

# Image Generation

Neil Gong

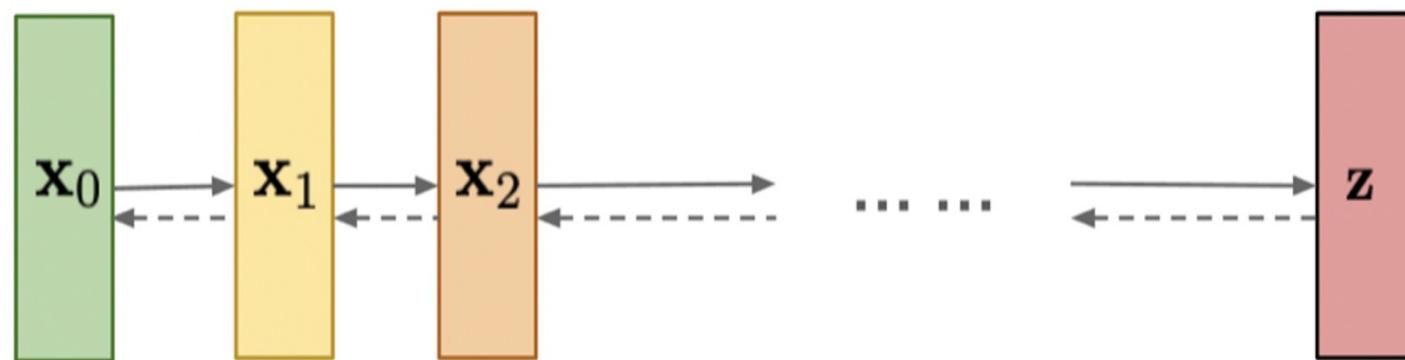
# Image generation methods

- Variational autoencoder
- GAN
- Diffusion model
- Visual AutoRegressive modeling

# Diffusion

- Idea: Estimating and analyzing small step sizes is more tractable/easier than a single step from random noise to the learned distribution
- Convert a well-known and simple *base distribution* (like a Gaussian) to the *target (data) distribution* iteratively, with small step sizes, via a Markov chain:

**Diffusion models:**  
Gradually add Gaussian  
noise and then reverse



- Markov chain: outlines the probability associated with a sequence of events occurring based on the state in the previous event.

# Forward Process

- Noise added can be parameterized by:

$$q(\mathbf{x}_t | \mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t; \sqrt{1 - \beta_t} \mathbf{x}_{t-1}, \beta_t \mathbf{I}) \quad q(\mathbf{x}_{1:T} | \mathbf{x}_0) = \prod_{t=1}^T q(\mathbf{x}_t | \mathbf{x}_{t-1}) \quad \{\beta_t \in (0, 1)\}_{t=1}^T$$

Vary the parameters of the Gaussian according to a *noise schedule*

- You can prove with some math that as T approaches infinity, you eventually end up with an Isotropic Gaussian (i.e. pure random noise)
- Note: forward process is fixed

# Reparameterization trick

Do you *have* to add noise *iteratively* to get to some timestep  $t$ ? Nope!

Reverse process can be written in one step:

$$q(\mathbf{x}_t \mid \mathbf{x}_0) = \mathcal{N}\left(\sqrt{\bar{\alpha}_t} \mathbf{x}_0, (1 - \bar{\alpha}_t) \mathbf{I}\right)$$

$$\begin{aligned}\alpha_t &= 1 - \beta_t \\ \bar{\alpha}_t &= \prod_{i=1}^t \alpha_i\end{aligned}$$

This will be useful during training!

# Implementing Forward Process

$$q(\mathbf{x}_t \mid \mathbf{x}_0) = \mathcal{N}\left(\sqrt{\bar{\alpha}_t} \mathbf{x}_0, (1 - \bar{\alpha}_t) \mathbf{I}\right)$$

$$\begin{aligned}\alpha_t &= 1 - \beta_t \\ \bar{\alpha}_t &= \prod_{i=1}^t \alpha_i\end{aligned}$$

1. Sample an image from the dataset:



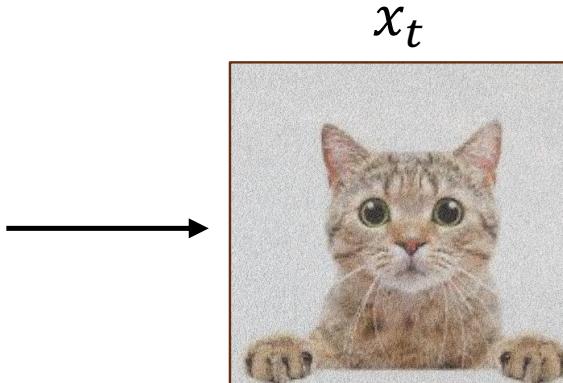
2. Sample noise  $\epsilon \sim N(0, \mathbf{I})$  (from a **standard** normal distribution)

