Viewing and manipulating Variant Call Format files

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1 Introduction

1.1 1000s genome project

The 1000s Genomes Project, started in 2008, was a large effort by researchers to catalog the genetic variation of different people all over the world via the usage of whole genome sequencing. Its goal was to create a reference of human genetic variation globally. The project was completed in 2015 after characterizing the genomes of 2,504 people from 26 different populations [1]. While the vast majority of the 88 million variants they discovered were single nucleotide polymorphism (SNPs)(84.7 million), the researchers also discovered short insertions/deletions (indels)(3.6 million) and structural variants (60,000). Figure 1 below shows the locations that were collected by the 1000s Genomes Project.

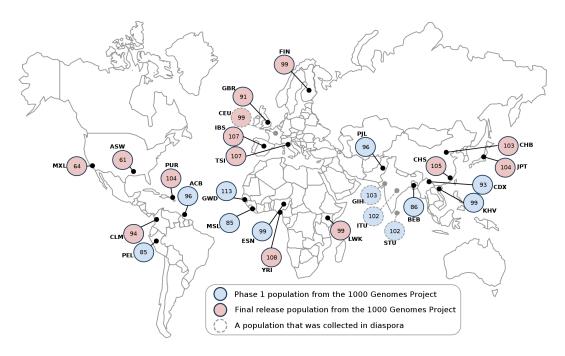


Figure 1: Locations of volunteers for the 1000s Genomes Project. It is noticeable that the project did not collect from various countries around the world, notably among them Russia. Researchers have created the "Genome Russia Project" to fix this [2].

1.2 Variant Call Format

The Variant Call Format (VCF) file type was created by the 1000s Genomes Project so they could store genetic variation data collected in an organized and meaningful way [3]. Using this format, the only necessary thing that needs to be stored is the reference genome and the variations themselves. The VCF file extension is ".vcf", but the file itself is just text, so any text editor can open and display the data inside.

There are a number of steps that are required to go through to end up with a meaningful VCF file. If you send in your samples for sequencing, many companies will complete these steps for you through what is called a pipeline, and they will send you your results of SNPs and indels in VCF form. For example, a sample of DNA is sequenced, which produces a raw FASTA DNA sequence. The sequence is aligned with a reference creating a Sequence Alignment/Map (SAM) file. Processing the SAM file involves IDing where the alignment is different between the reference and the target sequence, and the VCF is built. The term for this is "variant calling" - whereby we are able to ultimately ID the variants from the input of the sequence data. This process has become a standardized way for bioinformaticians to tidy and handle massive amounts of genomic data into parasable files that contain only the variation data. The Global Alliance for Genomics & Health (GA4H) has laid out what they call the Large Scale Genomics work stream, the process mentioned above acting as a pipeline of genomic formatting [4,5].

1.3 Anatomy of a VCF file

While the text in a VCF file in itself is not immediately very helpful to a human reader, I will go over the basics of the format itself before we learn how to use tools that have been created to parse and manipulate them. The anatomy of a VCF file is extremely well documented, and the full specification of the newest standard (VCFv4.3) is freely available online [6].

Figure 2 below shows the standardized anatomy of a VCF file. It can be a lot to parse if you have never seen one before, so I will go over the most important parts below.

There are three standard parts of a VCF File:

- 1. Meta data rows that begin with "##"
- 2. Field definition line that begins with "#" and is always the last row of the header. This row has 8 mandatory fields, which contain further information.
- 3. Variation data itself, with each row representing one variant. Data is filled according to the column.

"." is used in place of known data

VCF example (From Figure 2a) with only one variant row:

```
##fileformat=VCFv4.1
##fileDate=20110413
##source=VCFtools
##reference=file://refs/human_NCBI36.fasta
#CHROM POS ID REF ALT QUAL FILTER INFO
1 5 rs12 A G 67 PASS .
```

This small VCF example, taken from Figure 2a, show the first four rows of the VCFfile, the 8 mandatory fields, and one variant. While fileformat is mandatory as the first header row, the other rows are not. Yet, it is clear that presenting data such as the reference genome is useful. This metadata provides a standardized way to share information in the VCF file about various things like version of reference sequence, software that has already been used on the file, and is featured to fit the dataset itself. In this VCF you can see the reference human_NCBI36.fasta was used.

The field definition lines contains the following fields:

| Field name | VCF Example value | Description |
|------------|-------------------|---------------------------|
| CHROM | 1 | Chromosome (in order) |
| POS | 5 | Position of the starting |
| | | base pair variant |
| ID | rs12 | Unique variant identifier |
| REF | A | reference allele (one BP |
| | | or sequence) at the same |
| | | position |
| ALT | G | alleles from the sample |

| Field name | VCF Example value | Description |
|------------|-------------------|--|
| QUAL | 67 | quality score that is phred-scaled |
| FILTER | PASS | information of site filtering |
| INFO | | semicolon separated and has user annotations that correspond to meta data above |

Each row below the headers represents one variant. As a reminder, VCF files exist to show the variants and this is represented by SNPs (Figure 2b), indels (Figure 2c,d), and structural variants (Figure 2e,f).

