



*National Aeronautics and Space  
Administration Goddard Earth Science Data  
Information and Services Center (GES DISC)*

# README Document for GRACE Data Assimilation Version 4 Product

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Goddard Earth Sciences Data and Information Services Center (GES DISC)

<https://disc.gsfc.nasa.gov>

NASA Goddard Space Flight Center

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Greenbelt, MD 20771 USA

# README Document for GRACE Data Assimilation Version 2 Product

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## Revision History

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| <b><i>Revision Date</i></b> | <b><i>Changes</i></b>  | <b><i>Author</i></b> |
|-----------------------------|--|----------------------|
| 01/11/2021                  | Initial version based on README for GRACE-DA-DM Version 2.0 and information from Hiroko Beaudoin | Hualan Rui           |
| 01/12/2021                  | Add what's new and revision history  | Hiroko Beaudoin      |
| 01/15/2021                  | Review and revise  | Carlee Loeser        |
| 03/01/2021                  | Update the email address of GES DISC Help Desk   | Hualan Rui           |
| 10/19/2021                  | Add a reference for [Getirana et al., 2021]  | Hualan Rui           |
|                             |  |                      |

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# 1.0 Introduction

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This document provides basic information for using the Gravity Recovery and Climate Experiment (GRACE) Data Assimilation for Drought Monitoring Version 4.0 product (abbreviated as GRACE-DA-DM V4.0). The GRACE-DA-DM V4.0 data product supersedes the GRACE-DA-DM V2.0 product.

Scientists at NASA's Goddard Space Flight Center generate groundwater and soil moisture drought indicators each week. These indicators are based on terrestrial water storage observations derived from the GRACE satellite data and integrated with other observations, using a sophisticated numerical model of land surface water and energy processes. The data product of **Groundwater and Soil Moisture Conditions from GRACE Data Assimilation** contains a set of drought indicators for the North American Drought Monitor.

## 1.1 Dataset Basic Characteristics

The GRACE-DA-DM V4.0 data product contains three drought indicators: Groundwater Percentile, Root Zone Soil Moisture Percentile, and Surface Soil Moisture Percentile. These drought indicators express wet or dry conditions as a percentile, indicating the probability of occurrence within the period of record from 1948 to 2014. The drought indicator data are daily, but available only one day (Monday) per week. The data have a spatial resolution of 0.125 x 0.125 degree over North America and range from April 1, 2002 to present (with a 3-6 months latency). The data are archived in netCDF format.

Table 1. Basic characteristics of the GRACE-DA-DM data.

|  |  |
|--|--|
| <b>Contents</b>                          | Drought indicators   |
| <b>Input data</b>                        | GRACE  |
| <b>Land surface models</b>               | Catchment Fortuna 2.5 version  |
| <b>Latitude extent</b>                   | 25 ° to 53 °   |
| <b>Longitude extent</b>                  | -125 ° to -67 °  |
| <b>Spatial resolution</b>                | 0.125 degree   |
| <b>Temporal interval</b>                 | 7-days   |
| <b>Temporal coverage</b>                 | 2002/04/01 ~ present   |
| <b>Dimension</b>                         | 224 (lat) x 464 (lon)  |
| <b>Grid box center points (lat, lon)</b> | Lower left: (25.0625, -124.9375)<br>Upper right: (52.9375, -67.0625) |
| <b>Format</b>                            | netCDF   |

## 1.2 Digital Object Identifier (DOI) and Citation

A Digital Object Identifier, or DOI, is a unique alphanumeric string used to identify a digital object and provide a permanent link online. DOIs are often used in online publications in citations.