3. Scale the image by  $\sqrt{\bar{\alpha}_t}$ :  $\sqrt{\bar{\alpha}_t} x_0$

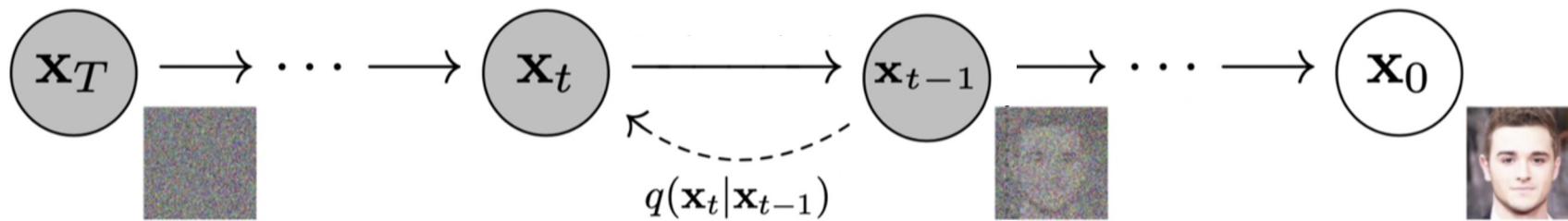
where  $\alpha_t = 1 - \beta_t$

$$\bar{\alpha}_t = \prod_{i=1}^t \alpha_i$$

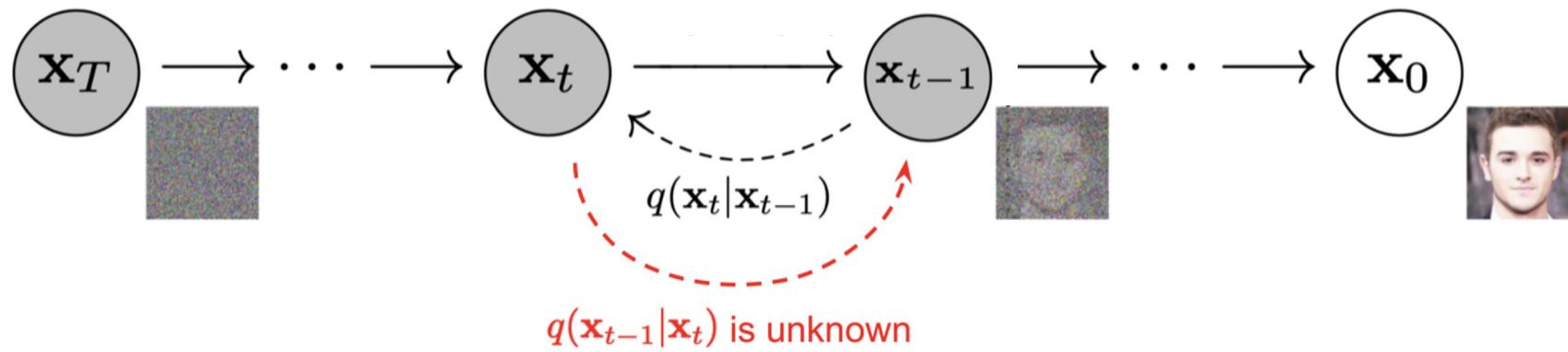
4. Add  $\sqrt{1 - \bar{\alpha}_t} \epsilon$ :  $\sqrt{\bar{\alpha}_t} x_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon$



