

Signature-based Gröbner Basis Algorithms

Christian Eder, Jean-Charles Faugère and Bjarke Hammersholt Roune

4th annual meeting SPP1489
Bad Boll, Germany

March 04, 2013



Buchberger's algorithm

Input: Ideal $I = \langle f_1, \dots, f_m \rangle$

Output: Gröbner basis G of I

1. $G = \emptyset$
2. $G := G \cup \{f_i\}$ for all $i \in \{1, \dots, m\}$
3. Set $P := \{\text{spol}(f_i, f_j) \mid f_i, f_j \in G, i > j\}$

Buchberger's algorithm

Input: Ideal $I = \langle f_1, \dots, f_m \rangle$

Output: Gröbner basis G of I

1. $G = \emptyset$
2. $G := G \cup \{f_i\}$ for all $i \in \{1, \dots, m\}$
3. Set $P := \{\text{spol}(f_i, f_j) \mid f_i, f_j \in G, i > j\}$
4. Choose $p \in P$, $P := P \setminus \{p\}$

Buchberger's algorithm

Input: Ideal $I = \langle f_1, \dots, f_m \rangle$

Output: Gröbner basis G of I

1. $G = \emptyset$
2. $G := G \cup \{f_i\}$ for all $i \in \{1, \dots, m\}$
3. Set $P := \{\text{spol}(f_i, f_j) \mid f_i, f_j \in G, i > j\}$
4. Choose $p \in P$, $P := P \setminus \{p\}$
 - (a) If $p \xrightarrow{G} 0 \blacktriangleright \text{no new information}$
Go on with the next element in P .
 - (b) If $p \xrightarrow{G} h \neq 0 \blacktriangleright \text{new information}$
Build new S-pair with h and add them to P .
Add h to G .
Go on with the next element in P .
5. When $P = \emptyset$ we are done and G is a Gröbner basis of I .

Buchberger's algorithm

Input: Ideal $I = \langle f_1, \dots, f_m \rangle$

Output: Gröbner basis G of I

1. $G = \emptyset$
2. $G := G \cup \{f_i\}$ for all $i \in \{1, \dots, m\}$
3. Set $P := \{\text{spol}(f_i, f_j) \mid f_i, f_j \in G, i > j\}$
4. Choose $p \in P$, $P := P \setminus \{p\}$
 - (a) If $p \xrightarrow{G} 0 \blacktriangleright \text{no new information}$
Go on with the next element in P .
 - (b) If $p \xrightarrow{G} h \neq 0 \blacktriangleright \text{new information}$
Build new S-pair with h and add them to P .
Add h to G .
Go on with the next element in P .
5. When $P = \emptyset$ we are done and G is a Gröbner basis of I .

How to predict zero reductions?

Signatures

Let $I = \langle f_1, \dots, f_m \rangle$.

Idea: Give each $f \in I$ a bit more structure:

Signatures

Let $I = \langle f_1, \dots, f_m \rangle$.

Idea: Give each $f \in I$ a bit more structure:

1. Let R^m be generated by e_1, \dots, e_m and let \prec be a compatible monomial order on the monomials of R^m .

Signatures

Let $I = \langle f_1, \dots, f_m \rangle$.

Idea: Give each $f \in I$ a bit more structure:

1. Let R^m be generated by e_1, \dots, e_m and let \prec be a compatible monomial order on the monomials of R^m .
2. Let $\alpha \mapsto \bar{\alpha} : R^m \rightarrow R$ such that $\bar{e}_i = f_i$ for all i .

Signatures

Let $I = \langle f_1, \dots, f_m \rangle$.

Idea: Give each $f \in I$ a bit more structure:

1. Let R^m be generated by e_1, \dots, e_m and let \prec be a compatible monomial order on the monomials of R^m .
2. Let $\alpha \mapsto \bar{\alpha} : R^m \rightarrow R$ such that $\bar{e}_i = f_i$ for all i .
3. Each $f \in I$ can be represented via some $\alpha \in R^m$: $f = \bar{\alpha}$

Signatures

Let $I = \langle f_1, \dots, f_m \rangle$.

