

# Algorithms and Analysis

## Lesson 12: *Make a hash of it*



*Hash tables, separate chaining, open addressing, linear/quadratic probing, double hashing*

# Outline

1. Why Hash?
2. Separate Chaining
3. Open Addressing
  - Quadratic Probing
  - Double Hashing
4. Hash Set and Map



# Content Addressable Memory

- Suppose we have a list of objects which we want to look up according to its contents
- This is often referred to as **associative memory** structures
- A classical example would be a telephone directory
  - ★ We look up a name
  - ★ We want to know the number
- What data structure should we use?

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# Lists and Trees

- To find an entry in a normal list takes  $\Theta(n)$  operations
- If we had a sorted list we could use “binary search” to reduce this to  $\Theta(\log(n))$ 
  - ★ We will study binary search later
  - ★ Maintaining an ordered list is costly ( $\Theta(n)$  insertions)
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# Thinking Outside the Box

- As with many data structures thinking about the problem differently can lead to much better solutions
- Let us consider the content we want to search on as a **key**
- For telephone numbers the key would be the name of the person we want to phone
- We could get  $O(1)$  search, insertion and deletion if we used the key as an index into a big array
- That is the key is a string of, say, 100 characters so can be represented by an 800 digit binary number
- We could look up the key in a table of  $2^{800}$  items

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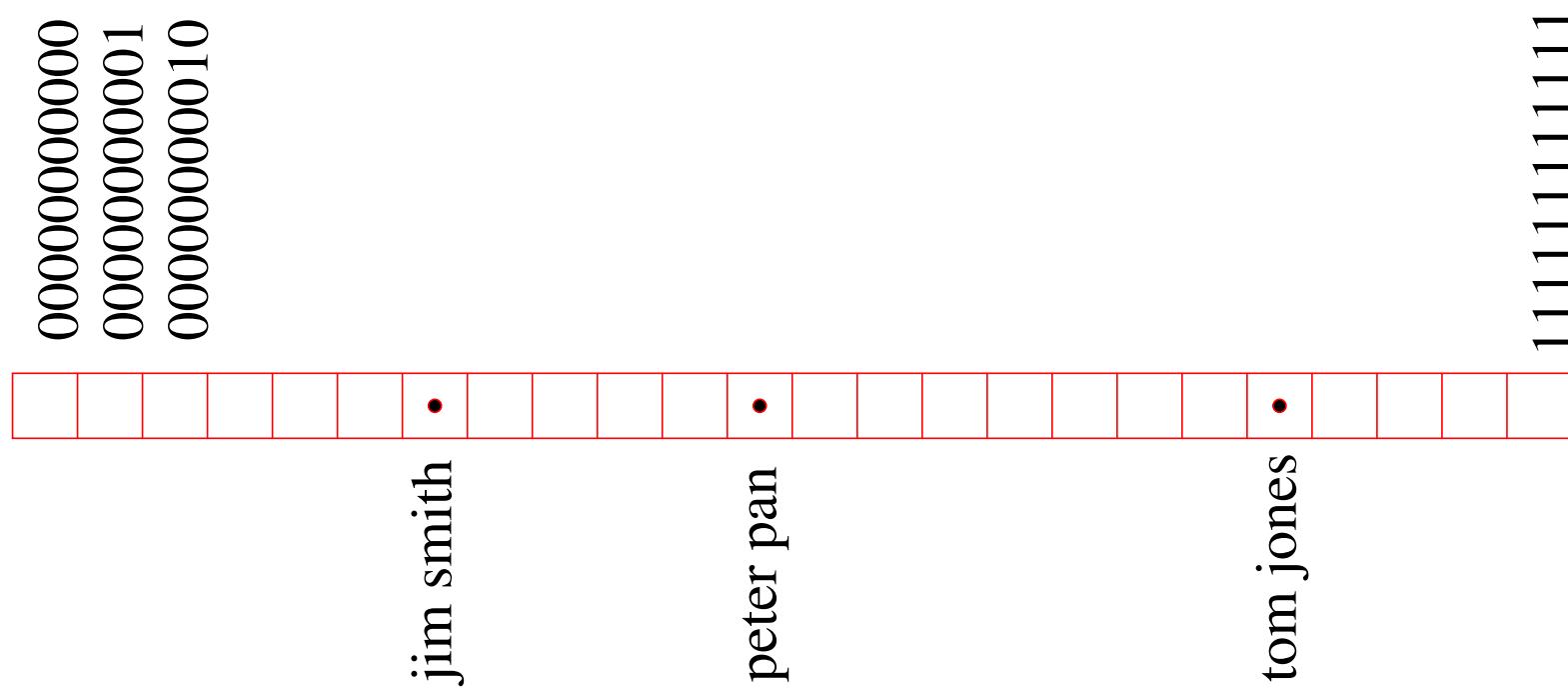
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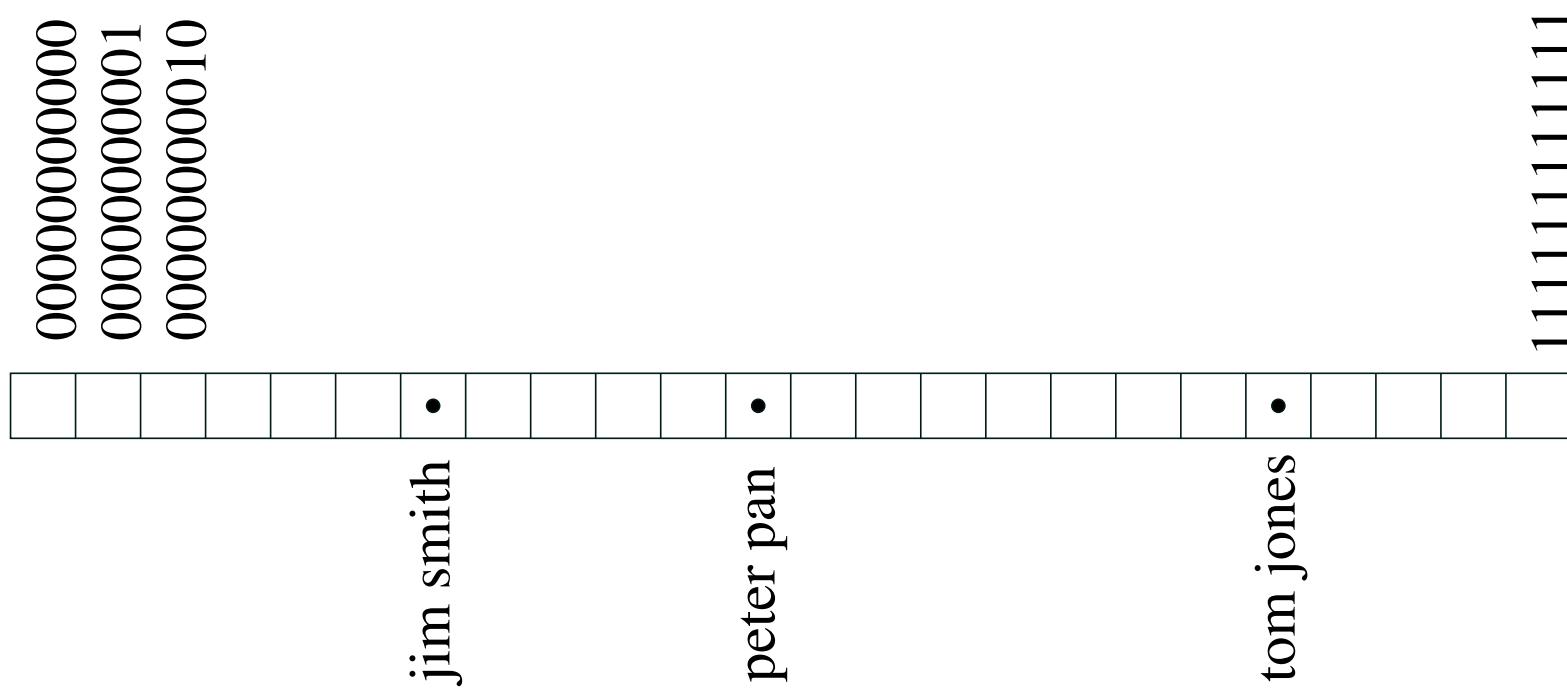
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- This approach is slightly wasteful of memory
- Almost all memory locations would be empty
- We can save on memory by folding up the table up onto itself



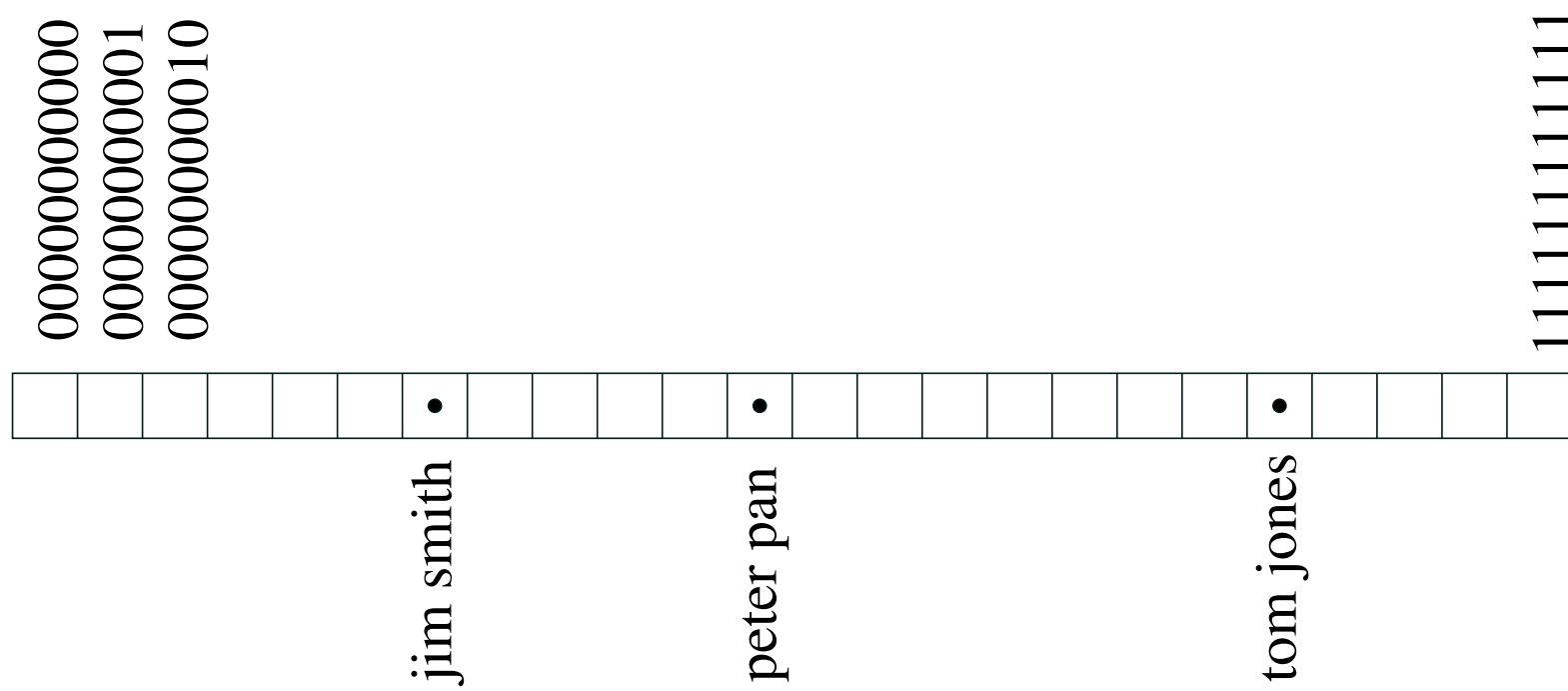
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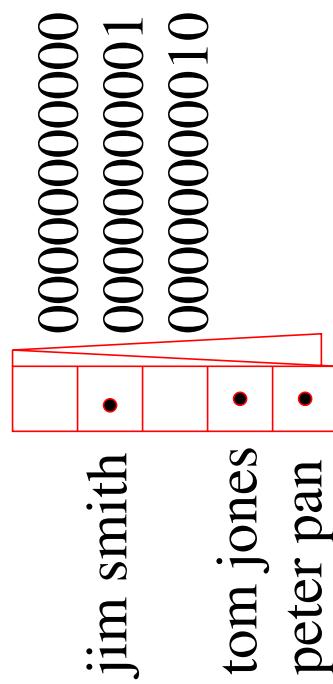
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# Hashing Codes

- A **hashing function** `hashCode(x)` takes an object, `x`, and returns a positive integer, the **hash code**
- To turn the hash code into an address take the modulus of the table size

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int index = abs(hashCode(x) % tableSize);
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- If `tableSize = 2n` we can compute this more efficiently using a mask

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# Hashing Functions

- Hashing functions take an object and return an integer
- Hashing functions aren't magic
  - ★ They tend to add up integers representing the parts of the object
- We want the integers to be close to random so that similar objects are mapped to different integers
- Sometimes two objects will be mapped to the same address—this is known as a **collision**
- Collision resolution is an important part of hashing

