# **EclipseLink**

Understanding EclipseLink Release 2.5

December 2012

Beta Draft



#### EclipseLink Concepts Guide

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# **Preface**

EclipseLink delivers a proven standards based enterprise Java solution for all of your relational, XML, and JSON persistence needs based on high performance and scalability, developer productivity, and flexibility in architecture and design.

### **Audience**

This document is intended for application developers and administrators who want to know more about the concepts behind EclipseLink and its features.

# **Related Documents**

For more information, see the following documents in the EclipseLink documentation set:

- Solutions Guide for EclipseLink
- Java Persistence API (JPA) Extensions Reference for EclipseLink
- Developing Persistence Architectures Using EclipseLink MOXy Developer's Guide
- Developing Persistence Architectures Using EclipseLink Database Web Services Developer's Guide
- Java API Reference for EclipseLink
- Java Persistence specification for complete information about JPA http://jcp.org/en/jsr/detail?id=317

### **Conventions**

The following text conventions are used in this document:

| Convention | Meaning  |
|------------|--|
| boldface   | Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.         |
| italic     | Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.                          |
| monospace  | Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter. |

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# Overview of EclipseLink

This chapter describes EclipseLink and its key features: the components that are included with EclipseLink, metadata, application architectures, mappings, and the API.

This chapter contains the following sections:

- Understanding EclipseLink
- **Key Features**
- **New Features**
- **Key Concepts**
- **Key Components**
- **Key Tools**

# 1.1 Understanding EclipseLink

EclipseLink links object-oriented programs with relational data structures. Using EclipseLink, you can build high-performance applications that store persistent object-oriented data in a relational database. EclipseLink successfully transforms object-oriented data into either relational data or XML documents. You can integrate persistence and object-transformation into your application, while staying focused on your primary domain problem by taking advantage of an efficient, flexible, and field-proven solution.

EclipseLink is an open source implementation of the Java Persistence API (JPA) specification that includes extensions beyond what is defined in JPA. EclipseLink also includes the Java API for XML Binding (JAXB) specification. These extensions include persistence unit properties, query hints, annotations, and custom API. The EclipseLink extensions for XML metadata are contained in MOXy, an EclipseLink component that enables you to bind Java classes to XML schemas. For a more detailed description of the contents of EclipseLink, see Section 1.5, "Key Components."

EclipseLink can be used with a wide range of Java Enterprise Edition (Java EE) and Java application architectures. Use EclipseLink to design, implement, deploy, and optimize an advanced, object-persistence and object-transformation layer that supports a variety of data sources and formats, including the following:

- JPA—For object-relational persistence, supporting the JPA (Java Persistence API) specification and a native API
- NoSQL—For object persistence of non-relational NoSQL and EIS databases through JPA and a native API

- JAXB—For object-XML transformation, supporting the JAXB (Java Architecture for XML Binding) specification and a native API
- JSON—For object-JSON (JavaScript Object Notation) mapping
- DBWS—For generation of web services from database tables and stored procedures

EclipseLink supports Java persistence in Java EE, Java SE and web containers including integration with various application servers including:

- Oracle WebLogic Server
- Oracle Glassfish Server
- **IBoss Web Server**
- IBM WebSphere application server
- SAP NetWeaver
- Oracle Containers for Java EE (OC4J)
- Various other web containers, such as Apache Tomcat, Eclipse Gemini, IBM WebSphere CE, and SpringSource tcServer

EclipseLink lets you quickly capture and define object-to-data source and object-to-data representation mappings in a flexible, efficient metadata format.

The runtime lets your application exploit this mapping metadata with a simple session facade that provides in-depth support for data access, queries, transactions (both with and without an external transaction controller), and caching.

For more information about EclipseLink, see "Key Features" on page 1-3.

# 1.1.1 What Is the Object-Persistence Impedance Mismatch?

Java-to-data source integration is a widely underestimated problem when creating enterprise Java applications. This complex problem involves more than simply reading from and writing to a data source. The data source elements include tables, rows, columns, and primary and foreign keys. The Java and Java EE programming languages include entity classes (regular Java classes), business rules, complex relationships, and inheritance. In a nonrelational data source, you must match your Java entities with XML elements and schemas.

A successful solution requires bridging these different technologies and solving the object-persistence impedance mismatch—a challenging and resource-intensive problem. To solve this problem, you must resolve the following issues between Java EE and the data source elements:

- Fundamentally different technologies
- Different skill sets
- Different staff and ownership for each of the technologies
- Different modeling and design principles

As an application developer, you need a product that lets you integrate Java applications with any data source, without compromising application design or data integrity. In addition, as a Java developer, you need the ability to store (that is, persist) and retrieve business domain objects using a relational database or a nonrelational data source as a repository.

### 1.1.2 The EclipseLink Solution

EclipseLink addresses the disparity between Java objects and data sources. It contains a persistence framework that lets you build applications that combine the best aspects of object technology with a specific data source. You can do the following:

- Persist Java objects to virtually any relational database
- Perform in-memory conversions between Java objects and XML and JSON documents
- Map any object model to any relational or nonrelational schema
- Use EclipseLink successfully, even if you are unfamiliar with SQL or JDBC, because EclipseLink offers a clear, object-oriented view of data sources

# 1.2 Key Features

An extensive set of features are provided. You can use these features to rapidly build high-performance enterprise applications that are scalable and maintainable.

Some of the primary features are the following:

- Nonintrusive, flexible, metadata-based architecture
- Advanced mapping support and flexibility: relational, object-relational data type, and XML
- Optimized for highly scalable performance and concurrency with extensive performance tuning options
- Comprehensive object caching support including cluster integration for some application servers (such as Oracle Fusion Middleware Server)
- Extensive query capability including: Java Persistence Query Language (JPQL), native SQL, and EclipseLink Expressions framework
- Just-in-time reading
- Object-level transaction support and integration with popular application servers and databases
- Optimistic and pessimistic locking options and locking policies

For additional information and downloads, see the EclipseLink home page:

http://www.eclispe.org/eclipselink/

### 1.3 New Features

The following sections provide a brief overview of new features in EclipseLink.

- **RESTful Services**
- **Tenant Isolation**
- **NoSQL**
- **ISON**
- **Database Change Notification**
- **Extensible Entities**
- Composite Persistence Units

**External Metadata Sources** 

### 1.3.1 RESTful Services

EclipseLink allows you to expose JPA entities through a standards-based (JAX-RS/JAXB/JPA) RESTful service. REST is an acronym for Representational State Transfer, which is a design idiom that embraces a stateless client-server architecture. In this architecture, Web services are viewed as resources which can be identified by their URIs.

Basic HTTP operations, such as create (POST), read (GET), update (PUT), and delete (DELETE) can be called on the services.

Data in RESTful services are referenced using a URI. A URI can be constructed in different ways, depending on the target, for example:

- URI for JPA entities with a single-part primary key
- URI for JPA entities with composite keys
- URI with mapped named read queries
- URI for name update and delete queries

Clients can interact with the RESTful service in any of these ways:

- By using a web browser as the client
- By using Java SE as the client
- By using implementation-specific APIs

For more information, see "Exposing JPA Entities Through RESTful Services" in *Solutions Guide for EclipseLink.* 

### 1.3.2 Tenant Isolation

EclipseLink simplifies the development of application components that offer support for multiple tenants. Developers and deployers have complete control of the application and data isolation they require. Each tenant has access to its own data, while other tenants' data is invisible to it.

EclipseLink provides these options for tenant isolation:

- Single-table tenant isolation allows tenants to share tables. Each tenant has its own rows, identified by discriminator columns, and those rows are invisible to other tenants.
- With table-per-tenant tenant isolation, each tenant has its own table or tables, identified by table tenant discriminators, and those tables are invisible to other users.
- With Virtual Private Database (VPD) tenant isolation, tenants use a VPD database, which provides the functionality to support multiple tenants sharing the same table.

For more information, see "Using Tenant Isolation" in Solutions Guide for EclipseLink.

#### 1.3.3 NoSQL

NoSQL is a classification of database systems that do not support the SQL standard. The NoSQL classification can be expanded to include Enterprise Information Systems (EIS) including application databases, legacy databases, messaging systems, and

transaction processing monitors. EclipseLink supports persistence of Java objects to NoSQL databases through the Java Persistence API (JPA). EclipseLink's native API is also supported with NoSQL databases.

NoSQL maps objects to structured data such as XML or JSON. It also supports embedded data, embedded collections, and all of the existing JPA mapping annotations.

Whether querying is supported in NoSQL depends on the NoSQL platform you are using. The JPA transaction API is supported with NoSQL data-sources. Some NoSQL data-sources might not support transactions, so the level of transaction support is dependent on the NoSQL platform.

For more information, see Chapter 12, "Understanding Non-relational Data Sources." See also "Using EclipseLink with NoSQL Databases" in Solutions Guide for EclipseLink.

### 1.3.4 **JSON**

JavaScript Object Notation (JSON) is a text-based open standard designed for human-readable data interchange. It is derived from the JavaScript scripting language for representing simple data structures and associative arrays. It is language-independent, with parsers available for many languages.

JAXB binding metadata is used to marshall Java objects to and from JSON. This feature is useful when creating RESTful services; JAX-RS services can accept both XML and JSON messages.

For more information on JSON support, see "Developing Persistence Architectures Using EclipseLink MOXy Developer's Guide."

## 1.3.5 Database Change Notification

A shared (L2) object cache reduces database access for objects and their relationships. This cache is enabled by default which is normally not a problem, unless the contents of the database are modified directly by other applications, or by the same application on other servers in a clustered environment. This may result in stale data in the cache.

If the contents of the database are modified, then EclipseLink Database Change Notification (DCN) ensures that the contents of the cache are synchronized with the database. DCN allows shared caching to be used in the JPA environment.

See "Using Caching with a Shared Database in a JPA Environment" in Solutions Guide for EclipseLink.

### 1.3.6 Extensible Entities

Extensible entities allow mappings to be added dynamically. In this case, the entity stores extended attributes within a map instead of static attributes. The entity then defines how values from this map are mapped to the database using an eclipselink-orm.xml mapping file. Extensible entities are useful in a multi-tenant (or SaaS) architecture where a shared, generic application can be used by multiple clients (tenants). Tenants have private access to their own data, and to data shared with other tenants.

Using extensible entities, you can:

Build an application where some mappings are common to all users and some mappings are user-specific.

- Add mappings to an application after it is made available to a customer (even post-deployment).
- Use the same EntityManagerFactory interface to work with data after mappings have changed.
- Provide an additional source of metadata to be used by an application.

For more information on making entities extensible, see "Providing Software as a Service" in *Solutions Guide for EclipseLink*.

## 1.3.7 Composite Persistence Units

You can expose multiple persistence units (each with unique sets of entity types) as a single persistence context by using a composite persistence unit. Individual persistence units that are part of this composite persistence unit are called composite member persistence units. For more information, see "Using Multiple Databases with a Composite Persistence Unit" in *Solutions Guide for EclipseLink*.

#### 1.3.8 External Metadata Sources

You can store your mapping information in a metadata source that is external to the running application. Because the mapping information is retrieved when the application creates the persistence unit, you can dynamically override or extend mappings in a deployed application. See "Using an External Metadata Source" in *Solutions Guide for EclipseLink.* 

# 1.4 Key Concepts

This section provides a brief introduction to several of the key concepts described in this documentation. The key concepts highlighted in this section are as follows:

- EclipseLink Metadata
- **Entities**
- Descriptors
- **Mappings**
- **Data Access**
- Caching
- Queries
- **Expression Framework**
- NoSQL Databases
- Performance Monitoring and Profiling

## 1.4.1 EclipseLink Metadata

EclipseLink metadata is the bridge between the development of an application and its deployed runtime environment. You can capture the metadata using:

JPA annotations in Java files and the JPA-defined properties in the persistence.xml, eclipselink-orm.xml, and orm.xml files. Metadata is also captured by EclipseLink JPA annotations and property extensions in the persistence.xml file. The eclipselink-orm.xml file can also be used to specify property extensions beyond the JPA specification.

JAXB annotations in Java files and JAXB-defined properties in the eclipselink-oxm.xml file.

The eclipselink-oxm.xml file can be used to define property extensions beyond the JAXB specification.

Java and the EclipseLink API.

The metadata lets you pass configuration information into the runtime environment. The runtime environment uses the information in conjunction with the persistent classes, such as Java objects, JPA entities, and the code written with the EclipseLink API, to complete the application. See "Adding Metadata Using Annotations" on page 2-3 for more information. See also Java Persistence API (JPA) Extensions Reference for EclipseLink.

Mappings can be stored external to the application. This can be as simple as making the eclipselink-orm.xml or eclipselink-oxm.xml file with the additional mapping information available on a web server as a file. It can also be more complex involving a server process that stores the mapping information and allows the information to be updated dynamically. For more information, see "EclipseLink/Examples/JPA/MetadataSource" in the EclipseLink documentation.

http://wiki.eclipse.org/EclipseLink/Examples/JPA/MetadataSource

#### 1.4.2 Entities

An entity is a persistence domain object. Typically, an entity represents a table in a relational database, and each entity instance corresponds to a row in the table. The primary programming artifact of an entity is the entity class, although entities can use helper classes.

The persistent state of an entity is represented either through persistent fields or persistent properties. These fields or properties use object/relational mapping annotations to map the entities and entity relationships to the relational data in the underlying data store.

See Chapter 4, "Understanding Entities."

# 1.4.3 Descriptors

Descriptors describe how a Java class relates to a data source representation. They relate object classes to the data source at the data model level. For example, persistent class attributes may map to database columns.

EclipseLink uses descriptors to store the information that describes how an instance of a particular class can be represented in a data source. Descriptors are used internally by EclipseLink, and are defined through annotations, XML, or in IDEs such as JDeveloper or Eclipse, then read at run time.

See Chapter 6, "Understanding Descriptors."

# 1.4.4 Mappings

Mappings describe how individual object attributes relate to a data source representation. Mappings can involve a complex transformation or a direct entry. EclipseLink uses mappings to determine how to transform data between object and data source representations. Mappings are used internally by EclipseLink, and are defined through annotations, XML, or in IDEs such as Eclipse, then read from the project XML file at run time.

See Chapter 7, "Understanding Mappings."

#### 1.4.5 Data Access

A data source platform includes options specific to a particular data source including binding, use of native SQL, use of batch writing, and sequencing.

See Chapter 8, "Understanding Data Access."

### 1.4.6 Caching

The EclipseLink cache is an in-memory repository that stores recently read or written objects based on class and primary key values. The cache is used to improve performance by avoiding unnecessary trips to the database, manage locking and cache isolation level, and manage object identity.

See Chapter 9, "Understanding Caching."

#### 1.4.7 Queries

You can to create, read, update, and delete persistent objects or data using queries in both Java EE and non-Java EE applications for both relational and nonrelational data sources. Queries can be made at the object level or data level.

A number of query languages are supported, such as Java Persistence Query Language (JPQL), SQL, and the Expression Framework. The Java Persistence Criteria API can also be used to define dynamic queries through the construction of object-based query definition objects, rather than use of the string-based approach of JPQL.

See Chapter 10, "Understanding Queries."

# 1.4.8 Expression Framework

By using the EclipseLink Expressions framework, you can specify query search criteria based on your domain object model. Expressions offer a number of advantages over SQL. For example, expressions are easier to maintain, changes to descriptors or database tables do not affect the querying structures in the application, they enhance readability by standardizing the Query interface, and they simplify complex operations.

See Chapter 11, "Understanding EclipseLink Expressions."

#### 1.4.9 NoSQL Databases

NoSQL is a classification of database systems that do not support the SQL standard. These include document databases, key-value stores, and various other non-standard databases. Persistence of Java objects to NoSQL databases is supported through the Java Persistence API (JPA). EclipseLink's native API is also supported with NoSQL databases.

See Chapter 12, "Understanding Non-relational Data Sources."

### 1.4.10 Performance Monitoring and Profiling

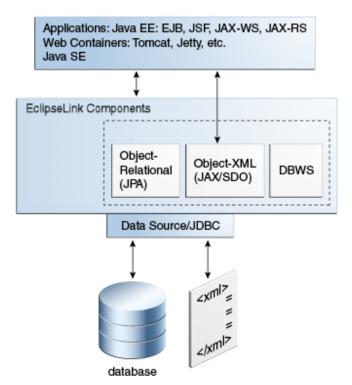
A diverse set of features is provided to measure and optimize application performance. You can enable or disable most features in the descriptors or session, making any resulting performance gains global. Tools are provided for performance profiling and performance, fetch group, and query monitoring.

See Chapter 13, "Understanding Performance Monitoring and Profiling."

# 1.5 Key Components

Figure illustrates the components contained by EclipseLink. The following sections describe the components.

Figure 1-1 EclipseLink Components



This figure illustrates the relationship between EclipseLink components. Information stored in a database or in XML documents is transmitted to EclipseLink through a data source or JDBC.

EclipseLink includes the open source implementation of the Java Persistence API (JPA) specification, plus extensions beyond what is defined in JPA. EclipseLink also includes the Java API for XML Binding (JAXB) specification. These extensions include persistence unit properties, query hints, annotations, EclipseLink's XML metadata, and EclipseLink's custom API. which contains JPA, JAX/SDO, and DBWS.

This figure illustrates the relationship between EclipseLink components. Information stored in a database or in XML documents is transmitted to EclipseLink through a data source or JDBC.

EclipseLink contains TopLink Grid and EclipseLink. EclipseLink includes the open source implementation of the Java Persistence API (JPA) specification, plus extensions beyond what is defined in JPA. EclipseLink also includes the Java API for XML Binding (JAXB) specification. These extensions include persistence unit properties, query hints, annotations, EclipseLink's XML metadata, and EclipseLink's custom API. which contains JPA, JAX/SDO, and DBWS.

TopLink Grid enables you to scale out JPA applications using Oracle Coherence (Coherence) and to write to the grid. EclipseLink can be used by a variety of applications, including Java EE, EJB, JSF, JAX-WS, and JAX-RS. It can also be used in a number of different containers, including Tomcat and Jetty. It can also be used in standalone applications, such as in Java SE applications.

## 1.5.1 EclipseLink Core and API

The EclipseLink Core provides the runtime component. Access to the runtime component can be obtained directly through the EclipseLink API. The runtime environment is not a separate or external process—it is embedded within the application. Application calls invoke EclipseLink to provide persistence behavior. This function enables transactional and thread-safe access to shared database connections and cached objects.

The EclipseLink API component provides the reference implementation for JPA 2.0 (JSR-317). The org.eclipse.persistence.\* classes encapsulate the EclipseLink API and provide extensions beyond the specification. These extensions include EclipseLink-specific properties and annotations. For more information on the API, properties and extensions, see Java Persistence API (JPA) Extensions Reference for EclipseLink.

The JAXB APIs are included in Java SE 6. In the eclipselink. jar file, the org.eclipse.persistence.jaxb.\* classes encapsulate the EclipseLink support for JAXB.

# 1.5.2 Object-Relational (JPA 2.0) Component

JPA simplifies Java persistence. It provides an object-relational mapping approach that lets you declaratively define how to map Java objects to relational database tables in a standard, portable way. JPA works both inside a Java EE application server and outside an EJB container in a Java Standard Edition (Java SE) application. The main features included in the 2.0 JPA update are:

- Expanded object/relational mapping functionality
  - Support for collections of embedded objects
  - Multiple levels of embedded objects
  - Ordered lists
  - Combinations of access types
- A criteria query API
- Standardization of query "hints"
- Standardization of additional metadata to support DDL generation
- Support for validation

## 1.5.3 Object-XML (JAXB 2.2) Component

Object-XML, also known as MOXy, is an EclipseLink component that enables you to bind Java classes to XML schemas. Object-XML implements JAXB which lets you provide mapping information through annotations. Support for storing the mappings in XML format is provided by MOXy. The many advanced mappings that are available enable you to handle complex XML structures without having to mirror the schema in your Java class model.

The objects produced by the EclipseLink JAXB compiler are Java POJO models. They are generated with the necessary annotations required by the JAXB specification. The JAXB runtime API can be used to marshal and unmarshal objects.

When using Object-XML as the JAXB provider, no metadata is required to convert your existing object model to XML. You can supply metadata (using annotations or XML) only when you must fine-tune the XML representation of the model.

Using EclipseLink Object-XML, you can manipulate XML in the following ways:

- Generate a Java Model from an XML schema
- Specify the EclipseLink MOXy JAXB runtime
- Use JAXB to manipulate XML
- Generate an XML schema from a Java model

For more information on Object-XML and these use cases, see *Developing Persistence* Architectures Using EclipseLink MOXy Developer's Guide.

EclipseLink provides maximum flexibility with the ability to control how your object model is mapped to an XML schema. There are many advantages to having control over your own object model:

- You can design the domain classes specifically for your application using the appropriate patterns and practices.
- You can use XPath-based mapping. This prevents the need for having a 1-to-1 relationship between classes and XML schema types. For more information, see Developing Persistence Architectures Using EclipseLink MOXy Developer's Guide.
- You can instantiate objects in a way that is appropriate to your application.
- You can control your own class path dependencies. Most JAXB implementations put vendor specific code in the generated classes that add class path dependencies to your application.

One of the key advantages of EclipseLink is that the mapping information can be stored externally and does not require any changes to the Java classes or XML schema. This means that you can map your domain objects to more than one schema, or if your schema changes, you can update the mapping metadata instead of modifying your domain classes. This is also useful when mapping third-party classes, because you might not have access to the source to add annotations.

#### 1.5.3.1 SDO Component

The Service Data Objects (SDO) component provides the reference implementation of Service Data Objects version 2.1.1. The reference implementation is described in JSR-235. The SDO implementation incorporates the reference implementation and provides additional features primarily used for converting Java objects to XML, and for building and using data object models that can be incorporated into service architectures.

SDO provides you with the following capabilities:

- Use of the SDO APIs
- Conversion an XML Schema
- Customization of your XSD for SDO usage
- Use of dynamic data objects to manipulate XML
- Use of static data objects
  - Run the SDO compiler—generate type safe data objects
  - Use type safe data objects to manipulate XML

For more information, see "Getting Started with EclipseLink SDO" in the EclipseLink documentation:

http://www.eclipse.org/eclipselink/moxy.php

### 1.5.4 Database Web Services Component

Database Web Services (DBWS) enables simple and efficient access to relational database artifacts by using a web service. It provides Java EE-compliant client-neutral access to the database without having to write Java code. DBWS extends EclipseLink's core capabilities while using existing ORM and OXM components.

DBWS has a runtime provider component that takes a service descriptor (along with related deployment artifacts) and realizes it as a JAX-WS 2.0 Web service. The runtime provider uses EclipseLink to bridge between the database and the XML SOAP Messages used by web service clients. For information on DBWS architecture, see Developing Persistence Architectures Using EclipseLink Database Web Services Developer's Guide.

# 1.6 Key Tools

This section describes the support for EclipseLink provided by Oracle JDeveloper, Eclipse, and NetBeans development environments. Any tooling is compatible with EclipseLink, but these offer specific integration.

This section contains the following subsections:

- Oracle JDeveloper
- **Eclipse**
- **NetBeans**

# 1.6.1 Oracle JDeveloper

Oracle JDeveloper is a Java EE development environment with end-to-end support to develop, debug, and deploy e-business applications and web services.

For JDeveloper information and downloads, see:

http://www.oracle.com/us/products/tools/019657.htm

JDeveloper includes a number of features to aid in the development of applications that use EclipseLink. These features include wizards to reverse engineer JPA entities from database tables and to generate EJB 3.0 Session Beans with EntityManager injection. It also includes methods for querying JPA entities and to test client generation.

Oracle JDeveloper's EclipseLink Editor enables you to quickly and easily configure and map your Java classes and JPA entities to different data sources, including relational databases and XML schemas without using code. The EclipseLink editor supports multiple standards, including IPA.

DBWSBuilder script (included in EclipseLink install) can be used to run the DBWSBuilder utility to generate the Web service. JDeveloper uses the API provided, but does not use the DBWSBuilder script directly.

For more information on Oracle JDeveloper's EclipseLink editor, see JDeveloper online help.

### 1.6.2 Eclipse

The Eclipse IDE provides a number of features and utilities to help you create, run, and maintain applications that use JPA. These capabilities are extended if you install OEPE.

For Eclipse IDE information and downloads, see:

http://www.oracle.com/technetwork/developer-tools/eclipse/overvi ew/index.html

The Dali Java Persistence Tools Project provides extensible frameworks and tools for defining and editing object-relational mappings for IPA entities. IPA mapping support focuses on minimizing the complexity of mapping by providing entity generation wizards, design-time validation, and a robust UI for entity and persistence unit configuration.

For Dali information and downloads, see:

http://www.eclipse.org/webtools/dali

Other tools and utilities from the Oracle, open source, and third party vendor communities are available from the Eclipse Marketplace.

http://marketplace.eclipse.org/

### 1.6.3 NetBeans

NetBeans IDE bundles Oracle GlassFish Server, which includes EclipseLink. The IDE provides full support for JPA-based code development. This support includes entity class wizards for constructing entities and editor hints to ensure that entities conform to the JPA specification. NetBeans also provides a persistence unit editor for constructing a persistence.xml file.

For NetBeans information and downloads, see:

http://netbeans.org/index.html

# **Understanding Mappings**

This chapter describes the items that can be used in Object-Relational and Object-XML mapping.

This chapter contains the following sections:

- **About Object-Relational Mapping**
- **About Object-XML Mapping**

# 2.1 About Object-Relational Mapping

EclipseLink provides a complete, JPA-compliant JPA implementation. It provides complete compliance for all of the mandatory features and many of the optional features. It also supports EclipseLink features not described in the JPA specification, such as object-level cache, distributed cache coordination, extensive performance tuning options, enhanced Oracle Database support, advanced mappings, optimistic and pessimistic locking options, extended annotations, and query hints.

For more information, see Java Persistence API (JPA) Extensions Reference for EclipseLink.

The following sections describe many of these features.

- Understanding Object-Relational Entity Architecture
- Adding Metadata Using Annotations
- **About Configuration Basics**
- **About Data Sources**
- About EclipseLink Caches
- **About Database Queries**

# 2.1.1 Understanding Object-Relational Entity Architecture

The entity architecture is composed of entities, persistence units, persistence contexts, entity manager factories, and entity managers. Figure 2-1 illustrates the relationships between these elements:

- Persistence creates one or more EntityManagerFactory objects.
- Each EntityManagerFactory is configured by one persistence unit.
- EntityManagerFactory creates one or more EntityManager objects.
- One or more EntityManagers manage one PersistenceContext.

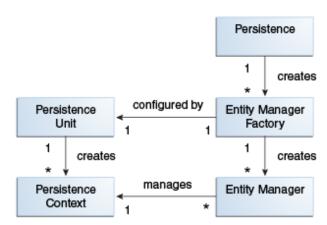


Figure 2-1 Relationships Between Entity Architecture Elements

This figure illustrates the relationship between entity architecture elements. A Persistence class can create one or more EntityManagerFactory objects. An EntityManagerFactory object can create one or more EntityManagers. An EntityManagerFactory is configured by one Persistence Unit. The properties defined by the Persistence Unit are inherited by the EntityManager. The Persistence Unit defines the Persistence Context. The Persistence Context is the set of managed Entity instances within the EntityManager. One or more EntityManagers can manage a Persistence Context.

#### **2.1.1.1 Entities**

An entity is any application-defined object with the following characteristics:

- It can be made persistent.
- It has a persistent identity (a key that uniquely identifies an entity instance and distinguishes it from other instances of the same entity type. An entity has a persistent identity when there is a representation of it in a data store).
- It is transactional in a sense that a *persistence view* of an entity is transactional (an entity is created, updated, and deleted within a transaction, and a transaction is required for the changes to be committed in the database). However, in-memory entities can be changed without the changes being persisted.
- It is *not* a primitive, a primitive wrapper, or built-in object. An entity is a fine-grained object that has a set of aggregated states that is typically stored in a single place (such as a row in a table) and have relationships to other entities.

The entity also contains entity metadata that describes the entity. Entity metadata is not persisted to the database. It is used by the persistence layer to manage the entity from when it is loaded until it is invoked at runtime. Metadata can be expressed as annotations on the Java programming elements or in XML files (descriptors). For more information, see Chapter 4, "Understanding Entities."

Beginning with the current release, you can define and use extensible entities where mappings can be added spontaneously. In this case, the entity stores extended attributes within a map instead of static attributes. The entity then defines how values from this map are mapped to the database using an eclipselink-orm.xml mapping file. In addition to being able to dynamically define mappings, EclipseLink also enables these extended mappings to be stored and managed externally. This external storage enables your extended mappings to be defined while the application

is running. For more information on making entities extensible, see "Providing Software as a Service" in *Solutions Guide for EclipseLink*.

#### 2.1.1.2 Persistence and Persistence Units

Persistence is a characteristic of an entity. This means that the entity can be represented in a data store, and it can be accessed at a later time.

A persistence unit identifies a persistable unit and defines the properties associated with it. It also defines the objects that must be persisted. The objects can be entity classes, embeddable classes, or mapped superclasses. The persistence unit provides the configuration for the entity manager factory. Entity managers created by the entity manager factory inherit the properties defined in the persistence unit.

### 2.1.1.3 Entity Managers

An entity manager enables API calls to perform operations on an entity. Until an entity manager is used to create, read, or write an entity, the entity is a nonpersistent Java object. When an entity manager obtains a reference to an entity, that entity becomes managed by the entity manager. The set of managed entity instances within an entity manager at any given time is called its persistence context; only one Java instance with the same persistent identity can exist in a persistence context at any time.

You can configure an entity manager to read or write to a particular database, to persist or manage certain types of objects, and to be implemented by a specific persistence provider. The persistence provider supplies the implementation for JPA, including the EntityManager interface implementation, the Query implementation, and the SQL generation.

Entity managers are provided by an EntityManagerFactory. The configuration for an entity manager is bound to the EntityManagerFactory, but it is defined separately as a persistence unit. You name persistence units to enable differentiation between EntityManagerFactory objects. This way, your application obtains control over which configuration to use for operations on a specific entity. The configuration that describes the persistence unit is defined in a persistence.xml file. You name persistence units to be able to request a specific configuration to be bound to an EntityManagerFactory.

# 2.1.2 Adding Metadata Using Annotations

An annotation is a simple, expressive means of decorating Java source code with metadata that is compiled into the corresponding Java class files for interpretation at run time by a JPA persistence provider to manage persistent behavior.

A metadata annotation represents a Java language feature that lets you attach structured and typed metadata to the source code. Annotations alone are sufficient for the metadata specification—you do not need to use XML. Standard JPA annotations are in the javax.persistence package.

For more information, see Chapter 10 "Metadata Annotations" in the JPA Specification http://jcp.org/en/jsr/detail?id=317

EclipseLink provides a set of proprietary annotations as an easy way to add metadata to the Java source code. The metadata is compiled into the corresponding Java class files for interpretation at run time by a JPA persistence provider to manage persistent behavior. You can apply annotations at the class, method, and field levels.

EclipseLink annotations expose some features that are currently not available through the use of JPA metadata:

- Basic properties—By default, the EclipseLink persistence provider automatically configures a basic mapping for simple types. Use these annotations to fine-tune the immediate state of an entity in its fields or properties.
- Relationships—EclipseLink has defaults for some relationships, such as One-To-One and One-To-Many. Other relationships must be mapped explicitly. Use the annotations to specify the type and characteristics of entity relationships and to fine-tune how your database implements these relationships.
- Embedded objects—An embedded object does not have its own persistent identity; it is dependent upon an entity for its identity. By default, the persistence provider assumes that every entity is mapped to its own table. Use the following annotations to override this behavior for entities that are owned by other entities.

### 2.1.2.1 Advantages and Disadvantages of Using Annotations

Using annotations provides several advantages:

- They are relatively simple to use and understand.
- They provide in-line metadata within with the code that it describes; you do not need to replicate the source code context of where the metadata applies.

The primary disadvantage of annotations is that the metatdata becomes unnecessarily coupled to the code; changes to metadata require changing and recompiling the source code.

## 2.1.3 About Configuration Basics

The following sections describe some of the key configuration files in an Object Relational Mapping project.

#### 2.1.3.1 Default Configuration Values

Each annotation has a default value (consult the JPA specification for defaults). A persistence engine defines defaults that apply to the majority of applications. You only need to supply values when you want to override the default value. Therefore, having to supply a configuration value is not a requirement, but the exception to the rule. This is known as configuration by exception.

**Note:** You should be familiar with the defaults to be able to change the behavior when necessary.

The default values are described in Java Persistence API (JPA) Extensions Reference for EclipseLink. See also Chapter 10, "Metadata Annotations" in the JPA specification.

http://jcp.org/en/jsr/detail?id=317

The configuration is done by exception: if a value is *not* specified in one of the configuration files, then a default value is used.

#### 2.1.3.2 Configuring Persistence Units Using persistence.xml

A persistence unit defines the details that are required when you acquire an entity manager. You specify a persistence unit by name when you acquire an entity manager factory. Use the JPA persistence file, persistence.xml, to configure a persistence unit. You can specify any vendor-specific extensions in the file by using a element.

This file appears in the META-INF/ directory of your persistence unit JAR file or in the classpath.

For more information, see Section 5.2, "About the Persistence Unit." See also "Persistence Property Extensions Reference" in Java Persistence API (JPA) Extensions *Reference for EclipseLink.* 

### 2.1.3.3 Object-Relational Data Type Mappings

Object-relational data type mappings transform certain object data member types to structured data source representations optimized for storage in specialized object-relational databases such as Oracle Database. Object-relational data type mappings let you map an object model into an object-relational model. You can use only object-relational data type mappings with specialized object-relational databases optimized to support object-relational data type data source representations.

For more information, see Java Persistence API (JPA) Extensions Reference for EclipseLink.

2.1.3.3.1 Specifying Object-Relational Mappings Using orm.xml Use the orm.xml file to apply the metadata to the persistence unit. This metadata is a union of all the mapping files and the annotations (if there is no xml-mapping-metadata-complete element). If you use one mapping orm.xml file for your metadata and place this file in a META-INF directory on the classpath, then you do not need to explicitly list it. The persistence provider will automatically search for this file (orm.xml) and use it.

