

## Definitions

• size : number of elements 13

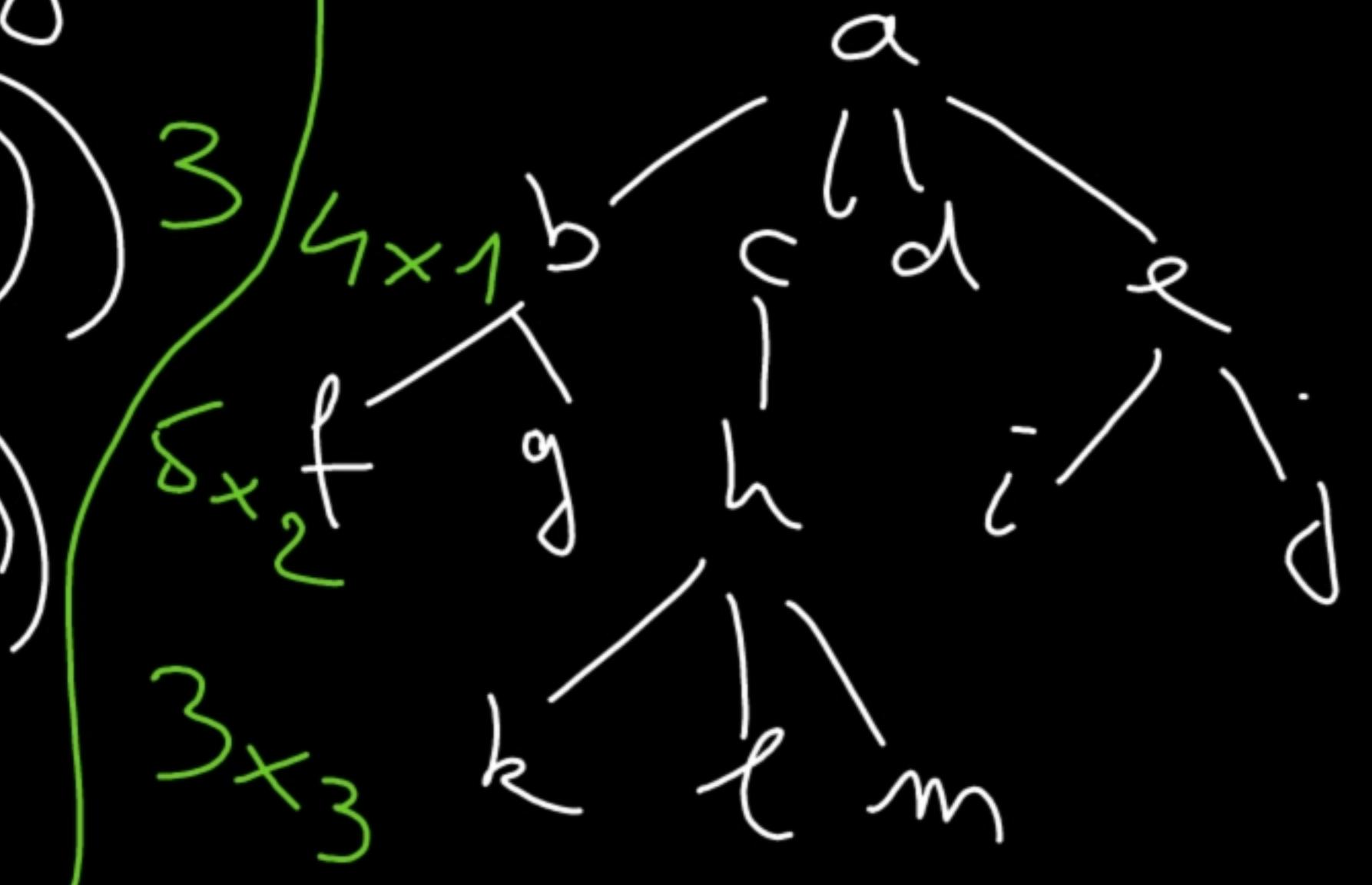
• height (depth) of an element (node) : number of links between root and elt

• height (depth) of a tree :

$$\max_{e \in T} (\text{height}(e))$$

$$\sum_{e \in T} (\text{height}(e))$$

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# ADT extension Tree, Forest