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# Hashing Strings

- A strings might be hashed using a function

```
unsigned long long hash(string const& s) {  
    unsigned long long results = 12345;  
  
    for (auto ch = s.begin(); ch != s.end(); ++ch) {  
        results = 127*results + static_cast<unsigned char>(*ch);  
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- The numbers 12345 and 127 is to try to prevent clashes—there are lots of alternatives
- What we want is that strings that might be similar receive very different hash codes

# DIY

- The `unordered_set<T, Hash<T> >` allows you to define your own hash function
- By default this is set to `std::hash<T>(T)`
- Not all classes have hash function defined so you will need to do this
- Care is needed to make your hash function produce near random hash codes

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# Collision Resolution

- Collisions are inevitable and must be dealt with
- There are two commonly used strategies
  - ★ Separate chaining—make a hash table of lists
  - ★ Open addressing—find a new position in the hash table
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# Resizing a Hash Table

- Resizing a hash table is easy
  - ★ Create a new hash table of, say, twice the size
  - ★ Iterate through the old hash table adding each element to the new hash table
- Note that you have to recompute all the hash codes
- Resizing a hash table has a modest amortised cost, but can give you a very hiccupy performance
- The size of a hash table is a classic example of a memory-space versus execution time trade off—using bigger (sparser) hash tables speeds up performance

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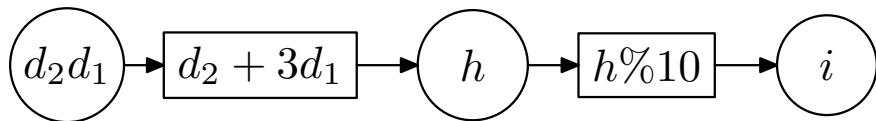
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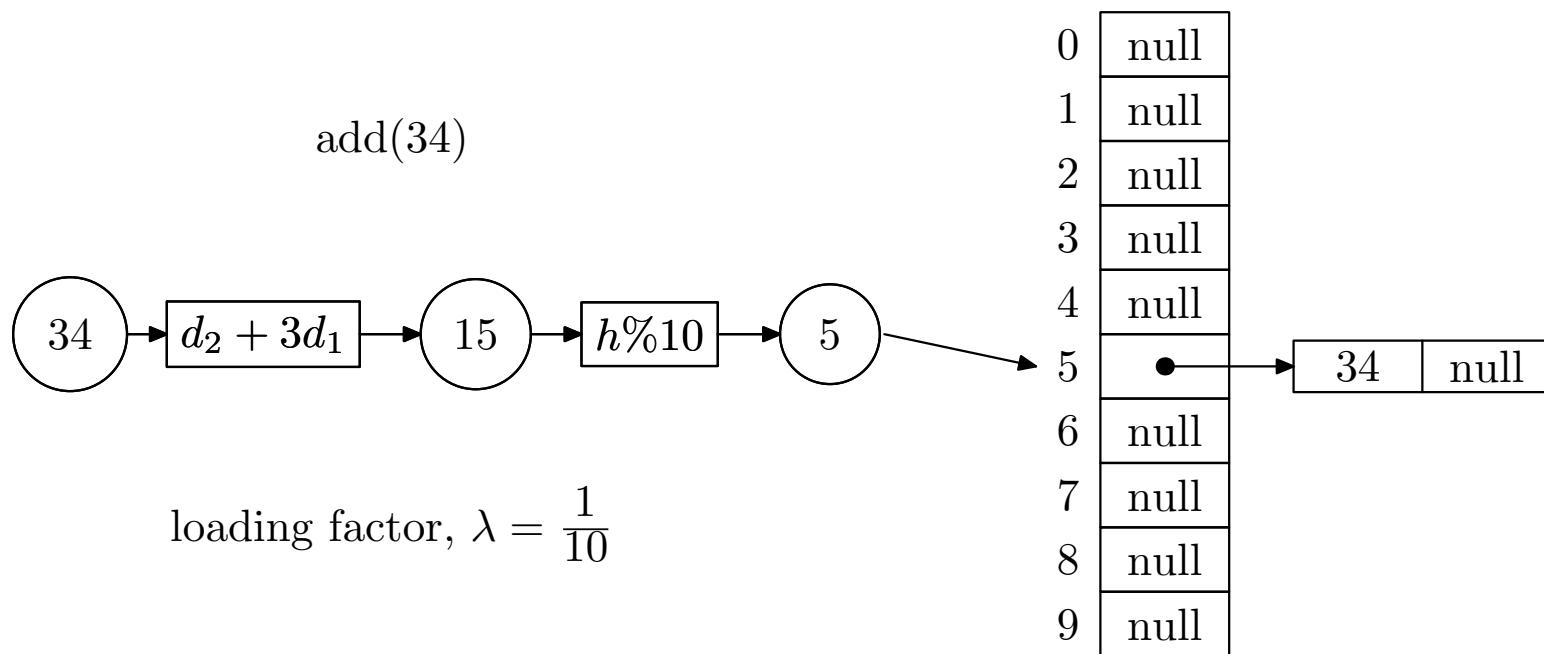


$$\text{loading factor, } \lambda = \frac{0}{10}$$

0	null
1	null
2	null
3	null
4	null
5	null
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9	null

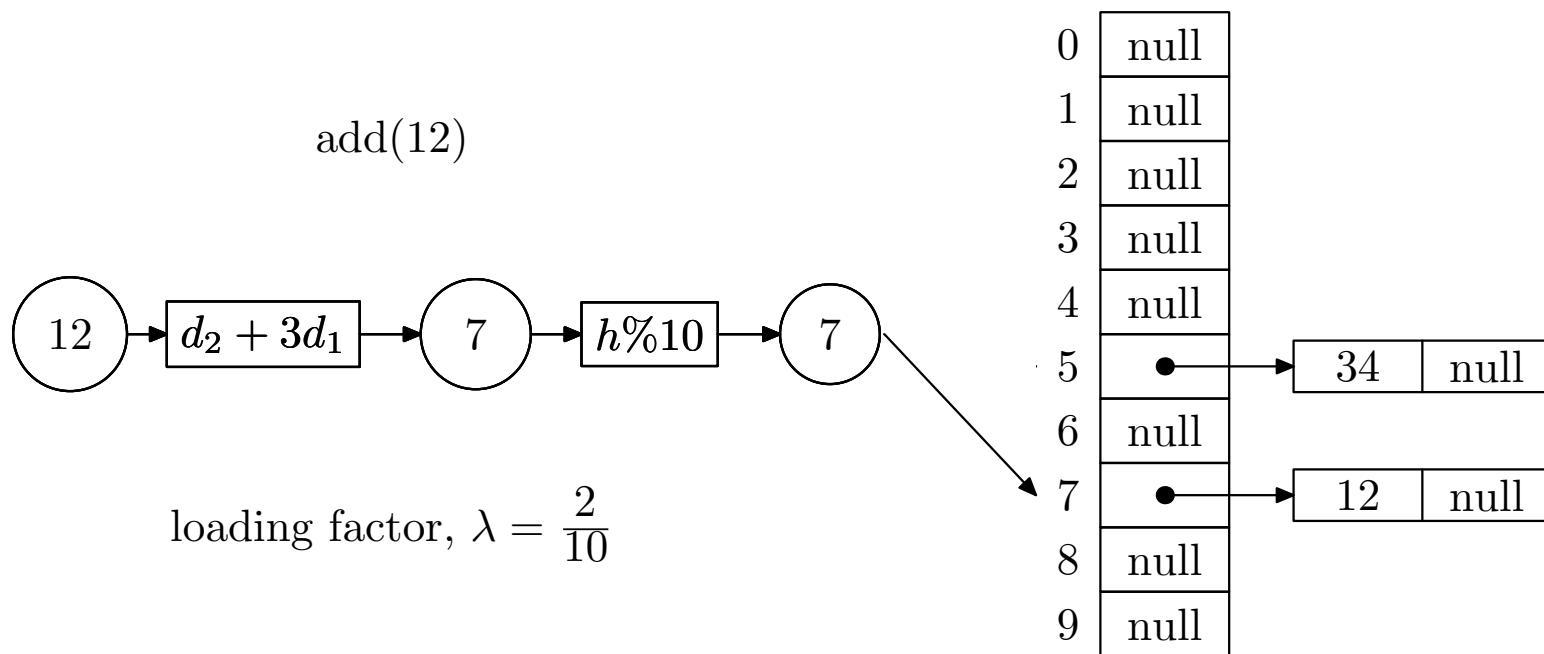
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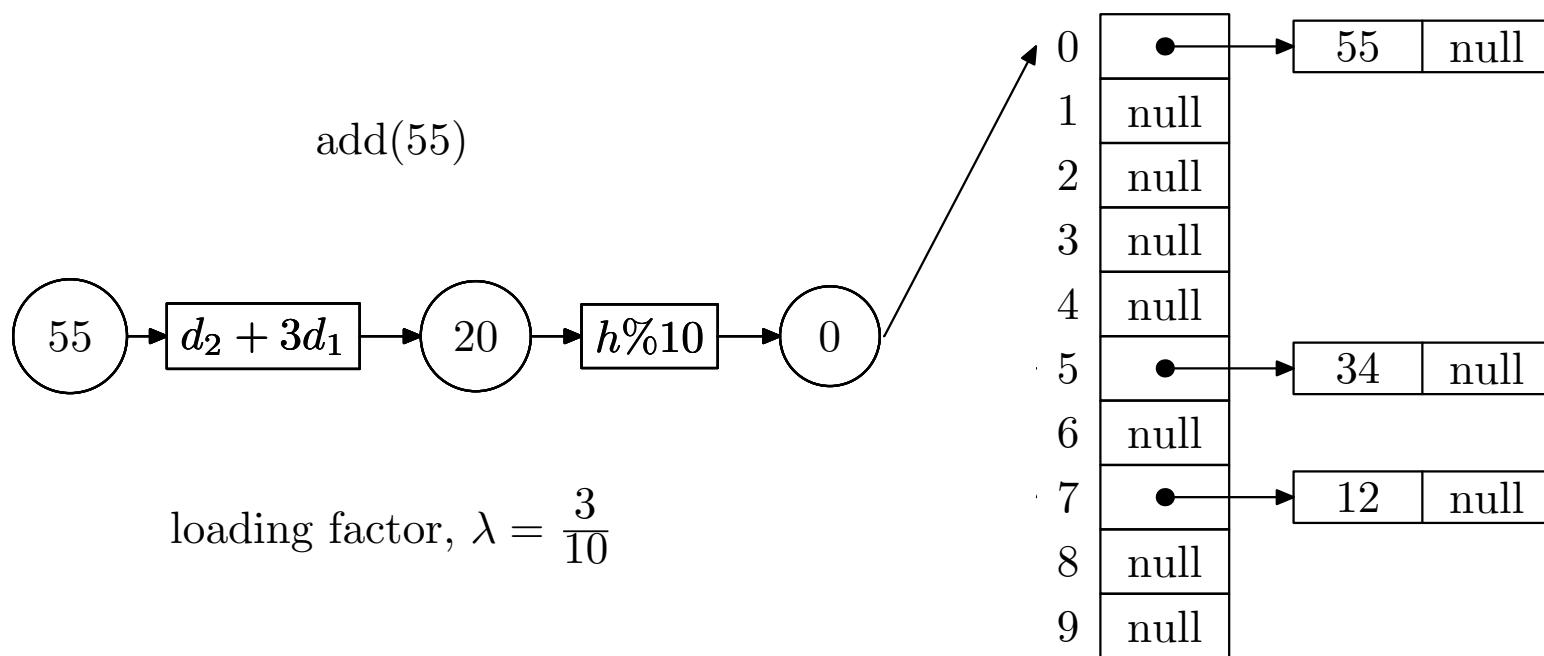
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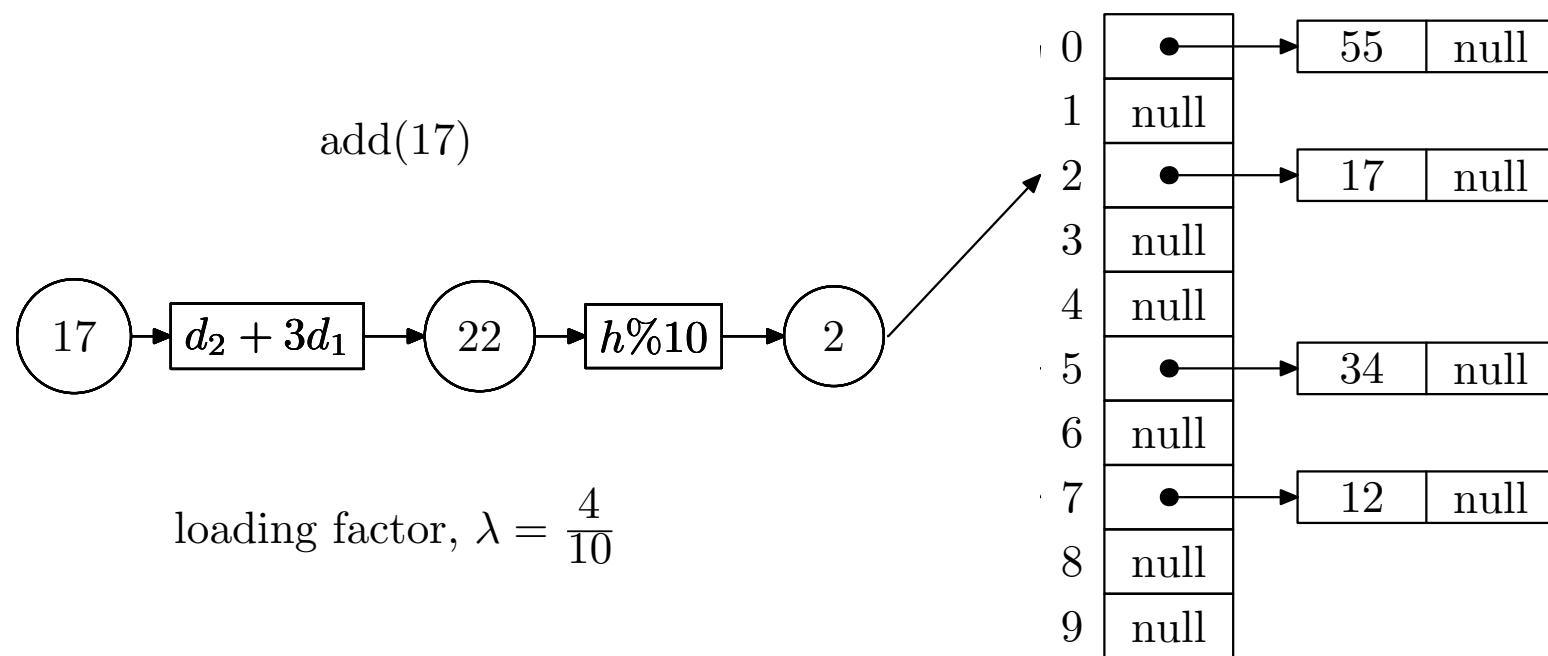
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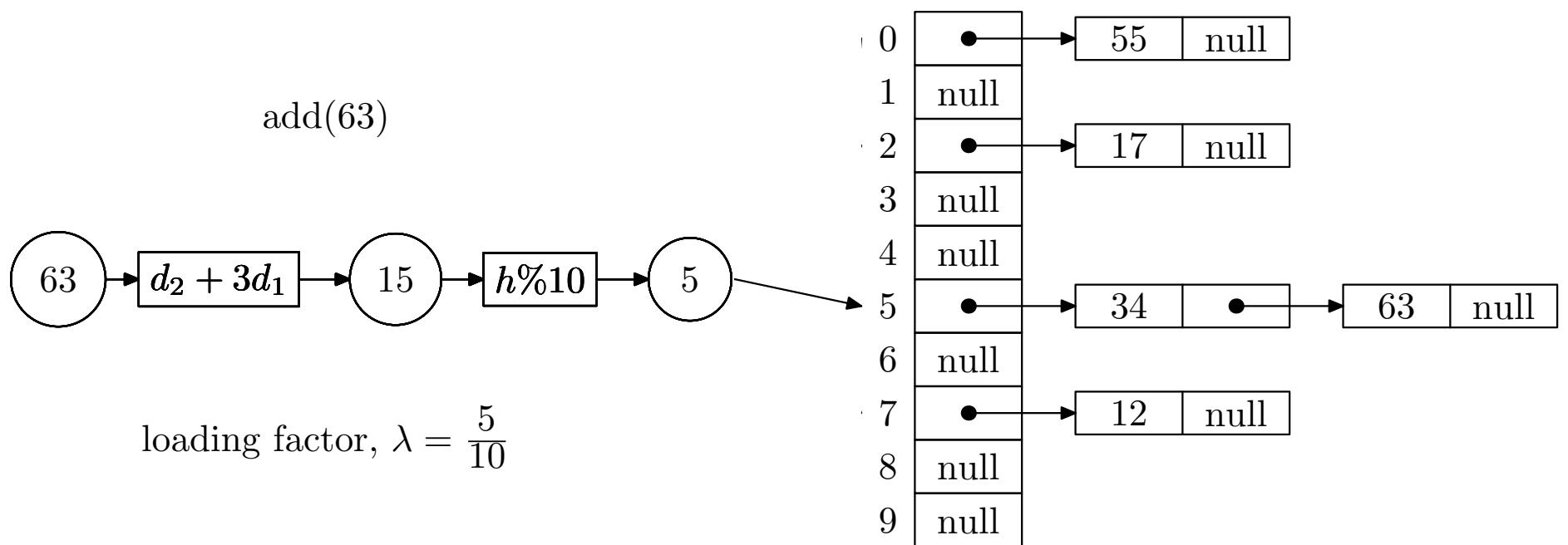
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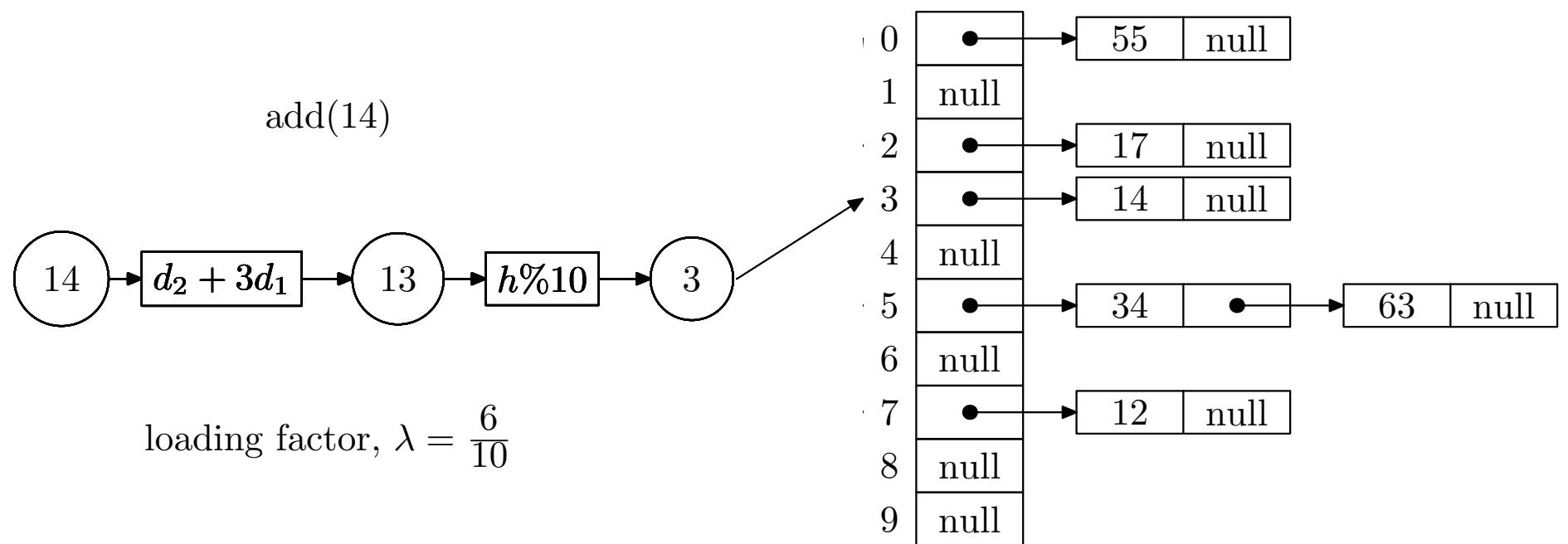
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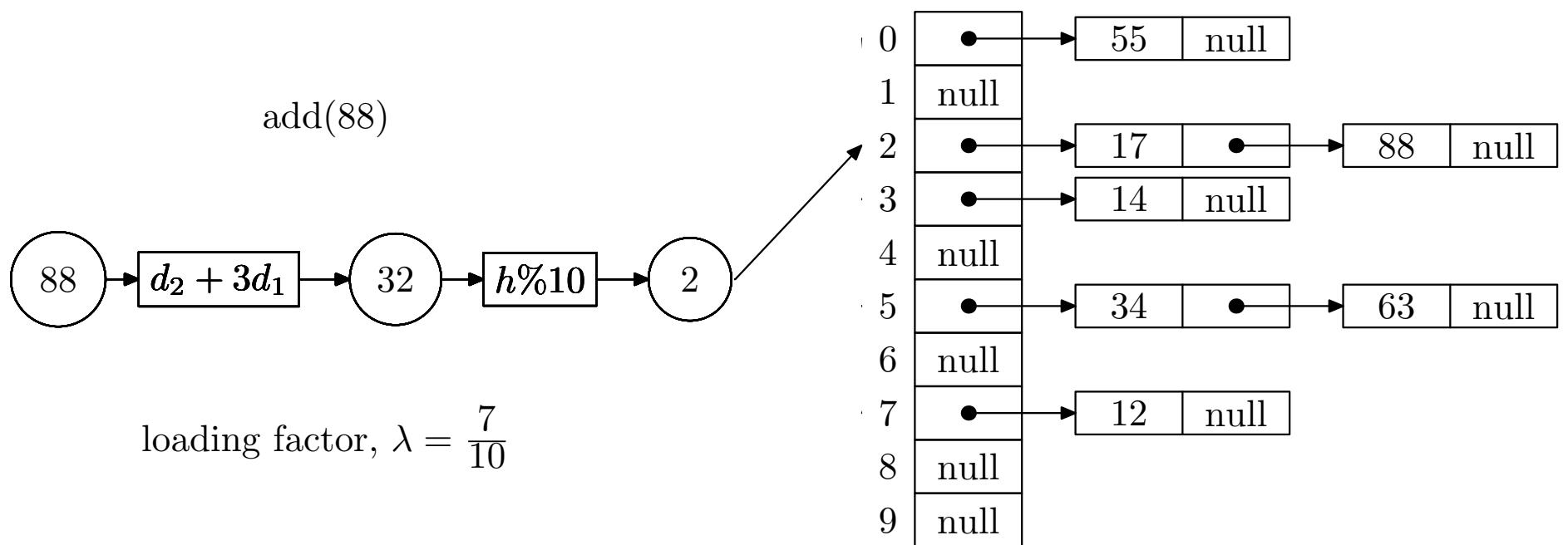
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- The time complexity depends on where objects are hashed
- If the objects are evenly dispersed in the table, search (and insertion) is  $\Omega(1)$
- If the objects are hashed to the same entry in the hash table then search is  $O(n)$
- Provided you have a good hashing function and the hash table isn't too full you can expect  $\Theta(1)$  average case performance

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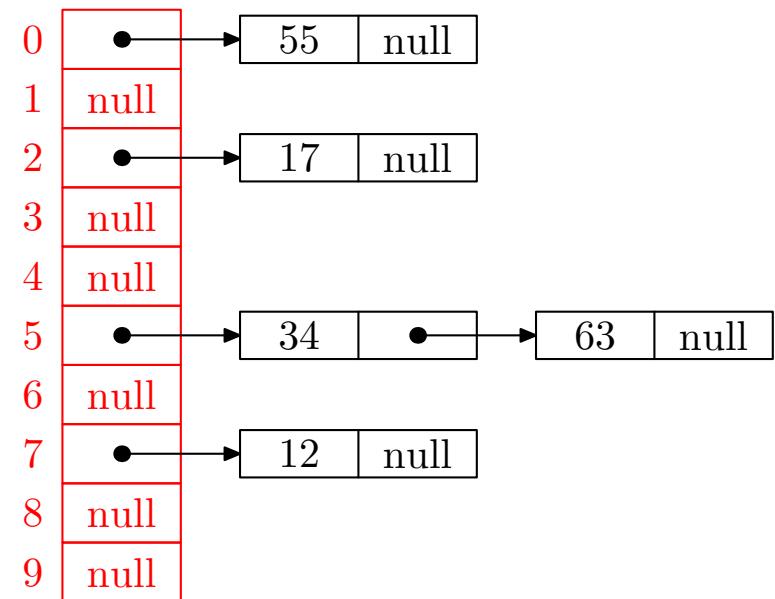
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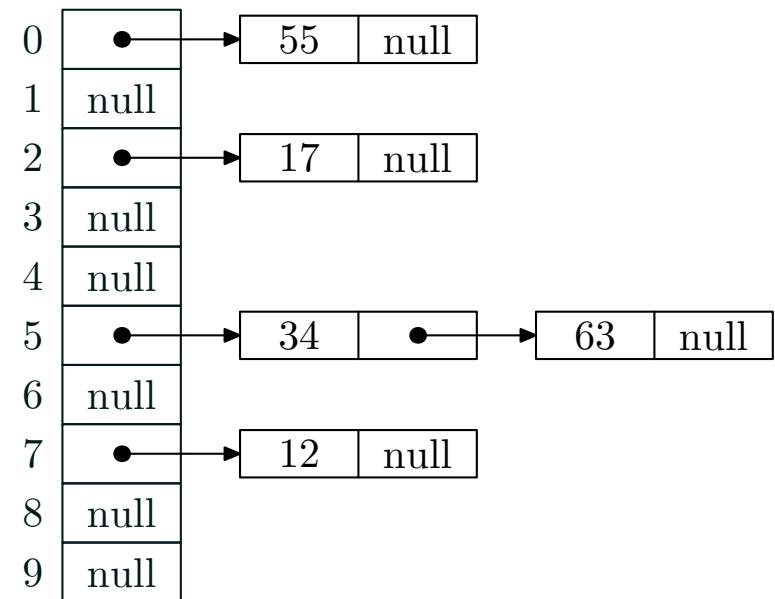
