Symmetric Functions and Eulerian Polynomials

Lecture 1: Permutation Statistics and Eulerian polynomials

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Lectures

Lecture 1: Permutation Statistics and Eulerian Polynomials

Lecture 2: Symmetric and Quasisymmetric Functions

Lecture 3: Eulerian quasisymmetric functions

Lecture 4: Chromatic quasisymmetric functions

1 1 1 1 2 1 1 3 3 1 1 4 6 4 1 1 1 1 1 4 1 1 11 11 1 1 26 66 26 1

1 1 1 1 2 1 1 3 3 1 1 4 6 4 1

$$\binom{n}{j} = \binom{n-1}{j-1} + \binom{n-1}{j}$$

```
1
1 1
1 4 1
1 11 11 1
1 26 66 26 1
```

$$\binom{n}{j} = \binom{n-1}{j-1} + \binom{n-1}{j}$$

$$\left\langle {n \atop j} \right\rangle = (n-j) \left\langle {n-1 \atop j-1} \right\rangle + (j+1) \left\langle {n-1 \atop j} \right\rangle$$

1 1 1 1 2 1 1 3 3 1 1 4 6 4 1

$$\binom{n}{j} = \binom{n-1}{j-1} + \binom{n-1}{j}$$

• coeff's of polynomial $(t+1)^n = \sum_{j=0}^n \binom{n}{j} t^j$

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• coeff's of Eulerian polynomial $\sum_{n=1}^{n} \binom{n}{n}$

$$A_n(t) = \sum_{j=0}^{n-1} \left\langle {n \atop j} \right\rangle t^j$$

1 1 1 1 2 1 1 3 3 1 1 4 6 4 1

$$\binom{n}{j} = \binom{n-1}{j-1} + \binom{n-1}{j}$$

- coeff's of polynomial $(t+1)^n = \sum_{j=0}^n \binom{n}{j} t^j$
- rows add to 2^n

$$\left\langle \begin{array}{c} n \\ j \end{array} \right\rangle = (n-j) \left\langle \begin{array}{c} n-1 \\ j-1 \end{array} \right\rangle + (j+1) \left\langle \begin{array}{c} n-1 \\ j \end{array} \right\rangle$$

• coeff's of Eulerian polynomial

$$A_n(t) = \sum_{j=0}^{n-1} \left\langle {n \atop j} \right\rangle t^j$$

• rows add to n!

1 1 1 1 2 1 1 3 3 1 1 4 6 4 1

$$\binom{n}{j} = \binom{n-1}{j-1} + \binom{n-1}{j}$$

- coeff's of polynomial $(t+1)^n = \sum_{j=0}^n \binom{n}{j} t^j$
- rows add to 2ⁿ
- subsets of {1, 2, ..., n} of size *j*

1 1 1 1 4 1 1 11 11 1 1 26 66 26 1

$$\left\langle \begin{array}{c} n \\ j \end{array} \right\rangle = (n-j) \left\langle \begin{array}{c} n-1 \\ j-1 \end{array} \right\rangle + (j+1) \left\langle \begin{array}{c} n-1 \\ j \end{array} \right\rangle$$

• coeff's of Eulerian polynomial

$$A_n(t) = \sum_{j=0}^{n-1} \left\langle {n \atop j} \right\rangle t^j$$

- rows add to n!
- permutations in \mathfrak{S}_n with j descents

$$\binom{n}{j} = \binom{n-1}{j-1} + \binom{n-1}{j}$$

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- rows add to 2^n
- subsets of $\{1, 2, \dots, n\}$ of size j

1 1 1 1 4 1 1 11 11 1 1 26 66 26 1

$$\left\langle {n\atop j}\right\rangle = (n-j)\left\langle {n-1\atop j-1}\right\rangle + (j+1)\left\langle {n-1\atop j}\right\rangle$$

• coeff's of Eulerian polynomial

$$A_n(t) = \sum_{j=0}^{n-1} \left\langle {n \atop j} \right\rangle t^j$$

- rows add to n!
- permutations in \mathfrak{S}_n with j descents
- Rows are palindromic and unimodal.

