# Spatial Analysis and Modeling (GIST 4302/5302)

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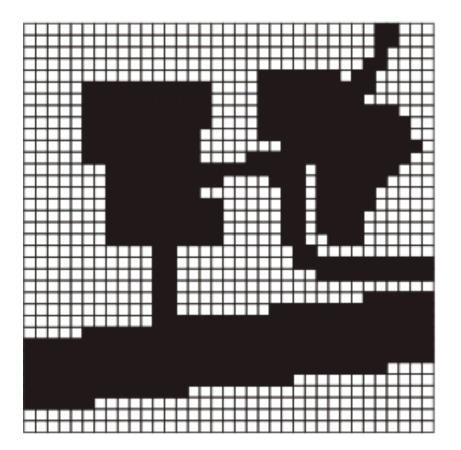
Department of Geosciences

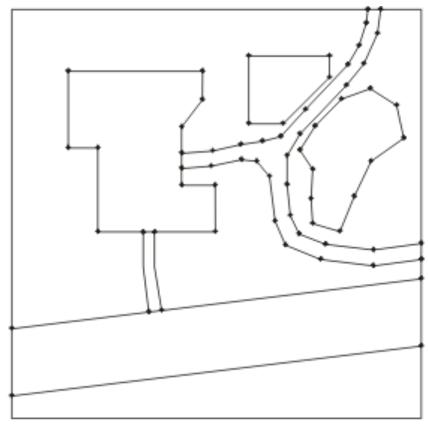
Texas Tech University

### Representation of Spatial Data

#### Representation of Spatial Data Models

- Object-based model: treats the space as populated by discrete, identifiable entities each with a geospatial reference
  - Buildings or roads fit into this view
  - GIS Softwares: ArcGIS
- Field-based model: treats geographic information as collections of spatial distributions
  - Distribution may be formalized as a mathematical function from a spatial framework to an attribute domain
  - Patterns of topographic altitudes, rainfall, and temperature fit neatly into this view.
  - GIS Software: Grass





Raster Vector

#### Field-based Approach

#### Spatial fields

- If the spatial framework is a Euclidean plane and the attribute domain is a subset of the set of real numbers;
  - The Euclidean plane plays the role of the horizontal xy-plane
  - The spatial field values give the z-coordinates, or "heights" above the plane

#### **Regional Climate Variations**

Imagine placing a square grid over a region and measuring aspects of the climate at each node of the grid. Different fields would then associate locations with values from each of the measured attribute domains.

#### Properties of the attribute domain

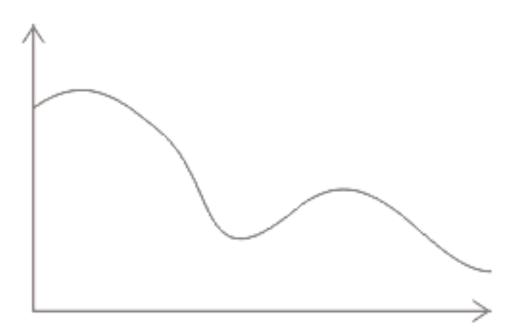
- The attribute domain may contain values which are commonly classified into four levels of measurement
  - Nominal attribute: simple labels; qualitative; cannot be ordered; and arithmetic operators are not permissible
  - Ordinal attribute: ordered labels; qualitative; and cannot be subjected to arithmetic operators, apart from ordering
  - Interval attributes: quantities on a scale without any fixed point; can be compared for size, with the magnitude of the difference being meaningful; the ratio of two interval attributes values is not meaningful
  - Ratio attributes: quantities on a scale with respect to a fixed point; can support a wide range of arithmetical operations, including addition, subtraction, multiplication, and division

#### Continuous and differentiable fields

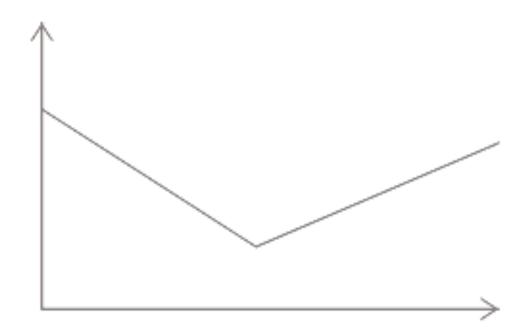
- Continuous field: small changes in location leads to small changes in the corresponding attribute value
- Differentiable field: rate of change (slope) is defined everywhere
- Spatial framework and attribute domain must be continuous for both these types of fields
- Every differentiable field must also be continuous, but not every continuous field is differentiable

