

# Symmetric Functions and Eulerian Polynomials

## Lecture 3: Eulerian Quasisymmetric functions

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# $q$ -Eulerian polynomials

$$A_n(q, t) := \sum_{\sigma \in \mathfrak{S}_n} q^{\text{maj}(\sigma) - \text{exc}(\sigma)} t^{\text{exc}(\sigma)}$$

and

$$\left\langle \begin{matrix} n \\ j \end{matrix} \right\rangle_q := \sum_{\substack{\sigma \in \mathfrak{S}_n \\ \text{exc}(\sigma) = j}} q^{\text{maj}(\sigma) - \text{exc}(\sigma)}$$

Theorem (Shareshian & MW 2006)

$$\sum_{n \geq 0} A_n(q, t) \frac{z^n}{[n]_q!} = \frac{(1-t) \exp_q(z)}{\exp_q(zt) - t \exp_q(z)}$$

where

$$\exp_q(z) := \sum_{n \geq 0} \frac{z^n}{[n]_q!}$$

## Lift to a Symmetric Function identity

$$\sum_{n \geq 0} A_n(q, t) \frac{z^n}{[n]_q!} = \frac{(1 - t) \exp_q(z)}{\exp_q(zt) - t \exp_q(z)}$$

# Lift to a Symmetric Function identity

Let

$$H(z) := \sum_{n \geq 0} h_n z^n,$$

where  $h_n$  is the  $n$ th complete homogeneous symmetric function

$$h_n : \sum_{i_1 \leq i_2 \leq \dots \leq i_n} x_{i_1} x_{i_2} \dots x_{i_n}.$$

$$\frac{(1-t)H(z)}{H(zt) - tH(z)}$$

$$\downarrow \begin{array}{ll} x_i & := q^{i-1} \\ z & := z(1-q) \end{array}$$

$$\sum_{n \geq 0} A_n(q, t) \frac{z^n}{[n]_q!}$$

$$= \frac{(1-t) \exp_q(z)}{\exp_q(zt) - t \exp_q(z)}$$

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# Lift to a Symmetric Function identity

For  $\sigma \in \mathfrak{S}_n$ , let  $\bar{\sigma}$  be obtained by placing bars above each **excedance**.

$$\bar{5}\bar{3}14\bar{6}2$$

View  $\bar{\sigma}$  as a word over ordered alphabet

$$\{\bar{1} < \bar{2} < \dots < \bar{n} < 1 < 2 < \dots < n\}.$$

Define

$$\text{DEX}(\sigma) := \text{DES}(\bar{\sigma})$$

$$\text{DEX}(531462) = \text{DES}(\bar{5}.\bar{3}14.\bar{6}2) = \{1, 4\}$$

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$$\sum_{i \in \text{DEX}(\sigma)} i = \text{maj}(\sigma) - \text{exc}(\sigma)$$

$$\text{maj}(531462) = 1 + 2 + 5 = 8$$

$$\text{exc}(531462) = 3$$



Recall

$$F_T(1, q, q^2, \dots) = \frac{q^{\sum T}}{(1-q)(1-q^2)\dots(1-q^n)}$$

Hence

$$F_{\text{DEX}(\sigma)}(1, q, q^2, \dots) = \frac{q^{\text{maj}(\sigma) - \text{exc}(\sigma)}}{(1-q)(1-q^2)\dots(1-q^n)}$$

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Thus

$$\begin{aligned} \text{ps}\left(\sum_{\sigma \in \mathfrak{S}_n} F_{\text{DEX}(\sigma)} t^{\text{exc}(\sigma)}\right) &= \frac{\sum_{\sigma \in \mathfrak{S}_n} q^{\text{maj}(\sigma) - \text{exc}(\sigma)} t^{\text{exc}(\sigma)}}{(1-q)(1-q^2)\dots(1-q^n)} \\ &= \frac{A_n(q, t)}{(1-q)(1-q^2)\dots(1-q^n)} \end{aligned}$$

$$\text{ps}\left(\sum_{\sigma \in \mathfrak{S}_n} F_{\text{DEX}(\sigma)} t^{\text{exc}(\sigma)}\right) (1-q)^n = \frac{A_n(q, t)}{[n]_q!}$$

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Now define the **Eulerian quasisymmetric function**

$$Q_{n,j} := \sum_{\substack{\sigma \in \mathfrak{S}_n \\ \text{exc}(\sigma) = j}} F_{\text{DEX}(\sigma)}$$

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# Cycle-type Eulerian Quasisymmetric Functions

Eulerian quasisymmetric fcn.

$$Q_{n,j} := \sum_{\substack{\sigma \in \mathfrak{S}_n \\ \text{exc}(\sigma) = j}} F_{\text{DEX}(\sigma)}$$

Theorem (Shareshian and MW)

$$\sum_{n \geq 0} \left( \sum_{j=0}^{n-1} Q_{n,j} t^j \right) z^n = \frac{(1-t)H(z)}{H(zt) - tH(z)}$$