$$\sum_{i\geq 1} t^i = \frac{t}{1-t}$$

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$$\sum_{i\geq 1} i^2 t^i = \frac{t(1+t)}{(1-t)^3}$$

$$\sum_{i \ge 1} t^{i} = \frac{t}{1-t}$$

$$\sum_{i \ge 1} i t^{i} = \frac{t}{(1-t)^{2}}$$

$$\sum_{i \ge 1} i^{2} t^{i} = \frac{t(1+t)}{(1-t)^{3}}$$

$$\sum_{i \ge 1} i^{3} t^{i} = \frac{t(1+4t+t^{2})}{(1-t)^{4}}$$

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Euler's triangle

1 1 1 1 4 1 1 11 11 1 1 26 66 26 1

Euler's definition

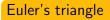
$$\sum_{i\geq 1} i^n t^i = \frac{t A_n(t)}{(1-t)^{n+1}}$$

$$\sum_{i \ge 1} t^i = \frac{t}{1-t}$$

$$\sum_{i \ge 1} i t^i = \frac{t}{(1-t)^2}$$

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Euler's definition

$$\sum_{i\geq 1} i^n t^i = \frac{t A_n(t)}{(1-t)^{n+1}}$$



Leonhard Euler

Euler's exponential generating function formula

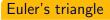
$$\sum_{n>0} A_n(t) \frac{z^n}{n!} = \frac{1-t}{e^{(t-1)z}-t}$$

$$\sum_{i \ge 1} t^{i} = \frac{t}{1-t}$$

$$\sum_{i \ge 1} i t^{i} = \frac{t}{(1-t)^{2}}$$

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Leonhard Euler

Euler's exponential generating function formula

$$\sum_{n>0} A_n(t) \frac{z^n}{n!} = \frac{1-t}{e^{(t-1)z}-t} = \frac{(1-t)e^z}{e^{tz}-te^z}$$

Permutations

Given a set A, a permutation on A is a bijection on A.

The group of permutations on A under composition is called the symmetric group on A and is denoted by \mathfrak{S}_A .

Let [n] denote the set $\{1,2,\ldots,n\}$ and let $\mathfrak{S}_n:=\mathfrak{S}_{[n]}$.

Two line notation:

$$\sigma = \left[\begin{array}{c} 12345 \\ 45213 \end{array} \right] \in \mathfrak{S}_5$$

One line notation:

$$\sigma = [45213] \in \mathfrak{S}_5$$

Cycle notation:

$$\sigma = (1,4)(2,5,3) \in \mathfrak{S}_5$$

Partitions and Compositions

A partition of $n \in \mathbb{P}$ is a weakly decreasing sequence of positive integers whose sum is n.

A composition of $n \in \mathbb{P}$ is a sequence of positive integers whose sum is n.

```
Partitions of 4
(4)
(3,1)
(2,2)
(2,1,1)
(1,1,1,1)

Compositions of 4
(4)
(3,1), (1,3)
(2,2)
(2,2)
(2,1,1), (1,2,1), (1,1,2)
(1,1,1,1)
```

If λ is a partition of n, we say $\lambda \vdash n$ and $|\lambda| = n$.

If μ is a composition of n, we say $\mu \models n$ and $|\mu| = n$.

 $I(\lambda)$ denotes the length of a partition (or composition) λ .

Associate a partition of n with a permutation $\sigma \in \mathfrak{S}_n$, by writing σ in cycle form and letting $\lambda(\sigma)$ be the sequence of cycle sizes listed in weakly decreasing order. The partition $\lambda(\sigma)$ is the cycle type of σ .