Idea: Give each $f \in I$ a bit more structure:

1. Let R^m be generated by e_1, \dots, e_m and let \prec be a compatible monomial order on the monomials of R^m .
2. Let $\alpha \mapsto \bar{\alpha} : R^m \rightarrow R$ such that $\bar{e}_i = f_i$ for all i .
3. Each $f \in I$ can be represented via some $\alpha \in R^m$: $f = \bar{\alpha}$
4. **A signature** of f is given by $s(f) = \text{lt}_{\prec}(\alpha)$ where $f = \bar{\alpha}$.

How to use signatures?

General idea: Per signature we only need to compute 1 element for G .

How to use signatures?

General idea: Per signature we only need to compute 1 element for G .

Several elements with the same signature?

How to use signatures?

General idea: Per signature we only need to compute 1 element for G .

Several elements with the same signature?



Choose 1 and remove the others.

How to use signatures?

General idea: Per signature we only need to compute 1 element for G .

Several elements with the same signature?



Choose 1 and remove the others.

Our goal: Make good choices.

How to use signatures?

General idea: Per signature we only need to compute 1 element for G .

Several elements with the same signature?



Choose 1 and remove the others.

Our goal: Make good choices.

Our task: Keep signatures correct.

Think in the module

$\alpha \in R^m \implies$ polynomial $\bar{\alpha}$ with $\text{lt}(\bar{\alpha})$, signature $\mathfrak{s}(\alpha) = \text{lt}(\alpha)$

Think in the module

$\alpha \in R^m \implies$ polynomial $\bar{\alpha}$ with $\text{lt}(\bar{\alpha})$, signature $s(\alpha) = \text{lt}(\alpha)$

S-pairs/S-polynomials:

$$\text{spol}(\bar{\alpha}, \bar{\beta}) = a\bar{\alpha} - b\bar{\beta} \implies \text{spair}(\alpha, \beta) = a\alpha - b\beta$$

Think in the module

$\alpha \in R^m \implies$ polynomial $\bar{\alpha}$ with $\text{lt}(\bar{\alpha})$, signature $\mathfrak{s}(\alpha) = \text{lt}(\alpha)$

S-pairs/S-polynomials:

$$\text{spol}(\bar{\alpha}, \bar{\beta}) = a\bar{\alpha} - b\bar{\beta} \implies \text{spair}(\alpha, \beta) = a\alpha - b\beta$$

\mathfrak{s} -reductions:

$$\bar{\gamma} - d\bar{\delta} \implies \gamma - d\delta$$

Think in the module

$\alpha \in R^m \implies$ polynomial $\bar{\alpha}$ with $\text{lt}(\bar{\alpha})$, signature $\mathfrak{s}(\alpha) = \text{lt}(\alpha)$

S-pairs/S-polynomials:

$$\text{spol}(\bar{\alpha}, \bar{\beta}) = a\bar{\alpha} - b\bar{\beta} \implies \text{spair}(\alpha, \beta) = a\alpha - b\beta$$

\mathfrak{s} -reductions:

$$\bar{\gamma} - d\bar{\delta} \implies \gamma - d\delta$$

Remark

In the following we need one detail from signature-based Gröbner Basis computations:

We pick from P by increasing signature.

Signature-based criteria

$\mathfrak{s}(\alpha) = \mathfrak{s}(\beta) \implies \text{Compute 1, remove 1.}$

Signature-based criteria

$$\mathfrak{s}(\alpha) = \mathfrak{s}(\beta) \implies \text{Compute 1, remove 1.}$$

Sketch of proof

1. $\mathfrak{s}(\alpha - \beta) \prec \mathfrak{s}(\alpha), \mathfrak{s}(\beta)$.
2. All S-pairs are handled by increasing signature.
 \Rightarrow All relations $\prec \mathfrak{s}(\alpha)$ are known:

$\alpha = \beta + \text{elements of smaller signature}$

□

Signature-based criteria

S-pairs in signature T

Signature-based criteria

S-pairs in signature T

What are all possible configurations to reach signature T ?

Signature-based criteria

S-pairs in signature T

$$\mathfrak{R}_T = \left\{ a\alpha \mid \alpha \text{ handled by the algorithm and } s(a\alpha) = T \right\}$$

What are all possible configurations to reach signature T ?

Signature-based criteria

S-pairs in signature T

$$\mathfrak{R}_T = \{a\alpha \mid \alpha \text{ handled by the algorithm and } s(a\alpha) = T\}$$

What are all possible configurations to reach signature T ?

