



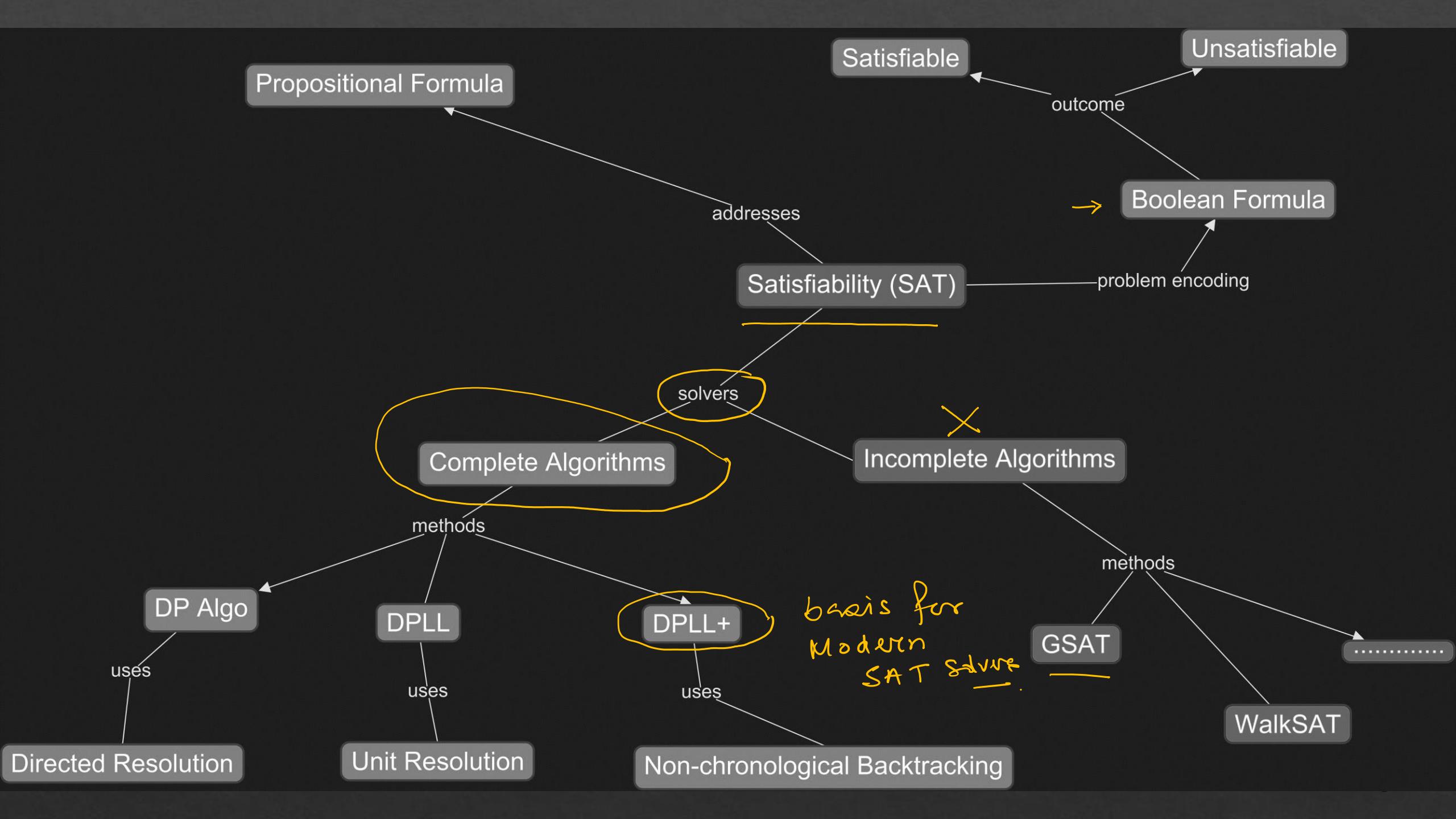
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# Satisfiability Problem

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Plaban Kumar Bhowmick



# SAT: Why and What

# Propositional Logic

- A *literal* is a Boolean variable  $x$  or its negation  $\bar{x}$
- A clause is a disjunction ( $\vee$ ) of literals  $A \vee B$
- A CNF formula is a conjunction ( $\wedge$ ) of clauses

$$\rightarrow F = (\underbrace{x_1 \vee \bar{x}_2 \vee \bar{x}_3}_{\text{Clause 1}}) \wedge (\underbrace{x_2}_{\text{Clause 2}}) \wedge (\underbrace{x_2 \vee \bar{x}_3}_{\text{Clause 3}}) \quad \text{CNF}$$

$$Vars(F) = \{\underline{x_1}, \underline{x_2}, \underline{x_3}\}$$

$$Literals(F) = \{x_1, x_2, \bar{x}_2, x_3, \bar{x}_3\}$$

$$Clauses(F) = \{(\underbrace{x_1 \vee \bar{x}_2 \vee \bar{x}_3}_{\text{Clause 1}}), (x_2), (x_2 \vee \bar{x}_3)\}$$

# Satisfiability

- Truth assignment  $\phi$  (variables)

- $\underline{\phi(x)} = \text{True}$  or  $\underline{\phi(x)} = \text{False}$

- $\phi$  satisfies → assignment

- $x$  if  $\phi(x) = \text{True}$

- $\bar{x}$  if  $\phi(x) = \text{False}$

- A clause if it satisfies at least one of its literals

- A CNF formula if it satisfies all of its clauses

$$\phi = \{ A = \text{true} \quad \text{or} \quad B = \text{true} \\ \text{or} \quad C = \text{true} \}$$
$$\underline{A \vee B \vee C}$$

# Satisfiability

- $\phi$  is a *satisfying assignment* of a  $\text{CNF } F$  if it satisfies  $F$
- $\underline{F}$  is *satisfiable* if there exists a  $\phi$  that satisfies  $F$
- The Satisfiability Problem determines whether a given  $\underline{F}$  is satisfiable or not.  
 $\phi$  for which  $\underline{F}$  is true

# Satisfiability: Example

Satisfiable Formula

$$\begin{array}{c} \rightarrow (x_1) \\ \rightarrow \quad \wedge \quad \wedge \end{array}$$

Unsatisfiable Formula

$$(x_1) \wedge (\overline{x_1}) \rightarrow$$

$$\begin{aligned} &\rightarrow (x_3) \vee (\overline{x_2}) \vee (x_7) \\ &\rightarrow (\underline{\overline{x_1}} \vee x_3) \wedge (\underline{\overline{x_1}} \vee \underline{x_2} \vee \overline{x_3}) \wedge (\underline{\overline{x_1}}) \\ &\rightarrow (\underline{x_2}) \wedge (\underline{\overline{x_2}}) \wedge (\underline{\overline{x_1}} \vee x_2 \vee \overline{x_3}) \\ &\rightarrow (x_1 \vee x_2) \wedge (x_1 \vee \overline{x_2}) \wedge (\overline{x_1} \vee \overline{x_2}) \end{aligned}$$

# Satisfiability: Scheduling Meeting

- { A can only meet on Monday or Wednesday
- B cannot meet on Wednesday
- C cannot meet on Friday
- D can only meet on Thursday or Friday

→ Boolean Formula

SAT problem instance

$$F = (x_1 \vee x_3) \wedge (\overline{x_3}) \wedge (\overline{x_5}) \wedge (x_4 \vee x_5) \wedge \text{AtMostOne}(x_1, x_2, x_3, x_4, x_5)$$

*boolean*

# Satisfiability: Scheduling Meeting

- A can only meet on Monday or Wednesday
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- C cannot meet on Friday
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SAT problem instance

$$\left\{ \begin{array}{l} F = (x_1 \vee x_3) \wedge (\overline{x_3}) \wedge (\overline{x_5}) \wedge (x_4 \vee x_5) \\ \wedge (\overline{x_1} \vee \overline{x_2}) \wedge (\overline{x_1} \vee \overline{x_3}) \wedge (\overline{x_1} \vee \overline{x_4}) \wedge (\overline{x_1} \vee \overline{x_5}) \\ \wedge (\overline{x_2} \vee \overline{x_3}) \wedge (\overline{x_2} \vee \overline{x_4}) \wedge (\overline{x_2} \vee \overline{x_5}) \\ \wedge (\overline{x_3} \vee \overline{x_4}) \wedge (\overline{x_3} \vee \overline{x_5}) \\ \wedge (\overline{x_4} \vee \overline{x_5}) \end{array} \right.$$