The schema for the JPA 2.0 orm.xml is orm\_2\_0.xsd. (http://java.sun. com/xml/ns/persistence/orm\_2\_0.xsd)

If you use a different name for your mapping files or place them in a different location, you must list them in the mapping-file element of the persistence.xml file.

2.1.3.3.2 Specifying EclipseLink Object-Relational Mappings Using eclipselink-orm.xml The standard JPA orm.xml file applies metadata to the persistence unit. It provides support for all of the JPA 2.0 mappings. You can use this file instead of annotations or to override JPA annotations in the source code. The eclipselink-orm.xml file supports the mappings defined by the orm.xml file and the full set of EclipseLink extensions beyond JPA 2.0. Any settings that you make in the eclipselink-orm. xml file will override settings in the orm.xml file.

For more information on the eclipselink-orm.xml file, see "eclipselink-orm.xml Schema Reference" in Java Persistence API (JPA) Extensions Reference for EclipseLink.

> **Note:** Using this mapping file enables many EclipseLink advanced features, but it may prevent the persistence unit from being portable to other JPA implementations.

For more information, on overriding values, see:

- "XML Overriding Rules" in the JPA Specification. http://jcp.org/en/jsr/detail?id=317
- The schema for eclipselink-orm.xml is eclipselink\_orm\_2\_2.xsd: http://www.eclipse.org/eclipselink/xsds/eclipselink\_orm\_2\_2. xsd

### 2.1.3.4 Overriding and Merging Mapping Information

To override the orm.xml file's mapping, you must define the META-INF/eclipselink-orm.xml file in the project. When both orm.xml and eclipselink-orm.xml are specified, the contents of eclipselink-orm.xml override orm.xml and any other JPA mapping file specified in the persistence unit. If there are overlapping specifications in multiple ORM files, the files are merged if there are no conflicting entities.

For more information, see "Overriding and Merging" in Java Persistence API (JPA) Extensions Reference for EclipseLink.

### 2.1.3.5 Validating the XML Schema

By default the content of your .orm XML file is not validated against the JPA .orm XML schema.

During development it is a good idea to validate your .orm XML file against the schema to ensure it is valid. In EclipseLink, validating the .orm XML schema can be enabled using the persistence unit property "eclipselink.orm.validate. schema" in your persistence.xml file.

### 2.1.3.6 Advantages and Disadvantages of Using XML

Some advantages of using XML instead of annotations include:

- No coupling between the metadata and the source code
- Compliance with the existing, pre-EJB 3.0 development process
- Support in IDEs and source control systems

The main disadvantages of mapping with XML include:

- It is inherently complex (when compared to annotations)
- The need for replication of the code context (that is, defining the structure in both the XML and the source code)

For more information, see Chapter 10 "Metadata Annotations" in the JPA Specification:

http://jcp.org/en/jsr/detail?id=317

### 2.1.4 About Data Sources

An important part of the definition of the persistence unit is the location where the provider can find data to read and write. This is called the **data source**. The data source is typically a database. The database location is specified in the form of a JDBC data source in the JNDI namespace of the server.

Typically, applications that use EclipseLink are run in the context of a JTA transaction. Specify the name of the data source in the jta-data-source element in the persistence.xml file. If the application is not run in the context of a transaction, then it is considered to be resource-local. In this case, specify the name of the data source in the non-jta-data-source element.

You can also specify a non-relational database data source, such as an XML schema.

For more information, see Chapter 8, "Understanding Data Access."

Applications can be run in standalone, or *Java SE*, mode. In this mode, the application runs outside the server, with a non-JTA compliant data source, and in a non-Oracle stack. In this case, you must provide driver-specific information, such as the JDBC driver class, the URL that the client uses to connect to the database, and the user name and password to access the database. For more information and an example of running an application in standalone mode, see "Testing EclipseLink JPA Outside a Container" in Solutions Guide for EclipseLink.

## 2.1.5 About EclipseLink Caches

By default, EclipseLink uses a shared object cache that caches a subset of all objects read and persisted for the persistence unit. The shared cache differs from the local EntityManager cache. The shared cache exists for the duration of the persistence unit (EntityManagerFactory or server) and is shared by all EntityManagers and users of the persistence unit. The local EntityManager cache is not shared and only exists for the duration of the EntityManager or transaction.

The benefit of the shared cache is that after an object is read, the database does not need to be accessed if the object is read again. Also, if the object is read by using a query, it does not need to be rebuilt, and its relationships do not need to be fetched again.

The limitation of the shared cache is that if the database is changed directly through JDBC, or by another application or server, the objects in the shared cache will be stale.

EclipseLink offers several mechanism to deal with stale data including:

- Refreshing
- Invalidation
- Optimistic locking
- Cache coordination
- Database Change Notification (DCN)

The shared cache can also be disabled, or it can be selectively enabled and disabled by using the @Cache or @Cacheable annotations.

EclipseLink also offers several different caching strategies, to configure how many objects are cached and how much memory is used.

If the application detects that the cache is out of date, it can clear, refresh, or invalidate it programmatically. Clearing the cache can cause object identity issues if any of the cached objects are in use, so invalidating is safer. If you know that none of the cached objects are in use, then you can clear the cache.

For more information, see Chapter 9, "Understanding Caching."

### 2.1.5.1 Defining Cache Behavior

EclipseLink provides an @Cache annotation which lets you define cache properties. The properties include cache type, size, and refresh rules, among others. See Java Persistence API (JPA) Extensions Reference for EclipseLink.

#### 2.1.5.2 Caching in Clustered Environments

Caching in a clustered environment can have problems because changes made on one server are not reflected on objects cached in other servers. This is not a problem for read-only objects, but it is for objects that are frequently updated.

EclipseLink offers several solutions to this problem.

- The cache can be disabled for the classes that frequently change.
- Cache coordination can be used to broadcast changes between the servers in the cluster to update or invalidate changed objects.

- Cache invalidation based on time-to-live or time-of-day.
- Optimistic locking prevents updates to stale objects and triggers the objects to be invalidated in the cache.

For more information, see Section 9.12.5, "Coordinated Cache and Clustering".

### 2.1.6 About Database Queries

The object-relational component of EclipseLink supports a variety of queries.

- **IPQL** queries
- SQL queries
- Criteria API queries
- Native SQL queries
- EclipseLink JPA query hints
- Query casting
- Oracle Extensions for queries
- Advanced EclipseLink native queries

For information on these queries, see Chapter 10, "Understanding Queries."

# 2.2 About Object-XML Mapping

The Object-XML component, supplied by EclipseLink, enables you to efficiently bind Java classes to XML schemas. Object-XML implements JAXB, enabling you to provide your mapping information through annotations and providing support for storing the mappings in XML format.

JAXB (Java Architecture for XML Binding—JSR 222) is the standard for XML Binding in Java. JAXB covers 100 percent of XML Schema concepts. EclipseLink provides a JAXB implementation with many extensions.

When using EclipseLink Object-XML as the JAXB provider, no metadata is required to convert your existing object model to XML. You can supply metadata (using annotations or XML) if you want to fine-tune the XML representation.

Object-XML includes many advanced mappings that let you handle complex XML structures without having to mirror the schema in your Java class model.

For more information, see Developing Persistence Architectures Using EclipseLink MOXy Developer's Guide.

The following sections describe many of these features.

- Using EclipseLink Object-XML as the JAXB Provider
- Understanding Object-XML Architecture
- Serving Metadata for Object-XML
- **About XML Bindings**
- Specifying EclipseLink Object-XML Mappings Using eclipselink-oxm.xml
- Querying Objects by XPath

### 2.2.1 Using EclipseLink Object-XML as the JAXB Provider

To use Object-XML as your JAXB provider, you must identify the entry point to the JAXB runtime. This entry point is the EclipseLink JAXBContextFactory class.

Create a text file called jaxb.properties and enter the path to the JAXBContextFactory class as the value of the javax.xml.bind.context. factory context parameter, for example:

javax.xml.bind.context.factory=org.eclipse.persistence.jaxb.JAXBContextFactory

The jaxb.properties file must appear in the same package as the domain classes.

### 2.2.2 Understanding Object-XML Architecture

In the sample Object-XML architecture illustrated in Figure 2–2, the starting point is an XML schema. A binding compiler binds the source schema to a set of schema-derived program classes and interfaces. JAXB-annotated classes within the application are generated either by a schema compiler or the result of a developer adding JAXB annotations to existing Java classes. The application can either marshal data to an XML document or unmarshal the data to a tree of content objects. Each content object is an instance of either a schema derived or an existing program element mapped by the schema generator and corresponds to an instance in the XML.

XML schema Binding compiler Schema-derived classes & interfaces Application Content objects XML document

Figure 2-2 A Sample Object-XML Architecture

This figure illustrates process in an Object-XML project. The starting point is an XML schema. A binding compiler binds the source schema to a set of schema-derived program classes and interfaces. JAXB-annotated classes within the application are generated either by a schema compiler or the result of a developer adding JAXB annotations to existing Java classes. The application can either marshal data to an XML document or unmarshal the data to a tree of content objects.

#### 2.2.2.1 JAXB Contexts and JAXB Context Factories

The JAXBContextFactory class is the entry point into the EclipseLink JAXB runtime. It provides the required factory methods and can create new instances of JAXBContext objects.

The JAXBContextFactory class has the ability to:

- Create a JAXBContext object from an array of classes and a properties object
- Create a JAXBContext object from a context path and a classloader

The JAXBContext class provides the client's entry point to the JAXB API. The JAXBContext class is responsible for interpreting the metadata, generating schema files, and for creating instances of these JAXB objects: Marshaller, Unmarshaller, Binder, Introspector, and Validator.

Object-XML offers several options when creating the JAXBContext object. You have the option of booting from:

- A list of one or more JAXB-annotated classes
- A list of one or more EclipseLink XML Bindings documents defining the mappings for your Java classes
- A combination of classes and XML Bindings
- A list of context paths
- A list of session names, referring to EclipseLink sessions defined in sessions.

### 2.2.3 Serving Metadata for Object-XML

In addition to the input options described in Section 2.2.2.1, "JAXB Contexts and JAXB Context Factories," Object-XML provides the concept of a MetadataSource object. This object lets you to store mapping information outside of your application and retrieve it when the application's JAXBContext object is being created or refreshed. For information on implementing MetadataSource, see Developing Persistence Architectures Using EclipseLink MOXy Developer's Guide.

# 2.2.4 About XML Bindings

EclipseLink enables you to use all of the standard JAXB annotations. In addition to the standard annotations, EclipseLink offers another way of expressing your metadata—the EclipseLink XML Bindings document. Not only can XML Bindings separate your mapping information from your actual Java class, it can also be used for more advanced metadata tasks such as:

- Augmenting or overriding existing annotations with additional mapping information.
- Specifying all mappings information externally, without using Java annotations.
- Defining your mappings across multiple Bindings documents.
- Specifying virtual mappings that do not correspond to concrete Java fields.

For more information, see Developing Persistence Architectures Using EclipseLink MOXy Developer's Guide.

#### 2.2.4.1 Specifying EclipseLink Object-XML Mappings Using eclipselink-oxm.xml

You can use Java annotations to specify JAXB features in your projects. In addition to Java annotations, EclipseLink provides an XML mapping configuration file called eclipselink-oxm.xml. This mapping file contains the standard JAXB mappings and configuration options for advanced mapping types. You can use the eclipselink-oxm.xml file in place of or to override JAXB annotations in source

**Note:** Using this mapping file will enable many advanced features but it can prevent the model from being portable to other JAXB implementations.

### 2.2.5 About Object-XML Data Type Mappings

XML mappings transform object data members to the XML elements of an XML document whose structure is defined by an XML Schema Document (XSD). You can map the attributes of a Java object to a combination of XML simple and complex types using a wide variety of XML mapping types.

Classes are mapped to complex types, object relationships map to XML elements, and simple attributes map to text nodes and XML attributes. The real power in using Object-XML is that when mapping an object attribute to an XML document, XPath statements are used to specify the location of the XML data.

EclipseLink stores XML mappings for each class in the class descriptor. EclipseLink uses the descriptor to instantiate objects mapped from an XML document and to store new or modified objects as XML documents.

EclipseLink provides XML mappings that are not defined in the JAXB specification. Some of the Object-XML extensions are available through EclipseLink annotations; others require programmatic changes to the underlying metadata.

For more information on these mappings, see Developing Persistence Architectures Using EclipseLink MOXy Developer's Guide.

# 2.2.6 Querying Objects by XPath

In addition to using conventional Java access methods to get and set your object's values, EclipseLink Object-XML also lets you access values using an XPath statement. There are special APIs on EclipseLink's JAXBContext object that enable you to get and set values by XPath. For more information, see *Developing Persistence Architectures* Using EclipseLink MOXy Developer's Guide.

# **Understanding Application Development**

To ensure the best design for your application, Oracle recommends that you follow an iterative step-by-step development process. The flexibility of EclipseLink lets you use any development tool.

These sections describe recommended development processes:

- Typical Development Stages
- About Oracle Development Support
- **Target Platforms**
- Building and Using the Persistence Layer
- **About Application Deployment**
- **About Persisting Objects**
- About Metadata
- **About Weaving**

# 3.1 Typical Development Stages

This section describes the general development stages for EclipseLink applications. Figure 3–1 illustrates the development process.

Design Define the application requirements. Create an object model. Develop Create Java classes. Create new or integrate existing source. (3) Import classes and data source. Create descriptors and mappings. Query and update data source information. Deploy (5) Generate deployment files. Package and deploy the application. Maintain Customize and optimize.

Figure 3-1 EclipseLink Development Process

This illustration shows the steps in developing EclipseLink projects. It starts with the design, then moves to development, deployment, and, finally, maintenance. The text description of this illustration is in the following paragraph.

The base graphic for this process.gif is process.psp

#### **Design the Application (1)**

Define your application requirements, select an architecture, and determine the target platform. EclipseLink works with *any* architecture and *any* platform.

When designing the application, you should also create an object model for the application. It is important to create the object model before mapping objects, because defining persistent mappings for an incorrect or rapidly changing model can be very difficult. See Section 3.5, "About Persisting Objects" for more information.

#### Develop the Application (2, 3, 4)

Create the Java classes and decide how the classes should be implemented by the data source. When working with a legacy system, decide how the classes relate to the existing data. If there is no legacy data source to integrate, decide how to store each class in the data source and create the required schema. Alternatively, you can use EclipseLink to create your initial tables.

Using a development tool such as JDeveloper, create descriptors and mappings for the persistent classes. Use sessions to manipulate the persistent classes, including querying and changing data. See Section 1.6, "Key Tools" for more information.

Avoid building all your model's descriptors in a single iteration. Start with a small subset of your classes. Build and test their descriptors, then gradually add new descriptors and relationships. This lets you catch common problems before they proliferate through your entire design.

Write Java code to use database sessions. Sessions are used to query for database objects and write objects to the database.

#### Deploy the Application (5)

Generate, package, then deploy the necessary files to your application server. The required information will vary, depending on your environment and architecture.

#### Maintain the Application (6)

EclipseLink includes many options that can enhance application performance. You can customize most aspects of EclipseLink to suit your requirements. Use advanced EclipseLink features or write custom querying routines to access the database in specific ways, and to optimize performance.

# 3.2 Target Platforms

When you design your application, you must choose how and where to use EclipseLink. You can perform a variety of persistence and data transformation functions on a variety of Java-supported platforms. When you design your application architecture, keep these capabilities in mind.

EclipseLink supports any enterprise architecture that uses Java, including the following:

- Java EE
- Spring
- Java Web servers such as Tomcat
- Java clients such as Java SE and Web browsers
- Server Java platforms

Application packaging requirements of the specific target platform (for deployment in the host Java or Java EE environment) influence how you use and configure EclipseLink. For example, you package a Java EE application in an Enterprise Archive (EAR) file. Within the EAR file, there are several ways to package persistent entities within Web Archive (WAR) and Java Archive (JAR) files. How you configure EclipseLink depends, in part, on how you package the application and how you use the host application server class loader.

For detailed information about supported application server versions, custom integration, and configuration requirements, see Section 5.1, "Integrating with an Application Server.".

# 3.3 Building and Using the Persistence Layer

EclipseLink requires that classes must meet certain minimum requirements before they can become persistent. EclipseLink also provides alternatives to most requirements.

EclipseLink uses a nonintrusive approach by employing a metadata architecture that allows for minimal object model intrusions.

This section includes the following information:

- Implementation Options
- Persistent Class Requirements
- Persistence Layer Components

### 3.3.1 Implementation Options

When implementing your persistence layer using EclipseLink, consider the following options:

- Using EclipseLink JPA Metatdata, Annotations, and XML
- Using EclipseLink Metadata Java API
- Using Method and Direct Field Access
- Using Java Byte-code Weaving

#### 3.3.1.1 Using EclipseLink JPA Metatdata, Annotations, and XML

When using JPA, you can specify persistence layer components using any combination of standard JPA annotations and persistence.xml, EclipseLink JPA annotation extensions, and EclipseLink JPA persistence.xml extensions.

For more information, see Section 2.1.3, "About Configuration Basics".

#### 3.3.1.2 Using EclipseLink Metadata Java API

Persistence layer components may be coded or generated as Java. To use Java code, you must manually write code for each element of the project including: project, login, platform, descriptors, and mappings. This may be more efficient if your application is model-based and relies heavily on code generation.

#### 3.3.1.3 Using Method and Direct Field Access

You can access the fields (data members) of a class by using a getter/setter method (also known as property access) or by accessing the field itself directly.

When to use method or direct field access depends on your application design. Consider the following guidelines:

- Use method access outside of a class.
  - This is the natural public API of the class. The getter/setter methods handle any necessary side-effects and the client need not know anything about those details.
- Use direct field access within a class to improve performance.
  - In this case, you are responsible for taking into consideration any side-effects not invoked by bypassing the getter/setter methods.

When considering using method or direct field access, consider the following limitations.

If you enable change tracking on a getter/setter method (for example, you decorate method setPhone with @ChangeTracking), then EclipseLink tracks changes accordingly when a client modifies the field (phone) using the getter/setter methods. Similarly, if you enable change tracking on a field (for example, you decorate field phone with @ChangeTracking), then EclipseLink tracks changes accordingly when a client modifies the field (phone) directly.

However, if you enable change tracking on a getter/setter method (for example, you decorate method setPhone with @ChangeTracking) and a client accesses the field (phone) directly, EclipseLink does not detect the change. If you choose to code in this style of field access within a class for performance and method access outside of a class, then be aware of this limitation.

For more information, see the description of the @ChangeTracking annotation in Java Persistence API (IPA) Extensions Reference for EclipseLink

#### 3.3.1.4 Using Java Byte-code Weaving

Weaving is a technique of manipulating the byte-code of compiled Java classes.

Weaving is used to enhance both JPA entities and Plain Old Java Object (POJO) classes for such things as lazy loading, change tracking, fetch groups, and internal optimizations.

For more information, see Section 3.7, "About Weaving".

### 3.3.2 Persistent Class Requirements

When you create persistent Java objects, use direct access on private or protected attributes.

If you are using weaving, the ValueHolderInterface is not required. For more information, see Section 3.7, "About Weaving." See Section 7.2.1, "Indirection (Lazy Loading)" for more information on indirection and transparent indirection.

# 3.3.3 Persistence Layer Components

The purpose of your application's persistence layer is to use a session at run time to associate mapping metadata and a data source (see Chapter 8, "Understanding Data Access") to create, read, update, and delete persistent objects using the EclipseLink cache, queries and expressions, and transactions.

Typically, the EclipseLink persistence layer contains the following components:

- Mapping Metadata
- Cache
- Queries and Expressions

### 3.3.3.1 Mapping Metadata

The EclipseLink application metadata model is based on the project. The project includes descriptors, mappings, and various policies that customize the run-time capabilities. You associate this mapping and configuration information with a particular data source and application by referencing the project from a session.

For more information, see the following:

- Section 3.6.2, "Creating Project Metadata"
- Chapter 6, "Understanding Descriptors"
- Chapter 7, "Understanding Mappings"

#### 3.3.3.2 Cache

By default, EclipseLink sessions provide an object-level cache that guarantees object identity and enhances performance by reducing the number of times the application needs to access the data source. EclipseLink provides a variety of cache options, including locking, refresh, invalidation, isolation, and coordination. Using cache coordination, you can configure EclipseLink to synchronize changes with other instances of the deployed application. You configure most cache options at the persistence unit or entity level. You can also configure cache options on a per-query basis or on a descriptor to apply to all queries on the reference class.

For more information, see Chapter 9, "Understanding Caching."

#### 3.3.3.3 Queries and Expressions

For Object-relational architectures, EclipseLink provides several object and data query types, and offers flexible options for query selection criteria, including the following:

- EclipseLink expressions
- JPQL (Java Persistence Query Language)
- **SQL**
- Stored procedures
- Query by example

With these options, you can build any type of query. Oracle recommends using named queries to define application queries. Named queries are held in the project metadata and referenced by name. This simplifies application development and encapsulates the queries to reduce maintenance costs.

For Object-relational architectures, you are free to use any of the query options regardless of the persistent entity type. Alternatively, you can build queries in code, using the EclipseLink API.

**Note:** These guery techniques cannot be used with Object-XML (OXM, JAXB) mapping. However you can perform queries when using legacy EIS XML projects.

For more information, see Chapter 10, "Understanding Queries" and Chapter 11, "Understanding EclipseLink Expressions."

# 3.4 About Application Deployment

Application packaging (for deployment in the host Java or Java EE environment) influences EclipseLink use and configuration. For example, you package a Java EE application in an EAR file. Within the EAR file, there are several ways to package persistent entities within WAR and JAR files. How you configure EclipseLink depends, in part, on how you package the application and how you use the class loader of the host application server.

The EclipseLink approach to deployment involves packaging application files into a single file, such as a JAR or an EAR file. This approach lets you create clean and self-contained deployments that do not require significant file management. After creating these files, deploy the project.

For more information, see Chapter 5, "Understanding Application Deployment."

# 3.5 About Persisting Objects

This section includes a brief description of relational mapping and provides information and restrictions to guide object and relational modeling. This information is useful when building applications.

This section includes information on the following:

- Application Object Model
- Data Storage Schema
- Primary Keys and Object Identity
- **Mappings**
- Foreign Keys and Object Relationships
- Inheritance
- Concurrency
- Caching
- Nonintrusive Persistence
- Indirection
- Mutability

### 3.5.1 Application Object Model

Object modeling refers to the design of the Java classes that represent your application objects. You can use your favorite integrated development environment (IDE) or Unified Modeling Language (UML) modeling tool to define and create your application object model.

Any class that registers a descriptor with EclipseLink database sessions is called a persistent class. EclipseLink does not require that persistent classes provide public accessor methods for any private or protected attributes stored in the database. Refer to Section 3.3.2, "Persistent Class Requirements" for more information.

# 3.5.2 Data Storage Schema

Your data storage schema refers to the design that you implement to organize the persistent data in your application. This schema refers to the data itself—not the actual data source (such as a relational database or nonrelational legacy system).

During the design phase of the application development process, you should decide how to implement the classes in the data source. When integrating existing data source information, you must determine how the classes relate to the existing data. If no legacy information exists to integrate, decide how you will store each class, then create the necessary schema. For more information, see Section 3.1, "Typical Development Stages."

# 3.5.3 Primary Keys and Object Identity

When making objects persistent, each object requires an identity to uniquely identify it for storage and retrieval. Object identity is typically implemented using a unique primary key. This key is used internally by EclipseLink to identify each object, and to create and manage references. Violating object identity can corrupt the object model.

In a Java application, object identity is preserved if each object in memory is represented by one, and only one, object instance. Multiple retrievals of the same object return references to the same object instance—not multiple copies of the same object.

EclipseLink supports multiple identity maps to maintain object identity (including composite primary keys). See Section 9.2, "About Cache Type and Size" for additional information.

### 3.5.4 Mappings

EclipseLink uses metadata to describe how objects and beans map to the data source. This approach isolates persistence information from the object model—you are free to design their ideal object model, and DBAs are free to design their ideal schema. For more information, see Section 3.6, "About Metadata".

At run time, EclipseLink uses the metadata to seamlessly and dynamically interact with the data source, as required by the application.

EclipseLink provides an extensive mapping hierarchy that supports the wide variety of data types and references that an object model might contain. For more information, see Chapter 7, "Understanding Mappings."

### 3.5.5 Foreign Keys and Object Relationships

A foreign key can be one or more columns that reference a unique key, usually the primary key, in another table. Foreign keys can be any number of fields (similar to primary key), all of which are treated as a unit. A foreign key and the primary parent key it references must have the same number and type of fields.

Foreign keys represents relationships from a column or columns in one table to a column or columns in another table. For example, if every Employee has an attribute address that contains an instance of Address (which has its own descriptor and table), the one-to-one mapping for the address attribute would specify foreign key information to find an address for a particular Employee.

#### 3.5.6 Inheritance

Object-oriented systems allow classes to be defined in terms of other classes. For example: motorcycles, sedans, and vans are all kinds of vehicles. Each of the vehicle types is a *subclass* of the Vehicle class. Similarly, the Vehicle class is the *superclass* of each specific vehicle type. Each subclass inherits attributes and methods from its superclass (in addition to having its own attributes and methods).

Inheritance provides several application benefits, including the following:

- Using subclasses to provide specialized behaviors from the basis of common elements provided by the superclass. By using inheritance, you can reuse the code in the superclass many times.
- Implementing *abstract* superclasses that define generic behaviors. This abstract superclass may define and partially implement behavior, while allowing you to complete the details with specialized subclasses.

# 3.5.7 Concurrency

To have concurrent clients logged in at the same time, the server must spawn a dedicated thread of execution for each client. Java EE application servers do this automatically. Dedicated threads enable each client to work without having to wait for the completion of other clients. EclipseLink ensures that these threads do not interfere with each other when they make changes to the identity map or perform database transactions.

Your client can make transactional changes in an isolated and thread safe manner. EclipseLink manages clones for the objects you modify to isolate each client's work from other concurrent clients and threads. This is essentially an object-level transaction mechanism that maintains all of the ACID (Atomicity, Consistency, Isolation, Durability) transaction principles as a database transaction.

EclipseLink supports configurable optimistic and pessimistic locking strategies to let you customize the type of locking that the EclipseLink concurrency manager uses. For more information, see Section 6.2.4, "Descriptors and Locking."

### 3.5.8 Caching

EclipseLink caching improves application performance by automatically storing data returned as objects from the database for future use. This caching provides several advantages:

- Reusing Java objects that have been previously read from the database minimizes database access
- Minimizing SQL calls to the database when objects already exist in the cache
- Minimizing network access to the database
- Setting caching policies a class-by-class and bean-by-bean basis
- Basing caching options and behavior on Java garbage collection

EclipseLink supports several caching polices to provide extensive flexibility. You can fine-tune the cache for maximum performance, based on individual application performance. Refer to Chapter 9, "Understanding Caching" for more information.

#### 3.5.9 Nonintrusive Persistence

The EclipseLink nonintrusive approach of achieving persistence through a metadata architecture means that there are almost no object model intrusions.

To persist Java objects, EclipseLink does not require any of the following:

- Persistent superclass or implementation of persistent interfaces
- Store, delete, or load methods required in the object model
- Special persistence methods
- Generating source code into or wrapping the object model

See Section 3.3, "Building and Using the Persistence Layer" for additional information on this nonintrusive approach. See also Section 3.6, "About Metadata."

#### 3.5.10 Indirection

An indirection object takes the place of an application object so the application object is not read from the database until it is needed. Using indirection, or lazy loading in JPA, allows EclipseLink to create stand-ins for related objects. This results in significant performance improvements, especially when the application requires the contents of only the retrieved object rather than all related objects.

Without indirection, each time the application retrieves a persistent object, it also retrieves all the objects referenced by that object. This may result in lower performance for some applications.

**Note:** Oracle strongly recommends that you always use indirection.

EclipseLink provides several indirection models, such as proxy indirection, transparent indirection, and value holder indirection.

See Section 7.1.3, "Using Lazy Loading" and Section 7.2.1, "Indirection (Lazy Loading)" for more information.

### 3.5.11 Mutability

Mutability is a property of a complex field that specifies whether the field value may be changed or not changed as opposed to replaced.

An immutable mapping is one in which the mapped object value cannot change unless the object ID of the object changes: that is, unless the object value is replaced by another object value altogether.

A mutable mapping is one in which the mapped object value can change without changing the object ID of the object.

By default, EclipseLink assumes the following:

- all TransformationMapping instances are mutable
- all JPA @Basic mapping types, except Serializable types, are immutable (including Date and Calendar types)
- all JPA @Basic mapping Serializable types are mutable

Whether a value is immutable or mutable largely depends on how your application uses your persistent classes. For example, by default, EclipseLink assumes that a persistent field of type Date is immutable: this means that as long as the value of the field has the same object ID, EclipseLink assumes that the value has not changed. If your application uses the set methods of the Date class, you can change the state of the Date object value without changing its object ID. This prevents EclipseLink from detecting the change. To avoid this, you can configure a mapping as mutable: this tells EclipseLink to examine the state of the persistent value, not just its object ID.

You can configure the mutability of the following:

- TransformationMapping instances;
- any JPA @Basic mapping type (including Date and Calendar types) individually;
- all Date and Calendar types.

Mutability can affect change tracking performance. For example, if a transformation mapping maps a mutable value, EclipseLink must clone and compare the value in a unit of work. If the mapping maps a simple immutable value, you can improve unit of work performance by configuring the mapping as immutable.

Mutability also affects weaving. EclipseLink can only weave an attribute change tracking policy for immutable mappings.

For more information, see Section 3.7, "About Weaving". See also the description of the @Mutable annotation in Java Persistence API (JPA) Extensions Reference for EclipseLink.

### 3.6 About Metadata

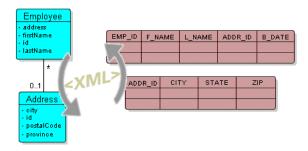
The EclipseLink metadata is the bridge between the development of an application and its deployed run-time environment. Capture the metadata using the following:

- Annotations, persistence.xml, orm.xml, eclipselink-orm.xml, eclipselink-oxm.xml, and annotation and persistence.xml property extensions. The persistence provider interprets all these sources of metadata to create an in-memory session and project at run time.
- Java and the EclipseLink API (this approach is the most labor-intensive).

The metadata lets you pass configuration information into the run-time environment. The run-time environment uses the information in conjunction with the persistent classes (Java objects or entities) and the code written with the EclipseLink API, to complete the application.

For more information, see "Overriding and Merging" in Java Persistence API (JPA) Extensions Reference for EclipseLink.

Figure 3-2 EclipseLink Metadata



This illustration shows how EclipseLink uses metadata (in XML format) to manage the data model, classes, and database table information.

The base graphic for this meta.gif is meta.psp

This section describes the following:

- Advantages of the Metadata Architecture
- Creating Project Metadata
- Creating Session Metadata

### 3.6.1 Advantages of the Metadata Architecture

The EclipseLink metadata architecture provides many important benefits, including the following:

- Stores mapping information in XML—not in the domain model objects
- By using the metadata, EclipseLink does not intrude in the object model or the database schema
- Allows you to design the object model as needed, without forcing any specific
- Allows DBAs to design the database as needed, without forcing any specific design

- Does not rely on code-generation (which can cause serious design, implementation, and maintenance issues)
- Is unobtrusive: adapts to the object model and database schema, rather than requiring you to design their object model or database schema to suit EclipseLink

Using EclipseLink JPA, you have the flexibility of expressing persistence metadata using standard JPA annotations, deployment XML, or both and you can optionally take advantage of EclipseLink JPA annotation and persistence.xml property extensions.

### 3.6.2 Creating Project Metadata

A project contains the mapping metadata that the EclipseLink runtime uses to map objects to a data source. The project is the primary object used by the EclipseLink runtime.

This section describes the principal contents of project metadata, including the following:

- Descriptors and Mappings
- **Data Source Login Information**

For Object-relational mapping, the EclipseLink runtime constructs an in-memory project based on any combination of JPA annotations, persistence.xml, orm.xml, and EclipseLink JPA annotation and persistence.xml property extensions.

For Object-XML mapping, the EclipseLink runtime uses a combination of JAXB annotations and eclipselink-oxm bindings. See "Overriding and Merging" in Java *Persistence API (JPA) Extensions Reference for EclipseLink.* 

#### 3.6.2.1 Descriptors and Mappings

EclipseLink maps persistent entities to the database in the application, using the descriptors and mappings you build with JDeveloper. These tools support several approaches to project development, including the following:

- Importing classes and tables for mapping
- Importing classes and generating tables and mappings
- Importing tables and generating classes and mappings
- Creating both class and table definitions

The most common solution is to develop the persistent entities using a development tool, such as a modeling tool or an integrated development environment (IDE) like Developer, and to develop the relational model through appropriate relational design tools. You then use JDeveloper to construct mappings that relate these two models.

Although JDeveloper offers the ability to generate persistent entities or the relational model components for an application, these utilities are intended only to assist in rapid initial development strategies-not complete round-trip application development.

For more information, see Chapter 6, "Understanding Descriptors" and Chapter 7, "Understanding Mappings".

#### 3.6.2.2 Data Source Login Information

For POJO projects, you configure a session login in the session metadata that specifies the information required to access the data source.

For more information, see Section 3.6.3, "Creating Session Metadata."

### 3.6.3 Creating Session Metadata

A EclipseLink session contains the information required to access the data source. The session is the primary object used by your application to access the features of the EclipseLink runtime.

Using EclipseLink JPA, the EclipseLink runtime constructs an in-memory session based on any combination of JPA annotations, persistence.xml, orm.xml, and EclipseLink JPA annotation and persistence.xml property extensions. The use of a sessions.xml file is optional. See "Overriding and Merging" in Java Persistence API (JPA) Extensions Reference for EclipseLink.