Define an order on \mathfrak{R}_T and choose the maximal element.

Special cases

$$\mathfrak{R}_T = \left\{ a\alpha \mid \alpha \text{ handled by the algorithm and } s(a\alpha) = T \right\}$$

Special cases

$$\mathfrak{R}_T = \left\{ a\alpha \mid \alpha \text{ handled by the algorithm and } s(a\alpha) = T \right\}$$

Choose $b\beta$ to be an element of \mathfrak{R}_T maximal w.r.t. an order \trianglelefteq .

Special cases

$$\mathfrak{R}_T = \left\{ a\alpha \mid \alpha \text{ handled by the algorithm and } \mathfrak{s}(a\alpha) = T \right\}$$

Choose $b\beta$ to be an element of \mathfrak{R}_T maximal w.r.t. an order \trianglelefteq .

1. If $b\beta$ is a syzygy \implies Go on to next signature.

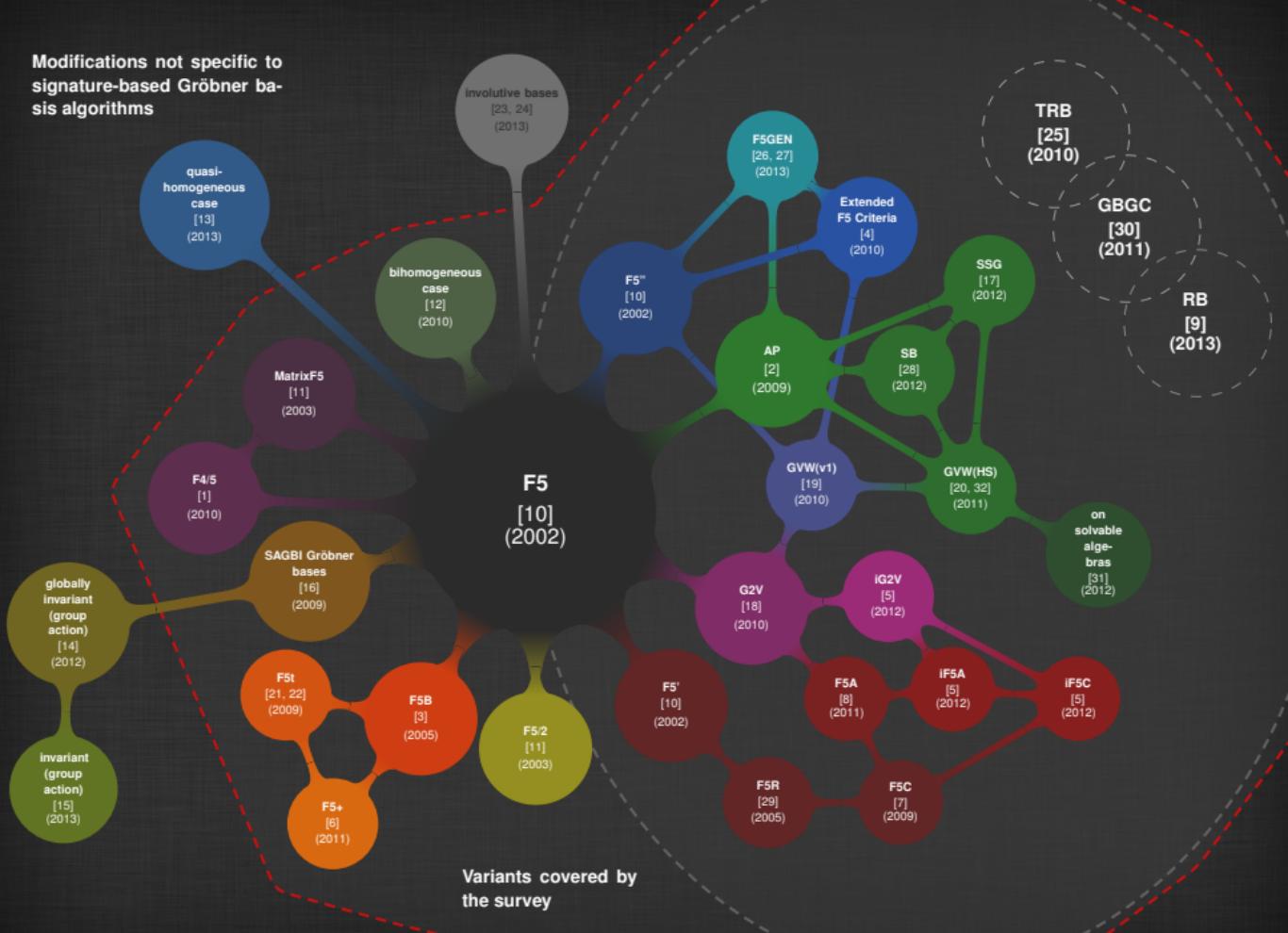
Special cases

$$\mathfrak{R}_T = \left\{ a\alpha \mid \alpha \text{ handled by the algorithm and } s(a\alpha) = T \right\}$$

Choose $b\beta$ to be an element of \mathfrak{R}_T maximal w.r.t. an order \trianglelefteq .

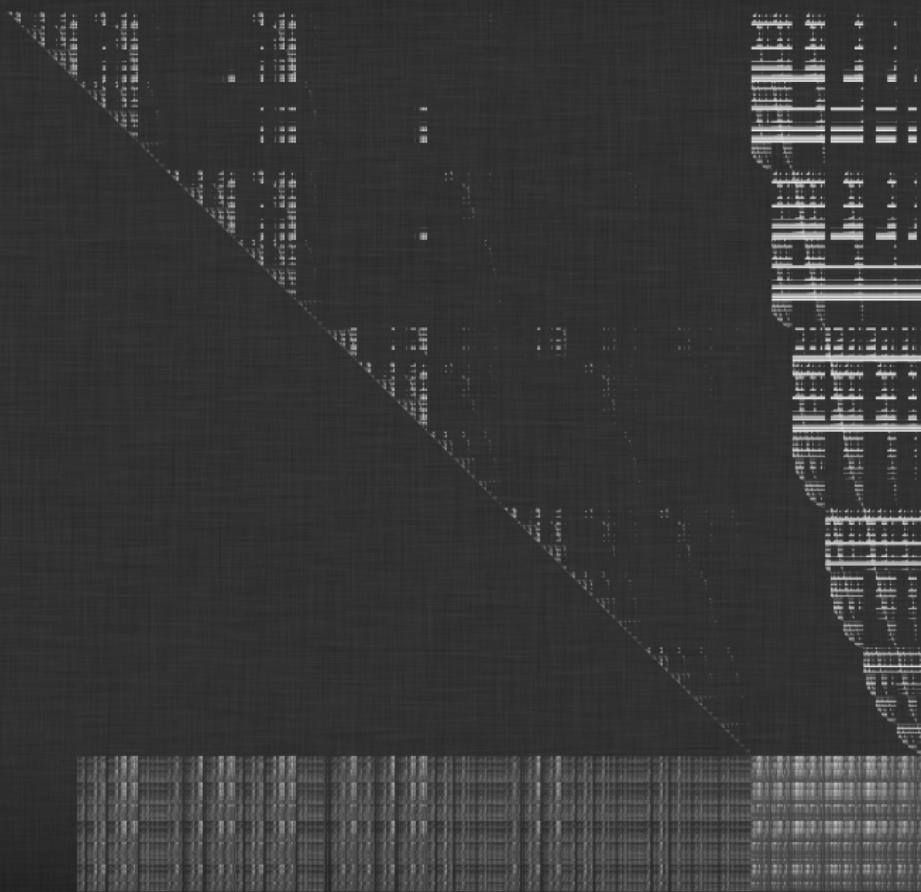
1. If $b\beta$ is a syzygy \implies Go on to next signature.
2. If $b\beta$ is not part of an S-pair \implies Go on to next signature.