SAT Solve  $\xrightarrow{\hspace{1cm}}$  Unsatisfiable

# Hardness of SAT

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- SAT is NP-Complete
- No polynomial time algorithm for SAT yet
- Known complete algorithms have exponential runtime in worst case

# Applications of SAT

- o Hardware Model Checking
  - Does a circuit exhibit intended behavior?
  - Verify chip design (e.g., intel)
- o Software Verification
  - SMT solvers to verify Microsoft products
  - Verification of embedded software in cars, airplanes etc.
- o One of the best approaches in planning and scheduling
- o Number theoretic problems
- o Connection with NP-hard problems

# SAT Encoding: Pythagorean Triple

$$\langle \underline{a}, \underline{b}, \underline{c} \rangle \quad B \ R$$
$$a^2 + b^2 = c^2$$

Can the set of integers  $\{1, 2, 3, \dots\}$  be partitioned into two sets such that no part contains a Pythagorean triple ( $a, b, c \in \mathbb{N}$  st  $a^2 + b^2 = c^2$ )?



$$S_1 \quad \{1, 2, 3, \dots\}$$
$$S_2 \quad \{<, >, \leq, \geq\}$$

Is it possible to assign each integer one of two colors such that if  $a^2 + b^2 = c^2$  then  $a, b, c$  do not all have the same color?

Not possible for 7825

7824

Proof obtained by a SAT solver  
has 200 Terabytes

Largest math proof ever



# SAT Encoding: Pythagorean Triple

Is it possible to assign each integer one of two colors such that if  $a^2 + b^2 = c^2$  then  $a, b, c$  do not all have the same color?

For each integer  $i$ , a Boolean variable  $x_i$ :

$$\underline{x_i = 1 \text{ if } \text{color}(i) = B} \text{ else } \underline{x_i = 0}$$

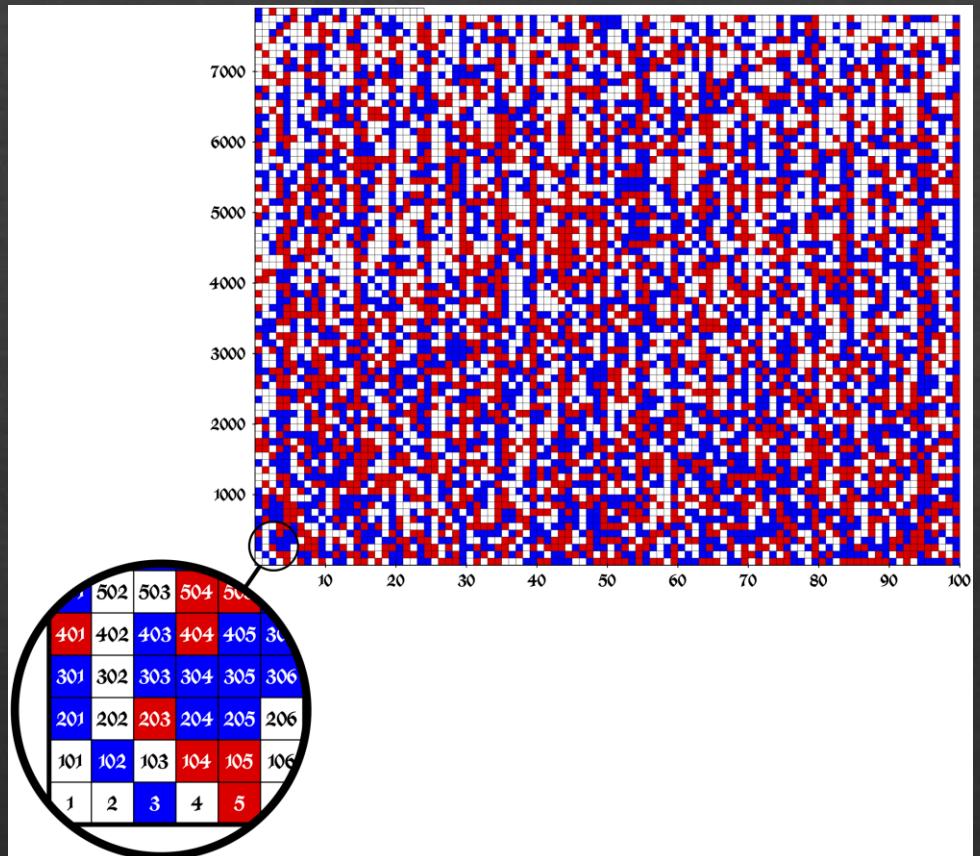
For each  $\langle a, b, c \rangle$  st  $\underline{a^2 + b^2 = c^2}$ :

$$\rightarrow \frac{\begin{array}{ccc} R & R & B \\ \hline 0 & 0 & 1 \end{array}}{\text{True}} \quad \frac{\begin{array}{ccc} 1 & 1 & 0 \\ \hline \end{array}}{\text{True}} \quad \Rightarrow \text{True}$$

$B, B, B$

$| \quad | \quad |$

$\underline{0 \quad 0 \quad 0} \Rightarrow \text{False}$



<https://www.nature.com/articles/nature.2016.19990>

# SAT Encoding: Pythagorean Triple

Is it possible to assign each integer one of two colors such that if  $a^2 + b^2 = c^2$  then  $a, b, c$  do not all have the same color?