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- This becomes more efficient as the table becomes fuller



55, 17, 34, 63, 12

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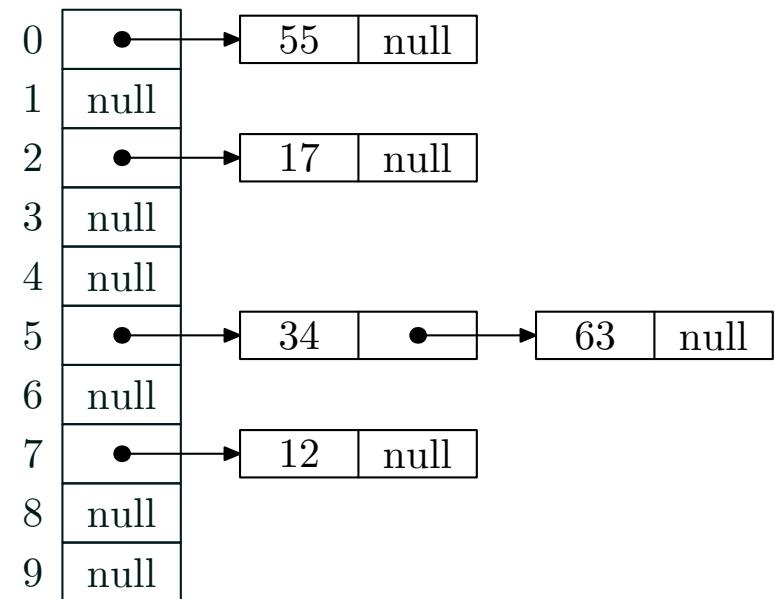
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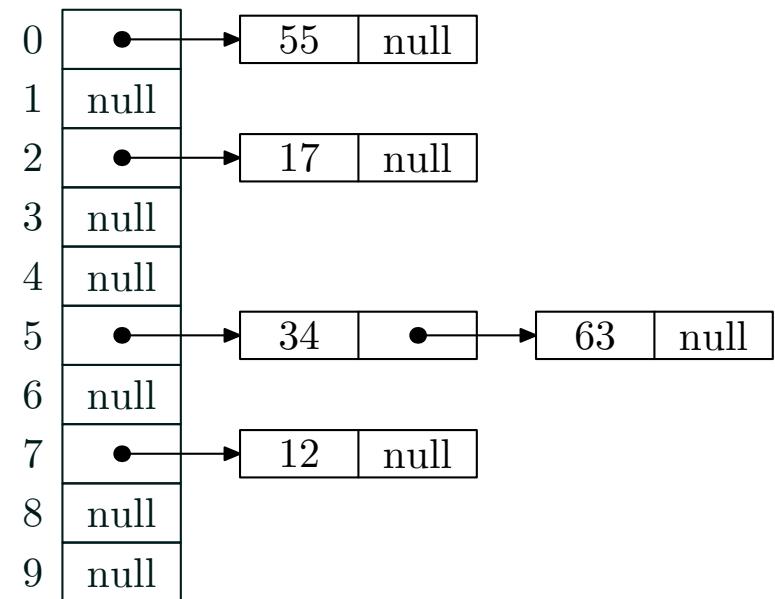
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# Outline

1. Why Hash?
2. Separate Chaining
3. **Open Addressing**
  - Quadratic Probing
  - Double Hashing
4. Hash Set and Map



# Open Addressing

- In open addressing we have a single table of objects (without a linked-list)
- In the case of a collision a new location in the table is found
- The simplest mechanism is known as **linear probing** where we move the entry to the next available location

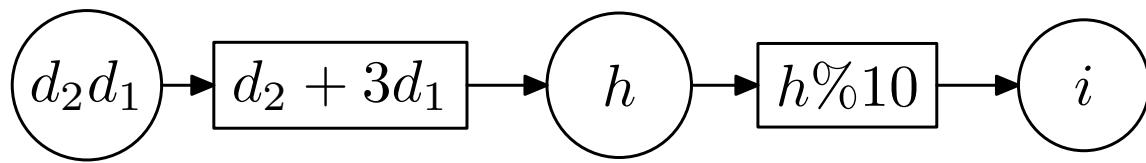
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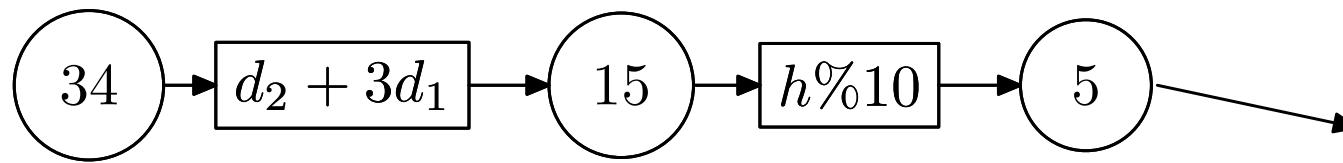


loading factor,  $\lambda = \frac{0}{10}$

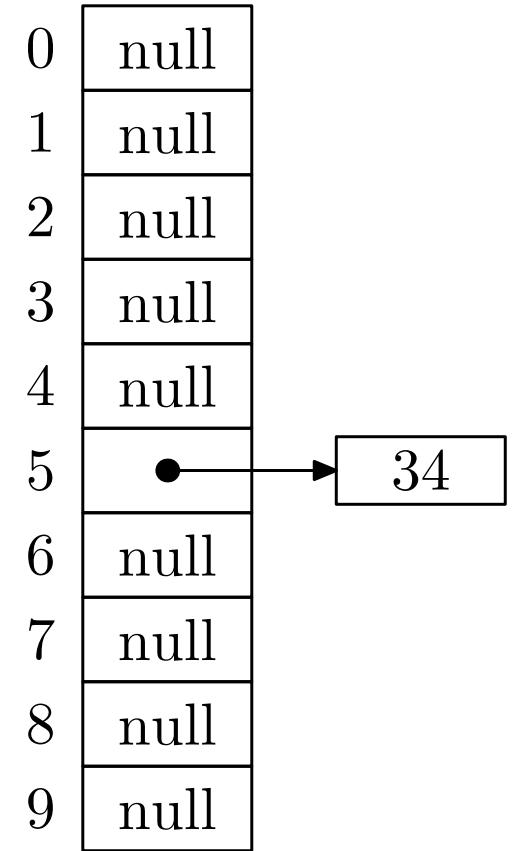
0	null
1	null
2	null
3	null
4	null
5	null
6	null
7	null
8	null
9	null

# Linear Probing

$\text{add}(34)$

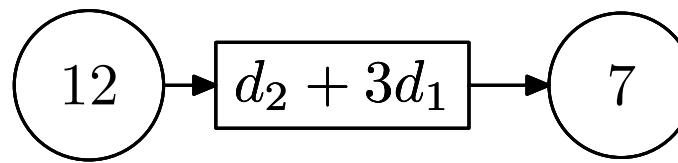


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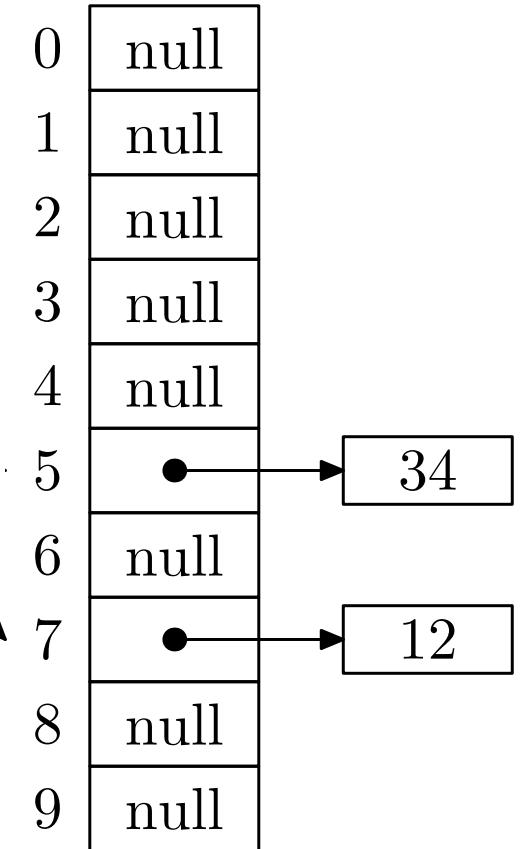


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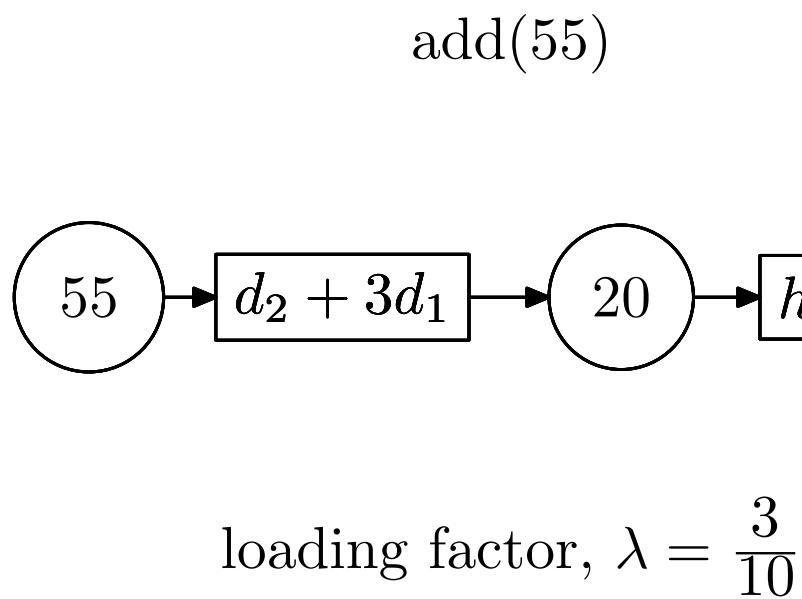
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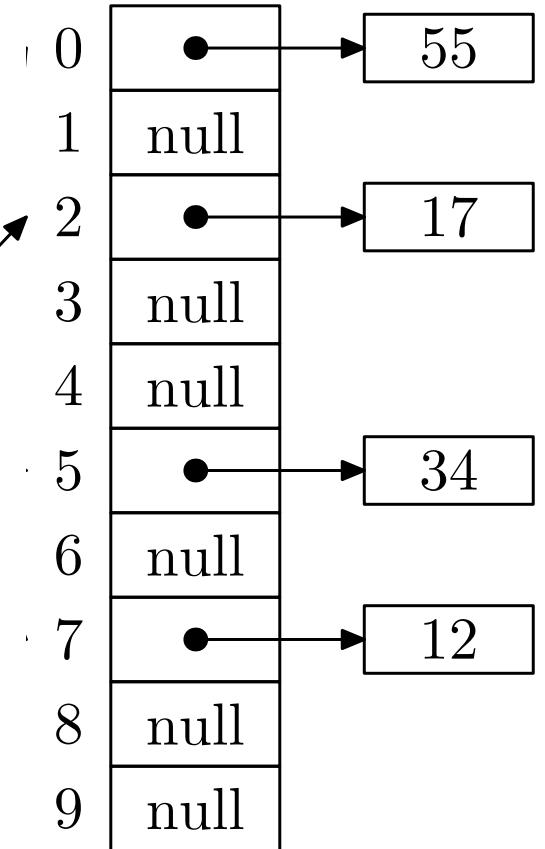
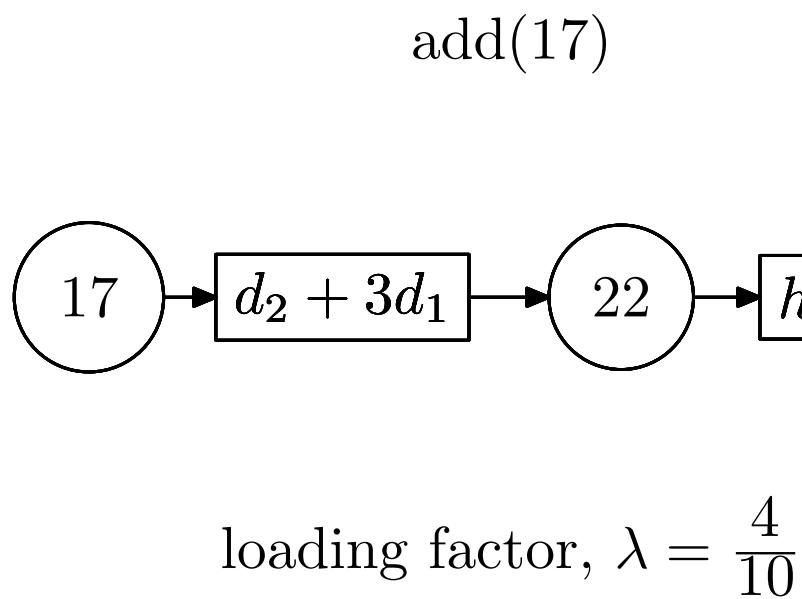
loading factor,  $\lambda = \frac{2}{10}$



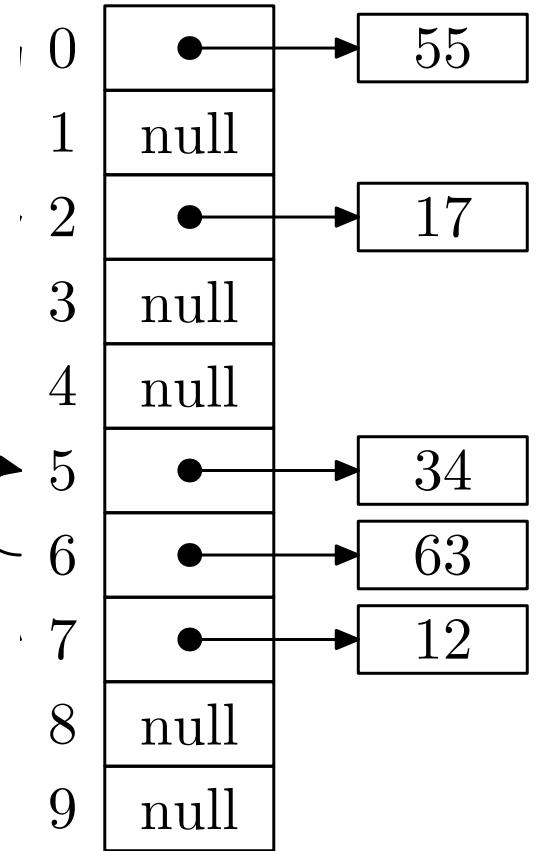
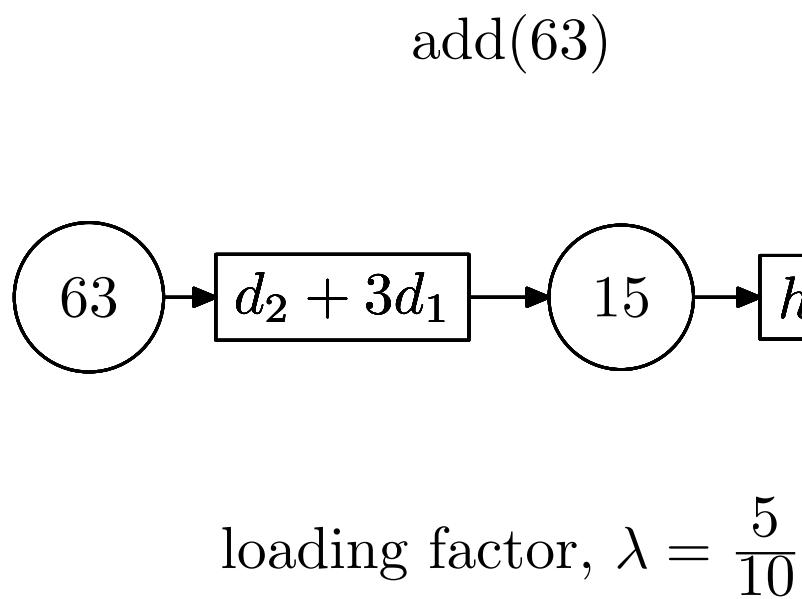