Modifications not specific to signature-based Gröbner basis algorithms

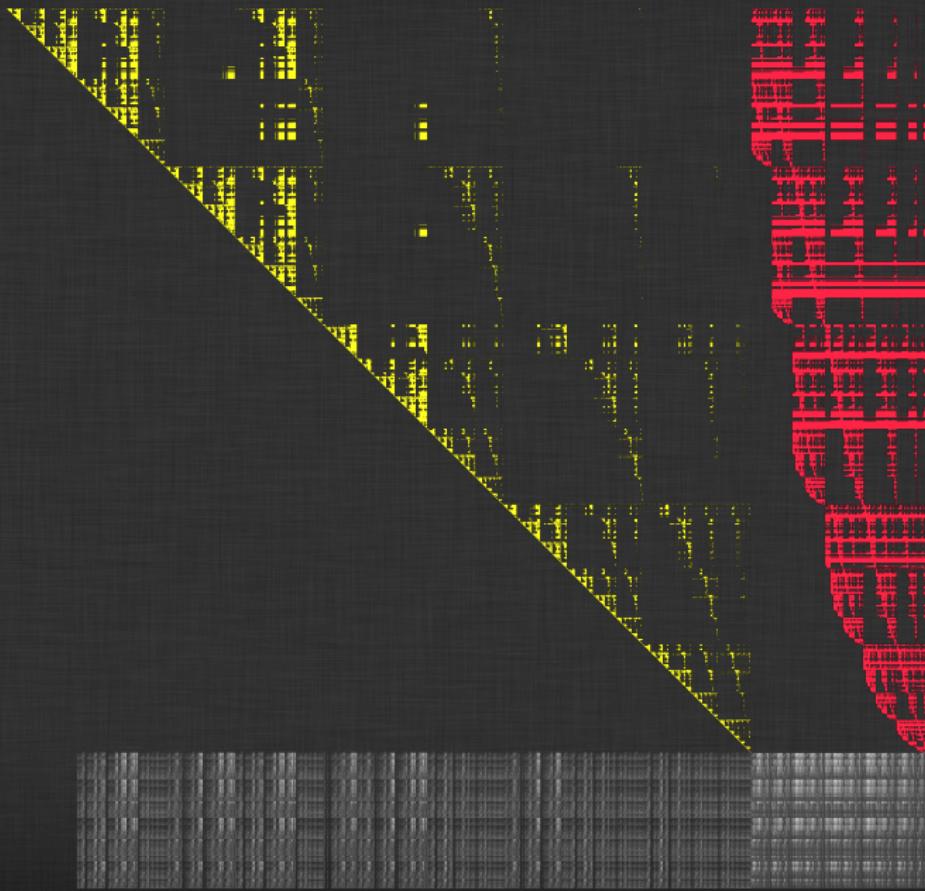


How our matrices look like

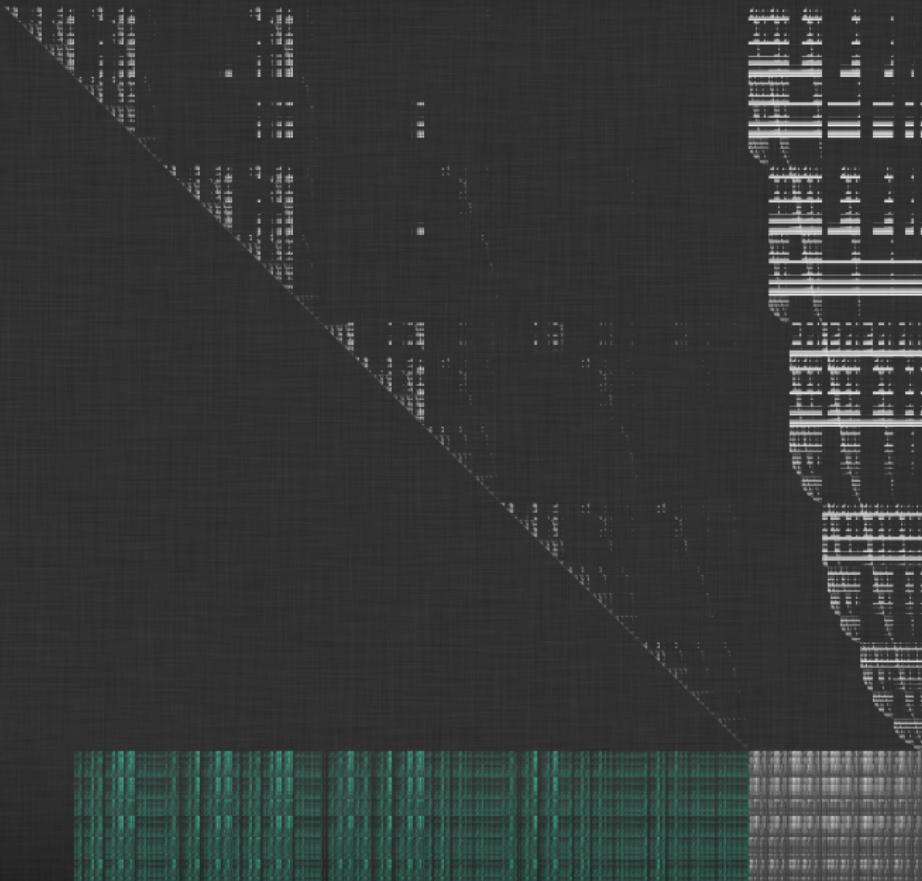
How our matrices look like



Hybrid Matrix Multiplication



Reduce to zero



New information

This image is a dark, abstract graphic. It features a grid-like structure composed of thin, light-colored lines. Interspersed throughout the grid are several solid-colored rectangular blocks. A prominent black block is located in the lower-left quadrant. Another large black block is positioned vertically along the right edge. There are also smaller white and grey blocks scattered across the surface. A distinct green horizontal band runs across the bottom right corner. Additionally, there are several diagonal lines of varying lengths and orientations, some of which intersect the rectangular blocks.

First attempts

2011 – University of Kaiserslautern

Bradford Hovinen – **LELA**

<https://github.com/Singular/LELA>

2012 – UPMC Paris 6, INRIA PolSys Team

Fayssal Martani – **new implementation in LELA**

<https://github.com/martani/LELA>

2012-2013 – University of Kaiserslautern

Bjarke Hammersholt Roune – **MathicGB**

<https://github.com/broune/mathicgb>

References I

- [1] Albrecht, M. and Perry, J. F4/5. <http://arxiv.org/abs/1006.4933>, 2010.
- [2] Arri, A. and Perry, J. The F5 Criterion revised. *Journal of Symbolic Computation*, 46(2):1017–1029, June 2011. Preprint online at arxiv.org/abs/1012.3664.
- [3] Ars, G. *Applications des bases de Gröbner à la cryptographie*. PhD thesis, Université de Rennes I, 2005.
- [4] Ars, G. and Hashemi, A. Extended F5 Criteria. *Journal of Symbolic Computation, MEGA 2009 special issue*, 45(12):1330–1340, 2010.
- [5] Eder, C. Improving incremental signature-based Groebner bases algorithms. *ACM SIGSAM Communications in Computer Algebra*, 47(1):1–13, 2013.
<http://arxiv.org/abs/1201.6472>.
- [6] Eder, C., Gash, J., and Perry, J. Modifying Faugère’s F5 Algorithm to ensure termination. *ACM SIGSAM Communications in Computer Algebra*, 45(2):70–89, 2011.