DOI for GRACE-DA-DM V4.0 data product: [10.5067/UH653SEZR9VQ](https://doi.org/10.5067/UH653SEZR9VQ)

**Citation example:** Matthew Rodell and Hiroko Kato Beaudoin, NASA/GSFC/HSL (2021), *Groundwater and Soil Moisture Conditions from GRACE Data Assimilation L4 7-days 0.125 x 0.125 degree Version 4.0*, Greenbelt, Maryland, USA: Goddard Earth Sciences Data and Information Services Center (GES DISC), Accessed [**Data Access Date**] [10.5067/UH653SEZR9VQ](https://doi.org/10.5067/UH653SEZR9VQ)

**Primary Reference:**

Houborg, R., M. Rodell, B. Li, R. Reichle, and B. Zaitchik, 2012: Drought indicators based on model assimilated GRACE terrestrial water storage observations, *Wat. Resour. Res.*, 48, W07525, doi:[10.1029/2011WR011291](https://doi.org/10.1029/2011WR011291)

## 1.3 Contact Information

For information about or assistance in using any GES DISC data, please contact the GES DISC Help Desk at:

GES DISC  
Code 610.2  
NASA Goddard Space Flight Center  
Greenbelt, Maryland 20771  
Email: [gsfc-dl-help-disc@mail.nasa.gov](mailto:gsfc-dl-help-disc@mail.nasa.gov)  
301-614-5224 (voice)  
301-614-5268 (fax)

For general science questions and comments, please contact:

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NASA Goddard Space Flight Center  
Greenbelt, MD 20771  
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Hiroko Kato Beaudoin, M.S.  
Earth System Science Interdisciplinary Center  
University of Maryland, College Park  
Hydrological Sciences Laboratory, Code 617

NASA Goddard Space Flight Center  
Greenbelt, MD 20771  
Email: Hiroko.Kato-1@nasa.gov  
Phone: 301-286-9143

### 1.4 What's New?

#### 1.4.1 What's in GRACE-DA-DM V4.0?

The GRACE-DA-DM V4.0 is based on the Catchment Land Surface Model (CLSM) Fortuna 2.5 version simulation that was created within the Land Information System data assimilation framework (Kumar et al. 2016). This simulation used the latest GRACE RL06 (GRACE; 2002-2017) and GRACE Follow On (GRACE-FO; 2018-present) Mascon solutions version 2, at 0.25 degree resolution, from the University of Texas at Austin (Save et al. 2016; Save 2020). The CLSM soil parameters were updated to address a soil moisture dry limit issue found near Zapata, Texas. Because the root zone soil moisture frequently reaches the dry limit there, drought conditions are often “normal” when the area should be in drought. The new soil parameters resolved the issue, and the root zone soil moisture matches closely with an in-situ observation near Zapata. In the data assimilation, the baseline for Terrestrial Water Storage anomaly computation was updated to 2003-2019 mean whereas previous simulations used 2003-2016 mean. The percentile computation was switched to a 7-day moving average climatology instead of monthly, to improve the temporal transition of drought/wetness conditions.

#### 1.4.2 Revision history of GRACE-DA-DM

The GRACE-DA-DM Version 1.0 was created by the stand alone CLSM (an older version) using the GRACE-Tellus 1 degree data from the Center for Space Research at University of Texas. The GRACE data assimilation (DA) is executed on a grid-to-grid basis in Version 2.0, while a basin scale average was used in Version 1.0 (Zaitchik et al. 2008). The Version 2.0 data were reprocessed (on June 14, 2017), using the GRACE RL05 Mascon solutions version 1 data set from UT CSR, for the entire period from April 1, 2002 to June 5, 2017. The reprocessing included fixes in the DA and increased the bedrock depth by 3 meters to enhance the drought indicator calculations.

The Version 4.0 uses the same configuration as the Version 2.0 for the DA scheme and increased bedrock depth, with the updates previously mentioned, thus supersedes the previous versions.

## 2.0 Data Organization

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### 2.1 File Naming Convention

GRACE-DA-DM data files are named in accordance with the following convention:  
 GRACEDADM\_<Model><Grid spacing><Region>\_<Temporal spacing>\_A<Date>.<Product version>.nc4

| Attribute          | Description                                    |
|--------------------|--|
| GRACEDADM          | GRACE Data Assimilation for Drought Monitoring |
| <Model>            | "CLSM" for the Catchment Land Surface Model    |
| <Grid spacing>     | "0125" for 1/8th (0.125) degree                |
| <Region>           | "US" for CONUS region                          |
| <Temporal spacing> | "7D" for 7-days                                |
| <Date>             | <YYYYMMDD>                                     |
| <Product version>  | "040" for Version 4.0                          |

Filename example: GRACEDADM\_CLSM0125US\_7D.A20020401.040.nc4

### 2.2 File Format and Structure

The GRACE-DA-DM V4.0 files are archived in netCDF format, which is a set of software libraries and self-describing, machine-independent data formats that support the creation, access, and sharing of array-oriented scientific data, <https://www.unidata.ucar.edu/software/netcdf/docs/>.