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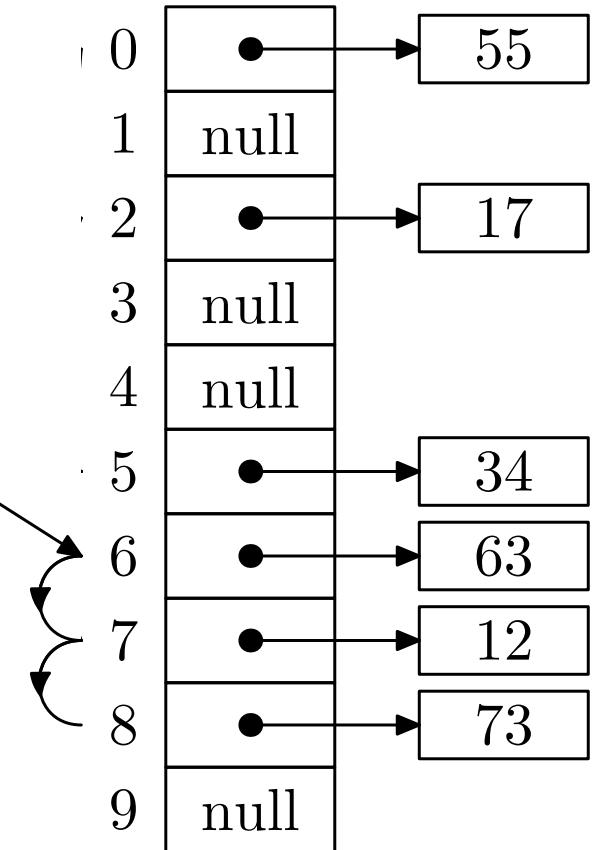
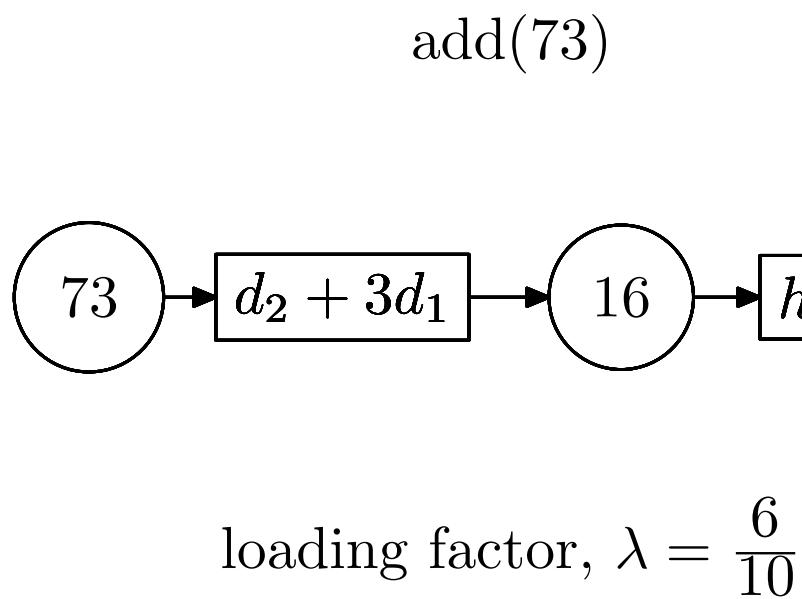
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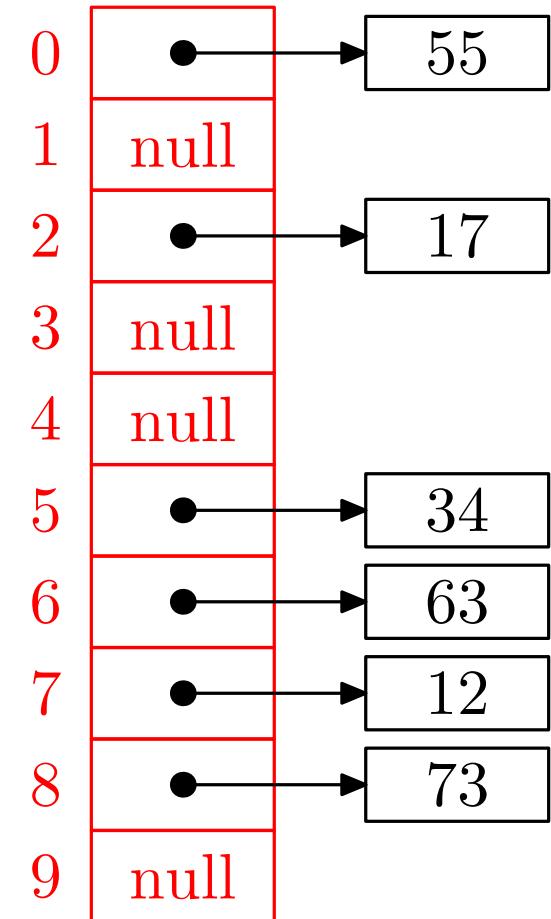


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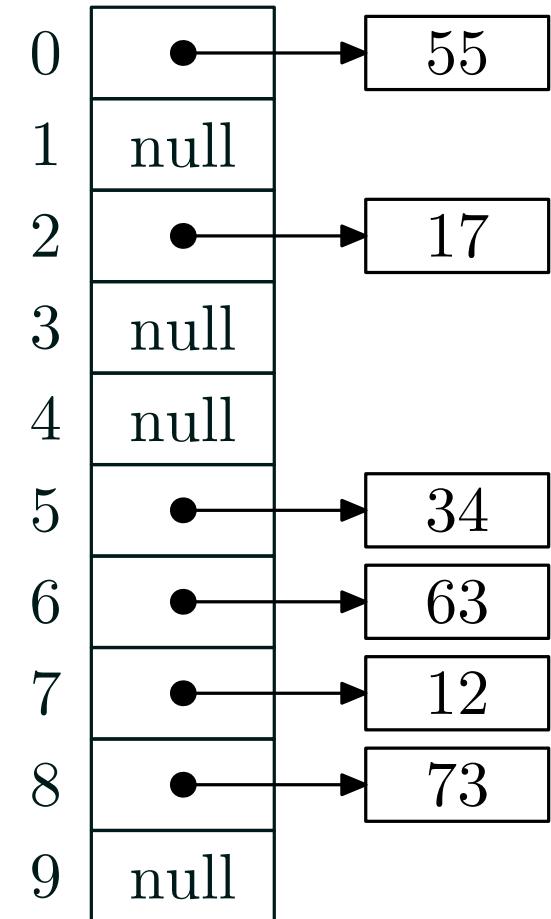
# Linear Probing Pile Up

- The entries will tend to pile up or cluster—this is sometimes referred to as **primary clustering**
- Clusters become worse as the number of entries grow
- Clusters will increase the number of probes needed to find an insert location
- The proportion of full entries in the table is known as the **loading factor**



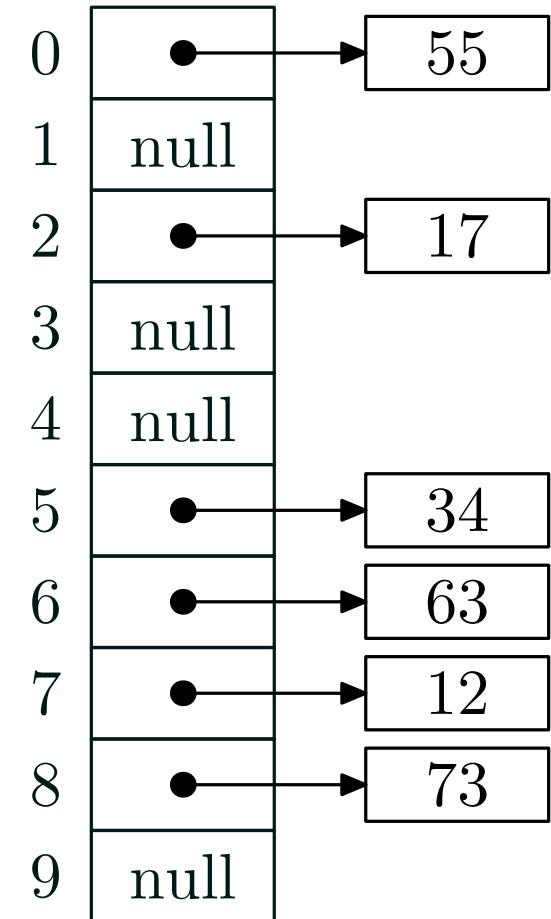
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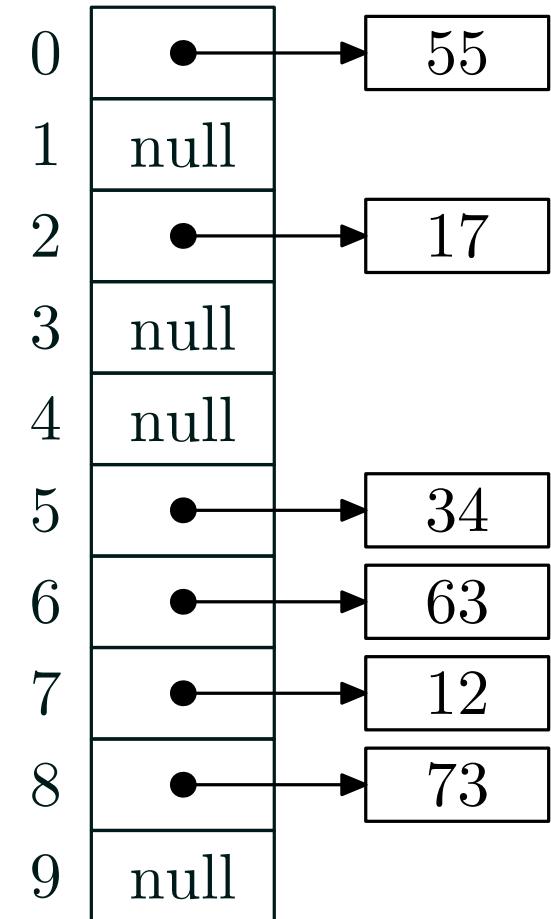
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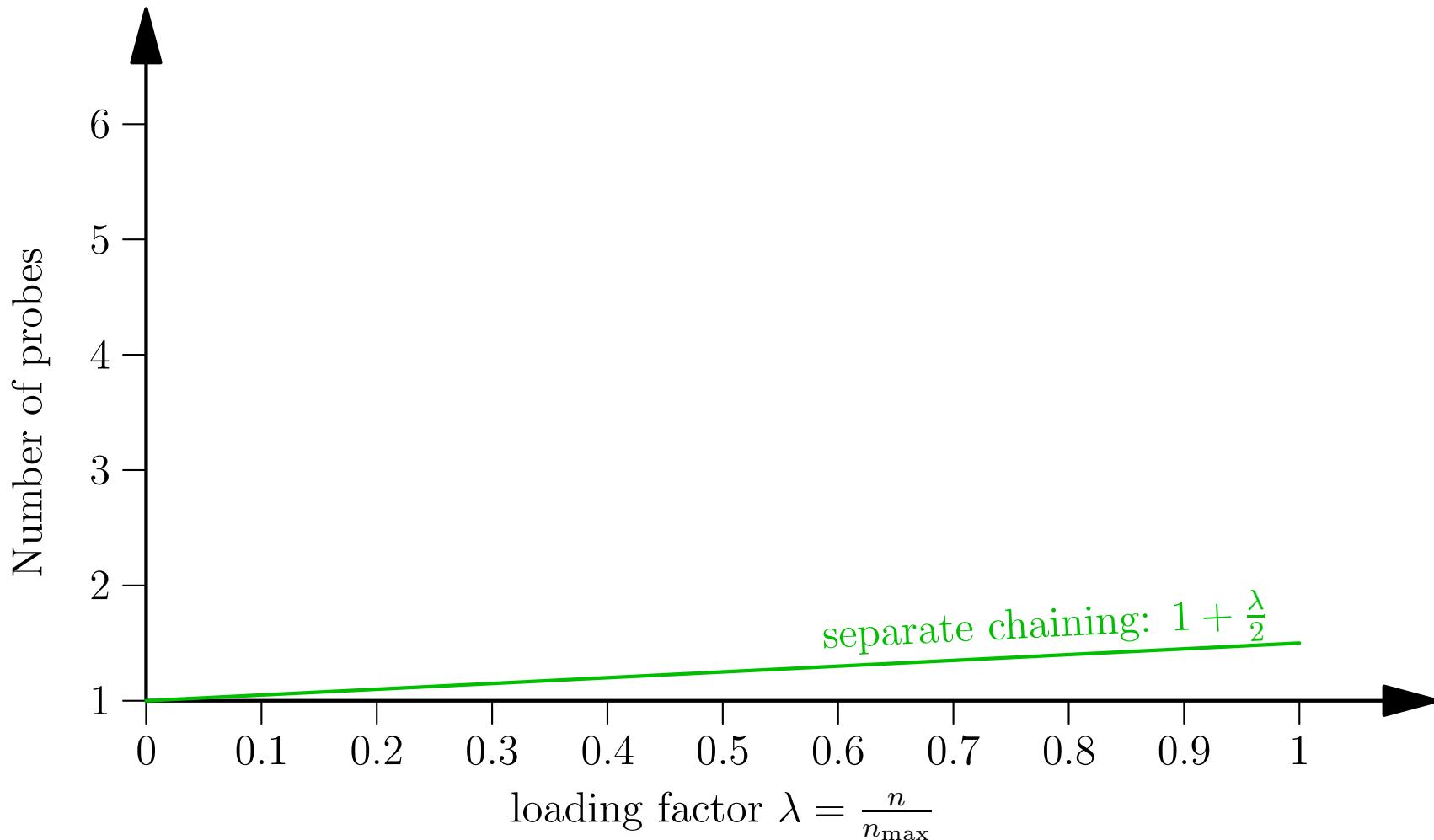


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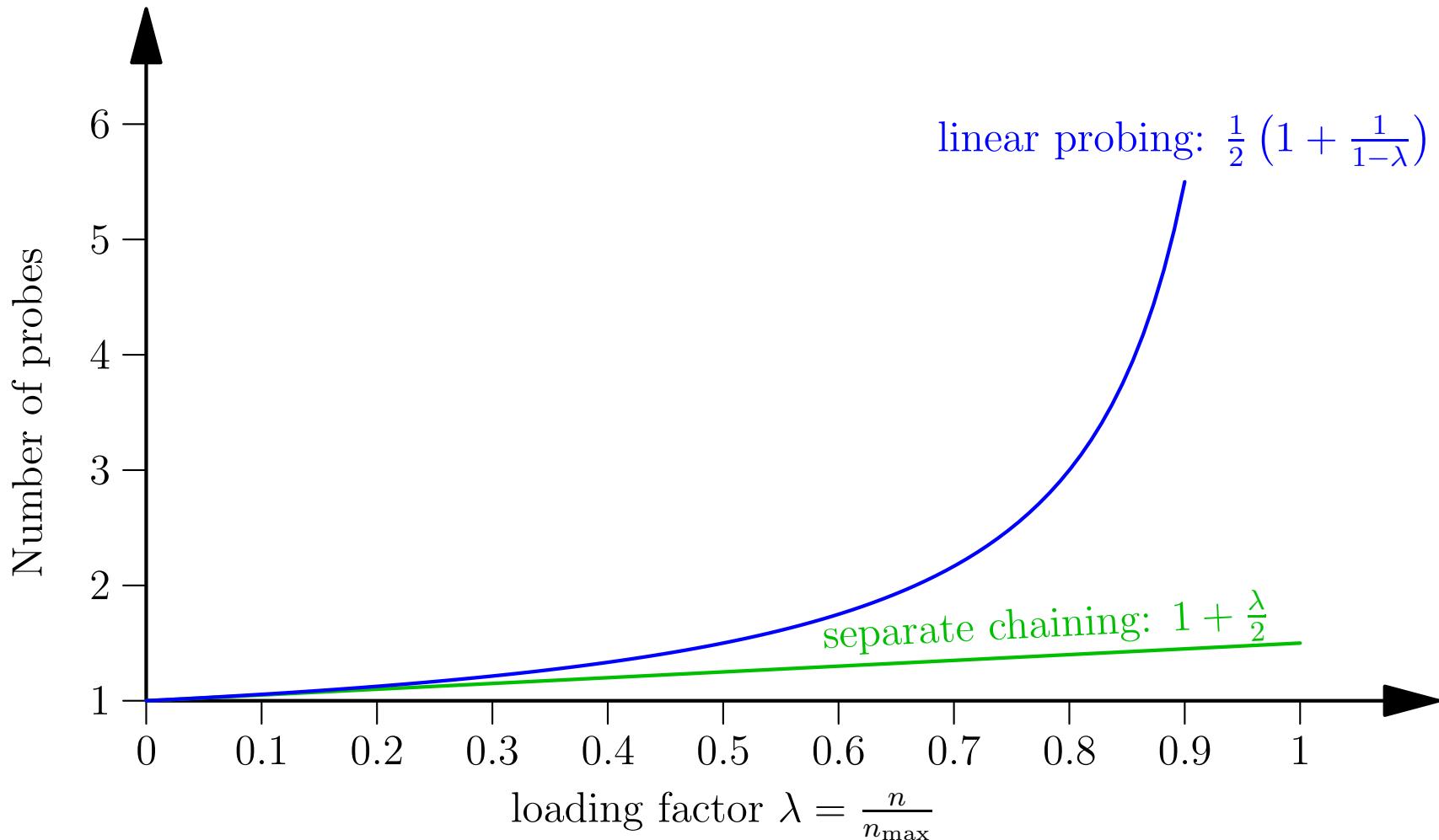
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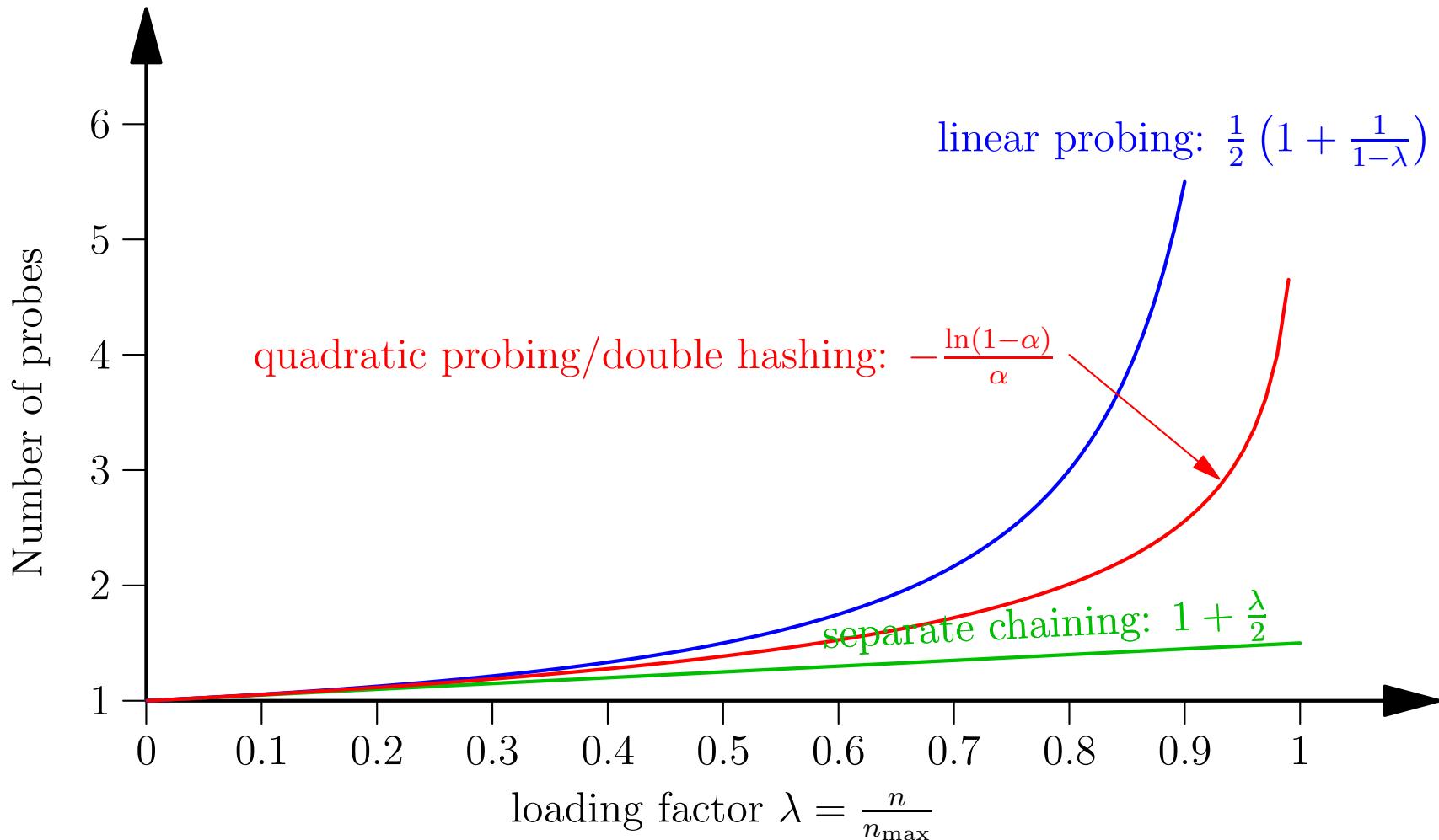
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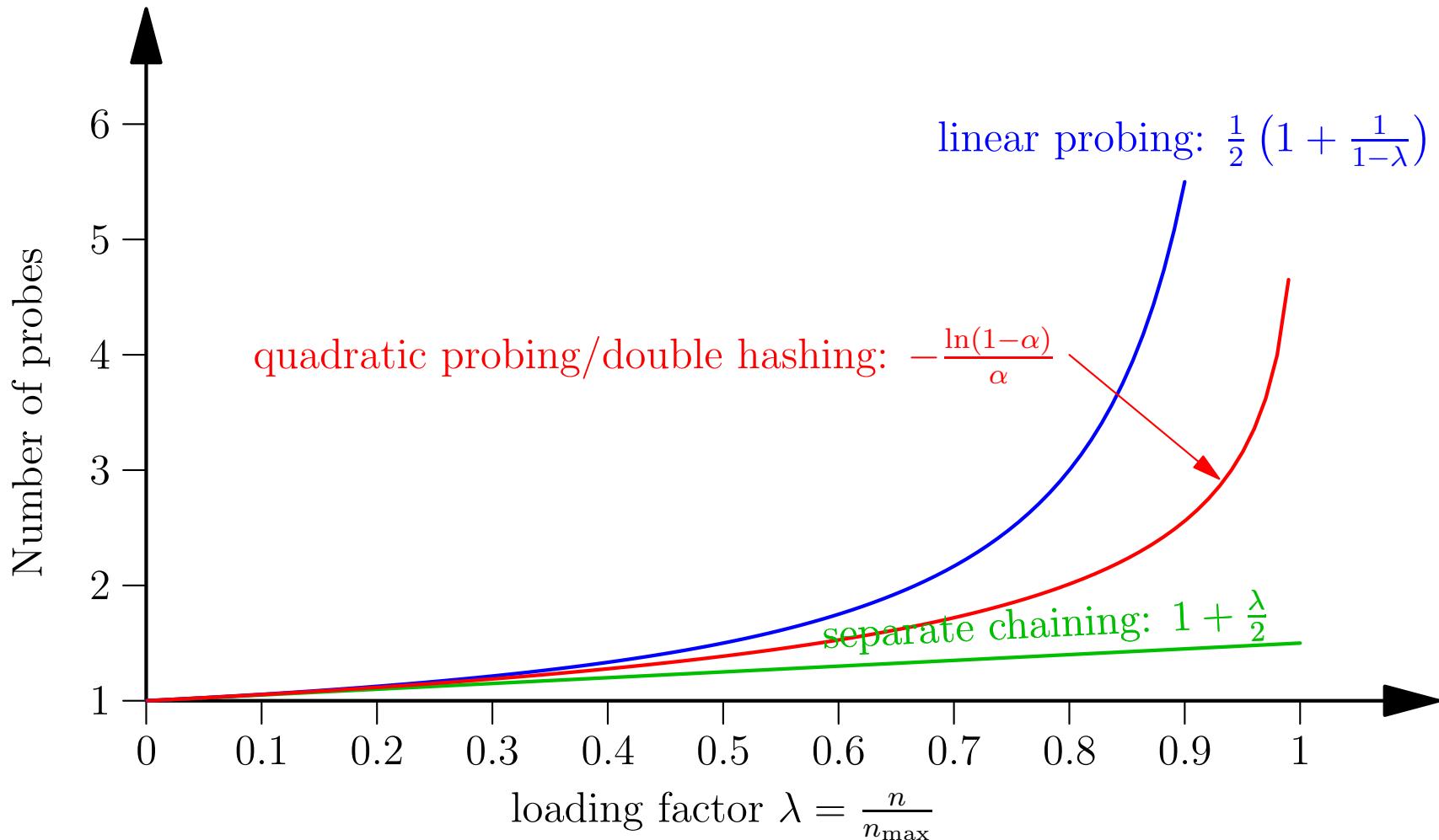
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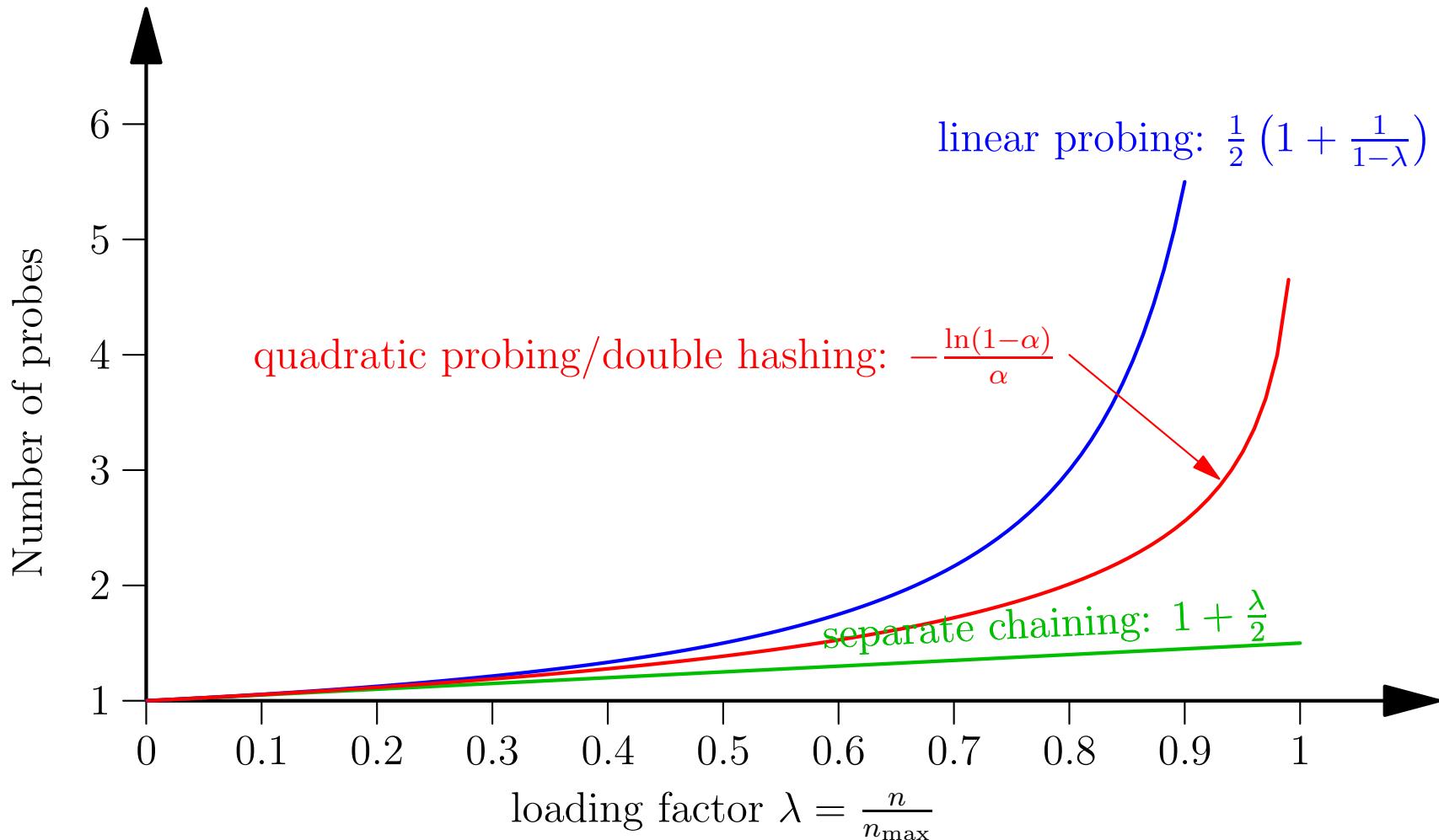


# Reducing Number of Probes



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# Reducing Number of Probes



- To avoid clustering we can use **quadratic probing or double hashing**

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- In quadratic probing we try the locations  $h(x) + d_i$  where  $h(x)$  is the original hash code and  $d_i = i^2$
