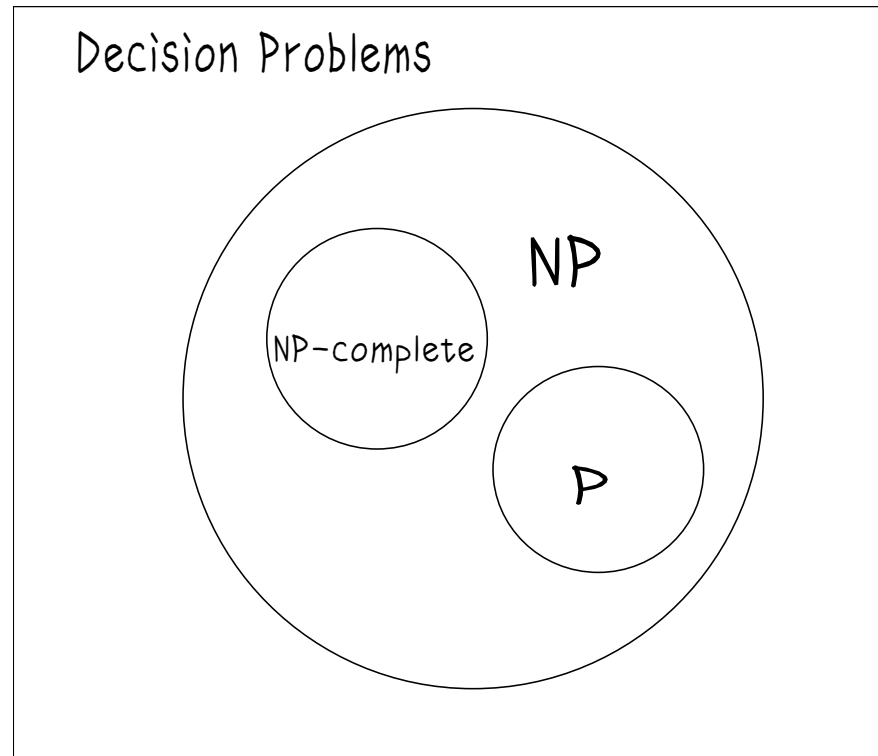


Algorithms and Analysis

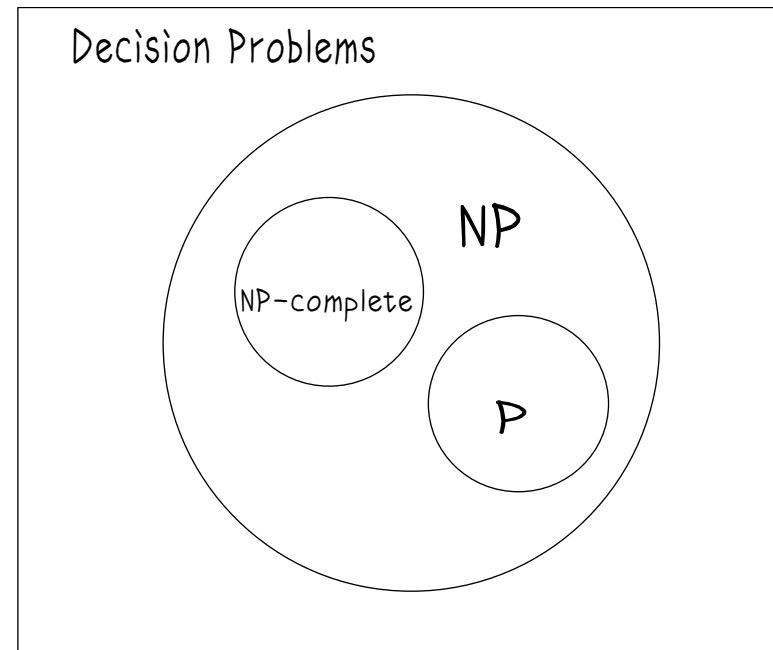
Lesson 24: *Know What's Possible*



Combinatorial optimisation, NP-completeness, polynomial reduction

Outline

1. **Motivation**
2. P, NP and NP-complete
3. Polynomial Reduction



Exponentially Large Search Spaces

- We have seen a large number of decision problems and optimisation problems involving an exponentially large search space
- For some of these we have found efficient algorithms (greedy algorithms, divide and conquer, dynamic programming, . . .)
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Types of Problems

- We concentrate here on two types of problems
 - ★ Decision Problems
 - ★ Combinatorial Optimisation Problems
- Decision problems are problems with a true/false answer, e.g. is it possible to cross all the bridges of Königsberg once?
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SAT

- Given n Boolean variables $X_i \in \{T, F\}$
- m disjunctive (or's) clauses, e.g.

$$c_1 = X_1 \vee \neg X_2 \vee X_3$$

$$c_2 = \neg X_2 \vee X_3 \vee X_5$$

$$\vdots \quad \quad \vdots$$

$$c_m = X_2 \vee \neg X_4 \vee \neg X_5$$

- Find an assignment, $\mathbf{X} \in \{T, F\}^n$ which satisfies all the clauses
- We can view this as finding an assignment that makes the formula $f(\mathbf{X})$ true where

