

# **Highway Safety Improvement Program (HSIP)**

***Arizona Association of County Engineers***

***June 14, 2012***



U.S. Department of Transportation  
**Federal Highway Administration**



# Purpose of the HSIP

To achieve a significant reduction in fatalities and serious injuries on all public roads through the implementation of infrastructure-related highway safety improvements.



# Legislative References



- SAFETEA-LU
  - 23 U.S.C. 148: Highway Safety Improvement Program
  - 23 U.S.C. 130: Railway-Highway Crossing Program
- Federal Regulation
  - 23 CFR 924: Highway Safety Improvement Program

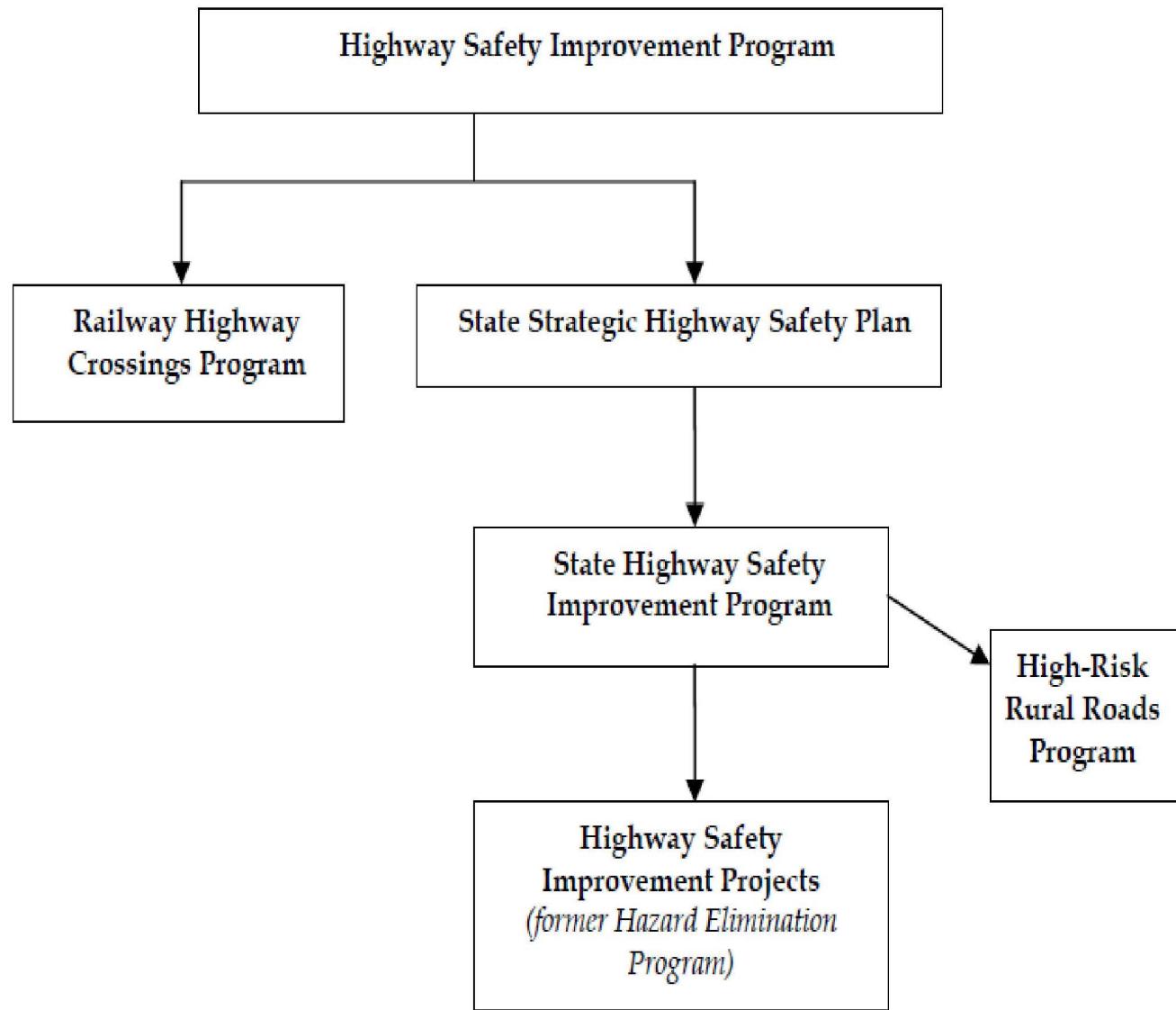
# 23 CFR 924.5(b)

“In order for an eligible improvement to be funded with HSIP funds, States shall first consider whether the activity maximizes opportunities to advance safety. States shall fund safety projects or activities that are most likely to reduce the number of, or potential for, fatalities and serious injuries.”