Example:
$$\lambda((1,4),(2,7,5)(3,6)) = (3,2,2)$$

```
For \sigma \in \mathfrak{S}_n.
Descent set: DES(\sigma) := {i \in [n-1] : \sigma(i) > \sigma(i+1)}
                       \sigma = 3.25.4.1 DES(\sigma) = {1, 3, 4}
Define des(\sigma) := |DES(\sigma)|. So
                                     des(32541) = 3
Excedance set: EXC(\sigma) := \{i \in [n-1] : \sigma(i) > i\}
                          \sigma = 32541 EXC(\sigma) = {1, 3}
Define \operatorname{exc}(\sigma) := |\operatorname{EXC}(\sigma)|. So
                                     exc(32541) = 2
```

\mathfrak{S}_3	des	exc
123	0	0
132	1	1
213	1	1
231	1	2
312	1	1
321	2	1

$$\sum_{\sigma \in \mathfrak{S}_3} t^{\operatorname{des}(\sigma)} = 1 + 4t + t^2$$

$$\sum_{\sigma \in \mathfrak{S}_3} t^{\operatorname{exc}(\sigma)} = 1 + 4t + t^2$$

Euler's triangle

1 1 1 1 4 1 1 11 11 1 1 26 66 26 1

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Euler's triangle

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Eulerian polynomial

$$A_n(t) = \sum_{j=0}^{n-1} \left\langle {n \atop j} \right\rangle t^j = \sum_{\sigma \in \mathfrak{S}_n} t^{\operatorname{des}(\sigma)} = \sum_{\sigma \in \mathfrak{S}_n} t^{\operatorname{exc}(\sigma)}$$

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MacMahon (1905) showed equidistribution of des and exc. Carlitz and Riordin (1955) showed equals $A_n(t)$.

The characterizations of $A_n(t)$

Combinatorial

$$A_n(t) = \sum_{\sigma \in \mathfrak{S}_n} t^{\operatorname{des}(\sigma)} = \sum_{\sigma \in \mathfrak{S}_n} t^{\operatorname{exc}(\sigma)}$$

Recurrence relation

$$\left\langle {n \atop j} \right\rangle = (n-j) \left\langle {n-1 \atop j-1} \right\rangle + (j+1) \left\langle {n-1 \atop j} \right\rangle$$

Euler's triangle

1 1 1 1 4 1 1 11 11 1 1 26 66 26 1

Euler's definition

$$\sum_{i\geq 1} i^n t^i = \frac{t A_n(t)}{(1-t)^{n+1}}$$

Euler's exponential generating function formula

$$\sum_{n>0} A_n(t) \frac{z^n}{n!} = \frac{(1-t)e^z}{e^{tz} - te^z}$$

A polynomial $f(t) = \sum_{i=0}^{n} a_i t^i \in \mathbb{R}[t]$ is

- palindromic (with center of symmetry $\frac{n}{2}$) if $a_i = a_{n-i}$ for all i
- unimodal if for some c

$$a_0 \leq a_1 \leq \cdots \leq a_c \geq \cdots \geq a_{n-1} \geq a_n$$

• positive if $a_i \ge 0$ for all i

Example:
$$A_5(t) = 1 + 26t + 66t^2 + 26t^3 + t^4$$

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Example: $A_5(t) = 1 + 26t + 66t^2 + 26t^3 + t^4$

Sum and Product Lemma

Let A(t) and B(t) be Positive, Unimodal, Palindromic with respective centers of symmetry c_A and c_B . Then

- A(t)B(t) is PUP with center of symmetry $c_A + c_B$.
- If $c_A = c_B$ then A(t) + B(t) is PUP with center of symmetry c_A .

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Example 1: $[5]_t[2]_t + [6]_t + [4]_t[3]_t$, where $[k]_t := 1 + t + \cdots + t^{k-1}$.

Example 2: Palindromicity and unimodality of rows of Pascal's triangle are consequences since the polynomial $(1 + t)^n$ is product of PUP's.

Sum and Product Lemma

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- A(t)B(t) is PUP with center of symmetry $c_A + c_B$.
- If $c_A = c_B$ then A(t) + B(t) is PUP with center of symmetry c_A .

Theorem: The Eulerian polynomials are palindromic and unimodal.

