

# Analysis of Algorithms

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# Agenda

- Introduction
  - Why analyse algorithms
- Observations
- Mathematical Models
- Growth Classification for algorithms
- Theory of Algorithms

# Why analyse algorithms

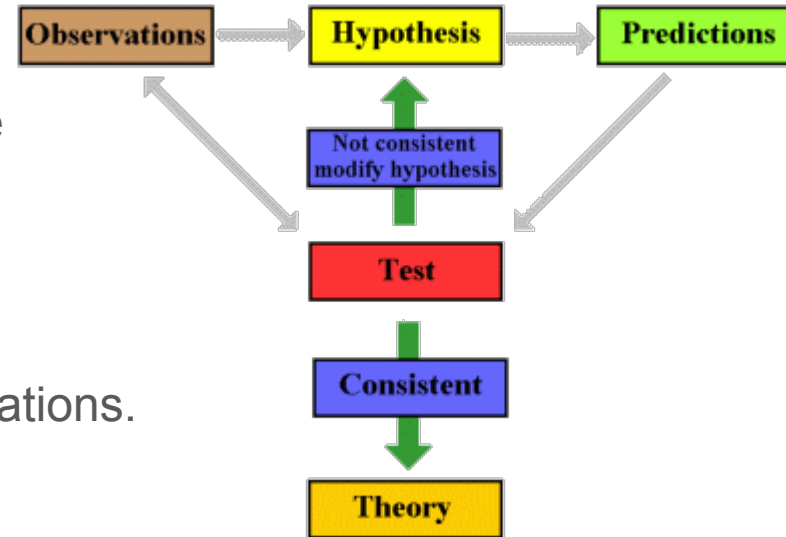
- Programmers need to develop working solutions to problem
- Algorithm analysis helps developers to write programs that:
  - provide an optimal working solution
  - predict resources and time necessary to execute a program
  - give guarantees regarding performance.
- Helps to avoid performance problems
  - Clients get poor performance because programmer didn't understand or investigate performance characteristics of program.



# Scientific Method

Approach that scientists use to understand the natural world

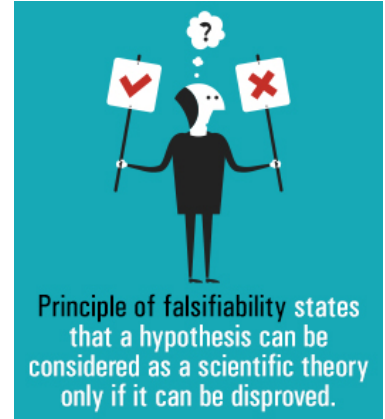
- Observe some feature of the natural world, generally with precise measurements.
- Hypothesise a model that is consistent with the observations.
- Predict events using the hypothesis.
- Verify the predictions by making further observations.
- Validate by repeating until the hypothesis and observations agree.



# Scientific Method

Key features of the scientific method:

- Experiments must be reproducible
  - so that you can convince others.
- Your hypothesis must be falsifiable
  - “No amount of experimentation can ever prove me right; a single experiment can prove me wrong”
- Are these scientific hypothesis?:
  - “There is life on other planets”
  - “Two objects will hit the ground at the same time when dropped from the same height(excluding air resistance)”



# Observations

- We can make quantitative measurements of the running time of our programs.
  - Easy compared to other sciences (don't need to build a hadron collider)
- Answers a core question: How long will my program take?
- Initial observation, the problem size:
  - The problem size can be the size of input or value of input)
  - Most of the time, programming running time is insensitive to the input itself, but IS SENSITIVE to the size of the input.



# Observations: Example

ThreeSum: Given N distinct integers, how many triples sum to exactly zero:

```
public class ThreeSum
{
    public static int count(int[] a)
    {
        int N = a.length;
        int count = 0;
        for (int i = 0; i < N; i++)
            for (int j = i+1; j < N; j++)
                for (int k = j+1; k < N; k++)
                    if (a[i] + a[j] + a[k] == 0)
                        count++;
        return count;
    }
    public static void main(String[] args)
    {
        int[] a = In.readInts(args[0]);
        System.out.println(count(a));
    }
}
```