# HSIP Programs—4 Major Components:

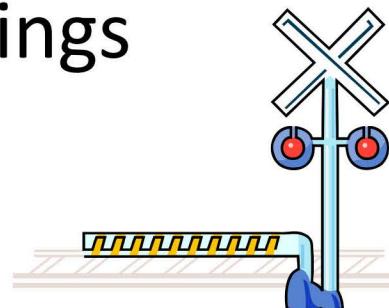
- Strategic Highway Safety Plans (SHSP)
- State Highway Safety Improvement Program
  - Highway safety improvement projects
- High Risk Rural Roads Program (HRRRP)
- Railway-Highway Grade Crossing Program (RHGCP)

# Relationship of HSIP Programs



# Railway-Highway Crossings Program

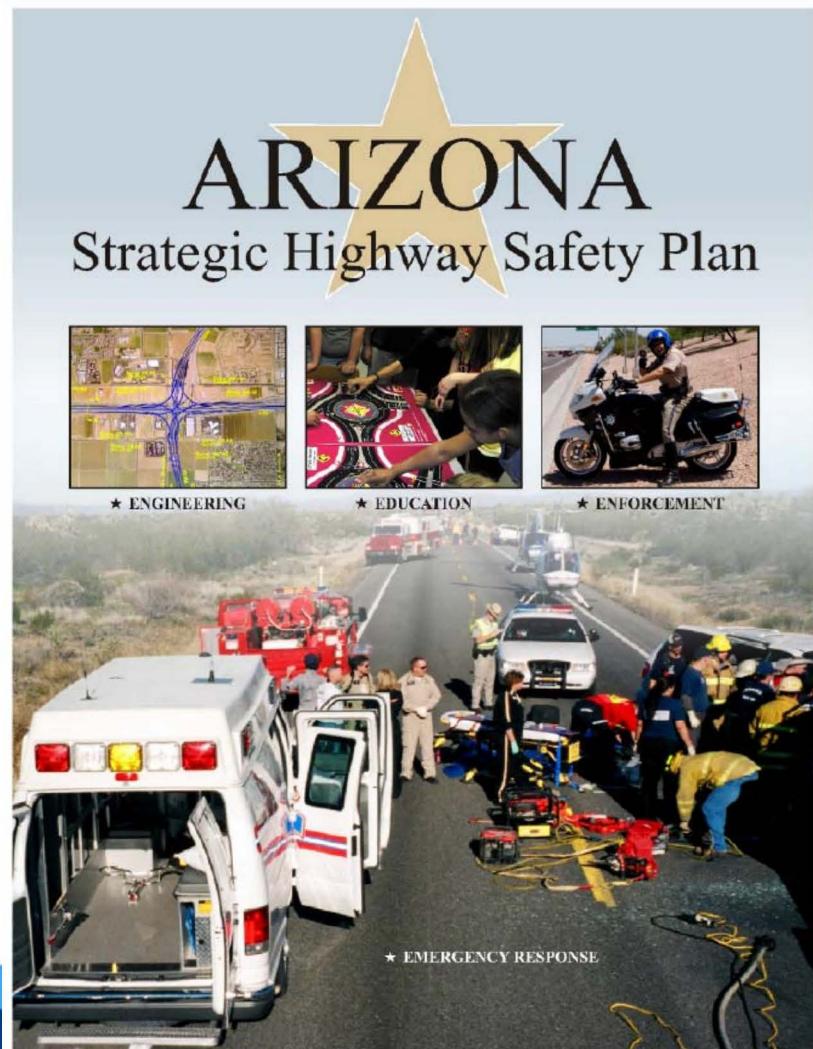
- Considers relative hazard of public railway-highway grade crossings based on a hazard index formula
- Includes onsite inspection of public grade crossings
- Statutory requirement: All public crossings be provided with standard signing and pavement markings



# Strategic Highway Safety Plans (SHSP)

- Data-driven, statewide plan of strategies that provide a framework for reducing highway fatalities and serious injuries
- Developed through a collaborative process with safety stakeholders
- Integrates the 4Es – Engineering, Education, Enforcement, and Emergency services
- Considers the safety needs of all public roads
- Guides investment decisions