## 3.0 Data Content

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### 3.1 Products/Parameters

The GRACE-DA-DM V4.0 data product contains three drought indicators: Groundwater Percentile, Root Zone Soil Moisture Percentile, and Surface Soil Moisture Percentile. The drought indicators express wet or dry conditions as a percentile, indicating the probability of occurrence within the period of record from 1948 to 2014. The combination of the GRACE-assimilated drought indicators, the latest GRACE data, the 7-day moving average climatology, and the updated Catchment Model Parameters should provide a comprehensive and objective identification of drought conditions. More information is available at <https://nasagrace.unl.edu/>.



Table 2. Variables in the GRACE-DA-DM Data Product

| Short Name | Description                        | Unit |
|------------|------------------------------------|------|
| gws_inst   | Groundwater Percentile             | %    |
| rtzsm_inst | Root Zone Soil Moisture Percentile | %    |
| sfs_m_inst | Surface Soil Moisture Percentile   | %    |

## 4.0 Options for Reading the Data

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### 4.1 Utilities

GRACE-DA-DM data are archived in the self-describing and machine-independent netCDF format. A Unidata page (<http://www.unidata.ucar.edu/software/netcdf/software.html>) provides a list of software for manipulating or displaying netCDF Data.

### 4.2 Panoply

Panoply (<https://www.giss.nasa.gov/tools/panoply/>) is a cross-platform application that plots geo-referenced and other arrays from netCDF, HDF, GRIB, and other data formats. The [HowTo](#) section of NASA GES DISC provides a recipe for [Quick View Data with Panoply](#).

### 4.3 GrADS

The Grid Analysis and Display System (GrADS) is an interactive desktop tool for easy access, manipulation, and visualization of Earth science data. GrADS supports several data formats, such as binary, netCDF, HDF, and GRIB. The documentation and software for GrADS can be found at <http://cola.gmu.edu/grads/grads.php>.

Each individual GLDAS-2 netCDF can be opened by the GrADS utility sdfopen directly without a data descriptor file (i.e., a ctl file). After calling sdfopen, GrADS commands, such as “q file”, “d [VariableName]”, etc. can be used to query file information, and read and display the data. An example showing how to use sdfopen to read a GRACE-DA-DM V4.0 netCDF file and query for its dimensions and variables is in Appendix A.

## 5.0 Data Services

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### 5.1 NASA Earthdata Login System

As of August 1, 2016, access to GES DISC data requires all users to be registered with the Earthdata Login system. Data continue to be free of charge and accessible via HTTPS. As of October 3, 2016, access to data via FTP is no longer available. Detailed instructions on how to register and receive authorization to access GES DISC data are provided at <https://disc.gsfc.nasa.gov/data-access>.

GES DISC users who deploy scripting methods to list and download data in bulk via anonymous FTP are advised to review the [How to Download Data Files from HTTP Service with wget](#) recipe that provides examples of GNU wget commands for listing and downloading data via HTTPS.

If you need assistance or wish to report a problem:

**Email:** [gsfc-dl-help-disc@mail.nasa.gov](mailto:gsfc-dl-help-disc@mail.nasa.gov)

**Voice:** 301-614-5224

**Fax:** 301-614-5268

**Address:**

Goddard Earth Sciences Data and Information Services Center  
NASA Goddard Space Flight Center  
Code 610.2  
Greenbelt, MD 20771 USA

### 5.2 Data Services

The [GRACE-DA-DM V4.0 data product landing page](#) provides the product summary, data citation, documentation, and data access methods.

#### 5.2.1 HTTPS

Access the online archive data via HTTPS:

[https://hydro1.gesdisc.eosdis.nasa.gov/data/GRACEDA/GRACEDADM\\_CLSM0125US\\_7D.4.0](https://hydro1.gesdisc.eosdis.nasa.gov/data/GRACEDA/GRACEDADM_CLSM0125US_7D.4.0).