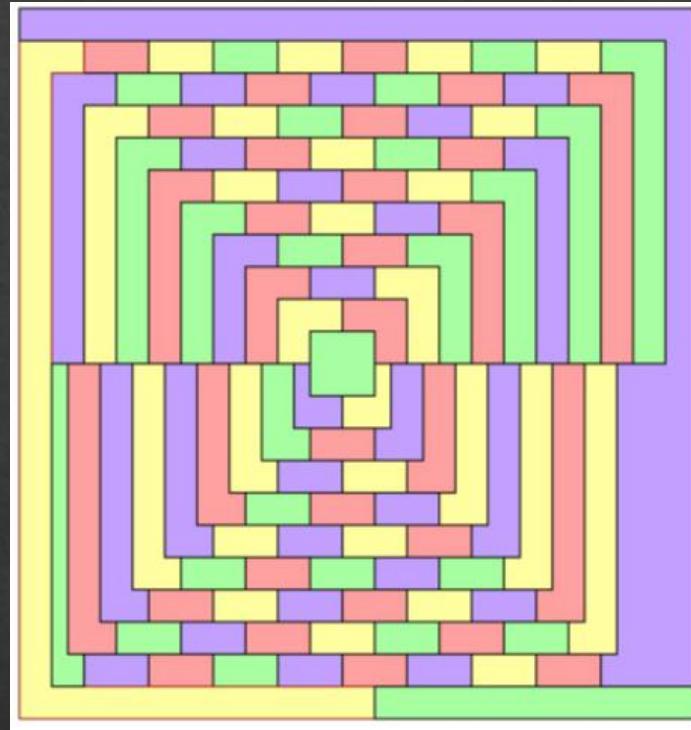
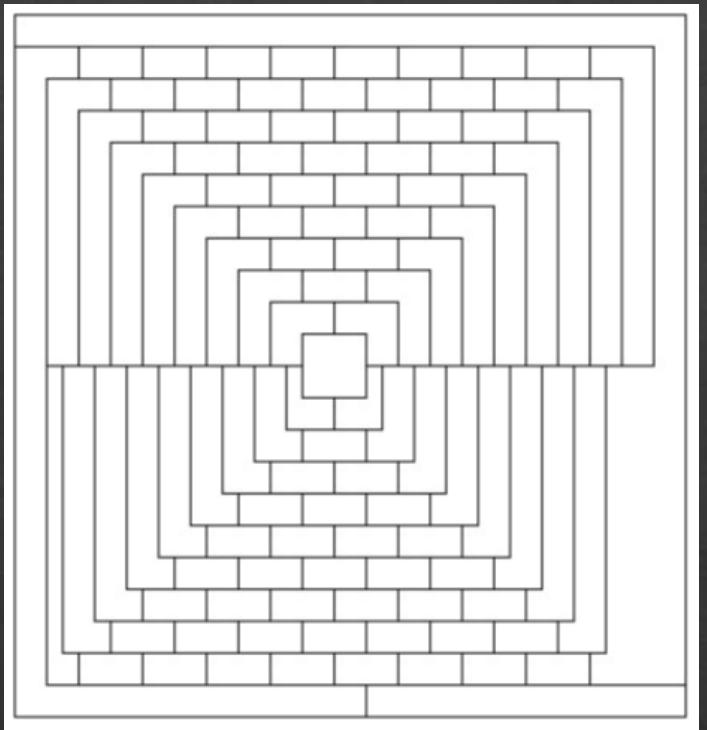
$$\begin{array}{l} \boxed{(x_3 \vee x_4 \vee x_5) \wedge (\bar{x}_3 \vee \bar{x}_4 \vee \bar{x}_5) \wedge (x_5 \vee x_{12} \vee x_{13}) \wedge (\bar{x}_5 \vee \bar{x}_{12} \vee \bar{x}_{13}) \wedge \\ (x_7 \vee x_{24} \vee x_{25}) \wedge (\bar{x}_7 \vee \bar{x}_{24} \vee \bar{x}_{25}) \wedge (x_9 \vee x_{40} \vee x_{41}) \wedge (\bar{x}_9 \vee \bar{x}_{40} \vee \bar{x}_{41}) \wedge \\ (x_6 \vee x_8 \vee x_{10}) \wedge (\bar{x}_6 \vee \bar{x}_8 \vee \bar{x}_{10}) \wedge (x_8 \vee x_{15} \vee x_{17}) \wedge (\bar{x}_8 \vee \bar{x}_{15} \vee \bar{x}_{17}) \wedge \\ (x_{10} \vee x_{24} \vee x_{26}) \wedge (\bar{x}_{10} \vee \bar{x}_{24} \vee \bar{x}_{26}) \wedge (x_{12} \vee x_{35} \vee x_{37}) \wedge (\bar{x}_{12} \vee \bar{x}_{35} \vee \bar{x}_{37}) \wedge \\ (x_{14} \vee x_{48} \vee x_{50}) \wedge (\bar{x}_{14} \vee \bar{x}_{48} \vee \bar{x}_{50}) \wedge (x_9 \vee x_{12} \vee x_{15}) \wedge (\bar{x}_9 \vee \bar{x}_{12} \vee \bar{x}_{15}) \wedge \\ (x_{15} \vee x_{36} \vee x_{39}) \wedge (\bar{x}_{15} \vee \bar{x}_{36} \vee \bar{x}_{39}) \wedge (x_{12} \vee x_{16} \vee x_{20}) \wedge (\bar{x}_{12} \vee \bar{x}_{16} \vee \bar{x}_{20}) \wedge \\ (x_{16} \vee x_{30} \vee x_{34}) \wedge (\bar{x}_{16} \vee \bar{x}_{30} \vee \bar{x}_{34}) \wedge (x_{20} \vee x_{48} \vee x_{52}) \wedge (\bar{x}_{20} \vee \bar{x}_{48} \vee \bar{x}_{52}) \wedge \\ (x_{15} \vee x_{20} \vee x_{25}) \wedge (\bar{x}_{15} \vee \bar{x}_{20} \vee \bar{x}_{25}) \wedge (x_{18} \vee x_{24} \vee x_{30}) \wedge (\bar{x}_{18} \vee \bar{x}_{24} \vee \bar{x}_{30}) \wedge \\ (x_{24} \vee x_{45} \vee x_{51}) \wedge (\bar{x}_{24} \vee \bar{x}_{45} \vee \bar{x}_{51}) \wedge (x_{21} \vee x_{28} \vee x_{35}) \wedge (\bar{x}_{21} \vee \bar{x}_{28} \vee \bar{x}_{35}) \wedge \\ (x_{20} \vee x_{21} \vee x_{29}) \wedge (\bar{x}_{20} \vee \bar{x}_{21} \vee \bar{x}_{29}) \wedge (x_{24} \vee x_{32} \vee x_{40}) \wedge (\bar{x}_{24} \vee \bar{x}_{32} \vee \bar{x}_{40}) \wedge \\ (x_{28} \vee x_{45} \vee x_{53}) \wedge (\bar{x}_{28} \vee \bar{x}_{45} \vee \bar{x}_{53}) \wedge (x_{27} \vee x_{36} \vee x_{45}) \wedge (\bar{x}_{27} \vee \bar{x}_{36} \vee \bar{x}_{45}) \wedge \\ (x_{30} \vee x_{40} \vee x_{50}) \wedge (\bar{x}_{30} \vee \bar{x}_{40} \vee \bar{x}_{50}) \wedge (x_{33} \vee x_{44} \vee x_{55}) \wedge (\bar{x}_{33} \vee \bar{x}_{44} \vee \bar{x}_{55}) \end{array}$$

$\downarrow$   
 $\ell = 55$

N=55

7825

# SAT Encoding: Graph Coloring



McGregor graph (of order 10): planar, 110 nodes

Cannot be colored with less than 5 colors

K-Coloring

# SAT Encoding: Graph Coloring

A graph coloring using at most  $k$  colors is called  $k$ -coloring. The Graph Coloring Problem seeks whether  $k$ -coloring for a graph  $G = \langle V, E \rangle$  exists.

Variables:

$\underline{k} \cdot |V|$  Boolean variables  $v_j$  for  $v \in V, 1 \leq j \leq k$ ,  $v_j = \text{True}$  if node  $v$  gets color  $j$

Clauses:

- Every node gets a color:  $(v_1 \vee v_2 \vee \dots \vee v_k)$  for  $v \in V$
- Adjacent nodes have diff color:  $(\bar{u}_j \vee \bar{v}_j)$  for  $(u, v) \in E, 1 \leq j \leq k$

$$\begin{array}{ccccc} j \text{ th } & \text{Color } & u & \bar{u}_j = \text{True} \\ \swarrow \text{false} & & & \bar{v}_j = \text{False} \end{array}$$

# SAT Encoding: Graph Coloring

$$\rightarrow V = \{u, v, w, x, y\} \quad k = 1, 2, 3 \rightarrow$$

$$Variables = \{u_1, \underline{u_2}, \underline{u_3}, \dots, \underline{y_1}, \underline{y_2}, \underline{y_3}\}$$

Clauses:

Every node gets a color:

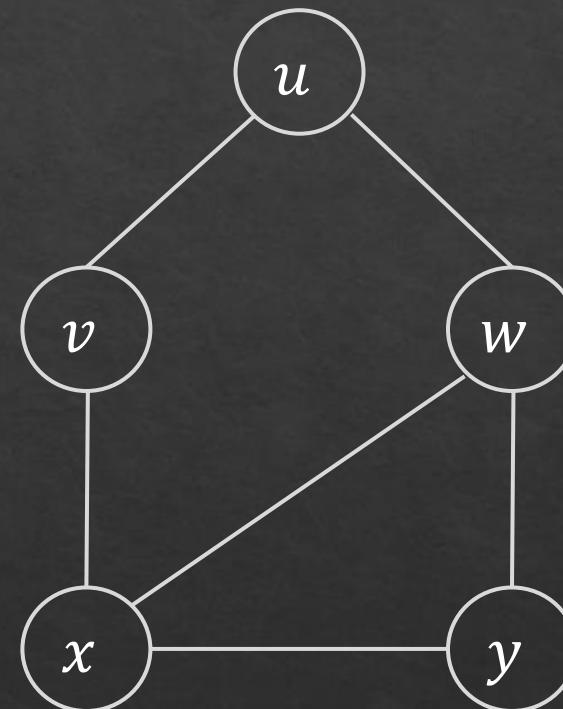
$$\rightarrow (u_1 \vee u_2 \vee u_3) \quad \begin{array}{c} \swarrow \\ \dots \dots \dots \\ \searrow \end{array}$$
  
$$\rightarrow (y_1 \vee y_2 \vee y_3)$$

Adjacent nodes have diff color:

$$(\overline{u_1} \vee \overline{v_1}) \wedge \dots \wedge (\overline{u_3} \vee \overline{v_3})$$
  
$$\begin{array}{c} \swarrow \\ \dots \dots \dots \\ \searrow \end{array}$$

For every edge

$$(\overline{x_1} \vee \overline{y_1}) \wedge \dots \wedge (\overline{x_3} \vee \overline{y_3})$$



# SAT Solvers

## SAT Solver with inference

Resolution:

$$\begin{array}{ccc}
 p = x & & \neg p = \neg x \\
 (a_1 \vee a_2 \vee x \vee a_3 \vee a_4) & (b_1 \vee b_2 \vee \neg x \vee b_3 \vee b_4) & \\
 \Rightarrow (a_1 \vee a_2 \vee a_3 \vee a_4 \vee b_1 \vee b_2 \vee b_3 \vee b_4) & &
 \end{array}$$

$P \rightarrow$  Boolean Variable

$\Delta \rightarrow$  CNF with clauses  $C_i$  and  $C_j$

$$\begin{array}{c}
 P \in C_i \quad \neg P \in C_j \\
 \text{Derived clause: } (C_i - \{P\}) \vee (C_j - \{\neg P\}) \xrightarrow{\text{P-resolvent}}
 \end{array}$$

$$\Delta = \{(A, B, \neg C), (\neg B, D)\} \Rightarrow (A, \underline{\neg C}, D) \rightarrow \text{B-resolvent}$$

SATISFIABILITY We derive empty clause

$$\Delta = \left\{ \frac{-}{\wedge}, \frac{-}{\wedge}, \frac{-\cdot-}{\wedge}, \frac{\phi}{\text{False}} \right\} = \left\{ \phi \right\}_{\text{False}} \rightarrow \text{UNSATISFIABILITY}$$

→ Resolution is sound but Incomplete

not guaranteed to derive every clause that is implied by  $\Delta$ .