# 3.7 About Weaving

Weaving is a technique of manipulating the byte-code of compiled Java classes. The EclipseLink JPA persistence provider uses weaving to enhance both JPA entities and Plain Old Java Object (POJO) classes for such things as lazy loading, change tracking, fetch groups, and internal optimizations.

Weaving can be performed either dynamically at runtime, when entities are loaded, or statically at compile time by post-processing the entity .class files. By default, EclipseLink uses dynamic weaving whenever possible, including inside a Java EE application server and in Java SE when the EclipseLink agent is configured. Dynamic weaving is recommended as it is easy to configure and does not require any changes to a project's build process.

This section describes the following:

- Using Dynamic Weaving
- Using Static Weaving
- Weaving POJO Classes
- Weaving and Java EE Application Servers
- Disabling Weaving with Persistence Unit Properties

# 3.7.1 Using Dynamic Weaving

Use dynamic weaving to weave application class files one at a time, as they are loaded at run time. Consider this option when the number of classes to weave is few or when the time taken to weave the classes is short.

If the number of classes to weave is large or the time required to weave the classes is long, consider using static weaving.

# 3.7.2 Using Static Weaving

Use static weaving to weave all application class files at build time so that you can deliver prewoven class files. Consider this option to weave all applicable class files at build time so that you can deliver prewoven class files. By doing so, you can improve application performance by eliminating the runtime weaving step required by dynamic weaving.

In addition, consider using static weaving to weave in Java environments where you cannot configure an agent.

### 3.7.3 Weaving POJO Classes

EclipseLink uses weaving to enable the following for POJO classes:

- Lazy loading
- Change tracking
- Fetch groups

EclipseLink weaves all the POJO classes in the JAR you create when you package a POJO application for weaving.

EclipseLink weaves all the classes defined in the persistence.xml file, that is:

- All the classes you list in the persistence.xml file.
- All classes relative to the JAR containing the persistence.xml file if element <exclude-unlisted-classes> is false.

# 3.7.4 Weaving and Java EE Application Servers

The default EclipseLink weaving behavior applies in any Java EE JPA-compliant application server using the EclipseLink JPA persistence provider. To change this behavior, modify your persistence.xml file (for your JPA entities or POJO classes) to use EclipseLink JPA properties, EclipseLink JPA annotations, or both.

### 3.7.5 Disabling Weaving with Persistence Unit Properties

To disable weaving using EclipseLink persistence unit properties, configure your persistence.xml file with one or more of the following properties set to false:

- eclipse.weaving; disables all weaving
- eclipselink.weaving.lazy; disables weaving for lazy loading (indirection)
- eclipselink.weaving.changetracking; disables weaving for change tracking
- eclipselink.weaving.fetchgroups; disables weaving for fetch groups
- eclipselink.weaving.internal; disables weaving for internal optimization
- eclipselink.weaving.eager; disables weaving for indirection on eager relationships

# **Understanding Entities**

An entity is a lightweight persistence domain object. Typically, an entity represents a table in a relational database, and each entity instance corresponds to a row in the table. The primary programming artifact of an entity is the entity class, although entities can use helper classes.

The persistent state of an entity is represented either through persistent fields or persistent properties. These fields or properties use object/relational mapping annotations to map the entities and entity relationships to the relational data in the underlying data store.

You can configure your entity's identity, as well as the locking technique and sequence generation options for your entity.

This chapter contains the following sections:

- **Identifying Entities**
- **Entities and Persistent Identity**
- **Entities and Database Tables**
- **Entities and Inheritance**
- **Entities and Embedded Objects**
- **Entities and Sequence Generation**
- **Entities and Locking**

# 4.1 Identifying Entities

Use the @Entity annotation to specify that a class is an entity.

**Note:** The entity class must also be listed in your persistence.xml file, unless you set the tag <exclude-unlisted-classes> to false. An entity can also be defined using an orm.xml file and the <entity> tag.

For more information, see Chapter 2 "Entities" in the JPA Specification.

http://jcp.org/en/jsr/detail?id=317

# 4.2 Entities and Persistent Identity

Every entity must have a persistent identity, which is an equivalent of a primary key in a database table that stores the entity state.

By default, the EclipseLink persistence provider assumes that each entity has at least one field or property that serves as a primary key.

You can generate and/or configure the identity of your entities by using the following annotations:

- @Id
- @IdClass
- @EmbeddedId
- @GeneratedValue
- @TableGenerator
- @SequenceGenerator
- @UuidGenerator

You can also use these annotations to fine-tune how your database maintains the identity of your entities. For more information on these annotations, see "Metadata for Object/Relational Mapping" in the JPA Specification.

http://jcp.org/en/jsr/detail?id=317

### 4.3 Entities and Database Tables

Every entity class maps to a specific table or set of tables in the database. By default, the entity's table name is defaulted as its entity name as uppercase, which defaults to the entity's short class name. An entity normally maps to a single table, but can also map to multiple tables, or even a view.

You can customize an entity's tables using the following annotations:

- @Table
- @SecondaryTable

## 4.4 Entities and Inheritance

JPA defines several difference methods for persisting objects with inheritance. The @Inheritance annotation is used in the root class to define SINGLE\_TABLE, JOINED, and TABLE\_PER\_CLASS inheritance. For abstract classes that define common state or persistence behavior, but have no relationship on the database, the @MappedSuperclass annotation can be used.

- @Inheritance
- @MappedSuperclass

# 4.5 Entities and Embedded Objects

You can use the @Embeddable annotation to map an embedded class. An embeddable is a special type of class that is not directly persistent, but persisted only with its parent entity. An embeddable can be referenced from an entity or another embeddable using the @Embedded annotation for a single reference, @EmbeddedId for an embedded id, or the @ElementCollection annotation for a Collection or Map reference. An embeddable can also be used in any Map key using the @MapKeyClass annotation.

- @Embeddable
- @EmbeddedId

- @Embedded
- @ElementCollection

# 4.6 Entities and Sequence Generation

Many databases support an internal mechanism for id generation called sequences. You can use a database sequence to generate identifiers when the underlying database supports them.

- @SequenceGenerator—If you use the @GeneratedValue annotation to specify a primary key generator of type SEQUENCE, then you can use the @SequenceGenerator annotation to fine-tune this primary key generator to do the following:
  - change the allocation size to match your application requirements or database performance parameters
  - change the initial value to match an existing data model (for example, if you are building on an existing data set for which a range of primary key values has already been assigned or reserved)
  - use a predefined sequence in an existing data model
- @TableGenerator—If you use the @GeneratedValue annotation to specify a primary key generator of type TABLE, then you can use the @TableGenerator annotation to fine-tune this primary key generator to do the following:
  - change the name of the primary key generator's table, because the name is awkward, a reserved word, incompatible with a preexisting data model, or invalid as a table name in your database
  - change the allocation size to match your application requirements or database performance parameters
  - change the initial value to match an existing data model (for example, if you are building on an existing data set, for which a range of primary key values has already been assigned or reserved)
  - configure the primary key generator's table with a specific catalog or schema
  - configure a unique constraint on one or more columns of the primary key generator's table

For more information and examples of these annotations, see "Metadata for Object/Relational Mapping" in the JPA Specification.

# 4.7 Entities and Locking

You have the choice between optimistic and pessimistic locking. Oracle recommends using optimistic locking. For more information, see Section 6.2.4, "Descriptors and Locking."

By default, the EclipseLink persistence provider assumes that the application is responsible for data consistency.

Use the @Version annotation to enable JPA-managed optimistic locking by specifying the version field or property of an entity class that serves as its optimistic lock value (recommended).

When choosing a version field or property, ensure that the following is true:

there is only one version field or property per entity

- you choose a property or field persisted to the primary table (see "Table Annotation" in the JPA Specification)
- your application does not modify the version property or field

**Note:** The field or property type must either be a numeric type (such as Number, long, int, BigDecimal, and so on), or a java.sql.Timestamp. Oracle recommends using a numeric type.

The @Version annotation does not have attributes.

For more information, see the following:

- "Optimistic Locking and Concurrency" in the JPA Specification http://jcp.org/en/jsr/detail?id=317
- "Version Annotation" in the JPA Specification http://jcp.org/en/jsr/detail?id=317
- EclipseLink JPA extensions for optimistic locking described in Java Persistence API (JPA) Extensions Reference for EclipseLink

For more information on the EclipseLink artifacts configured by JPA metadata, see Section 6.2.4, "Descriptors and Locking".

# **Understanding Application Deployment**

Packaging means assembling all parts of the application in a way that can be correctly interpreted and used by the infrastructure when the application is deployed into an application server or run in a stand-alone JVM.

For more information, see Chapter 6 "Entity Packaging" in the JPA Specification:

http://jcp.org/en/jsr/detail?id=317

This chapter contains the following sections:

- Integrating with an Application Server
- About the Persistence Unit
- **Integrating Clustering**

# 5.1 Integrating with an Application Server

This section describes concepts unique to application server integration, including the following:

- Software Requirements
- **Setting Security Permissions**
- Migrating Applications to the EclipseLink Persistence Manager

### 5.1.1 Software Requirements

To run EclipseLink applications within a Java EE container, your system must meet the following software requirements:

- An application server or Java EE container
- XML parser
- A JDBC driver configured to connect with your local database system (for more information, see your database administration documentation);
- Any of the following:
  - Any Java environment that is compatible with the Sun JDK 1.6 or later;
  - A command-line JVM executable (such as java.exe or jre.exe).

# **5.1.2 Setting Security Permissions**

By default, when you run applications in a JVM configured with a nondefault java. lang. Security Manager, the runtime environment executes certain internal functions by executing a PrivilegedAction with java.security.AccessController method doPrivileged. This ensures that you do not need to grant many permissions to EclipseLink for it to perform its most common operations. You need only grant certain permissions depending on the types of optional features you use.

If you run EclipseLink-enabled applications in a JVM without a nondefault SecurityManager, you do not need to set any permissions. For more information, see "Using Oracle EclipseLink with the Oracle Database" in Solutions Guide for EclipseLink.

### 5.1.3 Migrating Applications to the EclipseLink Persistence Manager

You can configure an application server to use EclipseLink as the persistence manager. You can use only one persistence manager for all of the entities with containermanaged persistence in a JAR file.

EclipseLink provides automated support for migrating an existing Java EE application to use EclipseLink as the persistence manager. For more information, see "Migrating from Apache OpenJPA to EclipseLink" in Solutions Guide for EclipseLink.

### 5.2 About the Persistence Unit

A persistence unit defines the details that are required when you acquire an entity manager. To package your EclipseLink JPA application, you must configure the persistence unit during the creation of the persistence.xml file. Define each persistence unit in a persistence-unit element in the persistence.xml file.

Use the persistence.xml file to package your entities. Once you chose a packaging strategy, place the persistence.xml file in the META-INF directory of the archive of your choice. The following sections provide more detail on how to specify the persistence unit. For more information and examples, see "persistence.xml file" in the JPA Specification. For information on EclipseLink extensions to the persistence.xml file, see "Persistence Property Extensions Reference" in Java Persistence API (JPA) Extensions Reference for EclipseLink.

- About the Persistence Unit Name
- About the Transaction Type, Persistence Provider and Data Source
- **About Mapping Files**
- **About Managed Classes**
- **About Vendor Properties**
- About the Deployment Classpath
- **About Persistence Unit Packaging Options**
- About the Persistence Unit Scope

#### 5.2.1 About the Persistence Unit Name

If you are developing your application in a Java EE environment, ensure that the persistence unit name is unique within each module. For example, you can define only one persistence unit with the name EmployeeService in an emp\_ejb.jar file.

For more information, see "name" in the JPA Specification.

### 5.2.2 About the Transaction Type, Persistence Provider and Data Source

If you are developing your application in a Java EE environment, accept the default transaction type: JTA, and for the persistence provider setting, set the persistence provider in a provider element. Specify the data source in a jta-data-source element.

For more information, see "transaction-type" and "provider" in the JPA Specification.

### 5.2.3 About Mapping Files

Apply the metadata to the persistence unit. This metadata is a union of all the mapping files and the annotations (if there is no xml-mapping-metadata-complete element). If you use one mapping orm.xml file for your metadata, and place this file in a META-INF directory on the classpath, then you do not need to explicitly list it, because the EclipseLink persistence provider will automatically search for this file and use it. If you named your mapping files differently or placed them in a different location, then you must list them in the mapping-file elements in the persistence.xml file.

For more information, see "mapping-file, jar-file, class, exclude-unlisted-classes" in the JPA Specification

### 5.2.4 About Managed Classes

Typically, you put all of the entities and other managed classes in a single JAR file, along with the persistence.xml file in the META-INF directory, and one or more mapping files (when you store metadata in XML).

At the time the EclipseLink persistence provider processes the persistence unit, it determines which set of entities, mapped superclasses, and embedded objects each particular persistence unit will manage.

At deployment time, the EclipseLink persistence provider may obtain managed classes from any of the following sources. A managed class will be included if it is one of the following:

Local classes: the classes annotated with @Entity, @MappedSuperclass or @Embeddable in the deployment unit in which its persistence.xml file was packaged. For more information, see "Entity" in the JPA Specification.

> **Note:** If you are deploying your application in the Java EE environment, the application server itself, not the EclipseLink persistence provider, will discover local classes. In the Java SE environment, you can use the exclude-unlisted-classes element to false to enable this functionality—EclipseLink persistence provider will attempt to find local classes if you set this element to false. See "mapping-file, jar-file, class, exclude-unlisted-classes" in the JPA Specification.

Classes in mapping files: the classes that have mapping entries, such as entity (see "entity" in the JPA Specification), mapped-superclass or embeddable, in an XML mapping file. For more information, see "mapped-superclass" and "embeddable" in the JPA Specification.

If these classes are in the deployed component archive, then they will already be on the classpath. If they are not, you must explicitly include them in the classpath. Explicitly listed classes: the classes that are listed as class elements in the persistence.xml file.

Consider listing classes explicitly if one of the following applies:

- there are additional classes that are not local to the deployment unit JAR. For example, there is an embedded object class in a different JAR that you want to use in an entity in your persistence unit. You would list the fully qualified class in the class element in the persitence.xml file. You would also need to ensure that the JAR or directory that contains the class is on the classpath of the deployed component (by adding it to the manifest classpath of the deployment JAR, for example);
- you want to exclude one or more classes that may be annotated as an entity. Even though the class may be annotated with the @Entity annotation, you do not want it treated as an entity in this particular deployed context. For example, you may want to use this entity as a transfer object and it needs to be part of the deployment unit. In this case, in the Java EE environment, you have to use the exclude-unlisted-classes element of the persistence.xml file—the use of the default setting of this element prevents local classes from being added to the persistence unit. For more information, see "mapping-file, jar-file, class, exclude-unlisted-classes" of the IPA Specification.
- you plan to run your application in the Java SE environment, and you list your classes explicitly because that is the only portable way to do so in Java SE.
- Additional JAR files of managed classes: the annotated classes in a named JAR file listed in a jar-file element in the persistence.xml file. For more information, see "mapping-file, jar-file, class, exclude-unlisted-classes" in the JPA Specification.

You have to ensure that any JAR file listed in the jar-file element is on the classpath of the deployment unit. Do so by manually adding the JAR file to the manifest classpath of the deployment unit.

Note that you must list the JAR file in the jar-file element relative to the parent of the JAR file in which the persistence.xml file is located. This matches what you would put in the classpath entry in the manifest file.

# **5.2.5 About Vendor Properties**

The last section in the persistence.xml file is the properties section. The properties element gives you the chance to supply EclipseLink persistence provider-specific settings for the persistence unit. See "properties" in the JPA Specification. see also "Persistence Property Extensions Reference" in Java Persistence API (JPA) Extensions Reference for EclipseLink.

# 5.2.6 About the Deployment Classpath

To be accessible to the EJB JAR, WAR, or EAR file, a class or a JAR file must be on the deployment classpath. You can achieve this in one of the following ways:

- Put the JAR file in the manifest classpath of the EJB JAR or WAR file. To do this, add a classpath entry to the META-INF/MANIFEST. MF file in the JAR or WAR file. You can specify one or more directories or JAR files, separating them by spaces.
- Place the JAR file in the library directory of the EAR file.

This will make the JAR file available on the application classpath and accessible by all of the modules deployed within the EAR file. By default, this would be the lib

directory of the EAR file, although you may configure it to be any directory in the EAR file using the library-directory element in the application.xml deployment descriptor.

### 5.2.7 About Persistence Unit Packaging Options

Java EE allows for persistence support in a variety of packaging configurations. You can deploy your application to the following module types:

- EJB modules: you can package your entities in an EJB JAR. When defining a persistence unit in an EJB JAR, the persistence.xml file is not optional-you must create and place it in the META-INF directory of the JAR alongside the deployment descriptor, if it exists.
- Web modules: you can use WAR file to package your entities. In this case, place the persistence.xml file in the WEB-INF/classes/META-INF directory. Since the WEB-INF/classes directory is automatically on the classpath of the WAR, specify the mapping file relative to that directory.
- Persistence archives: a persistence archive is a JAR that contains a persistence. xml file in its META-INF directory and the managed classes for the persistence unit defined by the persistence.xml file. Use a persistence archive if you want to allow multiple components in different Java EE modules to share or access a persistence unit.

Once you created a persistence archive, you can place it in either the root or the application library directory of the EAR. Alternatively, you can place the persistence archive in the WEB-INF/lib directory of a WAR. This will make the persistence unit accessible only to the classes inside the WAR, but it enables the decoupling of the definition of the persistence unit from the web archive itself.

For more information, see "Persistence Unit Packaging" in the JPA Specification.

# 5.2.8 About the Persistence Unit Scope

You can define any number of persistence units in single persistence.xml file. The following are the rules for using defined and packaged persistence units:

- Persistence units are accessible only within the scope of their definition.
- Persistence units names must be unique within their scope.

For more information, see "Persistence Unit Scope" in the JPA Specification.

# 5.3 Integrating Clustering

Most application servers include a clustering service that you can use with your EclipseLink application.

To use EclipseLink with an application server cluster, use this general procedure:

- 1. Install the eclipselink.jar file (and include it in the classpath) on each application server in the cluster to which you deploy EclipseLink applications.
- **2.** Configure the cache consistency options appropriate for your application. For more information, see Chapter 9, "Understanding Caching."
- **3.** Configure the coordinated cache support for your application server, if available. For more information, see Chapter 9, "Understanding Caching."

**4.** Configure clustering on each application server. For more information, see your application server documentation.

# **Understanding Descriptors**

EclipseLink uses descriptors to store the information that describes how an instance of a particular class can be represented by a data source. Descriptors own mappings that associate class instance variables with a data source and transformation routines that are used to store and retrieve values. As such, the descriptor acts as the connection between a Java object and its data source representation.

This chapter includes the following sections:

- Common Descriptor Concepts
- Object-Relational Descriptor Concepts
- Object-XML Descriptor Concepts
- **Descriptor API**

# **6.1 Common Descriptor Concepts**

The following sections describe the concepts that are common to Object-Relational and Object-XML descriptors.

- Descriptor Architecture
- Descriptors and Inheritance
- Descriptors and Aggregation
- **Descriptor Customization**
- Amendment and After-Load Methods
- Descriptor Event Manager

### **6.1.1 Descriptor Architecture**

A **descriptor** stores all the information describing how an instance of a particular object class can be represented in a data source.

EclipseLink descriptors may contain the following information:

- The persistent Java class it describes and the corresponding data source (database tables or XML complex type interaction)
- A collection of mappings, which describe how the attributes and relationships for that class are represented in the data source
- The primary key information (or equivalent) of the data source
- A list of query keys (or aliases) for field names

- Information for sequence numbers
- A set of optional properties for tailoring the behavior of the descriptor, including support for caching refresh options, identity maps, optimistic locking, the event manager, and the query manager

There is a descriptor type for each data source type that EclipseLink supports. In some cases, multiple descriptor types are valid for the same data source type. The type of descriptor you use determines the type of mappings that you can define.

### 6.1.2 Descriptors and Inheritance

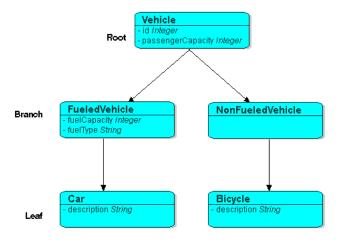
**Inheritance** describes how a derived (child) class inherits the characteristics of its superclass (parent). You can use descriptors to describe the inheritance relationships between classes in relational and XML projects.

In the descriptor for a child class, you can override mappings that have been specified in the descriptor for a parent class, or map attributes that have not been mapped at all in the parent class descriptor.

Figure 6–1 illustrates the Vehicle object model–a typical Java inheritance hierarchy. The root class Vehicle contains two branch classes: FueledVehicle and NonFueledVehicle. Each branch class contains a leaf class: Car and Bicycle, respectively.

Figure 6–1 Example Inheritance Hierarchy

Java Inheritance Hierarchy:



This illustration shows a typical Java inheritance hierarchy. The **root class** (Vehicle) contains two branch classes: FueledVehicle and NonFueledVehicle. Each branch class contains a **leaf class**: Car and Bicycle, respectively.

EclipseLink recognizes the following three types of classes in an inheritance hierarchy:

The root class stores information about all instantiable classes in its subclass hierarchy. By default, queries performed on the root class return instances of the root class and its instantiable subclasses. However, the root class can be configured so gueries on it return only instances of itself, without instances of its subclasses.

For example, the Vehicle class in Figure 6–1 is a root class.

2. Branch classes have a persistent superclass and also have subclasses. By default, queries performed on the branch class return instances of the branch class and any of its subclasses. However, as with the root class, the branch class can be configured so queries on it return only instances of itself without instances of its subclasses.

For example, the FueledVehicle class in Figure 6–1 is a branch class.

3. Leaf classes have a persistent superclass in the hierarchy but do not have subclasses. Queries performed on the leaf class can only return instances of the leaf class.

For example, the Car class in Figure 6–1 is a leaf class.

In the descriptor for a child class, you can override mappings that have been specified in the descriptor for a parent class, or map attributes that have not been mapped at all in the parent class descriptor.

This section includes information on the following topics:

- Specifying a Class Indicator
- Inheritance and Primary Keys
- Single and Multi-Table Inheritance
- Aggregate and Composite Descriptors and Inheritance

### 6.1.2.1 Specifying a Class Indicator

When configuring inheritance, you configure the root class descriptor with the means of determining which subclasses it should instantiate.

You can do this in one of the following ways:

- Using Class Indicator Fields
- Using Class Extraction Methods

**Note:** All leaf classes in the hierarchy must have a class indicator and they must have the same type of class indicator (field or class extraction method).

**6.1.2.1.1 Using Class Indicator Fields** You can use a persistent attribute of a class to indicate which subclass should be instantiated. For example, in a relational descriptor, you can use a class indicator field in the root class table. The indicator field should not have an associated direct mapping unless it is set to read-only.

**Note:** If the indicator field is part of the primary key, define a write-only transformation mapping for the indicator field.

You can use strings or numbers as values in the class indicator field.

The root class descriptor must specify how the value in the class indicator field translates into the class to be instantiated.

One approach is to configure the root class descriptor with a class indicator dictionary: a collection of key-values that associates a simple key, stored in the class indicator field, with a class to instantiate. Table 6-1 illustrates the class indicator dictionary for the Vehicle class' subclasses, as shown in Figure 6–1.

Table 6–1 Class Indicator Dictionary for the Vehicle Class

| Key | Value            |
|-----|------------------|
| F   | FueledVehicle    |
| N   | NonFueledVehicle |
| С   | Car              |
| В   | Bicycle          |

Another approach is to simply use the class name itself as the value stored in the class indicator field. This avoids having to define unique indicators for each class at the expense of a slightly larger key value (depending on the length of your class names).

**6.1.2.1.2 Using Class Extraction Methods** You can define a Java method to compute the class indicator based on any available information in the object's data source record. Such a method is called a class extraction method.

Using a class extraction method, you do not need to include an explicit class indicator field in your data model and you can handle relationships that are too complex to describe using class indicator fields.

A class extraction method must have the following characteristics:

- it must be defined on the root descriptor's class;
- it must be static;
- it must take a Record as an argument;
- it must return the java.lang.Class object to use for the Record passed in.

You may also need to define only-instances and with-all-subclasses expressions. If you use a class extraction method, then you must provide EclipseLink with expressions to correctly filter sibling instances for all classes that share a common table.

For example, Table 6-2 lists the rows in the EMPLOYEE table. The Employee class is the base class. Director, Manager, Programmer, and TechWriter classes each derive from the Employee class. However, in your application, instances of Manager, Programmer, and TechWriter classes must be represented as Employee instances and instances of Director must be represented as Director instances. Because there is not a one-to-one correspondence between class and JOB\_TYPE field value, the JOB\_TYPE field alone cannot serve as a class indicator field (see Section 6.1.2.1.1, "Using Class Indicator Fields"). To resolve this issue, you could use the class extraction method, shown in Example 6–1.

Table 6-2 EMPLOYEE Table

| ID  | NAME          | JOB_TYPE | JOB_TITLE        |
|-----|---------------|----------|------------------|
| 732 | Bob Jones     | 1        | Manager          |
| 733 | Sarah Smith   | 3        | Technical Writer |
| 734 | Ben Ng        | 2        | Director         |
| 735 | Sally Johnson | 3        | Programmer       |

#### Example 6-1 Class Extraction Method

// If the JOB\_TYPE field value in record equals 2, return the Director class. // Return the Employee class for all other JOB\_TYPE field values

```
public static Class getClassFromRecord(Record record) {
   if (record.get("JOB_TYPE").equals(new Integer(2)) {
        return Director.class;
    else {
       return Employee.class;
```

When configuring inheritance using a class extraction method, EclipseLink does not generate SQL for queries on the root class.

#### 6.1.2.2 Inheritance and Primary Keys

For relational projects, EclipseLink assumes that all of the classes in an inheritance hierarchy have the same primary key, as set in the root descriptor.

### 6.1.2.3 Single and Multi-Table Inheritance

In a relational project, you can map your inheritance hierarchy to a single table or to multiple tables.

#### 6.1.2.4 Aggregate and Composite Descriptors and Inheritance

You can designate relational descriptors as aggregates. XML descriptors are always composites (see Section 6.1.3, "Descriptors and Aggregation").

When configuring inheritance for a relational aggregate descriptor, all the descriptors in the inheritance tree must be aggregates. The descriptors for aggregate and non-aggregate classes cannot exist in the same inheritance tree.

When configuring inheritance for an XML descriptor, because all XML descriptors are composites, descriptor type does not restrict inheritance.

# 6.1.3 Descriptors and Aggregation

Two objects—a source (parent or owning) object and a target (child or owned) object—are related by aggregation if there is a strict one-to-one relationship between them, and all the attributes of the target object can be retrieved from the same data source representation as the source object. This means that if the source object exists, then the target object must also exist, and if the source object is destroyed, then the target object is also destroyed.

In this case, the descriptors for the source and target objects must be designated to reflect this relationship.

In EJB 3.0, an aggregate is known as an embeddable. In the EJB 3.0 specification, an embeddable may not contain another embeddable (that is, the EJB 3.0 specification does not support nested aggregates).

For more information, see Section 6.1.2.4, "Aggregate and Composite Descriptors and Inheritance".

# 6.1.4 Descriptor Customization

You can customize a descriptor at run time by specifying a descriptor customizer—a Java class that implements the org.eclipse.persistence.config.

DescriptorCustomizer interface and provides a default (zero-argument) constructor.

You use a descriptor customizer to customize a descriptor at run time through code API similar to how you use an amendment method to customize a descriptor. See Section 6.1.5, "Amendment and After-Load Methods".

#### 6.1.5 Amendment and After-Load Methods

You can associate a static Java method that is called when a descriptor is loaded at run time. This method can amend the run-time descriptor instance through the descriptor Java code API.

You can only modify descriptors before the session has been connected; you should not modify descriptors after the session has been connected.

## 6.1.6 Descriptor Event Manager

In relational projects, EclipseLink raises various instances of DescriptorEvent during the persistence life cycle. Each descriptor owns an instance of DescriptorEventManager that is responsible for receiving these events and dispatching them to the descriptor event handlers registered with it.

Using a descriptor event handler, you can execute your own application specific logic whenever descriptor events occur, allowing you to take customized action at various points in the persistence life-cycle. For example, using a descriptor event handler, you can do the following:

- Synchronize persistent objects with other systems, services, and frameworks
- Maintain nonpersistent attributes of which EclipseLink is not aware
- Notify other objects in the application when the persistent state of an object changes
- Implement complex mappings or optimizations not directly supported by EclipseLink mappings

# 6.2 Object-Relational Descriptor Concepts

The following sections describe the concepts specific to Object-Relational descriptors.

- Fetch Groups
- Descriptor Query Manager
- Descriptors and Sequencing
- Descriptors and Locking

### 6.2.1 Fetch Groups

By default, when you execute an object-level read query for a particular object class, EclipseLink returns all the persistent attributes mapped in the object's descriptor. With this single query, all the object's persistent attributes are defined, and calling their get methods returns the value directly from the object.

When you are interested in only some of the attributes of an object, it may be more efficient to return only a subset of the object's attributes using a fetch group.

Using a fetch group, you can define a subset of an object's attributes and associate the fetch group with either a ReadObjectQuery or ReadAllQuery query. When you execute the query, EclipseLink retrieves only the attributes in the fetch group. EclipseLink

automatically executes a query to fetch all the attributes excluded from this subset when and if you call a get method on any one of the excluded attributes.

You can define more than one fetch group for a class. You can optionally designate at most one such fetch group as the default fetch group. If you execute either a ReadObjectQuery or ReadAllQuery guery without specifying a fetch group, EclipseLink will use the default fetch group, unless you configure the query otherwise.

Before using fetch groups, Oracle recommends that you perform a careful analysis of system use. In many cases, the extra queries required to load attributes not in the fetch group could well offset the gain from the partial attribute loading.

Fetch groups can be used only with basic mappings configured with FetchType.LAZY (partial object queries).

EclipseLink uses the AttributeGroup that can be used to configure the use of partial entities in fetch, load, copy, and merge operations.

- Fetch: Control which attributes and their associated columns are retrieved from the database
- Load: Control which relationships in the entities returned from a query are populated
- Copy: Control which attributes are copied into a new entity instance
- Merge: Merge only those attributes fetched, loaded, or copied into an entity

#### 6.2.1.1 AttributeGroup Types and Operations

The following sections describe the possible AttributeGroup types and operations.

- **FetchGroup**
- Default FetchGroup
- Named FetchGroup
- Full FetchGroup
- Load/LoadAll with FetchGroup
- LoadGroup
- CopyGroup
- Merging

#### 6.2.1.2 FetchGroup

The FetchGroup defines which attributes should be fetched (selected from the database) when the entity is retrieved as the result of a query execution. The inclusion of relationship attributes in a FetchGroup only determines if the attribute's required columns should be fetched and populated. In the case of a lazy fetch type the inclusion of the attribute simply means that its proxy will be created to enable lazy loading when accessed. To force a relationship mapping to be populated when using a FetchGroup on a query the attribute must be included in the group and must either be FetchType.EAGER or it must be included in an associated LoadGroup on the query.

#### 6.2.1.3 Default FetchGroup

FetchGroup also has the notion of named and default FetchGroup which are managed by the FetchGroupManager. A default FetchGroup is defined during metadata processing if one or more basic mappings are configured to be lazy and the entity class implements FetchGroupTracker (typically introduced through weaving). The default

FetchGroup is used on all queries for this entity type where no explicit FetchGroup or named FetchGroup is configured.

#### 6.2.1.4 Named FetchGroup

A Named FetchGroup can be defined for an entity using @FetchGroup annotation or within the eclipselink-orm.xml file.

#### 6.2.1.5 Full FetchGroup

A FetchGroup when first created is assumed to be empty. The user must add the attributes to the FetchGroup. If a FetchGroup is required with all of the attributes then the FetchGroupManager.createFullFetchGroup() must be used.

#### 6.2.1.6 Load/LoadAll with FetchGroup

A FetchGroup can also be configured to perform a load operation of relationship mappings and nested relationship mappings.

#### 6.2.1.7 LoadGroup

A LoadGroup is used to force a specified set of relationship attributes to be populated in a query result.

#### 6.2.1.8 CopyGroup

The CopyGroup replaces the deprecated ObjectCopyPolicy being used to define how a entity is copied. In addition to specifying the attributes defining what should be copied from the source entity graph into the target copy the CopyGroup also allows definition of:

- shouldResetPrimaryKey: Reset the identifier attributes to their default value. This is used when the copy operation is intended to clone the entity in order to make a new entity with similar state to the source. Default is false.
- shouldResetVersion: Reset the optimistic version locking attribute to its default value in the copies. Default is false.
- depth: defines cascade mode for handling relationships. By default CASCADE PRIVATE\_PARTS is used but it can also be configured to NO\_CASCADE and CASCADE\_ ALL PARTS.

#### 6.2.1.9 Merging

When a partial entity is merged into a persistence context that has an AttributeGroup associated with it defining which attributes are available only those attributes are merged. The relationship mappings within the entity are still merged according to their cascade merge settings.

# 6.2.2 Descriptor Query Manager

Each relational descriptor provides an instance of DescriptorQueryManager that you can use to configure the following:

- named queries
- custom default queries for basic persistence operations
- additional join expressions

### 6.2.3 Descriptors and Sequencing

An essential part of maintaining object identity is managing the assignment of unique values (that is, a specific sequence) to distinguish one object instance from another.

Sequencing options you configure at the project (or session) level determine the type of sequencing that EclipseLink uses. In a POJO project, you can use session-level sequence configuration to override project-level sequence configuration, on a session-by-session basis, if required.

After configuring the sequence type, for each descriptor's reference class, you must associate one attribute, typically the attribute used as the primary key, with its own sequence.

## 6.2.4 Descriptors and Locking

With object-relational mapping, you can configure a descriptor with any of the following locking policies to control concurrent access to a domain object:

- Optimistic—All users have read access to the data. When a user attempts to make a change, the application checks to ensure the data has not changed since the user read the data.
- Pessimistic—The first user who accesses the data with the purpose of updating it locks the data until completing the update.
- No locking—The application does not prevent users overwriting each other's changes.