In order to prove the theorem we needed to consider

$$Q_{\lambda,j} := \sum_{\substack{\sigma \in \mathfrak{S}_\lambda \\ \text{exc}(\sigma) = j}} F_{\text{DEX}(\sigma)}$$

Clearly

$$Q_{n,j} = \sum_{\lambda \vdash n} Q_{\lambda,j}$$

and

$$\text{ps}(Q_{n,\lambda}) = \frac{A_\lambda(q, t)}{(1-q)(1-q^2)\dots(1-q^n)}.$$

# Bicolored necklaces and ornaments

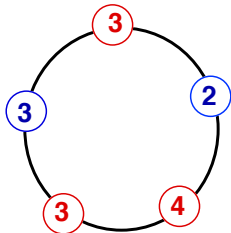
A **bicolored necklace** is a primitive circular word over alphabet

$$\{1, 1, 2, 2, \dots\}$$

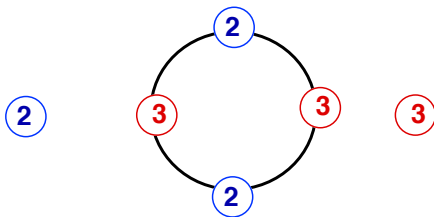
such that if size  $> 1$

- a **blue** letter is followed by letter greater than or equal in value
- a **red** letter is followed by a letter less than or equal in value

Necklaces of size 1 are **blue**.



necklaces

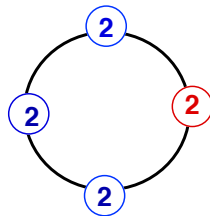
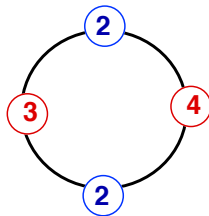
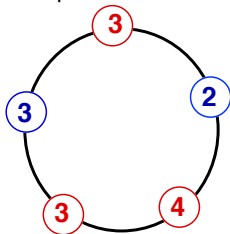


not necklaces



# Bicolored necklaces and ornaments

An **ornament** of type  $\lambda$  is a multiset of necklaces whose necklace sizes form partition  $\lambda$



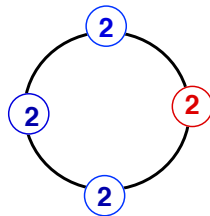
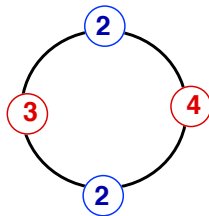
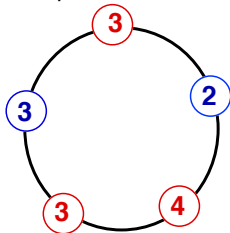
$$\text{type} = (5, 4, 4)$$

$$\text{weight} = x_2^7 x_3^4 x_4^2$$

Let  $\mathcal{R}_{\lambda,j}$  = set of ornaments of type  $\lambda$  with  $j$  red letters.

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Theorem (Shareshian and MW (2006))

$$Q_{\lambda,j} = \sum_{R \in \mathcal{R}_{\lambda,j}} \text{wt}(R)$$

The bijection that proves  $Q_{\lambda, \mathbf{j}} = \sum_{R \in \mathcal{R}_{\lambda, \mathbf{j}}} wt(R)$

$$F_{\text{DEX}(\sigma)} = \sum_{\substack{s_1 \geq \dots \geq s_n \\ i \in \text{DEX}(\sigma) \Rightarrow s_i > s_{i+1}}} x_{s_1} \dots x_{s_n}$$

Let  $\sigma = 45162387$

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Let  $\sigma = \bar{4}\bar{5}1.\bar{6}23.\bar{8}7$        $s = (7, 7, 7, 5, 5, 4, 2, 2)$

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❶ write  $\sigma$  in cycle form,

$$\sigma = (1, 4, 6, 3)(2, 5)(7, 8).$$

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$$\sigma = (1, 4, 6, 3)(2, 5)(7, 8).$$

- 2 color letters that are followed (cyclicly) by larger letters **red** and letters that are singletons or are followed by smaller letters **blue**,

$$(\textcolor{red}{1}, \textcolor{blue}{4}, \textcolor{blue}{6}, \textcolor{red}{3})(\textcolor{red}{2}, \textcolor{blue}{5})(\textcolor{red}{7}, \textcolor{red}{8}).$$

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$$(\mathbf{1}, \mathbf{4}, \mathbf{6}, \mathbf{3})(\mathbf{2}, \mathbf{5})(\mathbf{7}, \mathbf{8}).$$

- ③ replace each  $i$  by  $s_i$ , we have the ornament

$$(\mathbf{7}, \mathbf{5}, \mathbf{4}, \mathbf{7})(\mathbf{7}, \mathbf{5})(\mathbf{2}, \mathbf{2}).$$

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- 3 replace each  $i$  by  $s_i$ , we have the ornament

$$(7, 5, 4, 7)(7, 5)(2, 2).$$

(This is a colored analog of a bijection of Gessel and Reutenauer.)



# Eulerian Quasisymmetric Functions

Eulerian quasisymmetric fcn.