# Strategic Highway Safety Plans (SHSP)



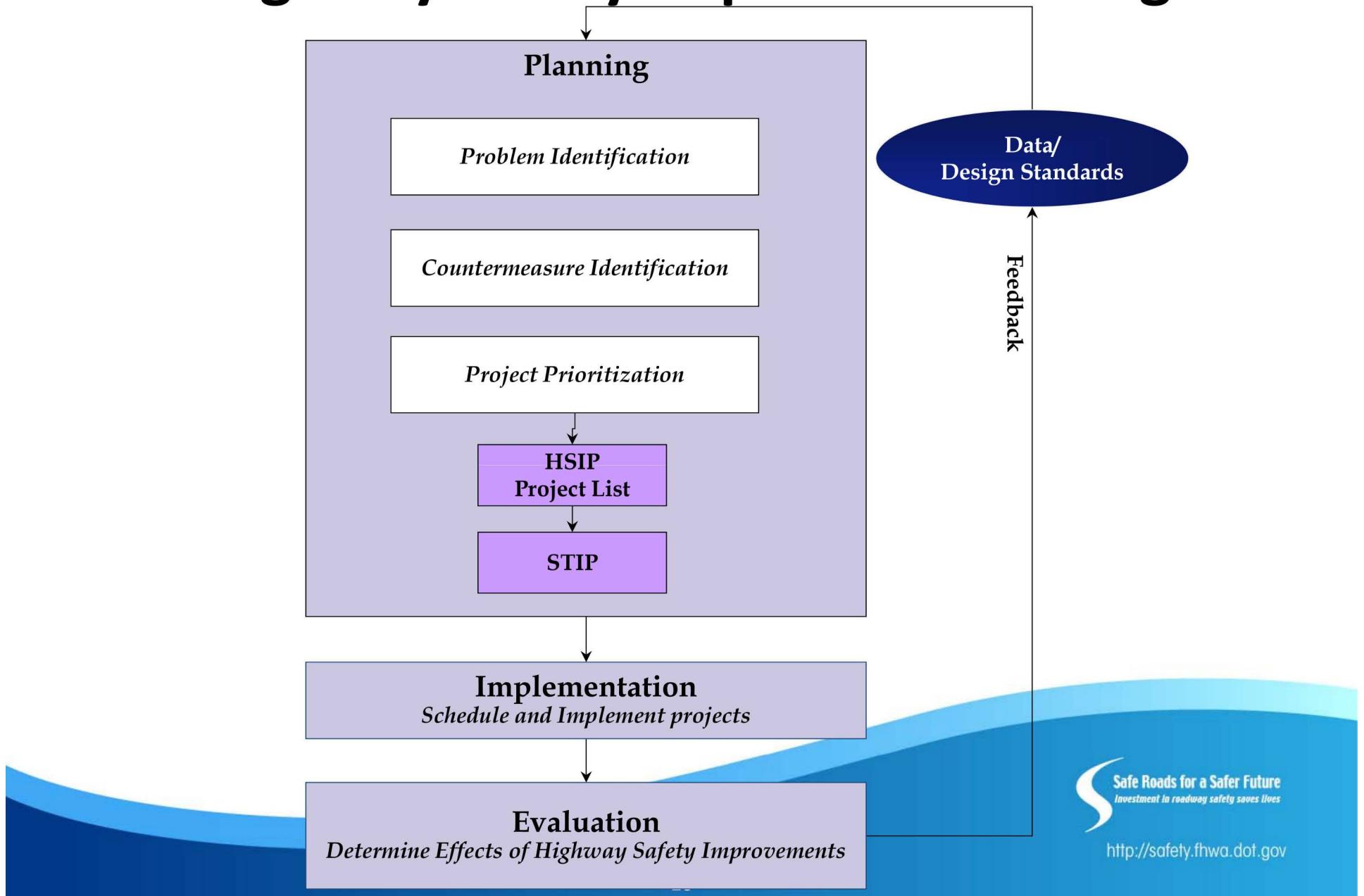
<http://azdot.gov/Highways/Traffic/9620.asp>

Adopted in August, 2007

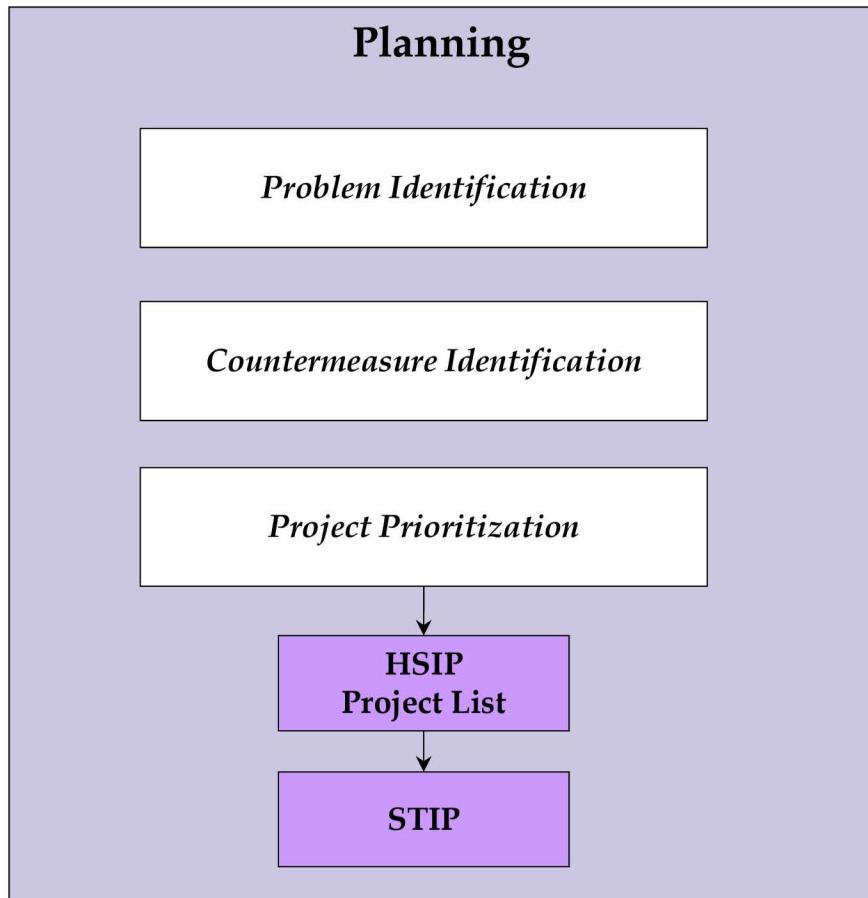
Arizona SHSP Emphasis Areas:

1. Restraint Usage
2. Young Drivers
3. Speeding
4. Impaired Driving
5. Roadway/Roadside
6. Data Improvement

# State Highway Safety Improvement Program



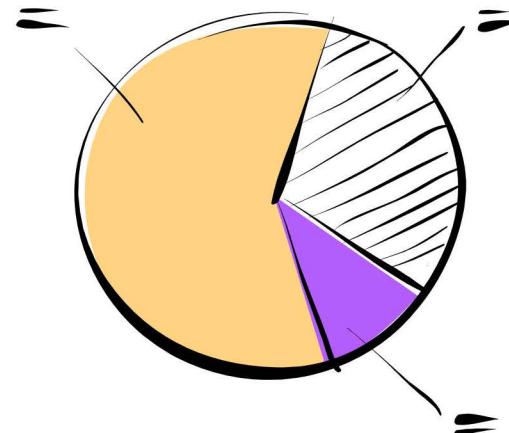
# HSIP Planning Process Steps



1. Project Identification
  - Data Collection
  - Network Screening Process
2. Countermeasure Identification
3. Project Prioritization
4. Evaluation