COMPLETE  
SAT

✓ → Resolution is refutation Complete  
guaranteed to derive an empty clause if  $\Delta$  is unsatisfiable

↓  
Resolution based Completeness Algo  
for SAT testing

- 1.  $\{\neg P, R\}$  -
- 2.  $\{\neg Q, R\}$
- 3.  $\{\neg R\} \Rightarrow$
- 4.  $\{P, Q\}$
- - - - -
- 5.  $\{\neg P\}$  (1,3)  $\rightarrow$  Keep applying resolution until we
- 6.  $\{\neg Q\}$  (2,3) derive empty clause  $\Rightarrow$  UNSAT
- 7.  $\{Q\}$  (4,5)
- 8.  $\{\phi\}$  (6,7)  $\rightarrow$  until we have no option to apply resolution rule  $\Rightarrow$  SAT

↓ UNSAT

Unit Resolution: At least one of resolved clauses  
 $c_1 \wedge c_2$  has only one literal

### CONDITIONING

$\Delta | L \Rightarrow$  Replacing every occurrence of  $L$  by 'True'  
 and  $\neg L$  by 'False'

$$C = L \wedge P \wedge \neg A$$

$$\Delta | L = \{ \alpha - \{\neg L\} \mid \alpha \in \Delta, L \notin \alpha \}$$

- True clauses in  $\Delta$  can be partition into:
1.  $L \in \alpha \Rightarrow \alpha$  does not appear in  $\Delta | L$
  2.  $\neg L \in \alpha \Rightarrow$  occurrence of  $\neg L$  in  $\alpha$  does not have any effect.  
 $\Rightarrow \{ \alpha - \{\neg L\} \}$
  3.  $L \text{ or } \neg L \notin \alpha \Rightarrow \alpha$  will appear in  $\Delta | L$  as it is
- $\alpha = (A \vee B \vee C)$

$$\begin{aligned} \alpha &= (\overline{A \vee \neg B}) \\ \alpha &= (\overline{A \vee \neg L}) \vee \neg B \\ \alpha &= (\overline{A \vee \neg L}) \vee \neg B \\ \downarrow & \quad \text{False} \\ \alpha &= (A \vee \neg B) \end{aligned}$$

## Example

$$\Delta = \left\{ \overline{\{A, B, \neg C\}}, \{ \neg A, D \}, \{B, C, D\} \right\}$$

$\underline{C} \in \underline{\Gamma_C} \quad L \in \alpha$

$$\Delta \mid \neg C \in \alpha \rightarrow \Delta \mid C = \left\{ \{A, B\}, \{ \neg A, D \} \right\}$$

$\Delta \mid \neg C = \left\{ \{ \neg A, D \}, \{B, \underline{D}\} \right\}$

$\Delta \mid \neg C = \left\{ \{ \neg A, \underline{D} \}, \{B, \underline{D}\} \right\}$

$$\Delta \mid_{C, A} = \left\{ \{D\} \right\}$$

$$\Delta \mid_{C, A, \neg D} = \{ \phi \} \rightarrow \text{Contradiction.}$$

$$\Delta \mid_{C, A, D} = \{ \emptyset \} = \phi \rightarrow \text{Satisfiable}$$

$\Delta \mid \neg C, D = \{ \emptyset \} \rightarrow \text{Consistent Satisfiable.}$

Contains an empty clause  
False

$\{ \emptyset \}$

## DP Algo (Davis-Putnam)

Directed Resolution  $\rightarrow$  Repeated application of resolution  
 $\hookrightarrow$  P-resolvent  
Bucket Elimination

- $\rightarrow$  A bucket for each var.
- $\rightarrow$  Choose a variable ordering ( $\pi$ )
  - $\rightarrow$  Construct and fill the buckets.
- $\rightarrow \alpha \in \Delta$  - add  $\alpha$  to the first bucket  $P$  from the top such that  $P$  appears in  $\alpha$   
 $(P, \neg P)$

## Bucket Elimination Example

$$\Delta = \{\{\neg A, B\}, \{\neg A, \neg C\}, \{\neg B, \neg D\}, \{\neg C, \neg D\}, \{\neg A, \neg C, E\}\}$$

①  $\Pi = C, B, A, D, E$   
 c-resolution  $\rightarrow \{\neg A, \neg D\} \left( \frac{\text{True}}{\neg A, A, E} \right)$

$$\Rightarrow C : \{\neg A, \underline{\neg C}\}, \{\neg C, \neg D\}, \{\neg A, \neg C, E\}$$

$$\rightarrow B : \{\neg A, B\}, \{\neg B, \neg D\}$$

$$\rightarrow \boxed{A} : \cdot$$

$$\rightarrow D : \cdot$$

$$\rightarrow E : \cdot$$

②  $\Pi = E, A, B, C, D$

$$\begin{aligned} &\rightarrow E : \{\neg A, \neg C, E\} \\ &\rightarrow A : \{\neg A, B\}, \{\neg A, \neg C\} \\ &\rightarrow B : \{\neg B, \neg D\} \\ &\rightarrow C : \{\neg C, \neg D\} \\ &\rightarrow D : \cdot \end{aligned}$$

SATISFACTION

$\rightarrow$

$$\{\neg A, \neg D\}, \{\neg A, \neg D\}$$

$\rightarrow$  No new resolution

SATISFACTION

$DP(\Delta, \Pi)$  returns UNSAT or SAT

For each variable  $v$ :  
create empty bucket  $B_v$



For each clause  $C \in \Delta$ :  
 $y \leftarrow$  first var. of  $C$  as per  $\Pi$

$$B_y \leftarrow B_y \cup \{C\}$$

For each var  $y$  as per  $\Pi$ :

If  $B_y$  is not empty:

for each  $v$ -resolvent of  $C \in B_y$ :

if  $C$  is empty ( $\{\}$ ):

return UNSAT

→  $U \leftarrow$  first var of  $C$  as per  $\Pi$

$$B_U \leftarrow B_U \cup \{C\}$$

Return SAT

Forgetting (Existential Quantification)

$$\Delta = \frac{A \Rightarrow B \\ B \Rightarrow C \\ C \Rightarrow D \\ D \Rightarrow E}{\vdash A \Rightarrow E}$$

$$\exists_{C,B,D} \Delta \models A \Rightarrow E$$

$$\exists_x \Delta = (\Delta|x) \vee (\Delta|\neg x)$$

forgetting  $x$        $\Delta$  is satisfiable if  $\exists_P \Delta$  is satisfiable.

$$\left\{ \begin{array}{c} A \Rightarrow B \\ B \Rightarrow C \end{array} \right\} \setminus A \Rightarrow C$$

$$\frac{\text{Forget}(x)}{\exists_C \Delta \models B \Rightarrow D}$$

$$\frac{C : \{\neg B, C\}, \{\neg C, D\}}{\frac{B : \{\neg A, B\}}{\frac{D : \{\neg D, E\}}{\frac{E}{\frac{A}{\exists_{C,B,D} \Delta}}}}}$$

$$\frac{\{\neg B, D\}}{\frac{\{\neg A, D\}}{\frac{\{\neg A, E\}}{\frac{\exists_{C,B,D} \Delta}{\exists_C \Delta}}}}$$

## Extract Satisfying Assignment ( $\phi$ )

→ Process vars. from bottom to top.

$$J\Gamma = v_1, v_2, \underbrace{v_i, \dots, v_n}_{v_{i+1} \dots v_n}$$

→  $B_{v_i}$  is empty  $\Rightarrow$  Assign any value.