Proof: From Euler's exponential generating formula, we can derive

$$A_n(t)=\sum_{m=1}^{\lfloor rac{n+1}{2}
floor}\sum_{k_1,\ldots,k_m\geq 2} \left(egin{array}{c} n \ k_1-1,k_2,\ldots,k_m \end{array}
ight) t^{m-1}\prod_{i=1}^m [k_i-1]_t$$
 ere

where

Center of symmetry of $t^{m-1} \prod_{i=1}^{m} [k_i - 1]_t$ is

$$m-1+\sum_{i=1}^{m}\frac{k_{i}-2}{2}=\frac{n-1}{2}.$$

 $f(t) \in \mathbb{R}[t]$ is palindromic $\iff \exists \; \gamma_{\it k} \in \mathbb{R}$ such that

$$f(t) = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} \frac{\gamma_k}{\gamma_k} t^k (1+t)^{n-2k}.$$

If $\gamma_k \geq 0$ for all k then f(t) said to be γ -positive.

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If $\gamma_k \geq 0$ for all k then f(t) said to be γ -positive.

$$\gamma$$
-positive \implies palindromic and unimodal

Example:
$$A_5(t) = 1 + 26t + 66t^2 + 26t^3 + t^4$$
.

$$A_5(t) = 1 + 26t + 66t^2 + 26t^3 + t^4$$

$$1t^0(1+t)^4 = 1 + 4t + 6t^2 + 4t^3 + t^4$$

$$22t^1(1+t)^2 = 22t + 44t^2 + 22t^3$$

$$16t^2(1+t)^0 = 16t^2$$

So

$$A_5(t) = \frac{1}{2}t^0(1+t)^4 + \frac{22}{2}t^1(1+t)^2 + \frac{16}{2}t^2(1+t)^0.$$

Thus $A_5(t)$ is γ -positive.

Foata & Schutzenberger (1970):

$$A_n(t) = \sum_{k=0}^{\lfloor \frac{n-1}{2} \rfloor} \gamma_{n,k} t^k (1+t)^{n-1-2k},$$

where $\gamma_{n,k} = |\{\sigma \in \mathfrak{S}_n : \sigma \text{ has no double descents,}$ no final descent & $\operatorname{des}(\sigma) = k\}|$.

3.2.14 has a double descent. 124.3 has a final descent.

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3.2.14 has a double descent. 124.3 has a final descent.

\mathfrak{S}_3	des
123	0
132	
213	1
231	
312	1
321	

$$A_3(t) = 1t^0(1+t)^2 + 2t^1(1+t)^0$$

= 1+4t+t^2.

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$$A_n(t) = \sum_{k=0}^{\lfloor \frac{n-1}{2} \rfloor} \gamma_{n,k} t^k (1+t)^{n-1-2k},$$

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3.2.14 has a double descent. 124.3 has a final descent.

\mathfrak{S}_3	des
123	0
132	
213	1
231	
312	1
321	

$$A_3(t) = \frac{1}{t^0}(1+t)^2 + \frac{2}{t^1}(1+t)^0$$
$$= 1 + 4t + t^2.$$

Another property stronger than unimodality is log-concavity; real-rootedness is still stronger. $A_n(t)$ has only real roots.

Mahonian permutation statistics and q-analogs

Let $\sigma \in \mathfrak{S}_n$.

Inversion Number:

$$inv(\sigma) := |\{(i,j) : 1 \le i < j \le n, \quad \sigma(i) > \sigma(j)\}|.$$

$$inv(3142) = 3$$

Major Index:

$$\mathbf{maj}(\sigma) := \sum_{i \in \mathrm{DES}(\sigma)} i$$

$$maj(3142) = maj(3.14.2) = 1 + 3 = 4$$



Major Percy Alexander MacMahon (1854 - 1929)

Mahonian Permutation Statistics - q-analogs

$$\operatorname{inv}(\sigma) := |\{(i,j) : 1 \le i < j \le n, \ \sigma(i) > \sigma(j)\}| \quad \operatorname{maj}(\sigma) := \sum_{i \in \operatorname{DES}(\sigma)} i$$

\mathfrak{S}_3	inv	$_{ m maj}$
123	0	0
132	1	2
213	1	1
231	2	2
312	2	1
321	3	3

Mahonian Permutation Statistics - q-analogs

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\mathfrak{S}_3	inv	$_{ m maj}$
123	0	0
132	1	2
213	1	1
231	2	2
312	2	1
321	3	3

$$\begin{split} \sum_{\sigma \in \mathfrak{S}_3} q^{\mathrm{inv}(\sigma)} &= \sum_{\sigma \in \mathfrak{S}_3} q^{\mathrm{maj}(\sigma)} \\ &= 1 + 2q + 2q^2 + q^3 \end{split}$$