## Operations

$\text{size}: \text{Tree} \rightarrow \text{Integer}$

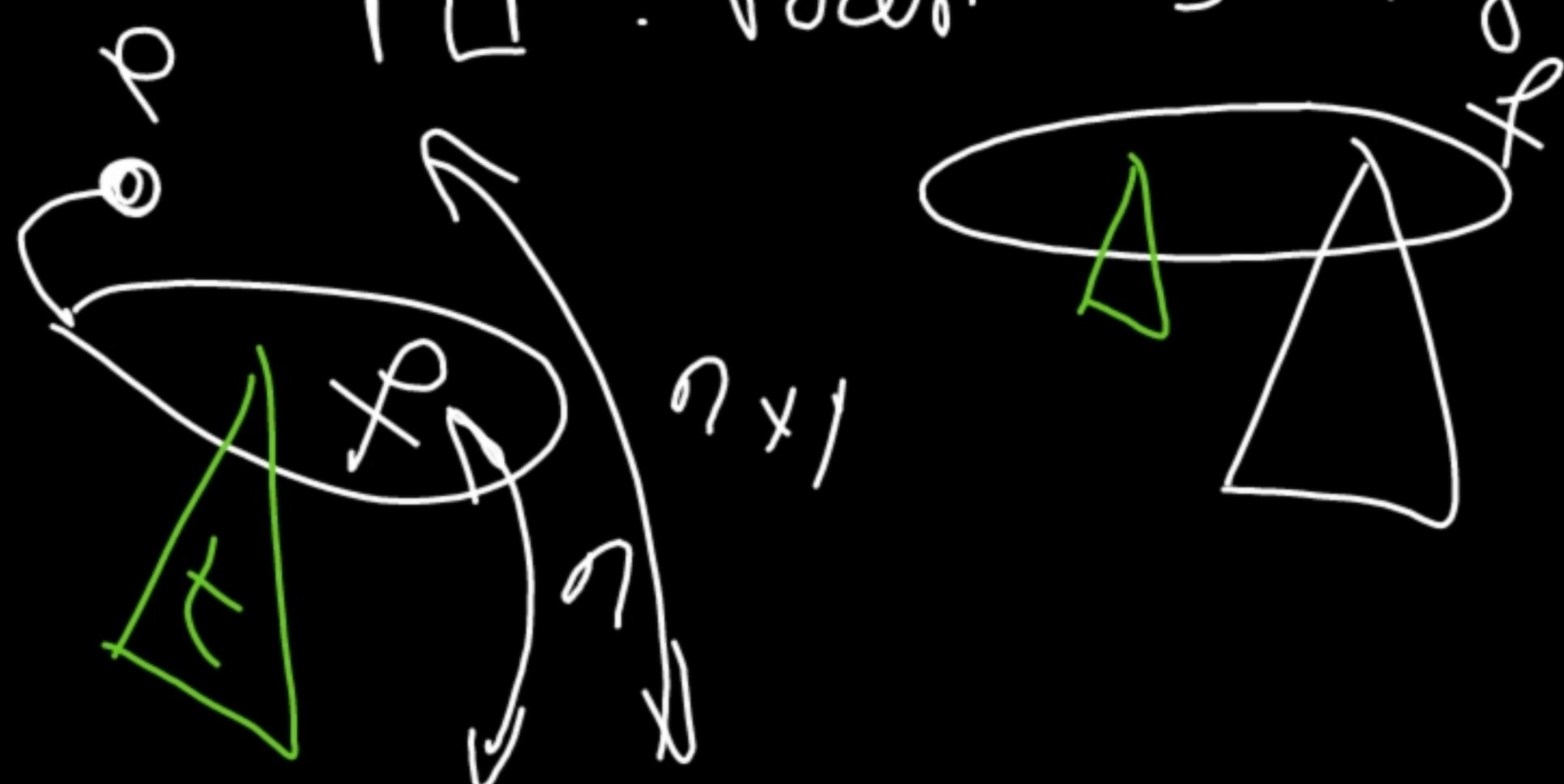
$\text{sizeF}: \text{Forest} \rightarrow \text{Integer}$

$\text{height}: \text{Tree} \rightarrow \text{Integer}$

$\text{heightF}: \text{Forest} \rightarrow \text{Integer}$

$\text{PL}: \text{Tree} \rightarrow \text{Integer}$

$\text{PLF}: \text{Forest} \rightarrow \text{Integer}$



## Axioms

A<sub>1</sub>  $\text{size}(\text{new}(e, f)) = 1 + \text{sizeF}(f)$

A<sub>2</sub>  $\text{sizeF}(\text{newF}) = 0$

A<sub>3</sub>  $\text{sizeF}(\text{addTree}(f, t, n)) = \text{sizeF}(f) + \text{size}(t)$

A<sub>4</sub>  $\text{height}(\text{new}(e, f)) = \text{heightF}(f) + 1$

A<sub>5</sub>  $\text{heightF}(\text{newF}) = -1$

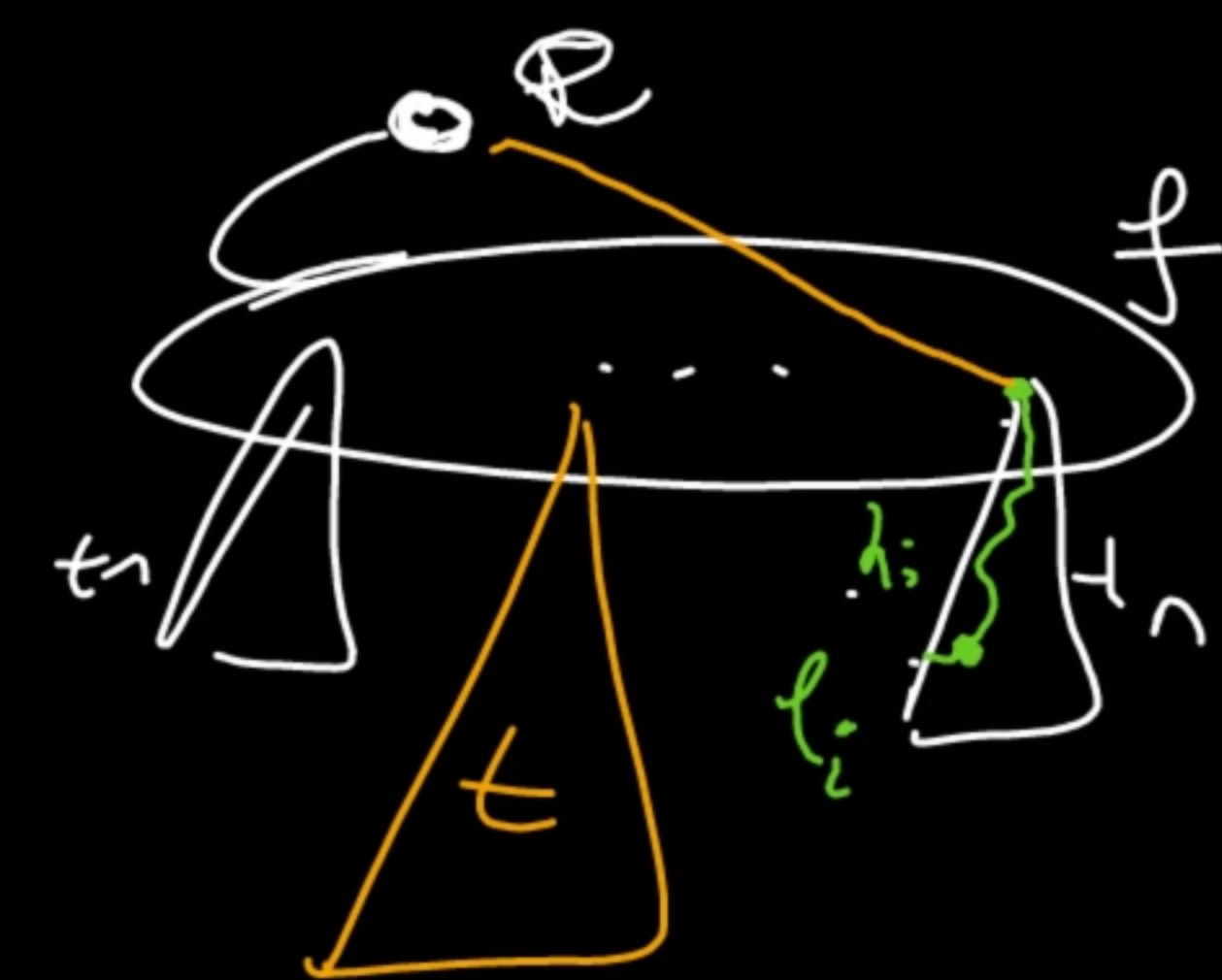
A<sub>6</sub>  $\text{heightF}(\text{addTree}(f, t, n)) = \max(\text{height}(t), \text{heightF}(f))$



