

COSI 127b

Introduction to Database Systems

Lecture 16: Normalization (5)

Review: Decomposition Goal Tests

Test of $R = R_1 \cup \dots \cup R_n$ with FD set F :

- Lossless Joins? iff for each decomposition step, $R_i = R_i \cup R_j$:
 $(R_1 \cap R_2 \rightarrow R_1)$ or $(R_1 \cap R_2 \rightarrow R_2)$
- Redundancy Avoidance? iff for each R_i in decomposition result:
for each nontrivial, $X \rightarrow Y$ in F^+ covered by R_i , $X \rightarrow R_i$
- Dependency Preserving? iff:

$$\left(\bigcup_{i=1}^n \{f \in F^+ \mid f \text{ covered by } R_i\} \right)^+ = F^+$$

Review: Normalization

Boyce-Codd Normal Form (BCNF):

- can result in many decompositions (schemas) for same relation + FD Set
- all result schemas satisfy
 1. lossless joins
 2. redundancy avoidance
 3. dependency preservation (sometimes, but not always possible)

Review: BCNF

Boyce-Codd Normal Form (BCNF):

- can result in many decompositions (schemas) for same relation + FD Set
- all result schemas satisfy
 1. lossless joins
 2. redundancy avoidance
 3. dependency preservation (sometimes, but not always possible)

Formally:

Relation schema R , with FD set F , is in BCNF if

for every nontrivial FD, $X \rightarrow Y$ in F^+ that is covered by R , $X \rightarrow R$

Decomposition $R = R_1 \cup \dots \cup R_n$ with FD set F , is in BCNF if every resulting relation, R_i , is in BCNF

Review: BCNF

An Algorithm to Decompose A Relation into BCNF:

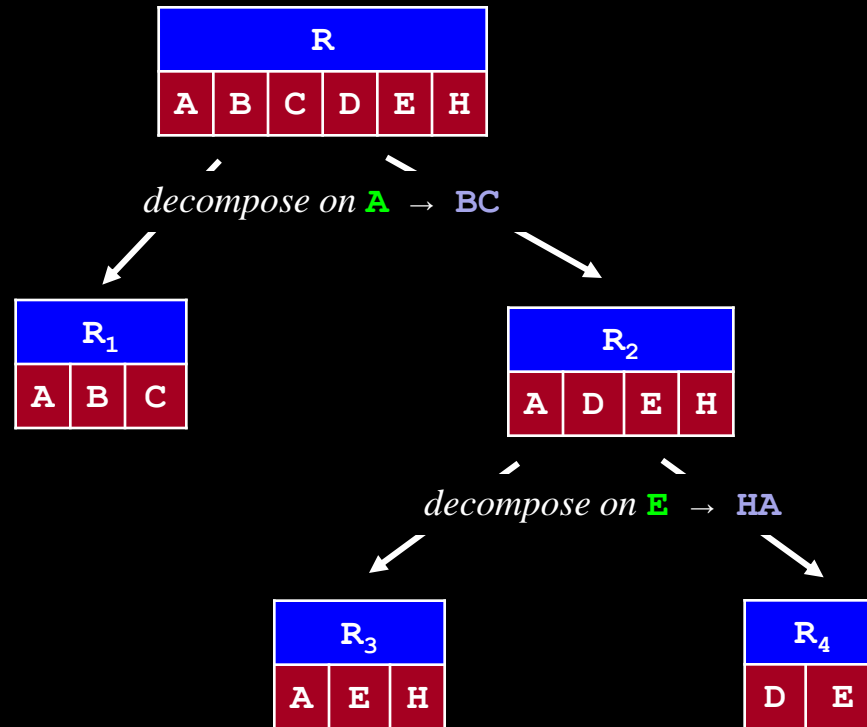
```
ALGORITHM BCNF (R: Relation, F: FD set)
BEGIN
  Compute  $F^+$ 
  Result  $\leftarrow \{R\}$ 
  WHILE some  $R_i \in \text{Result}$  not in BCNF DO
    Choose non-trivial  $(\mathbf{X} \rightarrow \mathbf{Y}) \in F^+$  such that:
      • X not a key of  $R_i$ , and
      •  $(\mathbf{X} \rightarrow \mathbf{Y})$  covered by  $R_i$ 
    Decompose  $R_i$  on  $(\mathbf{X} \rightarrow \mathbf{Y})$ 
      •  $R_{i1} \leftarrow X \cup Y$ 
      •  $R_{i2} \leftarrow R_i - Y$ 
    Result  $\leftarrow \text{Result} - \{R_i\} \cup \{R_{i1}, R_{i2}\}$ 
  RETURN Result
END
```