#### 5.2.2 Earthdata Search

Use Earthdata Search to find and retrieve data sets across multiple data centers:

[https://search.earthdata.nasa.gov/search?q=GRACEDADM\\_CLSM0125US\\_7D](https://search.earthdata.nasa.gov/search?q=GRACEDADM_CLSM0125US_7D).

### 5.2.3 OPeNDAP

Access the data via the OPeNDAP protocol for parameter and spatial subsetting:

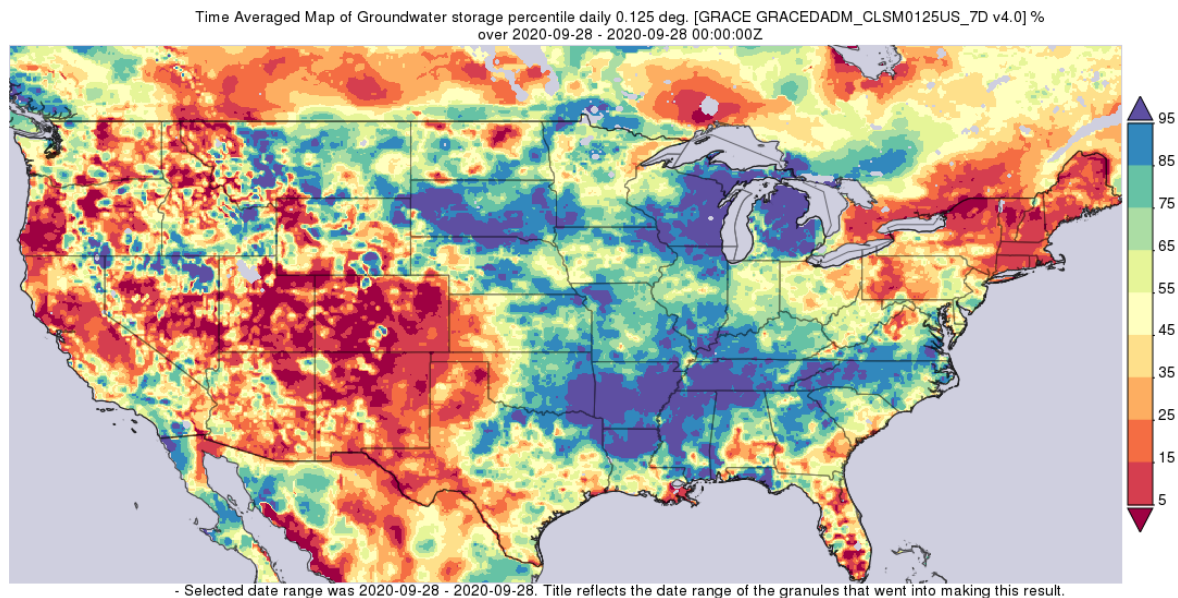
<https://hydro1.gesdisc.eosdis.nasa.gov/opendap/hyrax/GRACEDA/>.

### 5.2.4 Giovanni

The GES-DISC Interactive Online Visualization AND aNalysis Interface (Giovanni) is a web-based tool that allows users to interactively visualize and analyze data:

[https://giovanni.gsfc.nasa.gov/giovanni/#dataKeyword=GRACEDADM\\_CLSM0125US\\_7D\\_4.0](https://giovanni.gsfc.nasa.gov/giovanni/#dataKeyword=GRACEDADM_CLSM0125US_7D_4.0)

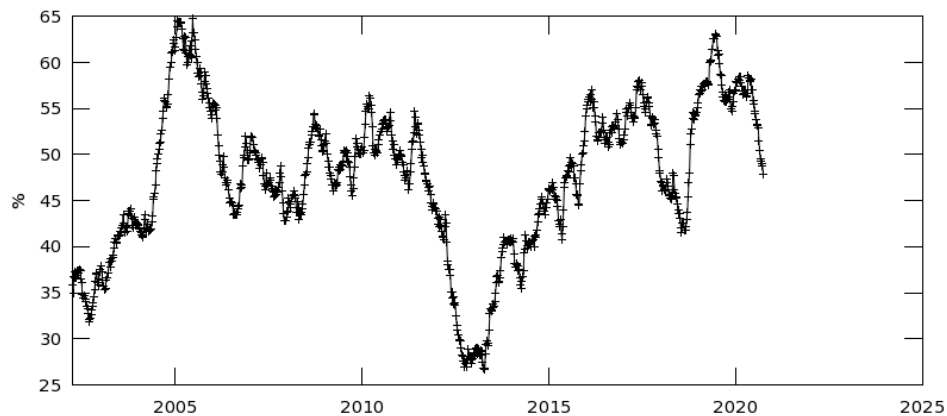
The two sample images below are generated by NASA [Giovanni](#).