$$A_7 \text{PL}(\text{new}(e, f)) \equiv \text{PLF}(f) + \text{sizeF}(f)$$

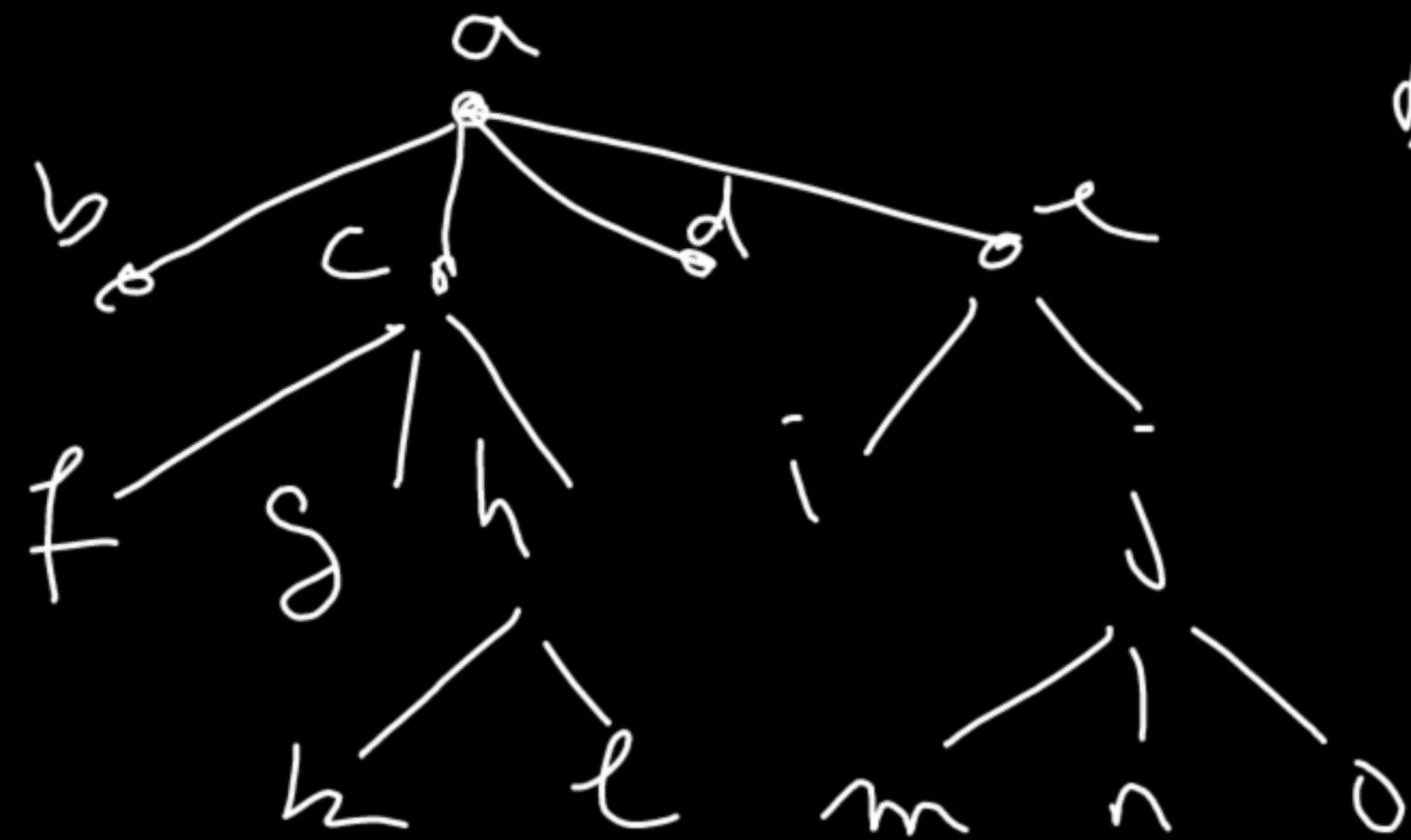
$$A_8 \text{PLF}(\text{new } f) \equiv 0$$