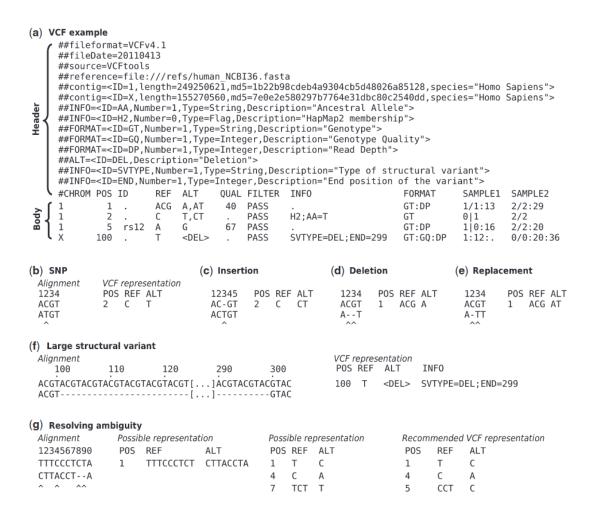


Figure 2: a) Anatomy of a VCF file. b-f) Further explanation of the BAM alignment representation of a genome and its VCF representation. g) Recommended VCF representation of alignment data that could be ambiguous [1].

The variant ID is a unique value that is useful when looking to search for information about the variant. For example, in our VCF example above, the one row that exists has an ID of rs12. This SNP ID is assigned by the NCBI's database of SNPs, called dbSNP or the European Variation Archive (EVA). The European Bioinformatics Institute (EMBL-EBI) has a great resource listing all of the varying ID values and where they come from [7].

You may have noticed that there is some meta data information in Figure 2a that I did not introduce. The specifics of what each line means can become quite convoluted. The NIH has an excellent resource on INFO tags and their meaning. Likewise, the 1000s Genome Project created a poster with a VCF example as well.

2 Tutorial

We will be using the clinvar_20221211.vcf.gz VCF file from the NIH repo here. It is a VCF file that represents all human variants that are of clinical significance and has been mapped to GRCh37 assemblies. The clinvar_20221211.vcf.gz file is inside of the VCF folder in the same directory as this PDF, so there is no need to download it. While the .gz extension is a zipped version of the file, IGV will still be able to view the file, so there is no need to unzip the file either.

Running the Unix command "wc -l clinvar_20221211.vcf" on the file shows that this it is 240,695 lines long, so it will be a mistake to open this in a text file or in Excel. Luckily, there are many freely available programs that can open and parse this data in an efficient manner. As is common in Computer Science, and perhaps an example of not invented here syndrome, there are about a million different tools that various people and organizations have created to deal with managing VCF files.

2.1 Viewing a VCF file with IGV

So, we have a VCF file. The variants in this file can be viewed in many different biologically meaningful ways. It would be nice to see a visual representation of all of the genetic variants on each chromosome. Fortunately, the Broad Institute has created a program, Integrated Genomics Viewer (IGV) that can do exactly that.

Steps for IGV

- 1. IGV can be installed here. There is also a web app, but it is not as functional as the desktop app.
- 2. If you are familiar with Java and know you have Java Runtime Environment 11 (JRE) install on your computer, you can install the app that says "Separate Java 11 required", otherwise install the "Java included" version of your OS.
- Unzip the file installed onto your computer. Open a terminal and cd to the directory and execute \.igv-launcher.bat if you are on Windows or ./igv.sh if you are on Linux or Mac OS.
- 4. In the upper left use File -> Load from File -> and point to clinvar_20221211.vcf.gz. In order for this file to load correctly, IGV also needs the clinvar_20221211.vcf.gz.tbi file in the directory (it is there already).

- 5. You'll still see an empty screen. This VCF file contains variants across all chromosomes, so it can be difficult to zoom into find one of the 240k variants.
- 6. In the search bar type in chr17:7,579,399-7,579,513. Chromosome 17 p13.1 is the location of the tp53 gene. Mutations in this gene happens in many types of cancers, so we would expect many different variants to be of clinical significance.