# Observation: Example

- How do we measure running time
  - Manual (e.g. stopwatch)
  - Use JUnit(look at running times of methods)
  - Automatic (build it into the program). Can use the Stopwatch() class.

```
public static void main(String[] args)
{
    int[] a = In.readInts(args[0]);
    Stopwatch    stopwatch = new Stopwatch();
    System.out.println(ThreeSum.count(a));
    double    time = stopwatch.elapsedTime();
    System.out.println("elapsed time " + time);
}
```



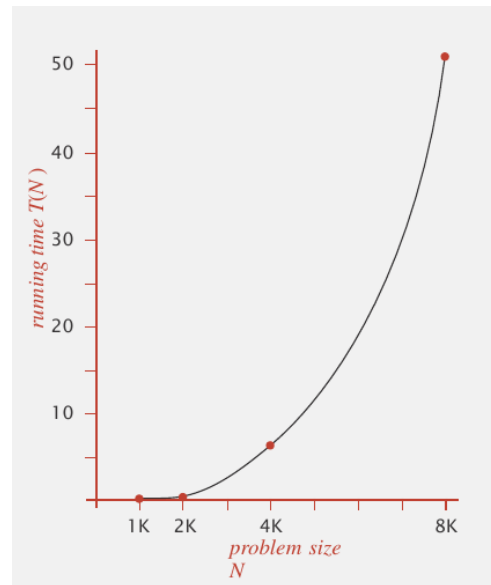
# Observation: Empirical Analysis

Running for different size input (N):

N	time (seconds) †
250	0.0
500	0.0
1,000	0.1
2,000	0.8
4,000	6.4
8,000	51.1
16,000	?

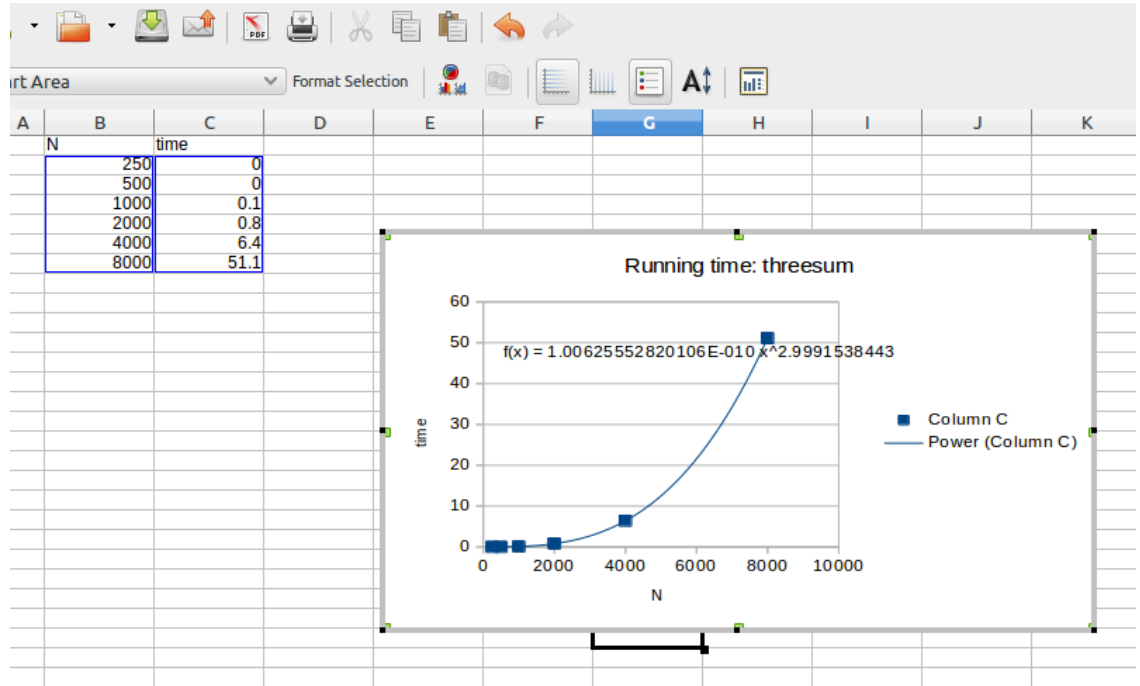
# Observation: Data Analysis

- Plot the running time  $T(N)$  against input size ( $N$ )
- How can we predict values for 16K
  - get an equation for the trendline in the graph
  - Equation can be used to calculate how long will my program take, as a function of the input size.
- One approach:
  - use a tool that can “fit” an equation to the trendline.
  - use the equation to predict other values



