

# Lecture Notes for **Machine Learning in Python**

Professor Eric Larson  
**Transformer Demo + Positional Encoding**

# Lecture Agenda

- Logistics
  - Grading Update
  - Sequential Networks due **see canvas**
- Agenda
  - Sequential Networks Demo
    - Extended Demo
  - Final Town Hall
  - (if time) More effective position encoding
  - (if time) More efficient attention
  - Next time (if not behind):
    - Finish above lecture topics
    - Retrospective and Evaluations

# Class Overview, by topic

Table Data  
Visualization

Numpy, Pandas, Seaborn  
Overviews with some in-depth discussion

Dimension  
Reduction and  
Image Processing

Scikit-learn, Scikit Image,  
Intuition only, Some mathematics

Linear and  
Logistic  
Regression

Numpy, Recreate API for Scikit-learn  
Detailed mathematics for simple optimization  
intuition for advanced optimization

Neural Networks  
and Back Prop.

Numpy  
Detailed mathematics for NN operations

Wide and Deep  
Networks

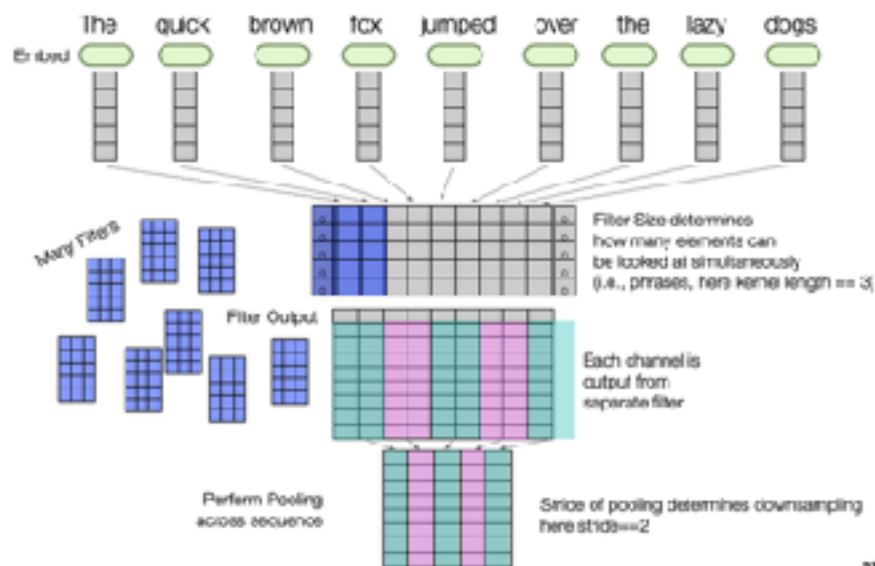
Convolutional  
Networks

Sequential  
Networks

Keras, Tensorflow  
Intuition, Detailed implement.