Mahonian Permutation Statistics - q-analogs

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123	0	0
132	1	2
213	1	1
231	2	2
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$$\sum_{\sigma \in \mathfrak{S}_3} q^{\mathrm{inv}(\sigma)} = \sum_{\sigma \in \mathfrak{S}_3} q^{\mathrm{maj}(\sigma)}$$

$$= 1 + 2q + 2q^2 + q^3$$

$$= (1 + q + q^2)(1 + q)$$

Mahonian Permutation Statistics - q-analogs

$$\operatorname{inv}(\sigma) := |\{(i,j) : 1 \le i < j \le n, \ \sigma(i) > \sigma(j)\}| \quad \operatorname{maj}(\sigma) := \sum_{i \in \operatorname{DES}(\sigma)} i$$

inv	$_{ m maj}$
0	0
1	2
1	1
2	2
2	1
3	3
	0 1 1 2 2

$$\sum_{\sigma \in \mathfrak{S}_3} q^{\mathrm{inv}(\sigma)} = \sum_{\sigma \in \mathfrak{S}_3} q^{\mathrm{maj}(\sigma)}$$

$$= 1 + 2q + 2q^2 + q^3$$

$$= (1 + q + q^2)(1 + q)$$

Theorem (MacMahon 1905)

$$\sum_{\sigma \in \mathfrak{S}_n} q^{\mathrm{maj}(\sigma)} = \sum_{\sigma \in \mathfrak{S}_n} q^{\mathrm{inv}(\sigma)} = [n]_q!$$

where $[n]_q:=1+q+\cdots+q^{n-1}$ and $[n]_q!:=[n]_q[n-1]_q\cdots[1]_q$

Fact: The number of words of length n over the alphabet $\{1,2\}$ with k 1's is $\binom{n}{k}$.

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q-analog: Let $\mathfrak{S}_{n,k}$ be the set of words of length n over the alphabet $\{1,2\}$ with k 1's . Then

$$\sum_{\sigma \in \mathfrak{S}_{n,k}} q^{\mathrm{maj}(\sigma)} = \sum_{\sigma \in \mathfrak{S}_{n,k}} q^{\mathrm{inv}(\sigma)} = \left[\begin{array}{c} n \\ k \end{array} \right]_q$$

where

$$\begin{bmatrix} n \\ k \end{bmatrix}_q = \frac{[n]_q!}{[k]_q![n-k]_q!}$$

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$$\sum_{\sigma \in \mathfrak{S}_{n,k}} q^{\mathrm{maj}(\sigma)} = \sum_{\sigma \in \mathfrak{S}_{n,k}} q^{\mathrm{inv}(\sigma)} = \left[\begin{array}{c} n \\ k \end{array} \right]_q$$

where

$$\left[\begin{array}{c} n \\ k \end{array}\right]_q = \frac{[n]_q!}{[k]_q![n-k]_q!}$$

Proof of first equality: Use Foata bijection.

Proof of second equality: Show

$$\sum_{\sigma \in \mathfrak{S}_{n,k}} q^{\mathrm{inv}(\sigma)} [k]_q! [n-k]_q! = [n]_q!$$

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$$\sum_{\sigma \in \mathfrak{S}_{n,k}} q^{\mathrm{inv}(\sigma)} [k]_q! [n-k]_q! = [n]_q!$$

Use the map $\phi:\mathfrak{S}_{n,k}\times\mathfrak{S}_k\times\mathfrak{S}_{n-k}\to\mathfrak{S}_n$ that takes (σ,α,β) to the word obtained from σ by replacing the subword of 1's by α and the subword of 2's by $\tilde{\beta}$, where $\tilde{\beta}$ is obtained from β by replacing each letter i by i+k. Check that

- ullet ϕ is a bijection
- $\operatorname{inv}(\tilde{\beta}) = \operatorname{inv}(\beta)$
- $\operatorname{inv}(\phi(\sigma, \alpha, \beta)) = \operatorname{inv}(\sigma) + \operatorname{inv}(\alpha) + \operatorname{inv}(\tilde{\beta})$

