

# COMP 3430

Operating Systems

June 12<sup>th</sup>, 2019

# Goals

By the end of today's lecture, you should be able to:

- Describe the concept of deadlock. (textbook)
- Identify code that may become deadlocked. (textbook, in-class)
- Describe tools/strategies that can be used to avoid deadlock. (textbook, in-class)
- Evaluate strategies for implementing locks. (textbook)
- Describe locks and semaphores. (textbook, in-class)



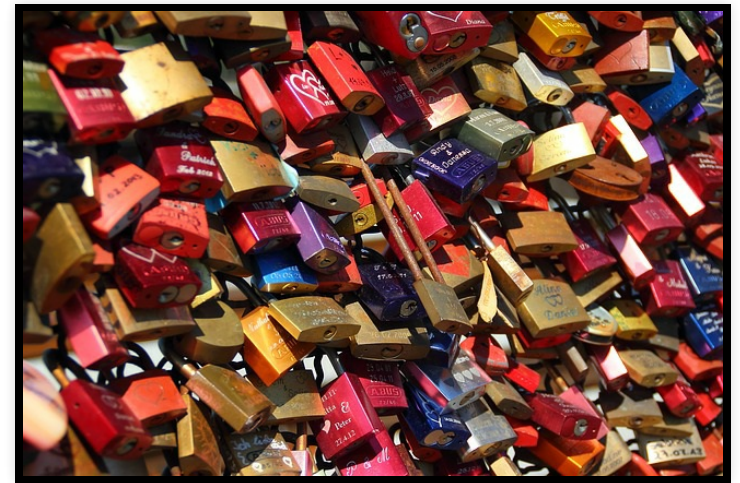
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Assignment/test questions?

# Discussion: Locks and scheduling

When we add locks to concurrent code, the scheduler seems to become our enemy.

**Why?**



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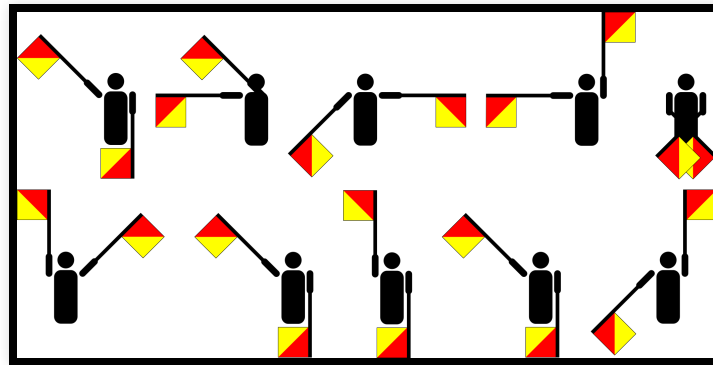
# Why is it called “semaphore”?

How to learn why Dijkstra used the word  
“semaphore”:

1. ~~Learn Dutch.~~
2. ~~Learn how to raise the dead.~~
3. ~~Read the paper.~~
4. ...
5. Generally: semaphore == signaling system.



# Semaphores



- A *counter* that can be used for locking
  - Interface: initialize, decrement/wait, increment/signal (see `man sem_overview`)
  - Implementation: a counter + a queue (see `semaphore.h` and `semaphore.c`)

# Deadlock

... and the four conditions

Deadlock can only happen when all four hold:

1. Mutual exclusion
2. Hold-and-wait
3. No preemption
4. Circular wait



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# Mutual exclusion

*Threads claim exclusive control of resources they require (e.g., a thread grabs a lock).*



# Hold-and-wait

*Threads hold resources allocated to them (e.g., locks that they have already acquired) while waiting for additional resources (e.g., locks that they wish to acquire).*

# No preemption

*Resources (e.g., locks) cannot be forcibly removed from threads that are holding them.*

# Circular wait

*There exists a circular chain of threads such that each thread holds one or more resources (e.g., locks) that are being requested by the next thread in the chain.*

# Deadlock

Deadlock can only happen when all four hold: Let's look at some code and decide ☒:

1. Mutual exclusion
2. Hold-and-wait
3. No preemption
4. Circular wait

- *Can* this code deadlock if executed concurrently?
- Pick **one** condition for deadlock. How would you change the code to break that condition?

# Goals

You should be able to:

- Describe the concept of deadlock.
- Identify code that may become deadlocked.
- Describe tools/strategies that can be used to avoid deadlock.
- Evaluate strategies for implementing locks.
- Describe locks and semaphores.



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Artist: Yes, of course I've seen a horse.