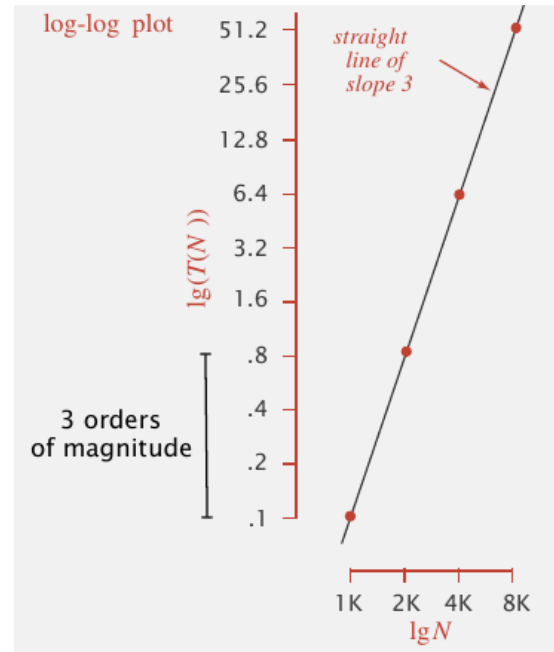
# Observation: Data analysis with Spreadsheet

- Chart data as X-Y plot
- Insert Trendline
- More info here:  
[http://www.cpp.edu/~seskandari/documents/Curve\\_Fitting\\_William\\_Lee.pdf](http://www.cpp.edu/~seskandari/documents/Curve_Fitting_William_Lee.pdf)
- Use equation for trendline to predict future values:
- Aproximating eqn:  
 $T(N) = 1.006 \times 10^{-10} N^3$



# Observation: Data Analysis using logs

- Log-log plot: Plot running time  $T(N)$  vs. input size  $N$  using log-log scale.
- Get straight line with slope of 3:
  - eqn. of straight line is  $y=mx + c$
  - for this graph:  $\lg(T(N)) = b \lg N + c$
  - $b=2.99$ ,  $c=-33.2103$
- $T(N)=aN^b$ , where  $a=2^c$  using power law  
[https://en.wikipedia.org/wiki/Power\\_law](https://en.wikipedia.org/wiki/Power_law)
- Now we can make a Hypothesis for running time
  - Running time is approx.  $2^{-33.21}N^3$   
 $T(N)=1.006 \times 10^{-10} N^3$
- Same as previous slide...



# Prediction and Validation

- Hypothesis: Running time is  $1.006 \times 10^{-10} N^3$  where N is the size of the input
- Predictions:
  - 51 seconds for N=8000
  - 408.1 seconds for N=16000
- Observations:

Hypothesis validated!

N	time (seconds) †
8,000	51.1
8,000	51.0
8,000	51.1
16,000	410.8

# What effects the Running Time

- System independent effects:
  - Algorithm
  - Input Data
- System dependent effects
  - hardware: processor, memory
  - software: compiler, garbage collection etc.
  - System: operating system, network, other apps...
- System independent effects determine the exponent in eqn.
- Both System independent and dependent effects determine the constant
- Difficult to get precise measurement but easier to obtain measurements
  - no animals were harmed in this experiment!
  - Can run large number of experiments.

# Mathematical Models for Algorithms

# Mathematical Models for Algorithms

- Example: 1-Sum
  - How many instructions are performed in the code:

```
int count = 0;
for (int i = 0; i < N; i++)
    if (a[i] == 0)
        count++;
```

operation	frequency
variable declaration	2
assignment statement	2
less than compare	$N + 1$
equal to compare	$N$
array access	$N$
increment	$N$ to $2N$



# Mathematical Models for Algorithms

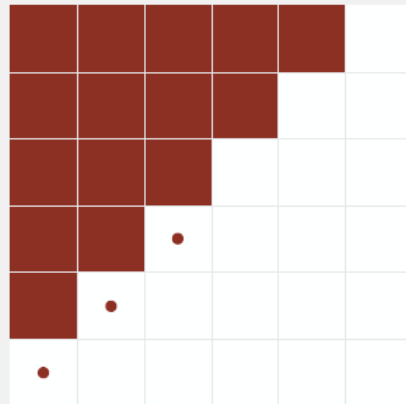
## Example: 2-sum

- How many instructions as a function of input size

```
1. int count = 0;  
2. for (int i = 0; i < N; i++)  
3.     for (int j = i+1; j < N; j++)  
4.         if (a[i] + a[j] == 0)  
5.             count++;
```