$$A_9 \text{PLF}(\text{addTree}(f, t, n)) \equiv \text{PLF}(f) + \text{PL}(t)$$



Enumerating elements of a tree? Many ways to do that

- \* breadth-first : list all elements at depth  $\ell$  before listing elements at depth  $\ell+1$
- \* depth-first : list all elements in subtree  $n$  before listing elements in subtree  $n+1$



breadth-first, left-right traversal:  
a b c d e ... o

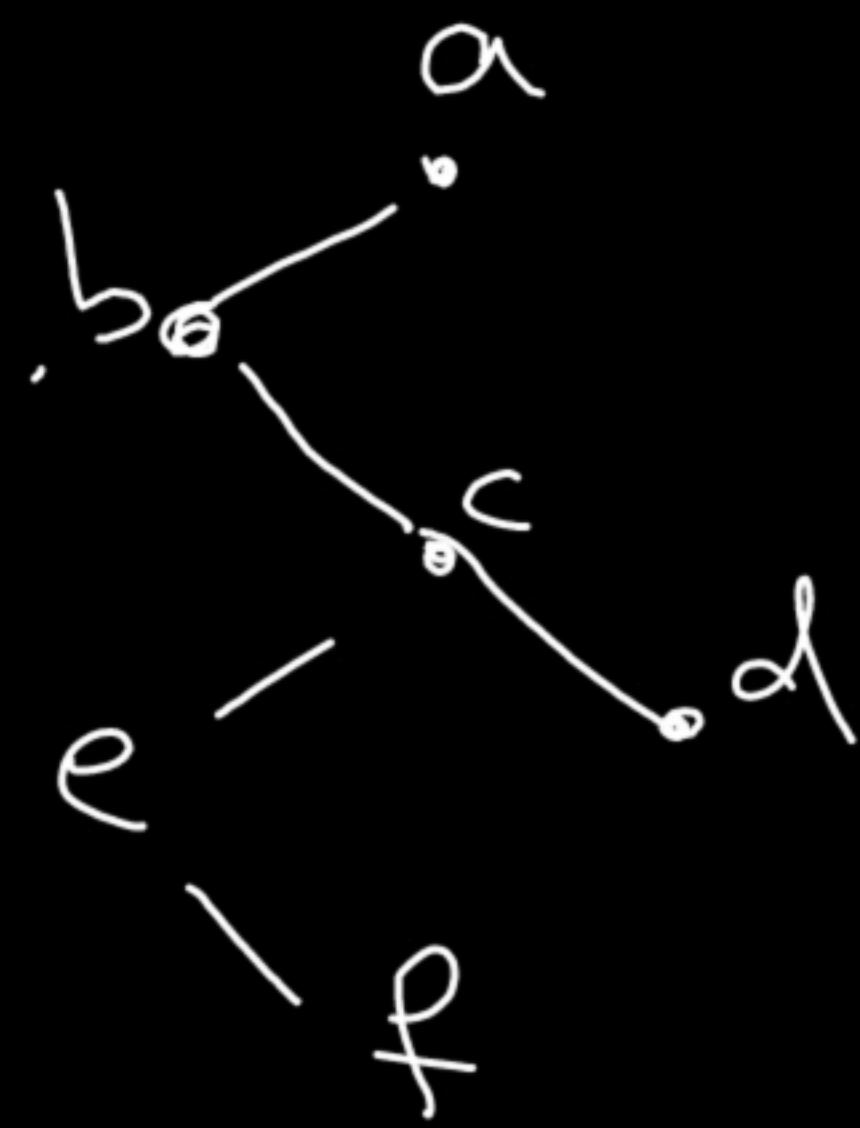
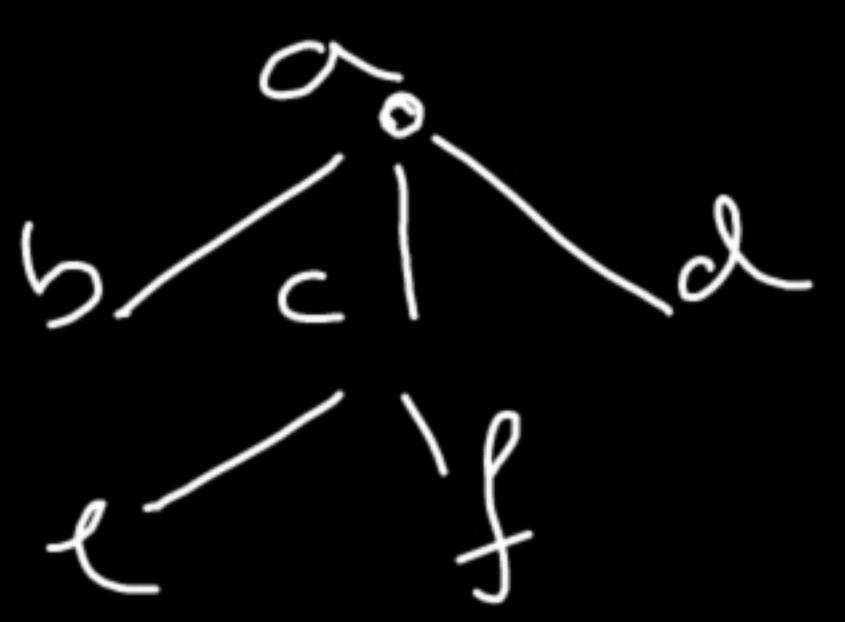
depth-first, 1 → n :  
a b c f g h k l i d e j m n o

Binary trees (nodes have at most 2 subtrees)

- 1) easier to deal with than general trees
- 2) represent the same information without loss of performance