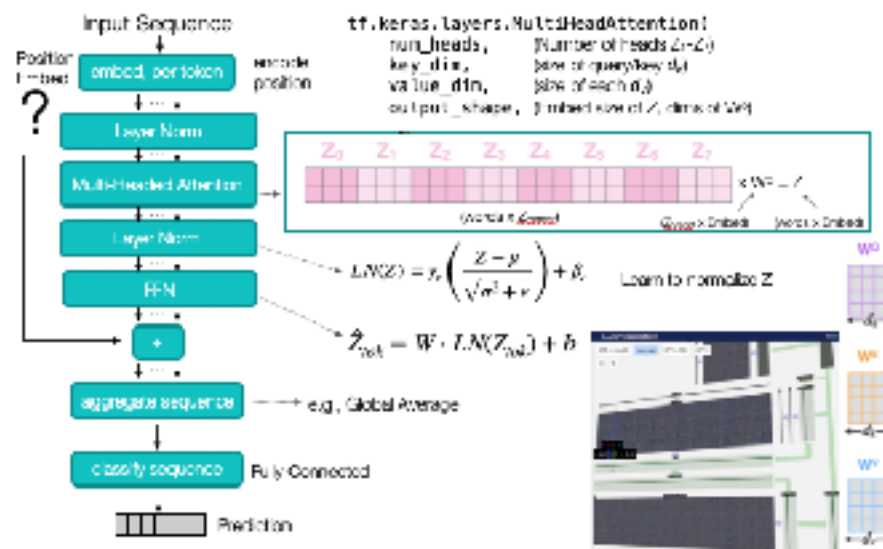
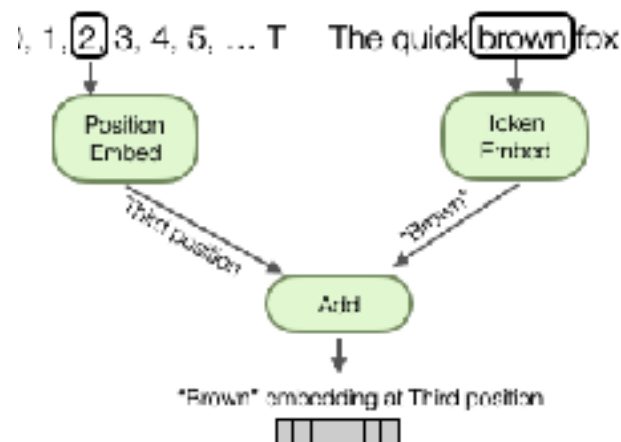
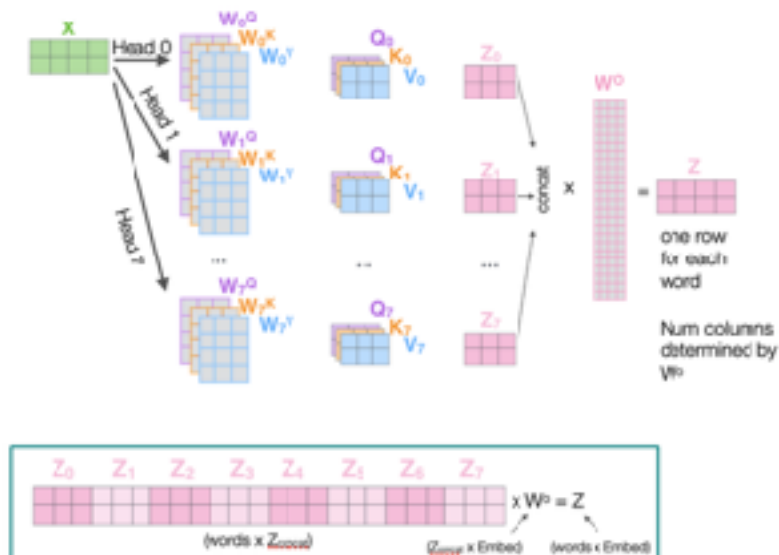
Ethics