$$f(\mathbf{X}) = c_1 \wedge c_2 \wedge \cdots \wedge c_m$$

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- E.g. the MAX-SAT problem is to find an assignment of variables that satisfies the most clauses
- If we can solve the MAX-SAT optimisation problem we can solve the decision problem
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- In the set of discrete optimisation problems an important class are those that involve *combinatorial objects* such as permutations, binary string, etc.
- Optimisation problems involving such objects are termed **combinatorial optimisation problems**
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 - ★ Graph colouring
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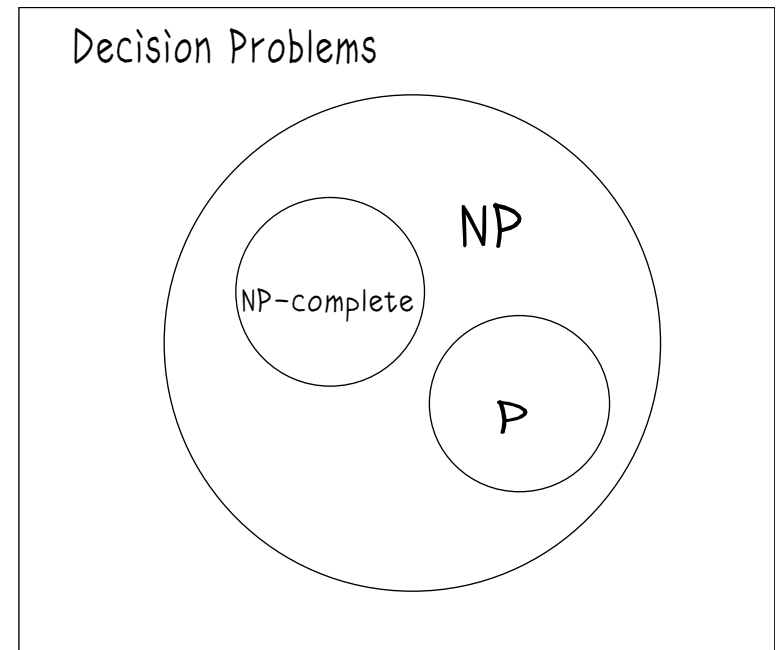
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- Answer:
- However, no one has discovered such an algorithm and if they do it will have huge implications
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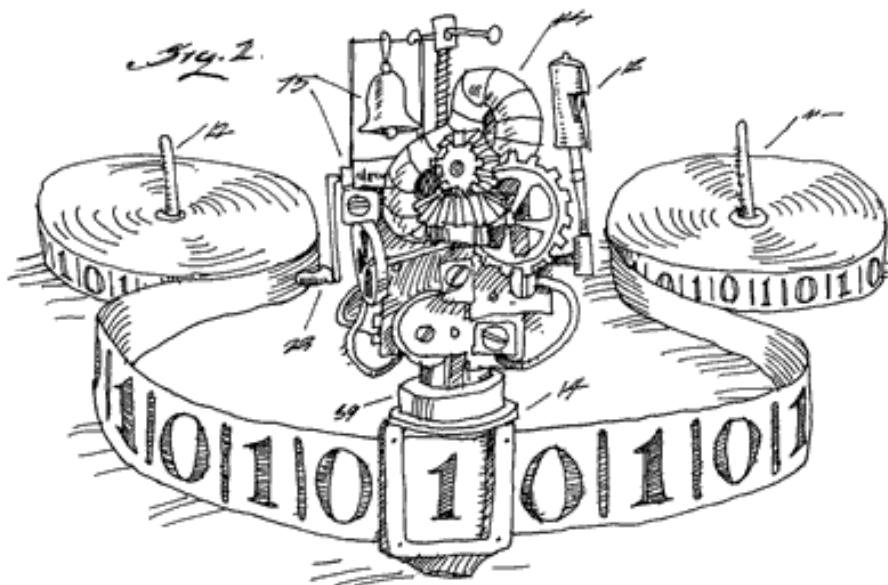
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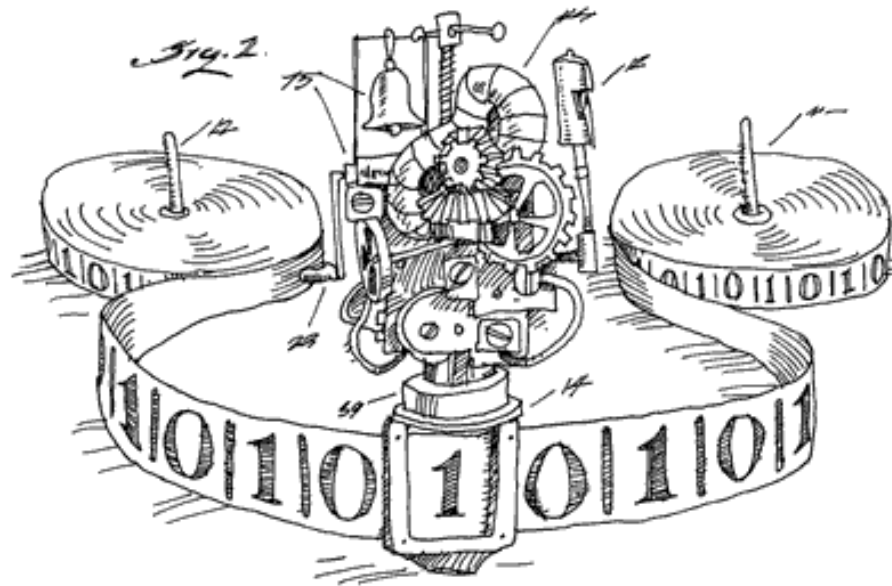
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- The evolution of the state and tape was represented by a big tableau ($n^k \times n^k$ -table where n^k is the time it takes for the Turing machine to verify the answer)
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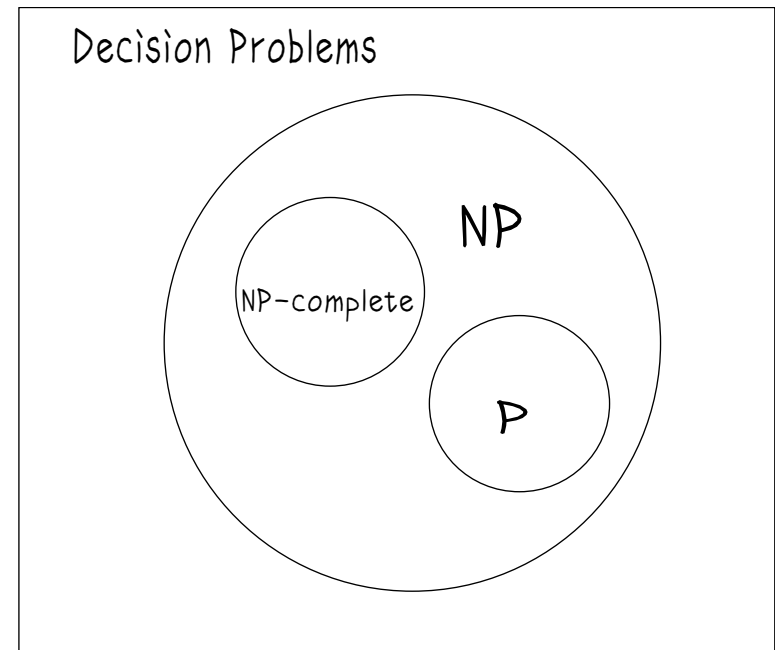
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3. **Polynomial Reduction**



Polynomial Reductions

- Given two decision problems A and B we say there is a **polynomial reduction** from A to B if
 - ★ Every instance of A can be mapped to an instance of B:
 - ★ The truth of the instance A is the same as the corresponding instance B
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SAT to 3-SAT

- We can reduce a clause with 4 variable to a clause with 3

$$X_1 \vee \neg X_3 \vee X_6 \vee \neg X_{10} \equiv (X_1 \vee \neg X_3 \vee Z) \wedge (\neg Z \vee X_6 \vee \neg X_{10})$$