Oracle recommends using optimistic locking for most types of applications to ensure that users do not overwrite each other's changes.

This section describes the various types of locking policies that EclipseLink supports, including the following:

- **Optimistic Version Locking Policies**
- Pessimistic Locking Policies
- Applying Locking in an Application

#### 6.2.4.1 Optimistic Version Locking Policies

With optimistic locking, all users have read access to the data. When a user attempts to make a change, the application checks to ensure the data has not changed since the user read the data.

Optimistic version locking policies enforce optimistic locking by using a version field (also known as a write-lock field) that you provide in the reference class that EclipseLink updates each time an object change is committed.

EclipseLink caches the value of this version field as it reads an object from the data source. When the client attempts to write the object, EclipseLink compares the cached version value with the current version value in the data source in the following way:

- If the values are the same, EclipseLink updates the version field in the object and commits the changes to the data source.
- If the values are different, the write operation is disallowed because another client must have updated the object since this client initially read it.

EclipseLink provides the following version-based optimistic locking policies:

VersionLockingPolicy

TimestampLockingPolicy

For descriptions of these locking policies, see "Setting Optimistic Locking" in Solutions *Guide for EclipseLink.* 

**Note:** In general, Oracle recommends numeric version locking because of the following:

- accessing the timestamp from the data source can have a negative impact on performance;
- time stamp locking is limited to the precision that the database stores for timestamps.

Whenever any update fails because optimistic locking has been violated, EclipseLink throws an OptimisticLockException. This should be handled by the application when performing any database modification. The application must notify the client of the locking contention, refresh the object, and have the client reapply its changes.

You can choose to store the version value in the object as a mapped attribute, or in the cache. In three-tier applications, you typically store the version value in the object to ensure it is passed to the client when updated (see Section 6.2.4.3, "Applying Locking in an Application").

If you store the version value in the cache, you do not need to map it. If you do map the version field, you must configure the mapping as read-only.

To ensure that the parent object's version field is updated whenever a privately owned child object is modified, consider Section 6.2.4.1.1, "Optimistic Version Locking Policies and Cascading".

If you are using a stored procedure to update or delete an object, your database may not return the row-count required to detect an optimistic lock failure, so your stored procedure is responsible for checking the optimistic lock version and throwing an error if they do not match. Only version locking is directly supported with a StoredProcedureCall. Because timestamp and field locking require two versions of the same field to be passed to the call, an SQL call that uses an ## parameter to access the translation row could be used for other locking policies.

**6.2.4.1.1 Optimistic Version Locking Policies and Cascading** If your database schema is such that both a parent object and its privately owned child object are stored in the same table, then if you update the child object, the parent object's version field will be updated.

However, if the parent and its privately owned child are stored in separate tables, then changing the child will not, by default, update the parent's version field.

To ensure that the parent object's version field is updated in this case, you can either manually update the parent object's version field or, if you are using a VersionLockingPolicy, you can configure EclipseLink to automatically cascade the child object's version field update to the parent.

After you enable optimistic version locking cascading, when a privately owned child object is modified, EclipseLink will traverse the privately owned foreign reference mappings, updating all the parent objects back to the root.

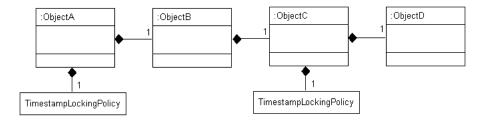
Optimistic version locking cascading is only applied if the child object is registered in a unit of work.

EclipseLink supports optimistic version locking cascading for:

- object changes in privately owned one-to-one and one-to-many mappings
- relationship changes (adding or removing) in the following collection mappings (privately owned or not):
  - direct collection
  - one-to-many
  - many-to-many
  - aggregate collection

Consider the example object graph shown in Figure 6–2

Figure 6–2 Optimistic Version Locking Policies and Cascading Example



This illustration shows ObjectA privately owns one ObjectB, and ObjectB privately owns one ObjectC, and ObjectC privately owns one ObjectD. ObjectA and ObjectC each own a TimeStampLockingPolicy.

In this example, ObjectA privately owns ObjectB, and ObjectB privately owns ObjectC, and ObjectC privately owns ObjectD.

Suppose you register ObjectB in a unit of work, modify an ObjectB field, and commit the unit of work. In this case, ObjectB checks the cache for ObjectA and, if not present, queries the database for ObjectA. ObjectB then notifies ObjectA of its change. ObjectA forces an update on its version optimistic locking field even though it has no changes to its corresponding table.

Suppose you register ObjectA in a unit of work, access its ObjectB to access its ObjectC to access its ObjectD, modify an ObjectD field, and commit the unit of work. In this case, ObjectD notifies ObjectC of its changes. ObjectC forces an update on its version optimistic locking field even though it has no changes to its corresponding table. ObjectC then notifies ObjectB of the ObjectD change. ObjectB then notifies ObjectA of the ObjectD change. ObjectA forces an update on its version optimistic locking field even though it has no changes to its corresponding table.

**6.2.4.1.2 Optimistic Locking and Rollbacks** With optimistic locking, use the UnitOfWork method commitAndResumeOnFailure to rollback a locked object's value, if you store the optimistic lock versions in the cache.

If you store the locked versions in an object, you must refresh the objects (or their versions) on a failure. Alternatively, you can acquire a new unit of work on the failure and reapply any changes into the new unit of work.

**6.2.4.1.3 Optimistic Field Locking Policies** Optimistic field locking policies enforce optimistic locking by using one or more of the fields that currently exist in the table to determine if the object has changed since the client read the object.

The unit of work caches the original state of the object when you first read the object or register it with the unit of work. At commit time, the unit of work compares the original values of the lock fields with their current values on the data source during the update. If any of the lock fields' values have changed, an optimistic lock exception is thrown.

EclipseLink provides the following optimistic field locking policies:

- AllFieldsLockingPolicy
- ChangedFieldsLockingPolicy
- SelectedFieldsLockingPolicy
- VersionLockingPolicy
- TimestampLockingPolicy

For descriptions of these locking policies, see "Setting Optimistic Locking" in Solutions *Guide for EclipseLink.* 

#### 6.2.4.2 Pessimistic Locking Policies

With pessimistic locking, the first user who accesses the data with the purpose of updating it locks the data until completing the update.

When using a pessimistic locking policy, you can configure the policy to either fail immediately or to wait until the read lock is acquired.

You can use a pessimistic locking policy only in a project with a container-managed persistence type and with descriptors that have EJB information.

You can also use pessimistic locking (but not a pessimistic locking policy) at the query level.

EclipseLink provides an optimization for pessimistic locking when this locking is used with entities with container-managed persistence: if you set your query to pessimistic locking and run the query in its own new transaction (which will end after the execution of the finder), then EclipseLink overrides the locking setting and does not append FOR UPDATE to the SQL. However, the use of this optimization may produce an undesirable result if the pessimistic lock query has been customized by the user with a SQL string that includes FOR UPDATE. In this case, if the conditions for the optimization are present, the query will be reset to nonpessimistic locking, but the SQL will remain the same resulting in the locking setting of the query conflicting with the query's SQL string. To avoid this problem, you can take one of the following two approaches:

- Use an expression (see Chapter 11, "Understanding EclipseLink Expressions") for the selection criteria. This will give EclipseLink control over the SQL generation.
- Place the finder in a transaction to eliminate conditions for the optimization.

#### 6.2.4.3 Applying Locking in an Application

To correctly lock an object in an application, you must obtain the lock before the object is sent to the client for editing.

**6.2.4.3.1** Applying Optimistic Locking in an Application If you are using optimistic locking, you have the following two choices for locking objects correctly:

1. Map the optimistic lock field in your object as not read-only and pass the version to the client on the read and back to the server on the update.

Ensure that the original version value is sent to the client when it reads the object for the update. The client must then pass the original version value back with the

update information, and this version must be set into the object to be updated after it is registered/read in the new unit of work on the server.

2. Hold the unit of work for the duration of the interaction with the client.

Either through a stateful session bean, or in an HTTP session, store the unit of work used to read the object for the update for the duration of the client interaction.

You must read the object through this unit of work before passing it to the client for the update. This ensures that the version value stored in the unit of work cache or in the unit of work clone will be the original value.

This same unit of work must be used for the update.

The first option is more commonly used, and is required if developing a stateless application.

**6.2.4.3.2** Applying Pessimistic Locking in an Application If you are using pessimistic locking, you must use the unit of work to start a database transaction before the object is read. You must hold this unit of work and database transaction while the client is editing the object and until the client updates the object. You must use this same unit of work to update the object.

## 6.3 Object-XML Descriptor Concepts

The following section describes the use of default root elements in Object-XML descriptors.

#### 6.3.1 Default Root Element

You configure XML descriptors with a default root element so that the EclipseLink runtime knows the data source data type associated with the class the descriptor describes.

> **Note:** The undefined document root element of a referenced object is ignored during marshalling with an any collection mapping and object mapping.

This section describes what a default root element is and how EclipseLink uses it.

Consider the Customer and Address classes and their mappings, shown in Example 6–2.

#### Example 6-2 Customer and Address Classes

```
Class: Customer
Default Root: customer
Attributes and Mappings:
   name:String
                           Direct Mapping
                                                         name/text()
   billingAddress:Address Composite Object Mapping to billing-address
   shippingAddress:Address Composite Object Mapping to
                                                         shipping-address
Class: Address
Default Root: address
Attributes and Mappings:
                          Direct Mapping to
                                                       street/text()
  street:String
   city:String
                           Direct Mapping to
                                                         city/text()
```

These classes correspond to the XML schema, shown in Example 6–3.

#### Example 6-3 Customer and Address Schema

```
<xsd:schema xmlns:xs="http://www.w3.org/2001/XMLSchema">
   <xsd:complexType name="address-type">
       <xsd:sequence>
           <element name="street" type="xsd:string"/>
           <element name="city" type="xsd:string"/>
       </xsd:sequence>
    </xsd:complexType>
    <xsd:element name="customer" type="customer-type"/>
   <xsd:complexType name="customer-type">
       <xsd:sequence>
           <xsd:element name="name" type="xsd:string"/>
           <xsd:element name="billing-address" type="address-type"/>
            <xsd:element name="shipping-address" type="address-type"/>
        </xsd:sequence>
    </xsd:complexTvpe>
</xsd:schema>
```

When an instance of the Customer class is persisted to XML, the EclipseLink runtime performs the following:

1. Gets the default root element.

The Customer class instance corresponds to the root of the XML document. The EclipseLink runtime uses the default root element specified on the descriptor (customer) to start the XML document. EclipseLink then uses the mappings on the descriptor to marshal the object's attributes:

```
<customer>
    <name>...</name>
</customer>
```

**2.** When the EclipseLink runtime encounters an object attribute such as billingAddress, it checks the mapping associated with it to determine with what element (billing-address) to continue:

```
<customer>
     <name>...</name>
     <br/>
<br/>
dilling-address/>
</customer>
```

The EclipseLink runtime checks the mapping's reference descriptor (Address) to determine what attributes to persist:

```
<customer>
    <name>...</name>
    <br/>
<br/>
dilling-address>
         <street>...</street>
         <city>...</city>
    </billing-address>
</customer>
```

## 6.4 Descriptor API

The Descriptor API can be used to define, or amend EclipseLink descriptors through Java code. The Descriptor API classes are mainly in the org.eclipse.persistence. descriptors package. These include the following classes:

ClassDescriptor (abstract generic Descriptor API)

- Relational Descriptor (relational project-specific API)
- DescriptorEventManager (event API)
- DescriptorQueryManager (query API)
- InheritancePolicy
- InterfacePolicy
- ReturningPolicy
- Locking policies (various optimistic locking policies)

The Descriptor API also includes these non-relational descriptors:

- org.eclipse.persistence.oxm.XMLDescriptor
- org.eclipse.persistence.eis.EISDescriptor

For object-relational data type and XML projects, descriptor classes are in the org.  $\verb|eclipse.persistence.mappings.structures| and \verb|org.eclipse.persistence.oxm| \\$ packages, respectively.

The following illustrates the hierarchy of descriptor types that derive from the class org.eclipse.persistence.descriptors.ClassDescriptor.

class org.eclipse.persistence.descriptors.ClassDescriptor  ${\tt class~org.eclipse.persistence.descriptors.RelationalDescriptor}$ class org.eclipse.persistence.mappings.structures.ObjectRelationalDataTypeDescriptor class org.eclipse.persistence.oxm.XMLDescriptor

# **Understanding Mappings**

EclipseLink can transform data between an object representation and a representation specific to a data source. This transformation is called mapping and it is the core of EclipseLink projects.

A mapping corresponds to a single data member of a domain object. It associates the object data member with its data source representation and defines the means of performing the two-way conversion between object and data source.

This chapter includes the following sections:

- **Common Mapping Concepts**
- **Object-Relational Mapping Concepts**
- **Object-XML Mapping Concepts**
- **Object-JSON Mapping Concepts**
- **About JPA Mapping Types**

## 7.1 Common Mapping Concepts

This section describes concepts for relational and nonrelational mappings that are unique to EclipseLink:

- Mapping Architecture
- Mapping Examples
- Mapping Converters and Transformers
  - Serialized Object Converter
  - Type Conversion Converter
  - **Object Type Converter**
  - **Transformation Mappings**

## 7.1.1 Mapping Architecture

To define a mapping, you draw upon the following components:

- The data representation specific to the data source (such as a relational database table or schema-defined XML element) in which you store the object's data.
- A descriptor for a particular object class.
- An object class to map.

**Note:** A mapping is the same regardless of whether your project is persistent or nonpersistent.

For an example of a typical EclipseLink mapping, see Section 7.1.2, "Mapping Examples".

The type of data source you define in your project determines the type of mappings you can use and how you configure them. In a persistent project, you use mappings to persist to a data source. In a nonpersistent project, you use mappings simply to transform between the object format and some other data representation (such as XML).

A descriptor represents a particular domain object: it describes the object's class. A descriptor also owns the mappings: one mapping for each of the class data members that you intend to persist or transform in memory.

For more information about descriptors, see Chapter 6, "Understanding Descriptors".

### 7.1.2 Mapping Examples

Although EclipseLink supports more complex mappings, most EclipseLink classes map to a single database table or XML element that defines the type of information available in the class. Each object instance of a given class maps to a single row comprising the object's attributes, plus an identifier (the primary key) that uniquely identifies the object.

Figure 7–1 illustrates the simplest database mapping case in which:

- **Table\_X** in the database represents **Class\_X**.
- Object\_X1 and Object\_X2 are instances of Class\_X.
- Individual rows in Table\_X represent Object\_X1 and Object\_X2, as well as any other instances of **Class\_X**.

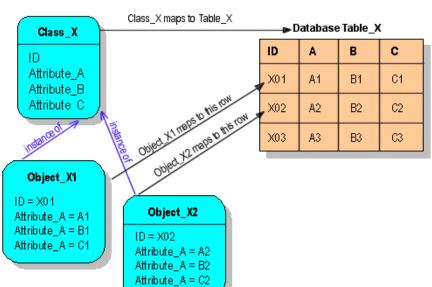


Figure 7–1 How Classes and Objects Map to a Database Table

This illustration shows a simplified view of mapping, and illustrates how objects map to database tables. This illustration is fully described in the previous paragraph.

EclipseLink provides you with the tools to build these mappings, from the simple mappings illustrated in Figure 7–1, to complex mappings.

For an additional example of a relational mapping, see Figure 7–2, "Serialized Object Converter (relational)".

### 7.1.3 Using Lazy Loading

JPA specifies that lazy loading is a hint to the persistence provider that data should be fetched lazily when it is first accessed, if possible. If you are developing your application in a Java EE environment, set fetch to javax.persistence.FetchType. LAZY, and the persistence provider supplies all the necessary functionality.

When using a one-to-one or many-to-one mapping in a Java SE environment, use either dynamic or static weaving to perform lazy loading when the fetch attribute is set to FetchType.LAZY.

When using a one-to-one or many-to-one mapping in a Java SE environment and the environment does not permit the use of -javaagent on the JVM command line, use static weaving to perform lazy loading when the fetch attribute is set to FetchType. LAZY.

Table 7–1 lists support for lazy loading by mapping type.

| Mapping      | Java EE   | Java SE   |
|--------------|---|---|
| Many-to-many | Lazy loading is performed when the fetch attribute is set to javax. persistence.FetchType.LAZY (default). | Lazy loading is performed when the fetch attribute is set to javax. persistence.FetchType.LAZY (default). |
| One-to-many  | Lazy loading is performed when the fetch attribute is set to javax. persistence.FetchType.LAZY (default). | Lazy loading is performed when the fetch attribute is set to javax. persistence.FetchType.LAZY (default). |
| One-to-one   | Lazy loading is performed when the fetch attribute is set to javax. persistence.FetchType.LAZY.           | The fetch attribute is ignored and default javax.persistence. FetchType.EAGER applies.                    |
| Many-to-one  | Lazy loading is performed when the fetch attribute is set to javax. persistence.FetchType.LAZY.           | The fetch attribute is ignored and default javax.persistence. FetchType.EAGER applies                     |

Table 7–1 Support for Lazy Loading by Mapping Type

## 7.1.4 Mapping Converters and Transformers

Basic

If existing EclipseLink mappings do not meet your needs, you can create custom mappings using mapping extensions. These extensions include the following:

- Serialized Object Converter
- Type Conversion Converter
- **Object Type Converter**

Lazy loading is performed when the

fetch attribute is set to javax.

persistence.FetchType.LAZY.

The fetch attribute is ignored and

default javax.persistence.

FetchType.EAGER applies

#### Transformation Mappings

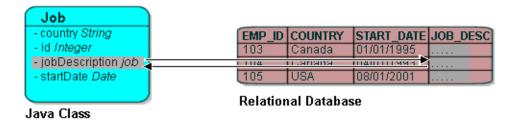
**Note:** Except for simple type translation, you can use the mapping converters and transformers regardless of whether your data source is relational or nonrelational. Simple type translation is applicable only to XML projects.

#### 7.1.4.1 Serialized Object Converter

The serialized object converter can be used with direct and direct collection mappings, allowing you to map complex objects into binary fields through Java object serialization. Serialized objects are normally stored in RAW or Binary Large Object (BLOB) fields in the database, or HEX or BASE64 elements in an XML document.

Figure 7–2 shows an example of a direct-to-field mappings that uses a serialized object converter. The attribute jobDescription contains a formatted text document that is stored in the JOB DESC field of the database.

Figure 7–2 Serialized Object Converter (relational)



This illustration shows an example of a direct-to-field mappings that uses a serialized converter. The attribute jobDescription contains a formatted text document that is stored in the IOB DESC field of the database.

Figure 7–3 demonstrates an example of a nonrelational mapping that uses a serialized object converter. The attribute jobDescription contains a formatted text document that EclipseLink stores in the JOB DESCRIPTION element of an XML schema.

Figure 7–3 Serialized Object Converter (nonrelational)



This illustration shows an example of a nonrelational mapping that uses a serialized object converter. The attribute jobDescription contains a formatted text document that EclipseLink stores in the JOB DESCRIPTION element of an XML schema.

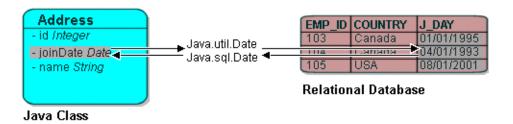
The serialized object converter relies on the Java serializer. Before you map a domain object with the serialized object converter, ensure that the domain object implements the java.io.Serializable interface (or inherits that implementation) and marks all nonserializable fields transient.

#### 7.1.4.2 Type Conversion Converter

The type conversion converter can be used with direct and direct collection mappings, allowing you to map complex objects into binary fields. For example, a Number in the data source can be mapped to a String in Java, or a java.util.Date in Java can be mapped to a java.sql.Date in the data source.

Figure 7–4 illustrates a type conversion mapping (relational). Because the java.util. Date class is stored by default as a Timestamp in the database, it must first be converted to an explicit database type such as java.sql.Date (required only for DB2-most other databases have a single date data type that can store any date or time).

Figure 7–4 Type Conversion Mapping (relational)

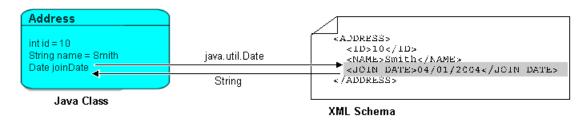


This illustration shows a type conversion mapping (relational). Because the java.util. Date class is stored by default as a Timestamp in the database, it must first be converted to an explicit database type such as java.sql.Date (required only for DB2; most other databases have a single date data-type that can store any date or time).

\*

Figure 7–5 illustrates a type conversion mapping (nonrelational). java.util.Date object is mapped to a String in a XML schema.

Figure 7–5 Type Conversion Mapping (nonrelational)



This illustration shows a type conversion mapping (nonrelational). java.util.Date object is mapped to a String in a XML schema.

You can use a type conversion converter to specify the specific database type when that type must be handled specially for the database. This includes support for the special Oracle JDBC binding options required for NCHAR, NVARCHAR2, and NCLOB fields as well as the special Oracle Thin JDBC insert and update requirements for handling BLOB and CLOB fields greater than 5K.

EclipseLink uses the NCharacter, NClob and NString types in the org.eclipse. persistence.platform.database.oracle package as the converter data type to support the NCHAR, NCLOB and NVARCHAR2 types. EclipseLink uses the java.sql.Blob and Clob types as the converter data type to support BLOB and CLOB values greater than 5K.

You can configure a type conversion converter to map a data source time type (such as TIMESTAMP) to a java.lang.String provided that the String value conforms to the following formats:

- YYYY/MM/DD HH:MM:SS
- YY/MM/DD HH:MM:SS
- YYYY-MM-DD HH:MM:SS
- YY-MM-DD HH:MM:SS

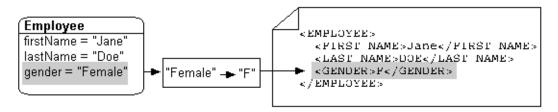
For more complex String to TIMESTAMP type conversion, consider a transformation mapping (see Section 7.1.4.4, "Transformation Mappings").

#### 7.1.4.3 Object Type Converter

The object type converter can be used with direct and direct collection mappings allowing you to match a fixed number of values to Java objects. Use this converter when the values in the schema differ from those in Java.

Figure 7-6 illustrates an object type conversion between the Employee attribute gender and the XML element gender. If the value of the Java object attribute is Female, EclipseLink stores it in the XML element as F.

Figure 7-6 Object Type XML Converter



Java Class

XML Schema

This illustration shows an object type conversion between the Employee attribute gender and the XML element gender. If the value of the Java object attribute is Female, the EclipseLink stores it in the XML element as F.

#### 7.1.4.4 Transformation Mappings

In some special circumstances, existing mapping types and their default Java to data source type handling may be insufficient. In these special cases, you can consider using a transformation mapping to perform specialized translations between how a value is represented in Java and in the data source.

A transformation mapping is made up of the following two components:

- attribute transformer: performs the object attribute transformation at read time
- field transformer: performs the object attribute-to-field transformation at write time

You can implement a transformer as either a separate class or as a method on your domain object.

Within your implementation of the attribute and field transformer, you can take whatever actions are necessary to transform your application data to suit your data source, and vise versa.

For more information, see Section 7.1.5, "Transformation Mapping".

### 7.1.5 Transformation Mapping

Use transformation mappings for specialized translations for how a value is represented in Java and how it is represented in the database.

> **Tip:** Because of the complexity of transformation mappings, it is often easier to perform the transformation with a converter or getter and setter methods of a direct-to-field mapping.

Figure 7–7 illustrates a transformation mapping. The values from the B\_DATE and B\_ TIME fields are used to create a java.util.Date to be stored in the birthDate attribute.

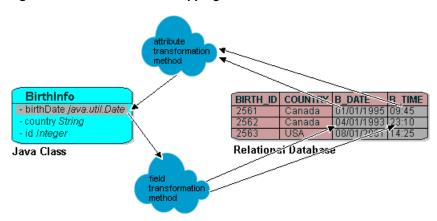


Figure 7-7 Transformation Mappings

This illustration is described in the preceding paragraphs.

Often, a transformation mapping is appropriate when values from multiple fields are used to create an object. This type of mapping requires that you provide an attribute transformation that is invoked when reading the object from the database. This must have at least one parameter that is an instance of Record. In your attribute transformation, you can use Record method get to retrieve the value in a specific column. Your attribute transformation can optionally specify a second parameter, an instance of Session. The Session performs queries on the database to get additional values needed in the transformation. The transformation should *return* the value to be stored in the attribute.

Transformation mappings also require a field transformation for each field, to be written to the database when the object is saved. The transformation returns the value to be stored in that field.

## 7.2 Object-Relational Mapping Concepts

This section describes concepts for relational mappings that are unique to EclipseLink:

- Indirection (Lazy Loading)
- Value Holder Indirection
- Transparent Indirection
- **Proxy Indirection**
- Weaved Indirection
- Indirection and JPA
- Indirection, Serialization, and Detachment

### 7.2.1 Indirection (Lazy Loading)

By default, when EclipseLink retrieves a persistent object, it retrieves all of the dependent objects to which it refers. When you configure indirection (also known as lazy reading, lazy loading, and just-in-time reading) for an attribute mapped with a relationship mapping, EclipseLink uses an indirection object as a place holder for the referenced object: EclipseLink defers reading the dependent object until you access that specific attribute. This can result in a significant performance improvement, especially if the application is interested only in the contents of the retrieved object, rather than the objects to which it is related.

Oracle strongly recommends using indirection for all relationship mappings. Not only does this lets you optimize data source access, but it also allows EclipseLink to optimize the unit of work processing, cache access, and concurrency.

**Note:** The use of indirection is especially important for providing a proper maintenance of bidirectional relationships. In this case, you must use indirection. If you are operating with collections, you must use transparent indirection (see Section 7.2.3, "Transparent Indirection").

Figure 7–8 shows an indirection example. Without indirection, reading the Order object also reads the dependent collection of LineItem objects. With indirection, reading the Order object does not read the dependent collection of LineItem objects: the lineItems attribute refers to an indirection object. You can access other attributes (such as customerId), but EclipseLink reads the dependent LineItem objects only if and when you access the lineItems attribute.

With Indirection Off Lineltem Read -Order Collection Lineltem orderld lineltems Lineltem customerld With Indirection On: Order Read Indirection orderld lineltems Access customerid Lineltem Order Collection Lineltem orderld Access lineltems Lineltem customerId

Figure 7–8 EclipseLink Indirection

This illustration shows an Order class that contains attributes orderId, lineItems, and customerId. The lineItems attribute is a reference to a collection of dependent LineItem objects. Without indirection, reading the Order object also reads the dependent collection of LineItem objects. With indirection, reading the Order object does not read the dependent collection of LineItem objects: the lineItems attribute refers to an indirection object. You can access other attributes (such as customerId), but EclipseLink reads the dependent LineItem objects only if and when you access the lineItems attribute.

EclipseLink supports the following types of indirection:

- Value Holder Indirection
- Transparent Indirection
- **Proxy Indirection**

When using indirection with an object that your application serializes, you must consider the effect of any untriggered indirection objects at deserialization time. See Section 7.2.7, "Indirection, Serialization, and Detachment".

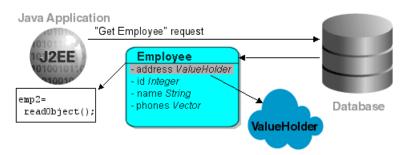
#### 7.2.2 Value Holder Indirection

Persistent classes that use indirection must replace relationship attributes with value holder attributes. A value holder is an instance of a class that implements the ValueHolderInterface interface, such as ValueHolder. This object stores the information necessary to retrieve the object it is replacing from the database. If the application does not access the value holder, the replaced object is never read from the database.

To obtain the object that the value holder replaces, use the getValue and setValue methods of the ValueHolderInterface. A convenient way of using these methods is to hide the getValue and setValue methods of the ValueHolderInterface inside get and set methods, as shown in the following illustrations.

Figure 7–9 shows the Employee object being read from the database. The Address object is not read and will not be created unless it is accessed.

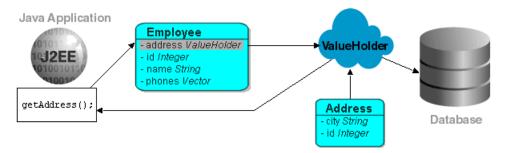
Figure 7-9 Address Object Not Read



This illustration shows how the Employee object is being read from the database. The Address object is not read and will not be created unless it is accessed.

The first time the address is accessed, as in Figure 7–10, the ValueHolder reads and returns the Address object.

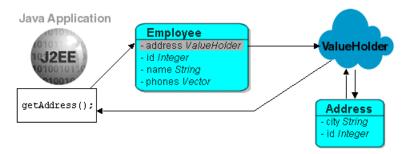
Figure 7–10 Initial Request



This illustration describes how, when the first time the Address is accessed, the ValueHolder reads and returns the Address object.

Subsequent requests for the address do not access the database, as shown in Figure 7–11.

Figure 7-11 Subsequent Requests



This illustration is fully described in the following paragraph.

If you are using method access, the get and set methods specified in the mapping must access the instance of ValueHolderInterface, rather than the object referenced by the

value holder. The application should not use these getter and setter, but use the getter and setter that hide the usage of value holders, for example:

```
public class Employee {
   private ValueHolderInterface addressValueHolder;
   // Use this get/set pair when configuring your Mapping
   public void setAddressValueHolder(ValueHolderInterface value) {
      this.addressValueHolder = value;
   public ValueHolderInterface getAddressValueHolder() {
      return this.addressValueHolder;
   // Your application uses these methods to interact with Addresses
   public void setAddress(Address address) {
      this.addressValueHolder.setValue(address);
  public Address getAddress() {
     return this.addressValueHolder.getValue(address);
}
```

### 7.2.3 Transparent Indirection

Transparent indirection lets you declare any relationship attribute of a persistent class that holds a collection of related objects as any of the following:

- java.util.Collection
- java.util.Hastable
- java.util.List
- java.util.Map
- java.util.Set
- java.util.Vector

EclipseLink will use an indirection object that implements the appropriate interface and also performs just-in-time reading of the related objects. When using transparent indirection, you do not have to declare the attributes as ValueHolderInterface.

Newly created collection mappings use transparent indirection by default if their attribute is not a ValueHolderInterface.

You can configure EclipseLink to automatically weave transparent indirect container indirection for JPA entities and Plain Old Java Object (POJO) classes. For more information, see Section 3.3.1.4, "Using Java Byte-code Weaving" and Section 3.7, "About Weaving."

## 7.2.4 Proxy Indirection

The Java class Proxy lets you use dynamic proxy objects as place-holders for a defined interface. Certain EclipseLink mappings can be configured to use proxy indirection, which gives you the benefits of indirection without the need to include EclipseLink classes in your domain model. Proxy indirection is to one-to-one relationship mappings as indirect containers are to collection mappings.

To use proxy indirection, your domain model must satisfy all of the following criteria:

- The target class of the one-to-one relationship must implement a public interface.
- The one-to-one attribute on the source class must be of the interface type.
- If you employ method accessing, then the getter and setter methods must use the interface.

Before using proxy indirection, be aware of the restrictions it places on how you use the unit of work (see Section 7.2.4.1, "Proxy Indirection Restrictions").

To configure proxy indirection, you can use JDeveloper or Java in an amendment method.

#### 7.2.4.1 Proxy Indirection Restrictions

Proxy objects in Java are only able to intercept messages sent. If a primitive operation such as ==, instanceof, or getClass is used on a proxy, it will not be intercepted. This limitation can require the application to be somewhat aware of the usage of proxy objects.

You cannot register the target of a proxy indirection implementation with a unit of work. Instead, first register the source object with the unit of work. This lets you retrieve a target object clone with a call to a getter on the source object clone.

#### 7.2.5 Weaved Indirection

For JPA entities or POJO classes that you configure for weaving, EclipseLink weaves value holder indirection for one-to-one mappings. If you want EclipseLink to weave change tracking and your application includes collection mappings (one-to-many or many-to-many), then you must configure all collection mappings to use transparent indirect container indirection only (you may not configure your collection mappings to use eager loading nor value holder indirection).

For more information, see Section 3.3.1.4, "Using Java Byte-code Weaving".

#### 7.2.6 Indirection and JPA

When you set mapping annotation attribute fetch to lazy, the EclipseLink JPA persistence provider uses indirection.

By default, one-to-many and many-to-many relationships are lazy and use transparent indirection, while one-to-one and many-to-one relationships are not lazy.

If you set one-to-one or many-to-one relationships to lazy, and you enable weaving, the EclipseLink JPA persistence provider will use weaving to enable value holder indirection for these relationships.

For more information, see the following:

- Section 7.2.5, "Weaved Indirection"
- Section 3.3.1.4, "Using Java Byte-code Weaving"

## 7.2.7 Indirection, Serialization, and Detachment

When using indirection (lazy loading), it is likely that a graph of persistent objects will contain untriggered indirection objects. Because indirection objects are transient and do not survive serialization between one JVM and another, untriggered indirection objects will trigger an error if the relationship is accessed after deserialization.

The application must ensure that any indirect relationships that will be required after deserialization have been instantiated before serialization. This can be done through

accessing the get method for any relationship using ValueHolder or weaved indirection, and by calling the size method to any relationship using transparent indirection. If the application desired the relationships to be always instantiated on serialization, you could overwrite the serialization writeObject method in the persistent class to first instantiate the desired relationships. Use caution for objects with many or deep relationships to avoid serializing large object graphs: ideally, only the relationships required by the client should be instantiated.

When serializing JPA entities, any lazy relationships that have not been instantiated prior to serialization will trigger errors if they are accessed. If weaving is used on the server, and the entities are serialized to a client, the same weaved classes must exist on the client, either through static weaving of the jar, or through launching the client JVM using the EclipseLink agent.

For more information, see Section 3.3.1.4, "Using Java Byte-code Weaving".