# Planning Process Step 1: Project Identification

- Data Collection
  - Crash Data
  - Traffic Volumes
  - Roadway Inventory Data
  - RSAs
- Network Screening Process



# Network Screening

- Identify Sites for Potential Safety Improvement
  - Intersections
  - Segments
  - Traditional problem identification methodology
- Identify Systemic Safety Improvements
  - Based on SHSP Emphasis Areas & Strategies
  - Identify key crash types and patterns to address
- High Risk Rural Roads Program (HRRRP)

# Planning Process Step 2: Countermeasure Identification

Four essential steps:

1. Analyze the Data
2. Conduct Field Review
3. Identify Countermeasures
4. Assess Countermeasure Effectiveness



# Countermeasure Resources

- Crash Modification Factor Clearinghouse  
[www.CMFclearinghouse.org/](http://www.CMFclearinghouse.org/)
- Highway Safety Manual – Part D  
[www.highwaysafetymanual.org/](http://www.highwaysafetymanual.org/)
- NCHRP Report 500 Series  
[www.trb.org/](http://www.trb.org/)
- NHI Training  
[www.nhi.fhwa.dot.gov/](http://www.nhi.fhwa.dot.gov/)



The screenshot shows the homepage of the CMF Crash Modification Factors Clearinghouse. At the top, there's a navigation bar with links to 'Skip to main content', 'Site Map', 'Notice', 'Sign Up for our e-Newsletter', 'Home', 'About CMFs', 'Find CMFs', 'Submit CMFs', 'Resources', and 'Contact'. The main header features the CMF logo and the text 'CRASH MODIFICATION FACTORS CLEARINGHOUSE'. Below the header is a 'Quick Search' section with a search bar and dropdown menus for narrowing results by countermeasure category, crash type, crash severity, and roadway type. There are also 'Advanced Search', 'Search CMFs', and 'Need Help?' buttons. To the right, there's a large image of a car driving on a road, with text overlay: 'Learn more about the CMF Clearinghouse' and 'Read a quick overview of the Clearinghouse and its main features and watch an archived Webinar that demonstrates the features of the Clearinghouse Web site.' At the bottom, there are sections for 'Recently Added CMFs' and a summary of what CMFs are.

A crash modification factor (CMF) is a multiplicative factor used to compute the expected number of crashes after implementing a given countermeasure at a specific site. The Crash Modification Factors Clearinghouse houses a Web-based database of CMFs along with supporting documentation to help transportation engineers identify the most appropriate countermeasure for their safety needs. Using this site, you can [search](#) to find CMFs or [submit](#) your own CMFs to be included in the clearinghouse.

**Recently Added CMFs**

Install chevron signs on horizontal curves	Install wider markings and edge-line rumble strips with resurfacing	Install transverse rumble strips on stop controlled approaches in rural areas
CMF: 0.84	CMF: 0.849	CMF: 0.987
CRF: 16	CRF: 15.1	CRF: 1.3
Crash type: Non-intersection	Crash type: All	Crash type: All
Crash severity: Fatal, Serious injury, Minor injury	Crash severity: Fatal, Serious injury, Minor injury	Crash severity: Fatal, Serious injury, Minor injury

# Countermeasure Resources

## NHI Training

[www.nhi.fhwa.dot.gov/](http://www.nhi.fhwa.dot.gov/)

 Improving the Performance of the Transportation Industry Through Training

### Application of Crash Modification Factors (CMF)

Monday July 9, 2012

[Please join us for this innovative Web-conference Training.](#)

**REGISTER NOW!**

Join Our Mailing List



**QUESTIONS?**

E-Mail  
[NHITraining@dot.gov](mailto:NHITraining@dot.gov)

Additional Resources:

1. For more registration information, please visit the [Introduction to Participating in an NHI Training](#) page on the [NHI Web site](#).
2. If you have additional questions about registering for this session, please contact NHI Training at [nhitraining@dot.gov](mailto:nhitraining@dot.gov).
3. For more information about this course and similar NHI courses, please visit the [NHI Web Site](#).

**Description**

The Application of Crash Modification Factors (CMF) course covers the project development cycle (starting from network screening and site selection for safety review), diagnostics of safety concerns, cost-benefit evaluation, and countermeasure selection, with a focus on the application of CMF to select countermeasures.

This course begins with a one-hour Web-based training and concludes with a two-hour Web conference that aids in application to your current projects. The Web-based training must be completed prior to the date of the Web conference. You will need access to both a telephone and internet connection to participate in the live Web sessions.

Upon completion of the course, you will be able to:

- Explain how Crash Modification Factors are used to estimate the safety effects of highway improvements
- Apply Crash Modification Factors to compare and select highway safety improvements

**Who Should Attend**

This course is intended for individuals that have the responsibility for identifying, recommending, selecting, installing, and maintaining appropriate countermeasures to help reduce the number of crashes.