else  $\Rightarrow$  Assign  $v_i$  such a way

$$C : \{\overline{A}, C\}, \{\overline{C}, \overline{D}\}, \{A, \overline{C}, E\}$$

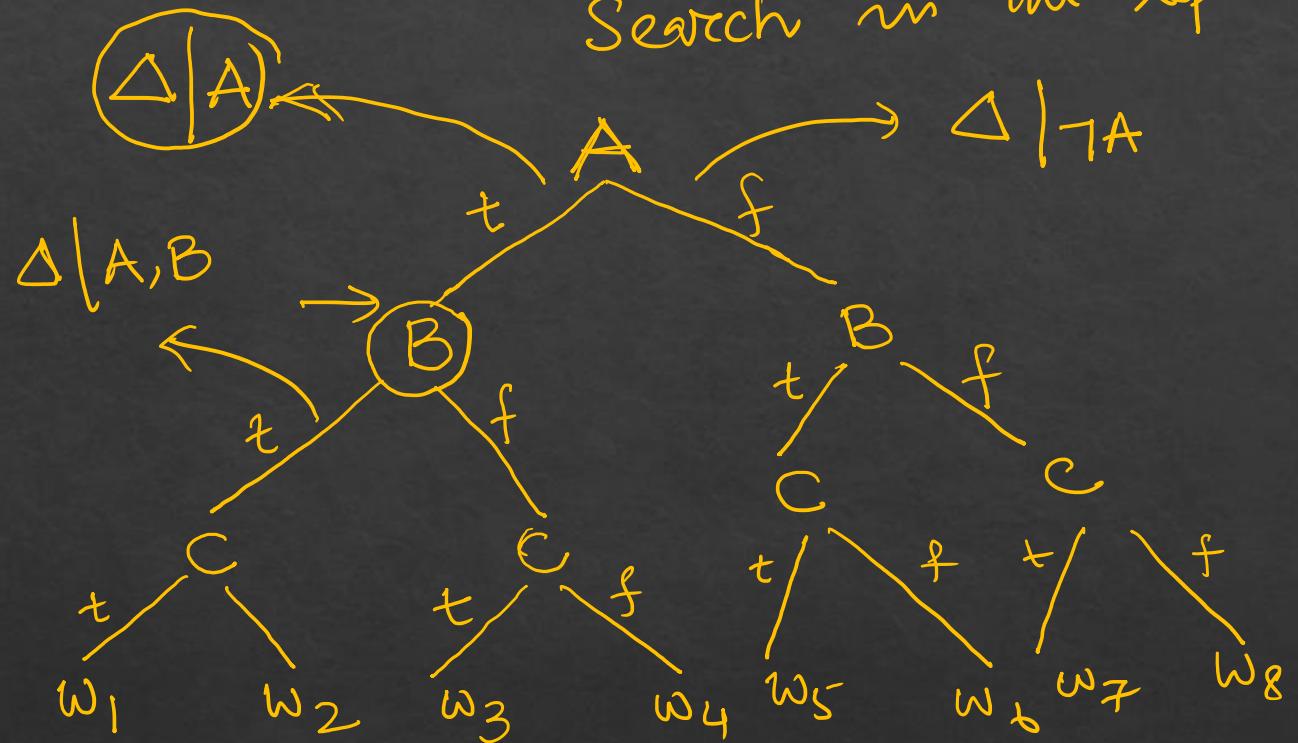
$$\begin{array}{l} A \\ \uparrow \\ \rightarrow A : \\ \rightarrow D : \\ E : \end{array} \quad \begin{array}{c} B : \{\overline{A}, B\}, \{\overline{B}, D\} \\ \xrightarrow{\text{True}} \{\overline{A}, \overline{D}\} \\ \xrightarrow{\text{True}} \{\overline{A}, D\} \end{array}$$

$$\frac{E = T, D = F, A = F}{B = F, C = T}$$

## SAT by Search

DPLL (Davis-Putnam, Logemann-Loveland)

Search in the space of truth assignment



$$w_1, \dots, w_8$$

$$w_3 = \{A=t, B=f, C=t\}$$

leaf  $\rightarrow$  a complete truth assignment  
 ↳ satisfiability  
 ↳ finding a leaf node that satisfies the CNF

A tree that has depth  $n$ .

$\rightarrow$  DFS to explore the search space

DPLL- ( $\Delta$ , d) return SAT + variable instantiations  
or UNSAT

If  $\Delta = \{\emptyset\}$  then [ $\neg$  No contradiction]  
return  $\{\emptyset\}$

DPLL- ( $\Delta_0$ ) else if  $\{\emptyset\} \in \Delta$  then [Contradiction]  
return UNSAT

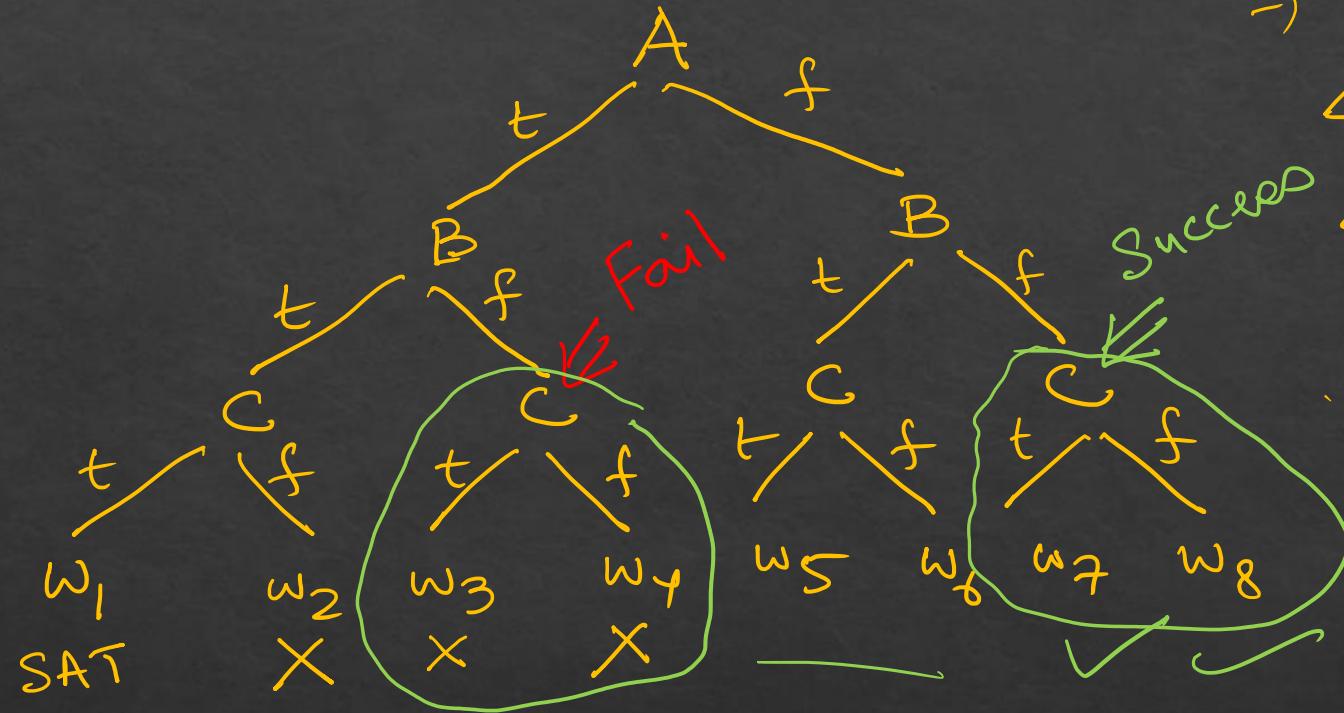
else if  $L = DPLL - (\Delta | P_{d+1}, d+1) \neq \text{UNSAT}$  then  
return  $L \cup \{\neg P_{d+1}\}$

else if  $L = DPLL - (\Delta | \neg P_{d+1}, d+1) \neq \text{UNSAT}$  then  
return  $L \cup \{\neg \neg P_{d+1}\}$

else  
return UNSAT

## Example DPLL -

$$\Delta = \{\{\overline{A}, B\}, \{\overline{B}, C\}\}$$



DPLL - may detect success before reaching the leaf.