#### One dimensional examples

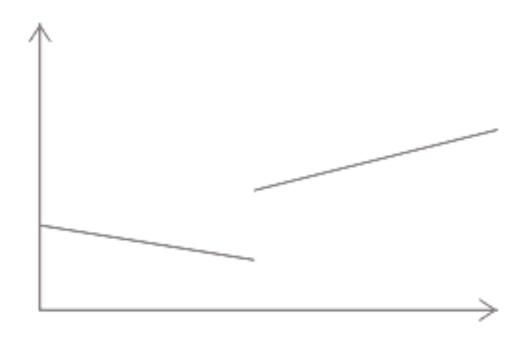
 Fields may be plotted as a graph of attribute value against spatial framework



## One dimensional examples

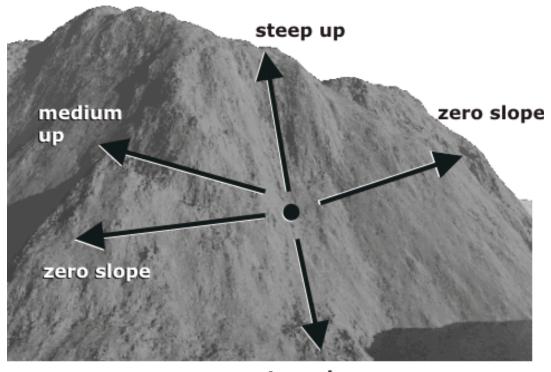


## One dimensional examples



#### Two dimensional examples

 The slope is dependent on the particular location and on the bearing at that location



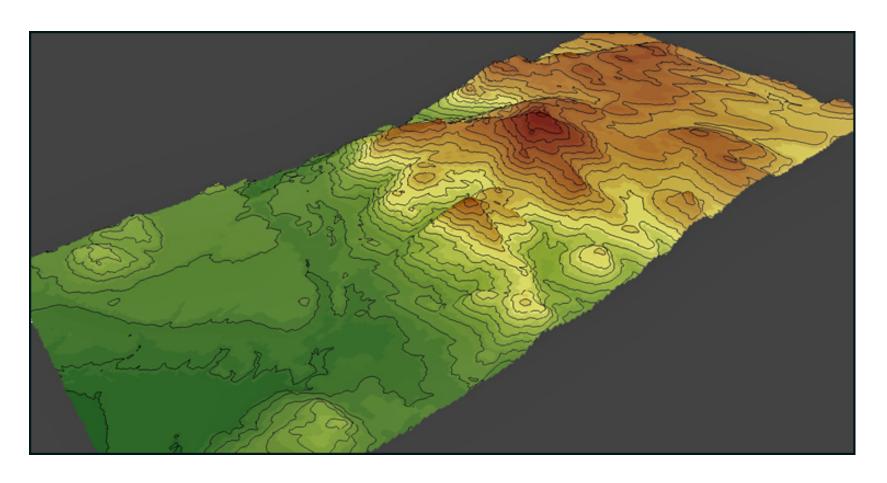
steep down

#### Representations of Spatial Fields

- Points
- Contours
- Raster/Lattice
- Triangulation (Delaunay Trangulation)