**Dates and Location**

Participants must have completed the one-hour Web-based training in order to gain access to the Web conference, which will take place on the following date:

July 9, 2012

**How to Register**

To register for this training, please click on the "Register Now" link

# Planning Process Step 3: Project Prioritization

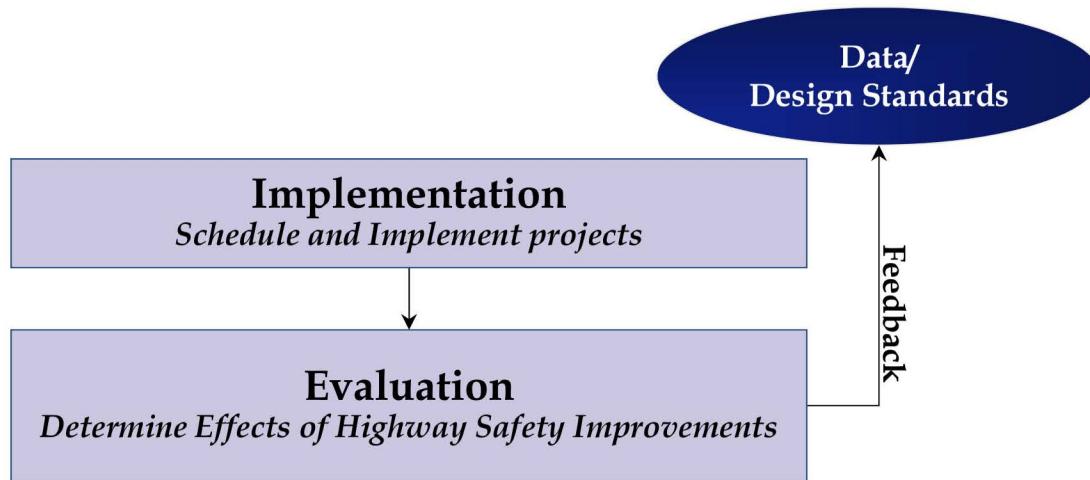
- Objective Approach (e.g. Benefit/Cost Ratio)
- Countermeasure Prioritization
- Project Prioritization
- Balance of Projects
- Approaches Addressing Current & Future Safety Problems



# Prioritization Considerations

- Potential reduction in # fatalities and serious injuries
- SHSP Priorities
- Cost effectiveness of projects and resources available
- Correction and prevention of hazardous locations
- Other safety data-driven criteria
- Integration with statewide/metropolitan transportation planning process and S/TIP

# Implementation & Evaluation



## Evaluation

- Project Evaluation
- Program Evaluation
- Feedback to Future Planning

# Arizona HSIP Manual

ADOT HSIP Manual

<http://azdot.gov/Highways/Traffic/9620.asp>

FHWA HSIP Website

<http://safety.fhwa.dot.gov/hsip/>

**THE ARIZONA HIGHWAY SAFETY  
IMPROVEMENT PROGRAM MANUAL**



**Arizona Department of Transportation**  
Highway Enhancements for Safety (HES) Section  
Traffic Engineering Group  
**March 2010**

# HSIP Reporting



Online Reporting Tool  
Transparency / 5% Report  
Arizona - 2011

Reported By: \_\_\_\_\_  
Report Status: Poppe, Mark J

**Program Administration**

Question # 2 - State Contact Person  
Response 1-Mark Poppe  
Supporting Text:  
Question # 3 - Contact Person's Office  
Response 1-Arizona DOT Traffic Safety Section  
Supporting Text:  
Question # 4 - What percentage of public roads does the crash data system currently cover?  
Response 1-100  
Supporting Text:  
Question # 5 - If program extent is less than 100%, briefly describe the schedule for upgrading the crash data system to full coverage.  
Response 1-Not Applicable  
Supporting Text:  
11/02/2011 12:11:23 PM

## Arizona Transparency Report

2011 Annual Report



Arizona Department of Transportation  
Traffic Safety Section  
September 26, 2011

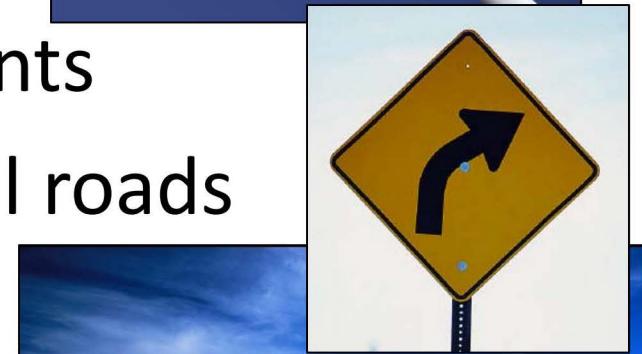
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- Highway Safety Improvement Program
- Transparency (5%) Report
  - <http://safety.fhwa.dot.gov/hsip/fivepercent/>
- Railway-Highway Crossings Report

# Examples of Eligible Projects for HSIP

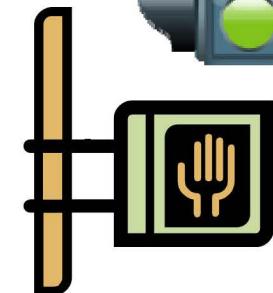
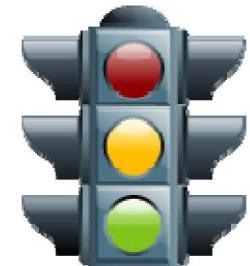
- Eliminate roadside obstacles or hazards
- Upgrade signage
- Upgrade pavement markings
- Rumble strips
- Upgrade guardrail end treatments
- Improvements on high risk rural roads
- Conducting road safety audits