$$\Rightarrow \Delta / A = \left\{ \overline{\{B\}}, \overline{\{ \neg B \}}, \{ \neg \} \right\}$$

$$\Delta|_{A,B} = \{ \{c\} \}$$

$$\Delta|_{A,B,C} = \{ \} \rightarrow \text{SAT}$$

$$\Delta|_{A,B,\gamma C} = \{\{\emptyset\}\} \rightarrow \text{UNSAT}$$

$$\Delta|_{A \cap B} = \{\{\}, \{c\}\}$$

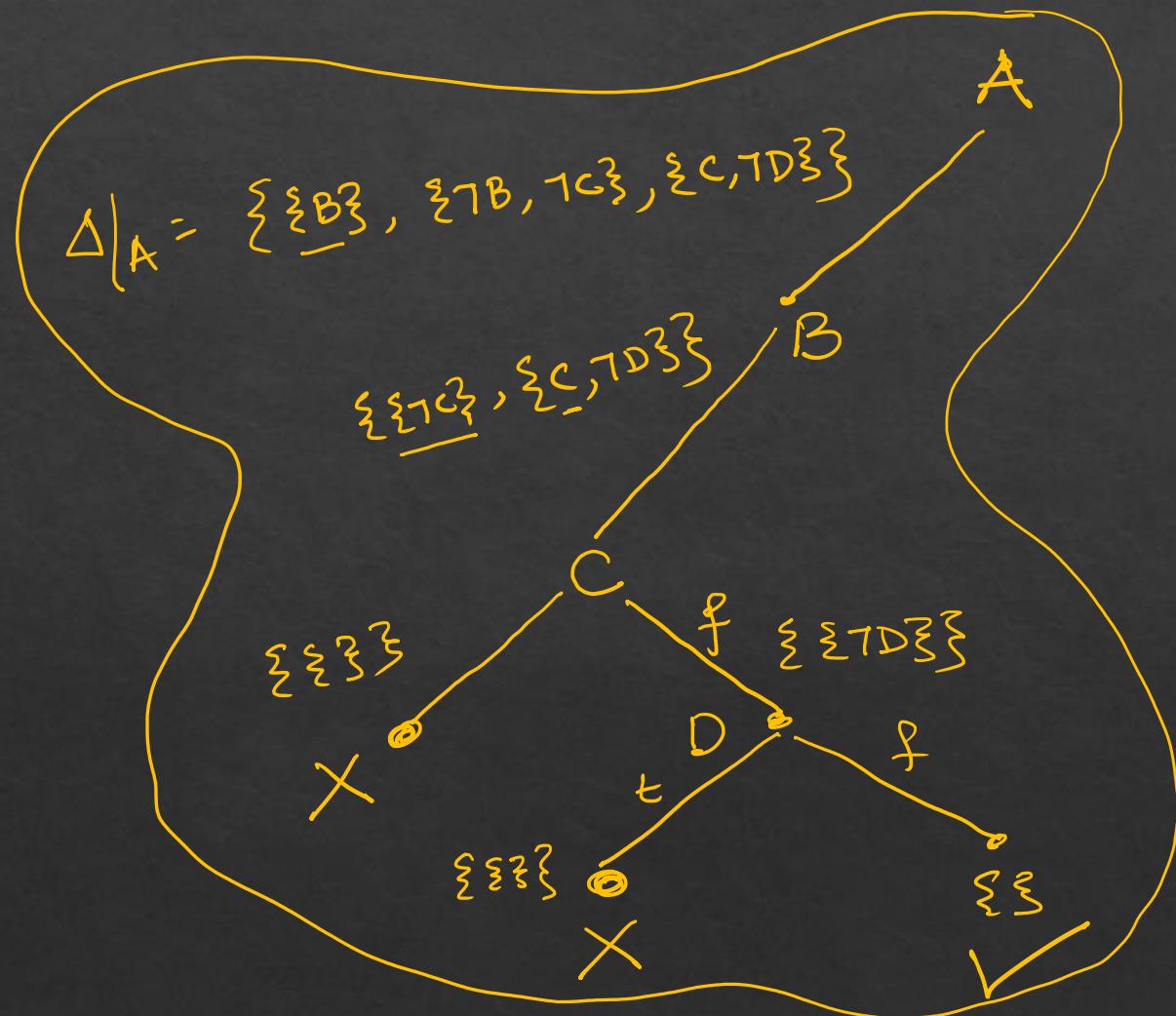
$\{\exists \in \Delta_{A, \top}$

$$\Delta \setminus \gamma_A = \{ \underline{\gamma_B}, \underline{\gamma_C} \}$$

$$\Delta \vdash_{\mathcal{T} A, \mathcal{T} B} = \{ \} \quad \rightarrow^{\cdot} \text{SAT}$$

Amount of work by DPLL -

$$\rightarrow \Delta = \{\{\neg A, B\}, \{\neg B, \neg C\}, \{C, \neg D\}\}$$



$$\frac{\Delta|_A = \{\{\neg B\}, \overline{\{\neg B, \neg C\}}, \{\neg C, \neg D\}\}}{\Delta|_A = \{\}\atop \text{SAT}}$$

$\{\} \in \Delta|_A$   
UNSAT

DPLL - cannot decide failure  
or success at this point

$$\Delta|_{A,\boxed{B}} = \{\{\neg C\}, \{\neg C, \neg D\}\}$$

$$\Delta|_{A,B,\overline{C}} = \{\{\neg D\}\}$$

$$\Delta|_{A,B,C,\overline{D}} = \{\}\rightarrow \text{Sat.}$$

$$\Delta|_A \rightarrow \overline{\{\neg A\}} \quad \begin{cases} \{\neg A, B\} \\ \{\neg B\} \end{cases}$$

First: closing  $\Delta$  under unit resolution  
 collecting all the unit clauses.  
Second: Set the variables to satisfy unit clauses.



$\{P\} \Rightarrow$  set  $P = \text{True}$

$\{\neg P\} \rightarrow$  set  $P = \text{False}$

Third: checking for success or fail.

Unit Propagation  $\rightarrow$  Applying unit resolution in chain

I: A set of literals either present in unit clauses  
 or were derived from  $\Delta$  using unit resolution

$\Gamma : \Delta \mid I$

$\Delta = \{\{\neg A, \neg B\}, \{B, C\}, \{\neg C, D\}, \{\neg A\}\}$

$\Delta \mid I = \Gamma = \{\}$

$I = \{C, D\}$

$\Delta = \{\{\neg A, \neg B\}, \{B, C\}, \{\neg C, D\}, \{\neg A\}\}$

$\Delta \mid I = \Gamma = \{\{\neg A, \neg B\}\}$

DPLL( $\Delta$ )

$(I, \Gamma) = \text{UNIT-PROPAGATION}(\Delta)$

If  $\Gamma = \{\emptyset\}$  then

return  $I$

else if  $\{\emptyset\} \in \Gamma$  then

return UNSAT

else choose a literal  $X$  in  $\Gamma$

If  $L = \text{DPLL}(\Gamma | X) \neq \text{UNSAT}$  then

return  $L \cup I \cup \{\bar{X}\}$

else  $L = \text{DPLL}(\Gamma | \neg X) \neq \text{UNSAT}$  then

return  $L \cup I \cup \{\neg X\}$

else

return UNSAT

## SAT by Search + Inference

GRASP, MiniSAT, zChaff

### Limitation of DPLL

#### Chronological Backtracking:

→ try both values of  $v$  at level  $l$ .

Not keeping track of reason of contradiction behind contradiction

→ move to  $l-1$ , undo intermediate assignments.