<http://arxiv.org/abs/1006.0318>.
- [7] Eder, C. and Perry, J. F5C: A Variant of Faugère’s F5 Algorithm with reduced Gröbner bases. *Journal of Symbolic Computation, MEGA 2009 special issue*, 45(12):1442–1458, 2010. [dx.doi.org/10.1016/j.jsc.2010.06.019](https://doi.org/10.1016/j.jsc.2010.06.019).
- [8] Eder, C. and Perry, J. Signature-based Algorithms to Compute Gröbner Bases. In *ISSAC 2011: Proceedings of the 2011 international symposium on Symbolic and algebraic computation*, pages 99–106, 2011.

References II

- [9] Eder, C. and Roune, B. H. Signature Rewriting in Gröbner Basis Computation. In *ISSAC 2013: Proceedings of the 2013 international symposium on Symbolic and algebraic computation*, pages 331–338, 2013.
- [10] Faugère, J.-C. A new efficient algorithm for computing Gröbner bases without reduction to zero F5. In *ISSAC'02, Villeneuve d'Ascq, France*, pages 75–82, July 2002. Revised version from <http://fgbrs.lip6.fr/jcf/Publications/index.html>.
- [11] Faugère, J.-C. and Joux, A. Algebraic Cryptanalysis of Hidden Field Equation (HFE) Cryptosystems Using Gröbner Bases. *2729:44–60*, 2003.
- [12] Faugère, J.-C., Safey El Din, M., and Spaenlehauer, P.-J. Gröbner Bases of Bihomogeneous Ideals Generated by Polynomials of Bidegree (1,1): Algorithms and Complexity. *Journal of Symbolic Computation*, 46(4):406–437, 2011. Available online 4 November 2010.
- [13] Faugère, J.-C., Safey El Din, M., and Verron, T. On the complexity of Computing Gröbner Bases for Quasi-homogeneous Systems. In *Proceedings of the 38th international symposium on International symposium on symbolic and algebraic computation*, ISSAC '13, pages 189–196, New York, NY, USA, 2013. ACM.
- [14] Faugère, J.-C. and Svartz, J. Solving polynomial systems globally invariant under an action of the symmetric group and application to the equilibria of n vertices in the plane. In *Proceedings of the 37th international symposium on International symposium on symbolic and algebraic computation*, ISSAC '12, pages 170–178, New York, NY, USA, 2012. ACM.