Review: BCNF

Example:

$$R = (A, B, C, D, E, H)$$
$$F_c = \{A \rightarrow BC, E \rightarrow HA\}$$

Decomposition:



Review: BCNF

Example:

$$R = (A, B, C, D, E, H)$$
$$F_c = \{A \rightarrow BC, E \rightarrow HA\}$$

Decomposition:

$$R = R_1 \cup R_3 \cup R_4$$

R ₁		
A	B	C

covers $A \rightarrow BC$

\cup

R ₃		
A	E	H

covers $E \rightarrow HA$

\cup

R ₄	
D	E

covers -

\Rightarrow *covers* $F_c \Rightarrow$ *covers* F^+

DP or not DP?

A: *DP*

Review: BCNF

Example:

```
R = (name, addr, fbeer, fmanf, lbeer, lmanf)
Fc = { name → addr fbeer,
        lbeer → lmanf,
        fbeer → fmanf }
```

Decompose R into BCNF, ensuring DP if possible

First...:



```
R = (name, addr, fbeer, fmanf, lbeer, lmanf)
Fc = { name → addr fbeer,
        lbeer → lmanf,
        fbeer → fmanf }
```

```
R = (name, addr, fbeer, fmanf, lbeer, lmanf)
Fc = { fbeer → fmanf,
        lbeer → lmanf,
        name → addr fbeer }
```


Review: BCNF

Example:

$R = (\text{name}, \text{addr}, \text{fbeer}, \text{fmanf}, \text{lbeer}, \text{lmanf})$
 $F_c = \{ \text{fbeer} \rightarrow \text{fmanf}$
 $\text{lbeer} \rightarrow \text{lmanf},$
 $\text{name} \rightarrow \text{addr fbeer} \}$

R					
name	addr	fbeer	fmanf	lbeer	lmanf

decompose on $\text{fbeer} \rightarrow \text{fmanf}$

R ₁	
fbeer	fmanf

R ₂				
name	addr	fbeer	lbeer	lmanf

decompose on $\text{lbeer} \rightarrow \text{lmanf}$

R ₃	
lbeer	lmanf

R ₄			
name	addr	fbeer	lbeer

decompose on $\text{name} \rightarrow \text{addr fbeer}$

R ₅		
name	addr	fbeer

R ₆	
name	lbeer

Review: BCNF

Example:

$R = (\text{name}, \text{addr}, \text{fbeer}, \text{fmanf}, \text{lbeer}, \text{lmanf})$
 $F_c = \{ \text{fbeer} \rightarrow \text{fmanf}$
 $\text{lbeer} \rightarrow \text{lmanf},$
 $\text{name} \rightarrow \text{addr fbeer} \}$

Result:

$$R = R_1 \cup R_3 \cup R_5 \cup R_6$$

R ₁		
fbeer	fmanf	

R ₃	
lbeer	lmanf

R ₅		
name	addr	fbeer

R ₆	
name	lbeer

covers **fbeer** \rightarrow fmanf

\cup

covers **lbeer** \rightarrow lmanf

\cup

covers **name** \rightarrow addr fbeer

\cup

covers -

$\Rightarrow \text{covers } F_c \Rightarrow \text{covers } F^+$

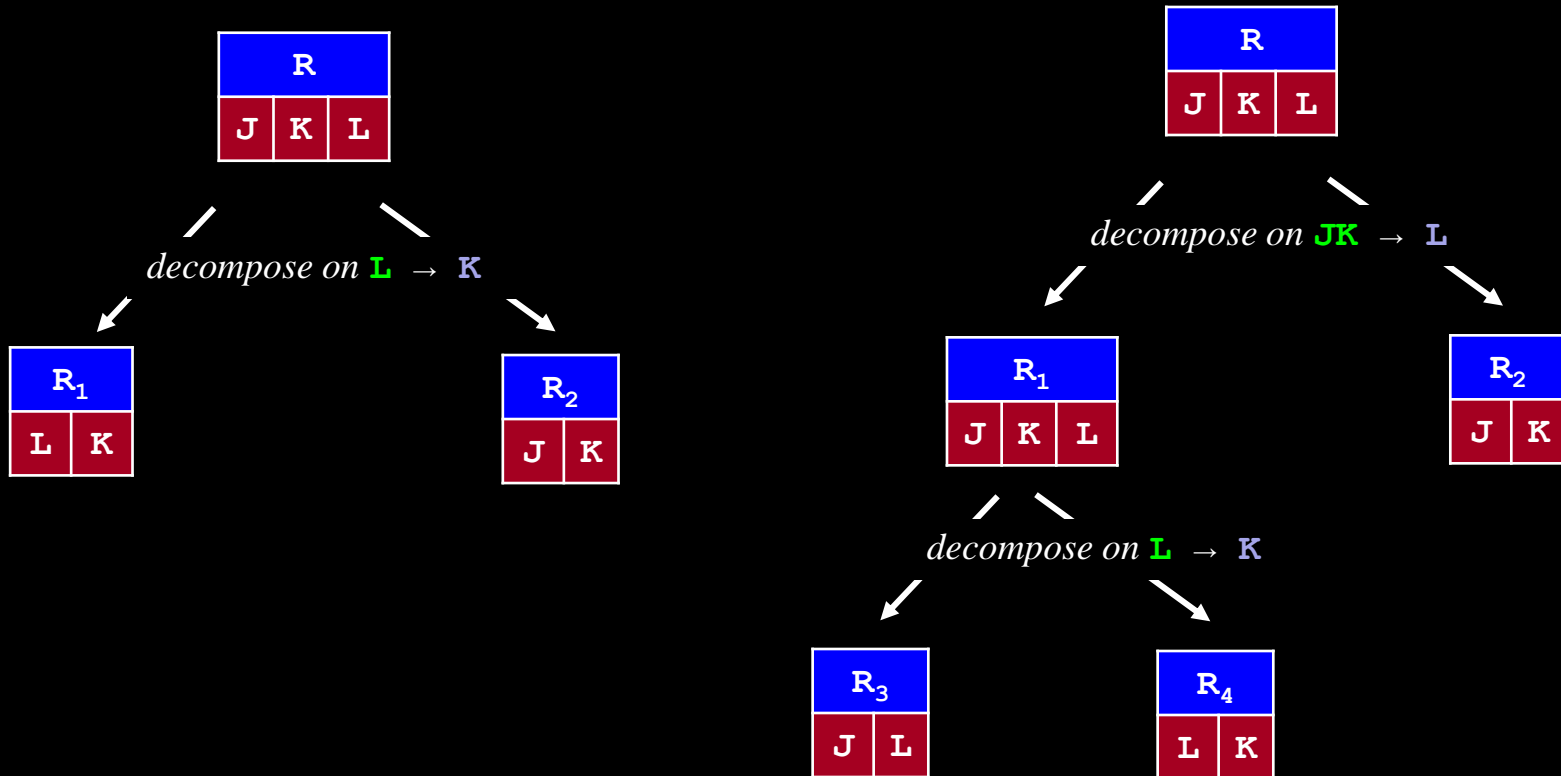
DP or not DP? **A:** DP

Review: BCNF

Example:

$$\begin{aligned} R &= (J, K, L) \\ F_c &= \{ \mathbf{L} \rightarrow \mathbf{K}, \mathbf{JK} \rightarrow \mathbf{L} \} \end{aligned}$$