- That is we takes steps 1, 4, 9, 16, . . .
- Quadratic probing prevents primary clustering so dramatically decreases the number of probes needed to find a free location when the table is reasonably full
- One problem is that if we are unlucky we might not be able to add an element to the hash table even if the table isn't full
- However, if the size of the table is prime then quadratic probing will always find a free position provided it is not more than half full

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# Double Hashing

- An alternative strategy is known as double hashing where the locations tried are  $h(x) + d_i$  where  $d_i = i \times h_2(x)$
- $h_2(x)$  is a second hash function that depends on the key
- A good choice is  $h_2(x) = R - (x \bmod R)$  where  $R$  is a prime smaller than the table size
- It is important that  $h_2(x)$  is not a divisor of the table size
  - ★ Either make sure the table size is prime or
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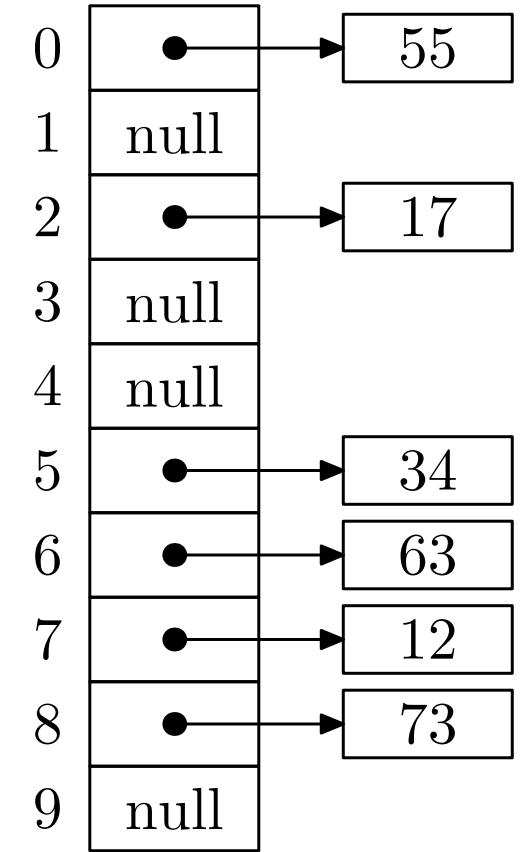
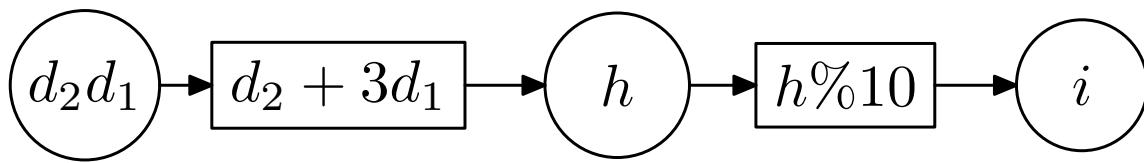
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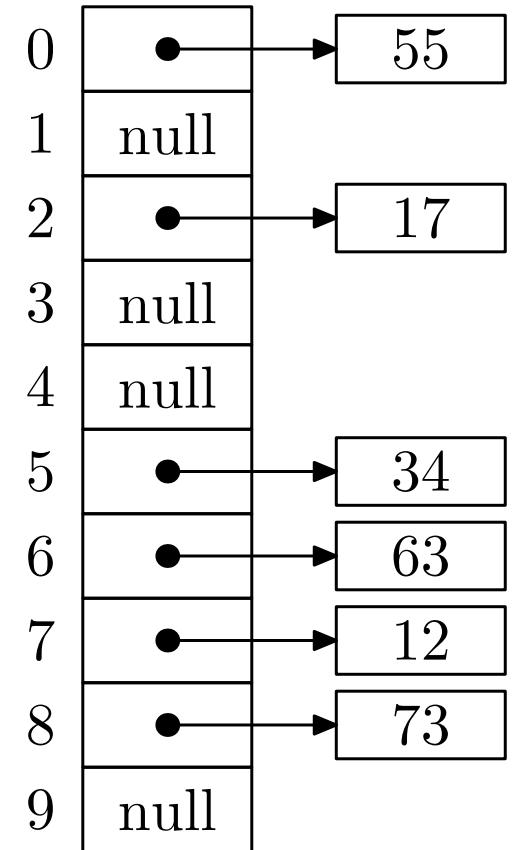
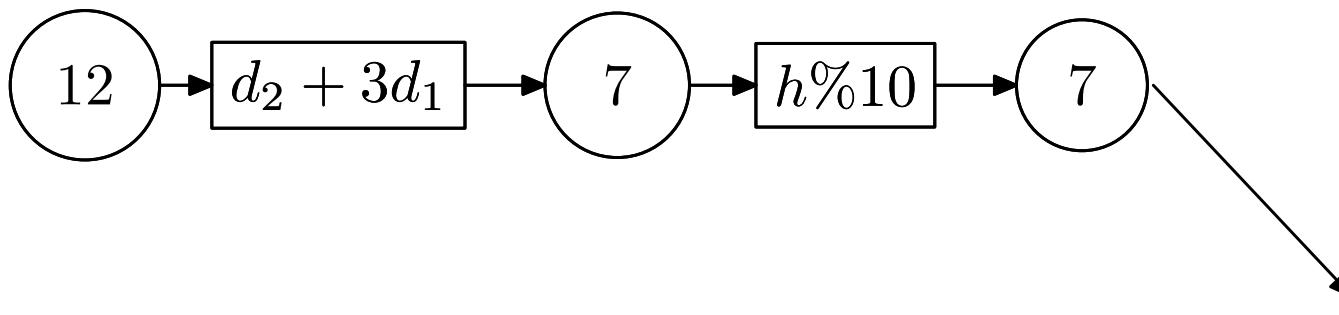
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# Linear Probing Example



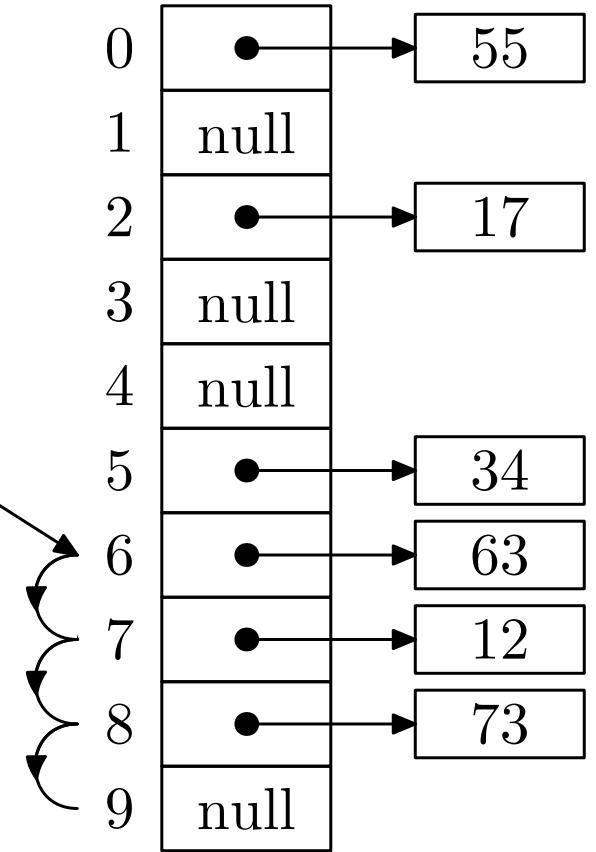
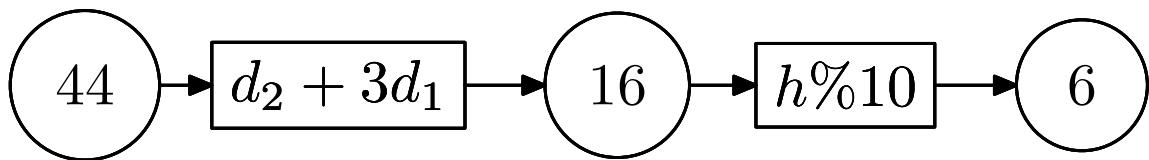
# Linear Probing Example

$\text{find}(12) \rightarrow \text{true}$



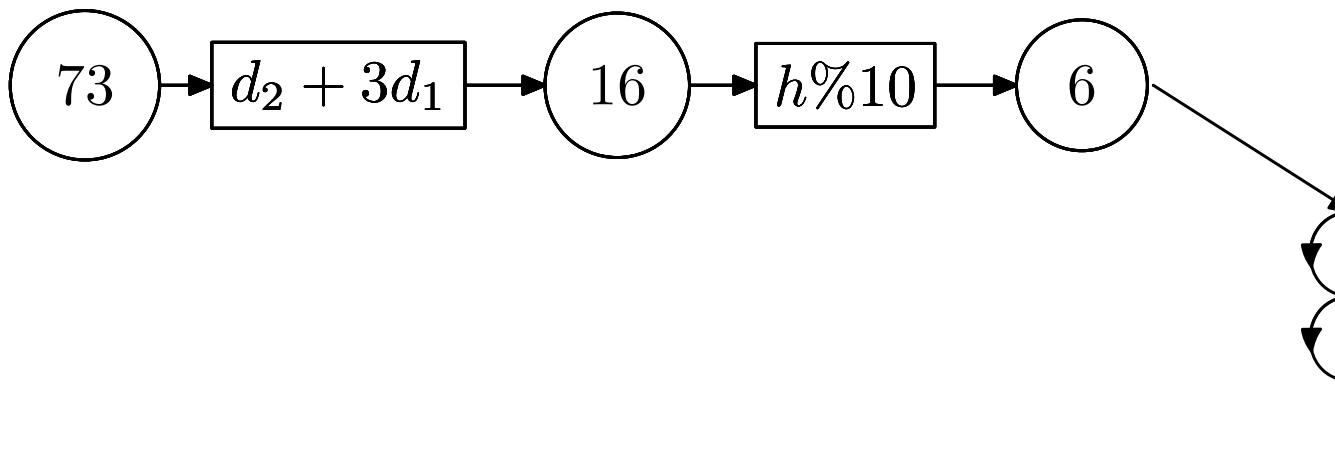
# Linear Probing Example

$\text{find}(44) \rightarrow \text{fail}$