# Examples of Eligible Projects for HSIP

## At Intersections:

- Converting from 8-inch to 12-inch signals
- Roundabouts
- Advance street name signing



## For Pedestrians:

- Pedestrian countdown signals
- Install new or upgrade pedestrian crosswalk pavement markings

# Systematic Improvements

- Low-cost, efficient to implement
- Do not require lengthy environmental review
- Many qualify for Group 1 or Condensed Group 2 Categorical Exclusions (no or minor ground disturbance)
- Usually no additional right-of-way and no utility coordination or adjustments

# Examples of Systematic Improvements

## On Roadway Segments:

- Shoulder and centerline rumble strips
- Barrier and obstacle delineation
- Upgrade guardrail end treatments
- Upgrade regulatory and warning signs
- Upgrade pavement markings
- Install RPMs



# Examples of Systematic Improvements

## At Signalized Intersections:

- Converting from 8-inch to 12-inch signals
- Installation / upgrading street name signing
- Advance street name signing



## At Unsignalized Intersections:

- Upgrade STOP signs
- Install advance stop ahead markings

# Examples of Systematic Improvements

## For Pedestrians:

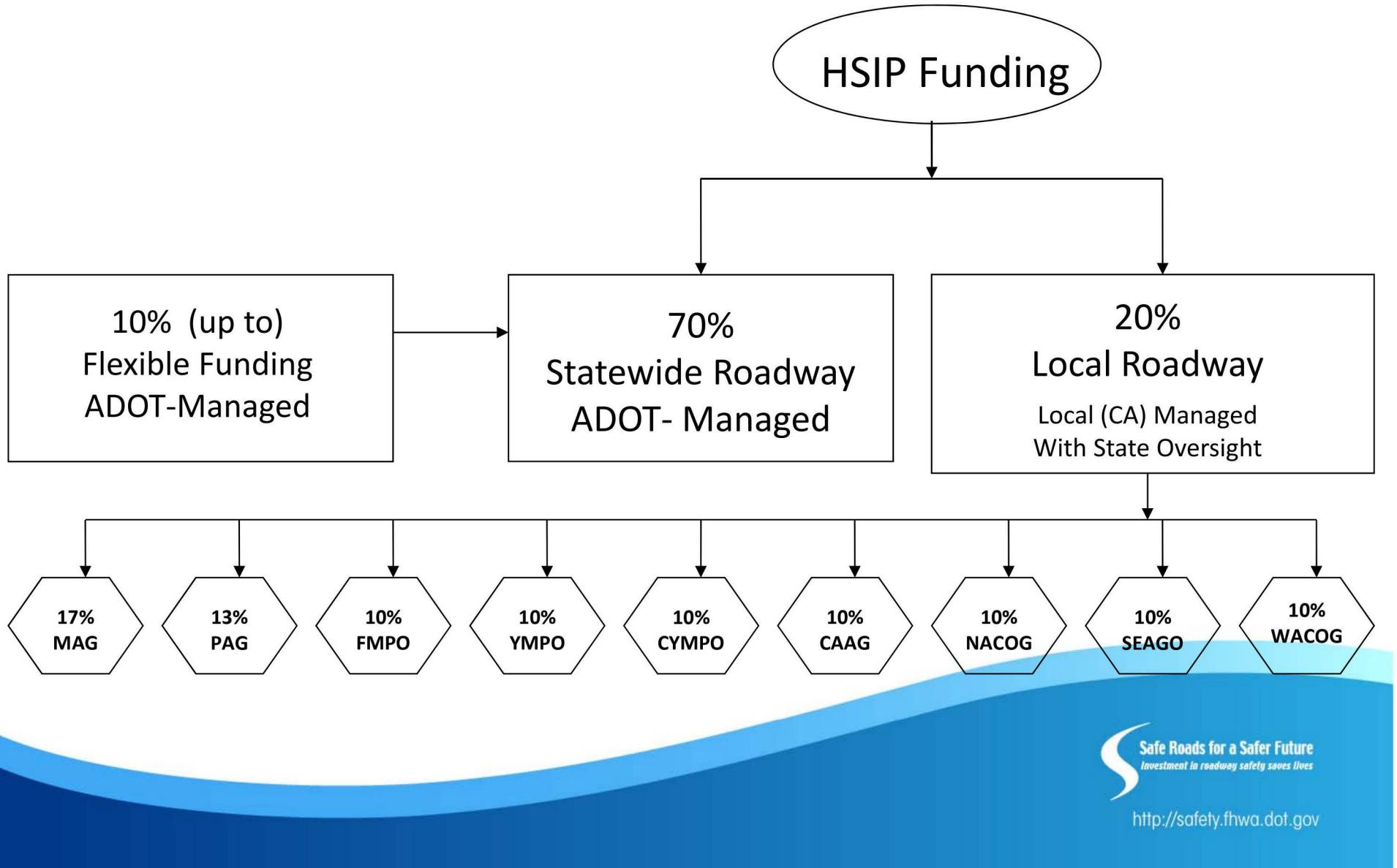
- Pedestrian crosswalk countdown signals
- Install new or upgrade pedestrian crosswalk pavement markings
- Enhanced school crossings
- Provide pedestrian refuges

## Emergency Response Improvements:

- Establish or upgrade milepost system



# Arizona HSIP Funding Allocations



# Arizona HSIP Funding



- Statewide HSIP (70%)
- Local Government HSIP (20%)
- High Risk Rural Roads Program (HRRRP)
- Railway-Highway Grade Crossing Program (RHGCP)
- Road Safety Assessment (RSA) Program
- Safe Routes to School (SRTS) Program
  - Separate Legislation

