



भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

FORM -A

AFFIDAVIT

[For issuance of duplicate securities]

Note: *This affidavit is to be executed in the presence of a Public Notary*

[To be submitted in non-judicial stamp paper of appropriate value]

I/We, _____
Son / daughter of _____
_____ residing at _____
_____, having Permanent Account No (s) _____
do hereby solemnly affirm and state on oath as follows.

1. That I/We, _____ ("all the shareholders ") hold the following _____ (number of) securities under Folio no. _____ in _____ (name of the company) in my/ our name as single holder / joint holder:

Company Name	Folio No.	No. of securities held	Security Certificate No.	Distinctive Nos.	
				From	To
1)					
2)					
3)					

2. I/We _____ further swear / solemnly declare that I/ we am/are applying for issue of duplicate certificate(s) to me/us on the ground that the original security(ies) certificate(s) has/have been misplaced / not found by me/us, despite a diligent search made by me/us in that behalf.



भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

3. I/We _____
_____ further swear /solemnly declare that the said securities are not sold or
pledged or deposited by way of security to any person/company.

4. I/We _____
_____ hereby further swear / solemnly declare that if, after the
duplicate share certificate(s) is / are issued to us as aforesaid, the original
security(ies) certificate(s) is / are at any time subsequently, found, recovered or
traced by us or by anyone on our behalf, then, we unconditionally undertake not to
deal with the said original share certificate(s) in any manner whatsoever (whether
by physical transfer or dematerialization or as security or pledge) and further
unconditionally undertake to promptly surrender the original share certificate(s) to
the Registrar and Transfer Agent / Company, for cancellation.

5. I/We _____ am/are
making the above solemn declaration on oath with full knowledge of the fact that in
the event the original security (ies) certificate(s) issued is /are found, recovered and
traced by me/us and instead of surrendering the same is / are dealt with by me/us
as aforesaid, the Company will be at liberty to adopt civil and / or criminal
proceedings against me/us for my/our failure to promptly surrender the original
security (ies) certificate(s), for cancellation and for breach of my/our solemn
declaration and undertaking not to deal with the original security (ies) certificate(s)
in any manner whatsoever as aforesaid at my/our entire risk as to cost and
consequences.

Signature of all deponents :

X _____

VERIFICATION

We hereby solemnly affirm and state that what is stated herein above is true to our
knowledge and nothing has been concealed therein and that we are competent to
contract and entitled to rights and benefits of the above mentioned securities.

Deponent(s) (1)

(2)

(3)



भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

Solemnly affirmed at

Signature of the

Deponent(s): X _____

Signed before me

Place: _____

Date : _____

X _____

Signature of Notary with Official Seal of Notary & Regn. No.



भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

Form-B

INDEMNITY

[For issuance of duplicate securities]

Note: *This indemnity is to be executed in the presence of a Public Notary /
Gazetted Officer*

[To be submitted on non-judicial stamp paper of appropriate value]

I/We, _____
_____ Son / daughter / spouse / of
_____ residing at
_____ having Permanent Account No (s)
_____ do hereby solemnly affirm and state on
oath as follows.

1. That I/we, am/are the sole/joint holder/s of the Securities in following folios.
I/We request you to issue duplicate certificate(s) for securities, as detailed
below in my/our name(s):

Name of the Company	Certificate No.**	Distinctive No.**	Folio No. **	No. and Face value of securities held
1				
2				
3				
4				

**In case of non-availability of Certificate Nos./Distinctive Nos./ Folio nos., security holder shall obtain the same from RTA.

2. That the above securities were acquired by me/us for valuable consideration
out of my/our own investment/funds against allotment in Public



भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

Issue/allotment in Right Issue or acquired from the market/through inheritance in the year(s)

3. I/We hereby jointly and severally agree and undertake to indemnify and keep indemnified, saved, defended, harmless, the aforesaid (Name of the Company/RTA) and its successors and assigns for all time hereafter against all losses, costs, claims, actions, demands, risks, charges, expenses, damages, etc., whatsoever which you may suffer and/or incur by reason of your, at my/our request, issuing the said Duplicate Securities as herein above mentioned, to the undersigned.