## 7.3 Object-XML Mapping Concepts

This section describes concepts for nonrelational mappings that are unique to EclipseLink:

### 7.3.1 Simple Type Translation

The simple type translation can be used with direct and direct collection mappings, allowing you to automatically translate an XML element value to an appropriate Java type based on the element's <type> attribute as defined in your XML schema.

**Note:** Simple type translation is applicable only for XML projects.

In code, this is set up when building a mapping using the setIsTypedTextField method on XMLField, for example:

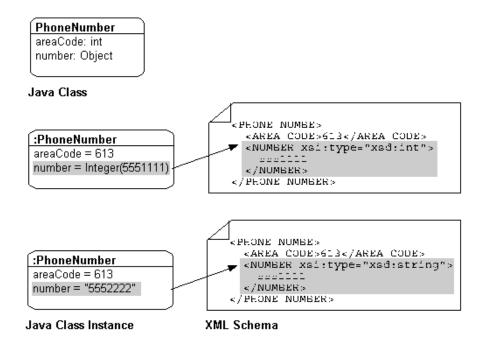
```
XMLDirectMapping mapping = new XMLDirectMapping();
XMLField tef = new XMLField();
tef.setIsTypedTextField(true);
tef.setXPath("NUMBER/text()");
mapping.setField(tef);
mapping.setAttributeName("number");
xmlDescriptor.addMapping(mapping);
```

You can use simple type translation only when the mapping's XPath goes to a text node. You cannot use a simple type translation if the mapping's XPath goes to an attribute.

Using simple type translation, you can make the XML document preserve type information. This is useful when your object model specifies generic object attributes such as java.lang.Object and java.io.Serializable, since they do not trigger specific type conversions in EclipseLink as do specific object attributes such as java. lang.Integer or java.util.Calendar.

Figure 7–12 illustrates a type translation XML mapping for the number attribute of the PhoneNumber class. Notice that the Java attribute is not specific enough to preserve the typing. Simple type translation adds the type information to the resulting document to preserve the typing.

Figure 7–12 Simple Type Translation



This illustration shows a type translation XML mapping for the number attribute of the PhoneNumber class. The Java attribute is not specific enough to preserve the typing. The simple type translation adds the type information to the resulting document to preserve the typing.

By default, EclipseLink uses built-in read and write conversion pairs (see Section 7.3.1. 1, "Default Read Conversions" and Section 7.3.1.2, "Default Write Conversions").

You can override this behavior by specifying and configuring your own simple type translation, for example, to write XML binary data as Base64.

#### 7.3.1.1 Default Read Conversions

Table 7–2 lists the built-in conversion pairs for reading XML elements. When the schema <type> attribute is specified and simple type translation is enabled, the value read is converted to the corresponding Java type.

Simple Type Translation Read Conversions Table 7–2

| Schema Type  | Java Type |
|--------------|-----------|
| base64Binary | Byte[]    |
| boolean      | Boolean   |
| byte         | Byte      |
| date         | Calendar  |
| dateTime     | Calendar  |
| double       | Double    |
| float        | Float     |
| hexBinary    | Byte[]    |

Table 7–2 (Cont.) Simple Type Translation Read Conversions

| Schema Type   | Java Type  |
|---------------|------------|
| int           | int        |
| integer       | BigInteger |
| long          | Long       |
| short         | Short      |
| string        | String     |
| time          | Calendar   |
| unsignedByte  | Short      |
| unsignedInt   | Long       |
| unsignedShort | Integer    |

#### 7.3.1.2 Default Write Conversions

Table 7–3 lists the built-in conversion pairs for writing XML. When a Java class attribute is of a type in Table 7-3 and the simple type translation is enabled, the corresponding schema type is specified on the element written.

Table 7–3 Simple Type Translation Write Conversions

| Java Type         | Schema Type |
|-------------------|-------------|
| Byte[]            | hexBinary   |
| BigInteger        | integer     |
| Boolean           | boolean     |
| Byte              | byte        |
| Calendar          | dateTime    |
| GregorianCalendar | dateTime    |
| Double            | double      |
| Float             | float       |
| Integer           | int         |
| Long              | long        |
| int               | int         |
| short             | short       |
| String            | string      |

## 7.4 Object-JSON Mapping Concepts

EclipseLink MOXy supports the ability to convert objects to and from JSON (JavaScript Object Notation). This feature is useful when creating RESTful services; JAX-RS services can accept both XML and JSON messages.

EclipseLink supports all MOXy object-to-XML options when reading and writing JSON, including:

- EclipseLink's advanced and extended mapping features (in addition to the JAXB specification)
- Storing mappings in external bindings files

- Creating dynamic models with Dynamic JAXB
- Building extensible models that support multitenant applications

EclipseLink provides the following support for mapping JSON documents:

- ISON bindings that do not require compile time dependencies, in addition to those required for normal JAXB use. You can also write MOXy External Bindings files as ISON documents.
- Although XML has a single datatype, JSON differentiates between strings, numbers, and booleans. EclipseLink supports these datatypes automatically.
- JSON does not use attributes; anything mapped with a @XmlAttribute annotation will be marshalled as an element. By default, EclipseLink triggers both the attribute and element events, thereby allowing either the mapped attribute or element to handle the value.
- EclipseLink supports JSON documents without a root element. By default, if no @XmlRootElement annotation exists, the marshalled JSON document will not have a root element. With EclipseLink, you can override this behavior (that is, omit the root element from the JSON output).
- Because ISON does not use namespaces, all namespaces and prefixes are ignored by default when marshaling and unmarshaling. With EclipseLink, you can supply a Map of namespace-to-prefix (or an instance of NamespacePrefixMapper) to the marshaller and unmarshaller. The namespace prefix will appear in the marshalled document prepended to the element name.
- By default, when marshalling to JSON, EclipseLink marshals empty collections as [], EclipseLink allows you to override this behavior, so that empty collections are not marshalled at all.
- You can marshal and unmarshal root-level collections.

For more information on EclipseLink support for JSON documents, see "Using JSON Documents" in Developing Persistence Architectures Using EclipseLink MOXy Developer's Guide

## 7.5 About JPA Mapping Types

To map entity classes to relational tables you must configure a mapping per persistent field. The following sections describe EclipeLink's JPA mapping types:

- **Basic Mappings**
- **Default Conversions and Converters**
- Collection Mappings
- Using Optimistic Locking

## 7.5.1 Basic Mappings

Simple Java types are mapped as part of the immediate state of an entity in its fields or properties. Mappings of simple Java types are called basic mappings.

By default, the EclipseLink persistence provider automatically configures a basic mapping for simple types.

Use the following annotations to fine-tune how your application implements these mappings:

- @Basic
- @Enumerated
- @Temporal
- @Lob
- @Transient
- @Column
- Lazy Basics (See Section 7.1.3, "Using Lazy Loading")

For all mapping types there are a common set of options:

- Read-Only: Specifies that the mapping should populate the value on read and copy. Required when multiple mappings share the same database column.
- Converters: Allows custom data types and data conversions to be used with most mapping types
  - Annotations: @Converter, @TypeConverter, @ObjectTypeConverter, @StructConverter, @Convert
  - External Metadata: <converter>, <type-converter>, <object-typeconverter>, <struct-converter>, <convert>

For more information on these annotations, see Java Persistence API (JPA) Extensions Reference for EclipseLink.

#### 7.5.2 Default Conversions and Converters

EclipseLink defines the following converter annotations (in addition to JPA-defined annotations):

- @Converter
- @TypeConverter
- @ObjectTypeConverter
- @StructConverter
- @Convert

The EclipseLink persistence provider searches the converter annotations in the following order:

- @Convert
- @Enumerated
- @Lob
- @Temporal
- Serialized (automatic)

You can define converters at the class, field and property level. You can specify EclipseLink converters on the following types of classes:

- @Entity
- @MappedSuperclass
- @Embeddable

You can use EclipseLink converters with the following mappings:

- @Basic
- @Id
- @Version
- @BasicMap
- @BasicCollection

If you specify a converter with any other type of mapping annotation, EclipseLink will throw an exception.

For more information on these annotations, see *Java Persistence API (JPA) Extensions Reference for EclipseLink.* 

### 7.5.3 Collection Mappings

You can access additional advanced mappings and mapping options through the EclipseLink descriptor and mapping API using a DescriptorCustomizer class.

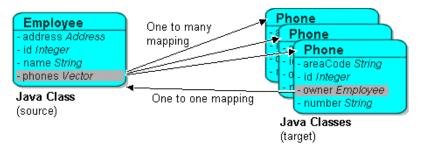
- One-to-Many Mapping
- Many-to-Many Mapping

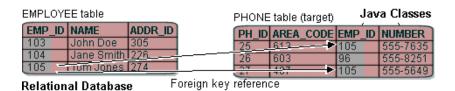
#### 7.5.3.1 One-to-Many Mapping

One-to-many mappings are used to represent the relationship between a single source object and a collection of target objects. They are a good example of something that is simple to implement in Java using a Collection (or other collection types) of target objects, but difficult to implement using relational databases.

In a Java Collection, the owner references its parts. In a relational database, the parts reference their owner. Relational databases use this implementation to make querying more efficient.

Figure 7–13 One-to-Many Relationships





This figure illustrates the one-to-many relationship. In the top half of the illustration, the phones method of the Employee class is mapped to several Phone classes. In the bottom half of the illustration, the EMP\_ID column of the EMPLOYEE table is mapped to the EMP\_ID column of the PHONE table.

**Note:** The phone attribute shown in the One-to-Many Relationships is of type Vector. You can use a Collection interface (or any class that implements the Collection interface) for declaring the collection attribute.

**7.5.3.1.1 JPA Mapping** By default, JPA automatically defines a OneToMany mapping for a many-valued association with one-to-many multiplicity.

Use the @OneToMany annotation to do the following:

- configure the fetch type to EAGER
- configure the associated target entity, because the Collection used is not defined using generics
- configure the operations that must be cascaded to the target of the association: for example, if the owning entity is removed, ensure that the target of the association is also removed
- configure the details of the join table used by the persistence provider for unidirectional one-to-many relationships. For a one-to-many using a mappedBy or JoinColumn, the deletion of the related objects is cascaded on the database. For a one-to-many using a JoinTable, the deletion of the join table is cascaded on the database (target objects cannot be cascaded even if private because of constraint direction).

For more information, see Section 11.1.23 "JoinTable Annotation" in the JPA Specification.

http://jcp.org/en/jsr/detail?id=317

#### 7.5.3.2 Many-to-Many Mapping

Many-to-many mappings represent the relationships between a collection of source objects and a collection of target objects. They require the creation of an intermediate table for managing the associations between the source and target records.

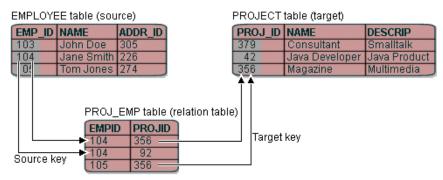
Figure 7–14 illustrates a many-to-many mapping in Java and in relational database tables.

Java Class Project Employee description String address Address - id Integer id Integer name String - name *String* - projects Vector Project description String - id Integer name String Employee address Address **Project** id Integer description String name String

Figure 7–14 Many-to-many Relationships

#### Relational Database

- projects Vector



This figure illustrates a many-to-many relationship. In the top half of the figure is a Java class diagram. There are two Employee objects, each having a projects attribute. Each project attribute in the Employee class refers to more than one Project class.

id Integer

name String

**Note:** For the projects attribute shown in the Many-to-many Relationships you can use a Collection interface (or any class that implements the Collection interface) for declaring the collection attribute.

**7.5.3.2.1 JPA Mapping** By default, JPA automatically defines a ManyToMany mapping for a many-valued association with many-to-many multiplicity.

Use the @ManyToMany annotation to do the following:

- configure the Fetch Type to EAGER;
- configure the mapping to forbid null values (for nonprimitive types) in case null values are inappropriate for your application;
- configure the associated target entity because the Collection used is not defined using generics;
- configure the operations that must be cascaded to the target of the association (for example, if the owning entity is removed, ensure that the target of the association is also removed).

For a list of supported attributes for the @ManyToMany annotation, see the Java Persistence specification:

http://jcp.org/en/jsr/detail?id=317

### 7.5.4 Using Optimistic Locking

Oracle recommends using optimistic locking. With optimistic locking, all users have read access to the data. When a user attempts to write a change, the application checks to ensure the data has not changed since the user read the data.

#### 7.5.4.1 Optimistic Locking in a Stateless Environment

In a stateless environment, take care to avoid processing out-of-date (stale) data. A common strategy for avoiding stale data is to implement optimistic locking, and store the optimistic lock values in the object. This solution requires careful implementation if the stateless application serializes the objects, or sends the contents of the object to the client in an alternative format. In this case, transport the optimistic lock values to the client in the HTTP contents of an edit page. You must then use the returned values in any write transaction to ensure that the data did not change while the client was performing its work.

You can use optimistic version locking or optimistic field locking policies. We recommend using version locking policies.

#### 7.5.4.2 Optimistic Version Locking

Use the @Version annotation to enable the JPA-managed optimistic locking by specifying the version field or property of an entity class that serves as its optimistic lock value (recommended).

When choosing a version field or property, ensure that the following is true:

- there is only one version field or property per entity;
- you choose a property or field persisted to the primary table;
- your application does not modify the version property or field.

For more information, see Section 11.1.45 "Table Annotation" in the JPA Specification.

http://jcp.org/en/jsr/detail?id=317

**Note:** The field or property type must either be a numeric type (such as Number, long, int, BigDecimal, and so on), or a java.sql. Timestamp. EclipseLink recommends using a numeric type.

The @Version annotation does not have attributes. The @Version annotation supports the use of EclipseLink converters. See Section 7.5.2, "Default Conversions and Converters."

For more information, see Section 11.1.9 "Column Annotation" in the JPA Specification.

http://jcp.org/en/jsr/detail?id=317

# **Understanding Data Access**

One of the most important functions of a session is to provide access to a data source. This chapter explains session components specific to accessing a data source.

This chapter describes data access concepts unique to EclipseLink, including the following:

- About Externally Managed Transactional Data Sources
- About Data Source Login Types
- About Data Source Platform Types
- **About Authentication**
- **About Connections**
- About Connection Pools
- **About Data Partitioning Policies**
- About Clustered Databases and Oracle RAC

## 8.1 About Externally Managed Transactional Data Sources

EclipseLink transactional data sources are externally managed if the connection pool is managed by a transaction service (such as an application server controlled transaction or a JTA transaction). A JTA managed data source or connection pool is commonly used in Java EE applications and normally required in EJB applications. Use an externally-managed connection pool as follows:

- Configure the session to use an ExternalTransactionController to integrate EclipseLink's unit of work with the external transaction service.
- Use the external-transaction-control option to specify the connection's login and inform EclipseLink that the connection is maintained by the external controller.
- You may need to configure the EclipseLink read connection pool or sequence connection pool to use a non-JTA connection pool in order to avoid transactional overhead.

## 8.2 About Data Source Login Types

The login (if any) associated with a session determines how the EclipseLink runtime connects to the project's data source.

A login includes details of data source access, such as authentication, use of connection pools, and use of external transaction controllers. A Login owns a data source platform.

A data source platform includes options specific to a particular data source including binding, use of native SQL, use of batch writing, and sequencing.

For projects that do not persist to a data source, a login is not required. For projects that do persist to a data source, a login is always required.

You can use a login in a variety of roles. A login's role determines where and how you create it. The login role you choose depends on the type of project you are creating and how you intend to use the login.

There is a session login type for each project type that persists to a data source.

Note that there is no XML login. EclipseLink XML projects are used for nonpersistent, in-memory object to XML data transformation and consequently there is no data source to log in to.

If you are creating a project that accesses a relational database, you must configure the project with a DatabaseLogin. Your choice of DatabasePlatform further customizes your project for a particular type of database.

## 8.3 About Data Source Platform Types

EclipseLink abstracts the details of your underlying data source using data source platform classes. A data source platform is owned by your project's Login.

To configure most platform options, you must use an amendment method, or a preLogin event listener.

EclipseLink interacts with databases using structured query language (SQL). Because each database platform uses its own variation on the basic SQL language, EclipseLink must adjust the SQL it uses to communicate with the database to ensure that the application runs smoothly.

The type of database platform you choose determines the specific means by which the EclipseLink runtime accesses the database, including the type of Java Database Connectivity (JDBC) driver to use. JDBC is an application programming interface (API) that gives Java applications access to a database. EclipseLink relational projects rely on JDBC connections to read objects from, and write objects to, the database. EclipseLink applications use either individual JDBC connections or a JDBC connection pool, depending on the application architecture.

EclipseLink provides a variety of database-specific platforms that let you customize your project for your target database. For a list of supported database platforms, see Section A.1, "Database Support."

Specify your database platform at the project level for all sessions, or override this project-level configuration at the session level.

## 8.4 About Authentication

Authentication is the means by which a data source validates a user's identity and determines whether or not the user has sufficient privileges to perform a given action. Authentication plays a central role in data security and user accountability and auditing.

For two-tier applications, simple JDBC authentication is usually sufficient.

The following sections describe the different authentication strategies:

- Simple JDBC Authentication
- Oracle Database Proxy Authentication
- Auditing

### 8.4.1 Simple JDBC Authentication

When you configure an EclipseLink database login with a user name and password, EclipseLink provides these credentials to the JDBC driver that you configure your application to use.

By default, EclipseLink writes passwords to and reads them from the sessions.xml file in encrypted form using JCE encryption. Optionally, you can configure a different encryption class.

### 8.4.2 Oracle Database Proxy Authentication

EclipseLink supports proxy authentication with Oracle Database in Java SE applications and Java EE applications with the Oracle JDBC driver and external connection pools only.

> **Note:** EclipseLink does not support Oracle Database proxy authentication with JTA.

Oracle Database proxy authentication delivers the following security benefits:

- A limited trust model, by controlling the users on whose behalf middle tiers can connect, and the roles the middle tiers can assume for the user.
- Scalability, by supporting user sessions through Oracle Call Interface (OCI) and thick JDBC, and eliminating the overhead of reauthenticating clients.
- Accountability, by preserving the identity of the real user through to the database, and enabling auditing of actions taken on behalf of the real user.
- Flexibility, by supporting environments in which users are known to the database, and in which users are merely "application users" of which the database has no awareness.

**Note:** Oracle Database supports proxy authentication in three-tiers only; it does not support it across multiple middle tiers.

For more information about authentication in Oracle Database, see "Preserving User Identity in Multitiered Environments" in the Oracle Database Security Guide.

Configure your EclipseLink database login to use proxy authentication to do the following:

- address the complexities of authentication in a three-tier architecture (such as client-to-middle-tier and middle-tier-to-database authentication, and client reauthentication through the middle -tier to the database)
- enhance database audit information (for even triggers and stored procedures) by using a specific user for database operations, rather than the generic pool user

simplify VPD/OLS configuration by using a proxy user, rather than setting user information directly in the session context with stored procedures

### 8.4.3 Auditing

Regardless of what type of authentication you choose, EclipseLink logs the name of the user associated with all database operations. Example 8–1 shows the CONFIG level EclipseLink logs when a ServerSession connects through the main connection for the sample user "scott", and a ClientSession uses proxy connection "jeff"

#### Example 8-1 Logs with Oracle Database Proxy Authentication

```
Configl--ServerSession(13)--Connection(14)--Thread(Thread[main.5,main])--connecting(DatabaseL
ogin( platform=>Oracle9Platform user name=> "scott" connector=>OracleJDBC10_1_0_
2ProxyConnector datasource name=>DS))
Config]--ServerSession(13)--Connection(34)--Thread(Thread[main,5,main])--Connected:
jdbc:oracle:thin:@localhost:1521:orcl
User: SCOTT
[EclipseLink
\texttt{Config]--ClientSession} (53) -- \texttt{Connection} (54) -- \texttt{Thread} (\texttt{Thread} [\texttt{main}, 5, \texttt{main}]) -- \texttt{connecting} (\texttt{DatabaseLession}) -- \texttt{Connection} (54) -- \texttt{Thread} (\texttt{Thread} [\texttt{main}, 5, \texttt{main}]) -- \texttt{connecting} (\texttt{DatabaseLession}) -- \texttt{Connection} (54) -- \texttt{Thread} (\texttt{Thread} [\texttt{main}, 5, \texttt{main}]) -- \texttt{connection} (\texttt{DatabaseLession}) -- \texttt{Connection} (\texttt{
ogin(platform=>Oracle9Platform user name=> "scott" connector=>OracleJDBC10_1_0_
2ProxyConnector datasource name=>DS))
[EclipseLink
Config] --ClientSession(53) --Connection(56) --Thread(Thread[main,5,main]) --Connected:
jdbc:oracle:thin:@localhost:1521:orcl
User: jeff
```

Your database server likely provides additional user auditing options. Consult your database server documentation for details.

Alternatively, you may consider using the EclipseLink unit of work in conjunction with your database schema for auditing purposes.

## 8.5 About Connections

A connection is an object that provides access to a data source by way of the driver you configure your application to use. Relational projects use JDBC to connect to the data source; EIS projects use JCA. EclipseLink uses the interface org.eclipse. persistence.internal.databaseaccess.Accessor to wrap data source connections. This interface is accessible from certain events.

Typically, when using a server session, EclipseLink uses a different connection for both reading and writing. This lets you use nontransactional connections for reading and avoid maintaining connections when not required.

By default, an EclipseLink server session acquires connections lazily: that is, only during the commit operation of a unit of work. Alternatively, you can configure EclipseLink to acquire a write connections at the time you acquire a client sessions.

Connections can be allocated from internal or external connection pools.

### 8.6 About Connection Pools

A **connection pool** is a service that creates and maintains a shared collection (pool) of data source connections on behalf of one or more clients. The connection pool provides a connection to a process on request, and returns the connection to the pool when the process is finished using it. When it is returned to the pool, the connection is available for other processes. Because establishing a connection to a data source can be

time-consuming, reusing such connections in a connection pool can improve performance.

EclipseLink uses connection pools to manage and share the connections used by server and client sessions. This feature reduces the number of connections required and allows your application to support many clients.

You can configure your session to use internal connection pools provided by EclipseLink or external connection pools provided by a JDBC driver or Java EE container.

You can use connection pools in your EclipseLink application for a variety of purposes, such as reading, writing, sequencing, and other application-specific functions.

This section describes the following types of connection pools:

- **Internal Connection Pools**
- **External Connection Pools**
- Default (Write) and Read Connection Pools
- Sequence Connection Pools
- Application-Specific Connection Pools

#### 8.6.1 Internal Connection Pools

For non-Java EE applications, you typically use internal connection pools. By default, EclipseLink sessions use internal connection pools.

Using internal connection pools, you can configure the default (write) and read connection pools and you can create additional connection pools for object identity, or any other purpose.

Using internal connection pools, you can optimize the creation of read connections for applications that read data only to display it and only infrequently modify data.

#### 8.6.2 External Connection Pools

For Java EE applications, you typically use *external* connection pools.

If you are using an external transaction controller (JTA), you must use external connection pools to integrate with the JTA.

Using external connection pools, you can use Java to configure the default (write) and read connection pools and create additional connection pools for object identity, or any other purpose.

## 8.6.3 Default (Write) and Read Connection Pools

A server session provides a read connection pool and a write connection pool. These could be different pools, or if you use external connection pooling, the same connection pool.

All read queries use connections from the read connection pool and all queries that write changes to the data source use connections from the write connection pool. You can configure attributes of the default (write) and read connection pools.

Whenever a new connection is established, EclipseLink uses the connection configuration you specify in your session's DatasourceLogin. Alternatively, when you use an external transaction controller, you can define a separate connection

configuration for a read connection pool to avoid the additional overhead, if appropriate.

### 8.6.4 Sequence Connection Pools

An essential part of maintaining object identity is sequencing—managing the assignment of unique values to distinguish one instance from another. For more information, see Section 9.2, "About Cache Type and Size".

Sequencing involves reading and writing a special sequence resource maintained by your data source.

By default, EclipseLink includes sequence operations in a separate transaction. This avoids complications during the write transaction, which may lead to deadlocks over the sequence resource. However, when using an external transaction controller (such as a JTA data source or connection pool), EclipseLink cannot use a different transaction for sequencing. Use a sequence connection pool to configure a non-JTA transaction pool for sequencing. This is required only for table sequencing—not native sequencing.

In each server session, you can create one connection pool, called a sequence connection pool, that EclipseLink uses exclusively for sequencing. With a sequence connection pool, EclipseLink satisfies a request for a new object identifier outside of the transaction from which the request originates. This allows EclipseLink to immediately commit an update to the sequence resource, which avoids deadlocks.

**Note:** If you use a sequence connection pool and the original transaction fails, the sequence operation does not roll back.

You should use a sequence connection pool, if the following applies:

- You use table sequencing (that is, non-native sequencing).
- You use external transaction controller (JTA).

You should not use a sequence connection pool, if the following applies:

- You do not use sequencing, or use the data source's native sequencing.
- You have configured the sequence table to avoid deadlocks.
- You use non-JTA data sources.

## 8.6.5 Application-Specific Connection Pools

When you use internal EclipseLink connection pools in a session, you can create one or more connection pools that you can use for any application purpose. These are called named connection pools, as you can give them any name you want and use them for any purpose.

Typically, use these named connection pools to provide pools of different security levels. For example, the "default" connection pool may only allow access to specific tables but the "admin" connection pool may allow access to all tables.

## 8.7 About Data Partitioning Policies

Data partitioning allows for an application to scale its data across more than a single database machine. EclipseLink supports data partitioning at the Entity level to allow a different set of entity instances for the same class to be stored in a different physical database or different node within a database cluster. Both regular databases and

clustered databases are supported. Data can be partitioned both horizontally and vertically.

Partitioning can be enabled on an entity, a relationship, a query, or a persistence unit.

To configure data partitioning, use the @Partitioned annotation and one or more partitioning policy annotations. The annotations for defining the different kinds of policies are:

- @HashPartitioning; Partitions access to a database cluster by the hash of a field value from the object, such as the object's ID, location, or tenant. The hash indexes into the list of connection pools/nodes. All write or read requests for objects with that hash value are sent to the same server. If a query does not include the hash field as a parameter, it can be sent to all servers and unioned, or it can be left to the session's default behavior.
- @PinnedPartitioning; Pins requests to a single connection pool/node. This allows for vertical partitioning.
- @RangePartitioning; Partitions access to a database cluster by a field value from the object, such as the object's ID, location, or tenant. Each server is assigned a range of values. All write or read requests for objects with that value are sent to the same server. If a query does not include the field as a parameter, then it can either be sent to all servers and unioned, or left to the session's default behavior.
- @ReplicationPartitioning; Sends requests to a set of connection pools/nodes. This policy is for replicating data across a cluster of database machines. Only modification queries are replicated.
- @RoundRobinPartitioning; Sends requests in a round-robin fashion to the set of connection pools/nodes. It is for load balancing read queries across a cluster of database machines. It requires that the full database be replicated on each machine, so it does not support partitioning. The data should either be read-only, or writes should be replicated.
- @UnionPartitioning; Sends queries to all connection pools and unions the results. This is for queries or relationships that span partitions when partitioning is used, such as on a ManyToMany cross partition relationship.
- @ValuePartitioning; Partitions access to a database cluster by a field value from the object, such as the object's location or tenant. Each value is assigned a specific server. All write or read requests for objects with that value are sent to the same server. If a query does not include the field as a parameter, then it can be sent to all servers and unioned, or it can be left to the session's default behavior.
- @Partitioning; Partitions access to a database cluster by a custom partitioning policy. A PartitioningPolicy class must be provided and implemented.

Partitioning policies are globally-named objects in a persistence unit and are reusable across multiple descriptors or queries. This improves the usability of the configuration, specifically with JPA annotations and metadata.

The persistence unit properties support adding named connection pools in addition to the existing configuration for read/write/sequence. A named connection pool must be defined for each node in the database cluster.

If a transaction modifies data from multiple partitions, JTA should be used to ensure 2-phase commit of the data. An exclusive connection can also be configured in the EntityManager to ensure only a single node is used for a single transaction.

## 8.8 About Clustered Databases and Oracle RAC

Some databases support clustering the database across multiple machines. Oracle RAC allows for a single database to span multiple different server nodes. Oracle RAC also supports table and node partitioning of data. A database cluster allows for any of the data to be accessed from any node in the cluster. However, it is generally more efficient to partition the data access to specific nodes, to reduce cross node communication.

EclipseLink partitioning can be used in conjunction with a clustered database to reduce cross node communication, and improve scalability.

To use partitioning with a database cluster to following is required:

- The partition policy should not enable replication, as the database cluster makes data available to all nodes.
- The partition policy should not use unions, as the database cluster returns the complete query result from any node.
- A data source and EclipseLink connection pool should be defined for each node in the cluster.
- The application's data access and data partitioning should be designed to have each transaction only require access to a single node.
- Use of an exclusive connection for an EntityManager is recommended to avoid having multiple nodes in a single transaction and avoid 2-phase commit.

# **Understanding Caching**

The EclipseLink cache is an in-memory repository that stores recently read or written objects based on class and primary key values. The cache improves performance by holding recently read or written objects and accessing them in-memory to minimize database access, manage locking and isolation level, and manage object identity.

EclipseLink defines the following entity caching annotations:

- @Cache
- @TimeOfDay
- @ExistenceChecking

EclipseLink also provides a number of persistence unit properties that you can specify to configure the EclipseLink cache. These properties may compliment or provide an alternative to annotations. For more information on these annotations and properties, see Java Persistence API (JPA) Extensions Reference for EclipseLink.

This chapter contains the following sections:

- **About Cache Architecture**
- About Cache Type and Size
- About Queries and the Cache
- **About Handling Stale Data**
- About Explicit Query Refreshes
- About Cache Expiration and Invalidation
- **About Cache Indexes**
- About Query Results Cache
- **About Isolated Client Sessions**
- About Cache Locking and Transaction Isolation
- **About Cache Optimization**
- **About Cache Coordination**

## 9.1 About Cache Architecture

EclipseLink uses two types of cache: the shared **persistence unit cache** (L2) maintains objects retrieved from and written to the data source; and the isolated **persistence** context cache (L1) holds objects while they participate in transactions. When a persistence context (entity manager) successfully commits to the data source,

EclipseLink updates the persistence unit cache accordingly. Conceptually the persistence context cache is represented by the EntityManager and the persistence unit cache is represented by the EntityManagerFactory.

Internally, EclipseLink stores the persistence unit cache on a EclipseLink session, and the persistence context cache on a EclipseLink unit of work. As Figure 9–1 shows, the persistence unit cache (session) cache and the persistence context cache (unit of work cache) work together with the data source connection to manage objects in a EclipseLink application. The object life cycle relies on these three mechanisms.

Oracle TopLink Session Read from Database ssion Cache Commitor Merge Transaction Registers Objects vith Unit of Work Write to Database Unit of Work Cache

Figure 9–1 Object Life Cycle and the EclipseLink Caches

This illustration shows the object life cycle and the EclipseLink cache. Read requests from the database are sent to the session cache in EclipseLink session. Write requests from the database are sent to the EclipseLink unit of work cache. The session cache registers objects with the unit of work. During a commit or merge transaction, the unit of work Cache refreshes the session cache.

### 9.1.1 Persistence Unit Cache

The persistence unit cache is a shared cache (L2) that services clients attached to a given persistence unit. When you read objects from or write objects to the data source using an EntityManager object, EclipseLink saves a copy of the objects in the persistence unit's cache and makes them accessible to all other processes accessing the same persistence unit.

EclipseLink adds objects to the persistence unit cache from the following:

- The data store, when EclipseLink executes a read operation
- The persistence context cache, when a persistence context successfully commits a

EclipseLink defines three cache isolation levels: Isolated, Shared and Protected. For more information on these levels, see Section 9.1.3, "Shared, Isolated, Protected, Weak, and Read-only Caches."

There is a separate persistence unit cache for each unique persistence unit name. Although the cache is conceptually stored with the EntityManagerFactory, two factories with the same persistence unit name will share the same cache (and effectively be the same persistence unit instance). If the same persistence unit is

deployed in two separate applications in Java EE, their full persistence unit name will normally still be unique, and they will use separate caches. Certain persistence unit properties, such as data-source, database URL, user, and tenant id can affect the unique name of the persistence unit, and result in separate persistence unit instances and separate caches. The eclipselink.session.name persistence unit property can be used to force two persistence units to resolve to the same instance and share a cache.

### 9.1.2 Persistence Context Cache

The persistence context cache is an isolated cache (L1) that services operations within an EntityManager. It maintains and isolates objects from the persistence unit cache, and writes changed or new objects to the persistence unit cache after the persistence context commits changes to the data source.

**Note:** Only committed changes are merged into the shared persistence unit cache, flush or other operations do not affect the persistence unit cache until the transaction is committed.

The life-cycle for the persistence context cache differs between application managed, and container managed persistence contexts. The unit of work cache services operations within the unit of work. It maintains and isolates objects from the session cache, and writes changed or new objects to the session cache after the unit of work commits changes to the data source.

### 9.1.2.1 Application Managed Persistence Contexts

An application managed persistence context is created by the application from an EntityManagerFactory. The application managed persistence context's cache will remain until the EntityManager is closed or clear() is called. It is important to keep application managed persistence units short lived, or to make use of clear() to avoid the persistence context cache from growing too big, or from becoming out of sync with the persistence unit cache and the database. Typically a separate EntityManager should be created for each transaction or request.

An extended persistence context has the same caching behavior as an application managed persistence context, even if it is managed by the container.

EclipseLink also supports a WEAK reference mode option for long lived persistence contexts, such as two-tier applications. See Section 9.1.3.4, "Weak Reference Mode."

#### 9.1.2.2 Container Managed Persistence Contexts

A container managed persistence context is typically injected into a SessionBean or other managed object by a Java EE container, or frameworks such as Spring. The container managed persistence context's cache will only remain for the duration of the transaction. Entities read in a transaction will become detached after the completion of the transaction and will require to be merged to be edited in subsequent transactions.