# Local Match Requirements

- 5.7% for most projects
- No match required per 23 U.S.C. 120 (c) for:
  - Roundabouts
  - Traffic Signals
  - Pavement markings
  - Signs
  - Streetlighting
  - Guardrail



# Important HSIP Requirements



- Based on SHSP Emphasis Areas & Strategies
- Focused on reducing fatalities and serious injury crashes
- Carried out through the STIP Process
- Addresses an identified highway safety problem
- Identified through a data-driven process

# HSIP Application Process



- Submit through local COG/MPO for Local Government HSIP
- Submit through ADOT Traffic Safety Section for State-managed HSIP
- Must be identified in TIP/STIP
- Must get eligibility approval from ADOT/FHWA
- Then get Funding Authorization. **Any work performed prior to Funding Authorization is not eligible for reimbursement**

# High Risk Rural Roads Program (HRRRP)

ADOT HSIP/HRRRP Contact: Irene Higgs

*Local/ Gov't Program Manager*

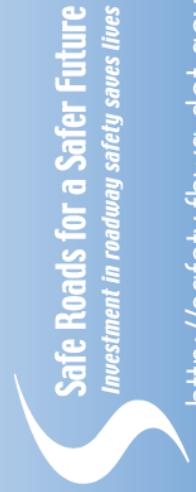
602-712-7581

[ihiggs@azdot.gov](mailto:ihiggs@azdot.gov)



U.S. Department of Transportation

**Federal Highway Administration**



<http://safety.fhwa.dot.gov>

# High Risk Rural Roads—Eligibility

- Any roadway functionally classified as a rural major collector, rural minor collector, or rural local road
- On which the crash rate for fatalities and incapacitating injuries exceeds the statewide average for those functional classes of roadway; or
- That will likely have increases in traffic volume that create a crash rate for fatalities or incapacitating injuries that exceeds the statewide average
- Current Arizona focus is on local and tribal roads (non-State roads)

# HRRRP Eligibility Criteria

Candidate roads with following minimum crash frequencies during past 10 years

## **Major Collectors:**

2 fatal crashes or 3 fatal + incapacitating injury crashes over any 3-year period

## **Minor Collectors/Local Roads:**

2 fatal + incapacitating injury crashes and 10 total crashes over the 10-year period

# HRRRP Selection Process

- ADOT crash data analysis used to identify eligible segments
- Local Agency/Tribe can use their own crash data to submit locations to ADOT for consideration (can use combination of state and local data)
- Utilize same HSIP Planning Process

# Example HRRRP Projects

- **Coconino County**, Lake Mary Road: Rumble strips, pavement markings (\$503,603)
- **Coconino County**, Leupp Road: Rumble strips, pavement markings, guardrail (\$983,228)
- **Gila County**, Ice House Canyon, Six Shooter: Pavement Markings (\$280,800)
- **Mohave County**, Guardrail, Pavement Markings, Rumble Strips, Intersection Warning System, Pavement Widening (\$1,003,000)
- **Santa Cruz County**, RPM's, Turn Lanes, Shoulder Widening, Chevrons (\$1,526,387)
- **Graham County**, 8th Ave & Airport Rd: Roundabout (\$2,500,000)
- **Graham County**, Reay Lane & Safford Bryce: Intersection Improvement (\$556,370)

# Road Safety Assessment Program (RSA)

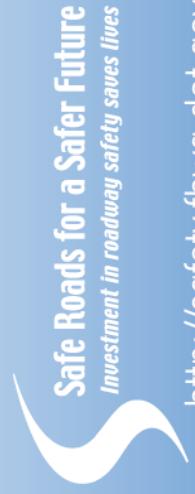
ADOT RSA Program Contact: *Mike Blankenship*  
602-712-7601

[mblankenship@azdot.gov](mailto:mblankenship@azdot.gov)



U.S. Department of Transportation

**Federal Highway Administration**



<http://safety.fhwa.dot.gov>

# What is an RSA?

- A Road Safety Assessment is the formal safety performance examination of an existing or future road or intersection by an independent, multidisciplinary team. It qualitatively estimates and reports on potential road safety issues and identifies opportunities for improvements in safety for all road users. RSAs are encouraged to be integrated into the project development process for new roads and intersections, and also on existing facilities.

# What is an RSA?

The aim of an RSA is to answer the following questions:

- What elements of the road may present a safety concern: to what extent, to which road users, and under what circumstances?
- What opportunities exist to eliminate or mitigate identified safety concerns?

