftrightarrow \begin{array}{|c|c|c|c|c|c|} \hline 1 & 1 & 1 & 3 & 5 & 5 \\ \hline 2 & 3 & 5 & & & \\ \hline 3 & 4 & & & & \\ \hline 5 & 5 & & & & \\ \hline \end{array}$$

**Question 3.8.** What does the Bender-Knuth involution on SSYT translate to in GTT.



### 3.4 Interlacing partitions

Let  $\lambda$  and  $\mu$  be partitions, we say  $\lambda \succeq \mu$  ( $\lambda$  interlaces  $\mu$ ) if and only if  $\lambda_1 \geq \mu_1 \geq \lambda_2 \geq \mu_2 \geq \lambda_3 \geq \mu_3 \geq \dots$ .

**Example 3.9.**  $\lambda = (4, 2, 2)$  and  $\mu = (3, 2, 1)$  interlace since  $4 \geq 3 \geq 2 \geq 2 \geq 1$ .

Note that the rows of the GTT interlace.

In Lecture 1 we defined  $U|\lambda\rangle = \sum_{\mu \succ \lambda} \mu$ , where we only add one box. Now we allow adding more boxes as long as the resulting partitions interlace. Equivalently this means that  $\lambda/\mu$  is a *horizontal strip*, i.e. no two boxes are on the same column.

**Definition 3.10.**

$$\begin{aligned}\Gamma_+|\lambda\rangle &= \sum_{\mu \succ \lambda} t^{|\mu| - |\lambda|} |\mu\rangle, \\ \langle \lambda | \Gamma_+(t) &= \sum_{\mu \prec \lambda} t^{|\lambda| - |\mu|} \langle \mu |, \\ \Gamma_-|\lambda\rangle &= \sum_{\mu \prec \lambda} t^{|\mu| - |\lambda|} |\mu\rangle, \\ \langle \lambda | \Gamma_-(t) &= \sum_{\mu \succ \lambda} t^{|\mu| - |\lambda|} \langle \mu |.\end{aligned}$$

□

**Example 3.11.**

$$\Gamma_+(t) |(3, 2, 1)\rangle = t^3 |(4, 2, 2)\rangle + \dots$$

**Proposition 3.12.**

$$\begin{aligned}\langle \emptyset | \Gamma_+(t) &= \langle \emptyset |, \\ \Gamma_-(t) \langle \emptyset | &= \langle \emptyset |, \\ \langle \emptyset | \Gamma_-(t) &= \langle \emptyset | + \langle (1) | t + \langle (2) | t^2 + \dots\end{aligned}$$

To a GTT we associate a weight as we illustrate with the following example.

**Example 3.13.** The GTT in Example 3.7 the weight of the GTT is  $x_1^3 x_2^{4-1} x_3^{7-4} x_4^{8-7} x_5^{13-8}$ .

The following result expresses the Schur function in terms of the operators  $\Gamma_{\pm}(t)$ .

**Theorem 3.14.**

$$\begin{aligned}s_{\lambda}(x_1, \dots, x_m) &= \langle \lambda | \Gamma_+(x_m) \cdots \Gamma_+(x_2) \Gamma_+(x_1) | \emptyset \rangle, \\ &= \langle \emptyset | \Gamma_-(x_m) \cdots \Gamma_-(x_2) \Gamma_-(x_1) | \lambda \rangle.\end{aligned}$$

*Proof.*

□

Our new goal is given  $w = w - 1 \cdots w_m$  a word in the letters  $\{+, -\}$ , we want to compute

$$\langle \lambda | \Gamma_{w_1}(x_1) \Gamma_{w_2}(x_2) \cdots \Gamma_{w_m}(x_m) | \emptyset \rangle.$$