- In doing so we increase the number of variables and the number of clauses to satisfy
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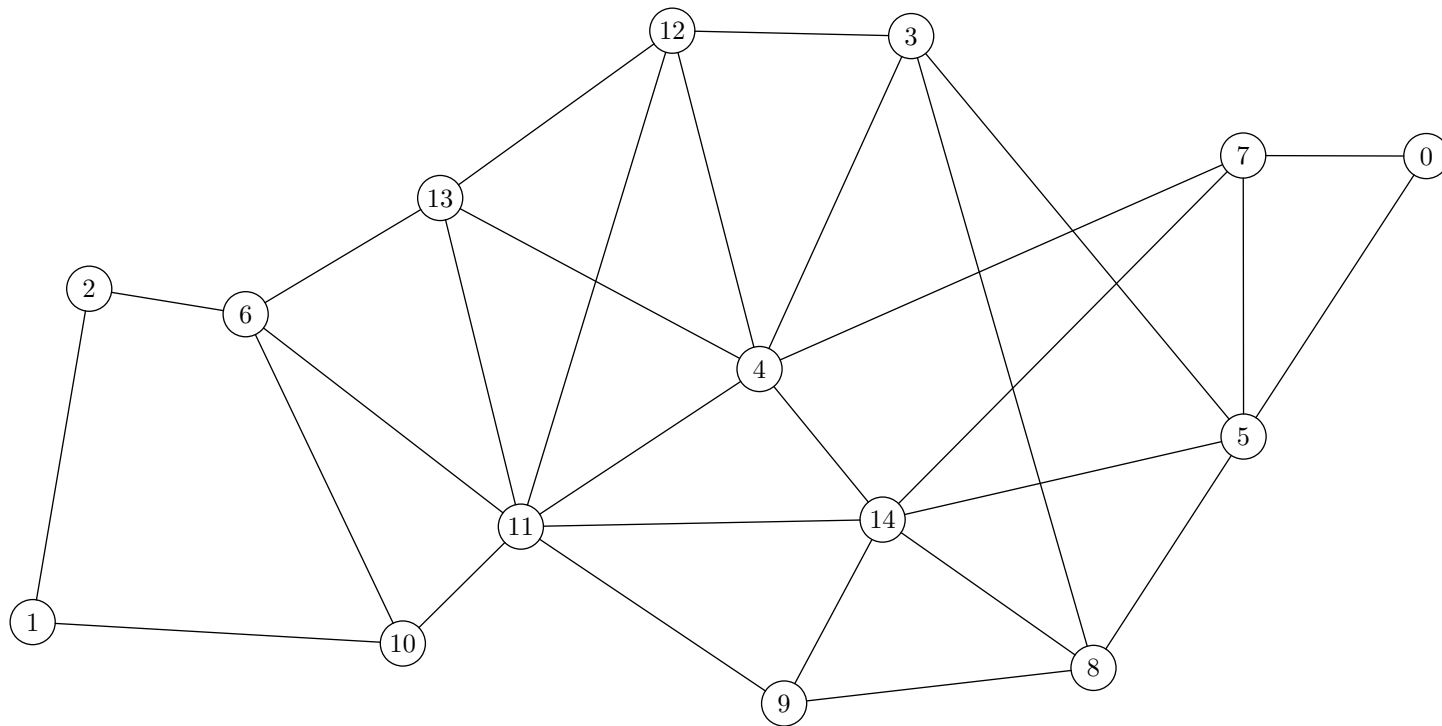
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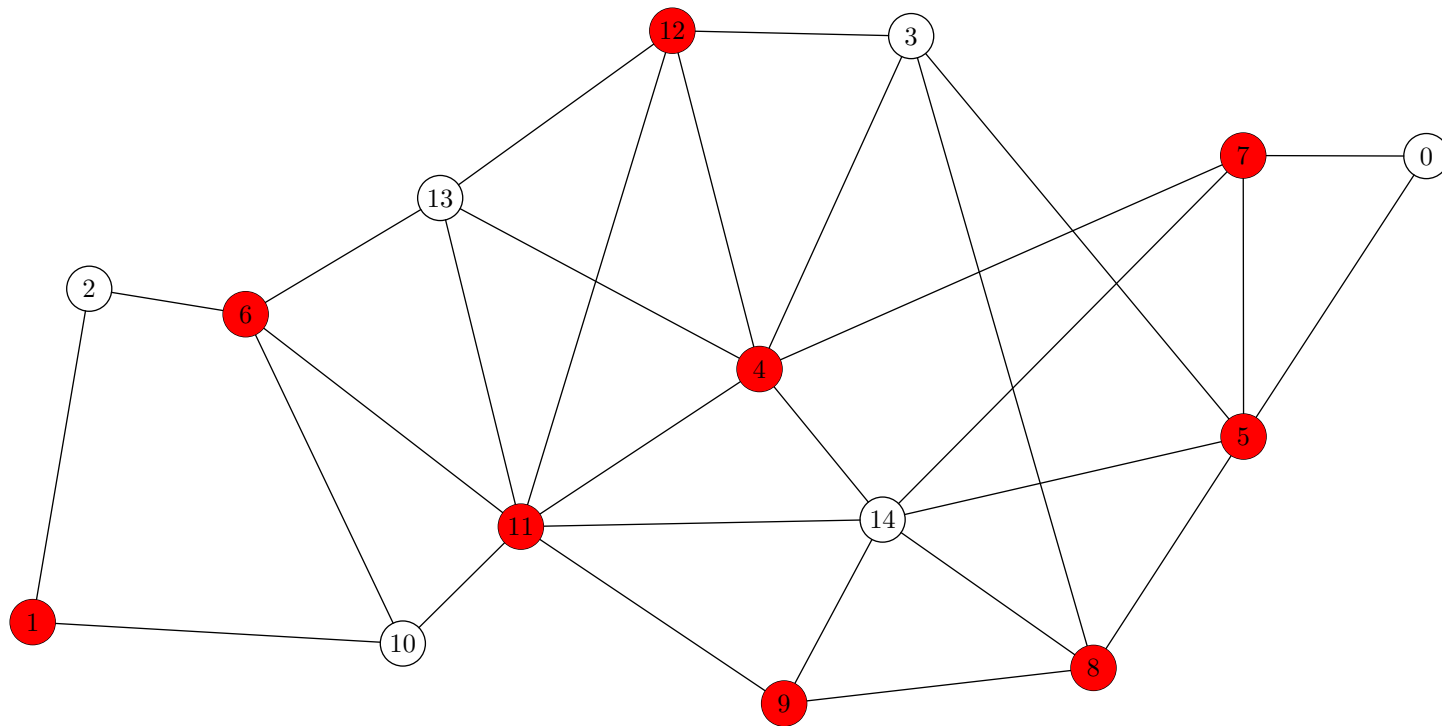
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- Vertex cover is obviously in NP as a set of K vertices acts as a witness, i.e. it can be checked that it covers all edges
- To show vertex cover is NP-complete we show that every instance of 3-SAT is reducible to vertex cover
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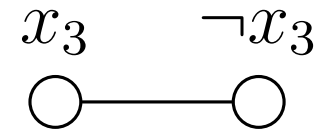
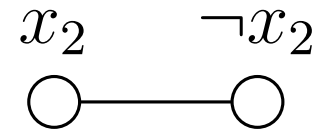
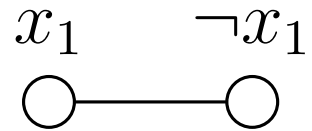
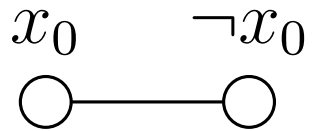
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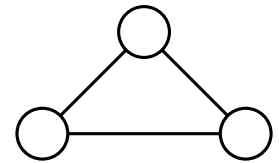
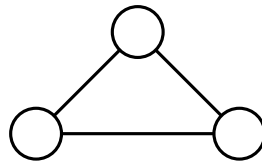
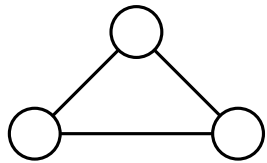
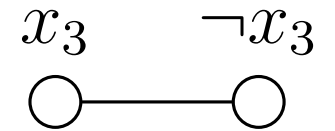
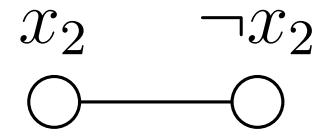