**Note:** EclipseLink supports accessing an entity's LAZY relationships after the persistence context has been closed.

## 9.1.3 Shared, Isolated, Protected, Weak, and Read-only Caches

EclipseLink defines three cache isolation levels. The cache isolation level defines how caching for an entity is performed by the persistence unit and the persistence context. The cache isolation levels are:

- Isolated—entities are only cached in the persistence context, not in the persistence unit. See Section 9.1.3.1, "Isolated Cache."
- Shared—entities are cached both in the persistence context and persistence unit, read-only entities are shared and only cached in the persistence unit. See Section 9.1.3.2, "Shared Cache."
- Protected—entities are cached both in the persistence context and persistence unit, read-only entities are isolated and cached in the persistence unit and persistence context. See Section 9.1.3.3, "Protected Cache."

#### 9.1.3.1 Isolated Cache

The isolated cache (L1) is the cache stored in the persistence context. It is a transactional or user session based cache. Setting the cache isolation to ISOLATED for an entity disables its shared cache. With an isolated cache all queries and find operations will access the database unless the object has already been read into the persistence context and refreshing is not used.

Use a isolated cache to do the following:

- avoid caching highly volatile data in the shared cache
- achieve serializable transaction isolation

Each persistence context owns an initially empty isolated cache. The persistence context's isolated cache is discarded when the persistence context is closed, or the EntityManager.clear() operation is used.

When you use an EntityManager to read an isolated entity, the EntityManager reads the entity directly from the database and stores it in the persistence context's isolated cache. When you read a read-only entity it is still stored in the isolated cache, but is not change tracked.

The persistence context can access the database using a connection pool or an exclusive connection. The persistence unit property

eclipselink.jdbc.exclusive-connection.mode can be used to use an exclusive connection. Using an exclusive connection provides improved user-based security for reads and writes. Specific queries can also be configured to use the persistence context's exclusive connection.

**Note:** If an EntityManager contains an exclusive connection, you must close the EntityManager when you are finished using it. We do not recommend relying on the finalizer to release the connection when the EntityManager is garbage-collected. If you are using a managed persistence context, then you do not need to close it.

#### 9.1.3.2 Shared Cache

The shared cache (L2) is the cache stored in the persistence unit. It is a shared object cache for the entire persistence unit. Setting the cache isolation to SHARED for an entity enables its shared cache. With a shared cache queries and find operations will resolve against the shared cache unless refreshing is used.

Use a shared cache to do the following:

- improve performance by avoiding database access when finding or querying an entity by Id or index;
- improve performance by avoiding database access when accessing an entity's relationships;
- preserve object identity across persistence contexts for read-only entities.

When you use an EntityManager to find a shared entity, the EntityManager first checks the persistence unit's shared cache. If the entity is not in the persistence unit's shared cache, it will be read from the database and stored in the persistence unit's shared cache, a copy will also be stored in the persistence context's isolated cache. Any query not by Id, and not by an indexed attribute will first access the database. For each query result row, if the object is already in the shared cache, the shared object (with its relationships) will be used, otherwise a new object will be built from the row and put into the shared cache, and a copy will be put into the isolated cache. The isolated copy is always returned, unless read-only is used. For read-only the shared object is returned as the isolated copy is not required.

The size and memory usage of the shared cache depends on the entities cache type. The JPA Cache and EclipseLink JpaCache annotations can also be used to invalidate or clear the cache.

#### 9.1.3.3 Protected Cache

The protected cache option allows for shared objects to reference isolated objects. Setting the cache isolation to PROTECTED for an entity enables its shared cache. The protected option is mostly the same as the shared option, except that protected entities can have relationships to isolated entities, whereas shared cannot.

Use a protected cache to do the following:

- improve performance by avoiding database access when finding or querying an entity by Id or index;
- improve performance by avoiding database access when accessing an entity's relationships to shared entities;
- ensure read-only entities are isolated to the persistence context;
- allow relationships to isolated entities.

Protected entities have the same life-cycle as shared entities, except for relationships, and read-only. Protected entities relationships to shared entities are cached in the shared cache, but their relationships to isolated entities are isolated and not cached in the shared cache. The @Noncacheable annotation can also be used to disable caching of a relationship to shared entities. Protected entities that are read-only are always copied into the isolated cache, but are not change tracked.

#### 9.1.3.4 Weak Reference Mode

EclipseLink offers a specialized persistence context cache for long-lived persistence contexts. Normally it is best to keep persistence contexts short-lived, such as creating a new EntityManager per request, or per transaction. This is referred to as a stateless model. This ensures the persistence context does not become too big, causing memory and performance issues. It also ensures the objects cached in the persistence context do not become stale or out of sync with their committed state.

Some two-tier applications, or stateful models require long-lived persistence contexts. EclipseLink offers a special weak reference mode option for these types of applications. A weak reference mode maintains weak references to the objects in the persistence context. This allows the objects to garbage-collected if not referenced by

the application. This helps prevent the persistence context from becoming too big, reducing memory usage and improving performance. Any new, removed or changed objects will be held with strong references until a commit occurs.

A weak reference mode can be configured through the eclipselink.persistence-context.reference-mode persistence unit property. The following options can be used:

- HARD—This is the default, weak references are not used. The persistence context will grow until cleared or closed.
- WEAK—Weak references are used. Unreferenced unchanged objects will be eligible for garbage collection. Objects that use deferred change tracking will not be eligible for garbage collection.
- FORCE\_WEAK—Weak references are used. Unreferenced, unchanged objects will be eligible for garbage collection. Changed (but unreferenced) objects that use deferred change tracking will also be eligible for garbage collection, causing any changes to be lost.

### 9.1.3.5 Read-Only Entities

An entity can be configured as read-only using the @ReadOnly annotation or the read-only XML attribute. A read-only entity will not be tracked for changes and any updates will be ignored. Read-only entities cannot be persisted or removed. A read-only entity must not be modified, but EclipseLink does not currently enforce this. Modification to read-only objects can corrupt the persistence unit cache.

Queries can also be configured to return read-only objects using the eclipselink.read-only query hint.

A SHARED entity that is read-only will return the shared instance from queries. The same entity will be returned from all queries from all persistence contexts. Shared read-only entities will never be copied or isolated in the persistence context. This improves performance by avoiding the cost of copying the object, and tracking the object for changes. This both reduces memory, reduces heap usage, and improves performance. Object identity is also maintained across the entire persistence unit for read-only entities, allowing the application to hold references to these shared objects.

An ISOLATED or PROTECTED entity that is read-only will still have an isolated copy returned from the persistence context. This gives some improvement in performance and memory usage from avoid tracking the object for changes, but it is not as significant as SHARED entities.

# 9.2 About Cache Type and Size

EclipseLink provides several different cache types which have different memory requirements. The size of the cache (in number of cached objects) can also be configured. The cache type and size to use depends on the application, the possibility of stale data, the amount of memory available in the JVM and on the machine, the garbage collection cost, and the amount of data in the database.

By default, EclipseLink uses a SOFT\_CACHE with an initial size of 100 objects. The cache size is not fixed, but just the initial size, EclipseLink will never eject an object from the cache until it has been garbage collected from memory. It will eject the object if the CACHE type is used, but this is not recommended. The cache size of the SOFT\_ CACHE and HARD\_CACHE is also the size of the soft or hard sub-cache that can determine a minimum number of objects to hold in memory.

You can configure how object identity is managed on a class-by-class basis. The ClassDescriptor object provides the cache and identity map options described in Table 9–1.

Table 9-1 Cache and Identity Map Options

| Option (Cache Type)                  | Caching | Guaranteed Identity | Memory Use  |
|--------------------------------------|---------|---------------------|-------------|
| FULL Cache Type                      | Yes     | Yes                 | Very High   |
| WEAK Cache Type                      | Yes     | Yes                 | Low         |
| SOFT Cache Type                      | Yes     | Yes                 | High        |
| SOFT_CACHE and HARD_CACHE Cache Type | Yes     | Yes                 | Medium-high |

There are two other options, NONE, and CACHE. These options are not recommend.

## 9.2.1 FULL Cache Type

This option provides full caching and guaranteed identity: objects are never flushed from memory unless they are deleted.

It caches all objects and does not remove them. Cache size doubles whenever the maximum size is reached. This method may be memory-intensive when many objects are read. Do not use this option on batch operations.

Oracle recommends using this identity map when the data set size is small and memory is in large supply.

## 9.2.2 WEAK Cache Type

This option only caches objects that have not been garbage collected. Any object still referenced by the application will still be cached.

The weak cache type uses less memory than full identity map but also does not provide a durable caching strategy across client/server transactions. Objects are available for garbage collection when the application no longer references them on the server side (that is, from within the server JVM).

# 9.2.3 SOFT Cache Type

This option is similar to the weak cache type, except that the cache uses soft references instead of weak references. Any object still referenced by the application will still be cached, and objects will only be removed from the cache when memory is low.

The soft identity map allows for optimal caching of the objects, while still allowing the JVM to garbage collect the objects if memory is low.

# 9.2.4 SOFT\_CACHE and HARD\_CACHE Cache Type

These options are similar to the weak cache except that they maintain a most frequently used sub-cache. The sub-cache uses soft or hard references to ensure that these objects are not garbage collected, or only garbage collected only if the JVM is low on memory.

The soft cache and hard cache provide more efficient memory use. They release objects as they are garbage-collected, except for a fixed number of most recently used objects. Note that weakly cached objects might be flushed if the transaction spans multiple client/server invocations. The size of the sub-cache is proportional to the size of the

cache as specified by the size option. You should set the cache size to the number of objects you wish to hold in your transaction.

Oracle recommends using this cache in most circumstances as a means to control memory used by the cache.

### 9.2.5 NONE and CACHE

NONE and CACHE options do not preserve object identity and should only be used in very specific circumstances. NONE does not cache any objects. CACHE only caches a fixed number of objects in an LRU fashion. These cache types should only be used if there are no relationships to the objects.

Oracle does not recommend using these options. To disable caching set the cache isolation to ISOLATED instead.

## 9.2.6 Guidelines for Configuring the Cache and Identity Maps

Use the following guidelines when configuring your cache type:

- For objects with a long life span, use a SOFT, SOFT\_CACHE or HARD\_CACHE cache type. For more information on when to choose one or the other, see Section 9.2.6.1, "About the Internals of Weak, Soft, and Hard Cache Types.".
- For objects with a short life span, use a WEAK cache type.
- For objects with a long life span, that have few instances, such as reference data, use a FULL cache type.

**Note:** Use the FULL cache type only if the class has a small number of finite instances. Otherwise, a memory leak will occur.

If caching is not required or desired, disable the shared cache by setting the cache isolation to ISOLATED.

**Note:** Oracle does not recommend the use of CACHE and NONE cache types.

See Section 9.2.6.1, "About the Internals of Weak, Soft, and Hard Cache Types."

#### 9.2.6.1 About the Internals of Weak, Soft, and Hard Cache Types

The WEAK and SOFT cache types use JVM weak and soft references to ensure that any object referenced by the application is held in the cache. Once the application releases its reference to the object, the JVM is free to garbage collection the objects. When a weak or a soft reference is garbage collected is determined by the JVM. In general, expect a weak reference to be garbage collected with each JVM garbage-collection operation.

The SOFT\_CACHE and HARD\_CACHE cache types contain the following two caches:

- Reference cache: implemented as a LinkedList that contains soft or hard references, respectively.
- Weak cache: implemented as a Map that contains weak references.

When you create a SOFT\_CACHE or HARD\_CACHE cache with a specified size, the reference cache LinkedList is exactly this size. The weak cache Map has the size as its initial size: the weak cache will grow when more objects than the specified size are read in. Because EclipseLink does not control garbage collection, the JVM can reap the weakly held objects whenever it sees fit.

Because the reference cache is implemented as a LinkedList, new objects are added to the end of the list. Because of this, it is by nature a least recently used (LRU) cache: fixed size, object at the top of the list is deleted, provided the maximum size has been reached.

The SOFT\_CACHE and HARD\_CACHE are essentially the same type of cache. The HARD\_ CACHE was constructed to work around an issue with some JVMs.

If your application reaches a low system memory condition frequently enough, or if your platform's JVM treats weak and soft references the same, the objects in the reference cache may be garbage-collected so often that you will not benefit from the performance improvement provided by it. If this is the case, Oracle recommends that you use the HARD\_CACHE. It is identical to the SOFT\_CACHE except that it uses hard references in the reference cache. This guarantees that your application will benefit from the performance improvement provided by it.

When an object in a HARD\_CACHE or SOFT\_CACHE is pushed out of the reference cache, it gets put in the weak cache. Although it is still cached, EclipseLink cannot guarantee that it will be there for any length of time because the JVM can decide to garbage-collect weak references at anytime.

## 9.3 About Queries and the Cache

A query that is run against the shared session cache is known as an **in-memory query**. Careful configuration of in-memory querying can improve performance.

By default, a query that looks for a single object based on primary key attempts to retrieve the required object from the cache first, and searches the data source only if the object is not in the cache. All other query types search the database first, by default. You can specify whether a given query runs against the in-memory cache, the database, or both.

# 9.4 About Handling Stale Data

Stale data is an artifact of caching, in which an object in the cache is not the most recent version committed to the data source. To avoid stale data, implement an appropriate cache locking strategy.

By default, EclipseLink optimizes concurrency to minimize cache locking during read or write operations. Use the default EclipseLink isolation level, unless you have a very specific reason to change it. For more information on isolation levels in EclipseLink, see Section 9.1.3, "Shared, Isolated, Protected, Weak, and Read-only Caches".

Cache locking regulates when processes read or write an object. Depending on how you configure it, cache locking determines whether a process can read or write an object that is in use within another process.

A well-managed cache makes your application more efficient. There are very few cases in which you turn the cache off entirely, because the cache reduces database access, and is an important part of managing object identity.

To make the most of your cache strategy and to minimize your application's exposure to stale data, Oracle recommends the following:

Configuring a Locking Policy

- Configuring the Cache on a Per-Class Basis
- Forcing a Cache Refresh when Required on a Per-Query Basis
- Configuring Cache Invalidation
- Configuring Cache Coordination

## 9.4.1 Configuring a Locking Policy

Make sure you configure a locking policy so that you can prevent or at least identify when values have already changed on an object you are modifying. Typically, this is done using optimistic locking. EclipseLink offers several locking policies such as numeric version field, time-stamp version field, and some or all fields. Optimistic and pessimistic locking are described in the following sections.

### 9.4.1.1 Optimistic Locking

Oracle recommends using EclipseLink optimistic locking. With optimistic locking, all users have read access to the data. When a user attempts to write a change, the application checks to ensure the data has not changed since the user read the data.

You can use version or field locking policies. Oracle recommends using version locking policies. For more information, see Section 6.2.4.1, "Optimistic Version Locking Policies" and Section 6.2.4.1.3, "Optimistic Field Locking Policies".

### 9.4.1.2 Pessimistic Locking

With pessimistic locking, the first user who accesses the data with the purpose of updating it locks the data until completing the update. The disadvantage of this approach is that it may lead to reduced concurrency and deadlocks.

Consider using pessimistic locking support at the query level. See Section 6.2.4.2, "Pessimistic Locking Policies."

# 9.4.2 Configuring the Cache on a Per-Class Basis

If other applications can modify the data used by a particular class, use a weaker style of cache for the class. For example, the SoftCacheWeakIdentityMap or WeakIdentityMap minimizes the length of time the cache maintains an object whose reference has been removed.

# 9.4.3 Forcing a Cache Refresh when Required on a Per-Query Basis

Any query can include a flag that forces EclipseLink to go to the data source for the most up-to-date version of selected objects and update the cache with this information.

# 9.4.4 Configuring Cache Invalidation

Using the Descriptor API, you can designate an object as invalid: when any query attempts to read an invalid object, EclipseLink will go to the data source for the most up to date version of that object and update the cache with this information. You can manually designate an object as invalid or use a CacheInvalidationPolicy to control the conditions under which an object is designated invalid. For more information, see Section 9.6, "About Cache Expiration and Invalidation".

## 9.4.5 Configuring Cache Coordination

If your application is primarily read-based and the changes are all being performed by the same Java application operating with multiple, distributed sessions, you may consider using the EclipseLink cache coordination feature. Although this will not prevent stale data, it should greatly minimize it. For more information, see Section 9.12, "About Cache Coordination".

# 9.5 About Explicit Query Refreshes

Some distributed systems require only a small number of objects to be consistent across the servers in the system. Conversely, other systems require that several specific objects must always be guaranteed to be up-to-date, regardless of the cost. If you build such a system, you can explicitly refresh selected objects from the database at appropriate intervals, without incurring the full cost of distributed cache coordination.

To implement this type of strategy, do the following:

- Configure a set of queries that refresh the required objects.
- Establish an appropriate refresh policy.
- Invoke the queries as required to refresh the objects.

## 9.5.1 Refresh Policy

When you execute a query, if the required objects are in the cache, EclipseLink returns the cached objects without checking the database for a more recent version. This reduces the number of objects that EclipseLink must build from database results, and is optimal for noncoordinated cache environments. However, this may not always be the best strategy for a coordinated cache environment.

To override this behavior, set a refresh policy that specifies that the objects from the database always take precedence over objects in the cache. This updates the cached objects with the data from the database.

You can implement this type of refresh policy on each EclipseLink descriptor, or just on certain queries, depending upon the nature of the application.

# 9.6 About Cache Expiration and Invalidation

By default, objects remain in the shared cache until they are explicitly deleted or garbage collected.

You can configure any entity with an expiry that lets you specify either the number of milliseconds after which an entity instance should expire from the cache, or a time of day that all instances of the entity class should expire from the cache. Expiry is set on the @Cache annotation or <cache> XML element, and can be configured in two ways:

- expiry—The number of milliseconds after which an entity instance will expire.
- expiryTimeOfDay—A @TimeOfDay representing the 24-hour time at which all instances of the entity class will expire from the cache.

When an instance expires, it is only invalidated in the cache. It is not removed from the cache, but when next accessed it will be refreshed from the database as part of the query that was used to access it.

The application can also explicitly invalidate objects in the cache using the JPA Cache API, or the EclipseLink JpaCache API.

Expiry can also be used in the query results cache. See Section 9.8, "About Query Results Cache."

Invalidation can also be used in a cluster through cache coordination, or from database events using database event notification. See Section 9.12.5, "Coordinated Cache and Clustering.'

#### 9.6.1 Advanced Cache Invalidation

Alternatively, you can configure any object with a CacheInvalidationPolicy that lets you specify, either with annotations or XML, under what circumstances a cached object is invalid. When any query attempts to read an invalid object, EclipseLink will go to the data source for the most up-to-date version of that object, and update the cache with this information.

For descriptions of the available CacheInvalidationPolicy instances, see "Setting Cache Expiration" in *Solutions Guide for EclipseLink*.

You can configure a cache invalidation policy in the following ways:

- At the project level that applies to all objects
- At the descriptor level to override the project level configuration on a per-object basis
- At the query level that applies to the results returned by the query

If you configure a query to cache results in its own internal cache, the cache invalidation policy you configure at the query level applies to the query's internal cache in the same way it would apply to the session cache.

If you are using a coordinated cache you can customize how EclipseLink communicates the fact that an object has been declared invalid. See Section 9.12, "About Cache Coordination".

The EclipseLink CacheInvalidationPolicy API offers a few advanced features that are not available through annotations or XML. It is also possible to define your own expiry or invalidation policy by defining your own CacheInvalidationPolicy. Advanced configuration can be done through using a DescriptorCustomizer to customize your entity's ClassDescriptor.

Here are a few of the CacheInvalidationPolicy advanced options:

- isInvalidationRandomized—This allows the invalidation time to be randomized by 10% to avoid a large number of instances becoming invalid at the same time and causing a bottleneck in the database load. This is not used by default.
- shouldRefreshInvalidObjectsOnClone—This ensures that an invalid object accessed through a relationship from another object will be refreshed in the persistence context. This is enabled by default.
- shouldUpdateReadTimeOnUpdate—This updates an object's read time when the object is successfully updated. This is not enabled by default.

### 9.7 About Cache Indexes

The EclipseLink cache is indexed by the entities Id. This allows the find() operation, relationships, and queries by Id to obtain cache hits and avoid database access. The cache is not used by default for any non-Id query. All non-Id queries will access the database then resolve with the cache for each row returned in the result-set.

Applications tend to have other unique keys in their model in addition to their Id. This is quite common when a generated Id is used. The application frequently queries on these unique keys, and it is desirable to be able to obtain cache hits to avoid database access on these queries.

Cache indexes allow an in-memory index to be created in the EclipseLink cache to allow cache hits on non-Id fields. The cache index can be on a single field, or on a set of fields. The indexed fields can be updateable, and although they should be unique, this is not a requirement. Queries that contain the indexed fields will be able to obtain cache hits. Only single results can be obtained from indexed queries.

Cache indexes can be configured using the @CacheIndex and @CacheIndexes annotations and <cache-index> XML element. A @CacheIndex can be defined on the entity, or on an attribute to index the attribute. Indexes defined on the entity must define the columnNames used for the index. An index can be configured to be re-indexed when the object is updated using the updateable attribute.

It is still possible to cache query results for non-indexed queries using the query result cache. For more information, see Section 9.8, "About Query Results Cache."

# 9.8 About Query Results Cache

The EclipseLink query results cache allows the results of named queries to be cached, similar to how objects are cached.

By default in EclipseLink all queries access the database, unless they are by Id, or by cache-indexed fields. The resulting rows will still be resolved with the cache, and further queries for relationships will be avoided if the object is cached, but the original query will always access the database. EclipseLink does have options for querying the cache, but these options are not used by default, as EclipseLink cannot assume that all of the objects in the database are in the cache. The query results cache allows for non-indexed and result list queries to still benefit from caching.

The query results cache is indexed by the name of the query, and the parameters of the query. Only named queries can have their results cached, dynamic queries cannot use the query results cache. As well, if you modify a named query before execution, such as setting hints or properties, then it cannot use the cached results.

The query results cache does not pick up committed changes from the application as the object cache does. It should only be used to cache read-only objects, or should use an invalidation policy to avoid caching stale results. Committed changes to the objects in the result set will still be picked up, but changes that affect the results set (such as new or changed objects that should be added/removed from the result set) will not be picked up.

The query results cache supports a fixed size, cache type, and invalidation options.

## 9.9 About Isolated Client Sessions

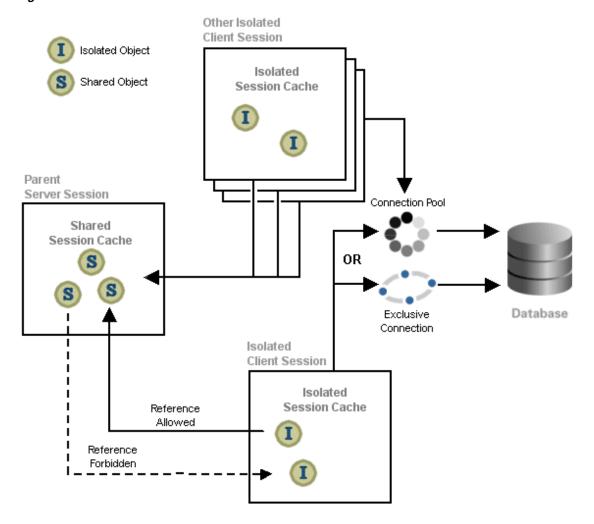
This caching technique always goes to the database for the initial read operation of an object whose descriptor is configured as isolated. By avoiding the shared session cache, you do not need to use the more complicated descriptor and query APIs to disable cache hits or always refresh.

An isolated client session is a special type of client session that provides its own session cache. This session cache is isolated from the shared session cache of its parent server session. See Section 9.1.3.1, "Isolated Cache" for more information on isolated caches.

If you configure one or more classes as isolated in your EclipseLink project, then all client sessions that you acquire from a parent server session will be isolated client sessions.

Figure 9–2 illustrates the relationship between a parent server session's shared session cache and its child isolated client sessions.

Figure 9-2 Isolated Client Sessions



This figure illuistrates isolated client sessions.

Each isolated client session owns an initially empty cache. Identity maps are used exclusively for isolated objects that the isolated client session accesses while it is active. The isolated client session's isolated session cache is discarded when the isolated client session is released.

\*

When you use an isolated client session to read an isolated class, the client session reads the isolated object directly from the database and stores it in that client session's isolated session cache. When you use the client session to read a shared class, the client session reads the shared object from the parent server session's shared session cache. If the shared object is not in the parent server session's shared session cache, it will read it from the database and store it in the parent server session's shared session cache.

Isolated objects in an isolated client session's isolated session cache may reference shared objects in the parent server session's shared session cache. However, shared objects in the parent server session's shared session cache cannot reference isolated objects in an isolated client session's isolated session cache.

> You cannot define mappings from shared classes to isolated classes.

Client sessions can access the data source using a connection pool or an exclusive connection. To use an exclusive connection, acquire the isolated client session using a ConnectionPolicy. Using an exclusive connection provides improved user-based security for reads and writes. Named queries can also use an exclusive connection).

**Note:** If an isolated session contains an exclusive connection, you must release the session when you are finished using it. Oracle does not recommend relying on the finalizer to release the connection when the session is garbage-collected. If you are using an active unit of work in a JTA transaction, you do not need to release the client session—the unit of work will release it after the JTA transaction completes.

# 9.10 About Cache Locking and Transaction Isolation

By default, EclipseLink optimizes concurrency to minimize cache locking during read or write operations. Use the default EclipseLink transaction isolation configuration unless you have a very specific reason to change it.

# 9.11 About Cache Optimization

Tune the EclipseLink cache for each class to help eliminate the need for distributed cache coordination. Always tune these settings before implementing cache coordination. For more information, see "Monitoring and Optimizing EclipseLink-Enabled Applications" in *Solutions Guide for EclipseLink*.

## 9.12 About Cache Coordination

The need to maintain up-to-date data for all applications is a key design challenge for building a distributed application. The difficulty of this increases as the number of servers within an environment increases. EclipseLink provides a distributed cache coordination feature that ensures data in distributed applications remains current.

Cache coordination reduces the number of optimistic lock exceptions encountered in a distributed architecture, and decreases the number of failed or repeated transactions in an application. However, cache coordination in no way eliminates the need for an effective locking policy. To effectively ensure working with up-to-date data, cache coordination must be used with optimistic or pessimistic locking. Oracle recommends that you use cache coordination with an optimistic locking policy.

You can use cache invalidation to improve cache coordination efficiency. For more information, see Section 9.6, "About Cache Expiration and Invalidation".

As Figure 9–3 shows, cache coordination is a session feature that allows multiple, possibly distributed, instances of a session to broadcast object changes among each other so that each session's cache is either kept up-to-date or notified that the cache must update an object from the data source the next time it is read.

**Note:** You cannot use isolated client sessions with cache coordination. For more information, see Section 9.9, "About Isolated Client Sessions."

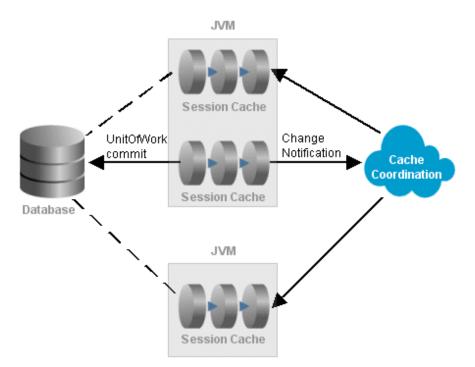


Figure 9-3 Coordinated Session Caches

This figure illustrates multiple JVMs running more than one session cache. Any changes to a cache sends a change notification to the cache coordination software. These changes are broadcast to multiple, possibly distributed, instances of a session so that each session's cache is either kept up-to-date or notified that the cache must update an object from the data source the next time it is read.

When sessions are distributed, that is, when an application contains multiple sessions (in the same JVM, in multiple JVMs, possibly on different servers), as long as the servers hosting the sessions are interconnected on the network, sessions can participate in cache coordination. Coordinated cache types that require discovery services also require the servers to support User Datagram Protocol (UDP) communication and multicast configuration. For more information, see Section 9.12.2, "Coordinated Cache Architecture."

This section describes the following:

- When to Use Cache Coordination
- Coordinated Cache Architecture
- Coordinated Cache Types
- **Custom Coordinated Cache**

### 9.12.1 When to Use Cache Coordination

Cache coordination can enhance performance and reduce the likelihood of stale data for applications that have the following characteristics:

- Changes are all being performed by the same Java application operating with multiple, distributed sessions
- Primarily read-based
- Regularly requests and updates the same objects

To maximize performance, avoid cache coordination for applications that do not have these characteristics.

For other options to reduce the likelihood of stale data, see Section 9.4, "About Handling Stale Data."

#### 9.12.2 Coordinated Cache Architecture

EclipseLink provides coordinated cache implementations that perform discovery and message transport services using various technologies including the following:

- Java Message Service (JMS)—See Section 9.12.3.1, "JMS Coordinated Cache."
- Remote Method Invocation (RMI)—See Section 9.12.3.2, "RMI Coordinated Cache."

Regardless of the type of discovery and message transport you choose to use, the following are the principal objects that provide coordinated cache functionality:

- Session
- Descriptor
- Unit of Work

### 9.12.2.1 Session

When you enable a session for change propagation, the session provides discovery and message transport services using either JMS, RMI, or Oracle Application Server Cluster.

Discovery services ensure that sessions announce themselves to other sessions participating in cache coordination. Discovery services use UDP communication and multicast configuration to monitor sessions as they join and leave the coordinated cache. All coordinated cache types (except JMS) require discovery services.

Message transport services allow the session to broadcast object change notifications to other sessions participating in cache coordination when a unit of work from this session commits a change.

### 9.12.2.2 Descriptor

You can configure how object changes are broadcast on a descriptor-by-descriptor basis. This lets you fine-tune the type of notification to make.

For example, for an object with few attributes, you can configure its descriptor to send object changes. For an object with many attributes, it may be more efficient to configure its descriptor so that the object is flagged as invalid (so that other sessions will know to update the object from the data source the next time it is read).

#### 9.12.2.3 Unit of Work

Only changes committed by a unit of work are subject to propagation when cache coordination is enabled. The unit of work computes the appropriate change set based on the descriptor configuration of affected objects.

## 9.12.3 Coordinated Cache Types

You can configure a coordinated cache to broadcast changes using any of the following communication protocols:

- JMS Coordinated Cache
- **RMI Coordinated Cache**

#### 9.12.3.1 JMS Coordinated Cache

For a JMS coordinated cache, when a particular session's coordinated cache starts up, it uses its JNDI naming service information to locate and create a connection to the JMS server. The coordinated cache is ready when all participating sessions are connected to the same topic on the same JMS server. At this point, sessions can start sending and receiving object change messages. You can then configure all sessions that are participating in the same coordinated cache with the same JMS and JNDI naming service information.

For more information on configuring JMS, see "Configuring JMS Cache Coordination Using Persistence Properties" in Solutions Guide for EclipseLink. See also your JMS provider's documentation.

#### 9.12.3.2 RMI Coordinated Cache

For an RMI coordinated cache, when a particular session's coordinated cache starts up, the session binds its connection in its naming service (either an RMI registry or JNDI), creates an announcement message (that includes its own naming service information), and broadcasts the announcement to its multicast group. When a session that belongs to the same multicast group receives this announcement, it uses the naming service information in the announcement message to establish bidirectional connections with the newly announced session's coordinated cache. The coordinated cache is ready when all participating sessions are interconnected in this way, at which point sessions can start sending and receiving object change messages. You can then configure each session with naming information that identifies the host on which the session is deployed.

For more information, see "Configuring RMI Cache Coordination Using Persistence Properties" in *Solutions Guide for EclipseLink*.

#### 9.12.4 Custom Coordinated Cache

Using the classes in org.eclipse.persistence.sessions.coordination package, you can define your own coordinated cache for custom solutions.

## 9.12.5 Coordinated Cache and Clustering

An application cluster is a set of middle tier server machines or VMs servicing requests for a single application, or set of applications. Multiple servers are used to increase the scalability of the application and/or to provide fault tolerance and high availability. Typically the same application will be deployed to all of the servers in the cluster and application requests will be load balanced across the set of servers. The application cluster will access a single database, or a database cluster. An application

cluster may allow new servers to be added to increase scalability, and for servers to be removed such as for updates and servicing.

Application clusters can consist of Java EE servers, Web containers, or Java server applications.

EclipseLink can function in any clustered environment. The main issue in a clustered environment is utilizing a shared persistence unit (L2) cache. If you are using a shared cache (enabled by default in EclipseLink projects), then each server will maintain its own cache, and each caches data can get out of sync with the other servers and the database.

EclipseLink provides cache coordination in a clustered environment to ensure the servers caches are is sync.

There are also many other solutions to caching in a clustered environment, including:

- Disable the shared cache (through setting @Cacheable(false), or @Cache(isolation=ISOLATED)).
- Only cache read-only objects.
- Set a cache invalidation timeout to reduce stale data.
- Use refreshing on objects/queries when fresh data is required.
- Use optimistic locking to ensure write consistency (writes on stale data will fail, and will automatically invalidate the cache).
- Use database events to invalidate changed data in the cache (such as EclipseLink's support for Oracle Query Change Notification).

Cache coordination enables a set of persistence units deployed to different servers in the cluster (or on the same server) to synchronize their changes. Cache coordination works by each persistence unit on each server in the cluster being able to broadcast notification of transactional object changes to the other persistence units in the cluster. EclipseLink supports cache coordination over RMI and JMS. The cache coordination framework is also extensible so other options could be developed.

Cache coordination works by broadcasting changes for each transaction to the other servers in the cluster. Each other server will receive the change notification, and either invalidate the changed objects in their cache, or update the cached objects state with the changes. Cache coordination occurs after the database commit, so only committed changes are broadcast.

Cache coordination greatly reduces to chance of an application getting stale data, but does not eliminate the possibility. Optimistic locking should still be used to ensure data integrity. Even in a single server application stale data is still possible within a persistence context unless pessimistic locking is used. Optimistic (or pessimistic) locking is always required to ensure data integrity in any multi-user system.