No DP Decomposition



Normalization

Boyce-Codd Normal Form (BCNF):

- can result in many decompositions (schemas) for same relation + FD Set
- all result schemas satisfy
 1. lossless joins
 2. redundancy avoidance
 3. dependency preservation (sometimes, but not always possible)

Third Normal Form (3NF):

- can result in many decompositions (schemas) for same relation + FD Set
- all result schemas satisfy
 1. lossless joins
 2. dependency preservation (at least one schema satisfies)
 3. redundancy avoidance (sometimes, but not always possible)

Third Normal Form (3NF)

Motivation

- BCNF is not always dependency preserving
- for some apps, preserving dependencies more important than avoiding redundancy

Solution: A weaker normal form (3NF)

- always exists a lossless-join, DP 3NF decomposition

Third Normal Form (3NF)

3NF

Relation R in 3NF if for all $\mathbf{x} \rightarrow \mathbf{y}$ in F_c , either of the following holds:

1. $\mathbf{x} \rightarrow \mathbf{R}$, or
2. each attribute in $\mathbf{y} - \mathbf{x}$ is contained in a candidate key for R.
(NOTE: each attribute may be in a different candidate key)

Third Normal Form (3NF)

Example:

$R = (J, K, L)$

$F_c = \{ \text{JK} \rightarrow \text{L}, \text{L} \rightarrow \text{K} \}$

Candidate Keys:

A: JK and JL

In BCNF?

A: No - $\text{L} \rightarrow \text{K}$ covered but L not a key

BCNF + DP possible?

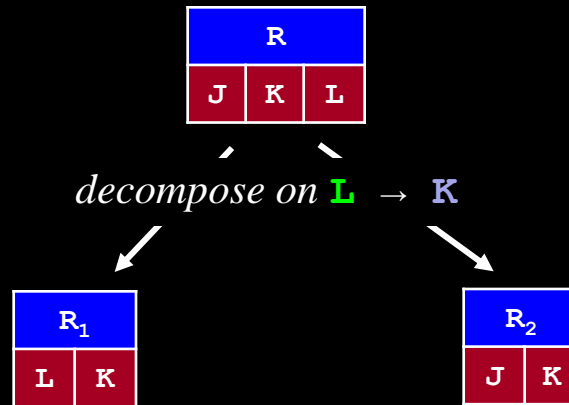
Boyce-Codd Normal Form (BCNF)

Example:

$R = (J, K, L)$

$F_c = \{JK \rightarrow L, L \rightarrow K\}$

BCNF Decomposition



$R_1 \cup R_2$ does not cover $JK \rightarrow L$

Third Normal Form (3NF)

Example:

$R = (J, K, L)$

$F_c = \{ \text{JK} \rightarrow \text{L}, \text{L} \rightarrow \text{K} \}$

Candidate Keys?

A: JK and JL

In BCNF?

A: No - $\text{L} \rightarrow \text{K}$ covered but L not a key

BCNF + DP possible?

A: No

Third Normal Form (3NF)

Example:

$$R = (J, K, L)$$

$$F_c = \{JK \rightarrow L, L \rightarrow K\}$$

Candidate Keys?

A: JK and JL

In 3NF?

A: Yes

Relation R in 3NF if for all $X \rightarrow Y$ in F_c , either of the following holds:

- $X \rightarrow R$, or
- each attribute in $Y - X$ is contained in a candidate key for R

Consider the FD's in F_c :

- $JK \rightarrow L$ satisfies (1) ($JK \rightarrow R$)
- $L \rightarrow K$ satisfies (2) (K is contained in candidate key, JK)

3NF Decomposition

An Algorithm to Decompose A Relation into 3NF:

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
```

3NF Decomposition

An Algorithm to Decompose A Relation into 3NF:

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(\mathbf{X} \rightarrow \mathbf{Y}) \in F_c$  DO
```

3NF Decomposition

An Algorithm to Decompose A Relation into 3NF:

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(\mathbf{X} \rightarrow \mathbf{Y}) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
```

3NF Decomposition

An Algorithm to Decompose A Relation into 3NF:

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(X \rightarrow Y) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
```

3NF Decomposition

An Algorithm to Decompose A Relation into 3NF:

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(\mathbf{X} \rightarrow \mathbf{Y}) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys?