IN WITNESS WHEREOF the said 1) Mr. /Ms. _____ (Name and signature of the witness) _____
And 2) Mr. /Ms. _____ Name and signature of the witness _____ #, have hereunto set their respective hands and seals this day of _____

Address of First holder / Applicant :	

Pincode <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Tel. No.	_____
Email id	_____
Date	_____

Signature of All holder(s) / Applicant(s) :

FOR OFFICE USE ONLY
Signature checked by :

Signed before me
at: _____
on : _____

Signature of Notary / JMFC

Official stamp & seal of the Notary Magistrate/ Notary & Regn. No.:



(Refer circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022 on Issuance of Securities in dematerialized form in case of Investor Service Requests)

Request for issue of Duplicate Certificate and other Service Requests
(for Securities - Shares / Debentures / Bonds, etc., held in physical form)

A. Mandatory Documents / details required for processing all service request:
I / We are submitting the following documents / details and undertake to request the Depository Participant to dematerialize my / our securities within 120 days from the date of issuance of Letter of Confirmation, received from the RTA/Issuer Company (tick ☐ as relevant, refer to the instructions):

- Demat Account No. (If available):
- Provide Client Master List (CML) of your Demat Account from the Depository Participant*
- Provide the following details, if they are not already available with the RTA (see [SEBI circular dated November 03, 2021](#) in this regard)

PAN	Specimen Signature
Nomination / Declaration to Opt-out	

* (Your address, e-mail address, mobile number and bank details shall be updated in your folio from the information available in your **CML**). You can authorize the RTA to update the above details for all your folios. In this regard, please refer to and use Form ISR-1 in SEBI circular dated November 03, 2021.



भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

B. I / We request you for the following (tick ☐ relevant box)

<input type="checkbox"/> Issue of Duplicate certificate	<input type="checkbox"/> Claim from Unclaimed Suspense Account
<input type="checkbox"/> Replacement / Renewal / Exchange of securities certificate	<input type="checkbox"/> Endorsement
<input type="checkbox"/> Sub-division / Splitting of securities certificate	<input type="checkbox"/> Consolidation of Folios
<input type="checkbox"/> Consolidation of Securities certificate	<input type="checkbox"/> Transmission
<input type="checkbox"/> Transposition (Mention the new order of holders here)	

C. I / We are enclosing certificate(s) as detailed below**:

Name of the Issuer Company	
Folio Number	
Name(s) of the security holder(s) as per the certificate(s)	1. 2. 3.
Certificate numbers	
Distinctive numbers	
Number & Face value of securities	

** Wherever applicable / whichever details are available

D. Document / details required for specific service request:

- I. ☐ Duplicate securities certificate



भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

II. ☐ Claim from Unclaimed Suspense Account

Securities claimed	(in numbers)
	(in words)

III. ☐ Replacement / Renewal / Exchange of securities certificate
(that is defaced, mutilated, torn, decrepit, worn out or where the page on the reverse is fully utilized)

IV. ☐ Endorsement

V. ☐ Sub-division / Splitting of securities certificate

VI. ☐ Consolidation of securities certificate/Folios

VII. ☐ Transmission

VIII. ☐ Transposition

Provide / attach original securities certificate(s) for request for item numbers III to VIII above.

Declaration: All the above facts stated are true and correct to best of my / our knowledge and belief.

	Security Holder 1 / Claimant	Security Holder 2	Security Holder 3
Signature	✓	✓	✓
Name	✓	✓	✓



भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

Full address	✓		
PIN	✓ 00 0000000	000000	000000

After processing the service request, the RTA shall issue a 'Letter of Confirmation' to the securities holder/claimant, which is valid only for 120 days. Using this 'Letter of Confirmation', the securities holder/claimant shall request the DP to dematerialize the securities, failing which the securities shall be credited to the Suspense Escrow Demat Account of the Company.