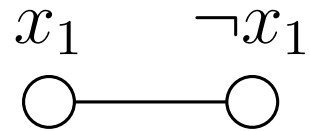
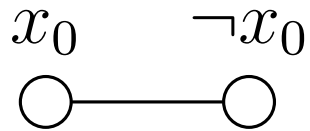
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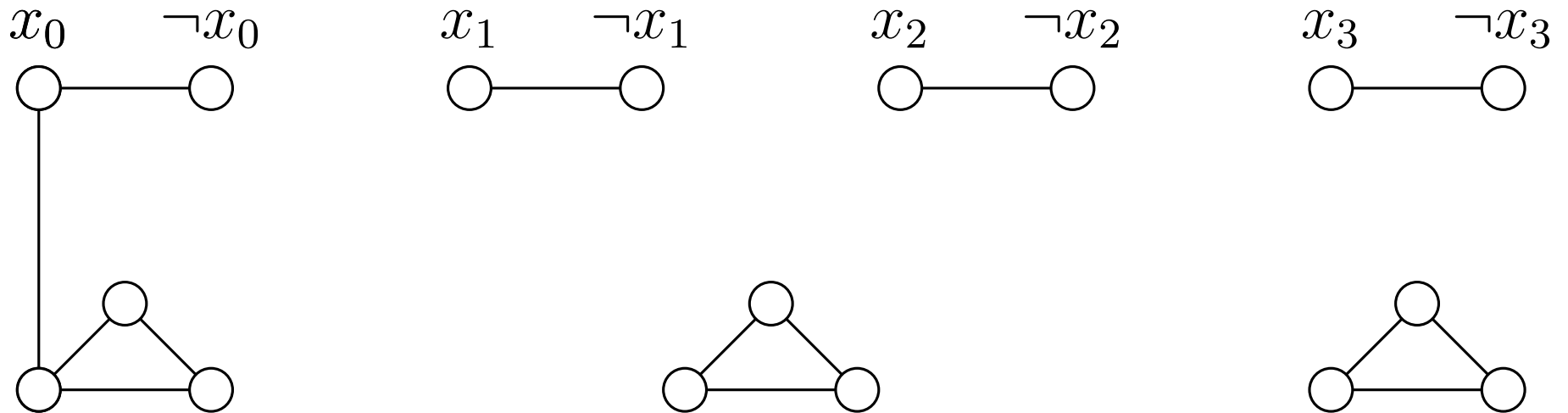
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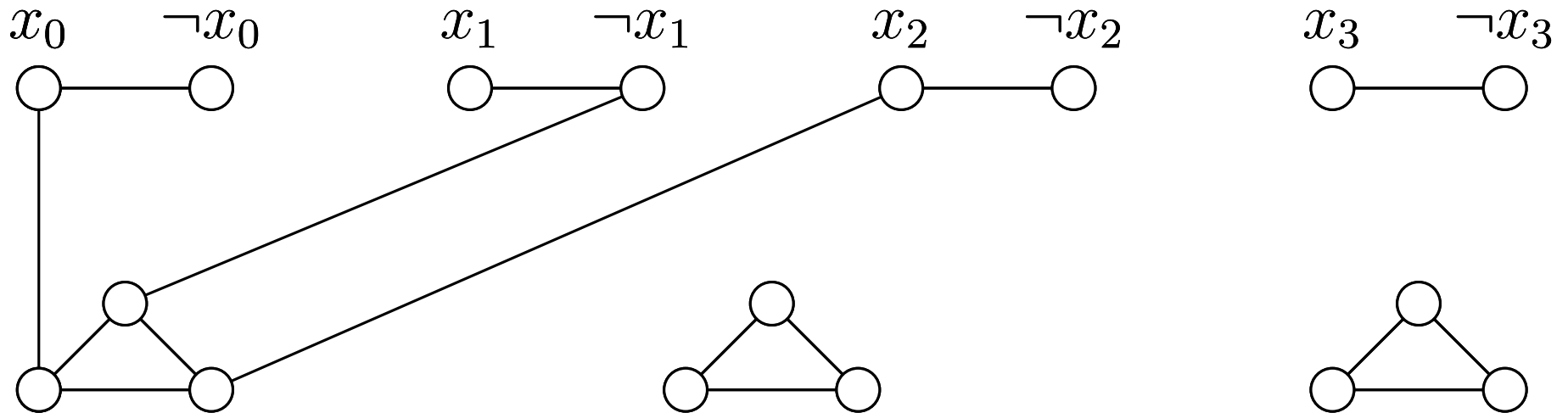
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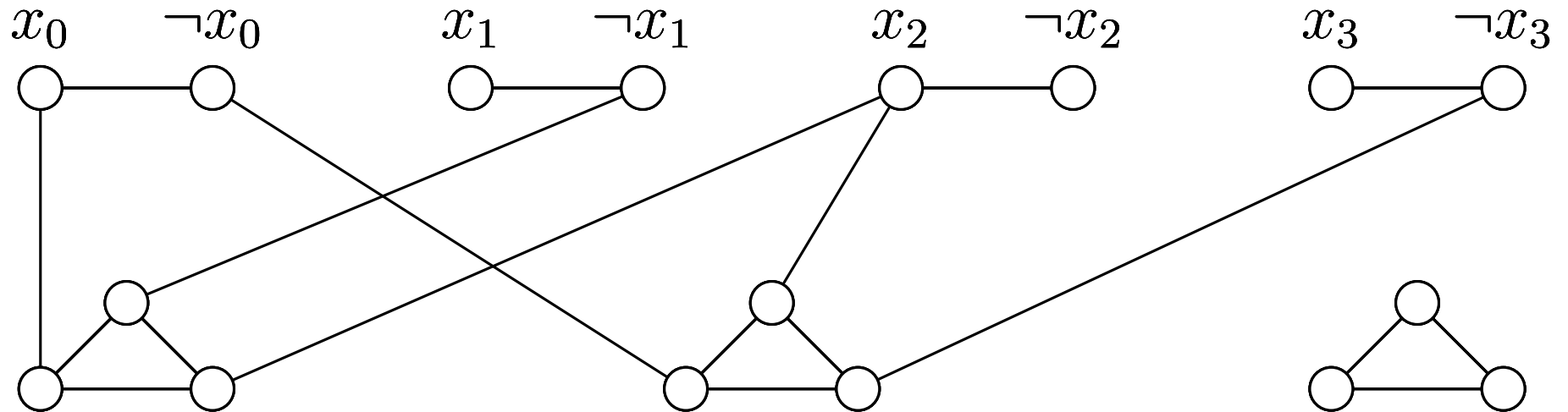
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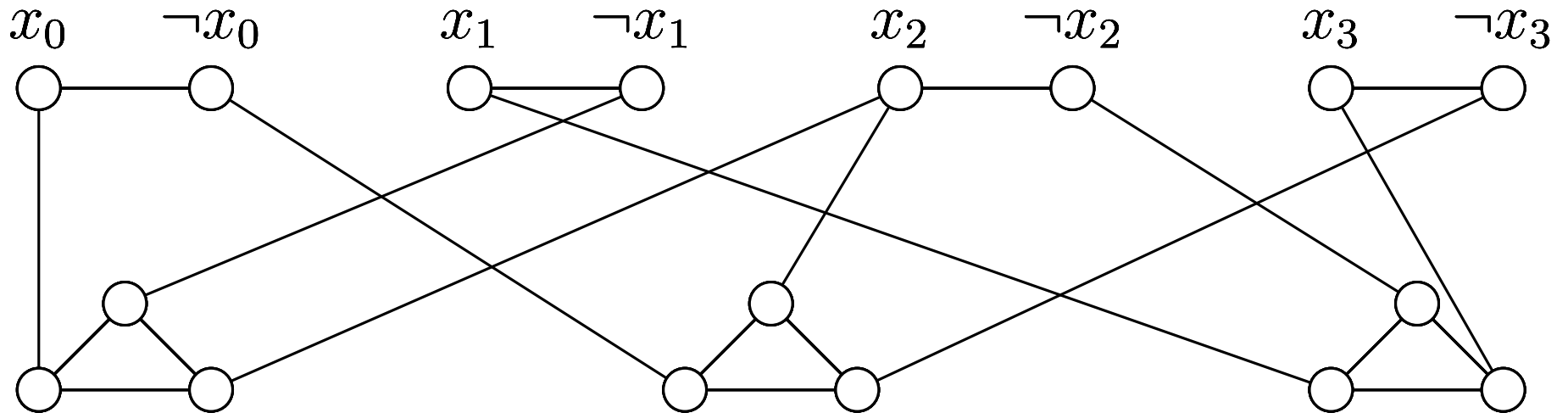
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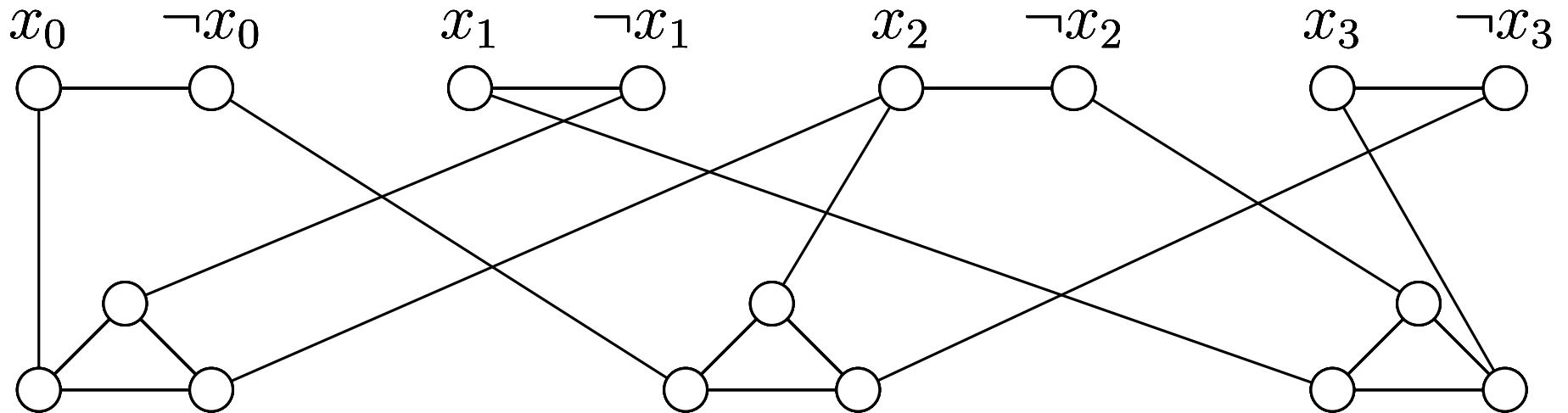
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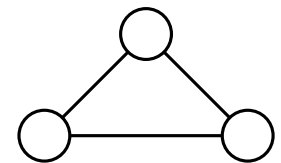
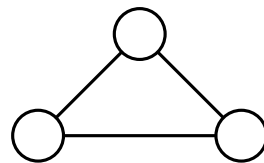
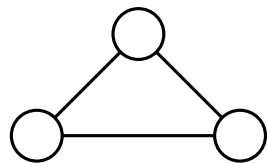
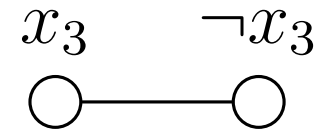
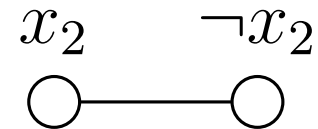
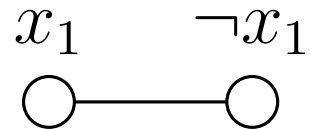
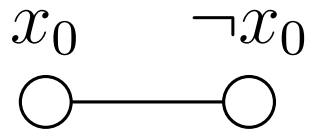
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Cover all edges using $n + 2m = 4 + 2 \times 3 = 10$ vertices