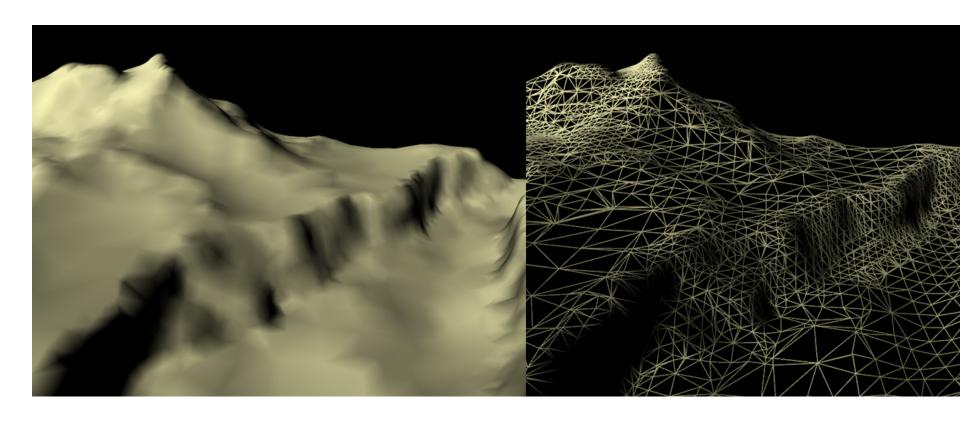
## Example

Contour lines and raster



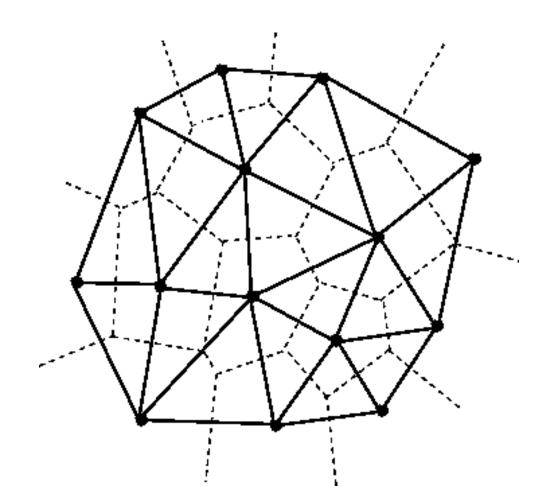
## Example

Trangulations



# Side Note: Delaunay Triangulation and Voronoi Diagram

Dual Graph

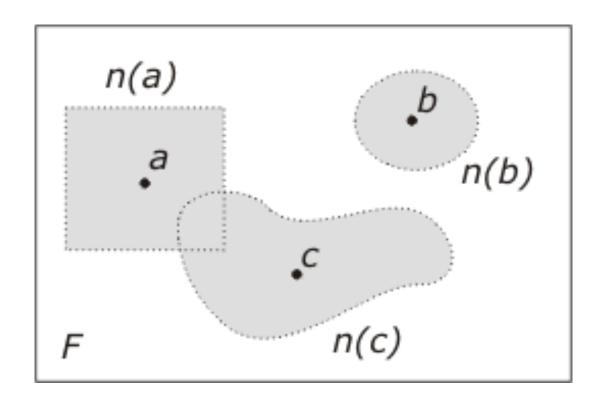


#### Operations on fields

- A field operation takes as input one or more fields and returns a resultant field
- The system of possible operations on fields in a field-based model is referred to as map algebra
- Three main classes of operations
  - Local
  - Focal
  - Zonal

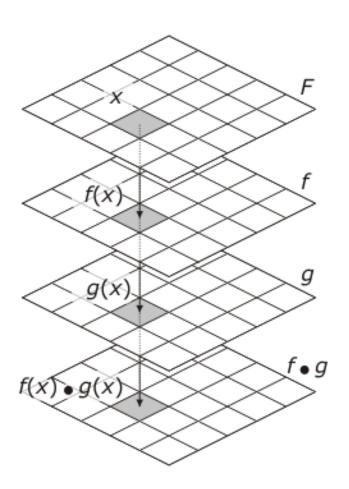
#### Neighborhood function

Given a spatial framework F, a neighborhood function
 n is a function that associates with each location x a set
 of locations that are "near" to x