1. $\{\text{Custid}, \text{Empid}\}$
2. $\{\text{Custid}, \text{Bname}\}$

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(X \rightarrow Y) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(X \rightarrow Y) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

Result = {}

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(X \rightarrow Y) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
    IF no R in Result includes a candidate key THEN
      • Construct  $R' \leftarrow X$  (for any candidate key, X)
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) $\{\text{Custid}, \text{Empid}\}$ and (2) $\{\text{Custid}, \text{Bname}\}$

Result = $\{\}$

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result :=  $\{\}$ 
  FOR each FD,  $(X \rightarrow Y) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

Result = { R_1 }

R_1	
Custid	Type

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD, ( $X \rightarrow Y$ )  $\in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) $\{\text{Custid}, \text{Empid}\}$ and (2) $\{\text{Custid}, \text{Bname}\}$

Result = $\{R_1\}$

R_1	
Custid	Type

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(X \rightarrow Y) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

Result = { R_1 , R_2 }

R_1	
Custid	Type

R_2	
Empid	Bname

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(X \rightarrow Y) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

Result = { R_1 , R_2 }

R_1	
Custid	Type

R_2	
Empid	Bname

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(X \rightarrow Y) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```


3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

Result = { R_1 , R_2 , R_3 }

R_1	
Custid	Type

R_2	
Empid	Bname

R_3		
Custid	Bname	Empid

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(X \rightarrow Y) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

Result = $\{R_1, R_2, R_3\}$

R ₁	
Custid	Type

R ₂	
Empid	Bname

R ₃		
Custid	Bname	Empid

ALGORITHM 3NF (R: Relation, F: FD set)

BEGIN

 Compute F_c

 Result := {}

FOR each FD, $(X \rightarrow Y) \in F_c$ **DO**

IF no R in Result includes $X \cup Y$ **THEN**

- Construct $R' \leftarrow X \cup Y$
- Result \leftarrow Result $\cup \{R'\}$

IF no R in Result includes a candidate key **THEN**

- Construct $R' \leftarrow X$ (for any candidate key, X)
- Result \leftarrow Result $\cup \{R'\}$

RETURN Result

END

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

Result = { R_1, R_2, R_3 }

R_1	
Custid	Type

R_2	
Empid	Bname

R_3		
Custid	Bname	Empid

ALGORITHM 3NF (R : Relation, F : FD set)

BEGIN

 Compute F_c

 Result := {}

FOR each FD, $(X \rightarrow Y) \in F_c$ **DO**

IF no R in Result includes $X \cup Y$ **THEN**

- Construct $R' \leftarrow X \cup Y$
- Result \leftarrow Result $\cup \{R'\}$

IF no R in Result includes a candidate key **THEN**

- Construct $R' \leftarrow X$ (for any candidate key, X)
- Result \leftarrow Result $\cup \{R'\}$

RETURN Result

END

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

$$R = R_1 \cup R_2 \cup R_3$$

R ₁	
Custid	Type

R ₂	
Empid	Bname

R ₃		
Custid	Bname	Empid

Third Normal Form (3NF):

- can result in many decompositions (schemas) for same relation + FD Set
- all result schemas satisfy
 1. lossless joins
 2. dependency preservation (at least one schema satisfies)
 3. redundancy avoidance (sometimes, but not always possible)

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

$$R = R_1 \cup R_2 \cup R_3$$

R_1	
Custid	Type

R_2	
Empid	Bname

R_3		
Custid	Bname	Empid

$\text{Empid} \rightarrow \text{Bname}$
covered but Empid not a key!