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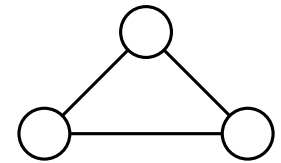
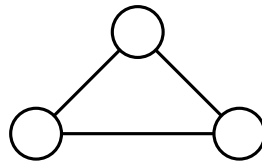
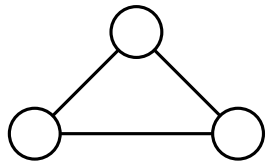
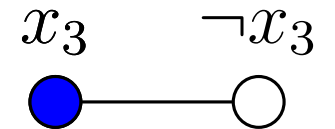
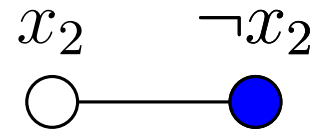
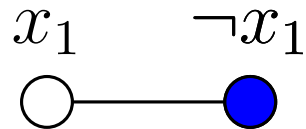
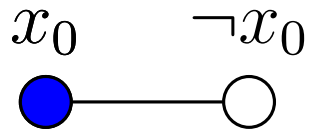
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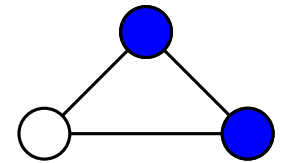
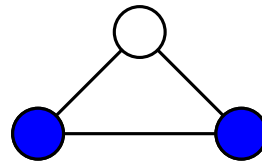
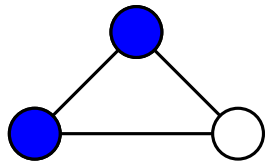
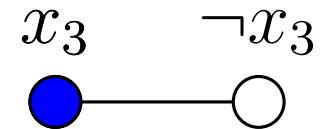
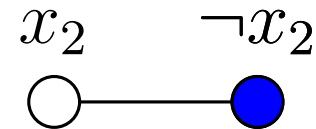
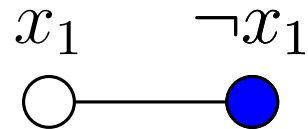
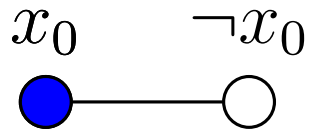
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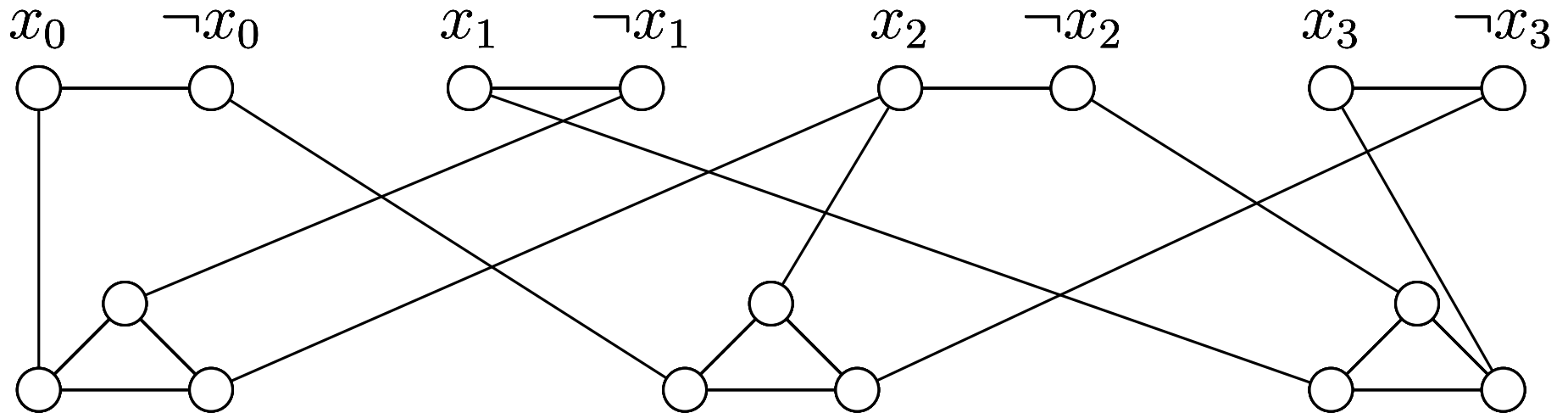
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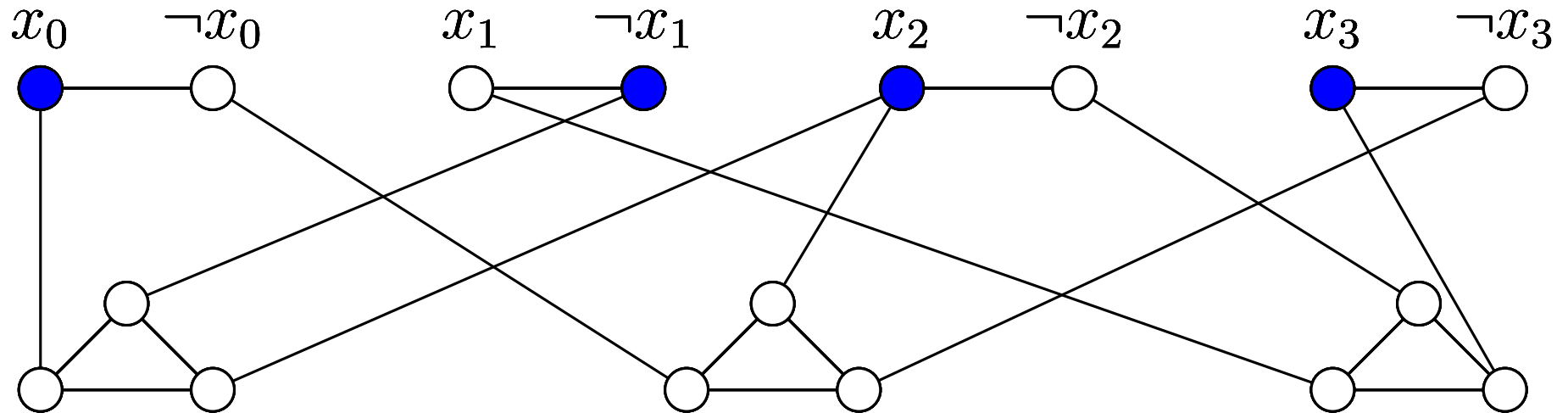
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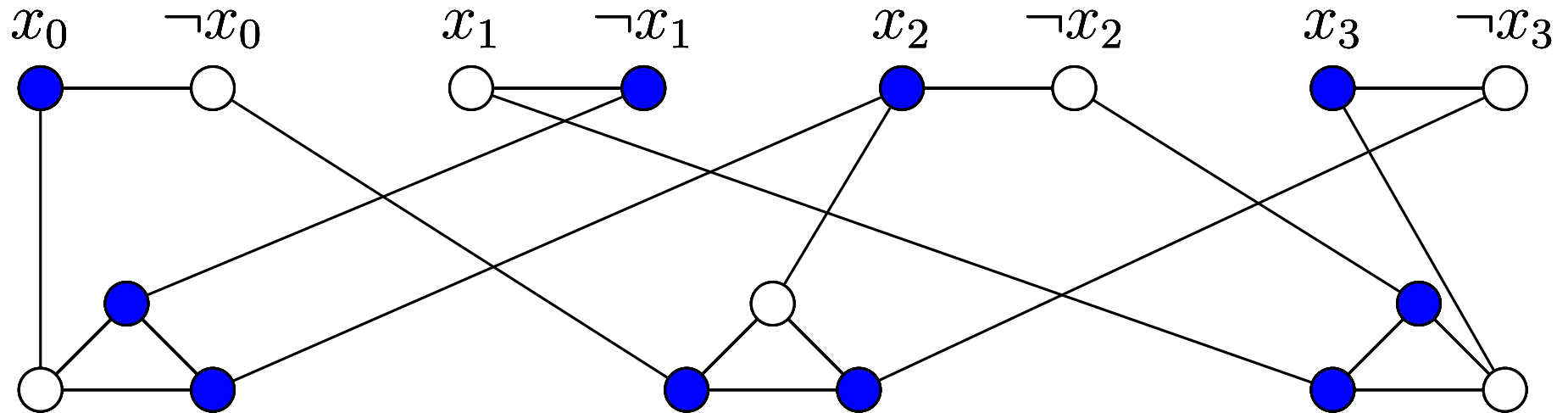
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Other Examples of NP-complete