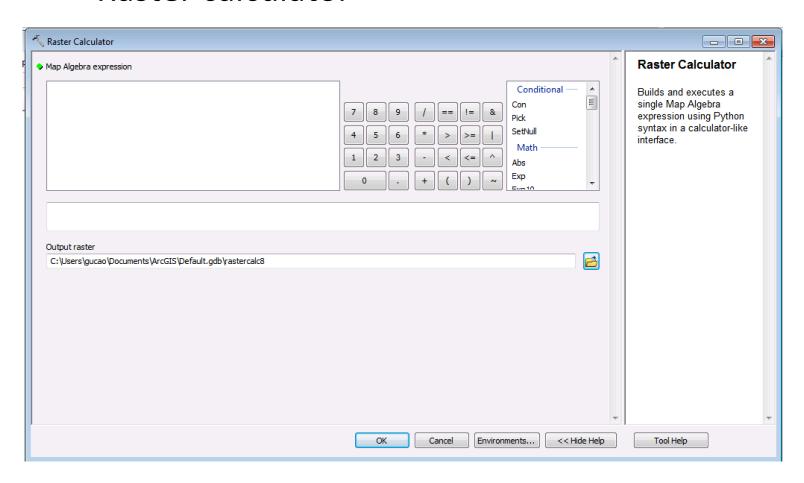
#### Local operations

- Local operation: acts upon one or more spatial fields to produce a new field
- The value of the new field at any location is dependent on the values of the input field function at that location
  - is any binary operation



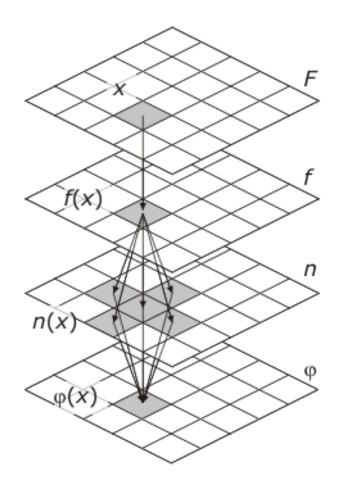
#### Local operations

- Typical operations:
  - Raster calculator



#### Focal operations

Focal operation: the attribute value derived at a location x may depend on the attributes of the input spatial field functions at x and the attributes of these functions in the neighborhood n(x) of x

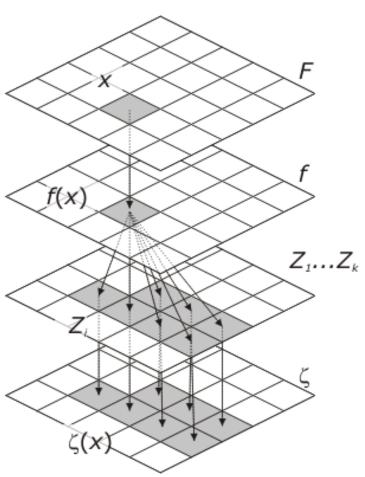


#### Focal operations

- Typical operations:
  - Slope
  - Aspect
  - Hill shade
- Focal statistics

#### **Zonal operations**

- Zonal operation: aggregates values of a field over a set of zones (arising in general from another field function) in the spatial framework
- For each location x:
  - $\bigcirc$  Find the Zone  $Z_i$  in which x is contained
  - $\bigcirc$  Compute the values of the field function f applied to each point in  $Z_i$
  - $\bigcirc$  Derive a single value  $\zeta(x)$  of the new field from the values computed in step 2