Transformation :

root	$\rightarrow$ root
	$\rightarrow$ left child
	$\rightarrow$ right sibling



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# Binary Trees (BT)

a BT is

\* either empty

\* or made of

1) a root element

2) a left child (BT)

3) a right child (BT)

## ADT BT

Use Element, Boolean

### Operations

\* new :  $\rightarrow$  BT

\*  $\langle -, -, - \rangle$ : Element  $\times$  BT  $\times$  BT  $\rightarrow$  BT

root : BT  $\rightarrow$  Element

left : BT  $\rightarrow$  BT

right : BT  $\rightarrow$  BT

contains : BT  $\times$  Element  $\rightarrow$  Boolean  
preconditions

root (+), if  $t \neq \text{new}$

left \_\_\_\_\_  
right \_\_\_\_\_

Axioms

$$A_1 \text{ root}(< r, L, R >) \equiv r$$

$$A_2 \text{ left}(< r, L, R >) \equiv L$$

$$A_3 \text{ right}(< r, L, R >) \equiv R$$

$$A_4 \text{ contains}(\text{new}, e) \equiv F$$

$$A_5 \text{ contains}(< r, L, R >, r) \equiv T$$

$$A_6 \text{ contains}(< r, L, R >, e) \equiv \text{contains}(L, e) \text{ or } \text{contains}(R, e)$$

→ extension with size, height and PL?

AT DT extension BT

Use Integer

Operations

size: BT  $\rightarrow$  Integer

height: BT  $\rightarrow$  Integer

PL : BT  $\rightarrow$  Integer

Axioms

A<sub>1</sub> size(new) = 0

A<sub>2</sub> size(<r, L, R>) = size(L) + size(R) + 1

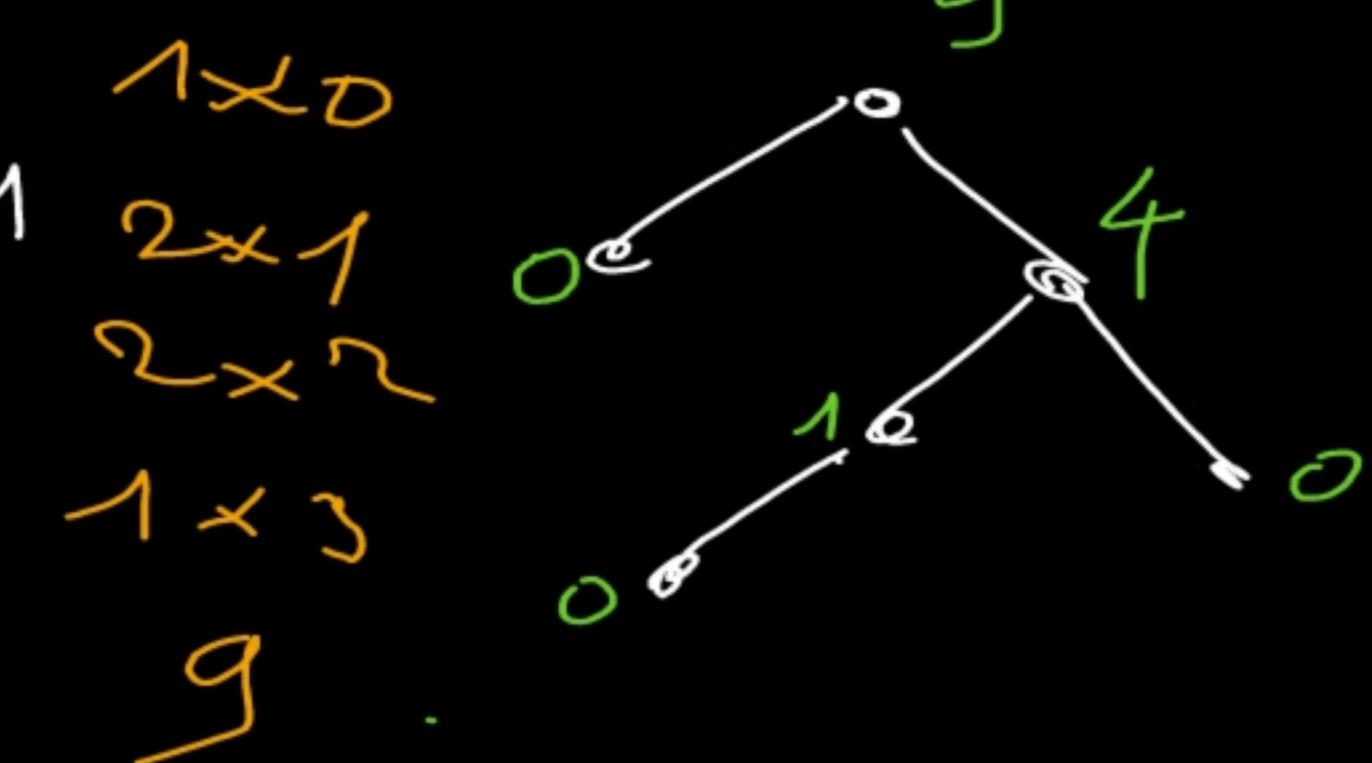
A<sub>3</sub> height(new) = -1

A<sub>4</sub> height(<r, L, R>) = max(height(L), height(R)) + 1



$$A_5 \text{ PL(new)} = 0$$

$$A_6 \text{ PL}(<r, L, R>) = \text{PL}(L) + \\ \text{PL}(R) + \text{size}(L) + \\ \text{size}(R)$$





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    wonder(t) {
        if (t != new)
            wonder(left(t))
            visit(root(t))
            wonder(right(t))
    }