- As we can polynomial reduce any instance of SAT to vertex cover then vertex cover is also NP-complete
- Lots of problems have been shown to be in class NP-complete—some 10 000, or so, to date
- These include
 - ★ TSP
 - ★ Graph colouring
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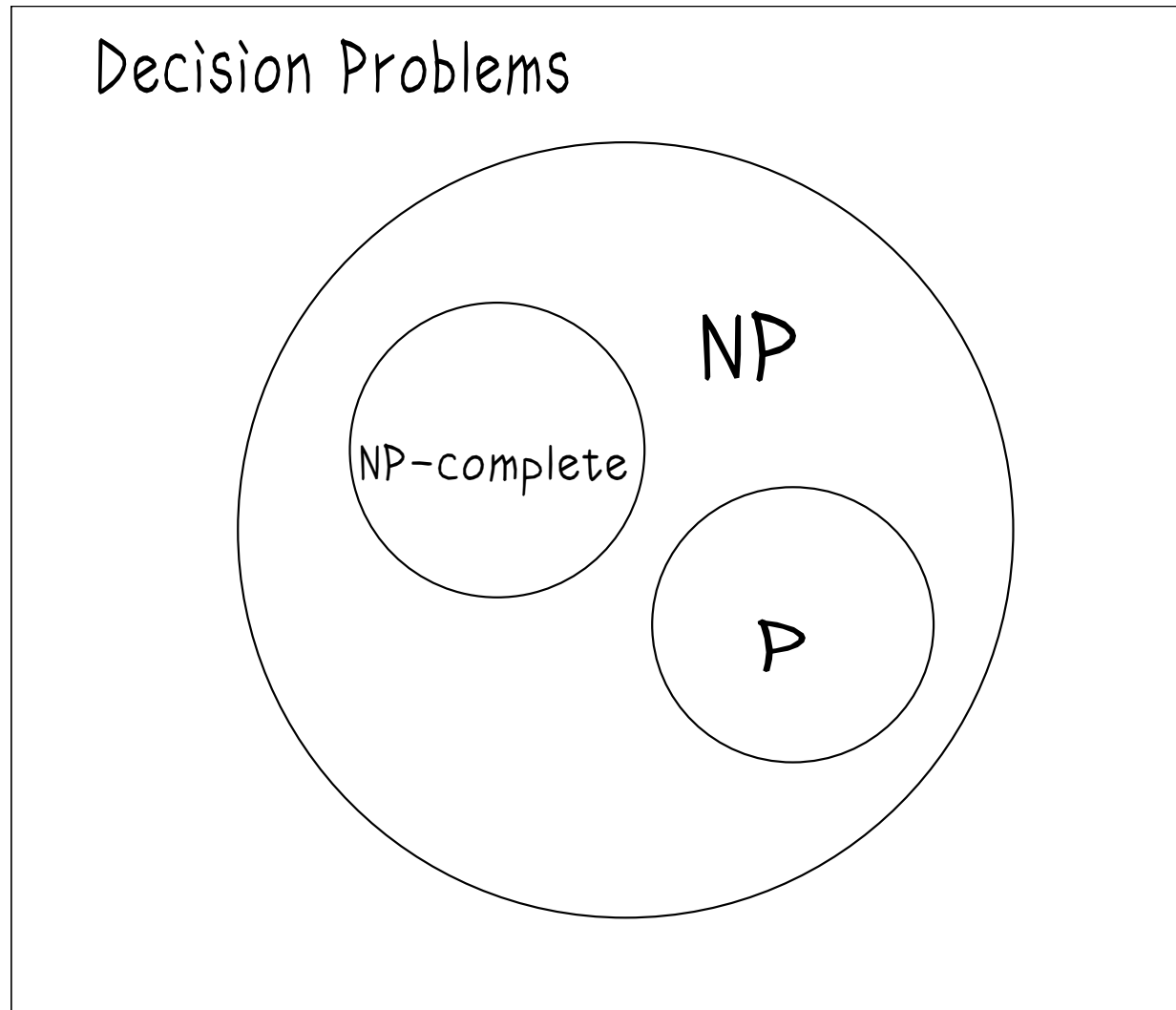
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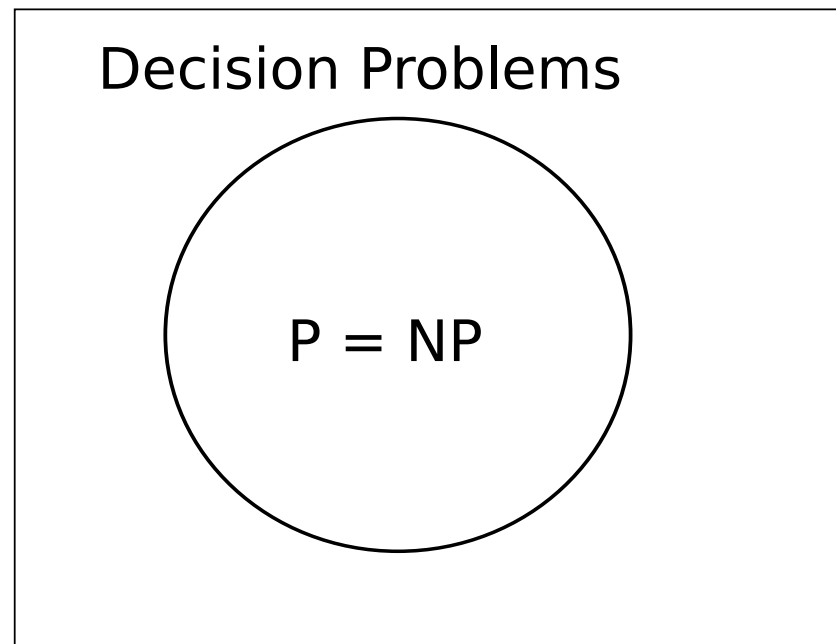
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Structure of Decision Problems