- Line 4 is executed  $(N-1)+(N-2)+(N-3)+\dots+2+1+0$  times

Pf. [ n even]



$$0 + 1 + 2 + \dots + (N - 1) = \frac{1}{2}N^2 - \frac{1}{2}N$$

half of square      half of diagonal

# Mathematical Models for Algorithms

## Example: 2-sum

```
1.  int count = 0;
2.  for (int i = 0; i < N; i++)
3.      for (int j = i+1; j < N; j++)
4.          if (a[i] + a[j] == 0)
5.              count++;
```

- NEED  
TO  
SIMPLIFY!!!

operation	frequency
variable declaration	$N + 2$
assignment statement	$N + 2$
less than compare	$\frac{1}{2} (N + 1) (N + 2)$
equal to compare	$\frac{1}{2} N (N - 1)$
array access	$N (N - 1)$
increment	$\frac{1}{2} N (N - 1)$ to $N (N - 1)$

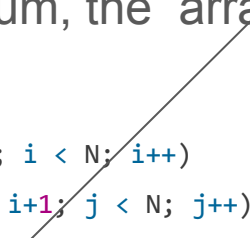
} tedious to count exactly

# Mathematical Cost Models: Simplify

“...we shall therefore only attempt to count the number of multiplications and recordings. ” — Alan Turing

- Identify a basic operation
  - usually the operation that executes the most number of times
  - Can ignore other operations
- In 2-sum, the array accesses in the “if” statement is a good choice:

```
int count = 0;
for (int i = 0; i < N; i++)
    for (int j = i+1; j < N; j++)
        if (a[i] + a[j] == 0)
            count++;
```



# Mathematical Cost Model: Simplicity

Time efficiency can be analysed by determining the number of repetitions of the basic operation as a function of input size. For big input sizes, N:

$$T(N) \approx c_{op} C(N)$$

Running  
Time

Number of times basic operation is  
executed

Execution time of basic operation

# Mathematical Cost Model: Simplify

Use “Tilda Notation”

- Estimate Number of Times Basic Operation is executed and use Higher Order term:
- For 2-Sum example:
  - Basic Operation runs  $N(N-1)$

$$C(N) = N^2 - N \sim N^2$$

operation	frequency
variable declaration	$N + 2$
assignment statement	$N + 2$
less than compare	$\frac{1}{2} (N + 1) (N + 2)$
equal to compare	$\frac{1}{2} N (N - 1)$
<b>array access</b>	$N (N - 1)$
increment	$\frac{1}{2} N (N - 1)$ to $N (N - 1)$

← cost model = ar

# Mathematical Cost Model

## 3-Sum Example:

```
1 int N = a.length;
2 int count = 0;
3 for (int i = 0; i < N; i++)
4     for (int j = i+1; j < N; j++)
5         for (int k = j+1; k < N; k++)
6             if (a[i] + a[j] + a[k] == 0)
7                 count++;
return count;
```

Basic Operation (line 6: “touches the array 3 times)


Number of times Line 6 executes:  $N(N-1)(N-2)/6 \sim N^3/6$  (Can calculate using discrete maths or online tool:

<http://www.wolframalpha.com/> )

Number of times array accessed  $C(N) \sim N^3/2$

What does this tell us about how the algorithm running time grows as you increase size?

$$T(N) = c_{\text{op}} C(N) = c_{\text{op}} N^3/3$$



Enter what you want to calculate or know about:

sum(sum(sum(1, k=j+1..N),j=i+1..N),i=1..N)

Sum:

$$\sum_{i=1}^N \left( \sum_{j=i+1}^N \left( \sum_{k=j+1}^N 1 \right) \right) = \frac{1}{6} N (N^2 - 3N + 2)$$

# Mathematical Cost Model: Summary

Develop a Mathematical model using the following steps

- Develop an input model, including a definition of the problem size(e.g. size of array)
- Identify the inner loop.
- Define a cost model that includes the “basic operation” in the inner loop.
- Determine the frequency of execution of the basic operation for the given input.

Doing so might require mathematical analysis...