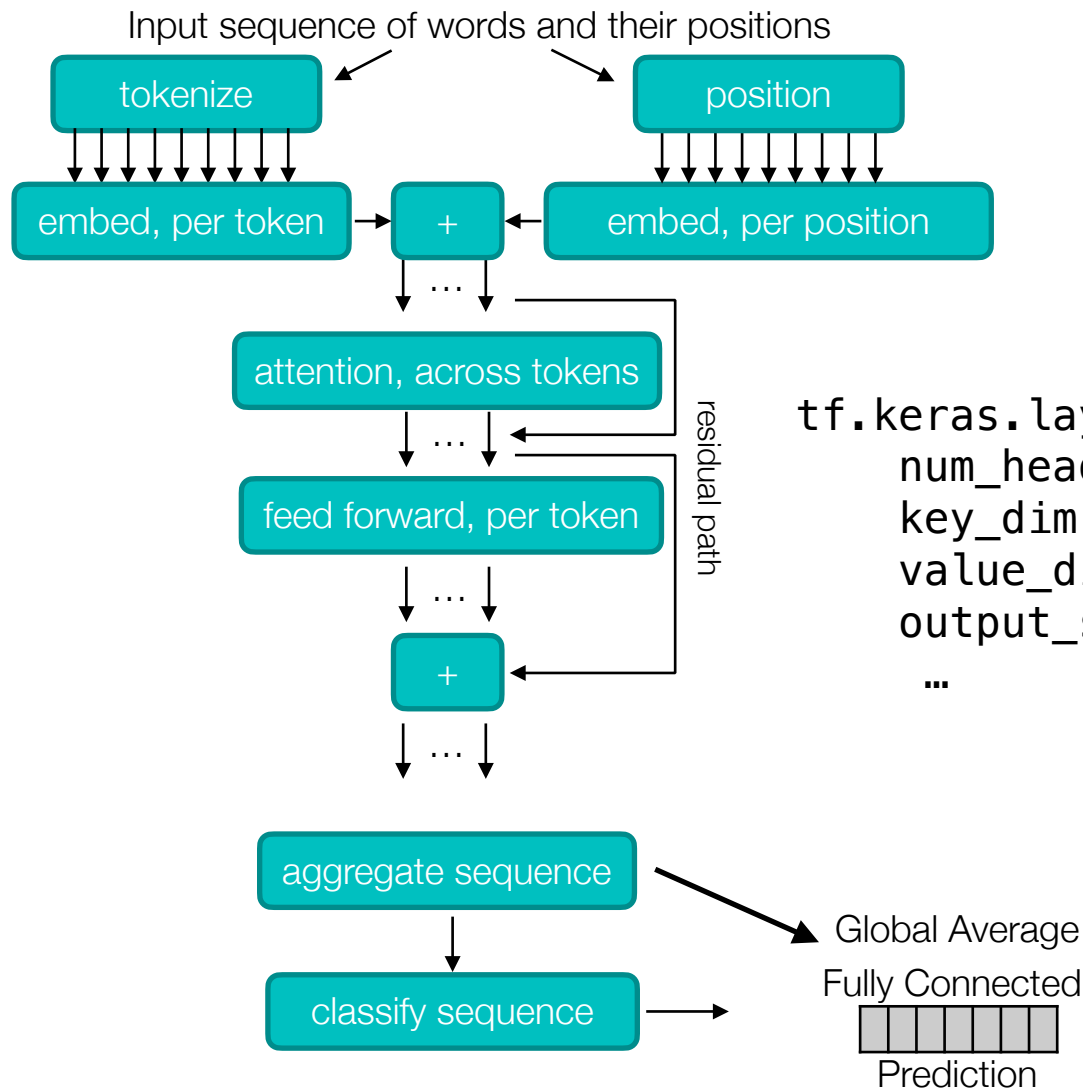
# Last Time



37

Thinking Machines





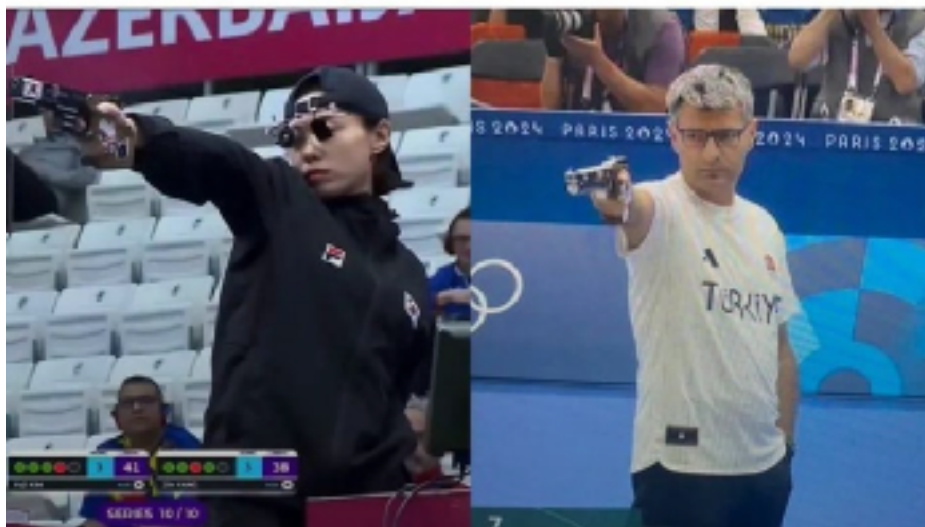
```
tf.keras.layers.MultiHeadAttention(  
    num_heads,      (Number of heads  $Z_1-Z_7$ )  
    key_dim,        (size of query/key  $d_k$ )  
    value_dim,      (size of each  $d_v$ )  
    output_shape,   (Embed size of  $Z$ , dims of  $W^o$ )  
    ...
```

The Transformer and 20 news groups with GloVe  
13a. Sequence Basics [Experimental].ipynb