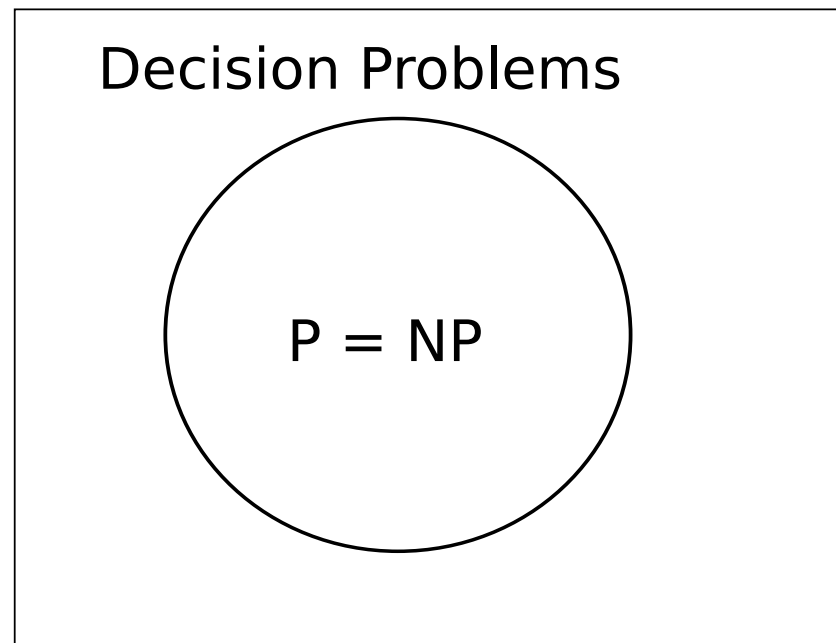
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- No one has proved that any problem in NP is not solvable in polynomial time
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NP-Hard