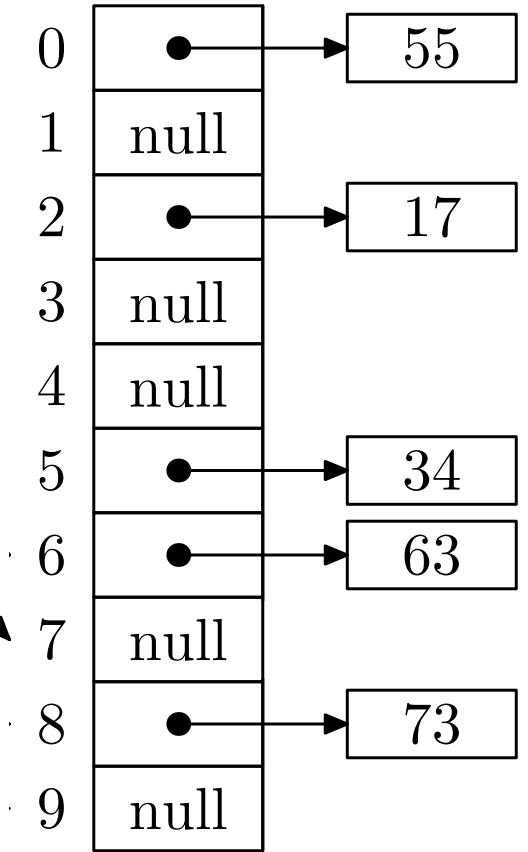
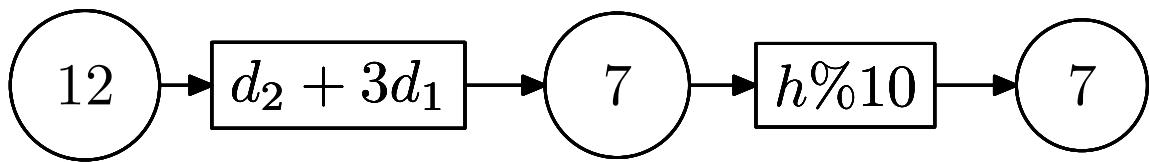
# Linear Probing Example

$\text{find}(73) \rightarrow \text{true}$



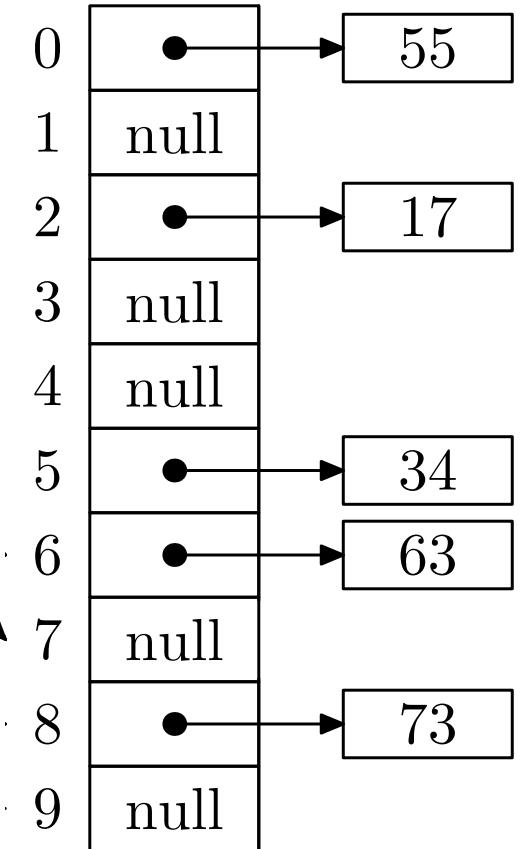
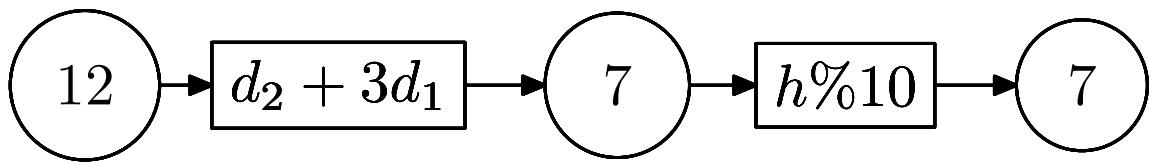
# Linear Probing Example

$\text{delete}(12) \rightarrow \text{true}$



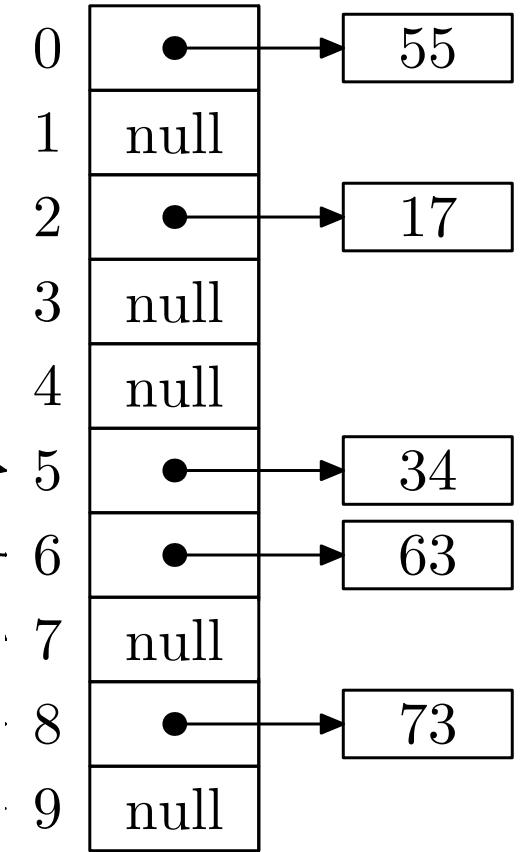
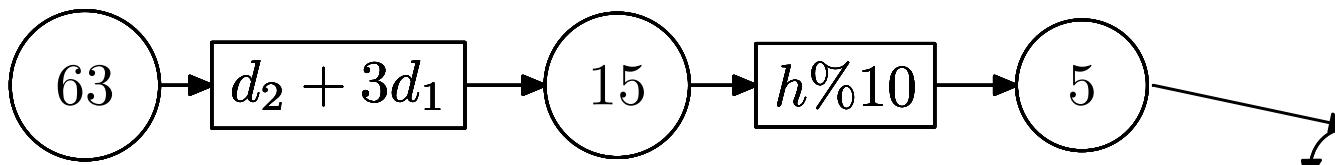
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$\text{find}(12) \rightarrow \text{fail}$



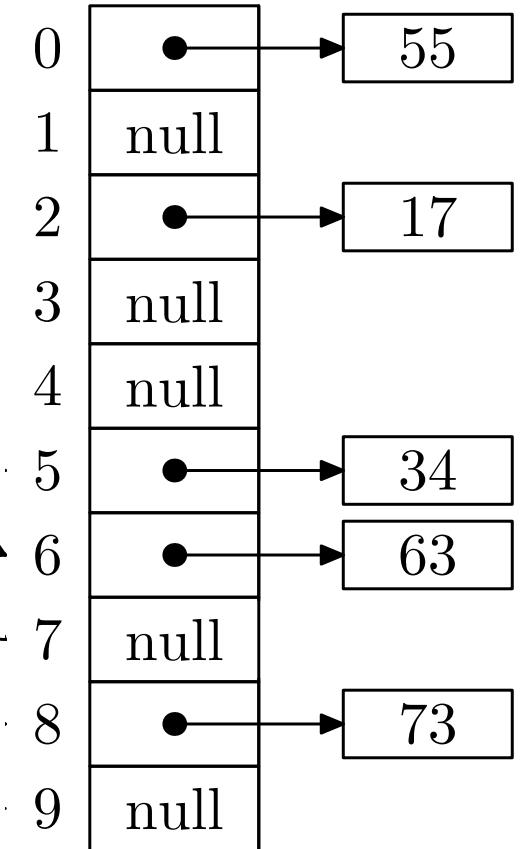
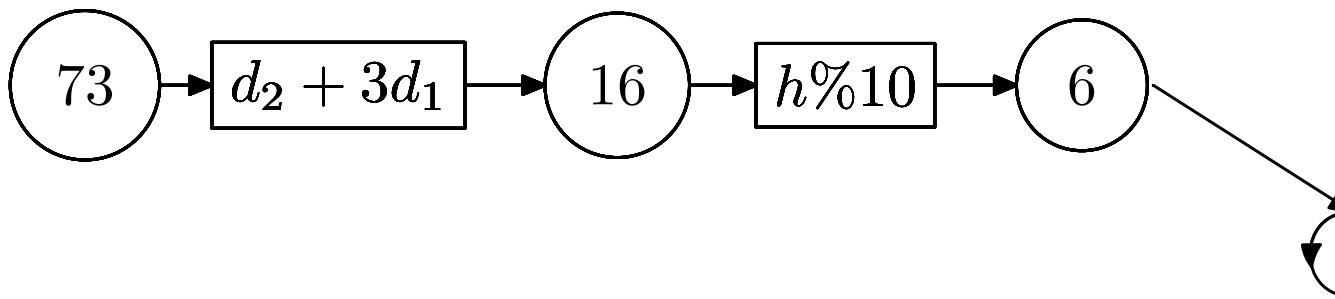
# Linear Probing Example

$\text{find}(63) \rightarrow \text{true}$



# Linear Probing Example

$\text{find}(73) \rightarrow \text{fail}$



# Lazy Remove

- One easy fix is to mark the deleted table with a special entry
- A find method would consider this entry as full
- An iterator would ignore this entry
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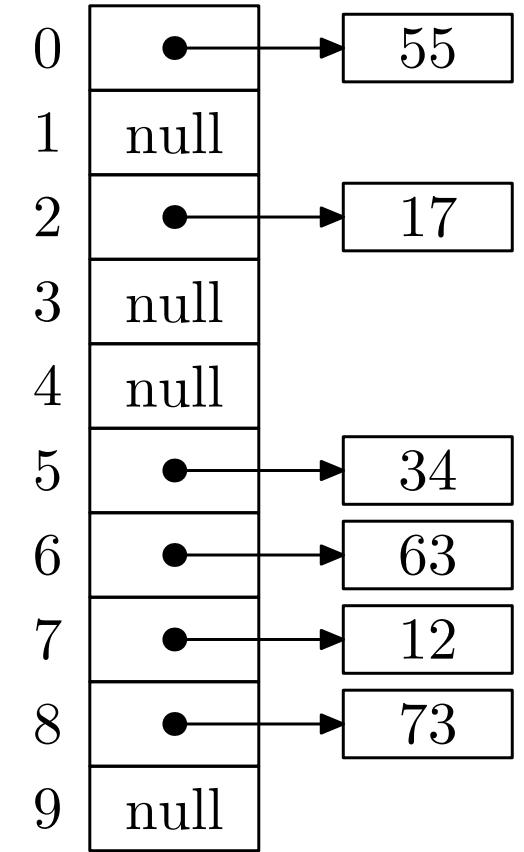
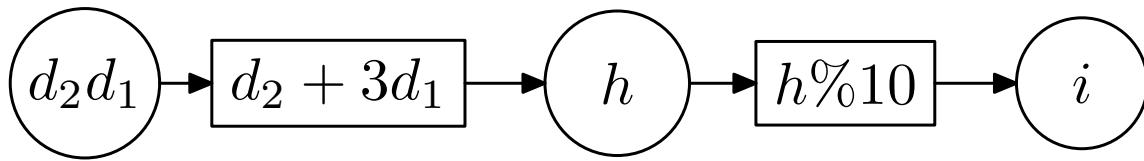
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- An insert operator could insert a new entry in these special locations

# Lazy Remove

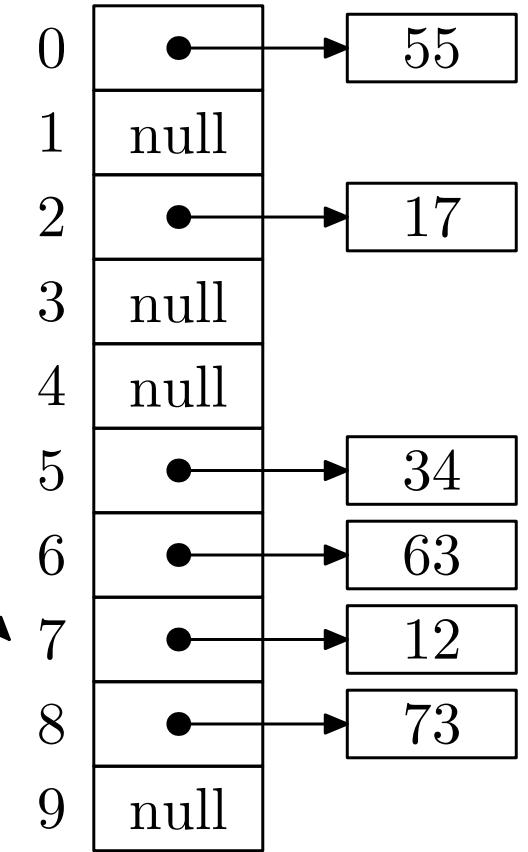
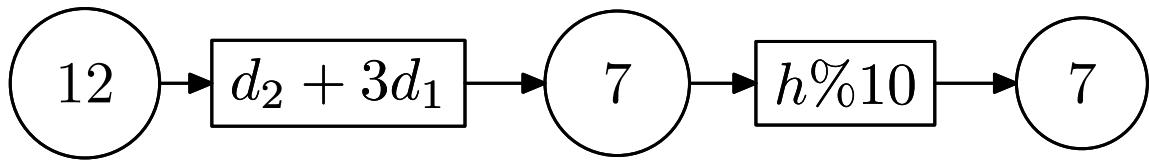
- One easy fix is to mark the deleted table with a special entry
- A find method would consider this entry as full
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# Lazy Remove in Action



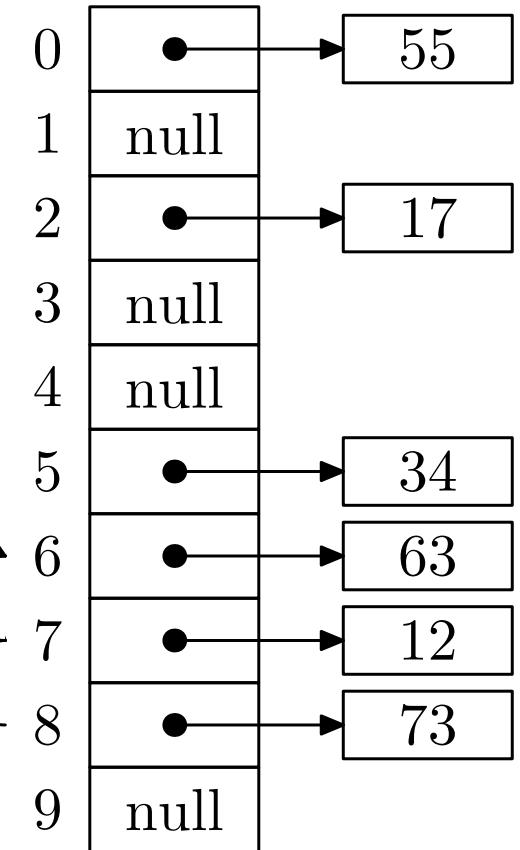
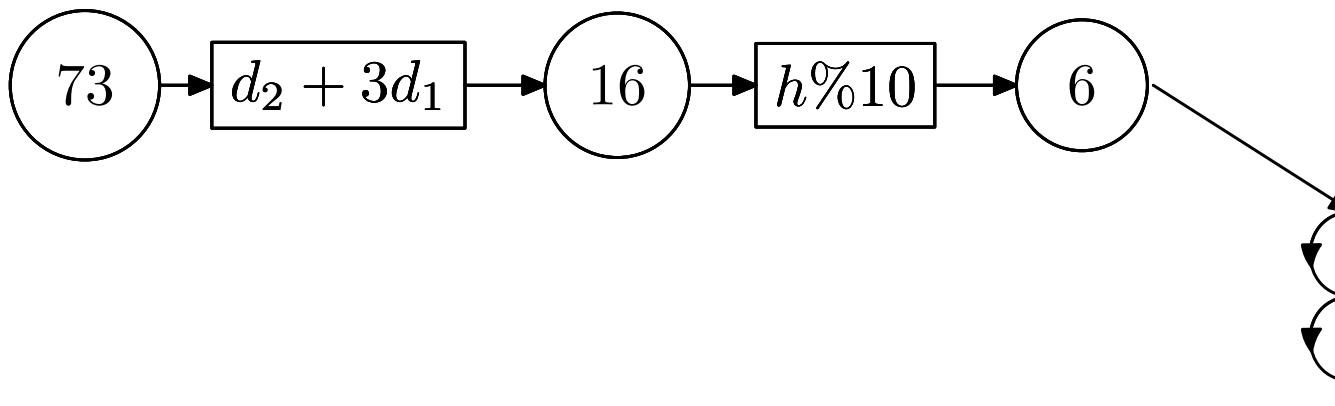
# Lazy Remove in Action

$\text{find}(12) \rightarrow \text{true}$