Third Normal Form (3NF):

- can result in many decompositions (schemas) for same relation + FD Set
- all result schemas satisfy
 1. lossless joins
 2. dependency preservation (at least one schema satisfies)
 3. redundancy avoidance (sometimes, but not always possible)

3NF Decomposition

Same Example, Alternative FD Ordering:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(X \rightarrow Y) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```

3NF Decomposition

Same Example, Alternative FD Ordering:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}, \text{Empid} \rightarrow \text{Bname}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(X \rightarrow Y) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```

3NF Decomposition

Same Example, Alternative FD Ordering:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}, \text{Empid} \rightarrow \text{Bname}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(X \rightarrow Y) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```


3NF Decomposition

Same Example, Alternative FD Ordering:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}, \text{Empid} \rightarrow \text{Bname}\}$

Candidate Keys: (1) $\{\text{Custid}, \text{Empid}\}$ and (2) $\{\text{Custid}, \text{Bname}\}$

Result = $\{R_1\}$

R_1	
Custid	Type

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(X \rightarrow Y) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```

3NF Decomposition

Same Example, Alternative FD Ordering:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}, \text{Empid} \rightarrow \text{Bname}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

Result = { R_1 , R_2 }

R_1	
Custid	Type

R_2		
Custid	Bname	Empid

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD, ( $X \rightarrow Y$ )  $\in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```

3NF Decomposition

Same Example, Alternative FD Ordering:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}, \text{Empid} \rightarrow \text{Bname}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

Result = { R_1 , R_2 }

R_1	
Custid	Type

R_2		
Custid	Bname	Empid

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(X \rightarrow Y) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```

3NF Decomposition

Same Example, Alternative FD Ordering:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}, \text{Empid} \rightarrow \text{Bname}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

Result = { R_1 , R_2 }

R_1	
Custid	Type

R_2		
Custid	Bname	Empid

ALGORITHM 3NF (R : Relation, F : FD set)

BEGIN

 Compute F_c

 Result := {}

FOR each FD, $(X \rightarrow Y) \in F_c$ **DO**

IF no R in Result includes $X \cup Y$ **THEN**

- Construct $R' \leftarrow X \cup Y$
- Result \leftarrow Result $\cup \{R'\}$

IF no R in Result includes a candidate key **THEN**

- Construct $R' \leftarrow X$ (for any candidate key, X)
- Result \leftarrow Result $\cup \{R'\}$

RETURN Result

END

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}, \text{Empid} \rightarrow \text{Bname}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

Decomposition #1: $R = R_1 \cup R_2 \cup R_3$

R ₁	
Custid	Type

R ₂	
Empid	Bname

R ₃		
Custid	Bname	Empid

Decomposition #2: $R = R_1 \cup R_2$

R ₁	
Custid	Type

R ₂		
Custid	Bname	Empid

*neither avoids
redundancy, but #2 is
preferable*

3NF Decomposition

An Algorithm to Decompose A Relation into 3NF:

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(\mathbf{X} \rightarrow \mathbf{Y}) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  RETURN Result
END
```

3NF Decomposition

An Algorithm to Decompose A Relation into 3NF (Revised):

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(X \rightarrow Y) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  FOR each R,  $R' \in$  Result such that  $R \subset R'$  DO
    Result  $\leftarrow$  Result - {R}
  RETURN Result
END
```

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

Result = { R_1, R_2, R_3 }

R_1	
Custid	Type

R_2	
Empid	Bname

R_3		
Custid	Bname	Empid

ALGORITHM 3NF (R : Relation, F : FD set)

BEGIN

 Compute F_c

 Result := {}

FOR each FD, $(X \rightarrow Y) \in F_c$ **DO**

IF no R in Result includes $X \cup Y$ **THEN**

- Construct $R' \leftarrow X \cup Y$
- Result \leftarrow Result $\cup \{R'\}$

IF no R in Result includes a candidate key **THEN**

- Construct $R' \leftarrow X$ (for any candidate key, X)
- Result \leftarrow Result $\cup \{R'\}$