- TSP is not a decision problem—although we can make it into one—Is there a tour shorter than L ?
- However, if we can find the shortest tour in polynomial time we could solve the TSP decision problem
- Thus finding the shortest tour is at least as hard as solving the decision problems
- Problems that are at least as hard as NP-complete decision problems are said to be in **NP-hard**
- Graph colouring (finding a colouring with the least number of conflicts), job scheduling, etc. are all examples of NP-hard problems

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$$GI \in NP \wedge GI \notin P \wedge GI \notin \text{NP-complete}$$

- Factoring is *not* believed to be NP-hard, but it is believed to be sufficiently hard that most banks use an encryption technique based on people not being able to factor large numbers easily
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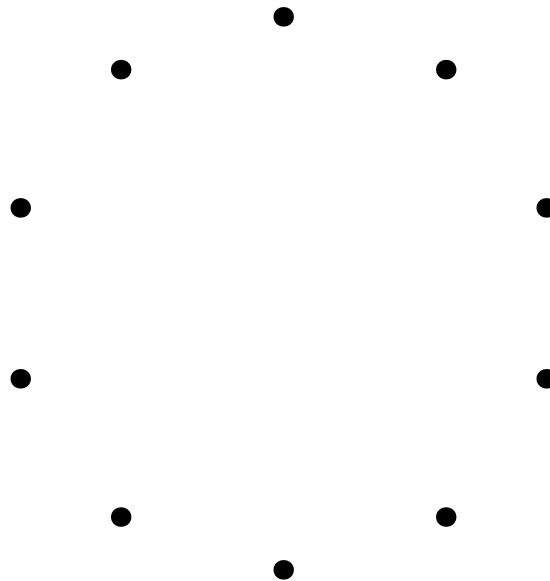
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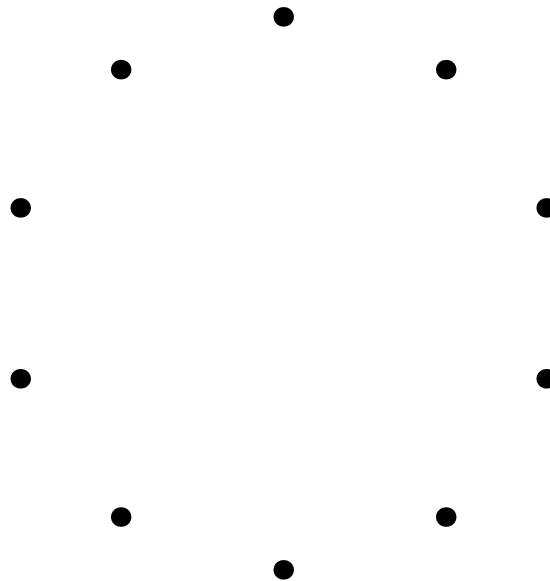
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- NP-hardness is a worst case analysis
- It means there exist some instance of the problem that we don't know how to solve in polynomial time
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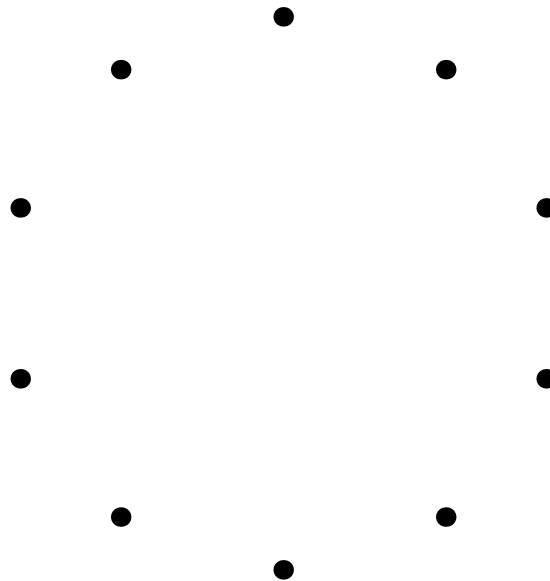
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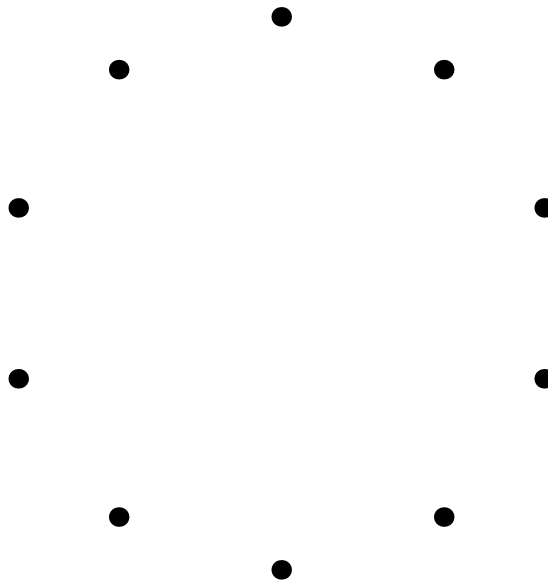
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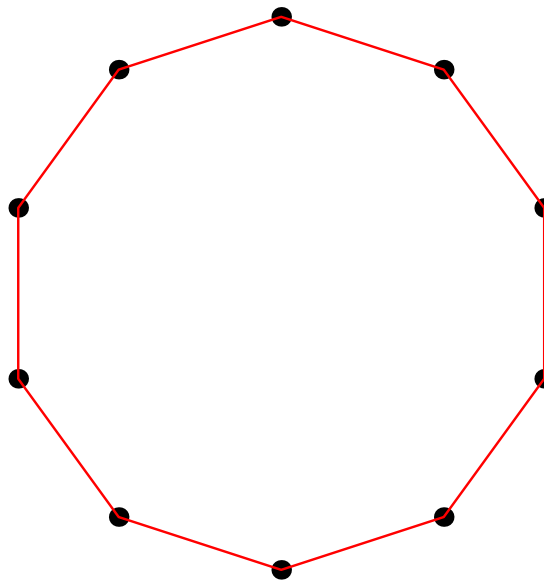
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- For some problems almost all instances appear easy
- E.g. The subset-sum problem
 - ★ Given a set of numbers find a subset whose sums is as close as possible to some constant
 - ★ Subset-sum is in NP-hard but there exist a “pseudo-polynomial time” algorithm which solves almost every instance in polynomial time
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- . . . but probably not for all
- There are no known polynomial algorithm for any NP-complete problem
- These include many famous problems: TSP, graph-colouring, scheduling, . . .
- If you could find a polynomial algorithm for any of these problems then you could use it to solve all problems in NP in polynomial time

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