# **Understanding Queries**

EclipseLink enables you to create, read, update, and delete persistent objects or data using queries in both Java EE and non-Java EE applications for both relational and nonrelational data sources.

This chapter includes the following sections:

- **Query Concepts**
- About JPQL Queries
- About SQL Query Language
- About the Criteria API
- **About Native SQL Queries**
- About Advanced Native Query Support
- About JPA Query Hints
- **About Query Casting**
- **About Oracle Extensions for Queries**

# 10.1 Query Concepts

In general, querying a data source means performing an action on or interacting with the contents of the data source. To do this, you must be able to perform the following:

- Define an action in a syntax native to the data source being queried.
- Apply the action in a controlled fashion.
- Manage the results returned by the action (if any).

You must also consider how the query affects the EclipseLink cache.

This section introduces query concepts unique to EclipseLink, including the following:

- Call Objects
- DatabaseQuery Objects
- Data-Level and Object-Level Queries
- **Summary Queries**
- Descriptor Query Manager
- EclipseLink Expressions
- **Query Keys**

## 10.1.1 Call Objects

The Call object encapsulates an operation or action on a data source. The EclipseLink API provides a variety of Call types such as structured query language (SQL), Java Persistence Query Language (JPQL), and Extensible Markup Language (XML).

You can execute a Call directly or in the context of a DatabaseQuery.

## 10.1.2 DatabaseQuery Objects

A DatabaseQuery object is an abstraction that associates additional customization and optimization options with the action encapsulated by a Call. By separating these options from the Call, EclipseLink can provide sophisticated query capabilities across all Call types.

## 10.1.3 Data-Level and Object-Level Queries

Qqueries can be defined for objects or data, as follows:

- Object-level queries are object-specific and return data as objects in your domain model. They are the preferred type of query for mapped data. By far, object-level DatabaseQuery queries are the most common query used in EclipseLink.
- **Data-level** queries are used to query database tables directly, and are an appropriate way to work with unmapped data.

## 10.1.4 Summary Queries

While data-level queries return raw data and object-level queries return objects in your domain model, summary queries return data about objects. EclipseLink provides partial object queries to return a set of objects with only specific attributes populated, and report queries to return summarized (or rolled-up) data for specific attributes of a set of objects.

# 10.1.5 Descriptor Query Manager

In addition to storing named queries applicable to a particular class, you can also use the DescriptorQueryManager to override the default action that EclipseLink defines for common data source operations.

# 10.1.6 EclipseLink Expressions

EclipseLink expressions let you specify query search criteria based on your domain object model. When you execute the query, EclipseLink translates these search criteria into the appropriate query language for your platform.

The EclipseLink API provides the following two public classes to support expressions:

- The Expression class represents an expression that can be anything from a simple constant to a complex clause with boolean logic. You can manipulate, group, and integrate expressions.
- The ExpressionBuilder class is the factory for constructing new expressions.

You can specify a selection criterion as an Expression with DatabaseQuery method setSelectionCriteria, and in a finder that takes an Expression.

For more information about using EclipseLink expressions, see Chapter 11, "Understanding EclipseLink Expressions".

## 10.1.7 Query Keys

A query key is a schema-independent alias for a database field name. Using a query key, you can refer to a field using a schema-independent alias. In relational projects only, EclipseLink automatically creates query keys for all mapped attributes. The name of the query key is the name of the class attribute specified in your object model.

You can configure query keys in a class descriptor or interface descriptor. You can use query keys in expressions and to query variable one-to-one mappings.

By default, EclipseLink creates query keys for all mapped attributes, but in some scenarios you may find it beneficial to add your own.

## 10.2 About JPQL Queries

The Java Persistence Query Language (JPQL) is the query language defined by JPA. JPQL is similar to SQL, but operates on objects, attributes and relationships instead of tables and columns. JPQL can be used for reading (SELECT), as well as bulk updates (UPDATE) and deletes (DELETE). JPQL can be used in a NamedQuery (through annotations or XML) or in dynamic queries using the EntityManager createQuery() API.

The disadvantage of JPQL is that dynamic queries require performing string concatenations to build queries dynamically from web forms or dynamic content. JPQL is also not checked until runtime, making typographical errors more common. These disadvantages are reduced by using the query criteria API, described in the next section.

For more information, see Chapter 4 "Query Language" in the JPA Specification.

http://jcp.org/en/jsr/detail?id=317

#### 10.2.1 Select Queries

Select queries can be used to read objects from the database. Select queries can return a single object or data element, a list of objects or data elements, or an object array of multiple objects and data.

#### 10.2.1.1 SELECT Clause

The SELECT clause can contain object expressions, attribute expressions, functions, sub-selects, constructors and aggregation functions.

**10.2.1.1.1 Aggregation functions** Aggregation functions can include summary information on a set of objects. These include MIN, MAX, AVG, SUM, COUNT. These functions can be used to return a single result, or can be used with a GROUP BY to return multiple results.

**10.2.1.1.2 Constructors** The NEW operator can be used with the fully-qualified class name to return data objects from a JPQL query. These will not be managed objects, and the class must define a constructor that matches the arguments of the constructor and their types. Constructor queries can be used to select partial data or reporting data on objects, and get back a class instance instead of an object array.

#### 10.2.1.2 FROM Clause

The FROM clause defines what is being queried. A typical FROM clause will contain the entity name being queried and assign it an alias.

JPQL allows for multiple root level objects to be queried. Caution should be used when doing this, as it can result in Cartesian products of the two tables. The WHERE or ON clause should ensure the two objects are joined in some way.

The entity name used in JPQL comes from the name attribute of the @Entity annotation or XML. It defaults to the simple entity class name. EclipseLink also allows for the fully-qualified class name of the entity to be used.

10.2.1.2.1 JOIN A JOIN clause can also be used in the FROM clause. The JOIN clause allows any of the object's relationships to be joined into the query so they can be used in the WHERE clause. JOIN does not mean the relationships will be fetched, unless the FETCH option is included.

JOIN can be used with OneToOne, ManyToOne, OneToMany, ManyToMany and ElementColleciton mappings. When used with a collection relationship you can join the same relationship multiple times to query multiple independent values.

**10.2.1.2.2 JOIN FETCH** The FETCH option can be used on a JOIN to fetch the related objects in a single query. This avoids additional queries for each of the object's relationships, and ensures that the relationships have been fetched if they were LAZY. EclipseLink also supports batch fetching through query hints.

JOIN FETCH normally allows an alias. The alias should be used with caution, as it can affect how the resulting objects are built. Objects should normally always have the same data, no matter how they were queried, this is important for caching and consistency. This is only an issue if the alias is used in the WHERE clause on a collection relationship to filter the related objects that will be fetched. This should not be done, but is sometimes desirable, in which case the query should ensure it has been set to BYPASS the cache.

**10.2.1.2.3 LEFT JOIN** By default all joins in JPQL are INNER joins. This means that results that do not have the relationship will be filtered from the query results. To avoid this, a join can be defined as an OUTER join using the LEFT options.

**10.2.1.2.4 ON** The JOIN condition used for a join comes from the mapping's join columns. This means that the JPQL user is normally free from having to know how every relationship is joined. In some cases it is desirable to append additional conditions to the join condition, normally in the case of outer joins. This can be done through the ON clause. EclipseLink also supports usage of the ON clause between two root level objects.

For INNER joins, EclipseLink will normally append the join condition to the WHERE clause, but this can be configured in the DatabasePlatform.

**10.2.1.2.5 Sub-selects in FROM clause** Sub-selects are supported in the FROM clause. This requires that the database supports this functionality.

#### 10.2.1.3 ORDER BY clause

ORDER BY allows the ordering of the results to be specified. Multiple values can be ordered, either ascending (ASC) or descending (DESC). EclipseLink allows functions, sub-selects and other operations in the ORDER BY clause. EclipseLink allows objects expressions to be used in the ORDER BY. In the case of entity objects, they are ordered by their Id, in case of embeddable objects, they are ordered by all of their fields. EclipseLink also allows for NULL ordering to be specified (either FIRST or LAST).

#### 10.2.1.4 GROUP BY Clause

GROUP BY allows for summary information to be computed on a set of objects. GROUP BY is normally used in conjunction with aggregation functions. EclipseLink supports using objects, functions and sub-selects in the GROUP BY clause.

#### 10.2.1.5 HAVING Clause

The HAVING clause allows for the results of a GROUP BY to be filtered. EclipseLink supports using comparisons, objects, functions and sub-selects in the HAVING clause.

#### 10.2.1.6 UNION

EclipseLink supports UNION, INTERSECT and EXCEPT operations. UNION allows the results of two queries with equivalent result structures to be combined into a single query. The unique results from both queries will be returned. If the ALL option is used, then results found in both queries will be duplicated.

INTERSECT returns only the results that are found in both queries. EXCEPT removes the results from the second query from the results from the first query.

The JPA spec does not support union operations.

#### 10.2.2 WHERE Clause

The WHERE clause is normally the main part of the query as it defines the conditions that filter what is returned. The WHERE clause can use any comparison operation, logical operation, functions, attributes, objects, and sub-selects. The comparison operations include =, <, >, <=, >=, <>, LIKE, BETWEEN, IS NULL, and IN. NOT can also be used with any comparison operation (NOT LIKE, NOT BETWEEN, IS NOT NULL, NOT IN). The logical operations include AND, OR, and NOT.

EclipseLink also supports the REGEXP operation to perform regular expression comparisons (requires database to support regular expressions). EclipseLink allows for functions and sub-selects to be used with any operation.

The IN operation allows for a list of values or parameters, a single list parameter, or a sub-select.

A sub-select can be used with any operation provided it returns a single value, or if the ALL or ANY options are used. ALL indicates the operation must be true for all elements returned by the sub-select, ANY indicates the operation must be true for any of the elements returned by the sub-select.

EclipseLink allows the =, <>, IS NULL, IS NOT NULL, IN and NOT IN operations on objects. If IN is used on an object and the object has a composite Id, this requires the database to support nested IN lists.

# 10.2.3 Update Queries

You can perform bulk update of entities with the UPDATE statement. This statement operates on a single entity type and sets one or more single-valued properties of the entity subject to the condition in the WHERE clause. Update queries provide an equivalent to the SQL UPDATE statement, but with JPQL conditional expressions.

Update queries do not allow joins, but do support sub-selects. One ToOne and ManyToOne relationships can be traversed in the WHERE clause. Collection relationships can still be queried through using an EXISTS in the WHERE clause with a sub-select. Update queries can only update attributes of the object or its embeddables, its relationships cannot be updated. Complex update queries are dependent on the database's update support, and may make use of temp tables on some databases.

Update queries should only be used for bulk updates, regular updates to objects should be made by using the object's set methods within a transaction and committing the changes.

Update queries return the number of modified rows on the database (row count).

The persistence context is not updated to reflect results of update operations. If you use a transaction-scoped persistence context, you should either execute the bulk operation in a transaction all by itself, or be the first operation in the transaction. That is because any entity actively managed by the persistence context will remain unaware of the actual changes occurring at the database level.

The objects in the shared cache that match the update query will be invalidated to ensure subsequent persistence contexts see the updated data.

#### 10.2.4 Delete Queries

You can perform bulk removal of entities with the DELETE statement. Delete queries provide an equivalent to the SQL DELETE statement, but with JPQL conditional expressions.

Delete queries do not allow joins, but do support sub-selects. OneToOne and ManyToOne relationships can be traversed in the WHERE clause. Collection relationships can still be queried through using an EXISTS in the WHERE clause with a sub-select. Complex delete queries are dependent on the database's delete support, and may make use of temp tables on some databases.

Delete queries should only be used for bulk deletes, regular deletes to objects should be performed through calling the EntityManager remove() API.

Delete queries return the number of deleted rows on the database (row count).

**Note:** Delete queries are polymorphic: any entity subclass instances that meet the criteria of the delete query will be deleted. However, delete queries do not honor cascade rules: no entities other than the type referenced in the query and its subclasses will be removed, even if the entity has relationships to other entities with cascade removes enabled. Delete queries will delete the rows from join and collection tables.

The persistence context is not updated to reflect results of delete operations. If you use a transaction-scoped persistence context, you should either execute the bulk operation in a transaction all by itself, or be the first operation in the transaction. That is because any entity actively managed by the persistence context will remain unaware of the actual changes occurring at the database level.

The objects in the shared cache that match the delete query will be invalidated to ensure subsequent persistence contexts do not see the removed objects.

#### 10.2.5 Parameters

JPA defines named parameters, and positional parameters. Named parameters can be specified in JPQL using the syntax :<name>. Positional parameters can be specified in JPQL using the syntax? or?cyposition. Positional parameters start at position 1 not 0.

#### 10.2.5.1 Literals

Literal values can be in-lined in JPQL for standard Java types. In general it is normally better to use parameters instead of in-lining values. In-lined arguments will prevent the JPQL from benefiting from the JPQL parser cache, and can potentially make the application vulnerable to JPQL injections attacks.

Each Java type defines its own in-lining syntax:

String - '<string>'

To define a ' (quote) character in a string, the quote is double quoted, i.e. 'Baie-D''Urfé'.

- Integer + | -< digits>
- Long + |-< digits>L
- Float + | < digits > . < decimal > < exponent > F
- Double + | < digits > . < decimal > < exponent > D
- Boolean TRUE | FALSE
- Date {d'yyyy-mm-dd'}
- Time {t'hh:mm:ss'}
- Timestamp {ts'yyyy-mm-dd hh:mm:ss.nnnnnnnn'} -
- Enum package.class.enum
- null NULL

#### 10.2.6 Functions

IPQL supports several database functions. These functions are database independent in name and syntax, but require database support. If the database supports an equivalent function, then the standard JPQL function is supported. If the database does not provide any way to perform the function, then it is not supported. For mathematical functions (+, -, /, \*) BEDMAS rules apply.

In JPQL, support functions can be used in the SELECT, WHERE, ORDER BY, GROUP BY and HAVING clauses, as well as inside other functions, with comparison operators, and in constructors.

EclipseLink provides support for several functions beyond the JPA spec. EclipseLink also supports calling specific database functions through FUNCTION, FUNC, and OPERATOR.

# 10.2.7 EclipseLink Special Operators

EclipseLink defines several special JPQL operators that allow performing database operations that are not possible in basic JPQL. These include:

- FUNCTION
- OPERATOR
- SQL
- COLUMN

For descriptions of these operators, see "Special Operators" in Java Persistence API (JPA) Extensions Reference for EclipseLink.

## 10.2.8 EclipseLink Extensions

EclipseLink provides many extensions to the standard JPA JPQL. These extensions provide access to additional database features many of which are part of the SQL standard, provide access to native database features and functions, and provide access to EclipseLink specific features.

EclipseLink's JPQL extensions include:

- Less restrictions than JPQL, allows sub-selects and functions within operations such as LIKE, IN, ORDER BY, constructors, functions etc.
- Allow != in place of <>
- FUNCTION operation to call database specific functions
- TREAT operation to downcast related entities with inheritance
- OPERATOR operation to call EclipseLink database independent functions
- SQL operation to mix SQL with JPQL
- CAST and EXTRACT functions
- REGEXP function for regular expression querying
- Usage of sub-selects in the SELECT and FROM clause
- ON clause support for defining JOIN and LEFT JOIN conditions
- Joins between independent entities
- Usage of an alias on a JOIN FETCH
- COLUMN operation to allow querying on non mapped columns
- TABLE operation to allow querying on non mapped tables
- UNION, INTERSECT, EXCEPT support
- Usage of object variables in =, <>, IN, IS NULL, and ORDER BY

For descriptions of these extensions, see "EclipseLink Query Language" in Java Persistence API (JPA) Extensions Reference for EclipseLink.

# 10.3 About SQL Query Language

Using EclipseLink, you can express a query using the following query languages:

- **SQL** Queries
- EclipseLink Expressions (see Chapter 11, "Understanding EclipseLink Expressions")

In most cases, you can compose a query directly in a given query language or, preferably, you can construct a DatabaseQuery with an appropriate Call and specify selection criteria using an Expression object. Although composing a query directly in SQL appears to be the simplest approach (and for simple operations or operations on unmapped data, it is), using the DatabaseQuery approach offers the compelling advantage of confining your query to your domain object model and avoiding dependence on data source schema implementation details.

Oracle recommends that you compose your queries using Expression.

SQL is the most common query language for applications that use a relational database data source.

You can execute custom SQL directly using Session methods executeSelectingCall and executeNonSelectingCall, or you can construct a DatabaseQuery with an appropriate Call.

EclipseLink provides a variety of SQL Call objects for use with stored procedures and, with Oracle Database, stored functions.

EclipseLink also supports PL/SQL call for Oracle stored procedures with PL/SQL data types.

## 10.4 About the Criteria API

The Java Persistence Criteria API is used to define dynamic queries through the construction of object-based query definition objects, rather than use of the string-based approach of IPQL. The criteria API allows dynamic queries to be built programmatically offering better integration with the Java language than a string-based 4th GL approach.

The Criteria API has two modes, the type-restricted mode, and the non-typed mode. The type-restricted mode uses a set of JPA meta-model generated classes to define the query-able attributes of a class, see Section 10.4.8, "Metamodel." The non-typed mode uses strings to reference attributes of a class.

The criteria API is only for dynamic queries, and cannot be used in meta-data or named queries. Criteria queries are dynamic queries and do not perform as well as static named queries, or even dynamic parametrized JPQL which benefit from EclipseLink's parse cache.

For more information, see Chapter 6 "Criteria API" in the JPA Specification.

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#### 10.4.1 CriteriaBuilder

CriteriaBuilder is the main interface into the Criteria API. A CriteriaBuilder is obtained from an EntityManager or an EntityManagerFactory using the getCriteriaBuilder() API. CriteriaBuilder is used to construct CriteriaQuery objects and their expressions. The Criteria API currently only supports select queries.

# 10.4.2 CriteriaQuery

CriteriaQuery defines a database select query. A CriteriaQuery models all of the clauses of a IPQL select query. Elements from one CriteriaQuery cannot be used in other CriteriaQuerys. A CriteriaQuery is used with the EntityManager createQuery() API to create a JPA Query.

#### 10.4.3 Where

The where clause is normally the main part of the query as it defines the conditions (predicates) that filter what is returned. The where clause is defined using the where API on CriteriaQuery with any Predicate objects. A Predicate is obtained using a comparison operation, or a logical operation on CriteriaBuilder. The isNull, isNotNull, and in operations can also be called on Expression objects. The not operation can also be called on Predicate objects.

## 10.4.4 Subquery

Subqueries can be used in the Criteria API in the select, where, order, group by, or having clauses. A subquery is created from a CriteriaQuery using the subquery operation. Most subquery usage restricts the subquery to returning a single result and value, unless used with the CriteriaBuilder exists, all, any, or some operations, or with an in operation.

#### 10.4.5 Parameters

Parameters can be defined using the parameter API on CriteriaBuilder. JPA defines named parameters, and positional parameters. For named parameters the parameter type and name are specified. For positional parameters only the parameter type is specified. Positional parameters start at position 1 not 0.

### 10.4.6 Functions

Several database functions are supported by the Criteria API. All supported functions are defined on CriteriaBuilder. Some functions may not be supported by some databases, if they are not SQL compliant, and offer no equivalent function.

## 10.4.7 Special Operations

The Criteria API defines several special operations that are not database functions, but have special meaning in IPA. Some of these operations are defined on CriteriaBuilder and some are on specific Expression interfaces.

### 10.4.8 Metamodel

JPA defines a meta-model that can be used at runtime to query information about the ORM mapping metadata. The meta-model includes the list of mapped attributes for a class, and their mapping types and cardinality. The meta-model can be used with the Criteria API in place of using strings to reference the class attributes.

JPA defines a set of "\_" classes ("\_MyEntity.java", for example) that are to be generated by the JPA provider, or IDE, that give compile time access to the meta-model. This allows typed static variables to be used in the Criteria API. This can reduce the occurrence of typos, or invalid queries in application code, by catching query issues at compile time, instead of during testing. It does however add complexity to the development process, as the meta-model static class needs to be generated, and be part of the development cycle.

# 10.4.9 Tuple Queries

A Tuple defines a multi-select query result. Normally an object array is returned by JPA multi-select queries, but an object array is not a very useful data structure. A Tuple is a map-like structure that allows the results to be retrieved by name or index.

# 10.4.10 JpaCriteriaBuilder and EclipseLink Extensions

EclipseLink's Criteria API support has fewer restrictions than specified by JPA. In general, sub-queries and object path expressions are allowed in most places, including:

- Sub-queries in the select, group by, and order clauses;
- Sub-query usage with functions;
- in usage with object path expressions;

Order by usage with object path expressions.

EclipseLink's Criteria API support is built on top of EclipseLink native Expression API. EclipseLink provides the JpaCriteriaBuilder interface to allow the conversion of native Expression objects to and from JPA Expression objects. This allows the EclipseLink native Expression API to be mixed with the JPA Criteria API.

The EclipseLink native Expression API provides the following additional functionality:

- Additional database functions (over 80 database functions are supported)
- Usage of custom ExpressionOperators
- Embedding of SQL within an Expression query
- Usage of sub-selects in the from clause
- ON clause support
- Access to unmapped columns and tables
- Historical querying

EclipseLink Expressions can be combined with EclipseLink DatabaseQuerys to provide additional functionality:

- Unions, intersect and except clauses;
- Hierarchical connect by clauses;
- Batch fetching.

## 10.5 About Native SQL Queries

JPA allows SQL to be used for querying entity objects, or data. SQL queries are not translated, and passed directly to the database. SQL queries can be used for advanced queries that require database specific syntax, or by users who are more comfortable in the SQL language than JPQL or Java.

SQL queries are created from the EntityManager using the createNativeQuery API or via named queries. A Query object is returned and executed the same as any other JPA query. An SQL query can be created for an entity class, or return an object array of data. If returning entities, the SQL query must return the column names that the entity's mappings expect, or an SqlResultSetMapping can be used. An SqlResultSetMapping allows the SQL result set to be mapped to an entity, or set of entities and data.

SQL queries can be used to execute SQL or DML (Data Manipulation Language) statements. For SQL queries that return results, getSingleResult or getResultList can be used. For SQL queries that do not return results, executeUpdate must be used. executeUpdate can only be used within a transaction. SQL queries can be used to execute database operations and some stored procedures and functions. Stored precodures that return output parameters, or certain complex stored procedures, cannot be executed with SQL queries. EclipseLink supports stored procedures through stored procedure queries.

Query settings and query hints that affect the generated SQL are not supported with SQL queries. Unsupported query hints include:

- batch
- history.as-of

- inheritance.outer-join
- sql.hint
- join-fetch—join-fetch is supported, but requires that the SQL selects all of the joined columns.
- fetch-group—fetch-group is supported, but requires that the SQL selects all of the fetched columns.
- pessimistic-lock—pessimistic-lock is supported, but requires that the SQL locks the result rows.

For descriptions of these extensions, see "EclipseLink Query Language" in Java Persistence API (JPA) Extensions Reference for EclipseLink.

#### 10.5.1 Parameters

Parameters to SQL queries are delimited using the question mark (?) character. Only indexed parameters are supported, named parameters are not supported. The index can be used in the delimiter, such as ?1. Parameter values are set on the Query using the setParameter API. Indexed parameters start at the index 1 not 0.

### 10.5.2 Named Native SQL Queries

Native SQL queries can be defined as named queries in annotations or XML using the NamedNativeQuery annotation or <named-native-query> XML element. Named native SQL queries are executed the same as any named query.

## 10.5.3 SQL Result Set Mapping

An SqlResultSetMapping can be used to map the results of an SQL query to an entity if the result column names do not match what the entity mappings expect. It can also be used to return multiple entities, or entities and data from a single SQL query. EntityResult and FieldResult are used to map the SQL query result column to the entity attribute. ColumnResult can be used to add a data element to the result.

SqlResultSetMappings are defined through annotations or XML using the @SqlResultSetMapping annotation or <sql-result-set-mapping> XML element. They are referenced from native SQL queries by name.

# 10.6 About Advanced Native Query Support

EclipseLink provides an expression framework (also known as EclipseLink Native Query Support) with which you can express queries in a database-neutral fashion as an alternative to SQL when writing queries not supported by JPQL. EclipseLink expressions offer the following advantages over SQL when you access a database:

- Expressions are easier to maintain because the database is abstracted.
- Changes to descriptors or database tables do not affect the querying structures in the application.
- Expressions enhance readability by standardizing the Query interface so that it looks similar to traditional Java calling conventions.
- Expressions enable read queries to transparently query between two classes that share a relationship. If these classes are stored in multiple tables in the database, EclipseLink automatically generates the appropriate join statements to return information from both tables.

Expressions simplify complex operations.

EclipseLink automatically generates the appropriate SQL from the specified expression.

The expression framework lets you work with expressions, database queries, call objects, and native queries.

JPA Query Using a EclipseLink DatabaseQuery

EclipseLink DatabaseQuery is a query object that provides a robust API for handling a variety of database query requirements, including reading and writing at the object level and at the data level.

JPA Query Using a EclipseLink Call Object

Using the DatabaseQuery method setCall, you can define your own EclipseLink Call to accommodate a variety of data source options, such as SQL stored procedures and stored functions.

Named Parameters in a Native Query

Using EclipseLink, you can specify a named parameter in a native query using the EclipseLink # convention.

JPQL Positional Parameters in a Native Query

Using EclipseLink, you can specify positional parameters in a native query using the Java Persistence Query Language (JPQL) positional parameter ?n convention to specify a parameter by number.

JDBC-Style Positional Parameters in a Native Query

Using EclipseLink, you can specify positional parameters in a native query using the JDBC-style positional parameter? convention.

# 10.7 About JPA Query Hints

You can use a query hint to customize or optimize a JPA query. All EclipseLink query hints are defined in the QueryHints class in the org.eclipse.persistence.config package.

For descriptions of the query hints available in EclipseLink, see "JPA Query Customization Extensions" in Java Persistence API (JPA) Extensions Reference for EclipseLink. See also Section 10.3.1 "NamedQuery Annotation" in the JPA Specification.

http://jcp.org/en/jsr/detail?id=317

Use EclipseLink JPA query hints to:

- Construct a JPA query
- Specify a JPA query using the @QueryHint annotation

When you set a hint, you can set the value using the public static final field in the appropriate configuration class in org.eclipse.persistence.config package, including the following:

- HintValues
- CacheUsage
- PessimisticLock
- QueryType

# 10.8 About Query Casting

Use query casting to query across attributes in subclasses when using JPA or ORM. This feature is available in JPQL, EclipseLink Expressions, and Criteria API.

## 10.8.1 JPA 2.0 Type

Starting with JPA 2.0, it is possible to limit the results or a query to those of a specific subclass. For example, the expression framework provides Expression.type(Class).

## 10.8.2 Downcasting in JPQL

In JPQL, downcasting is accomplished in the FROM clause, using TREAT...AS in the JOIN

## 10.8.3 JPA Criteria API

Starting with JPA 2.0, the JPA Criteria API includes the casting operator Expression.as (type). This expression does a simple cast that allows matching of types within the generics.

EclipseLink extends the Criteria API to allow a cast using Expression.as(type). The as method checks the hierarchy; and if type is a subclass of the type for the expression that is being called on, a cast is implemented.

Calling a cast on a JOIN node permanently alters that node. For example, in the example above, after calling join.as(LargeProject.class), the join refers to a LargeProject.

# 10.8.4 EclipseLink Expression Support for Downcast

The Expression.as (Class) can also be used for downcasting. The behavior of using Expression.as(Class) is as follows:

- An exception is thrown at query execution time if the class that is cast to is not a subclass of the class of the query key being cast.
- Casts are only allowed on ObjectExpressions (QueryKeyExpression and ExpressionBuilder). The parent expression of a cast must be an ObjectExpression.
- Casts use the same outer join settings as the ObjectExpression they modify
- Casts modify their parent expression. As a result, when using a cast with a parallel expression, you must use a new instance of the parent expression.
- Casting is not supported for TablePerClass inheritance
- It is prudent to do a check for type in a query that does a cast.
- EclipseLink automatically appends type information for cases where the cast results in a single type; but for classes in the middle of a hierarchy, no type information is appended to the SQL

## 10.9 About Oracle Extensions for Queries

When you use EclipseLink with Oracle Database, you can make use of the following Oracle-specific query features from within your EclipseLink applications:

Query Hints

- Hierarchical Queries
- Flashback Queries
- Stored Functions

### 10.9.1 Query Hints

Oracle lets you specify SQL query additions called hints that can influence how the database server SQL optimizer works. This lets you influence decisions usually reserved for the optimizer. You use hints to specify things such as join order for a join statement, or the optimization approach for a SQL call.

You specify hints using the DatabaseQuery method setHintString.

For more information, see the performance tuning guide for your database.

#### 10.9.2 Hierarchical Queries

Oracle Database Hierarchical Queries mechanism lets you select database rows based on hierarchical order. For example, you can design a query that reads the row of a given employee, followed by the rows of people the employee manages, followed by their managed employees, and so on.

You specify a hierarchical query clause using DatabaseQuery subclass ReadAllQuery method setHierarchicalQueryClause.

#### 10.9.3 Flashback Queries

When using EclipseLink with Oracle9i Database (or later), you can acquire a special historical session where all objects are read as of a past time, and then you can express read queries depending on how your objects are changing over time.

#### 10.9.4 Stored Functions

A stored function is an Oracle Database mechanism that provides all the capabilities of a stored procedure in addition to returning a value.

# **Understanding EclipseLink Expressions**

Using the EclipseLink expressions framework, you can specify query search criteria based on your domain object model.

This chapter includes the following sections:

- About the Expression Framework
- **About Expression Components**

## 11.1 About the Expression Framework

The EclipseLink expression framework provides methods through the following classes:

- The Expression class provides most general functions, such as toUpperCase.
- The ExpressionMath class supplies mathematical methods.

This division of functionality enables EclipseLink expressions to provide similar mathematical functionality to the java.lang.Math class, but keeps both the Expression and ExpressionMath classes from becoming unnecessarily complex.

### 11.1.1 Comparing Expressions to SQL

Expressions offer the following advantages over SQL when you access a database:

- Expressions are easier to maintain because the database is abstracted.
- Changes to descriptors or database tables do not affect the querying structures in the application.
- Expressions enhance readability by standardizing the Query interface so that it looks similar to traditional Java calling conventions.
- Expressions allow read queries to transparently query between two classes that share a relationship. If these classes are stored in multiple tables in the database, EclipseLink automatically generates the appropriate join statements to return information from both tables.
- Expressions simplify complex operations.

## 11.2 About Expression Components

A simple expression usually consists of the following three parts:

The attribute, which represents a mapped attribute or query key of the persistent class

- The operator, which is an expression method that implements boolean logic, such as GreaterThan, Equal, or Like
- The constant or comparison, which refers to the value used to select the object

In the following code fragment:

```
expressionBuilder.get("lastName").equal("Smith");
```

- The attribute is lastName.
- The operator is equal.
- The constant is the string "Smith".

The expressionBuilder substitutes for the object or objects to be read from the database. In this example, expressionBuilder represents employees.

You can use the following components when constructing an Expression:

- **Boolean Logic**
- **Database Functions and Operators**
- **Mathematical Functions**
- XMLType Functions
- Platform and User-Defined Functions
- Expressions for One-to-One and Aggregate Object Relationships
- Expressions for Joining and Complex Relationships

### 11.2.1 Boolean Logic

Expressions use standard boolean operators, such as AND, OR, and NOT, and you can combine multiple expressions to form more complex expressions.

### 11.2.2 Database Functions and Operators

EclipseLink supports many database functions using standard operator names that are translated to different databases. EclipseLink operators are supported on any database that has an equivalent function (or set of functions). For more information and a list of all supported functions and operators see "OPERATOR" and "FUNCTION" in Java Persistence API (JPA) Extensions Reference for EclipseLink

#### 11.2.2.1 Database Functions

EclipseLink expressions support a variety of database functions, including, but not limited to, the following:

- toUpperCase
- toLowerCase
- toDate
- decode
- locate
- monthsBetween
- nextDay
- replace

- reverse
- substring
- translate

**Note:** Some functions may be database platform specific.

Database functions let you define more flexible queries. You can use these functions in either a report query using a SELECT clause, or with comparisons in a query's selection criteria using a WHERE clause.

You access most functions using Expression methods such as toUpperCase.

Some functions have very specific purpose: you can use ascending and descending functions only within an ordering expression to place the result in ascending or descending order.

**Note:** Ordering is not supported for in-memory queries.

You can use aggregate functions, such as average, minimum, maximum, sum and so forth, with the ReportQuery.

#### 11.2.2.2 Database Operators

Operators are relation operations that compare two values. EclipseLink expressions support the following operators:

- like
- notLike
- equal
- notEqual
- lessThan
- lessThanEqual
- equalsIgnoreCase
- greaterThan
- greaterThanEqual
- in
- notIn
- between
- notBetween

#### 11.2.2.3 Mathematical Functions

Mathematical functions are available through the ExpressionMath class. Mathematical function support in expressions is similar to the support provided by the Java class java.lang.Math.

#### 11.2.2.4 XMLType Functions

You can use the following operators when constructing queries against data mapped to Oracle Database XMLType column:

- extract—Takes an XPath string and returns an XMLType which corresponds to the part of the original document that matches the XPath.
- extractValue—Takes an XPath string and returns either a numerical or string value based on the contents of the node pointed to by the XPath.
- existsNode—Takes an XPath expression and returns the number of nodes that match the XPath.
- getStringVal—Gets the string representation of an XMLType object.
- getNumberVal—Gets the numerical representation of an XMLType object.
- isFragment—Evaluates to 0 if the XML is a well formed document. Evaluates to 1 if the document is a fragment.

#### 11.2.3 Platform and User-Defined Functions

You can use the Expression method getFunction to access database functions that EclipseLink does not support directly. The Expression API includes additional forms of the getFunction method that allow you to specify arguments. You can also create your own custom functions. For more information, see Java API Reference for EclipseLink.