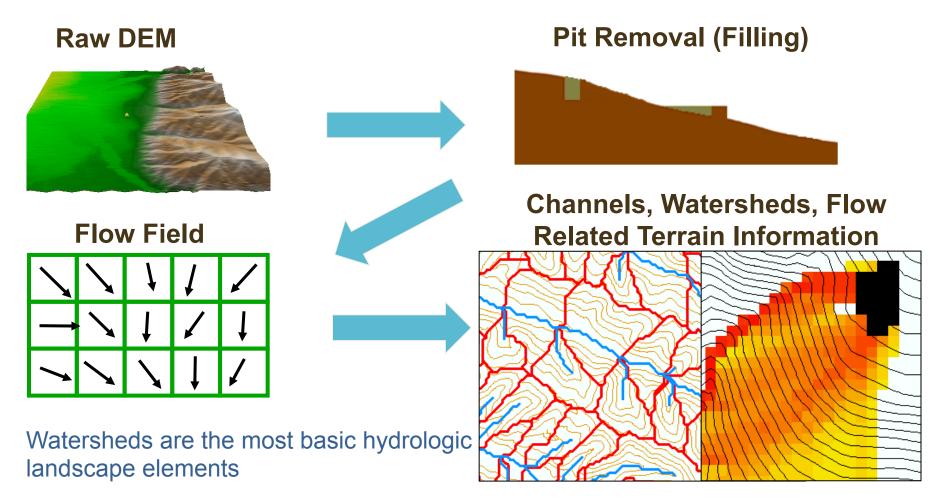


#### Zonal operations

- Typical operations:
  - Zonal
  - Viewshed
  - Watershed

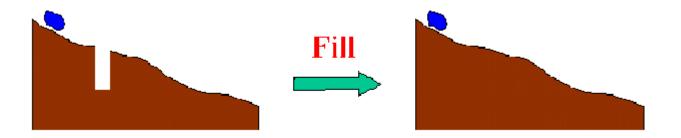
### More on Watershed Analysis

The terrain flow information model for deriving channels, watersheds, and flow related terrain information.



Courtesy of Dr. David G. Tarboton

#### The Pit Removal Problem



- DEM creation results in artificial pits in the landscape
- A pit is a set of one or more cells which has no downstream cells around it
- Unless these pits are removed they become sinks and isolate portions of the watershed
- Pit removal is first thing done with a DEM

## Pit Filling

Increase elevation to the pour point elevation until the pit drains to a neighbor

#### Pit Filling

#### **Original DEM**

#### Pits Filled

7	7	6	7	7	7	7	5	7	7
9	9	8	9	9	9	9	7	9	9
11	11	10	11	11	11	11	9	11	11
12	12	8	12	12	12	12	10	12	12
13	12	7	12	13	13	13	11	13	13
14	7	6	11	14	14	14	12	14	14
15	7	7	8	9	15	15	13	15	15
15	8	8	8	7	16	16	14	16	16
15	11	11	1\1	11	17	17	6	17	17
15	15	15	15	15	18	18	15	18	18

11(10)11 10 12 15 13 16 14 18 18 15 15 \ 15 

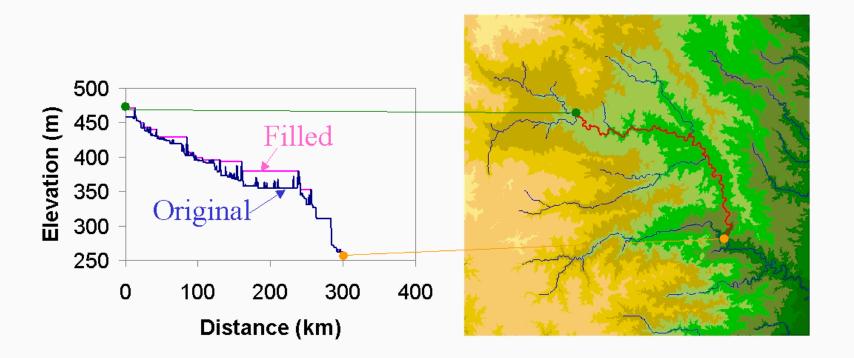
**Pour Points** 

Grid cells or zones completely surrounded by higher terrain

Pits

The lowest grid cell adjacent to a pit

### Effect of Pit Filling on Elevation



#### Hydrologic Slope

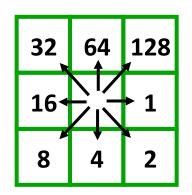
- Direction of Steepest Descent

<u></u> →				<u>←</u> 30			
80	74	63		80	74	63	
69	67	56		69	67	56	
60	52	48		60	52	48	