Example: $n = 5, k = 2, \sigma = 12212, \alpha = 21, \beta = 231$. Then $\tilde{\beta} = 453$ and

$$\phi(\sigma, \alpha, \beta) = 24513$$

Fact: The number of derangements (i.e. permutations with no fixed points) in \mathfrak{S}_n is given by

$$n! \sum_{k=0}^{n} \frac{(-1)^k}{k!}.$$

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$$n! \sum_{k=0}^{n} \frac{(-1)^k}{k!}.$$

q-analog: Let \mathcal{D}_n be the set of derangements in \mathfrak{S}_n . Then

$$\sum_{\sigma \in \mathcal{D}_n} q^{\mathrm{maj}(\sigma)} = [n]_q! \sum_{k=0}^n q^{\binom{k}{2}} \frac{(-1)^k}{[k]_q!}.$$

Due independently to Gessel and MW (1989).

Doesn't work for inv.

q-analogs of Eulerian polynomials

$$egin{aligned} &A_n^{ ext{inv,des}}(q,t) := \sum_{\sigma \in \mathfrak{S}_n} q^{ ext{inv}(\sigma)} t^{ ext{des}(\sigma)} \ &A_n^{ ext{maj,des}}(q,t) := \sum_{\sigma \in \mathfrak{S}_n} q^{ ext{maj}(\sigma)} t^{ ext{des}(\sigma)} \ &A_n^{ ext{inv,exc}}(q,t) := \sum_{\sigma \in \mathfrak{S}_n} q^{ ext{inv}(\sigma)} t^{ ext{exc}(\sigma)} \ &A_n^{ ext{maj,exc}}(q,t) := \sum_{\sigma \in \mathfrak{S}_n} q^{ ext{maj}(\sigma)} t^{ ext{exc}(\sigma)} \end{aligned}$$

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Theorem (Carlitz 1954 MacMahon 1916)

$$\sum_{i\geq 1} [i]_q^n \ t^i = \frac{t A_n^{\mathrm{maj,des}}(q,t)}{\prod_{i=0}^n (1-tq^i)}$$

q-analogs of Euler's exp. generating function formula

Theorem (Stanley 1976)

$$\sum_{n>0} A_n^{\mathrm{inv,des}}(q,t) \frac{z^n}{[n]_q!} = \frac{1-t}{\mathrm{Exp}_q(z(t-1))-t}$$

where

$$\operatorname{Exp}_q(z) := \sum_{n \geq 0} \frac{q^{\binom{n}{2}} z^n}{[n]_q!}$$

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Theorem (Shareshian & MW 2006)

$$\sum_{n>0} A_n^{\text{maj,exc}}(q,t) \frac{z^n}{[n]_q!} = \frac{(1-tq)\exp_q(z)}{\exp_q(ztq) - tq\exp_q(z)}$$

where

$$\exp_q(z) := \sum_{n>0} \frac{z^n}{[n]_q!}$$

q-Eulerian polynomials and q-Eulerian numbers

Theorem (Shareshian & MW 2006)

$$\sum_{n>0} A_n^{\text{maj,exc}}(q, tq^{-1}) \frac{z^n}{[n]_q!} = \frac{(1-t) \exp_q(z)}{\exp_q(zt) - t \exp_q(z)}$$

where

$$\exp_q(z) := \sum_{n \ge 0} \frac{z^n}{[n]_q!}$$

Proof uses symmetric function theory, which we will talk about next time.

From now on

$$A_n(q,t) := A_n^{\mathrm{maj,exc}}(q,tq^{-1}) = \sum_{\sigma \in \mathfrak{S}_n} q^{\mathrm{maj}(\sigma) - \mathrm{exc}(\sigma)} t^{\mathrm{exc}(\sigma)}$$

and

$$\left\langle \frac{n}{j} \right\rangle_{\mathbf{q}} := \sum_{\substack{\sigma \in \mathfrak{S}_n \\ \exp(\sigma) = i}} q^{\operatorname{maj}(\sigma) - \operatorname{exc}(\sigma)}$$