FOR each $R, R' \in$ Result such that $R \subset R'$ **DO**

 Result \leftarrow Result $- \{R\}$

RETURN Result

END

3NF Decomposition

Example:

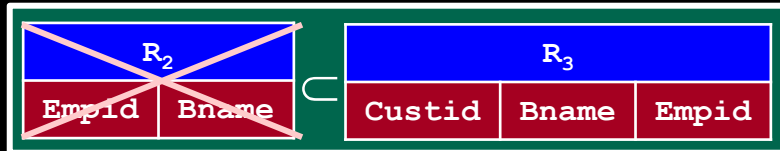
$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

Result = { R_1 , R_2 , R_3 }

R_1	
Custid	Type



ALGORITHM 3NF (R: Relation, F: FD set)

BEGIN

Compute F_c

Result := {}

FOR each FD, $(X \rightarrow Y) \in F_c$ **DO**

IF no R in Result includes $X \cup Y$ **THEN**

- Construct $R' \leftarrow X \cup Y$
- Result \leftarrow Result $\cup \{R'\}$

IF no R in Result includes a candidate key **THEN**

- Construct $R' \leftarrow X$ (for any candidate key, X)
- Result \leftarrow Result $\cup \{R'\}$

FOR each R, $R' \in$ Result such that $R \subset R'$ **DO**

 Result \leftarrow Result - {R}

RETURN Result

END

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

Result = { R_1 , R_3 }

R_1	
Custid	Type

R_3		
Custid	Bname	Empid

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD, ( $X \rightarrow Y$ )  $\in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
    IF no R in Result includes a candidate key THEN
      • Construct  $R' \leftarrow X$  (for any candidate key, X)
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  FOR each R,  $R' \in$  Result such that  $R \subset R'$  DO
    Result  $\leftarrow$  Result - {R}
  RETURN Result
END
```

3NF Decomposition

Example:

$R = (\text{Custid}, \text{Empid}, \text{Bname}, \text{Type})$

$F_c = \{\text{Custid} \rightarrow \text{Type}, \text{Empid} \rightarrow \text{Bname}, \text{Custid}, \text{Bname} \rightarrow \text{Empid}\}$

Candidate Keys: (1) {Custid, Empid} and (2) {Custid, Bname}

$$R = R_1 \cup R_3$$

R_1	
Custid	Type

R_3		
Custid	Bname	Empid

*revised algorithm produces same
decomposition regardless of FD order*

Database Design

Three Approaches:

1. Ad hoc:

- use **Entity-Relationship Model** to model data requirements
- translate **ER** design into relational schema

Issue: How to tell if design is "good"?

2. Theoretical:

- construct **universal relations** (e.g., Borrower-All)
- **decompose** above using known **functional dependencies**

Issue: Time-Consuming and Complex

3. Practical:

- use ER Model to produce 1st cut DB design
- use FDs to refine and verify

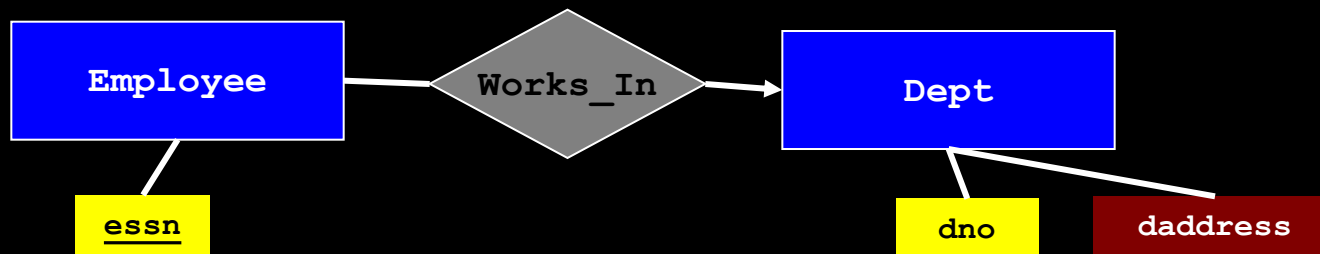
Ad hoc DB Design: E/R + Normalize

Not all E/R Designs are correct...

- common E/R design flaw: functional dependencies from non-key attributes of an entity to other attributes of the entity



Normalization detects bugs and informs how to fix



Summary: Decomposition Goals

- Lossless Joins
- Redundancy Avoidance
- Dependency Preserving

Summary: Decomposition Goal Tests

Test of $R = R_1 \cup \dots \cup R_n$ with FD set F :

- Lossless Joins? iff for each decomposition step, $R_i = R_i \cup R_j$:
 $(R_i \cap R_j \rightarrow R_i)$ or $(R_i \cap R_j \rightarrow R_j)$
- Redundancy Avoidance? iff for each R_i in decomposition result:
for each nontrivial, $X \rightarrow Y$ in F^+ covered by R_i , $X \rightarrow R_i$
- Dependency Preserving? iff:

$$\left(\bigcup_{i=1}^n \{f \in F^+ \mid f \text{ covered by } R_i\} \right)^+ = F^+$$

Summary: Normalization

Boyce-Codd Normal Form (BCNF):

- can result in many decompositions (schemas) for same relation + FD Set
- all result schemas satisfy
 1. Lossless Joins
 2. Redundancy Avoidance
 3. Dependency Preservation (sometimes, but not always possible)

Third Normal Form (3NF):

- can result in many decompositions (schemas) for same relation + FD Set
- all result schemas satisfy
 1. Lossless Joins
 2. Dependency Preservation (at least one schema satisfies)
 3. Redundancy Avoidance (sometimes, but not always possible)

Summary: Normalization

An Algorithm to Decompose A Relation into BCNF:

```
ALGORITHM BCNF (R: Relation, F: FD set)
BEGIN
  Compute  $F^+$ 
  Result  $\leftarrow \{R\}$ 
  WHILE some  $R_i \in \text{Result}$  not in BCNF DO
    Choose non-trivial  $(\mathbf{X} \rightarrow \mathbf{Y}) \in F^+$  such that:
      • X not a key of  $R_i$ , and
      •  $(\mathbf{X} \rightarrow \mathbf{Y})$  covered by  $R_i$ 
    Decompose  $R_i$  on  $(\mathbf{X} \rightarrow \mathbf{Y})$ 
      •  $R_{i1} \leftarrow X \cup Y$ 
      •  $R_{i2} \leftarrow R_i - Y$ 
    Result  $\leftarrow \text{Result} - \{R_i\} \cup \{R_{i1}, R_{i2}\}$ 
  RETURN Result
END
```

Summary: Normalization

An Algorithm to Decompose A Relation into 3NF:

```
ALGORITHM 3NF (R: Relation, F: FD set)
BEGIN
  Compute  $F_c$ 
  Result := {}
  FOR each FD,  $(\mathbf{X} \rightarrow \mathbf{Y}) \in F_c$  DO
    IF no R in Result includes  $X \cup Y$  THEN
      • Construct  $R' \leftarrow X \cup Y$ 
      • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  IF no R in Result includes a candidate key THEN
    • Construct  $R' \leftarrow X$  (for any candidate key, X)
    • Result  $\leftarrow$  Result  $\cup \{R'\}$ 
  FOR each R,  $R' \in$  Result such that  $R \subset R'$  DO
    Result  $\leftarrow$  Result - {R}
  RETURN Result
END
```

Course Evaluations

Final Course Evaluations:

- end of term
- anonymous
- seen by all
- part of how instructor evaluated by university
- can suggest useful changes to course next time it is offered

Midterm Course Evaluation:

- after midterm taken, before graded midterms returned
- anonymous
- seen by me only
- part of how I see if course is helping you
- can suggest useful changes to course this semester