Slope: 
$$\frac{67-48}{30\sqrt{2}} = 0.45$$
  $\frac{67-52}{30} = 0.50$ 

$$\frac{67 - 52}{30} = 0.50$$

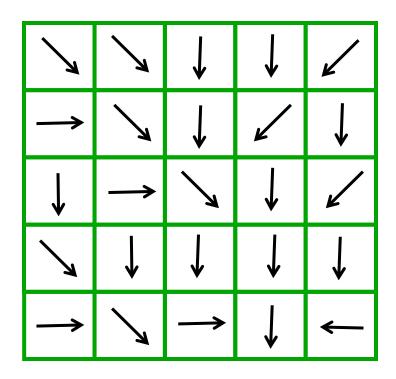
## Eight Direction (D8) Flow Model

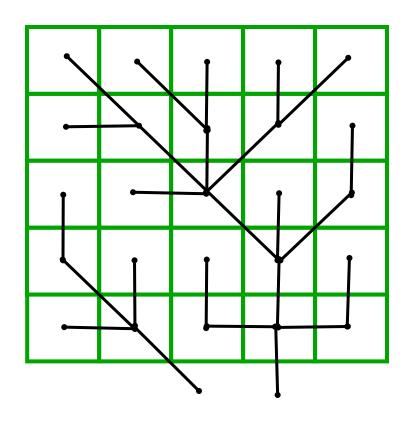


1		<b>↓</b>	1	/
$\longrightarrow$	1	$\downarrow$		$\downarrow$
<b>↓</b>	<b>→</b>	1	1	/
1	<b>↓</b>	1	1	Ţ
$\longrightarrow$	1	<b>→</b>	<b>1</b>	<b>←</b>

2	2	4	4	8
1	2	4	8	4
4	1	2	4	8
2	4	4	4	4
1	2	1	4	16

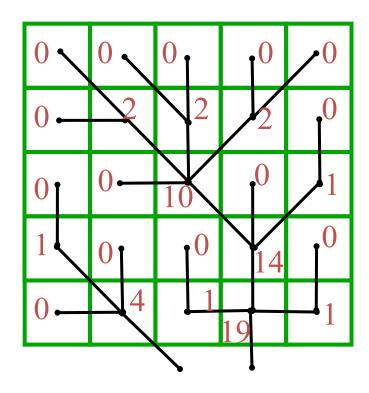
#### Grid Network





## Flow Accumulation Grid. Area draining in to a grid cell

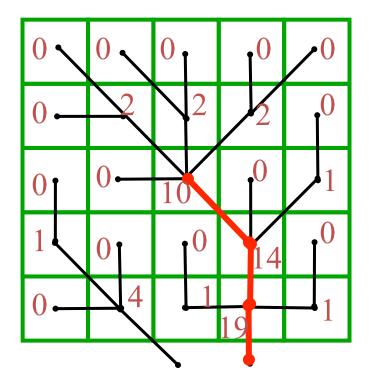
0	0	0	0	0
0	2	2	2	0
0	0	10	0	1
1	0	0	14	0
0	4	1	19	1



## Flow Accumulation > 10 Cell Threshold

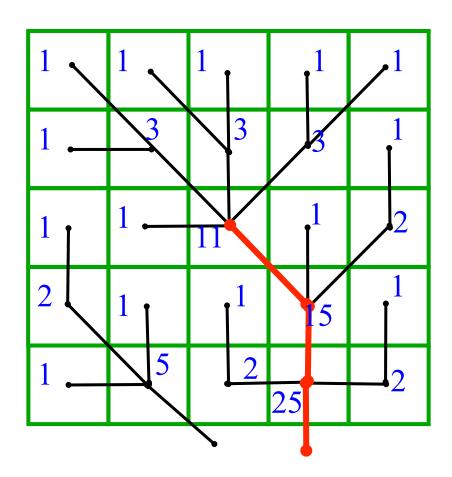
0	0	0	0	0
0	2	2	2	0
0	0	10	0	1
1	0	0	14	0
0	4	1	19	1

#### Stream Network for 10 cell Threshold Drainage Area



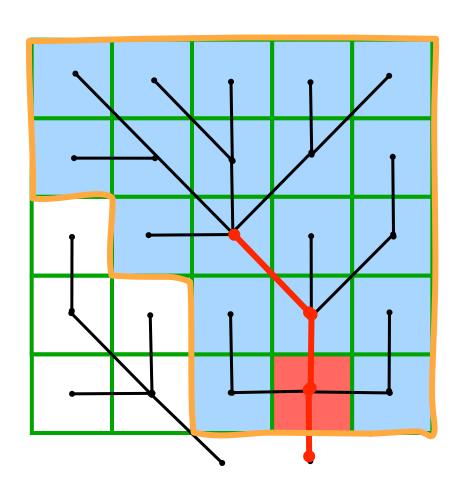
#### Contributing area convention

1	1	1	1	1
1	3	3	3	1
1	1	11	1	2
2	1	1	15	1
1	5	2	20	2



The area draining each grid cell includes the grid cell itself.