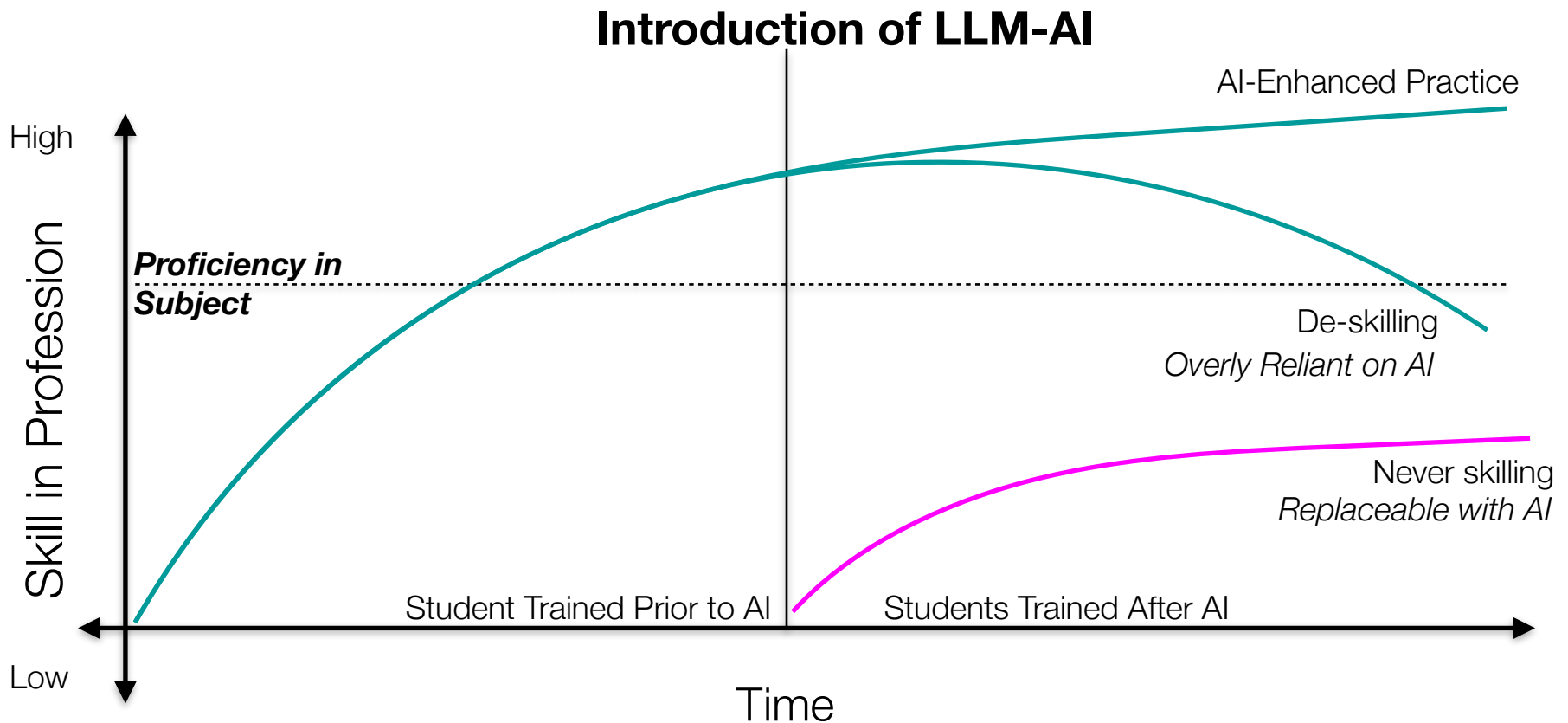
# Sequential Networks Town Hall

CNN, RNN, LSTM, GAN,  
Test time data,  
Early stopping,  
Data augmentation,  
Dropout, Batch norm,  
Gradient clipping