**Proposition 3.15.**

$$\begin{aligned}\Gamma_-(u)\Gamma_-(v) &= \Gamma_-(u)\Gamma_-(u), \\ \Gamma_+(u)\Gamma_+(v) &= \Gamma_+(v)\Gamma_+(u), \\ \Gamma_-(u)\Gamma_+(v) &= \frac{1}{1-uv}\Gamma_+(v)\Gamma_-(u).\end{aligned}$$

*Proof.* The proof uses the local rule. Fix  $\mu$  and  $\nu$ .

$$\begin{aligned}\langle \mu | \Gamma_-(u)\Gamma_+(v) | \nu \rangle &= \sum_{\rho} \langle \mu | \Gamma_-(u) | \rho \rangle \langle \rho | \Gamma_+(v) | \nu \rangle, \\ &= \sum_{\rho \succ \mu, \rho \succ \nu} u^{|\rho|-|\mu|} v^{|\rho|-|\nu|}, \\ \langle \mu | \Gamma_+(v)\Gamma_-(u) | \nu \rangle &= \sum v^{|\mu|-|\lambda|} u^{|\nu|-|\lambda|} \sum_{k=0}^{\infty} (uv)^k.\end{aligned}$$

We multiply both equations by  $u^? v^?$  and get

$$\sum_{\rho \succ \nu, \rho \succ \mu} (uv)^{|\rho|} = \sum_{\lambda \succ \nu, \lambda \succ \mu} (uv)^{|\mu|+|\nu|-|\lambda|+k}.$$

□

Proving the commutation of the operators is done with the following proposition.

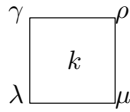
**Proposition 3.16.** *Fix  $\mu$  and  $\nu$ . There exists a bijection between partitions  $\rho$  such that (i)  $\rho \succ \mu$  and  $\rho \succ \nu$  and (ii)  $(\lambda, k)$  are such that  $\lambda \prec \mu$  and  $\lambda \prec \nu$  and  $k \geq 0$ . This is such that  $|\rho| = |\mu| + |\nu| - |\lambda| + k$ .*

## 4 Lecture 4

Local rule  $\lambda \succ \mu$ ,  $\lambda_1 \geq \mu_1 \geq \lambda_2 \geq \mu_2 \geq \dots$ .

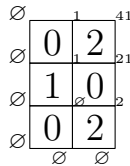
If  $\rho$  is defined by  $\rho_1 = \max(\mu_1, \nu_1) + k$  and for  $i > 1$ ,  $\rho_i = \max(\mu_i, \nu_i) + \min(\mu_{i-1}, \nu_{i-1}) + \lambda_{i-1}$ .

To see why  $\rho$  interlaces it suffices to note that the first minus the last term are  $\geq 0$  and second minus the third term are  $\geq 0$ .



**Example 4.1.**

### 4.1 RSK



$$\rho_1 = \max(\mu_1, \nu_1) + ?$$

**Example 4.2.**

$$P = \begin{bmatrix} 1 & 1 & 3 & 3 \\ 2 \end{bmatrix}, Q = \begin{bmatrix} 1 & 2 & 2 & 2 \\ 2 \end{bmatrix}, \begin{matrix} 4 & 1 & 0 & 4 & 1 & 0 \\ 2 & 1 & & 1 & 0 \\ & 2 & & & 0 \end{matrix},$$

This gives a bijection between a matrix  $A = (A_{ij})$  and pairs of SSYT of same shape such that  $P$  contains  $\sum_j A_{i,j}$  entries equal to  $i$ ,  $Q$  contains  $\sum_i A_{i,j}$  entries equal to  $j$ .