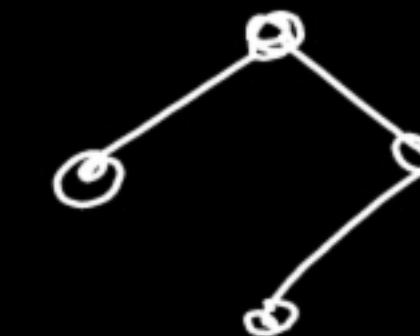
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complexity?

unit op.: visit

param:  $N$  (size of t)

$$\left\{ \begin{array}{l} C(0) = 0 \\ C(N) = C(i) + C(N-i-1) + 1 \end{array} \right.$$



$n$	$C(n)$
0	0
1	1
2	2
3	3
4	5
5	8

hyp:  $C(n) = n$  ?

prop:  $C(n) = n \quad \forall n$

$P(0) = P(1) = P(2) = T$

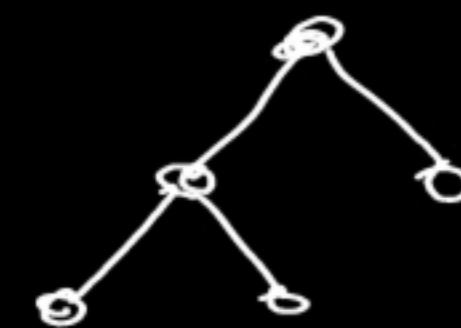
hyp:  $\forall i < n, P(i) = T$

$$\begin{aligned} C(n) &= C(i) + C(n-i-1) + 1 \\ &= i + n - i - 1 + 1 = n \quad \text{qed} \end{aligned}$$

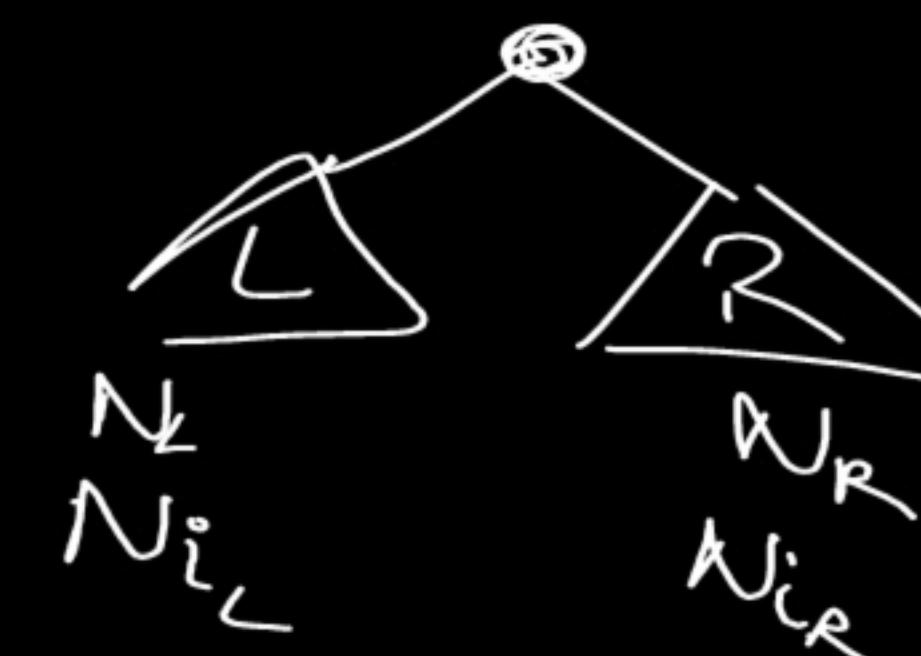
ex: t a BT of size N  
min number of internal nodes?

node = leaf or internal node

n	$N_i$
1	0
2	1
3	1
4	2
5	2
6	3



$$\frac{N-1}{2}, \left\lfloor \frac{N}{2} \right\rfloor$$



$p_{prop}(n)$ : min internal nodes if size is n,  $\frac{n-1}{2}$

$$p(1) = 1$$

$$hyp: \begin{cases} p(N_L) \\ p(N_R) \end{cases}$$

$$N = N_L + N_R + 1$$

$$N_i = N_L + N_R + 1$$

$$\begin{aligned} N_i &= \frac{N_L - 1}{2} + \frac{N_R - 1}{2} + 1 \\ &= \frac{N_L + N_R}{2} = \frac{N-1}{2} \end{aligned}$$

qed

to a BT of size N.

number of links?  $N-1$ ?