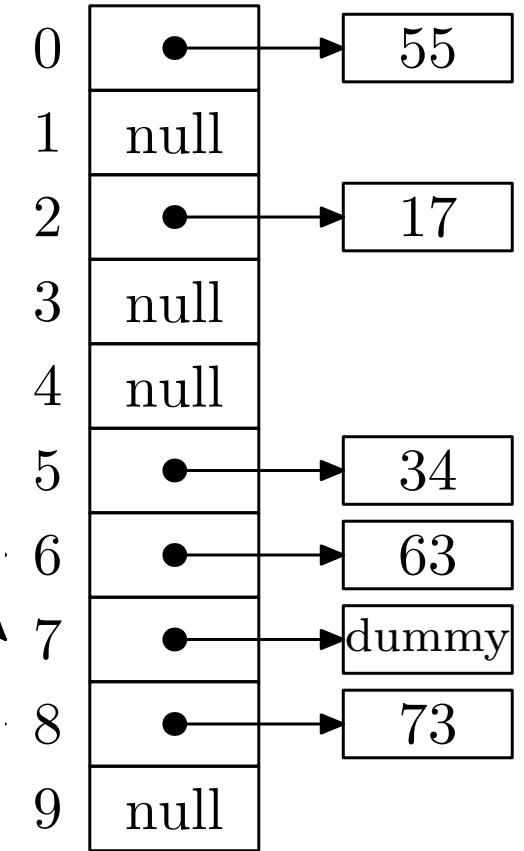
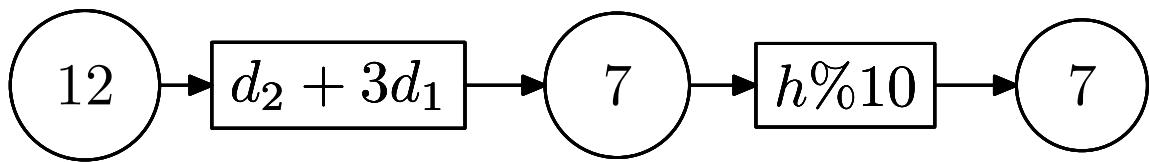
# Lazy Remove in Action

$\text{find}(73) \rightarrow \text{true}$



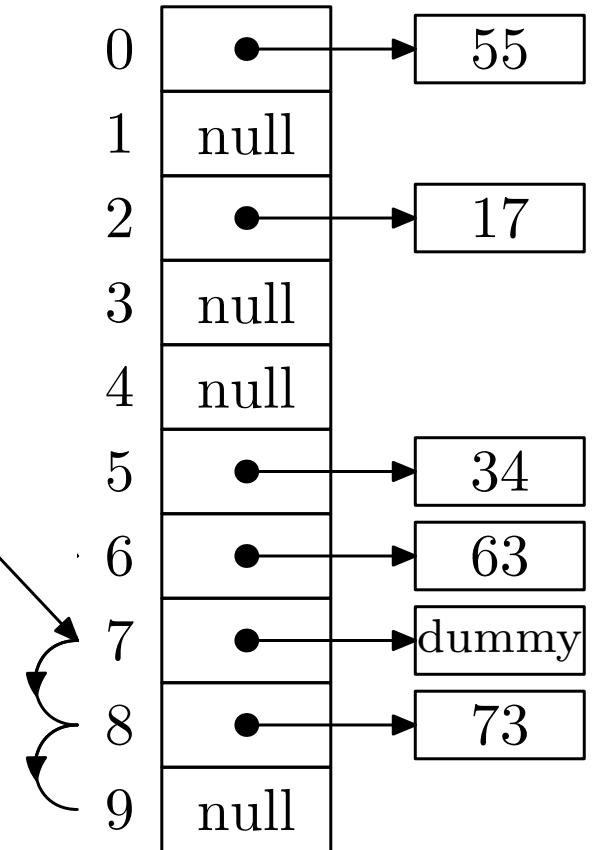
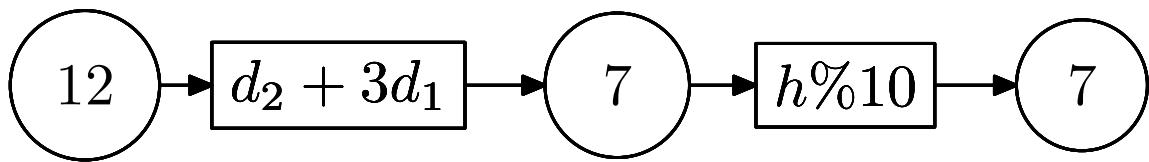
# Lazy Remove in Action

$\text{delete}(12) \rightarrow \text{true}$



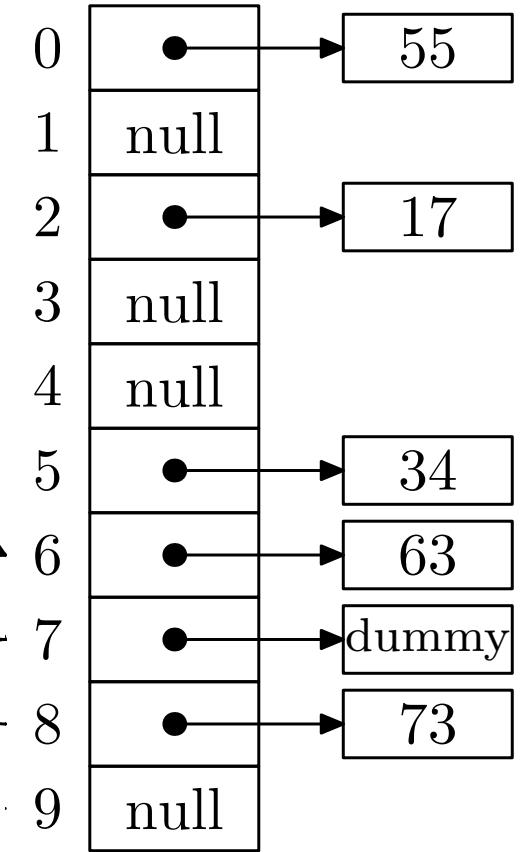
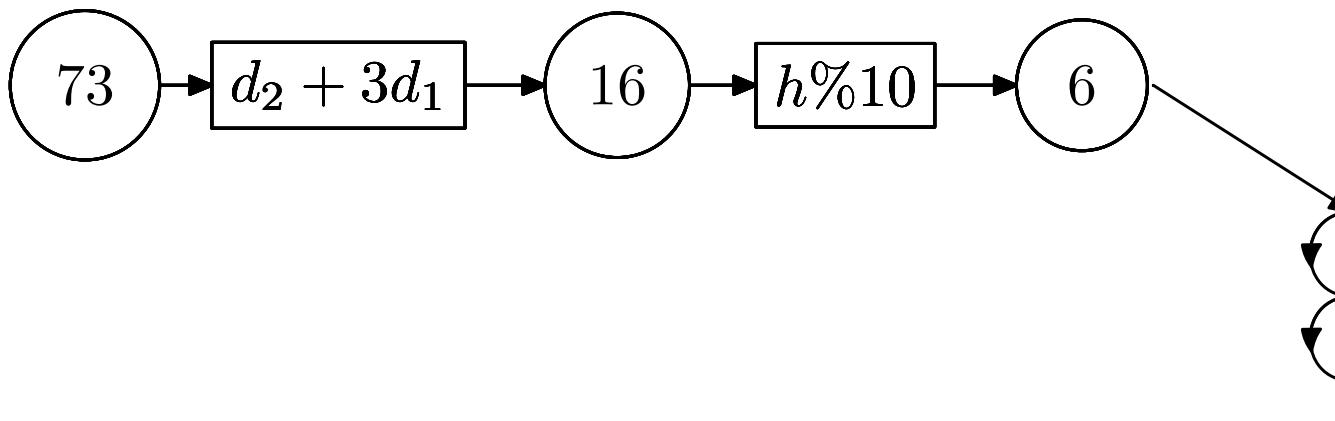
# Lazy Remove in Action

$\text{find}(12) \rightarrow \text{fail}$



# Lazy Remove in Action

$\text{find}(73) \rightarrow \text{true}$



# Outline

1. Why Hash?
2. Separate Chaining
3. Open Addressing
  - Quadratic Probing
  - Double Hashing
4. **Hash Set and Map**



# What Strategy to Use?

- Most libraries including the STL (and the Java Collection class) use separate chaining
- This has the advantage that its performance does not degrade badly as the number of entries increase
- This reduces the need to resize the hash table
- The C++ standard did not include a hash table until C++11 ☹—although very good hash tables existed in C++

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# Applications

- Hash tables are used everywhere
- E.g. most databases use hash tables to speed up search
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- Hash tables are one of the most useful tools you have available
- They aren't particularly difficult to understand, but you need to know about
  - ★ hashing functions
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  - ★ performance (i.e. when they work)

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