## 4.2 Back to operators

We defined operators  $\Gamma_{\pm}(u)$  satisfying

**Theorem 4.3** (Cauchy identity).

$$\sum_{\lambda} s_{\lambda}(x_1, \dots, x_m) s_{\lambda}(y_1, \dots, y_m) = \prod_{i=1}^n \prod_{j=1}^m \frac{1}{1 - x_i y_j}.$$

*Proof.*

$$\begin{aligned} s_{\lambda}(x_1, \dots, x_n) &= \langle \emptyset | \Gamma_{-}(x_1) \cdots \Gamma_{-}(x_n) | \lambda \rangle, \\ s_{\lambda}(y_1, \dots, y_m) &= \langle \emptyset | \Gamma_{-}(y_1) \cdots \Gamma_{-}(y_m) | \lambda \rangle, \end{aligned}$$

Let  $A_{\lambda}$  and  $B_{\lambda}$  denote the RHS of these equations. Then

$$\sum_{\lambda} s_{\lambda}(x_1, \dots, x_m) s_{\lambda}(y_1, \dots, y_m) = \sum_{\lambda} A_{\lambda} B_{\lambda} = \langle \emptyset | \Gamma_{-}(x_1) \cdots \Gamma_{-}(x_n) \Gamma_{-}(y_1) \cdots \Gamma_{-}(y_m) | \emptyset \rangle.$$

□

**Example 4.4.**

We want the Cauchy identity for the following. Let  $p(n)$  be the number of partitions of  $n$

$$\sum_n p(n) q^n = \prod_{i \geq 1} \frac{1}{1 - q^i}.$$

we will use the Cauchy identity to show that

$$\sum_{n \geq 0} pp(n) q^n = \prod_{i \geq 1} \frac{1}{(1 - q^i)^i}.$$

where  $pp(n)$  is the number of *plane partitions* of  $n$ .

Given a partition  $\lambda = (\lambda_1, \lambda_2, \dots)$ , a plane partition  $\Pi = (\pi_{i,j})$  is an array of non negative integers such that  $\pi_{i,j} \geq \pi_{i+1,j}$  and  $\pi_{i,j} \geq \pi_{i,j+1}$ . Its weight  $|\Pi|$  is  $\sum_{i,j} \pi_{i,j}$ .

From now on assume  $1 \leq i, j \leq m$ .

**Example 4.5.** For  $m = 3$ ,  $\Pi = \begin{bmatrix} 3 & 2 & 2 \\ 3 & 1 \\ 1 \end{bmatrix}$ ,  $|\Pi| = 12$ .

**Proposition 4.6.** Let  $\mathcal{P}_m$  be the set of plane partitions  $\Pi = (\pi_{i,j})$  with  $1 \leq i, j \leq m$  then

$$\sum_{\Pi \in \mathcal{P}_m} q^{|\Pi|} = \prod_{i=1}^m \prod_{j=1}^m \frac{1}{1 - q^{i+j-1}}.$$

**Corollary 4.7.**

$$\lim_{m \rightarrow \infty} \sum_{\Pi \in \mathcal{P}_m} q^{|\Pi|} = \prod_{i=1}^{\infty} \frac{1}{(1 - q^i)^i}.$$

*proof of Proposition.* We want to use the Cauchy identity and view  $s_{\lambda}(x_1, \dots, x_m)$  as the generating series for GTT with top row  $\lambda$  with  $m$  rows and  $s_{\lambda}(y_1, \dots, y_n)$  as the generating series for GTT with top row  $\lambda$  with  $n$  columns. □

**Example 4.8.**

$$\begin{array}{|c|c|c|c|} \hline 4 & 3 & 2 & 2 \\ \hline 4 & 3 & 1 & 1 \\ \hline 2 & 2 & 1 & \\ \hline 1 & & & \\ \hline \end{array} \leftrightarrow \begin{array}{ccccccc} & & & & 2 & & \\ & & & & 2 & & 1 \\ & & 3 & & 1 & & 0 \\ & 4 & 3 & & 1 & & 0 \\ & & 4 & & 2 & & 0 \\ & & & 2 & & 0 & \\ & & & & 1 & & \end{array} ,$$