→ try a new value at  $l-1$

→ for  $l-2 \dots$  so on

Final: move to level 0, always a contradiction  
→ inconsistent

Backtracking at level  $l$  is done after trying out all the possibilities at  $l+1$

$$\Delta = \left\{ \begin{array}{l} 1. \{A, B\} \\ 2. \{B, C\} \\ 3. \{\neg A, \neg X, Y\} \\ 4. \{\neg A, X, Z\} \\ 5. \{\neg A, \neg Y, Z\} \\ 6. \{\neg A, X, \neg Z\} \\ 7. \{\neg A, \neg Y, \neg Z\} \end{array} \right.$$

$A=t : 3 \rightarrow \{\neg X, Y\} \rightarrow 3'$

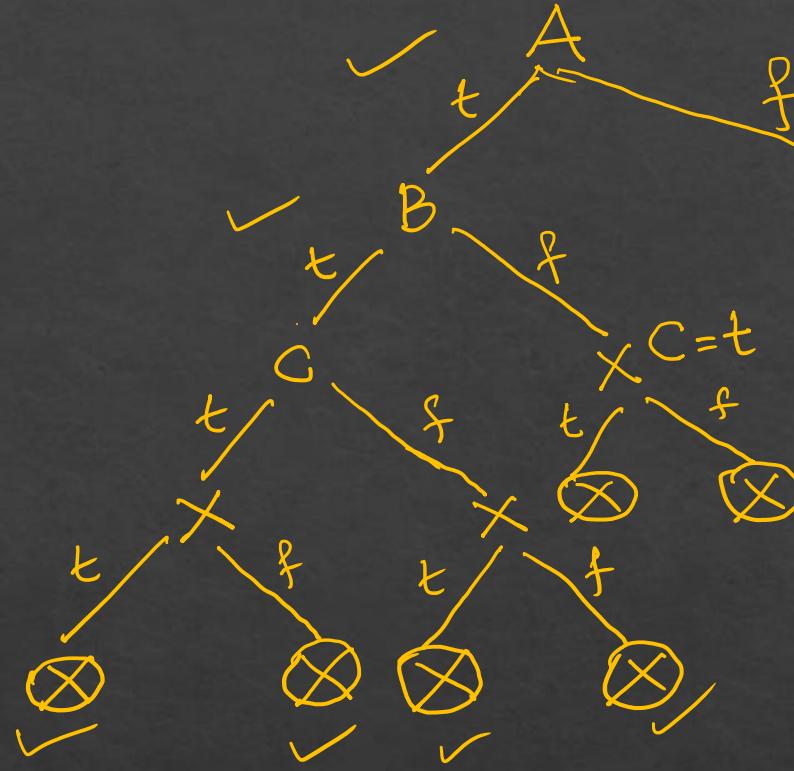
$\checkmark \quad 4 \rightarrow \{X, Z\} \rightarrow 4'$

$5 \rightarrow \{\neg Y, Z\} \rightarrow 5' -$

$6 \rightarrow \{X, \neg Z\} \rightarrow 6' \rightarrow$

$7 \rightarrow \{\neg Y, \neg Z\} \rightarrow 7'$

$B=t, C=t$



$X=t : 3' \rightarrow \{X\} \rightarrow 3''$

$5' 3'' \rightarrow \{Z\} \rightarrow 53''$

$7' 3'' \rightarrow \{\neg Z\} \rightarrow 73''$

$53'' 73'' \rightarrow \{\emptyset\}$

$\Delta |_{A,B,C,X}$  and  $\Delta |_{A,B,C,\neg X}$  and  $\Delta |_{A,B,C}$   
DPLL cannot detect

$$\boxed{A=t}$$

$$B=t \quad \{\}$$

(6)

$$\frac{\Delta |_{A,B,\neg C,X} \quad \Delta |_{A,B,\neg X}}{\Delta |_{A,B}}$$

$$X=f : \neg X -$$

$$4' \rightarrow \{Z\} \rightarrow 4'' \rightarrow$$

$$6' 4'' \rightarrow \{X\} -$$

$$\{\emptyset\}$$

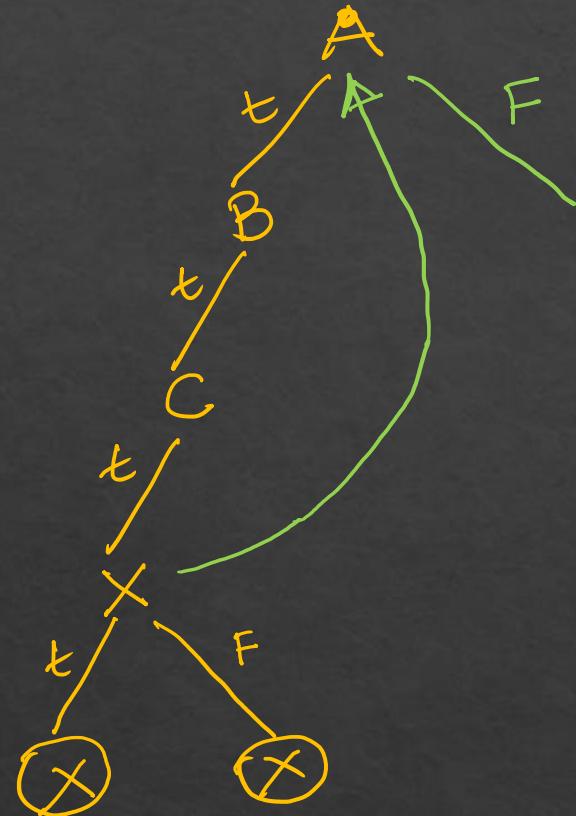
- All other contradictions are at level 0  $A = \text{true}$
- Assignment of B, C does not any effect → irrelevant
- Chronological BT does not see it
- Realization that  $A = \text{True}$  is a bad decision  
is achieved after 6 contradiction

## Non-chronological BT

- Probe the set of vars that actually are involved in contradiction (A)
- technique for CSP (Backjumping)

Philosophy : Backtracking to a lower level  $l$ , without trying out every possibility between  $m$  current level and  $l$

Intuitions :  
→ identify every assignment that lead to contradiction  
    ↳ set of assignments (conflict set)  
→ backtrack to the most recent decision var in the conflict set



$$A = T, B = T, C = T, X = T \Rightarrow Y = T, Z = T$$

$$\underline{CSI} = \left\{ A = T, \underline{X = T}, \underline{Y = T}, \underline{Z = T} \right\}$$

$$A = T, B = T, C = T, X = F \Rightarrow Z = T$$

$$\underline{CS2} = \left\{ A = T, \underline{X = F}, \underline{Z = F} \right\}$$

$$A = F$$

$$A = F$$

After 2 contradiction  $\rightarrow A = T$  is a  
bad decision

# Empowering clause: $\rightarrow$ unit Resolution DPLL

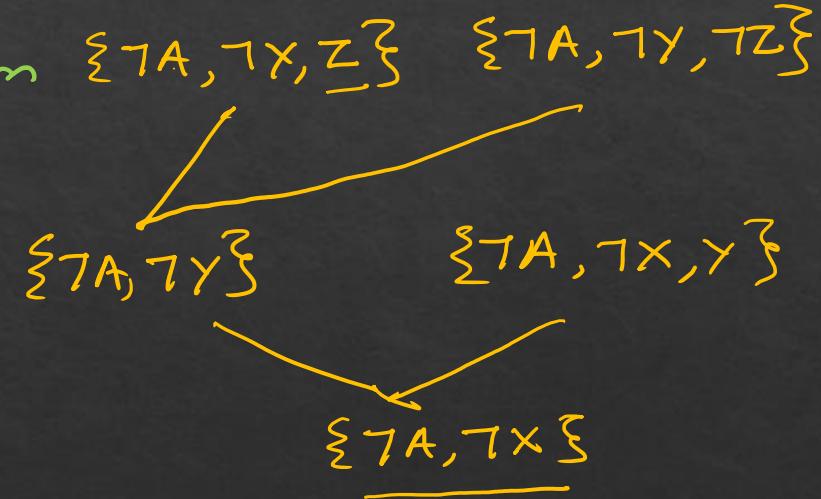
—  $\alpha : \Delta \models \alpha$

— If we add  $\alpha$  to  $\Delta$  explicitly, unit resolution will have additional info

—  $\Delta \models \{\neg A, \neg x\} \rightarrow$

1.  $\{A, B\}$
2.  $\{B, C\}$
3.  $\{\neg A, \neg x, y\}$
4.  $\{\neg A, x, z\}$
5.  $\{\neg A, \neg x, z\}$
6.  $\{\neg A, x, \neg z\}$
7.  $\{\neg A, \neg y, \neg z\}$
- ...
8.  $\{\neg A, \neg x\}$
9.  $\{\neg x\}$

Empowering  $\rightarrow$  unit resolution to detect contradiction early



## How to identify empowering clauses?

- whenever UR finds a contradiction
    - ↳ There is an opportunity to discover an empowering clause (new)
    - ↳ allow new implications.
  - conflict driven clauses ]
    - or
    - conflict clause ]
- Add these clauses  
→ allow UR to detect contradictions earlier.

## NCB and Conflict driven clauses (CDC)

- Derive CDCs
- use these clauses in performing NCB.

