

phoneme), then a GMM transforms each discrete symbol into a brief segment of audio waveform. Although GMM-HMM systems dominated ASR until recently, speech recognition was actually one of the first areas where neural networks were applied, and numerous ASR systems from the late 1980s and early 1990s used neural nets (Bourlard and Wellekens, 1989; Waibel *et al.*, 1989; Robinson and Fallside, 1991; Bengio *et al.*, 1991, 1992; Konig *et al.*, 1996). At the time, the performance of ASR based on neural nets approximately matched the performance of GMM-HMM systems. For example, Robinson and Fallside (1991) achieved 26% phoneme error rate on the TIMIT (Garofolo *et al.*, 1993) corpus (with 39 phonemes to discriminate between), which was better than or comparable to HMM-based systems. Since then, TIMIT has been a benchmark for phoneme recognition, playing a role similar to the role MNIST plays for object recognition. However, because of the complex engineering involved in software systems for speech recognition and the effort that had been invested in building these systems on the basis of GMM-HMMs, the industry did not see a compelling argument for switching to neural networks. As a consequence, until the late 2000s, both academic and industrial research in using neural nets for speech recognition mostly focused on using neural nets to learn extra features for GMM-HMM systems.

Later, with *much larger and deeper models* and much larger datasets, recognition accuracy was dramatically improved by using neural networks to replace GMMs for the task of associating acoustic features to phonemes (or sub-phonemic states). Starting in 2009, speech researchers applied a form of deep learning based on unsupervised learning to speech recognition. This approach to deep learning was based on training undirected probabilistic models called restricted Boltzmann machines (RBMs) to model the input data. RBMs will be described in part III.

To solve speech recognition tasks, unsupervised pretraining was used to build deep feedforward networks whose layers were each initialized by training an RBM. These networks take spectral acoustic representations in a fixed-size input window (around a center frame) and predict the conditional probabilities of HMM states for that center frame. Training such deep networks helped to significantly improve the recognition rate on TIMIT (Mohamed *et al.*, 2009, 2012a), bringing down the phoneme error rate from about 26% to 20.7%. See Mohamed *et al.* (2012b) for an analysis of reasons for the success of these models. Extensions to the basic phone recognition pipeline included the addition of speaker-adaptive features (Mohamed *et al.*, 2011) that further reduced the error rate. This was quickly followed up by work to expand the architecture from phoneme recognition (which is what TIMIT is focused on) to large-vocabulary speech recognition (Dahl *et al.*, 2012), which involves not just recognizing phonemes but also recognizing sequences of words from a large vocabulary. Deep networks for speech recognition eventually