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Theorem (Shareshian and MW)

$$\sum_{n \geq 0} \left( \sum_{j=0}^{n-1} Q_{n,j} t^j \right) z^n = \frac{(1-t)H(z)}{H(zt) - tH(z)}$$

Note: This theorem implies that  $Q_{n,j}$  is actually symmetric.

It also implies that  $Q_{n,j}$  is ***h-positive*** (which means that when we expand it in the  $h$ -basis, all the coefficients are positive). We can use it to show that  $\sum_{j=0}^n Q_{n,j} t^j$  is ***palindromic*** and ***h-unimodal*** (which means that  $Q_{n,j} - Q_{n,j-1}$  is  $h$ -positive when  $j < \frac{n-1}{2}$ ).

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# Properties of the Eulerian quasisymmetric functions

Property	$\sum_{j \geq 0} Q_{n,j} t^j$	$\sum_{j \geq 0} Q_{\lambda,j} t^j$
palindromic	yes	
symmetric	yes	
h-positive	yes	
h-unimodal	yes	

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palindromic	yes	yes
symmetric	yes	yes
h-positive	yes	no
h-unimodal	yes	no

$$Q_{(6),3} = 2h_{(4,2)} - h_{(4,1,1)} + h_{(3,2,1)} + h_{(5,1)}$$

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palindromic	yes	yes
symmetric	yes	yes
h-positive	yes	no
h-unimodal	yes	no

$$Q_{(6),3} = 2h_{(4,2)} - h_{(4,1,1)} + h_{(3,2,1)} + h_{(5,1)}$$

$$Q_{(6),3} = 3s_{(6)} + 3s_{(5,1)} + 3s_{(4,2)} + s_{(3,3)} + s_{3,2,1}$$

**Theorem (Henderson and MW):**  $\sum_{j \geq 0} Q_{\lambda,j} t^j$  is Schur-positive and Schur-unimodal. Consequently  $A_{\lambda}(q, t)$  is  $q$ -unimodal.

**Theorem (Sagan, Shareshian and MW):**  $\sum_{j \geq 0} Q_{\lambda,j} t^j$  is  $p$ -positive.



# Schur-Gamma-positivity of $Q_{n,j}$

## Theorem (Gessel)

$$1 + \sum_{n \geq 1} z^n \sum_{w \in ND_{n,i}(\mathbb{P})} x_w t^i (1+t)^{n-1-2i} = \frac{(1-t)H(z)}{H(tz) - tH(z)}$$

where  $x_w := x_{w_1} x_{w_2} \dots x_{w_n}$  and

$$ND_{n,i}(\mathbb{P}) := \{w \in \mathbb{P}^n \mid w0 \text{ has no double descents \& } \text{des}(w) = i\}$$

$$w = 779.1558.25 \in ND_{9,2}(\mathbb{P})$$

# Schur-Gamma-positivity of $Q_{n,j}$

## Theorem (Gessel)

$$1 + \sum_{n \geq 1} z^n \sum_{w \in ND_{n,i}(\mathbb{P})} x_w t^i (1+t)^{n-1-2i} = \frac{(1-t)H(z)}{H(tz) - tH(z)}$$

where  $x_w := x_{w_1} x_{w_2} \dots x_{w_n}$  and

$$ND_{n,i}(\mathbb{P}) := \{w \in \mathbb{P}^n \mid w0 \text{ has no double descents \& } \text{des}(w) = i\}$$

$$w = 779.1558.25 \in ND_{9,2}(\mathbb{P})$$

$$T = \begin{array}{ccccccc} & & & & & 2 & 5 \\ & & & 1 & 5 & 5 & 8 \\ 7 & 7 & 9 & & & & \end{array}$$

$$x_w = x^T$$

Summing over words in  $ND_{n,i}$  with the same descent set gives a Schur function of hook shape determined by the descent set.

# Schur-Gamma-positivity of $Q_{n,j}$

$$\sum_{j=0}^{n-1} Q_{n,j} t^j = \sum_{i=0}^{\lfloor \frac{n-1}{2} \rfloor} \mathfrak{r}_{n,i} t^i (1+t)^{n-1-2i}$$

where

$$\mathfrak{r}_{n,i} := \sum_{\mu \in SH_{n,i}} s_{\mu}$$

and  $SH_{n,i}$  is the set of skew hooks of size  $n$  where

- all columns have size at most 2
- last column has size 1
- $i$  columns have size 2

# Schur-Gamma-positivity of $Q_{n,j}$

$$\sum_{j=0}^{n-1} Q_{n,j} t^j = \sum_{i=0}^{\lfloor \frac{n-1}{2} \rfloor} \Gamma_{n,i} t^i (1+t)^{n-1-2i}$$

where

$$\Gamma_{n,i} := \sum_{\mu \in SH_{n,i}} s_{\mu}$$

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**Fact.** Skew Schur-functions are Schur positive (Littlewood-Richardson rule is used to find the coefficients).

Thus  $\Gamma_{n,i}$  is Schur-positive, which means that  $\sum_{j=0}^{n-1} Q_{n,j} t^j$  is Schur- $\gamma$ -positive.