**Theorem 4.9.** *There exists a bijection between plane partitions  $\Pi$  with main diagonal  $\lambda$  and pairs of GTT  $(T_1, T_2)$  with top row  $\lambda$  such that*

$$|\Pi| = |T_1| + |T_2| - |\lambda|.$$

With this bijection we finish the proof of the generating function since

$$\begin{aligned} \sum_{\Pi} q^{|\Pi|} &= \sum_{\lambda} \sum_{T_1 \text{ GTT}} q^{|T_1|} \sum_{T_2 \text{ GTT}} q^{|T_2| - |\lambda|} \\ &= \sum_{\lambda} s_{\lambda}(1, q, q^2, \dots, q^m) s_{\lambda}(1, q, q^2, \dots, q^{m-1}) \\ &= \prod_{i=1}^m \prod_{j=1}^m \frac{1}{1 - q^{i+j-1}}. \end{aligned}$$

### 4.3 Reverse plane partitions

fix a shape  $\lambda$  and fill the entries of the Young diagram with nonnegative integers such that the entries increase in rows and columns.

**Example 4.10.** For  $\lambda = (3, 3, 2)$ ,  $\begin{array}{|c|c|c|} \hline 0 & 0 & 2 \\ \hline 0 & 3 & 3 \\ \hline 1 & 3 & \end{array}$ . For a rectangle  $\lambda = (3, 3)$ ,  $\begin{array}{|c|c|c|} \hline 0 & 1 & 1 \\ \hline 2 & 2 & 4 \\ \hline \end{array}$  by flipping this one we obtain a plane partition  $\begin{array}{|c|c|c|} \hline 4 & 2 & 2 \\ \hline 1 & 1 & 0 \\ \hline \end{array}$ .

Let  $\mathcal{R}_{\lambda}$  be the set of RPP of shape  $\lambda$ ,

**Theorem 4.11.**

$$\sum_{\Pi \in \mathcal{R}_{\lambda}} q^{|\Pi|} = \prod_{u \in \lambda} \frac{1}{1 - q^{h(u,j)}}.$$

**Example 4.12.** For the shape  $(3, 3, 2)$  the hooks are  $\begin{array}{|c|c|c|} \hline 5 & 4 & 2 \\ \hline 4 & 3 & 1 \\ \hline 2 & 1 & \end{array}$ ,

$$\sum_{\Pi \in \mathcal{R}_{(3,3,2)}} q^{|\Pi|} = \frac{1}{(1-q)^2 (q-1^2)^2 (1-q^3) (1-q^4)^2 (1-q^5)}.$$

We will prove it using the vetex operators.

**Example 4.13.**  $\emptyset \succ \beta^{(1)} \succ \beta^{(2)} \prec \beta^{(3)} \succ \beta^{(4)} \prec \dots$ , with  $|\Pi| = \sum_i |\beta^{(i)}|$ .

$$\begin{aligned}
\sum_{\Pi \in \mathcal{R}_{(3,3,2)}} q^{|\Pi|} &= \langle \emptyset | \Gamma_{-}(q^{-1}) \Gamma_{-}(q^{-2}) \Gamma_{+}(q^3) \Gamma_{-}(q^4) \Gamma_{+}(q^3) \Gamma_{+}(q^6) | \emptyset \rangle \\
&= \frac{1}{(1-q)} \frac{1}{(1-q^2)^2} \frac{1}{(1-q)} \frac{1}{(1-q^3)} \frac{1}{(1-q^4)^2} \frac{1}{(1-q^5)}.
\end{aligned}$$

*A similar proof works for all shapes. For example for shape  $(4, 2, 1)$  we get*

$$\langle \emptyset | \Gamma_{-}(q^{-1}) \Gamma_{+}(q^2) \Gamma_{-}(q^{-3}) \Gamma_{+}(q^4) \Gamma_{-}(q^{-5}) \Gamma_{-}(q^{-6}) \Gamma_{+}(q^7) | \emptyset \rangle.$$