<http://safety.fhwa.dot.gov/rsa/>

# RSA Process

## 1. Start-up Meeting



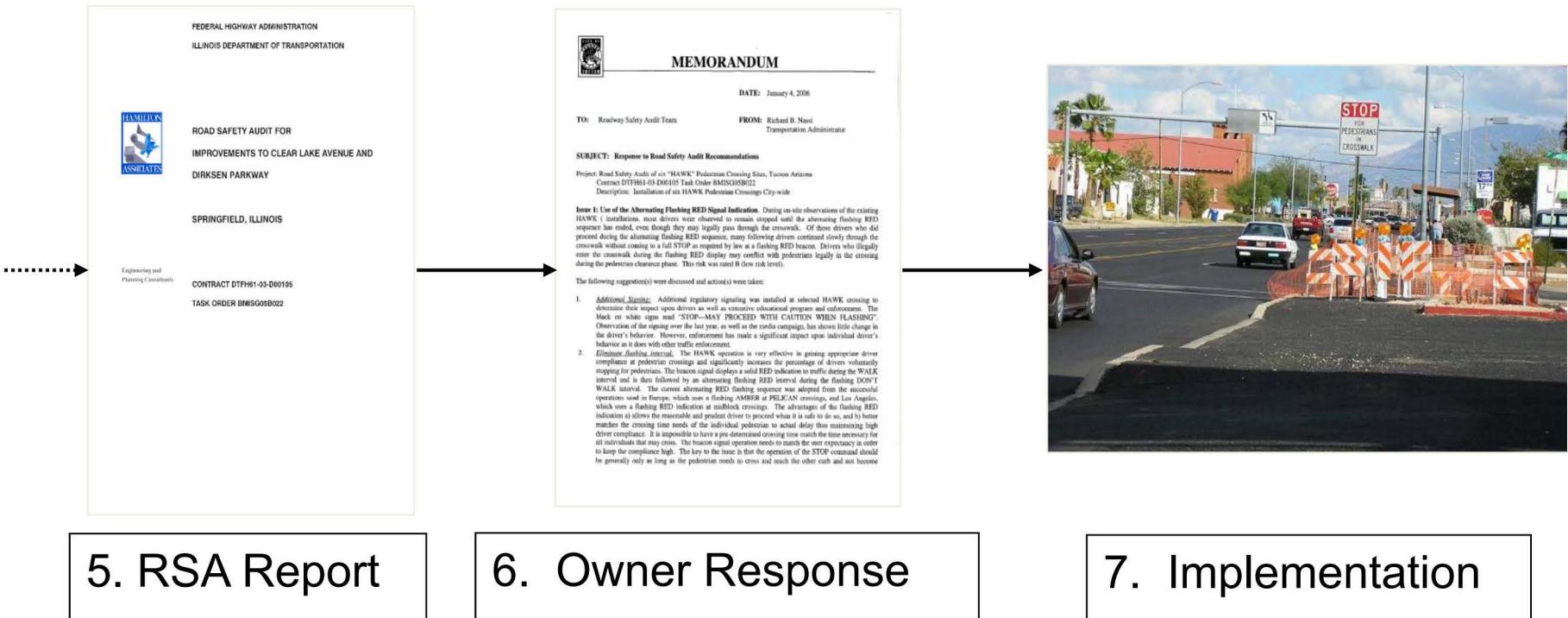
## 2. Site Visit

## 3. RSA Analysis Workshop



## 4. Preliminary Findings Meeting

# RSA Process (continued)





## ARIZONA ROAD SAFETY ASSESSMENT APPLICATION

1. Name, Position/Title, Address of Contact Person:

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Phone Number: \_\_\_\_\_  
Fax: \_\_\_\_\_  
Email: \_\_\_\_\_

2. Type of assessment requested (planning, design, construction, existing): \_\_\_\_\_

3. Specific location of proposed RSA project (intersection, spot location, road segment or project, or new facility):

Route(s): \_\_\_\_\_ Segment: \_\_\_\_\_ Project: \_\_\_\_\_

From/To (if segment/project): \_\_\_\_\_ Segment Length: \_\_\_\_\_

City/County/Tribe: \_\_\_\_\_

4. Describe any improvement plans, including stage (scoping, design, construction, etc.), for this location:

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5. Reasons for requesting RSA:

<http://azdot.gov/Highways/Traffic/9620.asp>

6. What is the crash experience for the most recent 3-year period (total crashes, fatal crashes, injury crashes, crash rate, etc.)? (not applicable for new facility) \_\_\_\_\_

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7. Does your agency have a method to identify and prioritize road safety issues? \_\_\_\_ If yes, where does this location rank within your agency's problem locations? \_\_\_\_\_

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8. Average Daily Traffic (ADT) volume for road(s): \_\_\_\_\_

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9. Please list month and/or days of week when safety issues are most prevalent, if applicable: \_\_\_\_\_

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10. Describe any future development planned for this area:

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11. Please include any additional road owners, photos and/or other information that highlight the location:

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12. Signature (and printed name) of Person with Authority to Respond To/Implement the RSA Findings:

Date: \_\_\_\_\_



# RSAs in Arizona

- 25 of 53 RSAs conducted in past 6 years have been rural RSAs
  - 8 Tribes
  - 6 Counties
  - 6 ADOT Districts
  - 1 National Park



# Projects Resulting from RSAs

- Coconino County- improve Leupp Rd (\$983,000)
- Graham County- roundabout at 8<sup>th</sup> Ave/Airport Rd (\$2,500,000), intersection improvement at Reay Ln/Safford-Bryce Rd (\$556,000)
- Tohono-O'odham Nation- improve SR 86/IRR 15 intersection (\$2,000,000)
- Bullhead City- various roads: Pedestrian Hybrid Beacon (HAWK), street lighting, intersection improvements, roadway improvements (\$2,100,000)
- Scottsdale- improve Thomas Rd/Hayden Rd intersection (\$1,200,000)

# Questions???

## FHWA Arizona Division

Kelly LaRosa, Safety Engineer  
602-382-8991

[Kelly.larosa@dot.gov](mailto:Kelly.larosa@dot.gov)

## ADOT Traffic Safety Section

Local Gov't HSIP & HRRP

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## ADOT RSA Program

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Statewide HSIP Program

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