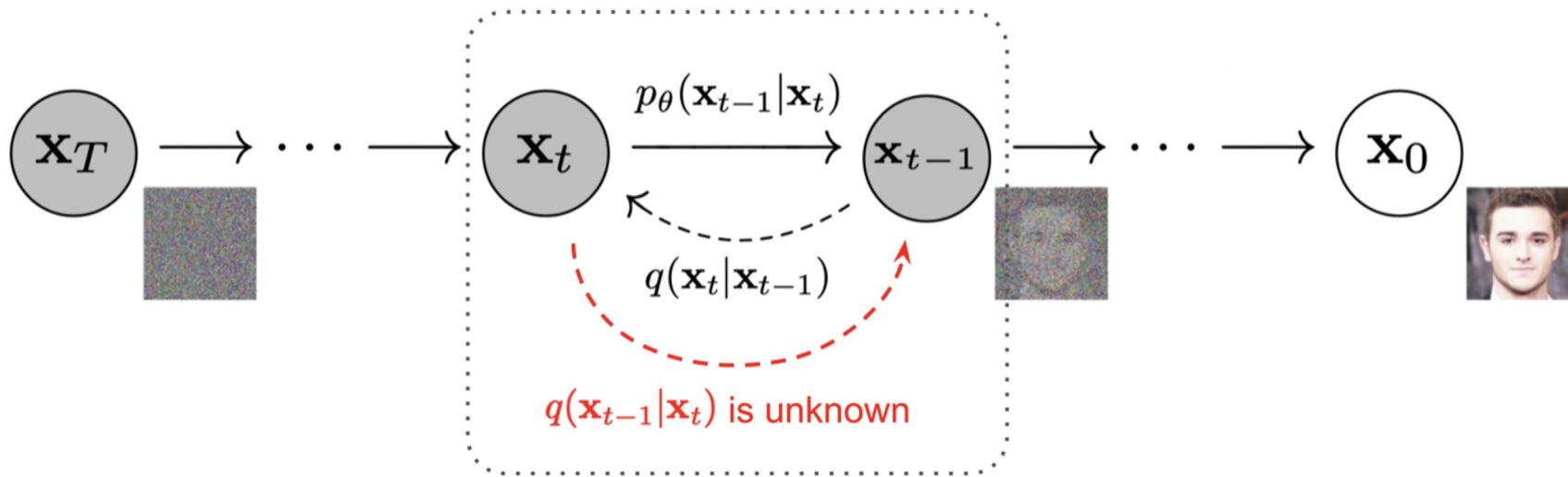
## Reverse Process



## Reverse Process

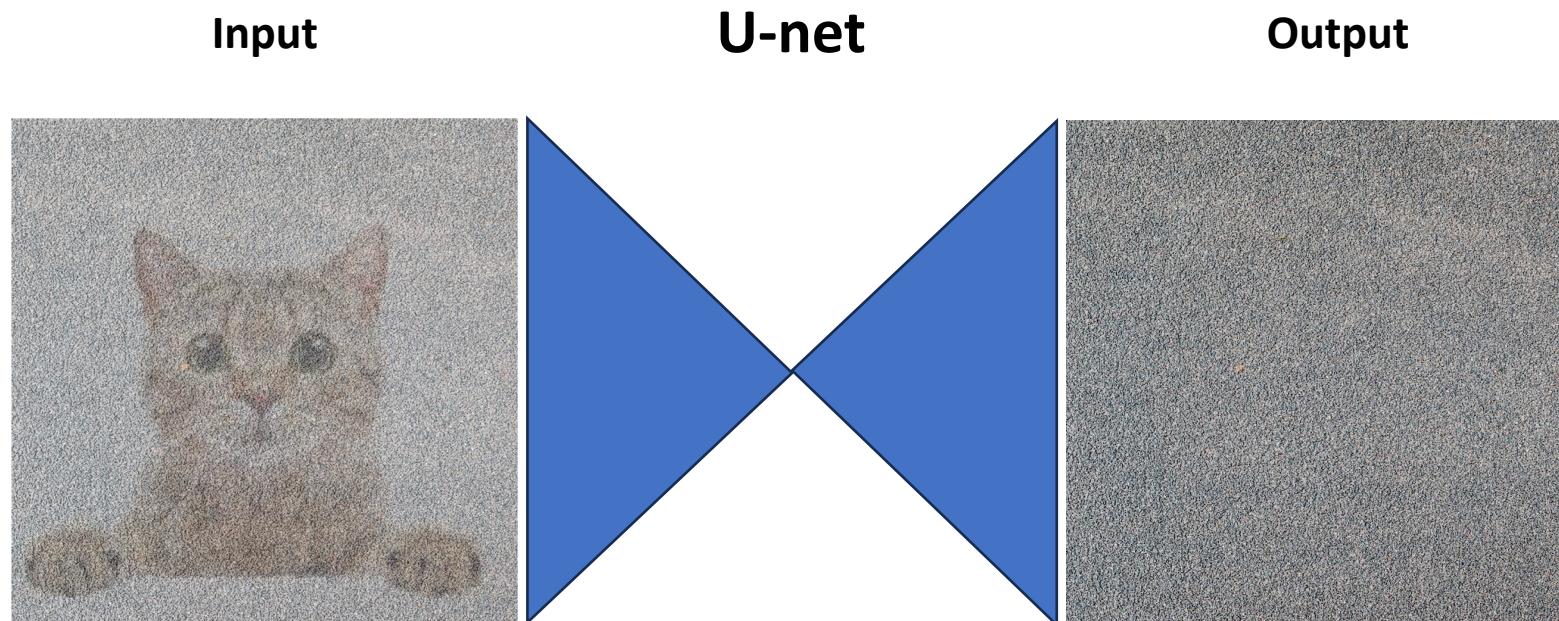


# Reverse Process



- The goal of a diffusion model is to **learn** the reverse *denoising* process to iteratively **undo** the forward process
- In this way, the reverse process appears as if it is generating new data from random noise!

# Neural Network that predicts noise



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## Algorithm 1 Training

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```
1: repeat
2:    $\mathbf{x}_0 \sim q(\mathbf{x}_0)$ 
3:    $t \sim \text{Uniform}(\{1, \dots, T\})$ 
4:    $\boldsymbol{\epsilon} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 
5:   Take gradient descent step on
      
$$\nabla_{\theta} \|\boldsymbol{\epsilon} - \boldsymbol{\epsilon}_{\theta}(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \boldsymbol{\epsilon}, t)\|^2$$

6: until converged
```

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## Algorithm 2 Sampling

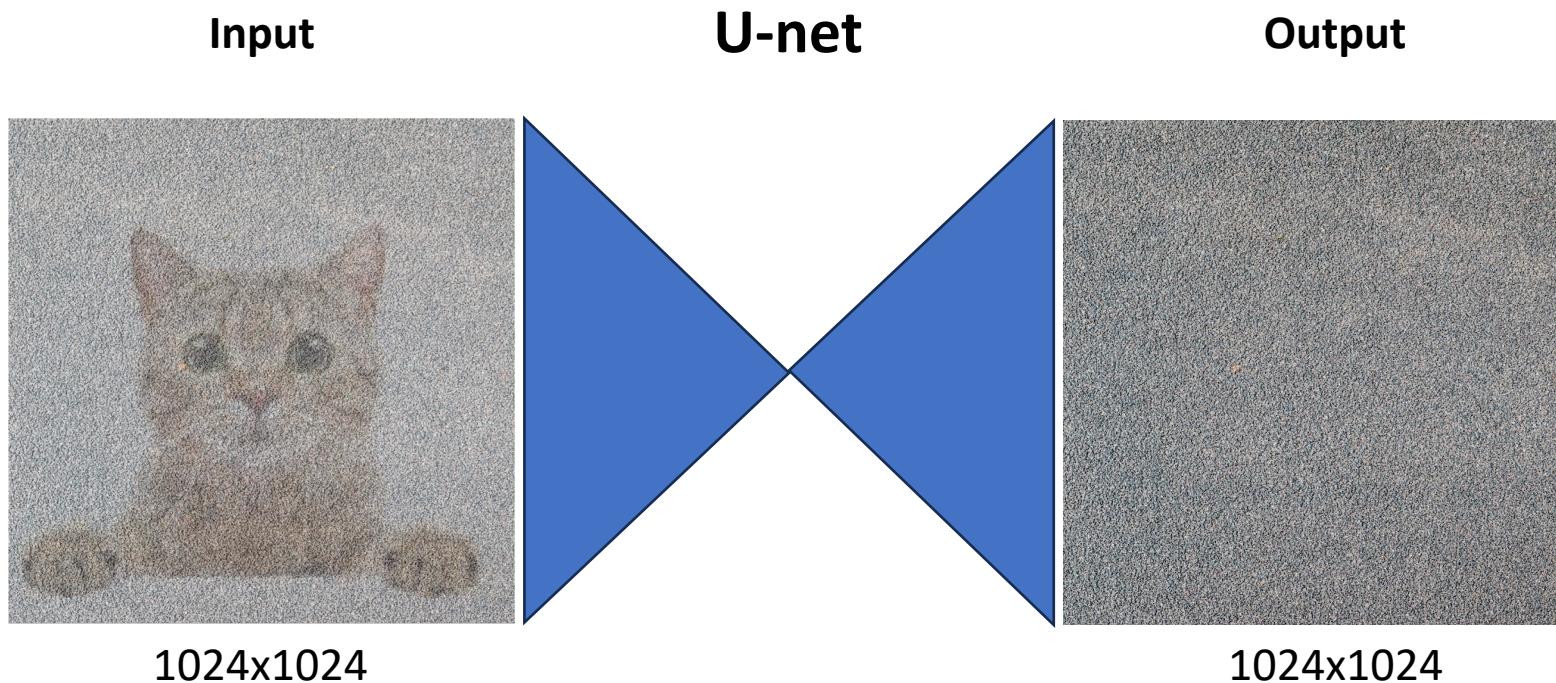
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```
1:  $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 
2: for  $t = T, \dots, 1$  do
3:    $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$  if  $t > 1$ , else  $\mathbf{z} = \mathbf{0}$ 
4:    $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t - \frac{1 - \alpha_t}{\sqrt{1 - \alpha_t}} \boldsymbol{\epsilon}_{\theta}(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$ 
5: end for
6: return  $\mathbf{x}_0$ 
```