**Figure 1.** GRACE-assimilated groundwater storage percentile map for September 28, 2020, with lower values (warmer colors) indicating dryer than normal conditions, and higher values (colder colors) indicating wetter than normal conditions. The map was generated by [Giovanni](#).

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Time Series, Area-Averaged of Groundwater storage percentile daily 0.125 deg. [GRACE GRACEDADM\_CLSM0125US\_7D v4.0] % over 2002-04-01 - 2020-09-28 00:00:00Z, Region 125W, 25N, 67W, 53N



- The user-selected region was defined by 125W, 25N, 67W, 53N. The data grid also limits the analyzable region to the following bounding points: 124.938W, 25.0625N, 67.0625W, 52.9375N. This analyzable region indicates the spatial limits of the subsetted granules that went into making this visualization result.

**Figure 2.** GRACE-assimilated groundwater storage percentile time series, averaged over North America from April 1, 2002 to September 28, 2020. This time series plot was generated by [Giovanni](#) (Time Series, Area-Averaged).

## 6.0 More Information

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Groundwater and Soil Moisture Conditions from GRACE Data Assimilation Site:

<https://nasagrace.unl.edu/>

The Land Data Assimilation System (LDAS) Project: <https://ldas.gsfc.nasa.gov/>

## 7.0 Acknowledgements

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The GRACE-DA-DM V4.0 data are produced by NASA GSFC Hydrological Sciences Laboratory (HSL).

## References

- Houborg, R., M. Rodell, B. Li, R. Reichle, and B. Zaitchik, 2012: Drought indicators based on model assimilated GRACE terrestrial water storage observations, *Wat. Resour. Res.*, 48, W07525, doi:[10.1029/2011WR011291](https://doi.org/10.1029/2011WR011291)
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- Save, H., S. Bettadpur, and B.D. Tapley, 2016: High resolution CSR GRACE RL05 mascons, *J. Geophys. Res. Solid Earth*, 121, doi:[10.1002/2016JB013007](https://doi.org/10.1002/2016JB013007).
- Zaitchik, B.F., M. Rodell, and R.H. Reichle, 2008: Assimilation of GRACE terrestrial water storage data into a land surface model: results for the Mississippi River Basin, *J. Hydrometeor.*, 9 (3), 535-548, doi:[10.1175/2007JHM951.1](https://doi.org/10.1175/2007JHM951.1)

## Acronyms

The following acronyms and abbreviations are used in this document.

|          |  |
|----------|--|
| GDS      | GrADS Data Server  |
| GES DISC | Goddard Earth Sciences Data and Information Services Center            |
| Giovanni | GES-DISC Interactive On-line Visualization and Analysis Infrastructure |
| GRACE    | Gravity Recovery and Climate Experiment                                |
| GrADS    | Grid Analysis and Display System                                       |
| GRIB     | GRIdded Binary   |
| HDF      | Hierarchical Data Format   |
| HSL      | Hydrological Sciences Laboratory                                       |
| LIS      | Land Information System  |
| LSM      | Land Surface Model   |
| NASA     | National Aeronautics and Space Administration                          |
| netCDF   | network Common Data Form   |