prop(n): If size is n,  $n-1$  links

$$p(1) = p(2) = T$$

hyp:  $\forall i < n, p(i) = \top$

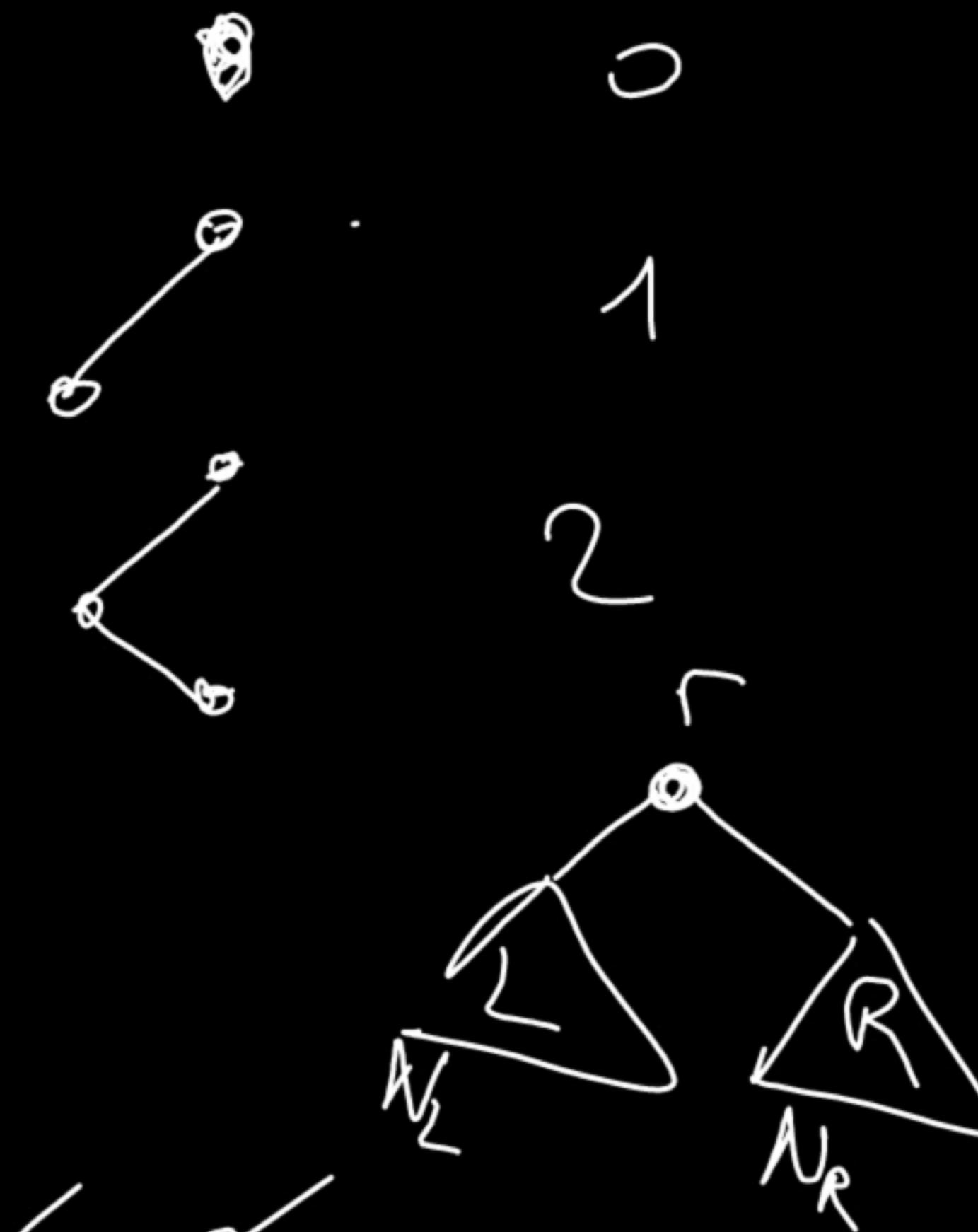
N: size

L: links

$$N = N_L + N_R + 1$$

$$L = L_L + L_R + 2$$

$$\begin{aligned} &= N_L - \cancel{A} + N_R - \cancel{A} + \cancel{2} \\ &= N_L + N_R = N - 1 \quad q.e.d \end{aligned}$$



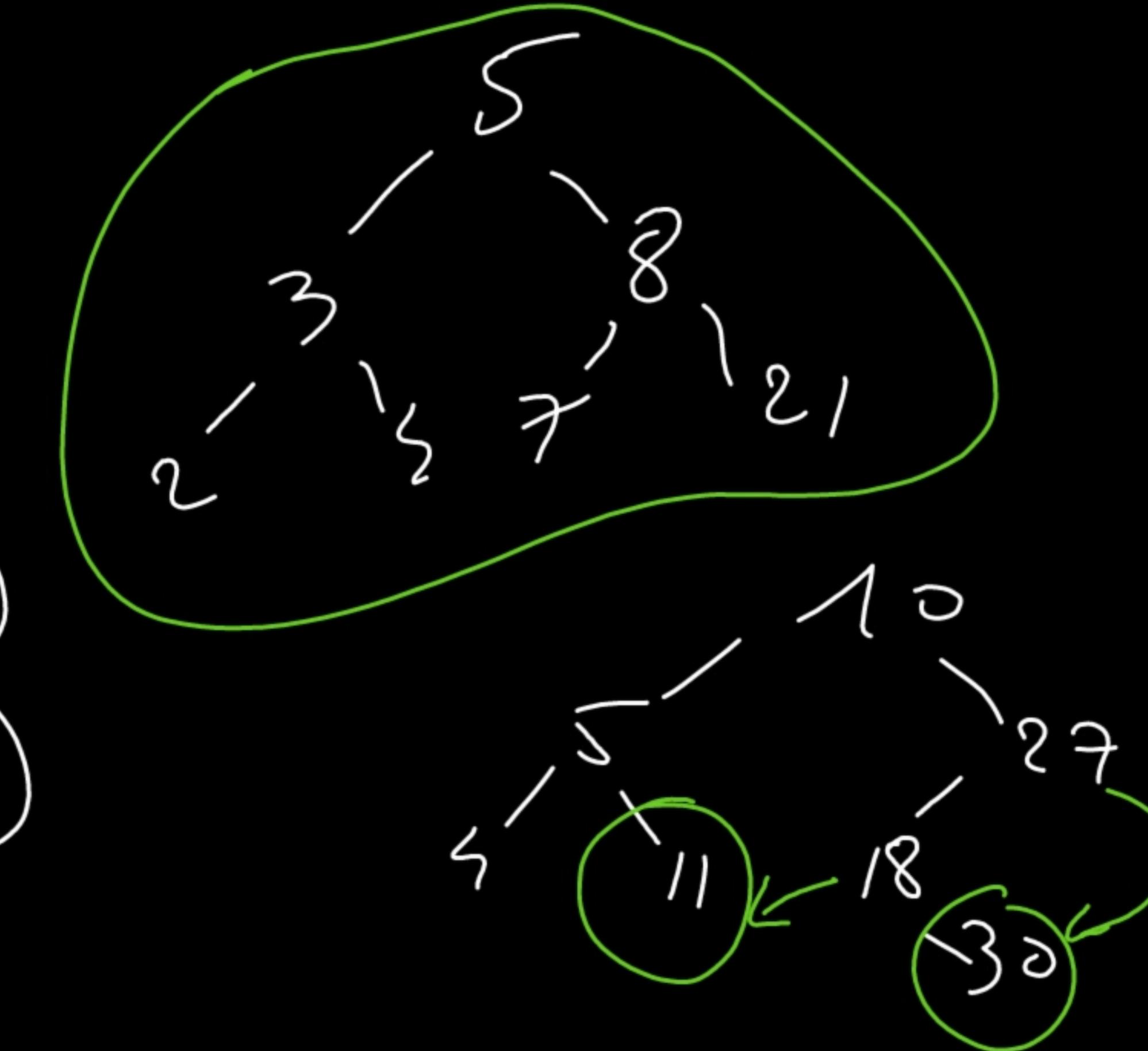
# Binary search trees (BST)