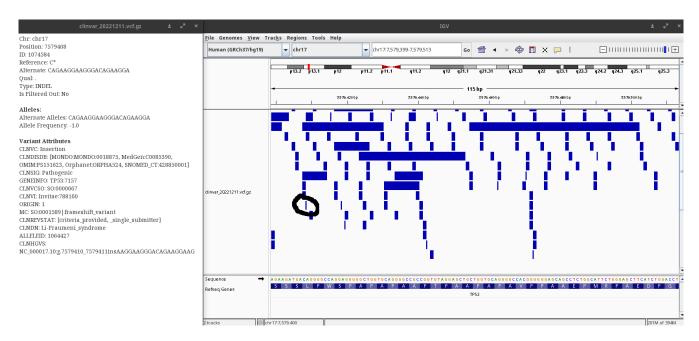


Figure 3: IGV showing the variants of the clinvar_20221211.vcf file compared to the reference GRCh37. The section with all of the blue bars is the variants. The depth has no meaning merely helps find variants

Figure 3 above shows the variants present in the clinvar_20221211.vcf file for the tp53 gene at position chr17:7,579,399-7,579,513. The blue lines are the "Variant Information Track" and each blue bar is a single variant. The depth has no meaning and is present to help see each variant. I have circled one of the variants I clicked, and the pop up menu to the left shows information about this variant, as well as the 8 fields of a VCF file. As you can see, at chromosome 17 position 7579408 the reference shows a single C base pair, but the sample has a large indel alternative value of CAGAAGGAAGGACAGAAGGA. Further information shows this is clinically significant and related to Li-Fraumeni syndrome, a rare genetic disorder that causes a nearly 100% chance of breast cancer in women.

We can go further as well. Searching the ID 1074584 on NIH's ClinVar website, we can see further information, as well as the publication that found the indel [8].

As we can see, IGV is an extremely powerful program. It is one of the many classic free/open source programs that accomplishes it's goal with wonderful efficiency but just also has a really bland UI. That is opposed to paid and closed source software that looks beautiful and sucks. Or God forbid a paid closed source software that also looks terrible.. shudders.

2.2 Filtering VCF files with VCF Tools

This VCF files we used, clinvar_20221211.vcf.gz, has over 240k variants present, spread out across every chromosome. Unless you know exactly where to look, it can be difficult to find the variant you are looking for in a specific chromosome. This can be achieved with BCF Tools created by researchers affiliated with the 1000s genome project, an incredibly powerful command line program built for manipulating VCF files [2].

2.2.1 Installing Windows Subsystem for Linux

Unfortunately, the really good programs that let you parse and manipulate VCF files are for Unix like operating systems. This includes VCF Tools. As such, if you are on Windows you will need to install the Windows Subsystem for Linux (WSL).

Full documentation is here, but the steps are as follows:

- 1. Open PowerShell in administrator mode.
- 2. Enter wsl --install into PowerShell.
- 3. Reboot your computer.
- 4. Ubuntu, a distribution of Linux is installed on your computer now.
- 5. Open the start menu, search Ubuntu, and running it as an App will open up and instance of Ubuntu.
- 6. Follow the prompt to create a username and password.
- 7. Run sudo apt update to update your system.
- 8. VCF Tools will require a C compiler, make, and a gz zipper, so run sudo apt install gcc make gzip.

2.2.2 Installing VCF Tools

- 1. We can install VCF Tools from here. Click the download button and it will install vcftools_0.1.13.gz.
- 2. You can unzip this file with gzip -d vcftools_0.1.13.gz.
- 3. Enter cd vcftools 0.1.13.
- 4. Enter make and it will compile VCF Tools to a file in the current directory called bin.
- 5. After compiling, enter cd bin
- 6. Enter pwd and copy the output, this is the current directory you are in
- 7. To make the VCF Tools binary file run as a command line program from any directory, you then need to enter export PATH=\$PATH:paste/path/here/
- 8. my result for pwd is /home/elliott/.sourcecode/vcftools_0.1.13/bin so I would enter export PATH=\$PATH:/home/elliott/.sourcecode/vcftools_0.1.13/bin.

This was a lot of work, but after closing and reopening your Ubuntu instance, now the VCF Tools binary can be run from any directory you want!

It would also be nice to see what effects they have on them.

2.3 Doing anything useful with a VCF file

How to read manually

How to parse manually

People have written programs that will do this for you Command line programs There are many of them List one that is good because it is documented and seems to be well tested

3 Other available programs

This tutorial has examined two programs, IGV and BCFTools to visualize and parse data respectively. However, there are a large number of other programs that have been created to do this as well. I have listed them here as a resource should you wish to view them.

- sgkit provides a Python API, with parsing performed by cyvcf2
- https://alimanfoo.github.io/2017/06/14/read-vcf.html
- The authors at 1000s Genome Project in the 2011 paper highlighting the creation of the VCF file format created a program, VCF Tools, that has a lot of functionality including filtering and merging files [2].

4 Conclusion

The pipeline from sequencing DNA to determining the variation in the DNA sample compared to a reference involves a lot of steps and a massive amount of data. There exists a standardized way in which bioinformaticians use to process this data. One of the steps is the output, a VCF file containing the variation. Yet, this file still contains a large amount of data that can make it difficult to immediately be meaningful. There are programs and tools that we can use to help parse the information out of these VCF files into a more human readable format, so that we can extract biologically meaningful conclusions, and results, etc.

For example, IGV allows us to interactively see the variants in your VCF files. From the example above, we were even able to determine what the possible effect would be from this variant, as well as the exact location on the genome.

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