## Appendix A

Below is an example showing how to use sdfopen to read a GRACE-DA-DM netCDF file and query for its dimensions and variables.

```
hrui@hydro1: $ grads
Welcome to the OpenGrADS Bundle Distribution
-----
For additional information enter "grads -h".

Starting "/opt/grads-2.1.a2.oqa.1/Linux/Versions/2.1.a2.oqa.1/x86_64/grads " ...

Grid Analysis and Display System (GrADS) Version 2.1.a2.oqa.1
Copyright (c) 1988-2013 by the Institute for Global Environment and Society (IGES)
GrADS comes with ABSOLUTELY NO WARRANTY
See file COPYRIGHT for more information

Config: v2.1.a2.oqa.1 little-endian readline grib2 netcdf hdf4-sds hdf5 opendap-
grids, stn athena geotiff shapefile cairo
Issue 'q config' command for more detailed configuration information
Loading User Defined Extensions table </opt/grads-
2.1.a2.oqa.1/Linux/Versions/2.1.a2.oqa.1/x86_64/gex/udxt> ... ok.
Landscape mode? ('n' for portrait):
GX Package Initialization: Size = 11 8.5
ga-> sdfopen GRACEDADM_CLSM0125US_7D.A20020401.040.nc4
Scanning self-describing file: GRACEDADM_CLSM0125US_7D.A20020401.040.nc4
SDF file GRACEDADM_CLSM0125US_7D.A20020401.040.nc4 is open as file 1
LON set to -124.938 -67.0625
LAT set to 25.0625 52.9375
LEV set to 0 0
Time values set: 2002:4:1:0 2002:4:1:0
E set to 1 1
ga-> q dims
Default file number is: 1
X is varying  Lon = -124.938 to -67.0625  X = 1 to 464
Y is varying  Lat = 25.0625 to 52.9375  Y = 1 to 224
Z is fixed    Lev = 0  Z = 1
T is fixed    Time = 00Z01APR2002  T = 1
E is fixed    Ens = 1  E = 1
```

```
ga-> q file
File 1 : GRACE Data Assimilation Drought Indicator
Descriptor: /var/tmp/hrui/GRACEDADM_CLSM0125US_7D.A20020401.040.nc4
Binary: /var/tmp/hrui/GRACEDADM_CLSM0125US_7D.A20020401.040.nc4
Type = Gridded
Xsize = 464 Ysize = 224 Zsize = 1 Tsize = 1 Esize = 1
Number of Variables = 3
  gws_inst 0 t,y,x Groundwater storage percentile
  rtzsm_inst 0 t,y,x Root zone soil moisture percentile
  sfsm_inst 0 t,y,x Surface soil moisture percentile
ga->
```

By using GrADS command [xdfopen](#) with a GrADS descriptor file, multiple GRACE-DA-DM netCDF files can be opened, therefore, temporally aggregated visualization and data analysis can be done by GrADS. Below is a GrADS sample descriptor file (GRACEDADM\_CLSM0125US\_7D.4.0.xdf ) for the GRACE-DA-DM files:

```
DSET GRACEDADM_CLSM0125US_7D.A%y4%m2%d2.040.nc4
UNDEF -999.
OPTIONS template
TDEF time 1 LINEAR 01APR2002 7dy
```

An example for using xdfopen to open GRACEDADM\_CLSM0125US\_7D.4.0.xdf:

```
ga-> xdfopen GRACEDADM_CLSM0125US_7D.4.0.xdf
Scanning Descriptor File: GRACEDADM_CLSM0125US_7D.4.0.xdf
SDF file /var/tmp/hrui/GRACEDADM_CLSM0125US_7D.A%y4%m2%d2.040.nc4 is
open as file 1
LON set to -124.938 -67.0625
LAT set to 25.0625 52.9375
LEV set to 0 0
Time values set: 2002:4:1:0 2002:4:1:0
E set to 1 1
ga-> q file
File 1 : GRACE Data Assimilation Drought Indicator
Descriptor: GRACEDADM_CLSM0125US_7D.4.0.xdf
Binary: /var/tmp/hrui/GRACEDADM_CLSM0125US_7D.A%y4%m2%d2.040.nc4
Type = Gridded
Xsize = 464 Ysize = 224 Zsize = 1 Tsize = 1 Esize = 1
Number of Variables = 3
  gws_inst 0 t,y,x Groundwater storage percentile
  rtzsm_inst 0 t,y,x Root zone soil moisture percentile
  sfsm_inst 0 t,y,x Surface soil moisture percentile
ga->
```