Palindromicity and unimodality of the q-Eulerian numbers

$n \setminus j$	0	1	2	3	4
1	1				
2	1	1			
3	1	$2+q+q^2$	1		
4	1	$3 + 2q + 3q^2 + 2q^3 + q^4$	$3 + 2q + 3q^2 + 2q^3 + q^4$	1	
5	1	$4 + 3q + 5q^2 + \dots$	$6+6q+11q^2+$	$4 + 3q + 5q^2 + \dots$	1

Theorem (Shareshian and MW)

The q-Eulerian polynomial $A_n(q,t) = \sum_{t=0}^{n-1} \left\langle {n\atop j} \right\rangle_q t^j$ is

- palindromic in the sense that $\binom{n}{j}_a = \binom{n}{n-1-j}_a$ for $0 \le j \le \frac{n-1}{2}$
- q-unimodal in the sense that $\binom{n}{j}_q \binom{n}{j-1}_q \in \mathbb{N}[q]$ for $1 \leq j \leq \frac{n-1}{2}$

Palindromicity and unimodality of the q-Eulerian numbers

Theorem (Shareshian and MW)

The q-Eulerian polynomial $A_n(q,t)=\sum_{t=0}^{n-1} \left\langle {n\atop j} \right\rangle_q t^j$ is palindromic and q-unimodal.

Proof: We use our q-analog of Euler's exponential generating function formula to prove

$$A_n(q,t) = \sum_{m=1}^{\lfloor \frac{n+1}{2} \rfloor} \sum_{k_1,\ldots,k_m \geq 2} \begin{bmatrix} n \\ k_1 - 1, k_2, \ldots, k_m \end{bmatrix}_q t^{m-1} \prod_{i=1}^m [k_i - 1]_t,$$

where

$$\left[\begin{array}{c}n\\k_1,\ldots,k_m\end{array}\right]_q:=\frac{[n]_q!}{[k_1]_q!\cdots[k_m]_q!}$$

Then apply the Sum & Product Lemma.

q- γ -positivity of q-Eulerian polynomials

Recall: Foata & Schutzenberger (1970):

$$A_n(t) = \sum_{k=0}^{\lfloor \frac{n-2}{2} \rfloor} \gamma_{n,k} t^k (1+t)^{n-1-2k},$$

where $\gamma_{n,k} = |\{\sigma \in \mathfrak{S}_n : \sigma \text{ has no double descents,}$ no final descent & $\operatorname{des}(\sigma) = k\}|$.

Theorem (Shareshian and Wachs (2010))

Let $\Gamma_{n,k}$ be the set of permutations in \mathfrak{S}_n with no double descents, no final descent and $\operatorname{des}(\sigma) = k$. Let

$$\gamma_{n,k}(q) := \sum_{\sigma, \sigma} q^{inv(\sigma)}.$$

Then

$$A_n(q,t) = \sum_{k=0}^{\lfloor \frac{m-2}{2} \rfloor} \gamma_{n,k}(q) t^k (1+t)^{n-1-2k},$$

Proof uses our q-analog of Euler's exponential generating function and a symmetric function identity of Gessel.

Cycle-type Eulerian polynomials

For $\lambda \vdash n$, let \mathfrak{S}_{λ} be the set of permutations of cycle type λ . Define the cycle-type Eulerian polynomial as follows

$$A_{\lambda}(t) := \sum_{\sigma \in \mathfrak{S}_{\lambda}} t^{\operatorname{exc}(\sigma)}$$

For $\lambda \vdash n$ and $i \in \mathbb{P}$, let $m_i(\lambda)$ be the number of occurrences of i in λ .

Brenti (1993): $A_{\lambda}(t)$ is palindromic and unimodal with center of symmetry $c=\frac{n-m_1(\lambda)}{2}$.

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Brenti (1993): $A_{\lambda}(t)$ is palindromic and unimodal with center of symmetry $c = \frac{n - m_1(\lambda)}{2}$.