### 11.2.4 Expressions for One-to-One and Aggregate Object Relationships

Expressions can include an attribute that has a one-to-one relationship with another persistent class. A one-to-one relationship translates naturally into an SQL join that returns a single row.

### 11.2.5 Expressions for Joining and Complex Relationships

You can query against complex relationships, such as one-to-many, many-to-many, direct collection, and aggregate collection relationships. Expressions for these types of relationships are more complex to build, because the relationships do not map directly to joins that yield a single row per object.

This section describes the following:

- **About Joins**
- Using EclipseLink Expression API for Joins

#### 11.2.5.1 About Joins

A **join** is a relational database query that combines rows from two or more tables. Relational databases perform a join whenever multiple tables appear in the query's FROM clause. The query's select list can select any columns from any of these tables.

An inner join (sometimes called a "simple join") is a join of two or more tables that returns only those rows that satisfy the join condition.

An outer join extends the result of an inner join. An outer join returns all rows that satisfy the join condition and also returns some or all of those rows from one table for which no rows from the other satisfy the join condition. Outer joins can be categorized as left or right:

- A query that performs a left outer join of tables A and B returns all rows from A. For all rows in A that have no matching rows in B, the database returns null for any select list expressions containing columns of B.
- A query that performs a right outer join of tables A and B returns all rows from B. For all rows in B that have no matching rows in A, the database returns null for any select list expressions containing columns of A.

When you query with a join expression, EclipseLink can use joins to check values from other objects or other tables that represent parts of the same object. Although this works well under most circumstances, it can cause problems when you query against a one-to-one relationship, in which one side of the relationship is not present.

For example, Employee objects may have an Address object, but if the Address is unknown, it is null at the object level and has a null foreign key at the database level. When you attempt a read that traverses the relationship, missing objects cause the query to return unexpected results. Consider the following expression:

```
(emp.get("firstName").equal("Steve")).or(emp.get("address"). get("city").equal("Ottawa"))
```

In this case, employees with no address do not appear in the result set, regardless of their first name. Although not obvious at the object level, this behavior is fundamental to the nature of relational databases.

Outer joins rectify this problem in the databases that support them. In this example, the use of an outer join provides the expected result: all employees named Steve appear in the result set, even if their address is unknown.

To implement an outer join, use Expression method getAllowingNull, rather than get, and Expression method anyOfAllowingNone, rather than anyOf.

#### For example:

```
(emp.get("firstName").equal("Steve")).or(
emp.getAllowingNull("address").get("city").equal("Ottawa"))
```

Support and syntax for outer joins vary widely between databases and database drivers. EclipseLink supports outer joins for most databases.

#### 11.2.5.2 Using EclipseLink Expression API for Joins

You can use joins anywhere expressions are used, including: selection-criteria, ordering, report queries, partial objects, one-to-one relational mappings, and join reading.

Use the expression API shown in Table 11–1 to configure inner and outer join expressions.

Table 11–1 Expression API for Joins

| Expression API    | Type of Join | Type of Mapping           |
|-------------------|--------------|---------------------------|
| get               | inner        | one-to-one                |
| getAllowingNull   | outer        | one-to-one                |
| any0f             | inner        | one-to-many, many-to-many |
| anyOfAllowingNone | outer        | one-to-many, many-to-many |

To query across a one-to-many or many-to-many relationship, use the anyOf operation. As its name suggests, this operation supports queries that return all items on the "many" side of the relationship that satisfy the query criteria.

# **Understanding Non-relational Data Sources**

This chapter describes how to set up your JPA applications to work with a non-relational data source. There are many types of non-relational data sources. These include document databases, key-value stores, and various other non-standard databases, such as MongoDB, Cassandra, and Google BigTable. This chapter focuses on the NoSQL data source. NoSQL is a classification of database systems that do not support the SQL standard. EclipseLink supports persistence of Java objects to NoSQL databases through the Java Persistence API (JPA). EclipseLink's native API is also supported with NoSQL databases.

This chapter contains the following sections:

- NoSQL Platform Concepts
- About NoSQL Persistence Units
- About JPA Applications on the NoSQL Platform
- About Mapping NoSQL Objects
- About Queries and the NoSQL Platform
- About Transactions and the NoSQL Platform

## 12.1 NoSQL Platform Concepts

NoSQL is a classification of database systems that do not support the SQL standard. The NoSQL classification can be expanded to include Enterprise Information Systems (EIS) including application databases, legacy databases, messaging systems, and transaction processing monitors, such as IMS, VSAM, and ADABASE.

EclipseLink's NoSQL support includes:

- MongoDB
- Oracle NoSQL
- XML files
- **IMS**
- Oracle AQ

A complete description of EclipseLink support for NoSQL is described in Section A.3, "Non-SQL Standard Database Support: NoSQL."

NoSQL and EIS data-sources have a Java Connector Architecture (JCA) resource adapter that supports the Java Connector Architecture Common Client Interface (JCA CCI).

There are many different ways to access NoSQL and EIS data-sources. Many NoSQL data-sources provide a Java API. For EIS data-sources, there are many third party and custom Java adapters. These APIs are normally non-standard, and low-level, similar to JDBC. EclipseLink's NoSQL support is built on top of such APIs, and offers the rich, high-level and standard JPA API.

Some NoSQL data-sources support the JDBC API and a subset of the SQL language. Many third-party vendors provide JDBC drivers for EIS data-sources. EclipseLink's regular JPA support can be used with any compliant JDBC driver. So, if JDBC access is an option, EclipseLink's NoSQL support is not required, as EclipseLink's standard JPA support can be used.

Some NoSQL data-sources may support JCA. JCA is a Java Enterprise Edition API that allows connecting to more generic systems than JDBC. JCA is composed of two parts, a resource adapter layer, and the Common Client Interface (CCI). EclipseLink's NoSQL and EIS support is based on the JCA CCI. For MongoDB, Oracle NoSQL, XML files, JMS, and Oracle AQ, EclipseLink provides the JCA adapter and EclipseLink EISPlatform and ConnectionSpec classes. Third party JCA adapters can also be used with EclipseLink as long as they support the CCI. There are third party JCA vendors, such as Attunity, that support various EIS data-sources such as IMS, VSAM and ADABASE.

### 12.2 About NoSQL Persistence Units

NoSQL persistence units are configured the same as JPA persistence units. The persistence.xml file is used to define the persistence unit. NoSQL persistence units can be application managed, JTA managed, injected, or created through Persistence the same as regular JPA persistence units. NoSQL persistence units do have some specific persistence unit properties that are required, and have some limitations.

NoSQL defines the following persistence unit properties:

- eclipselink.nosql.connection-spec
- eclipselink.nosql.connection-factory
- eclipselink.nosql.property
- eclipselink.target-database—this is used to set the NoSQL platform class, or use org.eclipse.persistence.eis.EISPlatform for a generic platform.

For more information on these properties, see "Persistence Property Extensions Reference"in Java Persistence API (JPA) Extensions Reference for EclipseLink.

NoSQL persistence units have the following restrictions:

- <jta-data-source>, <non-jta-data-source>—these elements are not supported, as they refer to JDBC DataSources.
- JTA—JTA-managed persistence units are supported, but XA transactions may not be provided unless the NoSQL JCA resource adapter supports JTA.
- javax.jdbc, eclipselink.jdbc—JDBC-specific properties are not supported as NoSQL does not use JDBC.

### 12.2.1 Persistence Unit Properties for NoSQL Platforms

To use a NoSQL platform you must set both the eclipselink.nosql.connection-spec to the connection spec class name and the eclipselink.target-database to the platform class name. Each NoSQL platform also supports platform-specific properties that can be set using eclipselink.nosql.property. For more information on values

for MongoDB, Oracle NoSQL, XML, JMS, and Oracle AQ, see "@NoSql" in Java Persistence API (JPA) Extensions Reference for EclipseLink. See also Section A.3, "Non-SQL Standard Database Support: NoSQL."

## 12.3 About JPA Applications on the NoSQL Platform

Mapping to NoSQL data is configured through the EclipseLink @NoSql annotation, and <no-sql> XML element. @NoSql defines the class as mapping to non-relational data. @NoSql can be specified with @Entity or @Embeddable classes.

The @NoSql annotation defines a dataType and a dataFormat attribute. The dataType attribute is the name for the entity's structure; the meaning of the dataType is dependent on the NoSQL platform. For MongoDB, it is the collection name that the JSON documents are stored to. For Oracle NoSQL the dataType is the first part of the major key value. For the XML file adapter it is the file name.

The dataFormat attribute specifies the type of structure the data is stored as. The dataFormat attribute is defined by the DataFormatType enum.

For examples of configuring an application with the @NoSql annotation, see "@NoSql" in Java Persistence API (JPA) Extensions Reference for EclipseLinkk

### 12.3.1 Mapping Restrictions on JPA Annotations

NoSQL supports most JPA annotations and others have different restrictions than mapping relational data.

#### Supported mapping annotations:

- @Entity—defines a root level object in the NoSQL data-store.
- @Embeddable—defines an object embedded in another object's data structure.
- @Basic, @Temporal, @Enumerated, @Lob
- @Convert, @Converter, @TypeConverter, @ObjectTypeConverter
- @Access, @Transient, @Mutable
- @Id, @EmbeddedId
- @GeneratedValue, @UuidGenerator
- @Version—is supported, but dependent on the NoSQL data-source to validate version write conflicts.
- @Embedded—defines a reference that will be embedded in the parent's data structure as a nested structure.
- @ElementCollection—defines a collection of values or embeddables that will be embedded in the parent's data structure as a list of nested structures.
- @OneToOne, @ManyToOne—define a relationship to another root level object stored as a foreign key in the source object's data structure.
- @OneToMany, @ManyToMany—define a relationship to a collection of other root level object stored as a list of foreign keys in the source object's data structure.
- @Inheritance, @MappedSuperclass, @ClassExtractor
- @Cacheable, @Cache, @ReadOnly, @Noncacheable
- @NamedQuery—is supported on NoSQL data-sources that support querying.

- @NamedNativeQuery—is supported on NoSQL data-sources that support native querying. The query language is not SQL, but specific to the NoSQL data-store.
- @EntityListeners, @PrePersist, @PreUpdate, @PreRemove, @PreLoad, @PostPersist, @PostUpdate, @PostRemove, @PostLoad
- @Customizer

#### Unsupported mapping annotations:

- @Table, @SecondaryTable—are not supported, as objects are not mapped to tables, it is replaced by the dataType on the @NoSql annotation.
- @Column—@Field should be used, as data is not stored in table columns, however @Column is still allowed, but just the name will be used.
- @JoinColumn—is not supported; it is replaced by @JoinField.
- @JoinTable—is not required or supported; OneToManys and ManyToManys are stored as collections of Ids embedded in the source object's data structure.
- @CollectionTable—is not required or supported; ElementCollections are embedded in the parent object's data structure.
- @MapKeyColumn, @MapKeyClass, @MapKeyJoinColumn—are not currently supported.
- @OrderBy, @OrderColumn—are not normally required or supported, as order is normally maintained by the object's data structure.
- @SequenceGenerator, @TableGenerator—are not directly supported.
- @AttributeOverride, @AssociationOverride—are supported with inheritance, but are not supported or required with embedded relationships as embedded objects are nested in their parent object's data structure, not flattened as in the case of relational data.
- @JoinFetch, @BatchFetch—are not supported.

### 12.4 About Mapping NoSQL Objects

NoSQL maps objects to structured data such as XML or JSON. NoSQL supports embedded data, embedded collections, and all of the existing JPA mapping annotations.

To map NoSQL objects, you must define IDs, mapping, embedded objects, relationships, and locking. For more information, see "Implementing the Solution" in *Solutions Guide for EclipseLink.* 

## 12.5 About Queries and the NoSQL Platform

Whether querying is supported in NoSQL depends on the NoSQL platform you are using. Some NoSQL data-sources may support dynamic querying through their own query language, others may not support querying at all. The following types of queries are supported by NoSQL.

- **IPQL Queries**
- **Native Queries**
- **Interaction Queries**

For more information on support for these queries, see "Defining Queries" in Solutions *Guide for EclipseLink.* 

### 12.6 About Transactions and the NoSQL Platform

The JPA transaction API is supported with NoSQL data-sources. Some NoSQL data-sources might not support transactions, so the level of transaction support is dependent on the NoSQL platform. JTA persistence units and transactions are also supported, but unless the NoSQL adapter is integrated with JTA, no XA or transaction support will be available.

If the NoSQL data-source does not support transactions, then any database change such as flush() will be committed directly to the database, and rollback() will not have any affect. A commit operation that fails will not roll back any successful changes written before the error. JPA normally does not write to the database until commit or flush() are called, so there will still be some level of transaction support offered by the persistence context.

JPA operations persist(), merge(), and remove() are supported.

- MongoDB—Transactions are not supported.
- Oracle NoSQL—Transactions are not supported.

# **Understanding Performance Monitoring and Profiling**

EclipseLink provides a diverse set of features to measure and optimize application performance. You can enable or disable most features in the descriptors or session, making any resulting performance gains global.

Performance considerations are present at every step of the development cycle. Although this implies an awareness of performance issues in your design and implementation, it does not mean that you should expect to achieve the best possible performance in your first pass.

For example, if optimization complicates the design, leave it until the final development phase. You should still plan for these optimizations from your first iteration, to make them easier to integrate later.

EclipseLink provides the following means to monitor and profile performance.

- Performance Profiling
- Fetch Group Monitoring
- Performance Monitoring
- **Query Monitoring**

### 13.1 Performance Profiling

The EclipseLink performance profiler helps you identify performance problems by logging performance statistics for every executed query in a given session. The Profiler is described in "Monitoring and Optimizing EclipseLink-Enabled Applications" in *Solutions Guide for EclipseLink.* 

### 13.2 Fetch Group Monitoring

Use the Fetch Group Monitor to measure fetch group field usage. This can be useful for performance analysis in a complex system.

Enable this monitor using the System property org.eclipse.persistence.fetchgroupmonitor=true.

The monitor dumps the attribute used for a class every time a new attribute is accessed.

For more information about fetch groups, see Section 6.2.1, "Fetch Groups".

## 13.3 Performance Monitoring

Use the Performance Monitor to provide detailed profiling and monitoring information in a multithreaded server environment.

Enable the monitor in persistence.xml as follows:

```
cproperty name="eclipselink.profiler" value="PerformanceMonitor"/>
```

The performance monitor can also be enabled through code using a SessionCustomizer.

The performance monitor will output a dump of cumulative statistics every minute to the EclipseLink log.

The statistics contains three sets of information:

- **Info**; statistics that are constant informational data, such as the session name, or time of login.
- Counter; statistics that are cumulative counters of total operations, such as cache hits, or query executions.
- **Timer**; statistics that are cumulative measurements of total time (in nano seconds) for a specific type of operation, reading, writing, database operations.

Statistics are generally grouped in total and also by query type, query class, and query name. Counters and timers are generally recorded for the same operations, so the time per operation could also be calculated.

The time in between statistic dumps can be configured through the PerformanceMonitor API using the setDumpTime (long) API. If dumping the results is not desired, then the dumpTime attribute can be set to be very large such as Long.MAX\_ VALUE. The statistic can also be accessed in a Java program by using the getOperationTime(String) API.

The performance monitor can also be configured with a profile weight.

The profile weights are defined on SessionProfiler and include:

- **NONE**; No statistics are recorded.
- **NORMAL**; Informational statistics are recorded.
- **HEAVY**; Informational, counter and timer statistics are recorded.
- **ALL**; All statistics are recorded (this is the default).

Example 13–1 illustrates sample output from the Performance Monitor.

#### Example 13-1 Example Output

```
Performance Monitor:1279113281664
Operation Value (ns)
Counter:CacheHits 1,375,664
Counter:CacheMisses 127
Counter:ClientSessionCreates 1,204,817
Counter:ConnectCalls 2
Counter:DataModifyQuery 48
Counter:DataModifyQuery:inventory
Counter:DataModifyQuery:order 27
Counter:DeleteObjectQuery 67,792
Counter: DeleteObjectOuery: Customer
Counter: ReadAllQuery 1,041,767.
```

```
Counter:ReadAllQuery:Item.findByCategory
                                          733,827
Counter:ReadAllQuery:Item.findByCategory:CacheHits
                                                    733,779
Counter:ReadAllQuery:Item.findByCategory:CacheMisses
                                                    50
Counter: ReadObjectQuery
                          1,058,273
Counter:ReadObjectOuery:Item:item 130,063
Counter: ReadObjectQuery: Item: item: CacheHits
                                             130,063
Counter:ReadObjectQuery:Item:item:CacheMisses
                                             1
Counter:UnitOfWorkCommits 72,568
Counter:UnitOfWorkCreates
                            471,491
Counter: UnitOfWorkRollbacks
                            1
Counter: UpdateObjectOuery
                           71,498
Counter: UpdateObjectQuery: Customer
                                    62.531
Info:LoginTime Wed Jul 14 08:55:41 EDT 2010
Info:SessionName file:/scratch/user_domains/servers/mt-1/app.jar
Timer: Caching 6,411,372,000
Timer: ConnectionManagement 17,225,641,000
Timer:DeleteObjectQuery 41,351,430,000
Timer:DeleteObjectQuery:Customer
                                   4,441,000
Timer:DeleteObjectQuery:Customer:QueryPreparation
                                                 86.000
Timer:DeleteObjectQuery:Customer:SqlGeneration 28,000
Timer:DeleteObjectQuery:Customer:SqlPrepare 72,000
Timer:DeleteObjectQuery:Customer:StatementExecute 2,265,000
Timer:InsertObjectQuery
                          69.111.086.000
Timer:Logging 4,236,000
Timer: Merge 1,144,400,000
Timer:ObjectBuilding 31,914,397,000
Timer:QueryPreparation 984,396,000
Timer:ReadAllQuery 260,943,930,000
Timer:ReadAllQuery:Item:Item.findByCategory
                                             14,790,333,000
Timer:ReadAllQuery:Item:Item.findByCategory:ObjectBuilding
                                                           250,959,000
Timer:ReadAllQuery:Item:Item.findByCategory:QueryPreparation 1,880,000
Timer:ReadAllQuery:Item:Item.findByCategory:RowFetch 113,552,000
Timer:ReadAllQuery:Item:Item.findByCategory:SqlGeneration 522,000
Timer:ReadAllQuery:Item:Item.findByCategory:SqlPrepare 2,055,000
Timer:ReadAllQuery:Item:Item.findByCategory:StatementExecute 107,382,000
Timer: Register 3,272,443,000
Timer:RowFetch 25,340,990,000
Timer: Sequencing 1,352,326,000
Timer:SqlGeneration 6,646,000
Timer:SqlPrepare 19,536,031,000
Timer:StatementExecute 508,589,220,000
Timer:TXAfterCompletion
                         1,854,152,000
                         169,381,843,000
Timer: TXBeforeCompletion
Timer:UnitOfWorkCommit 167,483,825,000
Timer: UpdateObjectQuery 46,440,589,000
Timer:UpdateObjectQuery:Customer
                                   40,466,433,000
Timer:UpdateObjectQuery:Customer:QueryPreparation
                                                   867,496,000
Timer:UpdateObjectQuery:Customer:SqlGeneration 98,000
Timer:UpdateObjectQuery:Customer:SqlPrepare 1,319,333,000
Timer:UpdateObjectQuery:Customer:StatementExecute 32,901,366,000
```

## 13.4 Query Monitoring

Use the Query Monitor to measure query executions and cache hits. This can be useful for performance analysis in a complex system.

Enable the Query Monitor in either of these ways:

- Set the System property org.eclipse.persistence.querymonitor=true.
- In persistence.xml, set cproperty name="eclipselink.profiler" value="QueryMonitor"/>

The monitor dumps the number of query cache hits and executions (misses) once every 100 seconds.

For more information about querying, see Chapter 10, "Understanding Queries."

# **Database and Application Server Support**

EclipseLink supports a number of database platforms and application servers, as described in the following sections:

- Section A.1, "Database Support"
- Section A.2, "Application Server Support"
- Section A.3, "Non-SQL Standard Database Support: NoSQL"

## A.1 Database Support

EclipseLink supports any relational database that is compliant with SQL and has a compliant JDBC driver. EclipseLink has extended support for several database platforms. The extended support mainly consists of providing native sequencing support, schema creation, and certain database functions.

The databases in Table A-1 are supported. The Java classes are in the org.eclipse. persistence.platform.database package and are described in Java API Reference for EclipseLink.

Table A-1 Supported Database Platforms

| Database     | Java Class  | Features  |
|--------------|---|---|
| Apache Derby | org.eclipse.persistence.platform.<br>database.DerbyPlatform | Provides Derby-specific behavior.   |
| Attunity     | org.eclipse.persistence.platform.database.AttunityPlatform  | Platform class that works with Attunity's Connect JDBC driver.  |
| dBASE        | org.eclipse.persistence.platform.<br>database.DBasePlatform | Provides dBASE-specific behavior, including:  Writes Time and Timestamp as strings (dBASE does not support Times or Timestamps) |
| Firebird     | org.eclipse.persistence.platform.database.FirebirdPlatform  | Provides Firebird-specific behavior.  |
| H2           | org.eclipse.persistence.platform.<br>database.H2Platform    | Provides H2-specific behavior.  |

Table A-1 (Cont.) Supported Database Platforms

| Database                    | Java Class   | Features  |
|-----------------------------|--|---|
| HyperSQL<br>DataBase (HSQL) | org.eclipse.persistence.platform.<br>database.HSQLPlatform         | Provides HSQL-specific behavior. Supports HSQL functionality as of 1.8.1. Features include:   |
|                             |  | <ul> <li>DDL creation</li> </ul>  |
|                             |  | <ul> <li>IDENTITY sequencing</li> </ul>   |
|                             |  | <ul> <li>SEQUENCE objects</li> </ul>  |
|                             |  | <ul><li>Functions</li></ul>   |
|                             |  | ■ Pagination  |
| IBM Cloudscape              | org.eclipse.persistence.platform.<br>database.CloudscapePlatform   | Provides CloudScape DBMS-specific behavior.   |
| IBM DB2<br>Mainframe        | org.eclipse.persistence.platform.<br>database.DB2MainframePlatform | Provides DB2 Mainframe-specific behavior. This provides for some additional compatibility in certain DB2 versions on OS390. Features include: |
|                             |  | ■ Specialized CONCAT syntax   |
| IBM DB2                     | org.eclipse.persistence.platform.                                  | Provides DB2-specific behavior, including:  |
|                             | database.DB2Platform   | Schema creation   |
|                             |  | <ul> <li>Native SQL for byte[], Date, Time, and<br/>Timestamp</li> </ul>  |
|                             |  | ■ Table qualified names.  |
|                             |  | <ul> <li>Stored procedures</li> </ul>   |
|                             |  | <ul> <li>Temporary tables</li> </ul>  |
|                             |  | <ul><li>Casting</li></ul>   |
|                             |  | <ul> <li>Database functions</li> </ul>  |
|                             |  | <ul> <li>Identity sequencing</li> </ul>   |
|                             |  | ■ SEQUENCE sequencing   |
| IBM Informix                | org.eclipse.persistence.platform.<br>database.InformixPlatform     | Provides Informix-specific behavior, including:   |
|                             |  | <ul> <li>Types for schema creation.</li> </ul>  |
|                             |  | <ul> <li>Native sequencing using @@SERIAL.</li> </ul>   |
| Microsoft Access            | org.eclipse.persistence.platform.database.AccessPlatformPlatform   | Provides Microsoft Access-specific behavior.  |
| Microsoft<br>SQLServer      | org.eclipse.persistence.platform.<br>database.SQLServerPlatform    | Provides Microsoft SQL Server-specific behavior, including:   |
|                             |  | <ul> <li>Native SQL for byte[], Date, Time, and<br/>Timestamp.</li> </ul>   |
|                             |  | <ul> <li>Native sequencing using @@IDENTITY.</li> </ul>   |
| MySQL                       | org.eclipse.persistence.platform.<br>database.MySQLPlatform        | Provides MySQL-specific behavior, including:  |
|                             |  | ■ Native SQL for Date, Time, and Timestamp  |
|                             |  | <ul> <li>Native sequencing</li> </ul>   |
|                             |  | <ul> <li>Mapping of class types to database types for the<br/>schema framework</li> </ul>   |
|                             |  | <ul> <li>Pessimistic locking</li> </ul>   |
|                             |  | <ul> <li>Platform specific operators</li> </ul>   |

Table A-1 (Cont.) Supported Database Platforms

| Database                  | Java Class  | Features  |
|---------------------------|---|---|
| <b>Database</b> Oracle    | Java Class  org.eclipse.persistence.platform. database.OraclePlatform | Provides Oracle Database-specific behavior, including:  LOB  NChar  XMLType  TIMESTAMP (TZ, LTZ)  Native batch writing  Structured object-relational data-types  PLSQL datatypes and stored procedures  VPD, RAC, proxy authentication  XDK XML parser  Hierarchical selects (Select by prior)  Returning clause  Flashback history and queries |
|                           |   | <ul> <li>Stored procedures, output parameters and output cursors</li> <li>Stored functions</li> </ul>   |
|                           |   | <ul><li>Oracle AQ</li></ul>   |
| Oracle JavaDB             | org.eclipse.persistence.platform.database.JavaDBPlatform              | Allows the use of JavaDBPlatform as a synonym for DerbyPlatform.  |
| Oracle TimesTen           | org.eclipse.persistence.platform.database.TimesTenPlatform            | Provides Oracle TimesTen database-specific behavior.  |
| Oracle TimesTen7          | org.eclipse.persistence.platform.database.TimesTen7Platform           | Provides Oracle TimesTen 7 database-specific behavior.  |
| PointBase                 | org.eclipse.persistence.platform.<br>database.PointBasePlatform       | Provides PointBase database-specific behavior.  |
| PostgreSQL                | org.eclipse.persistence.platform.database.PostgreSQLPlatform          | Provides PostgreSQL database-specific behavior, including:  |
|                           |   | ■ Native SQL for Date, Time, and Timestamp  |
|                           |   | <ul><li>Native sequencing</li></ul>   |
|                           |   | <ul> <li>Mapping of class types to database types for the<br/>schema framework</li> </ul>   |
|                           |   | <ul> <li>Pessimistic locking</li> </ul>   |
|                           |   | <ul> <li>Platform specific operators</li> </ul>   |
|                           |   | ■ LIMIT/OFFSET query syntax for select statements   |
|                           |   | See also PostgreSQL: http://wiki.eclipse.org/EclipseLink/FAQ/JPA/PostgreSQL   |
| SAP MaxDB                 | org.eclipse.persistence.platform.database.MaxDBPlatform               | Provides MaxDB database-specific behavior.  |
| SAP SyBase<br>SQLAnywhere | org.eclipse.persistence.platform.<br>database.SQLAnywherePlatform     | Provides SQL Anywhere-specific behavior.  |

Table A-1 (Cont.) Supported Database Platforms

| Database          | Java Class                        | Features   |
|-------------------|-----------------------------------|--|
| Sybase            | org.eclipse.persistence.platform. | Provides Sybase-specific behavior, including:                          |
|                   | database.SybasePlatform           | <ul><li>Native SQL for byte[], Date, Time, and<br/>Timestamp</li></ul> |
|                   |                                   | <ul> <li>Native sequencing using @@IDENTITY</li> </ul>                 |
| Fujitsu Symfoware | org.eclipse.persistence.platform. | Provides Symfoware-specific behavior, including:                       |
|                   | database.SymfowarePlatform        | <ul> <li>DDL Generation</li> </ul>                                     |
|                   |                                   | <ul> <li>Outer Join</li> </ul>   |
|                   |                                   | <ul><li>Subquery (with limitations)</li></ul>                          |
|                   |                                   | <ul> <li>Stored Procedure Calls</li> </ul>                             |
|                   |                                   | <ul> <li>Stored Procedure Generation</li> </ul>                        |
|                   |                                   | <ul> <li>Native Sequences/Identifier fields</li> </ul>                 |
|                   |                                   | <ul> <li>JPA Bulk Update/Delete (with limitations)</li> </ul>          |
|                   |                                   | ■ Batch Reading  |
|                   |                                   | Batch Writing  |
|                   |                                   | <ul> <li>Pessimistic Locking (with limitations)</li> </ul>             |
|                   |                                   | <ul><li>First Result/Limit (with limitations)</li></ul>                |
|                   |                                   | <ul> <li>Expression Framework (with limitations)</li> </ul>            |
|                   |                                   | <ul><li>Delimiters</li></ul>   |
|                   |                                   | <ul> <li>Auto Detection</li> </ul>                                     |

It also possible to extend EclipseLink to add extended support for additional platforms. There are also several user-contributed platforms in the EclipseLink incubator project. See Platform Incubator:

http://wiki.eclipse. org/EclipseLink/Development/Incubator/Platform

# A.2 Application Server Support

EclipseLink can be used with any Java EE application server that meets the software requirements through the EclipseLink API.

Table A–2 lists the application servers for which EclipseLink provides integration support. The classes listed in the table are concrete subclasses of the EclipseLink org. eclipse.persistence.platform.server.ServerPlatformBase class, which is responsible for representing server-specific behavior. These classes determine the following behavior for the server:

- Which external transaction controller to use
- Whether to enable JTA (external transaction control)
- How to register or unregister for runtime services (JMX or MBean)
- Whether to enable runtime services
- How to launch container Threads

For more information on the Java classes listed in the table, see Java API Reference for EclipseLink.

Table A–2 Supported Application Servers

| Server Name                         | Java Classes |  |  |
|-------------------------------------|--------------|--|--|
| IBM WebSphere Application           |              | org.eclipse.persistence.platform.server.was.WebSphere_6_1_Platform       |  |
| Server                              | •            | org.eclipse.persistence.platform.server.was.WebSphere_7_Platform         |  |
|                                     | •            | $\verb org.eclipse.persistence.platform.server.was.WebSpherePlatform \\$ |  |
| JBoss Application Server            | •            | org.eclipse.persistence.platform.server.jboss.JBossPlatform              |  |
| Oracle Containers for J2EE (OC4J)   | •            | org.eclipse.persistence.platform.server.oc4j.Oc4jPlatform                |  |
| Oracle Sun Application<br>Server    | •            | org.eclipse.persistence.platform.server.sunas                            |  |
| Oracle WebLogic Server              | •            | org.eclipse.persistence.platform.server.wls.WebLogic_10_Platform         |  |
|                                     |              | org.eclipse.persistence.platform.server.wls.WebLogic_9_Platform          |  |
|                                     | •            | $\verb org.eclipse.persistence.platform.server.wls.WebLogicPlatform \\$  |  |
| SAP NetWeaver Application<br>Server | •            | org.eclipse.persistence.platform.server.sap.SAPNetWeaver_7_1_Platform    |  |

### A.2.1 EclipseLink MOXy as the JAXB Provider for Application Servers

EclipseLink MOXy is integrated into the GlassFish and WebLogic application servers as the JAXB provider. For more information, see the following links:

- GlassFish Server: http://blog.bdoughan. com/2012/02/glassfish-312-is-full-of-moxy.html
- WebLogic Server: http://blog.bdoughan. com/2011/12/eclipselink-moxy-is-jaxb-provider-in.html

## A.3 Non-SQL Standard Database Support: NoSQL

EclipseLink JPA can be used with NoSQL databases. A Java class can be mapped to a NoSQL datasource using the @NoSQL annotation or <no-sql> XML element.

EclipseLink also provides JPA access to EIS (Enterprise Information Systems) such as legacy databases and systems (CICS, ADA, VSAM, IMS, MQ, AQ).

EclipseLink's NoSQL support allows complex hierarchical data to be mapped, including XML, indexed, and hierarchical mapped data such as JSON data. CRUD operations, embedded objects and collections, inheritance, and relationships are supported. A subset of JPQL and the Criteria API are supported, dependent on the NoSQL database's query support.

It is also possible to add support for other NoSQL data-sources by defining your own EISPlatform subclass and JCA adapter. There are also several user-contributed platforms in the EclipseLink incubator project. See "Platform Incubator" at this URL:

http://wiki.eclipse. org/EclipseLink/Development/Incubator/Platform

Table A-3 lists the NoSQL and EIS data-sources that are supported by EclipseLink. For more information on the Java classes listed in the table, see Java API Reference for EclipseLink.

Table A-3 Supported NoSQL and EIS Platforms

| Data-source  | Java Class   | Features   |
|--------------|--|--|
| MongoDB      | org.eclipse.persistence.nosql.   | Provides MongoDB support including:  |
|              | adapters.mongo.MongoPlatform   | ■ MAPPED JSON data   |
|              |  | <ul> <li>JPQL and Criteria queries</li> </ul>  |
|              |  | <ul> <li>Native queries</li> </ul>   |
|              |  | <ul><li>hints for READ_PREFERENCE, WRITE_CONCERN, OPTIONS,<br/>SKIP, LIMIT, BATCH_SIZE</li></ul> |
| Oracle NoSQL | org.eclipse.persistence.nosql.<br>adapters.nosql.<br>OracleNoSQLPlatform | Provides Oracle NoSQL support including:   |
|              |  | ■ MAPPED key/value data  |
|              |  | ■ XML data   |
|              |  | ■ find() and SELECT all queries  |
|              |  | ■ hints for CONSISTENCY, DURABILITY, TIMEOUT, VERSION  |
| XML files    | org.eclipse.persistence.eis.<br>adapters.xmlfile.<br>XMLFilePlatform     | Provides support for persistence to XML file including:  |
|              |  | ■ XML data   |
|              |  | ■ find() and SELECT all queries  |
|              |  | <ul> <li>XPath interactions</li> </ul>   |
| JMS          | org.eclipse.persistence.eis.   | Provides support for persistence through JMS messaging:  |
|              | adapters.jms.JMSPlatform   | ■ XML data   |
|              |  | ■ send/receive operations  |
| Oracle AQ    | org.eclipse.persistence.eis.<br>adapters.aq.AQPlatform                   | Provides support for persistence through Oracle AQ messaging:                                    |
|              |  | <ul><li>XML data</li></ul>   |
|              |  | <ul><li>enqueue/dequeue operations</li></ul>   |