References III

- [15] Faugère, J.-C. and Svartz, J. Gröbner Bases of ideals invariant under a Commutative group : the Non-modular Case. In *Proceedings of the 38th international symposium on International symposium on symbolic and algebraic computation*, ISSAC '13, pages 347–354, New York, NY, USA, 2013. ACM.
- [16] Faugère, J.-C. and Rahmany, S. Solving systems of polynomial equations with symmetries using SAGBI-Gröbner bases. In *ISSAC '09: Proceedings of the 2009 international symposium on Symbolic and algebraic computation*, ISSAC '09, pages 151–158, New York, NY, USA, 2009. ACM.
- [17] Galkin, V. Simple signature-based Groebner basis algorithm.
<http://arxiv.org/abs/1205.6050>, 2012.
- [18] Gao, S., Guan, Y., and Volny IV, F. A new incremental algorithm for computing Gröbner bases. In *ISSAC '10: Proceedings of the 2010 international symposium on Symbolic and algebraic computation*, pages 13–19. ACM, 2010.
- [19] Gao, S., Volny IV, F., and Wang, D. A new algorithm for computing Groebner bases.
<http://eprint.iacr.org/2010/641>, 2010.
- [20] Gao, S., Volny IV, F., and Wang, D. A new algorithm for computing Groebner bases (rev. 2011). <http://www.math.clemson.edu/~sgao/papers/gvw.pdf>, 2011.
- [21] Gash, J. M. *On efficient computation of Gröbner bases*. PhD thesis, University of Indiana, Bloomington, IN, 2008.

References IV

- [22] Gash, J. M. A provably terminating and speed-competitive variant of F5 – F5t. *submitted to the Journal of Symbolic Computation*, 2009.
- [23] Gerdt, V. P. and Hashemi, A. On the use of Buchberger criteria in G2V algorithm for calculating Gröbner bases. *Program. Comput. Softw.*, 39(2):81–90, March 2013.
- [24] Gerdt, V. P., Hashemi, A., and M.-Alizadeh, B. Involutive Bases Algorithm Incorporating F5 Criterion. *CoRR*, abs/1306.6811, 2013.
- [25] Huang, L. A new conception for computing Gröbner basis and its applications. <http://arxiv.org/abs/1012.5425>, 2010.
- [26] Pan, S., Hu, Y., and Wang, B. The Termination of Algorithms for Computing Gröbner Bases. <http://arxiv.org/abs/1202.3524>, 2012.
- [27] Pan, S., Hu, Y., and Wang, B. The Termination of the F5 Algorithm Revisited. In *ISSAC 2013: Proceedings of the 2013 international symposium on Symbolic and algebraic computation*, pages 291–298, 2013.
- [28] Roune, B. H. and Stillman, M. Practical Gröbner Basis Computation. In *ISSAC 2012: Proceedings of the 2012 international symposium on Symbolic and algebraic computation*, 2012.
- [29] Stegers, T. Faugère’s F5 Algorithm revisited. Master’s thesis, Technische Universität Darmstadt, revised version 2007.

References V

- [30] Sun, Y. and Wang, D. K. A generalized criterion for signature related Gröbner basis algorithms. In *ISSAC 2011: Proceedings of the 2011 international symposium on Symbolic and algebraic computation*, pages 337–344, 2011.
- [31] Sun, Y., Wang, D. K., Ma, D. X., and Zhang, Y. A signature-based algorithm for computing Gröbner bases in solvable polynomial algebras. In *ISSAC 2012: Proceedings of the 2012 international symposium on Symbolic and algebraic computation*, pages 351–358, 2012.
- [32] Volny, F. *New algorithms for computing Gröbner bases*. PhD thesis, Clemson University, 2011.