Now define the cycle-type q-Eulerian polynomial

$$A_{\lambda}(q,t) := \sum_{\sigma \in \mathfrak{S}_{\lambda}} q^{\mathrm{maj}(\sigma) - \mathrm{exc}(\sigma)} t^{\mathrm{exc}(\sigma)}.$$

Henderson and MW (2010): $A_{\lambda}(q,t)$ is palindromic and q-unimodal with center of symmetry $c=\frac{n-m_1(\lambda)}{2}$.

Proof uses symmetric function theory and representation theory.

Derangements

Corollary. Let \mathcal{D}_n be the set of derangements in \mathfrak{S}_n and let

$$D_n(q,t) := \sum_{\sigma \in \mathcal{D}_n} q^{\operatorname{maj}(\sigma) - \operatorname{exc}(\sigma)} t^{\operatorname{exc}(\sigma)}.$$

Then $D_n(q,t)$ is palindromic and q-unimodal with center of symmetry $\frac{n}{2}$.

Also a consequence of Shareshian and MW (2010):

$$\sum_{n\geq 0} D_n(q,t)z^n = \frac{1-t}{\exp_q(tz) - t \exp_q(z)}$$

and of

Shareshian and MW (2010): Let $\Gamma_{n,k}$ be the set of permutations in \mathfrak{S}_n with no double descents, no final descent, no initial descent, and $\operatorname{des}(\sigma) = k$. Let $\gamma_{n,k}(q) := \sum_{k} q^{\operatorname{inv}(\sigma)}$.

Then

$$D_n(q,t) = \sum_{k=0}^{\lfloor \frac{n-1}{2} \rfloor} \frac{\gamma_{n,k}(q)}{t^{k+1}} t^{k+1} (1+t)^{n-2k-2},$$

Log-concavity

A sequence (a_0, a_1, \ldots, a_n) is log-concave if $a_j^2 > a_{j-1}a_{j+1}$ for all j. We will say a polynomial $\sum_{j=0}^n a_j t^j$ is log concave if its sequence of coefficients (a_0, a_1, \ldots, a_n) is log-concave.

Example.
$$A_5(t) = 1 + 26t + 66t^2 + 26t^3 + t^4$$
.

Theorem. For all n, $A_n(t)$ is log-concave.

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A sequence of polynomials $(a_0(q), a_1(q), \ldots, a_n(q))$ is q-log-concave if

$$a_j(q)^2 - a_{j-1}(q)a_{j+1}(q) \in \mathbb{N}[q],$$

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Conjecture (Shareshian and MW)

- For all n, $A_n(q, t)$ is q-log-concave.
- For all n and $\lambda \vdash n$, $A_{\lambda}(q,t)$ is q-log-concave.

We checked this up to n = 8.

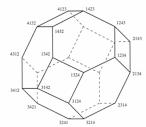
Geometric interpretation of Eulerian polynomials

The h-polynomial of a d-dimensional convex polytope $\mathcal P$ is defined by

$$h_{\mathcal{P}}(t) := \sum_{j=0}^{a} f_{d-1-j} (t-1)^{j}$$

where f_i is the number of faces of \mathcal{P} of dimension i.

The permutohedron \mathcal{P}_n is the convex hull of points in \mathbb{R}^n of the form $(\sigma(1),\ldots,\sigma(n))$, where $\sigma\in\mathfrak{S}_n$. This is an (n-1)-dimensional polytope embedded in \mathbb{R}^n .



For each convex polytope \mathcal{P} , there is another convex polytope \mathcal{P}^* called the polar dual. The number of *i*-dimensional faces of \mathcal{P}^* equals the number of (d-i)-dimensional faces of \mathcal{P} for each *i*.

Theorem:
$$A_n(t) = h_{\mathcal{P}_n^*}(t)$$
.

Geometric interpretation of Eulerian polynomials

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Dehn-Sommerville equations: The *h*-polynomial of every simplicial convex polytope is palindromic.

Stanley (1980): The *h*-polynomial of every simplicial convex polytope is unimodal.

This is part of the celebrated g-theorem of Billera, Lee, and Stanley.

Gal's conjecture (2005): The h-polynomial of a flag simplicial convex polytope \mathcal{P} is γ -positive.

Fact: \mathcal{P}_n^* is simplicial and flag.

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We will see a geometric interpretation of the q-Eulerian polynomials.