## Watershed Draining to Outlet



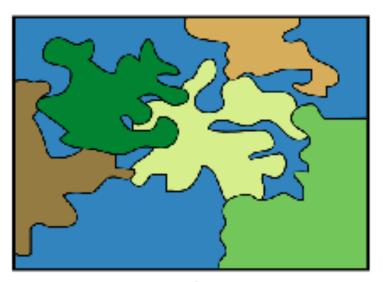
### Summary of Key Processing Steps

- [DEM Reconditioning]
- Pit Removal (Fill Sinks)
- Flow Direction
- Flow Accumulation
- Stream Definition
- Stream Segmentation
- Catchment Grid Delineation
- Raster to Vector Conversion (Catchment Polygon, Drainage Line, Catchment Outlet Points)

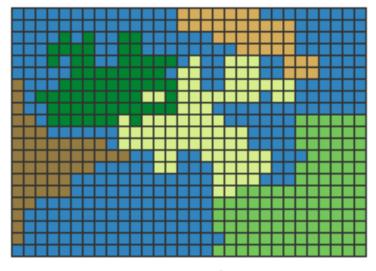
## **Summary Concepts**

- The eight direction pour point model approximates the surface flow using eight discrete grid directions
- The elevation surface represented by a grid digital elevation model is used to derive surfaces representing other hydrologic variables of interest such as
  - Slope
  - Flow direction
  - Drainage area
  - Catchments, watersheds and channel networks

# Summary: Object-based vs Field-based models



Polygon features



Raster polygon features

#### From:

## Summary: Object-based vs Field-based models

- Object-based models:
  - Greater precision
  - Less redundant information (smaller storage footprints)
  - Complex data structures
- Field-based models:
  - Simpler data structures
  - More redundant information (larger storage footprints)
  - Less precision
- Raster is faster, but vector is corrector

#### Raster <-> Vector

- Vector-> Raster
  - -Interpolation
    - •Inverse distance weighted, Kriging, Spline
  - –Density surface
    - Kernel density
  - -Rasterization
- Raster->Vector
  - –Watershed
  - –Vectorization (raster to polygon)

**—...** 

## Model Builder

### Model Builder

- Model Builder is a drag-and-drop interface to ArcToolbox called ModelBuilder allowing you to develop a flow chart of your GIS workflow
  - This flowchart is then run step by step to perform your analysis
- ArcGIS allows for custom scripting that can be added to ArcToolbox, introducing greater functionality
  - Custom export scripts, specialized versions of existing tools, develop tools not available in ArcToolbox

## Why Model Builder?

- Developing a model for a GIS analysis allows for repeat testing of a hypothesis using different data.
- The model can be coded into a GIS application, so that the steps are performed automatically.
- Easier reproduction of results.
- Simplification of workflow.
- Informs the computer how to conduct a series of steps that would be impractical for you to do manually.

## Reproducibility

- In performing an analysis, you must have your workflow clearly defined.
- This ensures that you are performing the steps in the correct order using the appropriate tools.
- Missteps are easy, especially when there can be hours of computer processing between steps.
- The GIS model can be exported as a graphic flowchart or a modeling data structure.

## Workflow Efficiency

- There are many repetitive steps you will take in your daily workflow.
- Streamlining the process saves you time.
- If you always start working in a File
  Geodatabase with specific resolution and
  projection information, a model for
  generating your specialized GDB can be
  created.

## Human Inefficiency

- You physically cannot perform the steps as fast as GIS can produce the results.
- Certain steps, such as iteration through a feature set would be prohibitively time consuming.
- Minimize the amount of time spent "babysitting" GIS to perform complex analyses.

## Inside ArcToolbox

Icon	Name	Description
<b>S</b>	Toolset	A container for organizing the contents of a toolbox.
B	Tool	Runs an underlying function in the geoprocessing framework.
\$	Script	Can be written in any Common Object Model (COM)-compliant scripting language, such as Python, JScript, or VBScript. An ArcInfo Workstation ARC Macro Language (AML) can also be added to a toolbox as a script.
<b>&gt;</b> *	Model	You can view and edit these in the new integrated ModelBuilder window.

#### Demo

- Demo
- Lab: Buffalo commons using Model Builder:

