A (short) survey on signature-based Gröbner Basis Algorithms

Christian Eder, Jean-Charles Faugère,
John Perry and Bjarke Hammersholt Roune

ACA 2014, New York, US

July 10, 2014



Let $I = \langle g_1, \overline{g}_2 \rangle \in \mathbb{Q}[x,y,z]$ and let < denote DRL. Let

$$g_1 = xy - z^2, \quad g_2 = y^2 - z^2$$

Let $I = \langle g_1, g_2 \rangle \in \mathbb{Q}[x, y, z]$ and let < denote DRL. Let

$$g_1 = xy - z^2, \quad g_2 = y^2 - z^2$$

spol
$$(g_2, g_1) = xg_2 - yg_1 = xy^2 - xz^2 - xy^2 + yz^2$$

= $-xz^2 + yz^2$.

$$\implies g_3 = xz^2 - yz^2.$$

Let $I = \langle g_1, g_2 \rangle \in \mathbb{Q}[x, y, z]$ and let < denote DRL. Let

$$g_1 = xy - z^2, \quad g_2 = y^2 - z^2$$

spol
$$(g_2, g_1) = xg_2 - yg_1 = xy^2 - xz^2 - xy^2 + yz^2$$

= $-xz^2 + yz^2$.

$$\implies g_3 = xz^2 - yz^2.$$

$$spol(g_3, g_1) = xyz^2 - y^2z^2 - xyz^2 + z^4 = -y^2z^2 + z^4.$$

Let $I = \langle g_1, g_2 \rangle \in \mathbb{Q}[x, y, z]$ and let < denote DRL. Let

$$g_1 = xy - z^2, \quad g_2 = y^2 - z^2$$

spol
$$(g_2, g_1) = xg_2 - yg_1 = xy^2 - xz^2 - xy^2 + yz^2$$

= $-xz^2 + yz^2$.

$$\implies g_3 = xz^2 - yz^2$$
.

$$spol(g_3, g_1) = xyz^2 - y^2z^2 - xyz^2 + z^4 = -y^2z^2 + z^4.$$

We can reduce further using z^2g_2 :

$$-y^2z^2+z^4+y^2z^2-z^4=0.$$

Let
$$I = \langle f_1, \ldots, f_m \rangle$$
.

Let
$$I = \langle f_1, \dots, f_m \rangle$$
.

Idea: Give each $f \in I$ a bit more structure:

▶ Let R^m be generated by $e_1, ..., e_m$ and let \prec be a compatible monomial order on the monomials of R^m .

Let $I = \langle f_1, \ldots, f_m \rangle$.

- ▶ Let R^m be generated by $e_1, ..., e_m$ and let \prec be a compatible monomial order on the monomials of R^m .
- ▶ Let $\alpha \mapsto \overline{\alpha} : R^m \to R$ such that $\overline{e}_i = f_i$ for all i.

Let $I = \langle f_1, \ldots, f_m \rangle$.

- ▶ Let R^m be generated by $e_1, ..., e_m$ and let \prec be a compatible monomial order on the monomials of R^m .
- ▶ Let $\alpha \mapsto \overline{\alpha} : R^m \to R$ such that $\overline{e}_i = f_i$ for all i.
- ▶ Each $f \in I$ can be represented via some $\alpha \in R^m$: $f = \overline{\alpha}$

Let $I = \langle f_1, \ldots, f_m \rangle$.

- ▶ Let R^m be generated by $e_1, ..., e_m$ and let \prec be a compatible monomial order on the monomials of R^m .
- ▶ Let $\alpha \mapsto \overline{\alpha} : R^m \to R$ such that $\overline{e}_i = f_i$ for all i.
- ▶ Each $f \in I$ can be represented via some $\alpha \in R^m$: $f = \overline{\alpha}$
- ▶ A signature of f is given by $\mathfrak{s}(f) = \mathsf{lt}_{\prec}(\alpha)$ where $f = \overline{\alpha}$.

Let $I = \langle f_1, \ldots, f_m \rangle$.

- ▶ Let R^m be generated by $e_1, ..., e_m$ and let \prec be a compatible monomial order on the monomials of R^m .
- ▶ Let $\alpha \mapsto \overline{\alpha} : R^m \to R$ such that $\overline{e}_i = f_i$ for all i.
- ▶ Each $f \in I$ can be represented via some $\alpha \in R^m$: $f = \overline{\alpha}$
- ▶ A signature of f is given by $\mathfrak{s}(f) = \mathsf{lt}_{\prec}(\alpha)$ where $f = \overline{\alpha}$.
- ▶ An element $\alpha \in \mathcal{R}^m$ with $\overline{\alpha} = 0$ is called a syzygy.

Our example again – with signatures and \prec_{pot}

$$g_1 = xy - z^2, \, \mathfrak{s}(g_1) = e_1,$$

 $g_2 = y^2 - z^2, \, \mathfrak{s}(g_2) = e_2.$

Our example again – with signatures and ≺pot

$$g_1 = xy - z^2$$
, $\mathfrak{s}(g_1) = e_1$,
 $g_2 = y^2 - z^2$, $\mathfrak{s}(g_2) = e_2$.

$$g_3 = \operatorname{spol}(g_2, g_1) = xg_2 - yg_1$$

 $\Rightarrow \mathfrak{s}(g_3) = x \mathfrak{s}(g_2) = xe_2.$

Our example again – with signatures and ≺pot

$$g_1 = xy - z^2$$
, $\mathfrak{s}(g_1) = e_1$,
 $g_2 = y^2 - z^2$, $\mathfrak{s}(g_2) = e_2$.

$$g_3 = \operatorname{spol}(g_2, g_1) = xg_2 - yg_1$$

 $\Rightarrow \mathfrak{s}(g_3) = x\mathfrak{s}(g_2) = xe_2.$

$$spol(g_3, g_1) = yg_3 - z^2g_1$$

$$\Rightarrow \mathfrak{s}\left(spol(g_3, g_1)\right) = y\mathfrak{s}(g_3) = xye_2.$$

Our example again – with signatures and ≺pot

$$g_1 = xy - z^2$$
, $\mathfrak{s}(g_1) = e_1$,
 $g_2 = y^2 - z^2$, $\mathfrak{s}(g_2) = e_2$.

$$g_3 = \operatorname{spol}(g_2, g_1) = xg_2 - yg_1$$

 $\Rightarrow \mathfrak{s}(g_3) = x \mathfrak{s}(g_2) = xe_2.$

$$spol(g_3, g_1) = yg_3 - z^2g_1$$

$$\Rightarrow \mathfrak{s}\left(spol(g_3, g_1)\right) = y\mathfrak{s}(g_3) = xye_2.$$

Note that $\mathfrak{s}(\operatorname{spol}(g_3,g_1))=xye_2$ and $\operatorname{Im}(g_1)=xy$.

 $\alpha \in R^m \implies \operatorname{polynomial} \overline{\alpha} \text{ with } \operatorname{lt}(\overline{\alpha}), \operatorname{signature} \mathfrak{s}(\alpha) = \operatorname{lt}(\alpha)$

$$lpha \in \mathit{R}^m \implies \mathsf{polynomial} \; \overline{lpha} \; \mathsf{with} \; \mathsf{lt}(\overline{lpha}), \, \mathsf{signature} \; \mathfrak{s}(lpha) = \mathsf{lt}(lpha)$$

S-pairs/S-polynomials:

$$\operatorname{\mathsf{spol}}\left(\overline{lpha},\overline{eta}
ight) = a\overline{lpha} - b\overline{eta} \implies \operatorname{\mathsf{spair}}(lpha,eta) = alpha - beta$$

$$lpha \in \mathit{R}^m \implies \mathsf{polynomial} \; \overline{lpha} \; \mathsf{with} \; \mathsf{lt}(\overline{lpha}), \, \mathsf{signature} \; \mathfrak{s}(lpha) = \mathsf{lt}(lpha)$$

S-pairs/S-polynomials:

$$\operatorname{spol}\left(\overline{lpha},\overline{eta}
ight)=a\overline{lpha}-b\overline{eta} \implies \operatorname{spair}\left(lpha,eta
ight)=alpha-beta$$

s-reductions:

$$\overline{\gamma} - d\overline{\delta} \implies \gamma - d\delta$$

$$\alpha \in R^m \implies \text{polynomial } \overline{\alpha} \text{ with } \text{lt}(\overline{\alpha}), \text{ signature } \mathfrak{s}(\alpha) = \text{lt}(\alpha)$$

S-pairs/S-polynomials:

$$\operatorname{spol}\left(\overline{lpha},\overline{eta}
ight)=a\overline{lpha}-b\overline{eta}\implies \operatorname{spair}\left(lpha,eta
ight)=alpha-beta$$

s-reductions:

$$\overline{\gamma} - d\overline{\delta} \implies \gamma - d\delta$$

Remark

In the following we need one detail from signature-based Gröbner Basis computations:

We pick from P by increasing signature.

$$\mathfrak{s}(lpha)=\mathfrak{s}(eta) \implies ext{Compute 1, remove 1.}$$

$$\mathfrak{s}(\alpha) = \mathfrak{s}(\beta) \implies \text{Compute 1, remove 1.}$$

Sketch of proof

- **1.** $\mathfrak{s}(\alpha-\beta) \prec \mathfrak{s}(\alpha), \mathfrak{s}(\beta)$.
- 2. All S-pairs are handled by increasing signature.
 - \Rightarrow All relations $\prec \mathfrak{s}(\alpha)$ are known:

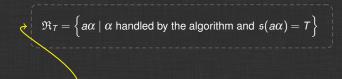
$$lpha=eta+$$
 elements of smaller signature

S-pairs in signature T

S-pairs in signature T

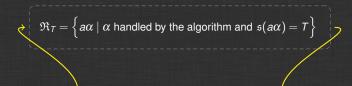
What are all possible configurations to reach signature *T*?

S-pairs in signature T



What are all possible configurations to reach signature *T*?

S-pairs in signature T



What are all possible configurations to reach signature *T*?

Define an order \leq on $\mathfrak{R}_{\mathcal{T}}$ and choose the maximal element.

$$\mathfrak{R}_{\mathcal{T}} = \Big\{ alpha \mid lpha$$
 handled by the algorithm and $\mathfrak{s}(alpha) = \mathcal{T} \Big\}$

$$\mathfrak{R}_{\mathcal{T}} = \Big\{ alpha \mid lpha$$
 handled by the algorithm and $\mathfrak{s}(alpha) = \mathcal{T} \Big\}$

Choose $b\beta$ to be an element of $\mathfrak{R}_{\mathcal{T}}$ maximal w.r.t. an order \leq .

$$\mathfrak{R}_{\mathcal{T}} = \Big\{ alpha \mid lpha ext{ handled by the algorithm and } \mathfrak{s}(alpha) = \mathcal{T} \Big\}$$

Choose $b\beta$ to be an element of \mathfrak{R}_T maximal w.r.t. an order \leq .

1. If $b\beta$ is a syzygy

 \implies Go on to next signature.

$$\mathfrak{R}_{\mathcal{T}} = \Big\{ alpha \mid lpha$$
 handled by the algorithm and $\mathfrak{s}(alpha) = \mathcal{T} \Big\}$

Choose $b\beta$ to be an element of \Re_T maximal w.r.t. an order \leq .

- 1. If $b\beta$ is a syzygy \implies Go on to next signature.
- 2. If $b\beta$ is not part of an S-pair \implies Go on to next signature.

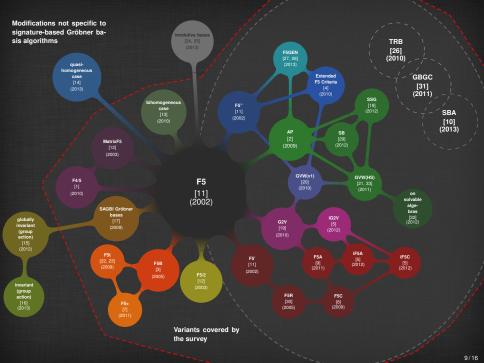
$$\mathfrak{R}_{\mathcal{T}} = \Big\{ alpha \mid lpha ext{ handled by the algorithm and } \mathfrak{s}(alpha) = \mathcal{T} \Big\}$$

Choose $b\beta$ to be an element of \Re_T maximal w.r.t. an order \leq .

- 1. If $b\beta$ is a syzygy \implies Go on to next signature.
- 2. If $b\beta$ is not part of an S-pair \implies Go on to next signature.

Revisiting our example with \prec_{pot}

$$\left. \begin{array}{c} \mathfrak{s} \left(\mathsf{spol} (g_3, g_1) \right) = \mathit{xye}_2 \\ \\ g_1 = \mathit{xy} - \mathit{z}^2 \\ \\ g_2 = \mathit{y}^2 - \mathit{z}^2 \end{array} \right\} \Rightarrow \mathsf{psyz} (g_2, g_1) = g_1 e_2 - g_2 e_1 = \mathit{xye}_2 + \dots$$



There are three different choices you can make:

There are three different choices you can make:

1. Choose a module monomial order \prec compatible to \lt .

There are three different choices you can make:

1. Choose a module monomial order \prec compatible to \lt .

2. Choose an order on the pair set *P*. Common choice: By increasing signature

There are three different choices you can make:

1. Choose a module monomial order \prec compatible to \lt .

2. Choose an order on the pair set *P*. Common choice: By increasing signature

- **3.** Choose a rewrite order \leq on $\mathfrak{R}_{\mathcal{T}}$ such that $\alpha \leq \beta$: Common choices:
 - ▶ $\alpha \in \mathscr{G} \leq \beta$ syzygy
 - $\blacktriangleright \beta \text{ added to } \mathscr{G} \text{ after } \alpha \text{ or } \mathfrak{s}(\alpha) \text{lt } \left(\overline{\beta}\right) \prec \mathfrak{s}(\beta) \text{lt } (\overline{\alpha}).$

Buchberger's Product and Chain criterion can be combined with easily:

Buchberger's Product and Chain criterion can be combined with easily:

Chain criterion is a special case of the Rewrite criterion ⇒ already included.

Buchberger's Product and Chain criterion can be combined with easily:

Chain criterion is a special case of the Rewrite criterion ⇒ already included.

Product criterion is not always (but mostly) included.

Buchberger's Product and Chain criterion can be combined with easily:

Product criterion is not always (but mostly) included.

lpha added to ${\mathscr G}$

Generate all possible principal syzygies with α . (e.g. **GVW**)

Buchberger's Product and Chain criterion can be combined with easily:

Chain criterion is a special case of the Rewrite criterion ⇒ already included.

Product criterion is not always (but mostly) included.

 α added to \mathscr{G}

▼

Generate all possible principal syzygies with α . (e.g. **GVW**)

S-pair fulfilling Product criterion not detected by Rewrite criterion

Add one corresponding syzygy. (e.g. **SB** in **Singular**)

References I

- [1] Albrecht, M. and Perry, J. F4/5. http://arxiv.org/abs/1006.4933, 2010.
- [2] Arri, A. and Perry, J. The F5 Criterion revised. Journal of Symbolic Computation, 46(2):1017–1029, June 2011. Preprint online at arxiv.org/abs/1012.3664.
- [3] Ars, G. Applications des bases de Gröbner à la cryptographie. PhD thesis, Université de Rennes I, 2005.
- [4] Ars, G. and Hashemi, A. Extended F5 Criteria. Journal of Symbolic Computation, MEGA 2009 special issue, 45(12):1330–1340, 2010.
- [5] Eder, C. Improving incremental signature-based Groebner bases algorithms. ACM SIGSAM Communications in Computer Algebra, 47(1):1–13, 2013. http://arxiv.org/abs/1201.6472.
- [6] Eder, C. and Faugère, J.-C. A survey on signature-based Groebner basis algorithms, 2014. http://arxiv.org/abs/1404.1774
- [7] Eder, C., Gash, J., and Perry, J. Modifying Faugère's F5 Algorithm to ensure termination. ACM SIGSAM Communications in Computer Algebra, 45(2):70–89, 2011. http://arxiv.org/abs/1006.0318.
- [8] Eder, C. and Perry, J. F5C: A Variant of Faugère's F5 Algorithm with reduced Gröbner bases. Journal of Symbolic Computation, MEGA 2009 special issue, 45(12):1442–1458, 2010. dx.doi.org/10.1016/j.jsc.2010.06.019.

References II

- [9] Eder, C. and Perry, J. Signature-based Algorithms to Compute Gröbner Bases. In ISSAC 2011: Proceedings of the 2011 international symposium on Symbolic and algebraic computation, pages 99–106, 2011.
- [10] Eder, C. and Roune, B. H. Signature Rewriting in Gröbner Basis Computation. In ISSAC 2013: Proceedings of the 2013 international symposium on Symbolic and algebraic computation, pages 331–338, 2013.
- [11] Faugère, J.-C. A new efficient algorithm for computing Gröbner bases without reduction to zero F5. In ISSAC'02, Villeneuve d'Ascq, France, pages 75–82, July 2002. Revised version from http://fgbrs.lip6.fr/jcf/Publications/index.html.
- [12] Faugère, J.-C. and Joux, A. Algebraic Cryptanalysis of Hidden Field Equation (HFE) Cryptosystems Using Gröbner Bases. 2729:44–60, 2003.
- [13] Faugère, J.-C., Safey El Din, M., and Spaenlehauer, P.-J. Gröbner Bases of Bihomogeneous Ideals Generated by Polynomials of Bidegree (1,1): Algorithms and Complexity. *Journal of Symbolic Computation*, 46(4):406–437, 2011. Available online 4 November 2010.
- [14] Faugère, J.-C., Safey El Din, M., and Verron, T. On the complexity of Computing Gröbner Bases for Quasi-homogeneous Systems. In Proceedings of the 38th international symposium on International symposium on symbolic and algebraic computation, ISSAC '13, pages 189–196, New York, NY, USA, 2013. ACM.