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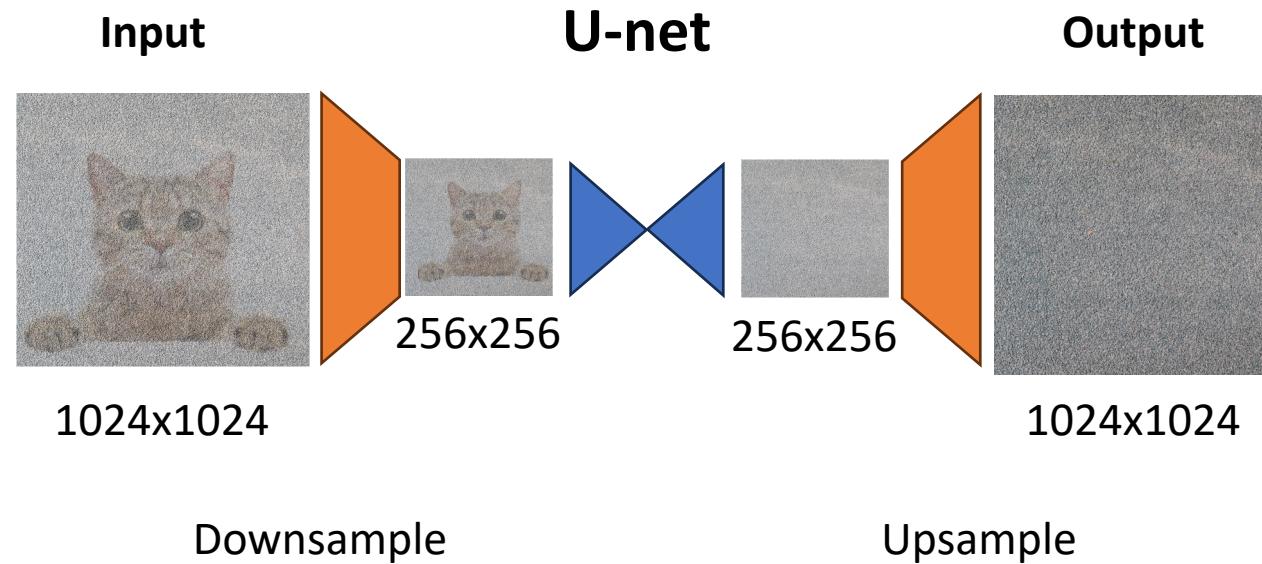
Denoising Diffusion Probabilistic Models (DDPM)

## U-net Problem

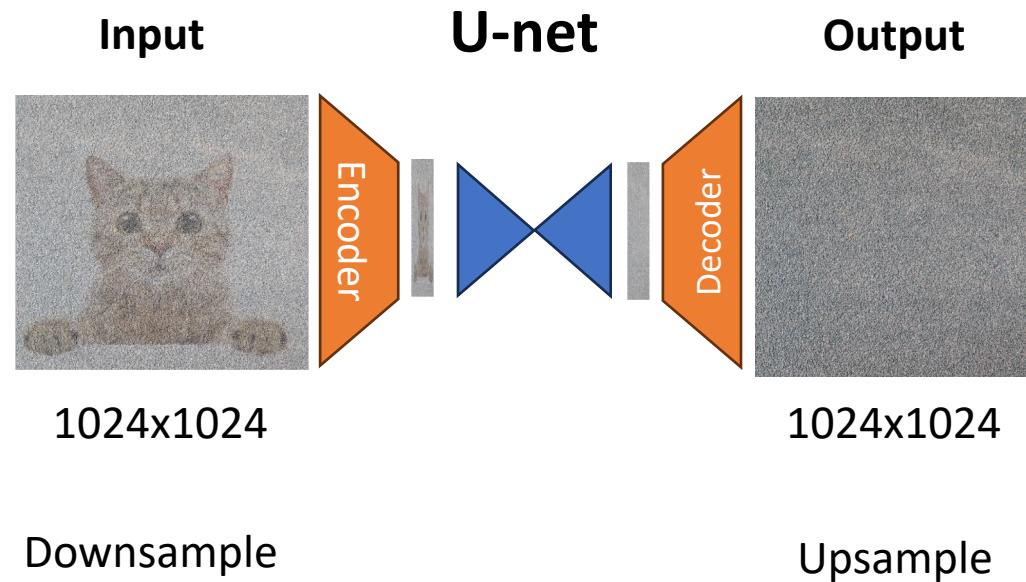


Problem: operating in the input space is very computationally expensive!