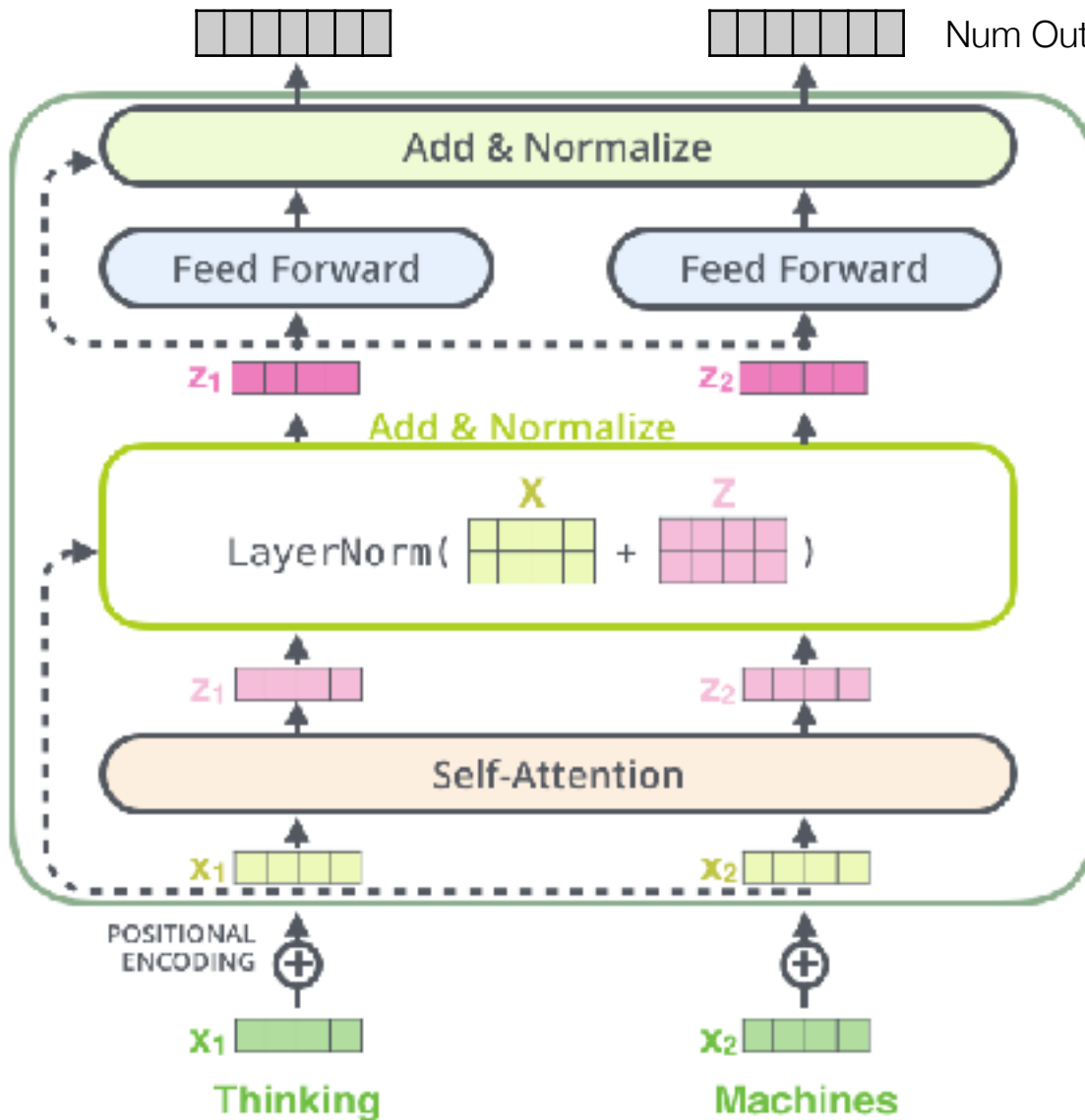
Attention



# Positional Encoding



# Transformer for Sequence Classification



Num Outputs is Same as Inputs

**Do these outputs change, if the input sequence changes order?**

The order of vectors will change, but not the values of each vector...

$$\text{softmax} \left( \frac{Q \cdot K^T}{\sqrt{d_k}} \right) \cdot V$$

$V_{(1:L)}$

$Q_{(1:L)}$

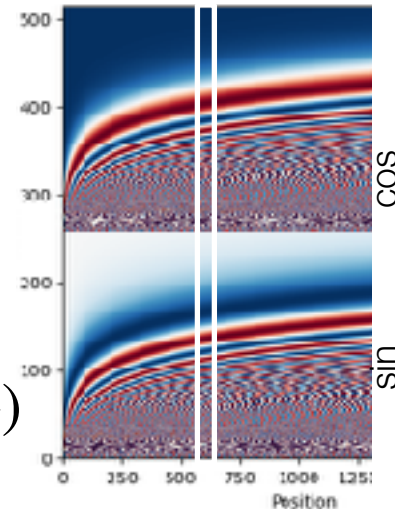
$K_{(1:L)}$

$X_{(1:L)}$



# Transformer: First Positional Encoding

- Objective: add notion of position to embedding
- Attempt in paper: add sin/cos to embedding

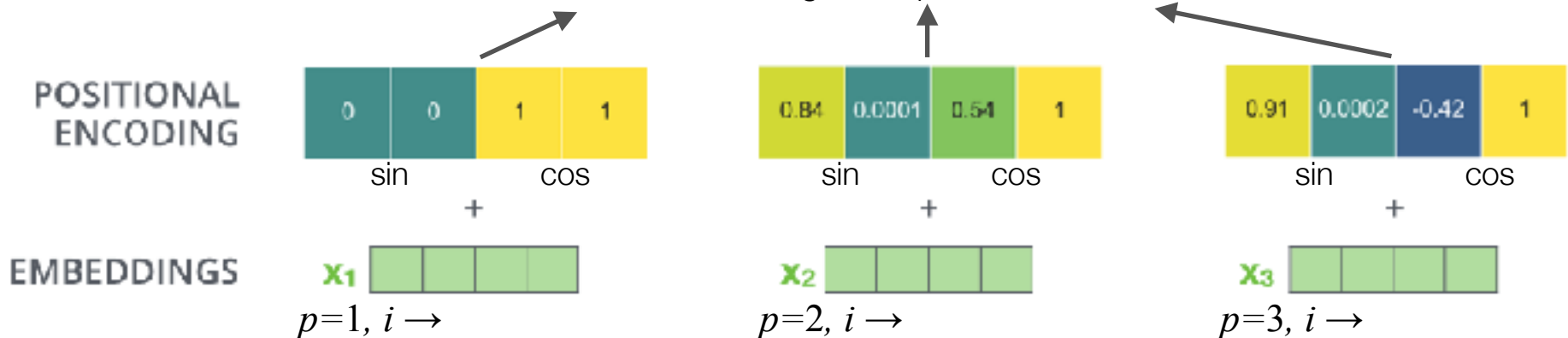


$p$ : in sequence  
 $d_m$ : 1/2 dim of embed  
 $i$  = index in vector

$$PE_{(p,i \in 0 \dots d_m - 1)} = \sin(p/10000^{i/d_m})$$

$$PE_{(p,i \in d_m \dots 2d_m)} = \cos(p/10000^{(i-d_m)/d_m})$$

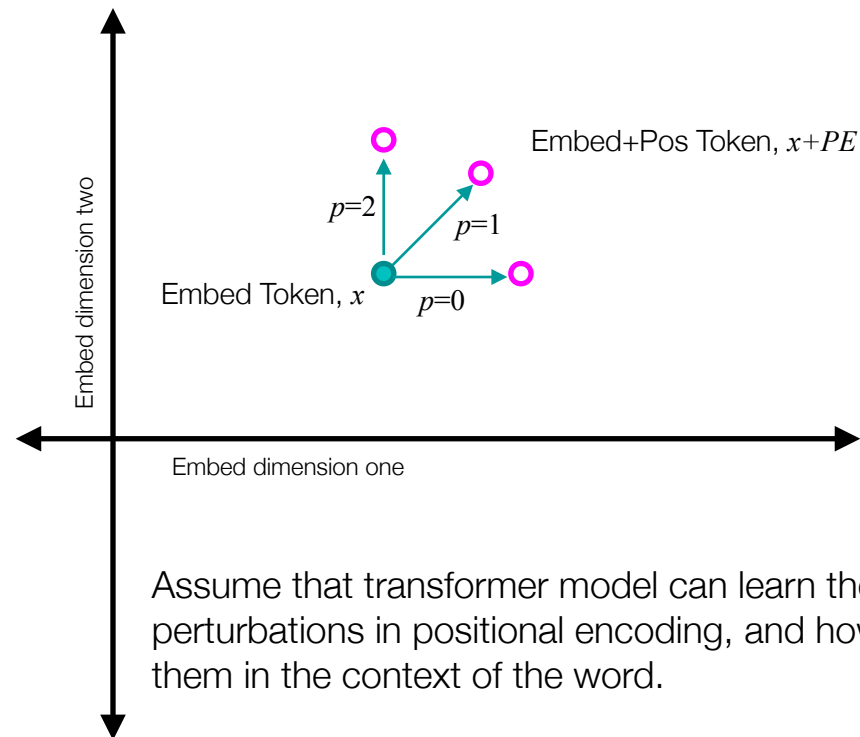
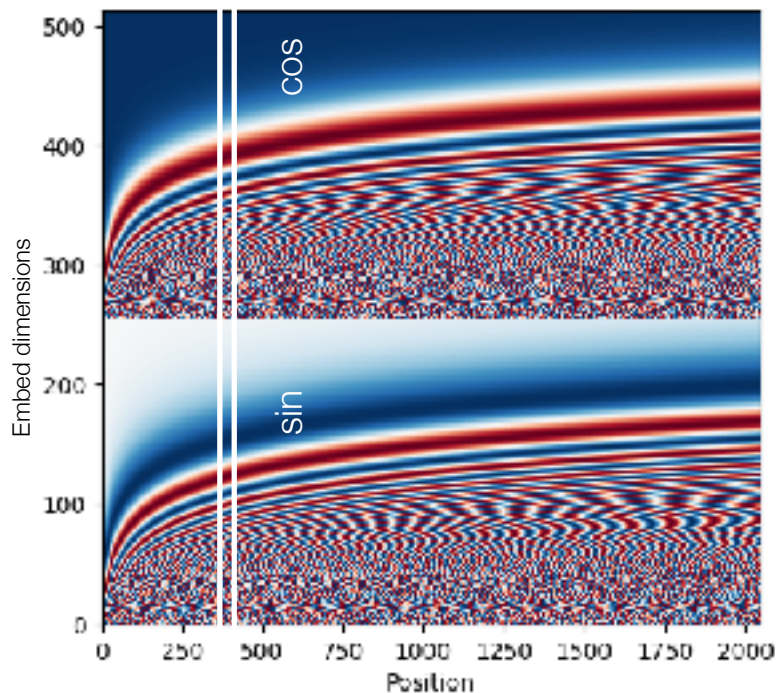
Now use the new embeddings, with position, into transformer architecture



**Hypothesis:** Now the word proximity is encoded in the embedding matrix, with other pertinent information. Well, it does help... so it could be true that this is a good way to do it.

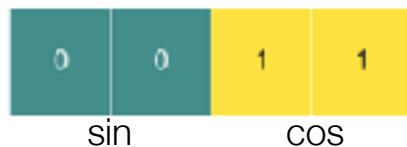
**Excellent Blog on Transformers:** <http://jalamar.github.io/illustrated-transformer/>

# Positional Intuition, Geometrically



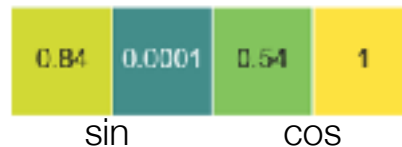
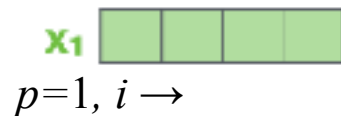
Assume that transformer model can learn the small perturbations in positional encoding, and how to use them in the context of the word.

POSITIONAL  
ENCODING

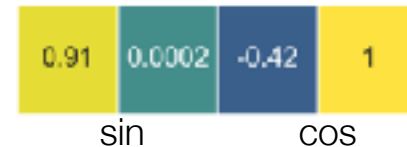
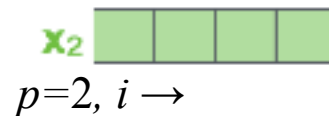


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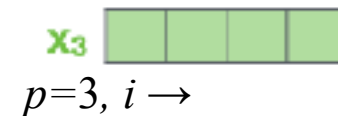
EMBEDDINGS



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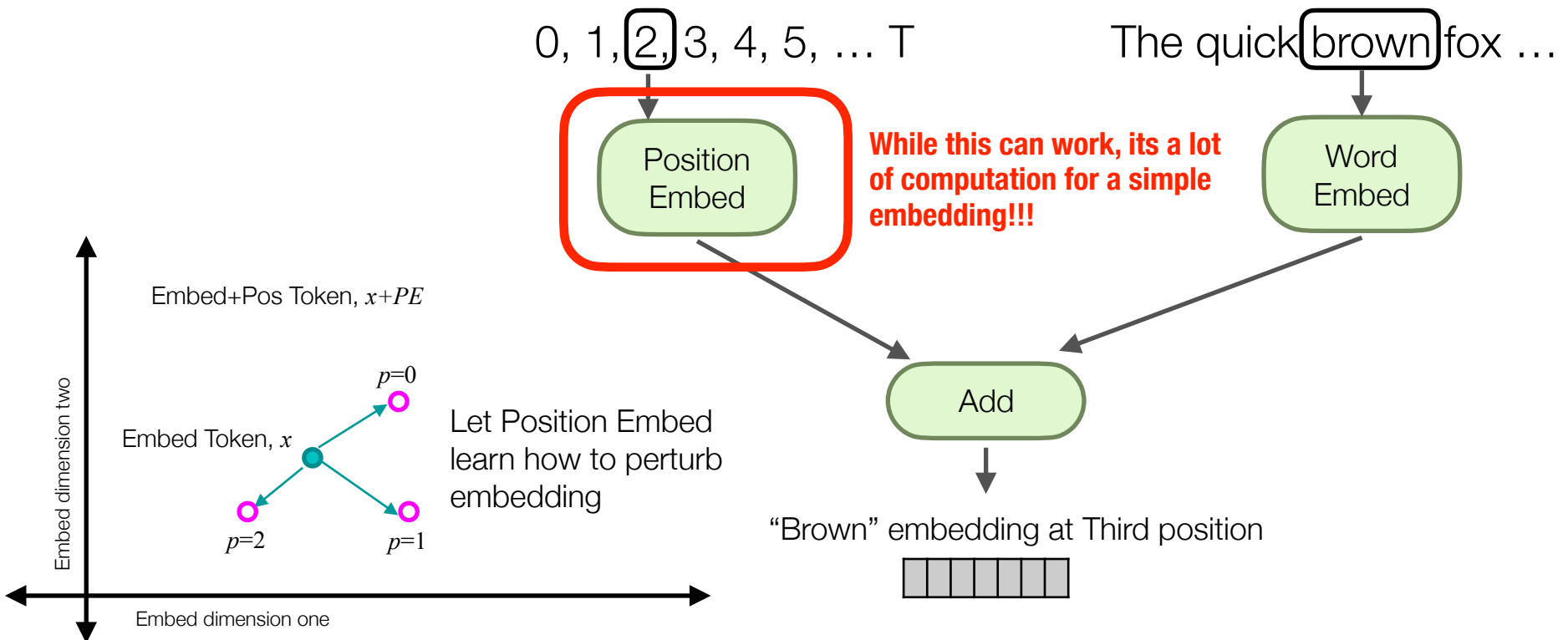


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# Transformer: Positional Embedding

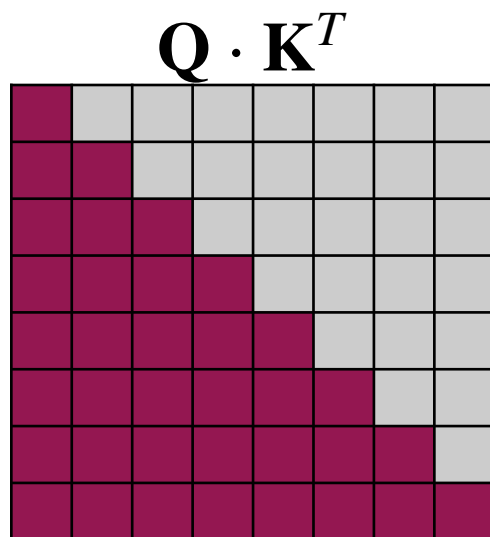
- Objective: add notion of position to embedding
- Attempt in original paper: add sin/cos to embedding
- **But could be anything that encodes position, like:**



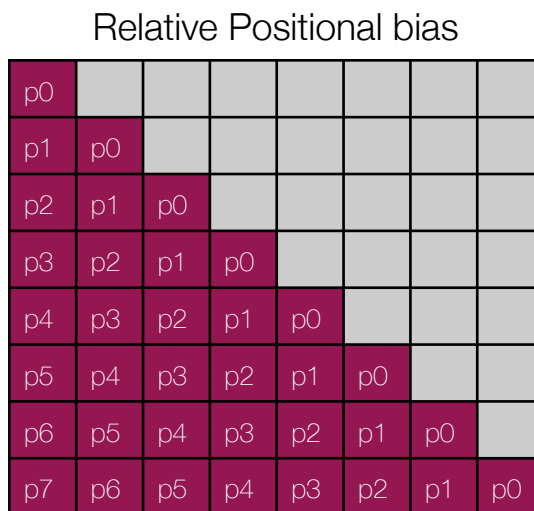
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# Relative Positional Encoding

- Relative position encoding:  
add relative words differences into  $\mathbf{Q} \cdot \mathbf{K}^T$



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- (+) nicely structured position information
- (-) Slow, lots more memory
- (-) fragments ops further, more KV cache misses

- How might we still encode relative position, without all the overhead?**