## Conflict Analysis

$$\frac{\Delta}{1. \{A, B\}}$$

$$2. \{C, D\}$$

$$\rightarrow 3. \{\neg A, \neg x, y\}$$

$$4. \{\neg A, x, z\}$$

$$\rightarrow 5. \{\neg A, \neg x, z\}$$

$$6. \{\neg A, x, \neg z\}$$

$$7. \{\neg A, \neg y, \neg z\}$$

$$8. \{\neg A, \neg x\}$$

Trace of unit resolution over  $\Delta$  (Implication Graph)

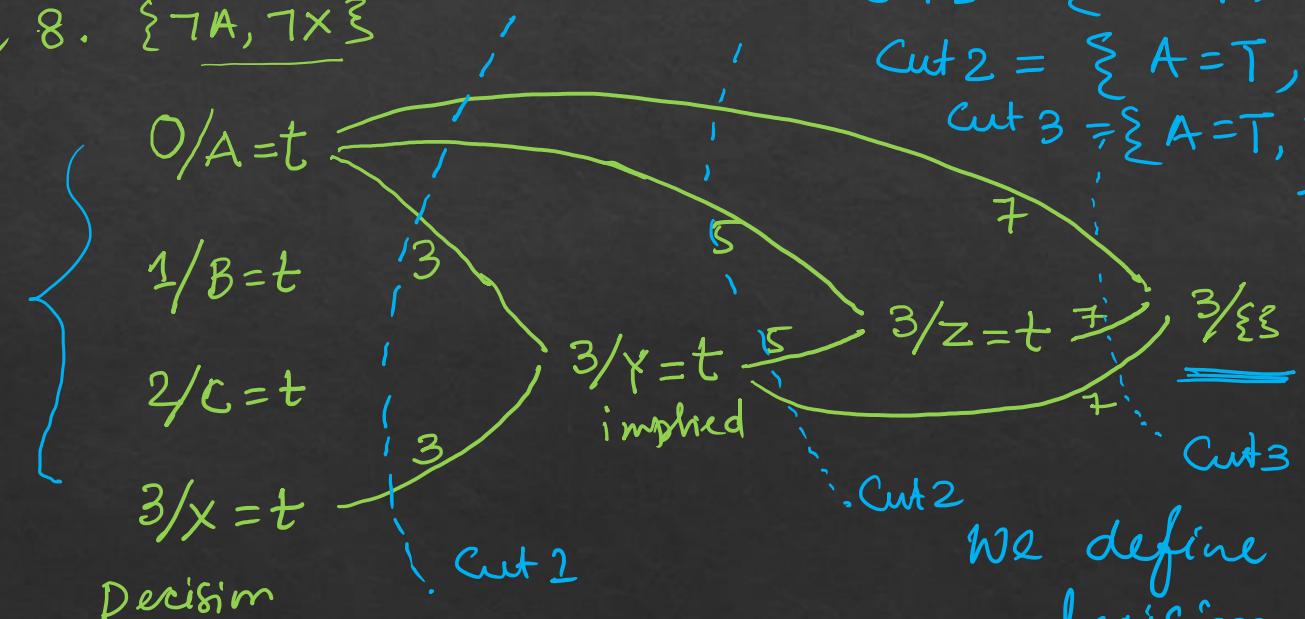
$$[\Delta | A, B, C, X]$$

Decision node | implied node

$$\text{Cut 1} = \{A = T, x = T\}$$

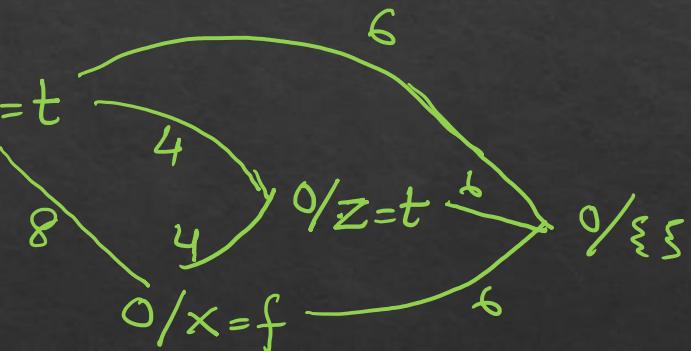
$$\text{Cut 2} = \{A = T, Y = T\}$$

$$\text{Cut 3} = \{A = T, Y = T, Z = T\}$$



Power of  $\bar{m}$   
empowering  
classes

$$[\Delta | A]$$



We define cuts that separates decision vars. from the conflict node



## Multiplicity of CDC

### How to choose?

Asserting clause: Includes only one variable assignment at the last decision level

$$\begin{array}{c} \checkmark \{ A^0 = T, X^3 = T \} \quad \checkmark \{ A^0 = T, Y^3 = T \} \\ \underline{\{ A^0 = T, Y^3 = T, Z^3 = T \}} \times \end{array}$$

Assertion level: second highest level in the clause

$$\begin{array}{cc} \{ A^0 = T, X^3 = T \} & \{ A^0 = T, Y^3 = T \} \\ \Downarrow & \Downarrow \\ \boxed{0} & \boxed{0} \end{array}$$

Backtracking to the assertion level

## Modern SAT Solver

- ① Identify conflict driven clauses (asserting)
- ② Backtrack to the assertion level
- ③ Add the CDR to  $\Delta$
- ④ Apply unit Resolution.

DPLL+ (CNF  $\Delta$ ) returns SAT or UNSAT

$D \leftarrow \langle \rangle$  // empty decision seq.

$\Gamma \leftarrow \{\}$  // learned clauses

while true do

if UR detects a contra in  $(\Delta \cup \Gamma \setminus D)$ :

if  $D = \langle \rangle$ : // contradiction w/o decision  
return UNSAT

else // backtracking to AL

$\alpha \leftarrow$  asserting clause

$m \leftarrow AL \wedge \alpha$

$D \leftarrow$  first  $m$  decision in  $D$  raising decision limit onward.

adding  $\alpha$  to  $\Gamma$

else // unit resolution does not lead to contra

if  $L$  is literal  $L$  or  $\neg L$   ~~$\models (\Delta, \Gamma, D)$~~  unit resolution

else  $D \leftarrow D; L$  → Decision.  
return SAT

Contradiction in  
original  $\Delta$

decide on  
variables that  
are still  
unknown

1.  $\{A, B\}$

2.  $\{B, C\}$

3.  $\{\neg A, \neg X, Y\}$

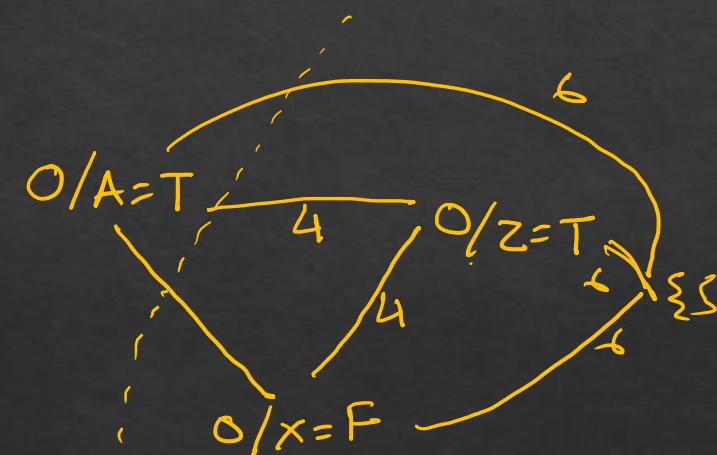
→ 4.  $\{\neg A, X, Z\}$

5.  $\{\neg A, \neg Y, Z\}$

6.  $\{\neg A, X, \neg Z\}$

7.  $\{\neg A, \neg Y, \neg Z\}$

-----  
8.  $\{\neg A, \neg X\}$



$\{A\} \rightarrow \{\neg A\}$

### Iteration 1:

$D = ()$ ,  $\Gamma = \{\}$

$D = (A = T, B = T, C = T, X = T)$

$\alpha = \{\neg A, \neg X\}$

$m = 0$

$D = (A = T)$ ,  $\Gamma = \{\{\neg A, \neg X\}\}$   $\Delta' = \Delta + \Gamma$

### Iteration 2

$\Delta$ ,  $D = (A = F)$   $\Gamma = \{\{\neg A, \neg X\}$

$\alpha = \{\neg A\}$

$m = -1$

$D = ()$

$\Gamma = \{\{\neg A, \neg X\}, \{\neg A\}\}$

$\downarrow$   
 $A = F$

### Iteration

$O/A=F$   
1  
 $O/B=T$

SAT  
 $\boxed{A = F, B = T}$