## Option #1: Generate Low-Resolution + Upsample



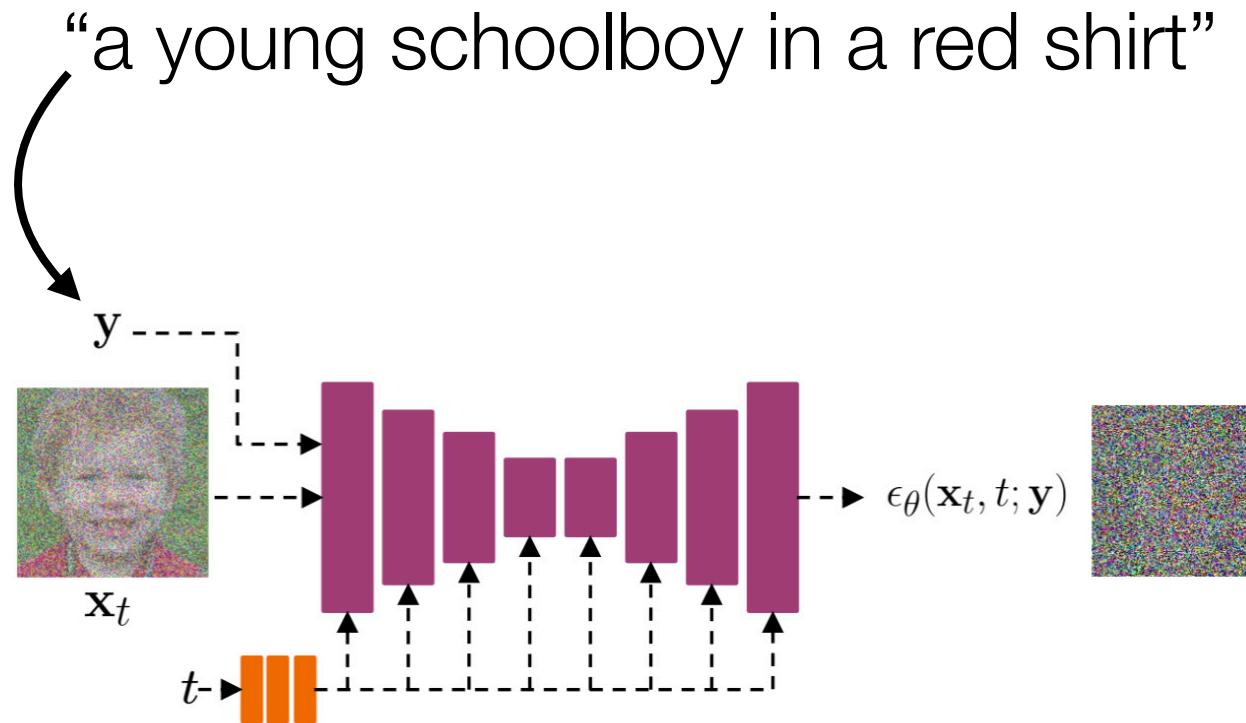
## Option #2: Generate in Latent Space



# Controlling diffusion model

- Explicit conditioning
- Classifier-free guidance

# Explicit Conditioning



# Explicit Conditioning

How do we train this?

Use an Image-Text dataset (for example, LAION 5B)

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## Algorithm 1 Training

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- 1: **repeat**
  - 2:    $\mathbf{x}_0 \sim q(\mathbf{x}_0)$
  - 3:    $t \sim \text{Uniform}(\{1, \dots, T\})$
  - 4:    $\boldsymbol{\epsilon} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
  - 5:   Take gradient descent step on  
      
$$\nabla_{\theta} \left\| \boldsymbol{\epsilon} - \boldsymbol{\epsilon}_{\theta}(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \boldsymbol{\epsilon}, t) \right\|^2$$
  - 6: **until** converged
- 