def: a BST is a BT

$$\forall n_1, n_2 \in \mathbb{Z}$$

$$\begin{cases} n_1 < n_2 \rightarrow \begin{cases} n_1 \in \text{left}(n_2) \\ n_2 \in \text{right}(n_1) \end{cases} \end{cases}$$

Pre: 5 3 2 4 8 7 21  
in: 2 3 4 5 7 8 21  
Post: 2 4 3 7 21 8 5



Prop: inorder gives a sorted list of elements in a BST



hyp  $\forall i < n$ ,  $\text{inorder}(t)$  gives a sorted list



$\text{inorder}(t)$ :

$\text{inorder}(L)$

$\text{print}(r)$

$\text{inorder}(R)$

$e_1 \dots e_i \xrightarrow{\text{Sorted}} r \xleftarrow{\text{Sorted}} e'_1 \dots e'_j$

ADT BST extends BT  
Use Element( $\leq$ )  
Operations

contains :  $BST \times Element \rightarrow Boolean$

add :  $BST \times Element \rightarrow BST$

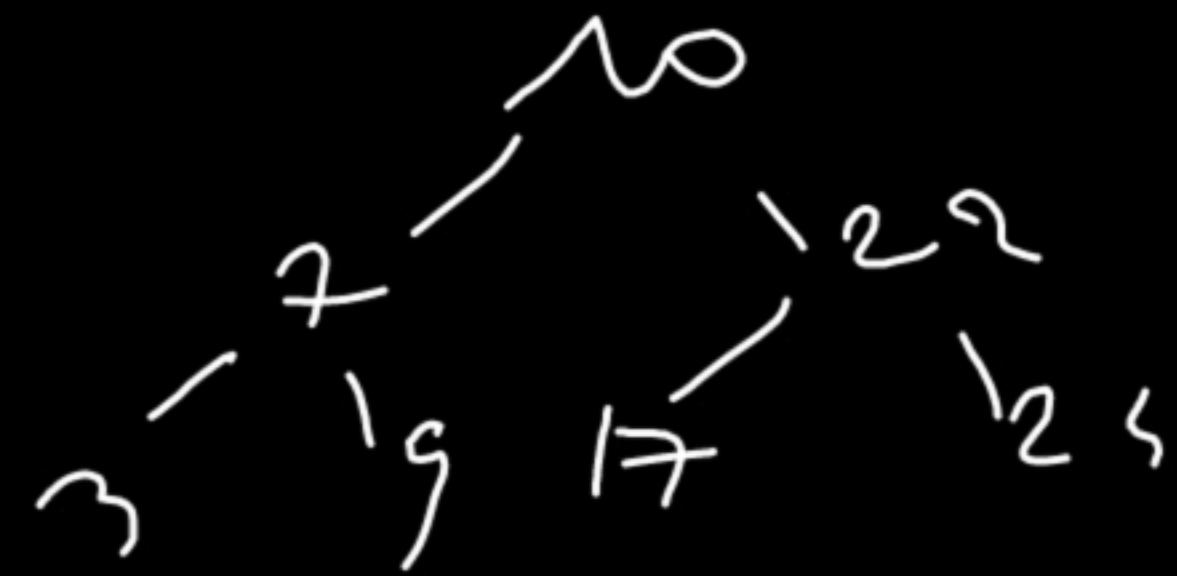
Axioms

A<sub>1</sub>. contains(new, e) = F

A<sub>2</sub>. contains(<r, L, R>, e) = T

A<sub>3</sub>.  $e \leq r \Rightarrow$  contains(<r, L, R>, e) = contains(L, e)

A<sub>4</sub>. contains(<r, L, R>, e) = contains(R, e)



contains(10, 22)

A<sub>1</sub> contains(22, 22)  
A<sub>2</sub> T

contains(10, 21)

A<sub>3</sub> contains(22, 21)

A<sub>3</sub> contains(15, 21)  
A<sub>4</sub> contains(new, 21)

A<sub>5</sub>  $\text{add}(\text{new}, e) \equiv \langle \text{e}, \text{new}, \text{new} \rangle$

A<sub>6</sub>  $\text{add}(\langle r, L, R \rangle, r) \equiv \langle r, L, R \rangle$

A<sub>6</sub>\*  $\text{add}(t, \text{root}(t)) \equiv t$

A<sub>7</sub>  $e \leq r \Rightarrow \text{add}(\langle r, L, R \rangle, e) \equiv \langle r, \text{add}(L, e), R \rangle$

A<sub>8</sub>  $\text{add}(\langle r, L, R \rangle, e) \equiv \langle r, L, \text{add}(R, e) \rangle$