References III

- [15] Faugère, J.-C. and Svartz, J. Solving polynomial systems globally invariant under an action of the symmetric group and application to the equilibria of n vertices in the plane. In Proceedings of the 37th international symposium on International symposium on symbolic and algebraic computation, ISSAC '12, pages 170–178, New York, NY, USA, 2012. ACM.
- [16] Faugère, J.-C. and Svartz, J. Gröbner Bases of ideals invariant under a Commutative group: the Non-modular Case. In Proceedings of the 38th international symposium on International symposium on symbolic and algebraic computation, ISSAC '13, pages 347–354. New York, NY, USA, 2013. ACM.
- [17] Faugère, J.-C. and Rahmany, S. Solving systems of polynomial equations with symmetries using SAGBI-Gröbner bases. In ISSAC '09: Proceedings of the 2009 international symposium on Symbolic and algebraic computation, ISSAC '09, pages 151–158, New York, NY, USA, 2009. ACM.
- [18] Galkin, V. Simple signature-based Groebner basis algorithm. http://arxiv.org/abs/1205.6050, 2012.
- [19] Gao, S., Guan, Y., and Volny IV, F. A new incremental algorithm for computing Gröbner bases. In ISSAC '10: Proceedings of the 2010 international symposium on Symbolic and algebraic computation, pages 13–19. ACM, 2010.
- [20] Gao, S., Volny IV, F., and Wang, D. A new algorithm for computing Groebner bases. http://eprint.iacr.org/2010/641, 2010.

References IV

- [21] Gao, S., Volny IV, F., and Wang, D. A new algorithm for computing Groebner bases (rev. 2011). http://www.math.clemson.edu/~sgao/papers/gvw.pdf, 2011.
- [22] Gash, J. M. On efficient computation of Gröbner bases. PhD thesis, University of Indiana, Bloomington, IN, 2008.
- [23] Gash, J. M. A provably terminating and speed-competitive variant of F5 F5t. submitted to the Journal of Symbolic Computation, 2009.
- [24] Gerdt, V. P. and Hashemi, A. On the use of Buchberger criteria in G2V algorithm for calculating Gröbner bases. *Program. Comput. Softw.*, 39(2):81–90, March 2013.
- [25] Gerdt, V. P., Hashemi, A., and M.-Alizadeh, B. Involutive Bases Algorithm Incorporating F5 Criterion. J. Symb. Comput., 59:1–20, 2013.
- [26] Huang, L. A new conception for computing Gröbner basis and its applications. http://arxiv.org/abs/1012.5425, 2010.
- [27] Pan, S., Hu, Y., and Wang, B. The Termination of Algorithms for Computing Gröbner Bases. http://arxiv.org/abs/1202.3524, 2012.
- [28] Pan, S., Hu, Y., and Wang, B. The Termination of the F5 Algorithm Revisited. In ISSAC 2013: Proceedings of the 2013 international symposium on Symbolic and algebraic computation, pages 291–298, 2013.

References V

- [29] Roune, B. H. and Stillman, M. Practical Gröbner Basis Computation. In ISSAC 2012: Proceedings of the 2012 international symposium on Symbolic and algebraic computation, 2012.
- [30] Stegers, T. Faugère's F5 Algorithm revisited. Master's thesis, Technische Univerität Darmstadt, revised version 2007.
- [31] Sun, Y. and Wang, D. K. A generalized criterion for signature related Gröbner basis algorithms. In ISSAC 2011: Proceedings of the 2011 international symposium on Symbolic and algebraic computation, pages 337–344, 2011.
- [32] Sun, Y., Wang, D. K., Ma, D. X., and Zhang, Y. A signature-based algorithm for computing Gröbner bases in solvable polynomial algebras. In ISSAC 2012: Proceedings of the 2011 international symposium on Symbolic and algebraic computation, pages 351–358, 2012.
- [33] Volny, F. New algorithms for computing Gröbner bases. PhD thesis, Clemson University, 2011.