Unconditional

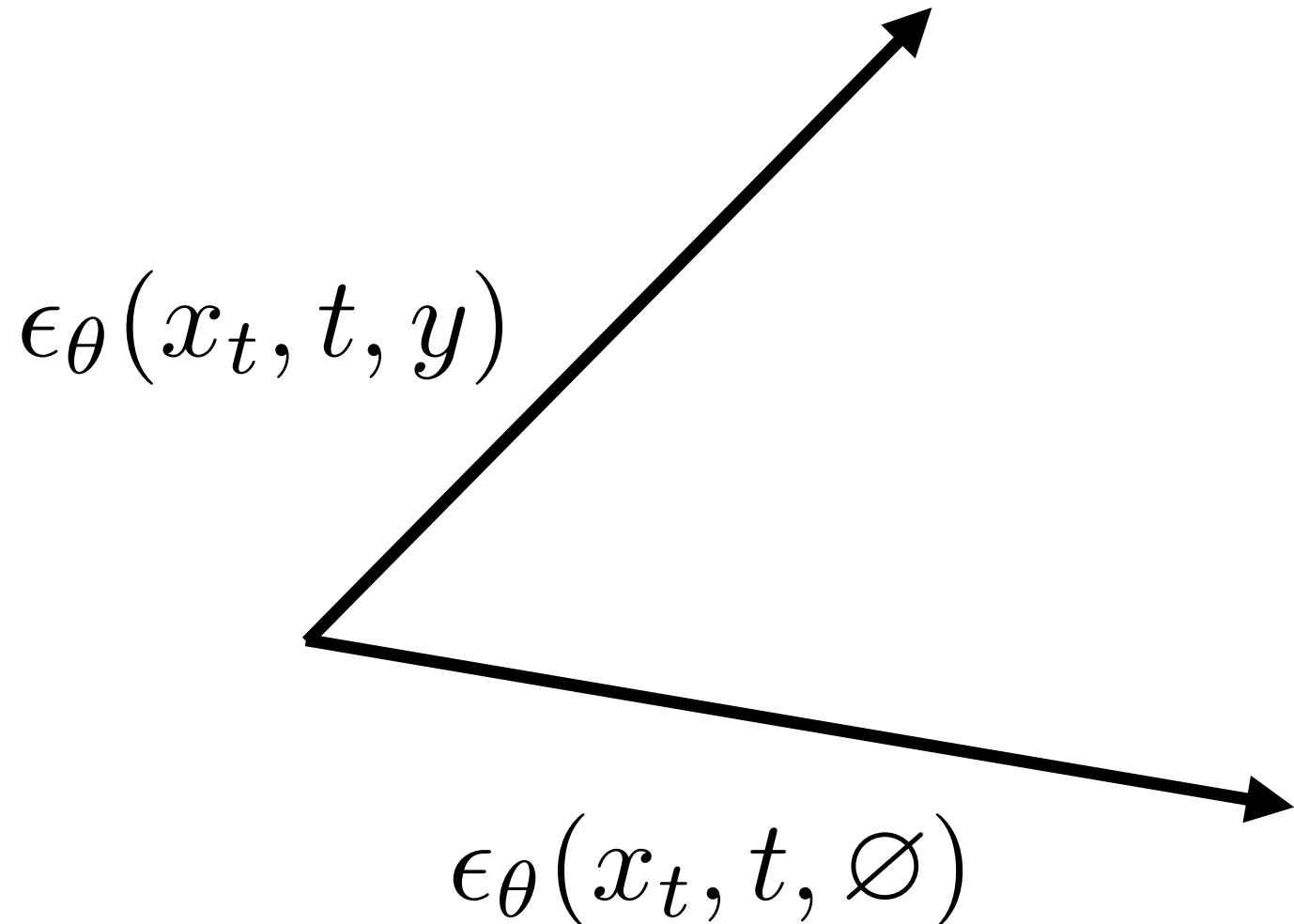
# Classifier Free Guidance

Train an explicitly conditioned diffusion model:  $\epsilon_\theta(x_t, t, y)$

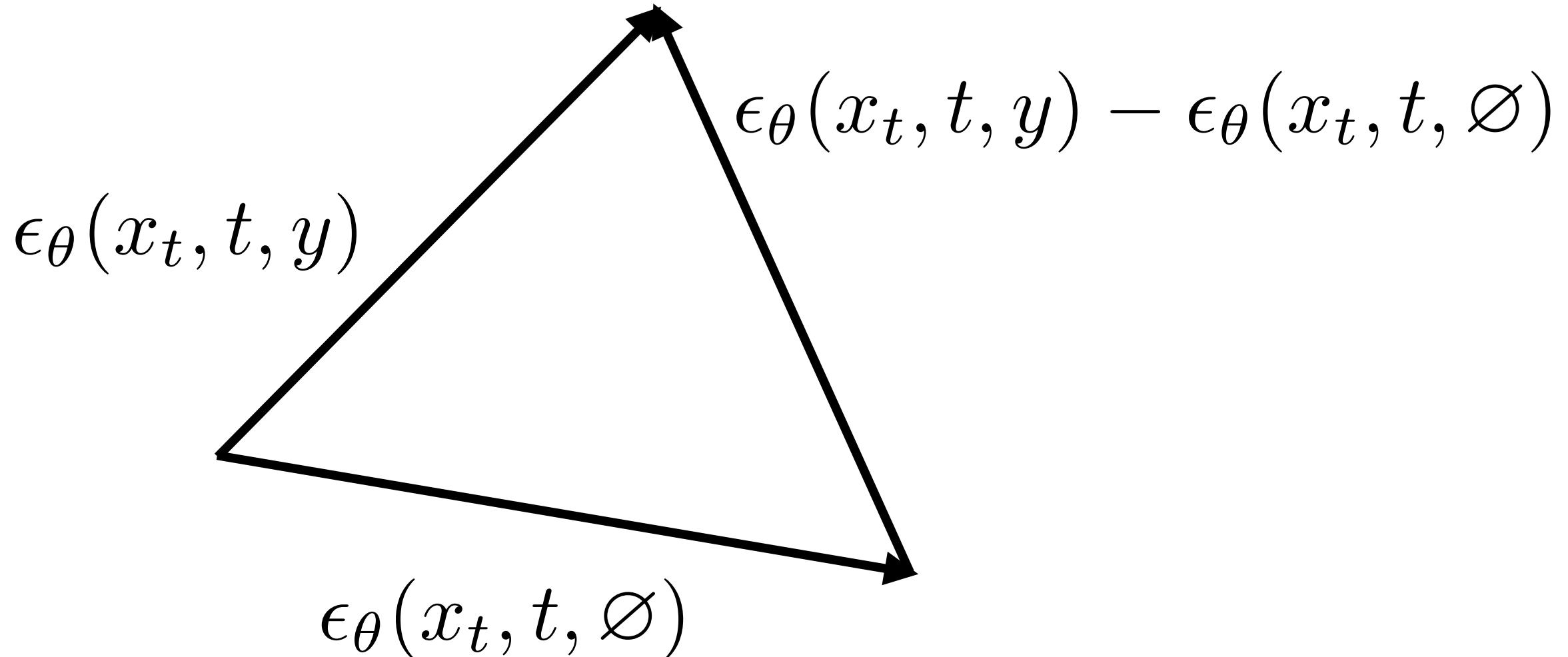
But also train it to be **unconditional**

We can do this with *conditioning dropout*:  $\epsilon_\theta(x_t, t, \emptyset)$

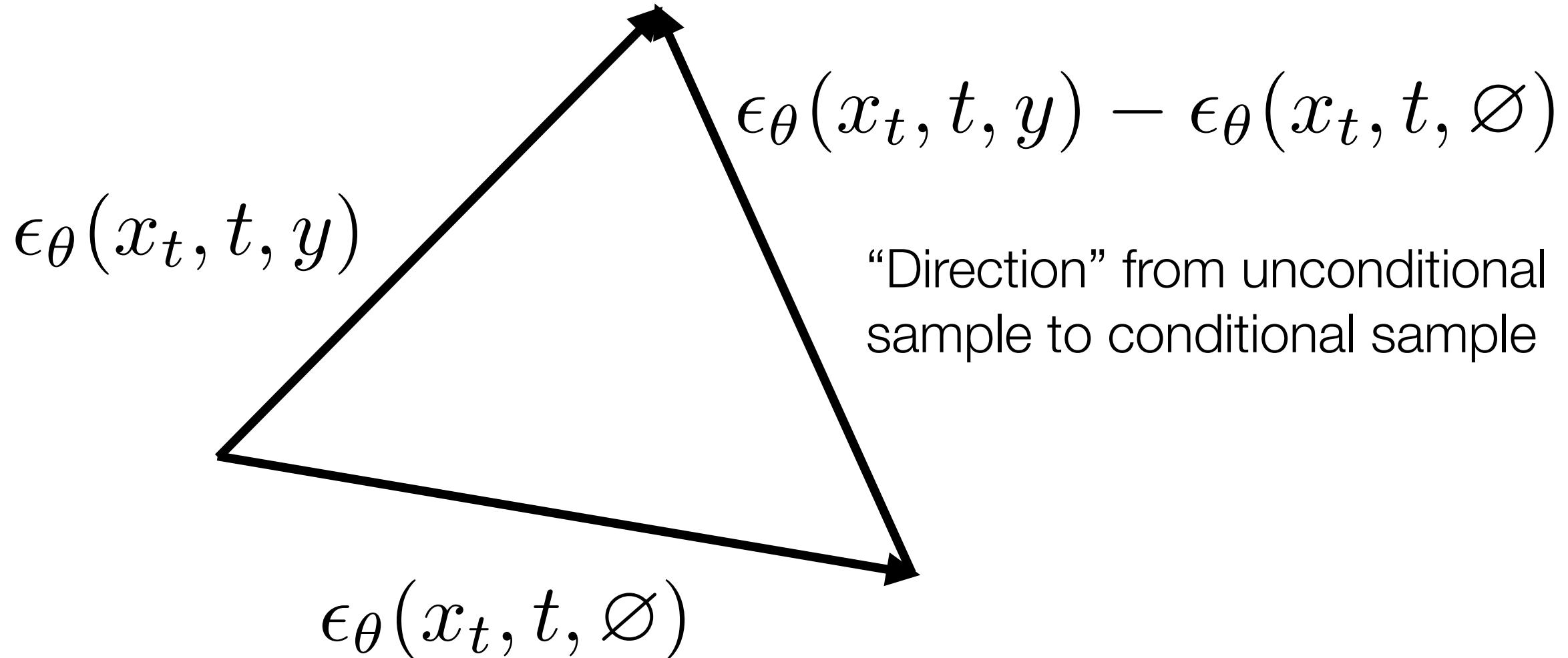
# Classifier Free Guidance



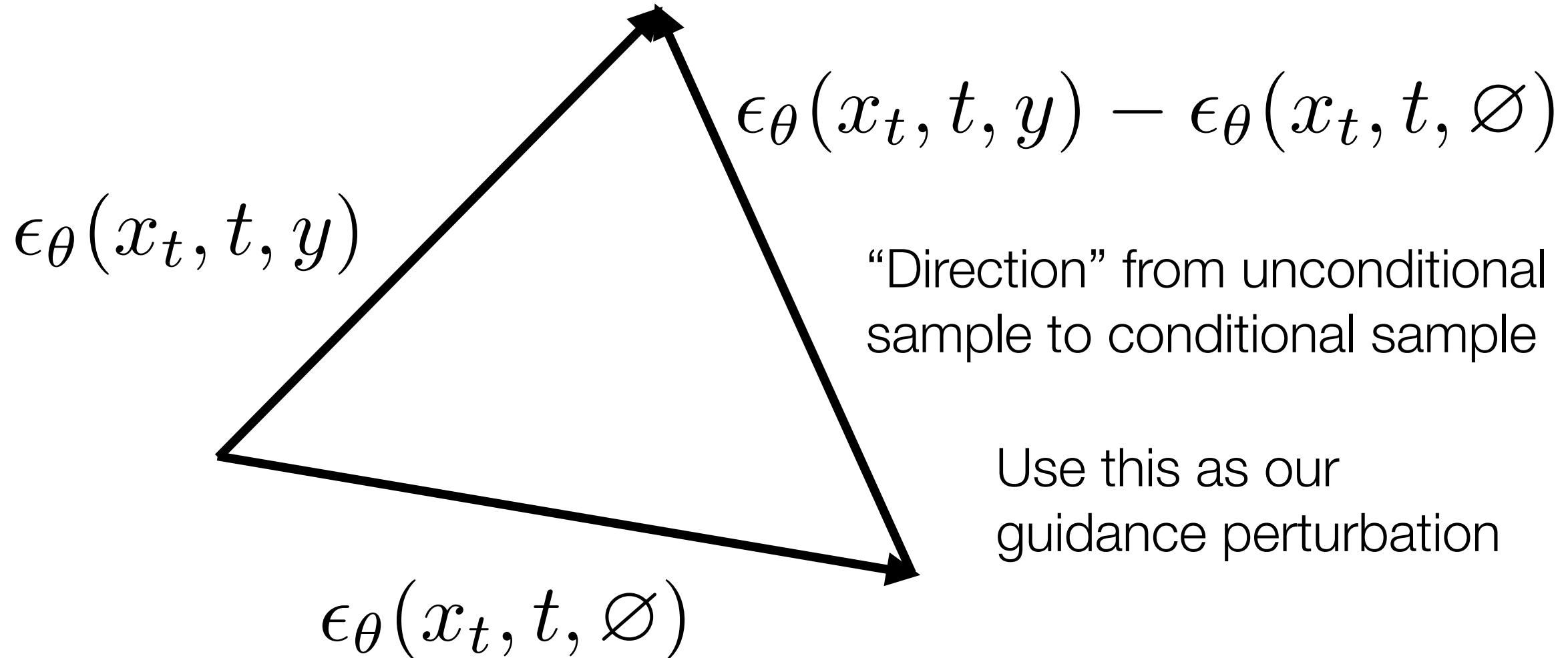
# Classifier Free Guidance



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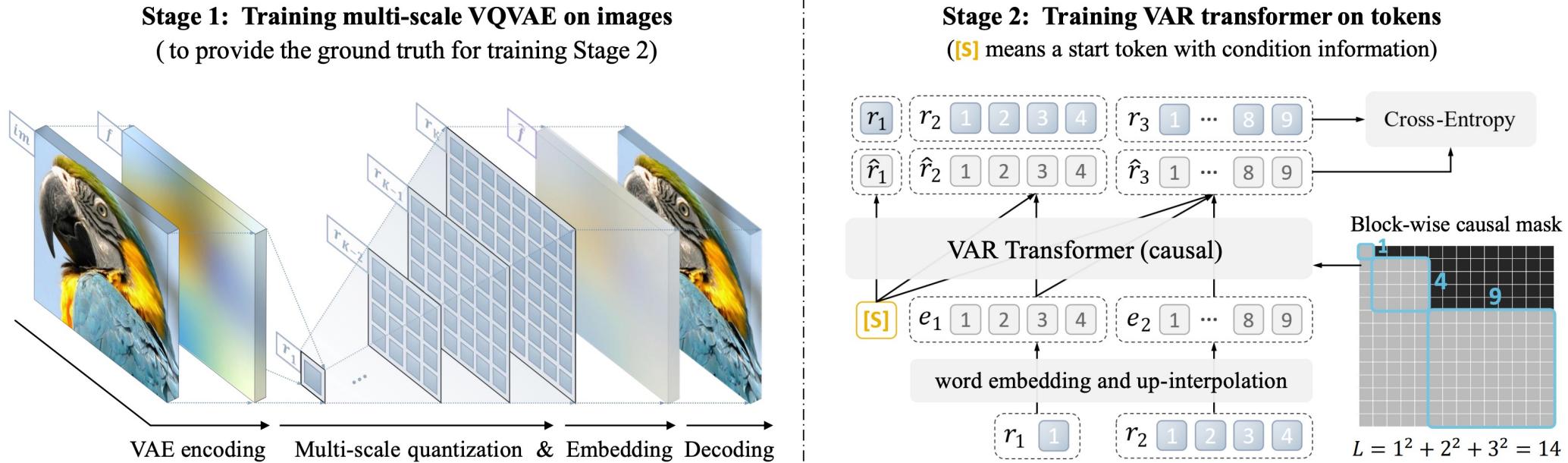
# Classifier Free Guidance

Our new noise estimate will then be:

$$\tilde{\epsilon}(x_t, t, y) = \epsilon_\theta(x_t, t, \emptyset) + \gamma(\epsilon_\theta(x_t, t, y) - \epsilon_\theta(x_t, t, \emptyset))$$


“Direction” from unconditional to conditional

# Visual AutoRegressive modeling



**Figure 4: VAR involves two separated training stages.** **Stage 1:** a multi-scale VQ autoencoder encodes an image into  $K$  token maps  $R = (r_1, r_2, \dots, r_K)$  and is trained by a compound loss (5). For details on “Multi-scale quantization” and “Embedding”, check Algorithm 1 and 2. **Stage 2:** a VAR transformer is trained via next-scale prediction (6): it takes  $([s], r_1, r_2, \dots, r_{K-1})$  as input to predict  $(r_1, r_2, r_3, \dots, r_K)$ . The attention mask is used in training to ensure each  $r_k$  can only attend to  $r_{\leq k}$ . Standard cross-entropy loss is used.

# Image Editing

**Input Image**



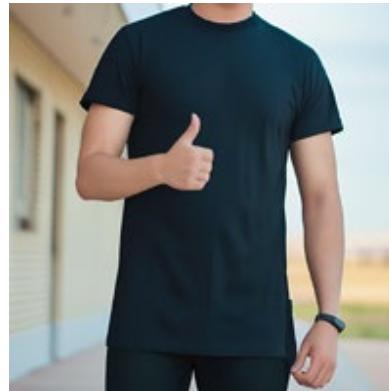
**Edited Image**



**Input Image**



**Edited Image**



**Input Image**



**Edited Image**



**Target Text:**

“A bird spreading wings”

“A person giving the thumbs up”

“A goat jumping over a cat”

$$\mathcal{L}(\mathbf{x}, \mathbf{e}, \theta) = \mathbb{E}_{t, \epsilon} \left[ \|\epsilon - f_\theta(\mathbf{x}_t, t, \mathbf{e})\|_2^2 \right]$$

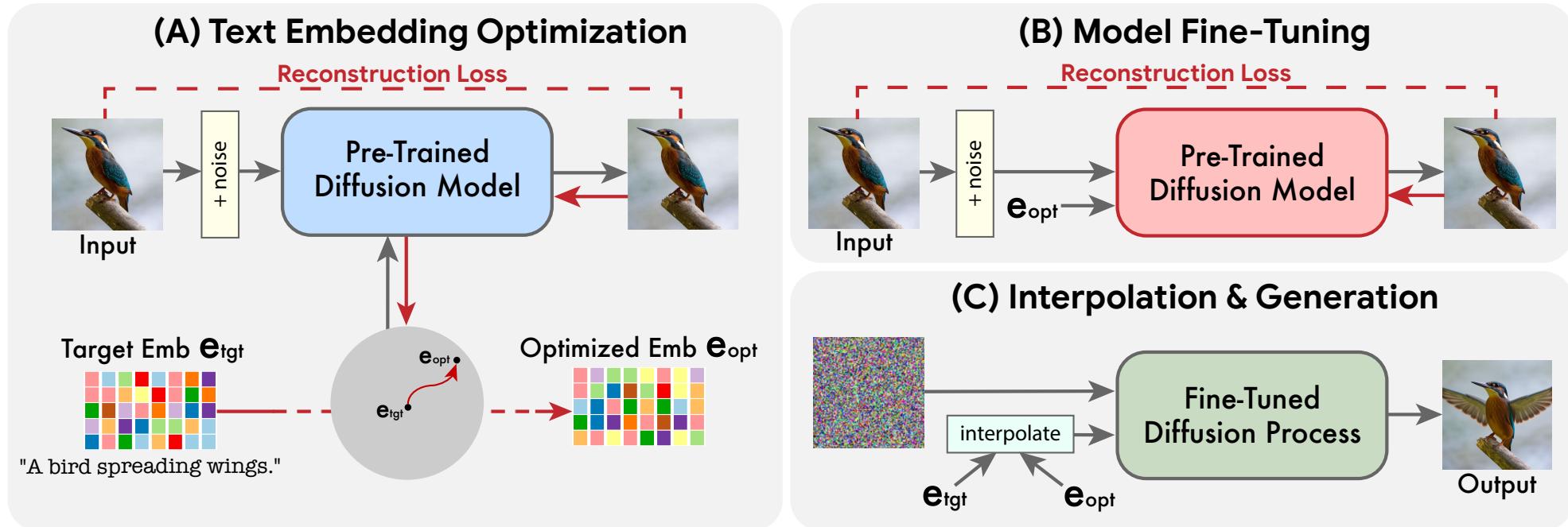


Figure 3. **Schematic description of *Imagic*.** Given a real image and a target text prompt: (A) We encode the target text and get the initial text embedding  $\mathbf{e}_{tgt}$ , then optimize it to reconstruct the input image, obtaining  $\mathbf{e}_{opt}$ ; (B) We then fine-tune the generative model to improve fidelity to the input image while fixing  $\mathbf{e}_{opt}$ ; (C) Finally, we interpolate  $\mathbf{e}_{opt}$  with  $\mathbf{e}_{tgt}$  to generate the final editing result.

$$\bar{\mathbf{e}} = \eta \cdot \mathbf{e}_{tgt} + (1 - \eta) \cdot \mathbf{e}_{opt}$$